

# Flexible Shear Mode Transducer for Structural Health Monitoring Using Ultrasonic Guided Waves

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**Abstract**—The application of the fundamental shear-horizontal wave mode for guided wave structural health monitoring is undoubtedly beneficial due to its nondispersive characteristics. Existing guided wave shear mode transducers are rigid and brittle, because of these characteristics, bonding them to irregular surfaces (i.e., curved surfaces) is challenging. There is a huge market interest in the development of a flexible shear mode transducer, which eases the transducer bonding process onto irregular surfaces and improves the surface contact between the transducer and the structure. This study presents a flexible shear mode transducer for structural health monitoring using low-frequency guided waves (20–120 kHz). The proposed transducer is manufactured using piezoceramic, and based on the results of this study, it exhibits the directional excitation of fundamental shear-horizontal mode at 20–120 kHz. Finite element analysis and laboratory experiments were conducted to study the behavior of the proposed transducer. Field trials were conducted on a liquid storage tank with an undulated surface (due to corrosion). The performance of the proposed transducer is also compared to the commercially available macro fiber composite transducers. The proposed transducer was driven by the industrialized ultrasonic guided wave inspection system; Teletest Focus+ in line with the application of tank floor inspection using ultrasonic guided waves.

**Index Terms**—Directionality, flexibility, industrialization, shear mode transducer, structural health monitoring (SHM), ultrasonic guided wave (UGW).

## I. INTRODUCTION

STRUCTURAL health monitoring (SHM) of degrading engineering structures due to various factors (such as corrosion) is important as degradation could lead to structural

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instability causing harm to the industry and the environment. Low-frequency (20–120 kHz) ultrasonic guided waves (UGWs) are widely used to inspect the structural health of elongated structures (i.e., pipes, plates, rails, cables) due to their inherent feature of long-range propagation [1]. Commercial UGW systems have evolved vastly over two decades to fulfill industry requirements. The development of UGW transducers is a core aspect with great interest, particularly for the inspection of complex structures (such as floor of liquid storage tanks). Early research on transducer development for commercial UGW systems has been conducted by Alleyne and Cawley, where they developed a transducer with thickness-shear motion to excite Lamb waves on a plate [2]. The development of flexible transducers for UGW application became imperative since early 2000 with the interest of inspecting structures with curved and/or irregular surfaces [3], [4].

Piezoelectric films (polyvinylidene fluoride, PVDF) is one of the earliest flexible transducers for UGW application [5]. PVDF transducers are flexible and durable. Compared to lead zirconate titanate (PZT), PVDF requires higher actuation power and heavy amplification. PVDFs work better in a higher frequency range (0.5–4 MHz) [5], and this limits the long-range propagation capabilities of UGW due to the typically higher attenuation rates at these frequencies. Another transducer was developed by combining the electromechanical efficiency of PZT with mechanical flexibility. Examples of these are active fiber composite (AFC) and macro fiber composite (MFC) [3]. AFC and MFC are thin piezoceramic fibers that are aligned unidirectionally and exploit the use of interdigitated electrodes, which deliver a stronger longitudinal piezoelectric effect along the length of fibers [4]. This is performed by applying an electric field in the direction of fiber axis, which in turn generates a stronger longitudinal ( $d_{33}$  constant) piezoelectric effect. AFCs operate in low hundreds of kHz range [6] and MFCs operate in tens of kHz to MHz range [7], [8]. The main advantage of MFCs in comparison to AFCs and PVDFs is the reduced manufacturing cost. MFCs are mass manufactured and distributed by Smart Material Corp. [9].

The patch transducer is a piezoceramic-based flexible transducer (commercial name—DuraAct), which was designed by German Aerospace Centre and is manufactured and distributed by PI Ceramic (PIC) GmbH [10]. The DuraAct transducer operates in kHz to MHz range depending on the application requirement [11]. DuraAct transducers were initially used to detect the

82 impact of a tail boom structure in 2010 using guided waves  
 83 [12]. Since then, DuraAct transducers are used for many ap-  
 84 plications using UGWs in different frequency ranges. DuraAct  
 85 transducers have gained a higher interest in the Aerospace in-  
 86 dustry to inspect composite materials [13], [14]. Compared to  
 87 other flexible transducers, DuraAct transducers have proven to  
 88 survive under harsh environmental conditions [15]. Standard  
 89 MFCs cannot operate beyond 80°C, whereas DuraAct can oper-  
 90 ate up to 150–200°C, though the mechanical flexibility is limited  
 91 at these temperatures. Lifespan of piezoceramic patch transduc-  
 92 ers was investigated in 2007 [16]. A key parameter investigated  
 93 in this study was the flexibility of piezoceramic patch transduc-  
 94 ers by studying the performance of the transducer under tensile  
 95 stress. As an outcome, minimum bending radius ( $r_{\min}$ ) can be  
 96 calculated as follows and it depends on the ceramic thickness:

$$r_{\min} = 8.e^{t.4.4} \quad (1)$$

97 where  $e$  is the Euler constant, and  $t$  is the thickness of the  
 98 ceramic layer in mm.

99 Common features of the aforementioned flexible transducers  
 100 (PVDF, AFC, MFC, and DuraAct) are flexibility, lightweight  
 101 [17], and more importantly, these transducers predominantly  
 102 excite Lamb modes [18]. According to the literature, research  
 103 on flexible transducer development was limited to excite Lamb  
 104 modes [18], [19] or they operate at a higher frequency range  
 105 (above 0.5 MHz) [20]–[22].

106 As stated in the literature, the most preferable UGW mode for  
 107 the inspection of liquid storage tank floors is the fundamental  
 108 shear-horizontal wave mode due to the relatively low attenua-  
 109 tion and dispersion rates [1], [23]. Based on surface irregularities  
 110 caused by the corrosion, transducers have to be flexible and can-  
 111 not be rigid and/or brittle for this application. Currently, com-  
 112 mercially available MFC transducers are used to obtain a better  
 113 surface contact compared to rigid transducers [9]. However,  
 114 based on directionality patterns of the MFC transducer, only the  
 115 fundamental symmetric Lamb mode can be used for inspection,  
 116 as the fundamental shear mode is excited in the diagonal direc-  
 117 tion, which complicates the signal processing [24]. The MFC  
 118 transducer generates both fundamental Lamb modes in one di-  
 119 rection, which complicates the signal interpretation. There is a  
 120 higher market interest in the development of a flexible trans-  
 121 ducer, which generates the fundamental shear-horizontal mode  
 122 perpendicular to the axis of vibration. According to author's  
 123 knowledge, no attention has been given to develop a flexible  
 124 transducer, which predominantly excites shear modes with di-  
 125 rectionality at low-frequency range (20–120 kHz).

126 This study presents a flexible transducer, which generates the  
 127 fundamental shear-horizontal mode perpendicular to the axis of  
 128 vibration. The frequency range of interest is 20–120 kHz due  
 129 to the long-range propagation capabilities of UGWs for SHM  
 130 [1]. The behavior of the proposed transducer was studied using  
 131 numerical simulations, and laboratory experiments were con-  
 132 ducted to validate the numerical results. Proposed transducers  
 133 were also installed onto a liquid storage tank with an undu-  
 134 lated surface (due to corrosion) to study the performance over a  
 135 range of frequencies. Commercially available Teletest Focus+

UGW system [25] was used to excite the transducer during both  
 laboratory and field trials.

This paper is organized as follows. Numerical simulations  
 are presented in Section II, and the manufacturing process of  
 the proposed flexible shear mode (FSM) transducer is reported  
 in Section III. Laboratory experiments and field trial results are  
 documented in Section IV. Section V presents the performance  
 review of the proposed transducer, followed by the conclusions  
 in Section VI.

## II. NUMERICAL SIMULATION

### A. Finite Element Analysis (FEA)

Finite element analysis (FEA) has been performed to study the  
 wave propagation behavior (directionality) of the proposed FSM  
 transducer. With increased complexity of the analysis, analytical  
 calculations are no longer practical [26]. A three-dimensional  
 (3-D) model was built to conduct the aforementioned numerical  
 analysis using ABAQUS/EXPLICIT version 6.13 [27]–[29]. A  
 solid transient analysis was conducted, which is governed by  
 Navier's equation of motion for an isotropic media as follows  
 [1]:

$$(\lambda + \mu) \nabla \nabla \cdot u + \mu \nabla^2 u = \rho \left( \frac{\partial^2 u}{\partial t^2} \right) \quad (2)$$

where  $\lambda$  and  $\mu$  are Lamé constants,  $u$  is the 3-D displacement  
 vector,  $\nabla^2$  is the 3-D Laplace operator, and  $\rho$  is the material den-  
 sity. Unknown potentials governing the Lamb (3) and shear (4)  
 modes can be extracted as follows by substituting the potentials  
 of Helmholtz decomposition into (2):

$$\left( \frac{\partial^2 \emptyset}{\partial t^2} \right) = c_l^2 \nabla^2 \emptyset \quad (3)$$

$$\left( \frac{\partial^2 \Phi}{\partial t^2} \right) = c_s^2 \nabla^2 \Phi \quad (4)$$

where  $\emptyset$  is the compressional scalar potential,  $\Phi$  is the equivolu-  
 minal vector potential, and  $c_l$  and  $c_s$  are the velocities of Lamb  
 and shear modes, respectively.

A circular plate was modeled with a 0.4 m radius and a thick-  
 ness of 6.25 mm. Dispersion curves for a 6.25 mm thick steel  
 plate are illustrated in Fig. 1. Dispersion curves are plotted us-  
 ing the commercially available software DISPERSE [30]. The  
 dispersion curve diagram illustrates the velocity of mode in re-  
 lation to the frequency with separate curves for each existing  
 mode in a frequency range. Based on the geometry and the oper-  
 ating frequency range of the current study, excitable modes  
 are fundamental symmetric Lamb mode S0, asymmetric Lamb  
 mode A0, and shear-horizontal mode SH0. As illustrated in  
 Fig. 1, SH0 is the only nondispersive mode in the UGW oper-  
 ating frequency range, which is of a great interest for UGW  
 inspection [1]. The size of the active ceramic plate of the pro-  
 posed FSM transducer is  $25 \times 25 \times 0.2 \text{ mm}^3$  (width, height, and  
 thickness, respectively). The overall actuator size is  $38 \times 30 \times$   
 $0.5 \text{ mm}^3$  due to the necessary encapsulation and electrical con-  
 tacts. Since the difference in volume is passive, it does not have a

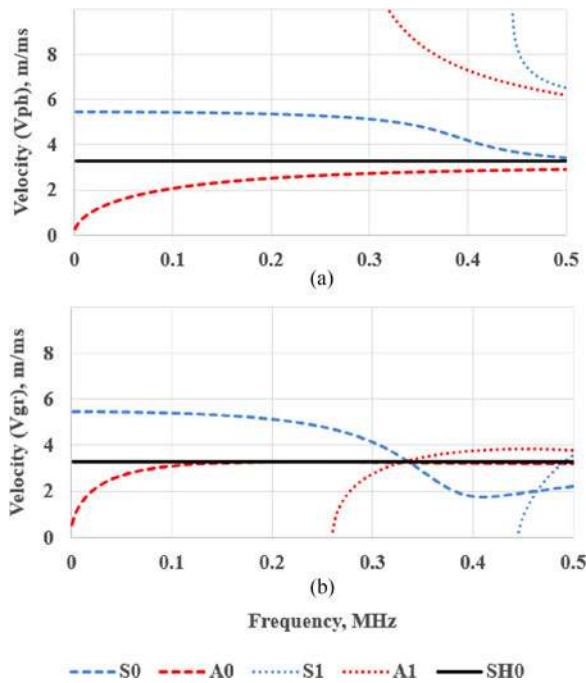


Fig. 1. Dispersion curves for a 6.25 mm thick steel plate: (a) phase velocity and (b) group velocity.

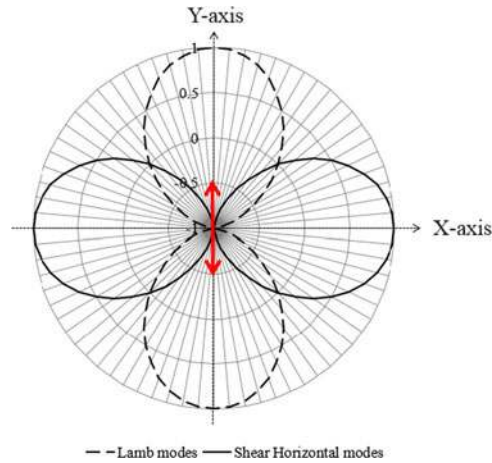


Fig. 2. Directivity pattern of the Lamb and shear-horizontal modes due to the surface shear vibration in the  $y$ -axis caused by a point source. The vertical axis represents the amplitude.

181 significant influence on the performance or symmetry of the  
182 waves generated.

183 Theoretical wave propagation directionality of a point source  
184 vibrating in-plane is illustrated in Fig. 2 [24]. Unlike Lamb  
185 modes, SH modes propagate perpendicular to the axis of vibra-  
186 tion. As shown in Fig. 2, if the transducer vibrates in the  $y$ -axis,  
187 SH mode should propagate in both  $x$ -axis directions and Lamb  
188 modes should propagate in both  $y$ -axis directions [31]. Assumed  
189 material properties for the FEA are presented in Table I. The  
190 vibration mode of the modeled PIC 255 soft PZT [32] is the 1–5  
191 thickness-shear mode.

192 Layout of the FE model is illustrated in Fig. 3. Transducer  
193 was bonded at the center of the plate using tie constraint to

TABLE I  
ASSUMED MATERIAL PROPERTIES FOR THE NUMERICAL SIMULATION

Property	Steel	PIC 255 soft PZT
Density ( $\rho$ )	7830 kg/m <sup>3</sup>	7800 kg/m <sup>3</sup>
Young's modulus ( $E$ )	207 GPa	110 GPa
Poisson's ratio ( $\nu$ )	0.3	0.36
Piezoelectric charge ( $d_{15}$ )		$550 \cdot 1e - 12$ m/V
Piezoelectric voltage ( $g_{15}$ )		$37 \cdot 1e - 3$ Vm/N
Coupling factor ( $k_{15}$ )		0.66

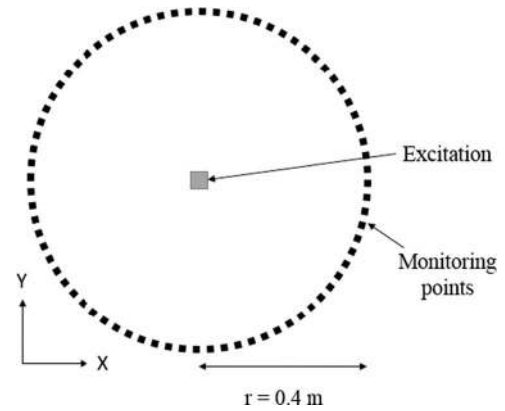


Fig. 3. Layout of the finite element model.

obtain a firm surface contact [27]. Size of mesh elements ( $h_o$ ) 194  
was in the range of 3.125–3.13 mm and calculated as follows: 195

$$h_o = \frac{c}{Nf_o} \quad (5)$$

where  $c$  is the velocity of the slowest mode,  $N$  is the number 196  
of elements per wavelength, and  $f_o$  is the frequency of interest. 197  
The linear eight node brick elements with reduced integration 198  
(ABAQUS element type C3D8R) were used to achieve efficient 199  
computation time, and the mesh refinement was such that there 200  
were at least eight elements to represent the smallest possible 201  
wavelength in the main lobe of the frequency bandwidth. This 202  
level of mesh refinement has been validated in the previous stud- 203  
ies [26], [33]. The excitation tone-burst was a 90 kHz 5-cycle 204  
Hann-windowed pulse. This particular frequency and the num- 205  
ber of cycles were chosen in order to individually identify modes 206  
due to the length limitation of the modeled plate. Transmitted 207  
signals were monitored 0.4 m away from the excitation. 208

## B. FEA Results

209 Numerical results are illustrated in Figs. 4 and 5. Fig. 4 repre- 210  
sents contour plots of propagated waves at different time incre- 211  
ments and illustrates that the SH0 mode is predominantly excited 212  
in both  $x$ -axis directions according to the coordinates shown in 213  
Fig. 2. The polar plot in Fig. 5(a) validates the above-mentioned 214  
claim and matches with the theoretical wave propagation behav- 215  
ior of a shear mode transducer as reported in the literature 216  
(see Fig. 2). The time-domain data presented in Fig. 5(b) show 217  
that SH0 is the only propagated mode at 90°. This gives the confi- 218  
dence to use the proposed FSM transducer for the tank floor 219  
inspection using UGW due to the predominant and directional 220

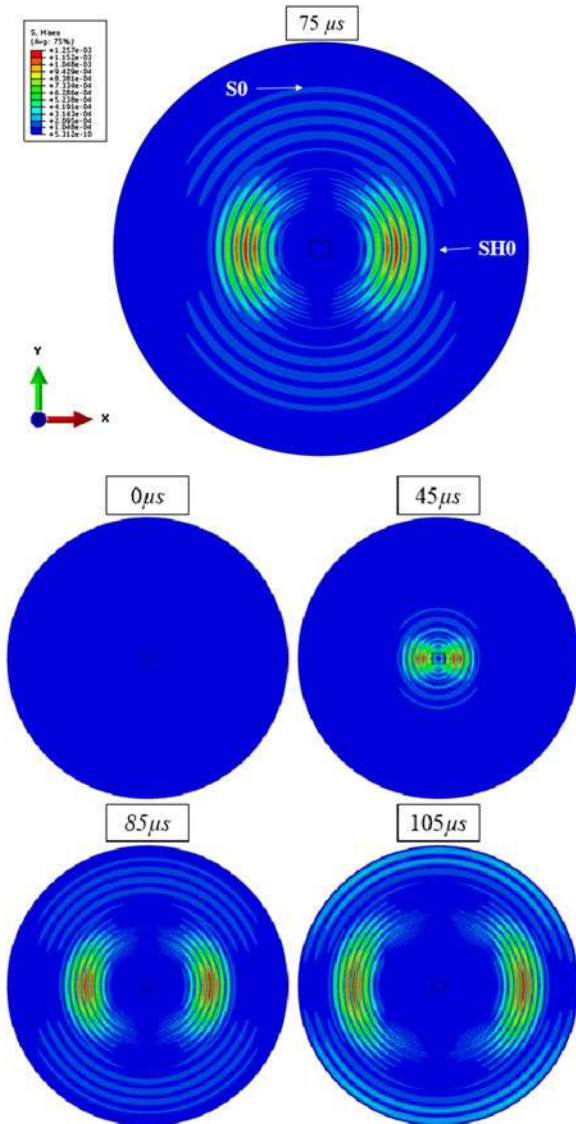


Fig. 4. FEA results; wave propagation at different time increments on a 0.4 m radius steel plate at 90 kHz and the color scale represents the von-Mises stress.

221 excitation of SH0. This is further investigated in Section IV-B  
 222 and further discussed in Section V. The first mode to arrive is  
 223 S0, which is the fastest mode, and then SH0 and A0. Time of  
 224 arrival (ToA) of each mode is presented in Table II, and ToA  
 225 can be calculated as follows:

$$\text{ToA} = \frac{x}{V_{gr}} \quad (6)$$

226 where  $x$  is the distance of propagation, and  $V_{gr}$  is the group  
 227 velocity of the mode of interest.

### III. MANUFACTURING PROCESS OF THE PROPOSED TRANSDUCER

230 The proposed FSM transducer has a similar manufacturing  
 231 process in relation to the existing DuraAct transducers, which  
 232 operates longitudinally (see Section I) [12]. Manufacturing process  
 233 of the proposed transducer is as follows. The first step of

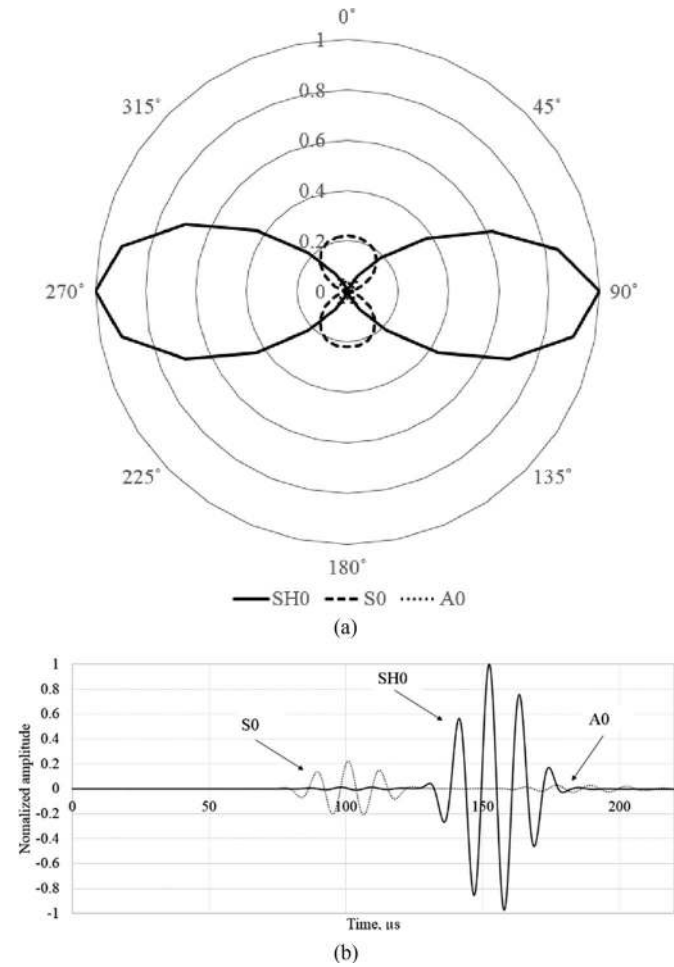


Fig. 5. Numerical modeling results at 90 kHz. (a) Polar plot illustrating the propagation directionality of the proposed shear transducer and the vertical axis represents the normalized amplitude and (b) time-domain data illustrating the monitored data at 0° (dotted line) and 90° (solid line).

TABLE II  
 NUMERICAL RESULTS—ToA OF EACH MODE MONITORED 0.4 M AWAY FROM THE POINT OF EXCITATION, WHICH CORRESPONDS TO THE INFORMATION SHOWN IN FIG. 5

Mode	Velocity, $V_{gr}$ (m/ms)	ToA ( $\mu\text{s}$ )
S0	5.4	74
SH0	3.2	125
A0	2.6	153

234 manufacturing the proposed FSM transducer is the production  
 235 of thickness-shear piezoceramic plates. The PZT material used  
 236 in the FSM transducer is PIC 255 soft PZT [32]. PZT blocks,  
 237 approximately in the dimensions of  $40 \times 30 \times 25 \text{ mm}^3$  are  
 238 polarized at 2, 5–3, 5 kV/mm. Followed by cutting blocks into  
 239 plates at 0° toward the polarization vector. The polarization is  
 240 in-plane and marked by a small notch, as illustrated in Fig. 6.  
 241 Then, electrodes are sputtered and plates are cut to final size  
 242 (dimensions of the active element are shown in Fig. 6). The  
 243 production process for these shear plates has to be carried out  
 244 in ambient temperature (i.e., significantly less than the Curie

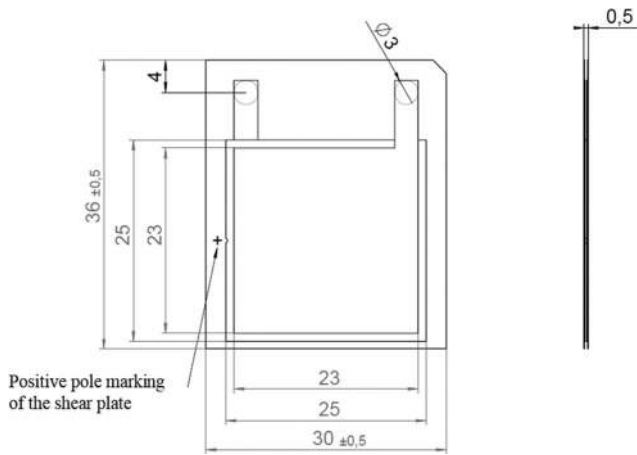


Fig. 6. Schematics of the proposed FSM transducer, dimensions are in mm. Published courtesy of PI Ceramic GmbH.

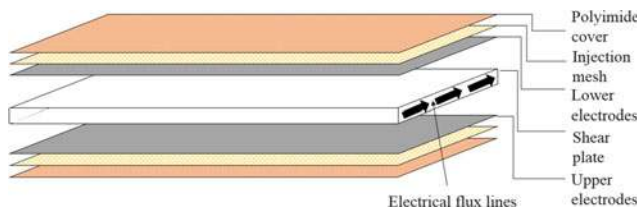


Fig. 7. Different layers in the proposed FSM transducer.

245 temperature). When an electrical signal is applied to the electrodes, the polarization vector of ferroelectric domains in the material is forced to turn toward the signal field, resulting in an opposite shear movement of electrode surfaces in the direction of the polarization field.

250 After completion of the production of shear plates, next step is the assembly process. Various layers of the proposed FSM transducer are dry-stacked in an autoclave as follows (see Fig. 7):

- 253 1) Polyimide prepreg cover,
- 254 2) Lower injection mesh (polyimide),
- 255 3) Lower collector electrodes,
- 256 4) Manufactured PZT shear plate and the positioning frame,
- 257 5) Upper collector electrodes and soldering pads,
- 258 6) Upper injection mesh, and
- 259 7) Polyimide prepreg cover.

260 This stack is then sealed on two sides. One open side is connected to a polyimide resin tank and the other is open to the autoclave's vacuum. Then, the autoclave is evacuated and heated up to  $\sim 200^\circ\text{C}$ . The vacuum draws the resin through both injection mesh layers, which is followed by the resin curing. At the final step, transducers were laser-cut from the cured stack.

266 Soldering pads were also uncovered during this process.  
 267 Curing of the transducer exerts a permanent lateral pressure of  $\sim 44$  MPa. This in return results in a much tighter potential bending radius because this inner stress has to be overcome before there is any actual negative stress in the surface of the ceramic. This will inherit the flexibility to the proposed transducer, which is an important characteristic in bonding transducers on to an irregular or curved surface. Thickness of the PZT element used in the proposed FSM transducer is 0.2 mm and

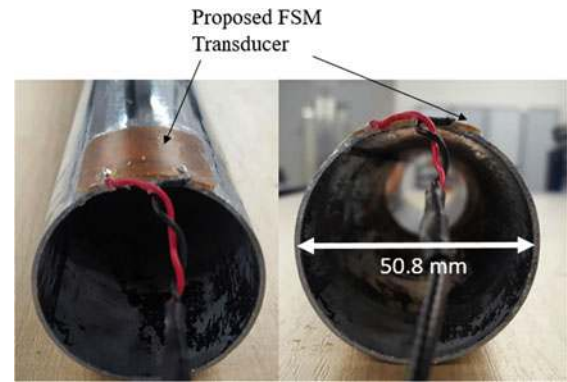


Fig. 8. Photograph of the proposed FSM transducer attached to a 50.8 mm diameter pipe to illustrate the flexibility of the transducer (illustrating 10 mm bending radius).



Fig. 9. Laboratory experimental setup.

275 according to (1), bending radius of the proposed FSM transducer is 20 mm. Photographs of the proposed FSM transducer are shown in Fig. 8. The proposed FSM transducer is attached to the edge of a 50.8 mm diameter steel pipe using acrylic adhesive showing a 10 mm bending radius for illustration purposes.

## IV. EXPERIMENTAL VALIDATION

### A. Laboratory Experiment

282 A total of 20 prototype FSM transducers were manufactured as explained in Section III by PIC GmbH [10]. These transducers were then tested under laboratory conditions to validate the FEA results in Section II-B. 1 m square 6.25 mm thick mild steel plate was chosen to match the material properties assumed for FEA in Section II-B. Retroreflective tapes [34] were attached on the plate with a radius of 0.4 m from the center of the plate. Polytec 3D PSV-400 scanning vibrometer [35] was used to monitor the signals 0.4 m away from the excitation. This type of experimental setup is validated to be accurate in order to characterize transducers in the literature by Haig *et al.* [24]. 90 kHz 5-cycle Hann-windowed pulse was used as the input tone-burst, and the transducer was driven by the commercially available UGW system Teletest. The experimental setup is illustrated in Fig. 9.

296 Laboratory experimental results are illustrated in Fig. 10. The propagation directionality of wave modes is plotted against

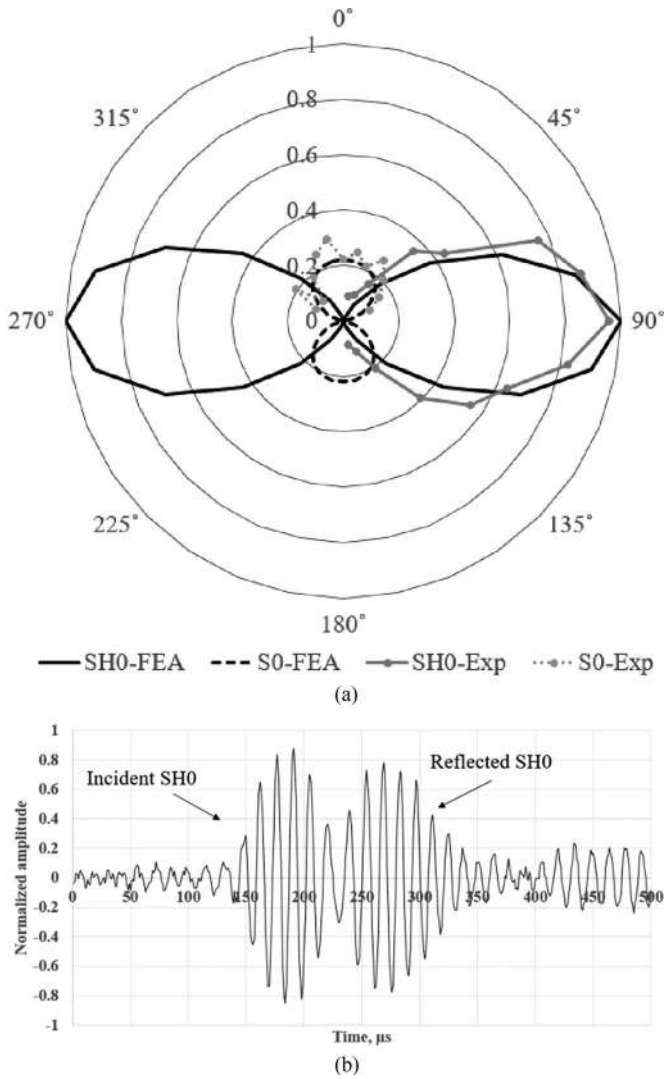


Fig. 10. Laboratory experimental results at 90 kHz. (a) Polar plot illustrating the propagation directionality of the proposed FSM transducer and the vertical axis represents the normalized amplitude and (b) time-domain data illustrating the monitored data at 90°.

298 normalized FEA results [see Fig. 10(a)]. A0 mode is eliminated  
 299 due to being buried within the noise level. Directionality patterns  
 300 of the proposed FSM transducer match with FEA results. This  
 301 illustrates that the SH0 mode can be excited perpendicular to  
 302 the axis of vibration by the proposed FSM transducer. Time-  
 303 domain data collected at 90° are illustrated in Fig. 10(b). The  
 304 first pulse to arrive is the incident SH0 followed by the reflected  
 305 SH0 from the near edge. These results can be used to verify that  
 306 the propagation direction of SH0 is perpendicular to the axis of  
 307 vibration. Numerical and experimental ToA of SH0 is presented  
 308 in Table III.

### 309 B. Field Trials

310 Field trials were performed on a 4 m diameter liquid storage  
 311 tank to study the UGW propagation across the tank floor. The  
 312 tank floor surface is undulated due to corrosion. Thickness of

TABLE III  
 COMPARISON OF NUMERICAL AND EXPERIMENTAL RESULTS—ToA OF SH0  
 MODE GENERATED BY THE PROPOSED FSM TRANSDUCER 0.4 m AWAY  
 FROM THE POINT OF EXCITATION, WHICH CORRESPONDS TO THE  
 INFORMATION SHOWN IN FIG. 10

Mode	Velocity, $V_{gr}$ (m/ms)	Numerical ToA ( $\mu$ s)	Experimental ToA ( $\mu$ s)
SH0	3.2	125	127

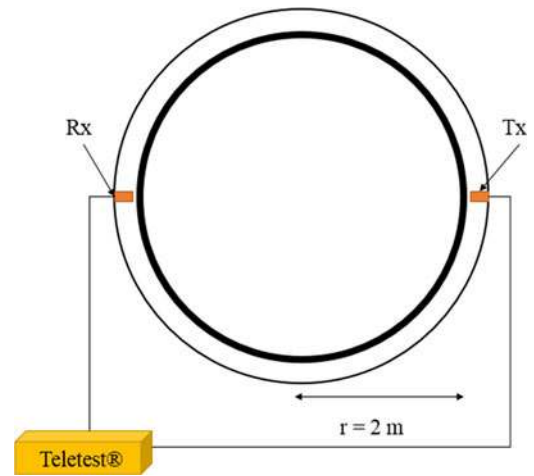


Fig. 11. Setup of the field trials and attached sensors.



Fig. 12. Attached FSM transducer on the 4 m diameter tank floor without any surface preparation.

the floor plate is 6.25 mm. Two transducers were attached on  
 opposite sides of the tank floor plate (see Fig. 11). Transducers  
 were bonded to the surface using an acrylic adhesive, and during  
 the curing process, transducers were held in place with a magnet  
 to achieve a rigid surface contact. The Teletest UGW system was  
 used to drive the FSM transducer. The data collection was in  
 pitch-catch configuration in order to identify excited modes  
 discretely. A frequency sweep was conducted from 20 to 120 kHz  
 with 1 kHz increments. Hann-windowed pulse modulation was  
 applied to excite a discrete input signal. Fig. 13 illustrates the  
 contour plot for the acquired data (frequency range of 20–120 kHz)  
 and also time-domain representation at 60 kHz.

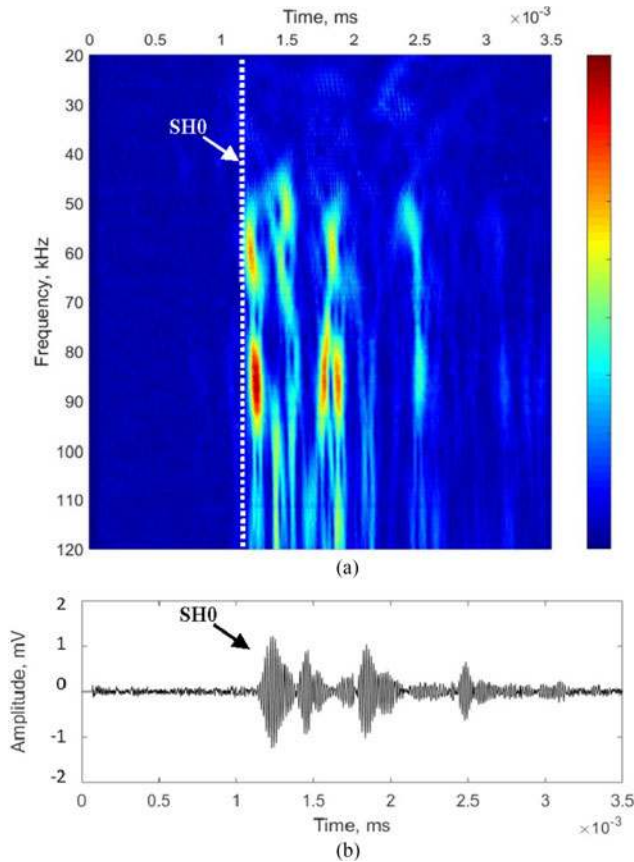


Fig. 13. Field trial results (proposed FSM transducer). (a) Contour plot over 20–120 kHz with 1 kHz increments (dashed line represents the ToA of SH0) and (b) time-domain data at 60 kHz.

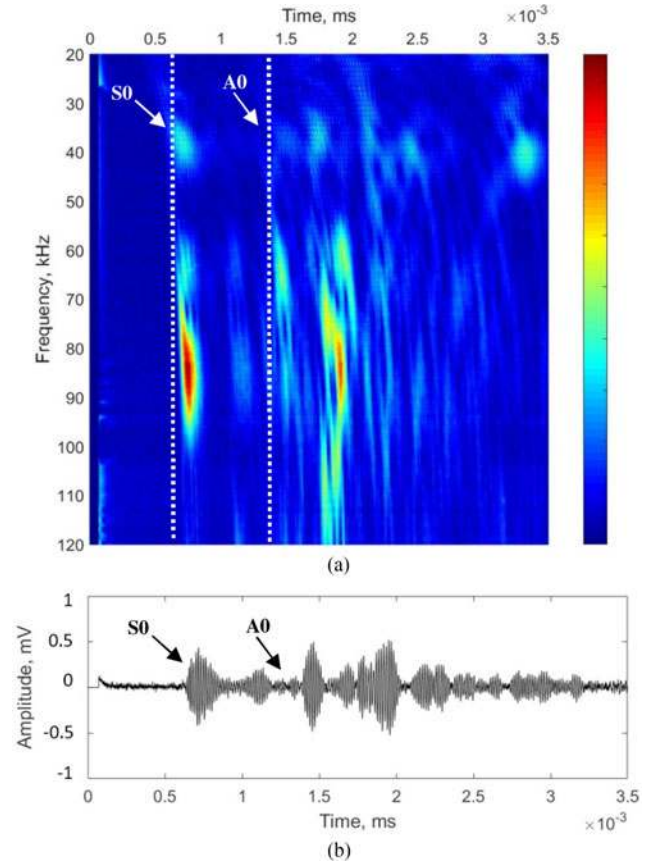


Fig. 14. Field trial results (MFC transducer [9]). (a) Contour plot over 20–120 kHz with 1 kHz increments (dashed lines represent the ToA of S0 and A0) and (b) time-domain data at 60 kHz.

## V. PERFORMANCE REVIEW OF THE PROPOSED FSM TRANSDUCER

326  
327

328 In this study, an FSM transducer was investigated to operate  
329 at the UGW operating frequency range (20–120 kHz) to excite  
330 the SH0 mode perpendicular to the axis of vibration. As  
331 illustrated in Fig. 2, the SH0 mode should propagate perpendicular  
332 to the axis of vibration. However, commercially available  
333 flexible MFC transducers excite SH0 diagonally rather than  
334 perpendicular to the axis of vibration [24]. This complicates  
335 the signal processing and transducer bonding process. In order  
336 to overcome this problem, PIC investigated on modifying their  
337 current DuraAct patch transducers to excite the SH0 mode for  
338 low-frequency UGW applications.

### A. Numerical Simulations and Laboratory Trials

340 An FEA was performed to study the characteristics and directionality  
341 of the proposed FSM transducer. FEA results are illustrated in  
342 Figs. 4 and 5. These results illustrate that the propagation of  
343 SH0 is perpendicular to the axis of vibration. SH0 has been  
344 predominantly excited compared to S0 and A0 modes. Time-domain  
345 results in Fig. 5 illustrate that the A0 mode has comparatively  
346 low amplitude, which can be neglected in experimental trials as  
347 it is buried within the 6 dB noise level.

348 Laboratory trials were conducted to validate FEA results  
349 in Section II-B. Experimental results are in good agreement

350 with FEA results, which corresponds to the directionality of  
351 the FSM transducer. Time-domain data received at 90° shown  
352 in Fig. 10(b) confirms the directional excitation of SH0. Only  
353 pulses present are the incident SH0 mode and the reflected SH0  
354 mode from the near edge [see Fig. 10(b)]. Table III summarizes  
355 the ToA of SH0 from FEA and experimental trials; it has an  
356 error of 2  $\mu$ s, which is due to the potential assumed material  
357 property mismatch.

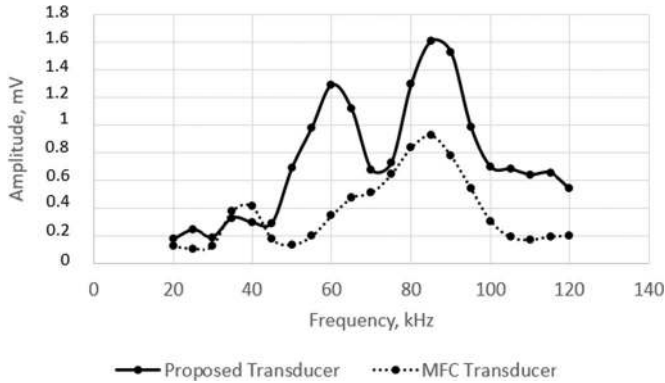
### B. Field Trials

358 As explained in Section I, the primary application of the proposed  
359 FSM is to excite the SH0 mode to examine the structural health  
360 of above ground liquid storage tank floors. Therefore, FSM  
361 transducers were installed on a field tank to study the amplitude  
362 response over a range of frequencies. The dashed line in  
363 Fig. 13(a) highlights the ToA of the SH0 mode and it is also  
364 the first mode to arrive. The fastest mode (S0) in the UGW  
365 frequency range is suppressed due to the directional excitation  
366 of SH0.  
367

368 Commercially available MFC transducers are also investigated  
369 in this study as they are currently used to inspect the tank  
370 floor using Lamb modes [36]. The same experimental setup is  
371 used, as illustrated in Fig. 11. MFC transducers use only S0  
372 for inspection due to the complex propagation directionality of  
373 SH0 [24]. Experimental results are illustrated in Fig. 14 and

**TABLE IV**  
FIELD TRIAL RESULTS OF THE PROPOSED FSM AND MFC [9] TRANSDUCERS—TOA OF EACH MONITORED MODE 4 M AWAY FROM THE POINT OF EXCITATION COMPARED TO THE EXPECTED TOA, WHICH CORRESPONDS TO THE RESULTS SHOWN IN FIGS. 13 AND 14

	S0	SH0	A0
Excitation	MFC	FSM	MFC
Velocity, $V_{gr}$ (m/ms)	5.4	3.2	2.6
Expected ToA ( $\mu$ s)	740	1250	1538
Monitored ToA ( $\mu$ s)	698	1214	1356
Error (%)	5.6	2.8	11.8



**Fig. 15.** Amplitude response of investigated transducers (proposed FSM and MFC [9] transducers) over a range of frequencies.

lines in contour plot represent the ToA of S0 and A0. First mode to arrive is the fastest S0 mode. The monitored field trial ToA information is summarized against the expected ToA at 60 kHz in Table IV.

Amplitude response over a range of frequencies for the mode of interest from FSM and MFC (SH0 and S0, respectively) is summarized in Fig. 15. Based on the results illustrated in Fig. 15, FSM transducer has high amplitude response compared to the MFC transducer for the studied application. Both transducers investigated in this study exhibit a broadband frequency response; however, due to the excitability, it shows a high amplitude response at the regions of 55–65 and 80–90 kHz for both transducers. The excitability of a particular mode is defined by the ratio of surface displacement of that mode to applied force when both quantities are measured at the location of interest and the direction [37]. The surface displacement caused by a transducer depends on the material and geometric features of the structure under inspection, which, therefore, cause high amplitude response for certain frequencies due to resonance [38].

### C. Applications and Further Work

Based on the characteristics of the proposed FSM transducer, it can be used to assess the structural degradation of assets using UGW for following applications:

- 1) Tank floor inspection,
- 2) Pipe inspection,
- 3) Bridge inspection,
- 4) Railway rail inspection, and
- 5) Composite inspection.

The FSM transducer has a better surface contact compared to the conventional rigid UGW transducers due to its flexibility. However, adaptability of the FSM transducer to other structures has to be investigated and quantified in future studies. Furthermore, defect sensitivity and performance at elevated temperature of the proposed FSM transducer have to be assessed in relation to SHM applications mentioned above. A controlled experiment can be conducted by introducing a growing defect to acquire data over elevating temperatures. The proposed transducer also has the potential to be used in medical applications to obtain a better contact due to its flexibility; this will be investigated in future studies.

## VI. CONCLUSION

SHM using UGW is a mature field but can be advanced by achieving higher quality assessment of structural health. There is a gap in the knowledge to improve UGW transducers and their surface contact with structures. As a solution, much research has been conducted on flexible transducers for UGW applications. The present study investigated a flexible transducer, which can be used to excite the SH0 mode perpendicular to the axis of vibration. The proposed FSM transducer can be used to advance the quality of UGW inspection on structural health. The directionality of the proposed transducer is investigated using FEA, and numerical results are validated using the 3-D laser vibrometer under laboratory conditions. Then, field trials were performed to investigate the amplitude response over a frequency range of 20–120 kHz on a liquid storage tank. Commercially available Teletest Focus+ UGW system was used in both laboratory and field experiments to drive the transducer. The proposed transducer can improve the surface contact on irregular surfaces as an inherent feature. Compared to the commercially available MFC transducer, FSM transducer has a high amplitude response. This transducer can also ease the signal processing due to the directional excitation of SH0 and open up new applications of SHM using UGW.

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## Queries

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Q1. Author: Please note that Refs. [18] and [20] were identical, and Ref. [20] has been deleted. The subsequent references have been renumbered. Please check.	667
Q2. Author: Please provide the citation of Fig. 12 in the text.	668
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Q5. Author: Please provide the areas of study in which Premesh S. Lowe received the Ph.D. degree and Tat-Hean Gan received the Executive MBA degree.	671
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Q12. Author: Please provide the educational details of Cem Selcuk.	678

# Flexible Shear Mode Transducer for Structural Health Monitoring Using Ultrasonic Guided Waves

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**Abstract**—The application of the fundamental shear-horizontal wave mode for guided wave structural health monitoring is undoubtedly beneficial due to its nondispersive characteristics. Existing guided wave shear mode transducers are rigid and brittle, because of these characteristics, bonding them to irregular surfaces (i.e., curved surfaces) is challenging. There is a huge market interest in the development of a flexible shear mode transducer, which eases the transducer bonding process onto irregular surfaces and improves the surface contact between the transducer and the structure. This study presents a flexible shear mode transducer for structural health monitoring using low-frequency guided waves (20–120 kHz). The proposed transducer is manufactured using piezoceramic, and based on the results of this study, it exhibits the directional excitation of fundamental shear-horizontal mode at 20–120 kHz. Finite element analysis and laboratory experiments were conducted to study the behavior of the proposed transducer. Field trials were conducted on a liquid storage tank with an undulated surface (due to corrosion). The performance of the proposed transducer is also compared to the commercially available macro fiber composite transducers. The proposed transducer was driven by the industrialized ultrasonic guided wave inspection system; Teletest Focus+ in line with the application of tank floor inspection using ultrasonic guided waves.

**Index Terms**—Directionality, flexibility, industrialization, shear mode transducer, structural health monitoring (SHM), ultrasonic guided wave (UGW).

## I. INTRODUCTION

STRUCTURAL health monitoring (SHM) of degrading engineering structures due to various factors (such as corrosion) is important as degradation could lead to structural

instability causing harm to the industry and the environment. Low-frequency (20–120 kHz) ultrasonic guided waves (UGWs) are widely used to inspect the structural health of elongated structures (i.e., pipes, plates, rails, cables) due to their inherent feature of long-range propagation [1]. Commercial UGW systems have evolved vastly over two decades to fulfill industry requirements. The development of UGW transducers is a core aspect with great interest, particularly for the inspection of complex structures (such as floor of liquid storage tanks). Early research on transducer development for commercial UGW systems has been conducted by Alleyne and Cawley, where they developed a transducer with thickness-shear motion to excite Lamb waves on a plate [2]. The development of flexible transducers for UGW application became imperative since early 2000 with the interest of inspecting structures with curved and/or irregular surfaces [3], [4].

Piezoelectric films (polyvinylidene fluoride, PVDF) is one of the earliest flexible transducers for UGW application [5]. PVDF transducers are flexible and durable. Compared to lead zirconate titanate (PZT), PVDF requires higher actuation power and heavy amplification. PVDFs work better in a higher frequency range (0.5–4 MHz) [5], and this limits the long-range propagation capabilities of UGW due to the typically higher attenuation rates at these frequencies. Another transducer was developed by combining the electromechanical efficiency of PZT with mechanical flexibility. Examples of these are active fiber composite (AFC) and macro fiber composite (MFC) [3]. AFC and MFC are thin piezoceramic fibers that are aligned unidirectionally and exploit the use of interdigitated electrodes, which deliver a stronger longitudinal piezoelectric effect along the length of fibers [4]. This is performed by applying an electric field in the direction of fiber axis, which in turn generates a stronger longitudinal ( $d_{33}$  constant) piezoelectric effect. AFCs operate in low hundreds of kHz range [6] and MFCs operate in tens of kHz to MHz range [7], [8]. The main advantage of MFCs in comparison to AFCs and PVDFs is the reduced manufacturing cost. MFCs are mass manufactured and distributed by Smart Material Corp. [9].

The patch transducer is a piezoceramic-based flexible transducer (commercial name—DuraAct), which was designed by German Aerospace Centre and is manufactured and distributed by PI Ceramic (PIC) GmbH [10]. The DuraAct transducer operates in kHz to MHz range depending on the application requirement [11]. DuraAct transducers were initially used to detect the

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82 impact of a tail boom structure in 2010 using guided waves  
 83 [12]. Since then, DuraAct transducers are used for many ap-  
 84 plications using UGWs in different frequency ranges. DuraAct  
 85 transducers have gained a higher interest in the Aerospace in-  
 86 dustry to inspect composite materials [13], [14]. Compared to  
 87 other flexible transducers, DuraAct transducers have proven to  
 88 survive under harsh environmental conditions [15]. Standard  
 89 MFCs cannot operate beyond 80°C, whereas DuraAct can oper-  
 90 ate up to 150–200°C, though the mechanical flexibility is limited  
 91 at these temperatures. Lifespan of piezoceramic patch transduc-  
 92 ers was investigated in 2007 [16]. A key parameter investigated  
 93 in this study was the flexibility of piezoceramic patch transduc-  
 94 ers by studying the performance of the transducer under tensile  
 95 stress. As an outcome, minimum bending radius ( $r_{\min}$ ) can be  
 96 calculated as follows and it depends on the ceramic thickness:

$$r_{\min} = 8.e^{t.4.4} \quad (1)$$

97 where  $e$  is the Euler constant, and  $t$  is the thickness of the  
 98 ceramic layer in mm.

99 Common features of the aforementioned flexible transducers  
 100 (PVDF, AFC, MFC, and DuraAct) are flexibility, lightweight  
 101 [17], and more importantly, these transducers predominantly  
 102 excite Lamb modes [18]. According to the literature, research  
 103 on flexible transducer development was limited to excite Lamb  
 104 modes [18], [19] or they operate at a higher frequency range  
 105 (above 0.5 MHz) [20]–[22].

106 As stated in the literature, the most preferable UGW mode for  
 107 the inspection of liquid storage tank floors is the fundamental  
 108 shear-horizontal wave mode due to the relatively low attenua-  
 109 tion and dispersion rates [1], [23]. Based on surface irregularities  
 110 caused by the corrosion, transducers have to be flexible and can-  
 111 not be rigid and/or brittle for this application. Currently, com-  
 112 mercially available MFC transducers are used to obtain a better  
 113 surface contact compared to rigid transducers [9]. However,  
 114 based on directionality patterns of the MFC transducer, only the  
 115 fundamental symmetric Lamb mode can be used for inspection,  
 116 as the fundamental shear mode is excited in the diagonal direc-  
 117 tion, which complicates the signal processing [24]. The MFC  
 118 transducer generates both fundamental Lamb modes in one di-  
 119 rection, which complicates the signal interpretation. There is a  
 120 higher market interest in the development of a flexible trans-  
 121 ducer, which generates the fundamental shear-horizontal mode  
 122 perpendicular to the axis of vibration. According to author's  
 123 knowledge, no attention has been given to develop a flexible  
 124 transducer, which predominantly excites shear modes with di-  
 125 rectionality at low-frequency range (20–120 kHz).

126 This study presents a flexible transducer, which generates the  
 127 fundamental shear-horizontal mode perpendicular to the axis of  
 128 vibration. The frequency range of interest is 20–120 kHz due  
 129 to the long-range propagation capabilities of UGWs for SHM  
 130 [1]. The behavior of the proposed transducer was studied using  
 131 numerical simulations, and laboratory experiments were con-  
 132 ducted to validate the numerical results. Proposed transducers  
 133 were also installed onto a liquid storage tank with an undu-  
 134 lated surface (due to corrosion) to study the performance over a  
 135 range of frequencies. Commercially available Teletest Focus+

UGW system [25] was used to excite the transducer during both  
 laboratory and field trials.

This paper is organized as follows. Numerical simulations  
 are presented in Section II, and the manufacturing process of  
 the proposed flexible shear mode (FSM) transducer is reported  
 in Section III. Laboratory experiments and field trial results are  
 documented in Section IV. Section V presents the performance  
 review of the proposed transducer, followed by the conclusions  
 in Section VI.

## II. NUMERICAL SIMULATION

### A. Finite Element Analysis (FEA)

Finite element analysis (FEA) has been performed to study the  
 wave propagation behavior (directionality) of the proposed FSM  
 transducer. With increased complexity of the analysis, analytical  
 calculations are no longer practical [26]. A three-dimensional  
 (3-D) model was built to conduct the aforementioned numerical  
 analysis using ABAQUS/EXPLICIT version 6.13 [27]–[29]. A  
 solid transient analysis was conducted, which is governed by  
 Navier's equation of motion for an isotropic media as follows  
 [1]:

$$(\lambda + \mu) \nabla \nabla \cdot u + \mu \nabla^2 u = \rho \left( \frac{\partial^2 u}{\partial t^2} \right) \quad (2)$$

where  $\lambda$  and  $\mu$  are Lamé constants,  $u$  is the 3-D displacement  
 vector,  $\nabla^2$  is the 3-D Laplace operator, and  $\rho$  is the material den-  
 sity. Unknown potentials governing the Lamb (3) and shear (4)  
 modes can be extracted as follows by substituting the potentials  
 of Helmholtz decomposition into (2):

$$\left( \frac{\partial^2 \emptyset}{\partial t^2} \right) = c_l^2 \nabla^2 \emptyset \quad (3)$$

$$\left( \frac{\partial^2 \Phi}{\partial t^2} \right) = c_s^2 \nabla^2 \Phi \quad (4)$$

where  $\emptyset$  is the compressional scalar potential,  $\Phi$  is the equivolu-  
 minal vector potential, and  $c_l$  and  $c_s$  are the velocities of Lamb  
 and shear modes, respectively.

A circular plate was modeled with a 0.4 m radius and a thick-  
 ness of 6.25 mm. Dispersion curves for a 6.25 mm thick steel  
 plate are illustrated in Fig. 1. Dispersion curves are plotted us-  
 ing the commercially available software DISPERSE [30]. The  
 dispersion curve diagram illustrates the velocity of mode in re-  
 lation to the frequency with separate curves for each existing  
 mode in a frequency range. Based on the geometry and the oper-  
 ating frequency range of the current study, excitable modes  
 are fundamental symmetric Lamb mode S0, asymmetric Lamb  
 mode A0, and shear-horizontal mode SH0. As illustrated in  
 Fig. 1, SH0 is the only nondispersive mode in the UGW oper-  
 ating frequency range, which is of a great interest for UGW  
 inspection [1]. The size of the active ceramic plate of the pro-  
 posed FSM transducer is  $25 \times 25 \times 0.2 \text{ mm}^3$  (width, height, and  
 thickness, respectively). The overall actuator size is  $38 \times 30 \times$   
 $0.5 \text{ mm}^3$  due to the necessary encapsulation and electrical con-  
 tacts. Since the difference in volume is passive, it does not have a

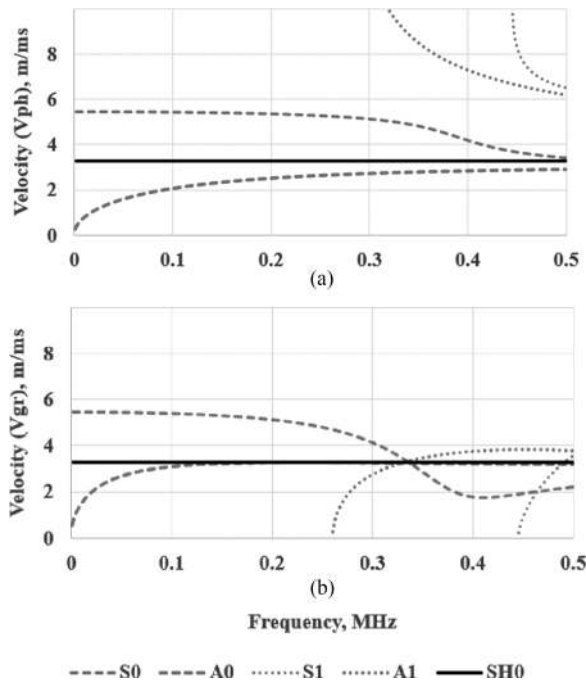


Fig. 1. Dispersion curves for a 6.25 mm thick steel plate: (a) phase velocity and (b) group velocity.

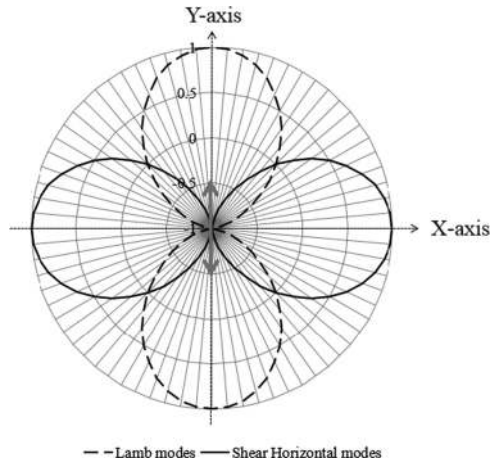


Fig. 2. Directivity pattern of the Lamb and shear-horizontal modes due to the surface shear vibration in the  $y$ -axis caused by a point source. The vertical axis represents the amplitude.

181 significant influence on the performance or symmetry of the  
182 waves generated.

183 Theoretical wave propagation directionality of a point source  
184 vibrating in-plane is illustrated in Fig. 2 [24]. Unlike Lamb  
185 modes, SH modes propagate perpendicular to the axis of vibra-  
186 tion. As shown in Fig. 2, if the transducer vibrates in the  $y$ -axis,  
187 SH mode should propagate in both  $x$ -axis directions and Lamb  
188 modes should propagate in both  $y$ -axis directions [31]. Assumed  
189 material properties for the FEA are presented in Table I. The  
190 vibration mode of the modeled PIC 255 soft PZT [32] is the 1–5  
191 thickness-shear mode.

192 Layout of the FE model is illustrated in Fig. 3. Transducer  
193 was bonded at the center of the plate using tie constraint to

TABLE I  
ASSUMED MATERIAL PROPERTIES FOR THE NUMERICAL SIMULATION

Property	Steel	PIC 255 soft PZT
Density ( $\rho$ )	7830 kg/m <sup>3</sup>	7800 kg/m <sup>3</sup>
Young's modulus ( $E$ )	207 GPa	110 GPa
Poisson's ratio ( $\nu$ )	0.3	0.36
Piezoelectric charge ( $d_{15}$ )		$550 \cdot 1e - 12$ m/V
Piezoelectric voltage ( $g_{15}$ )		$37 \cdot 1e - 3$ Vm/N
Coupling factor ( $k_{15}$ )		0.66

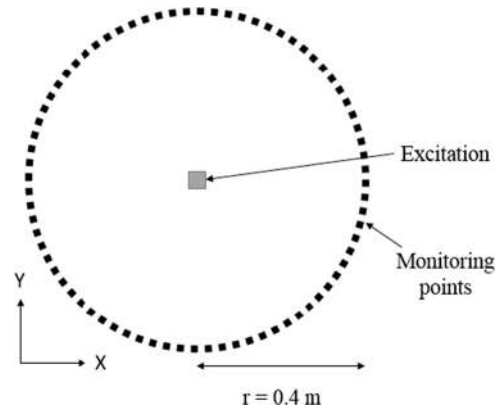


Fig. 3. Layout of the finite element model.

obtain a firm surface contact [27]. Size of mesh elements ( $h_o$ ) 194  
was in the range of 3.125–3.13 mm and calculated as follows: 195

$$h_o = \frac{c}{Nf_o} \quad (5)$$

where  $c$  is the velocity of the slowest mode,  $N$  is the number 196  
of elements per wavelength, and  $f_o$  is the frequency of interest. 197  
The linear eight node brick elements with reduced integration 198  
(ABAQUS element type C3D8R) were used to achieve efficient 199  
computation time, and the mesh refinement was such that there 200  
were at least eight elements to represent the smallest possible 201  
wavelength in the main lobe of the frequency bandwidth. This 202  
level of mesh refinement has been validated in the previous stud- 203  
ies [26], [33]. The excitation tone-burst was a 90 kHz 5-cycle 204  
Hann-windowed pulse. This particular frequency and the num- 205  
ber of cycles were chosen in order to individually identify modes 206  
due to the length limitation of the modeled plate. Transmitted 207  
signals were monitored 0.4 m away from the excitation. 208

## B. FEA Results

209 Numerical results are illustrated in Figs. 4 and 5. Fig. 4 repre- 210  
sents contour plots of propagated waves at different time incre- 211  
ments and illustrates that the SH0 mode is predominantly excited 212  
in both  $x$ -axis directions according to the coordinates shown in 213  
Fig. 2. The polar plot in Fig. 5(a) validates the above-mentioned 214  
claim and matches with the theoretical wave propagation behav- 215  
ior of a shear mode transducer as reported in the literature 216  
(see Fig. 2). The time-domain data presented in Fig. 5(b) show 217  
that SH0 is the only propagated mode at 90°. This gives the confi- 218  
dence to use the proposed FSM transducer for the tank floor 219  
inspection using UGW due to the predominant and directional 220

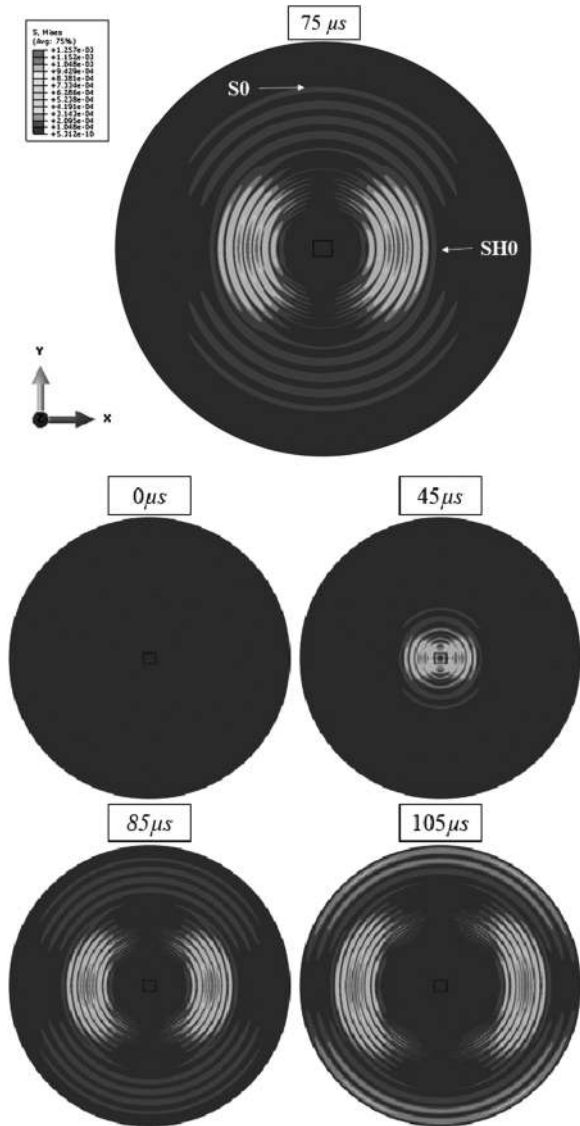


Fig. 4. FEA results; wave propagation at different time increments on a 0.4 m radius steel plate at 90 kHz and the color scale represents the von-Mises stress.

221 excitation of SH0. This is further investigated in Section IV-B  
 222 and further discussed in Section V. The first mode to arrive is  
 223 S0, which is the fastest mode, and then SH0 and A0. Time of  
 224 arrival (ToA) of each mode is presented in Table II, and ToA  
 225 can be calculated as follows:

$$\text{ToA} = \frac{x}{V_{gr}} \quad (6)$$

226 where  $x$  is the distance of propagation, and  $V_{gr}$  is the group  
 227 velocity of the mode of interest.

### 228 III. MANUFACTURING PROCESS OF THE 229 PROPOSED TRANSDUCER

230 The proposed FSM transducer has a similar manufacturing  
 231 process in relation to the existing DuraAct transducers, which  
 232 operates longitudinally (see Section I) [12]. Manufacturing pro-  
 233 cess of the proposed transducer is as follows. The first step of

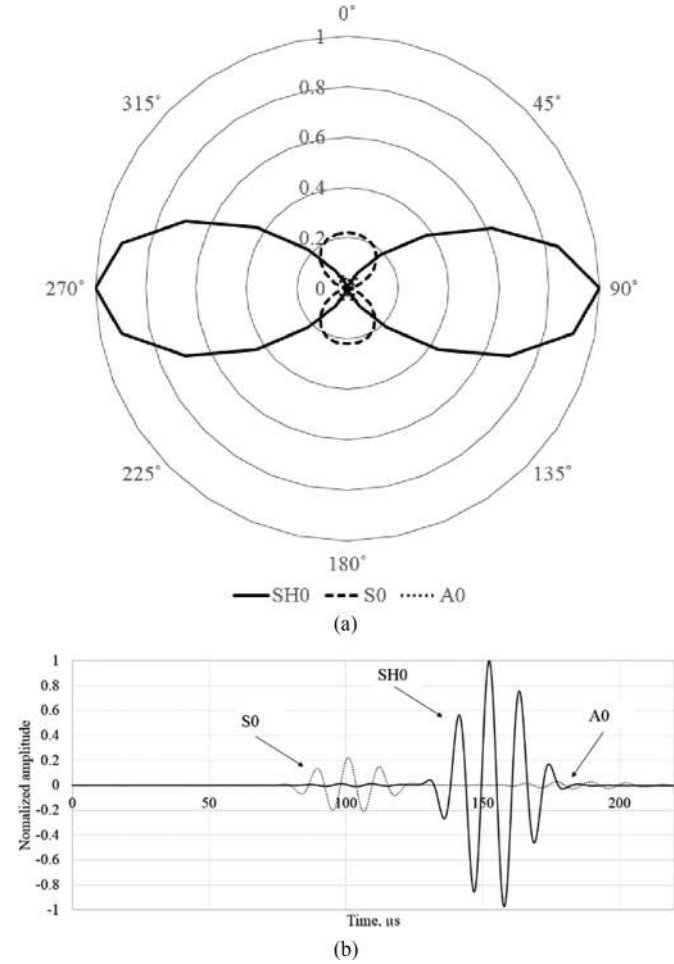


Fig. 5. Numerical modeling results at 90 kHz. (a) Polar plot illustrating the propagation directionality of the proposed shear transducer and the vertical axis represents the normalized amplitude and (b) time-domain data illustrating the monitored data at 0° (dotted line) and 90° (solid line).

TABLE II  
 NUMERICAL RESULTS—ToA OF EACH MODE MONITORED 0.4 M AWAY  
 FROM THE POINT OF EXCITATION, WHICH CORRESPONDS TO THE  
 INFORMATION SHOWN IN FIG. 5

Mode	Velocity, $V_{gr}$ (m/ms)	ToA ( $\mu$ s)
S0	5.4	74
SH0	3.2	125
A0	2.6	153

234 manufacturing the proposed FSM transducer is the production  
 235 of thickness-shear piezoceramic plates. The PZT material used  
 236 in the FSM transducer is PIC 255 soft PZT [32]. PZT blocks,  
 237 approximately in the dimensions of  $40 \times 30 \times 25 \text{ mm}^3$  are  
 238 polarized at 2, 5–3, 5 kV/mm. Followed by cutting blocks into  
 239 plates at 0° toward the polarization vector. The polarization is  
 240 in-plane and marked by a small notch, as illustrated in Fig. 6.  
 241 Then, electrodes are sputtered and plates are cut to final size  
 242 (dimensions of the active element are shown in Fig. 6). The  
 243 production process for these shear plates has to be carried out  
 244 in ambient temperature (i.e., significantly less than the Curie

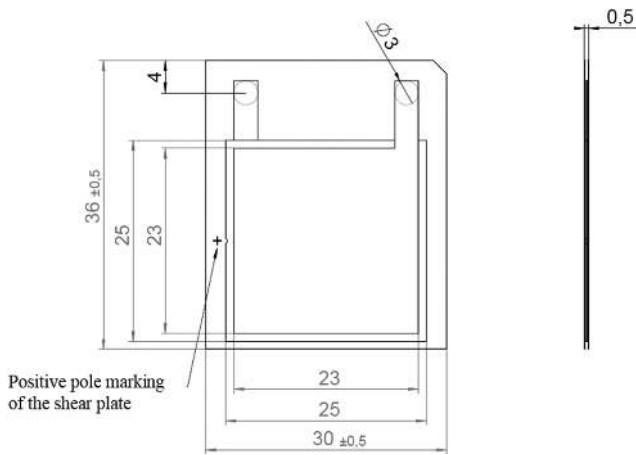


Fig. 6. Schematics of the proposed FSM transducer, dimensions are in mm. Published courtesy of PI Ceramic GmbH.

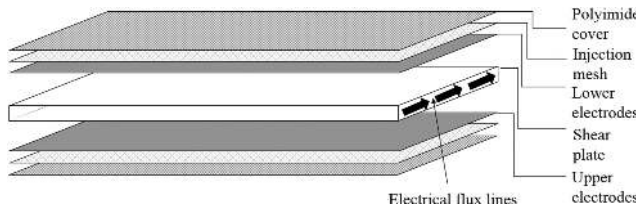


Fig. 7. Different layers in the proposed FSM transducer.

245 temperature). When an electrical signal is applied to the electrodes, the polarization vector of ferroelectric domains in the material, the polarization vector of ferroelectric domains in the material, is forced to turn toward the signal field, resulting in an opposite shear movement of electrode surfaces in the direction of the polarization field.

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250 After completion of the production of shear plates, next step is the assembly process. Various layers of the proposed FSM transducer are dry-stacked in an autoclave as follows (see Fig. 7):

- 251 1) Polyimide prepreg cover,
- 252 2) Lower injection mesh (polyimide),
- 253 3) Lower collector electrodes,
- 254 4) Manufactured PZT shear plate and the positioning frame,
- 255 5) Upper collector electrodes and soldering pads,
- 256 6) Upper injection mesh, and
- 257 7) Polyimide prepreg cover.

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260 This stack is then sealed on two sides. One open side is connected to a polyimide resin tank and the other is open to the autoclave's vacuum. Then, the autoclave is evacuated and heated up to ~200°C. The vacuum draws the resin through both injection mesh layers, which is followed by the resin curing. At the final step, transducers were laser-cut from the cured stack.

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267 Soldering pads were also uncovered during this process.  
268 Curing of the transducer exerts a permanent lateral pressure of ~44 MPa. This in return results in a much tighter potential bending radius because this inner stress has to be overcome before there is any actual negative stress in the surface of the ceramic. This will inherit the flexibility to the proposed transducer, which is an important characteristic in bonding transducers on to an irregular or curved surface. Thickness of the PZT element used in the proposed FSM transducer is 0.2 mm and

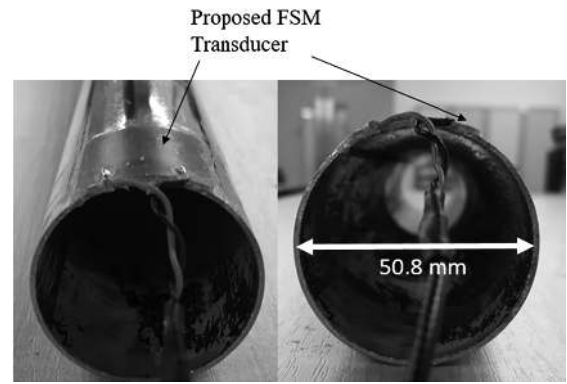


Fig. 8. Photograph of the proposed FSM transducer attached to a 50.8 mm diameter pipe to illustrate the flexibility of the transducer (illustrating 10 mm bending radius).

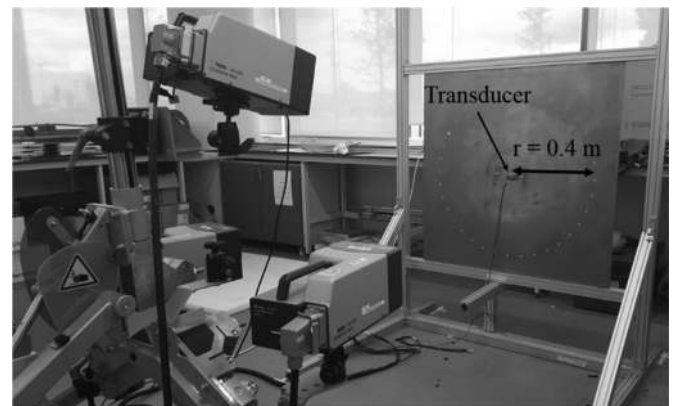


Fig. 9. Laboratory experimental setup.

275 according to (1), bending radius of the proposed FSM transducer is 20 mm. Photographs of the proposed FSM transducer are shown in Fig. 8. The proposed FSM transducer is attached to the edge of a 50.8 mm diameter steel pipe using acrylic adhesive showing a 10 mm bending radius for illustration purposes.

#### IV. EXPERIMENTAL VALIDATION

##### A. Laboratory Experiment

282 A total of 20 prototype FSM transducers were manufactured as explained in Section III by PIC GmbH [10]. These transducers were then tested under laboratory conditions to validate the FEA results in Section II-B. 1 m square 6.25 mm thick mild steel plate was chosen to match the material properties assumed for FEA in Section II-B. Retroreflective tapes [34] were attached on the plate with a radius of 0.4 m from the center of the plate. Polytec 3D PSV-400 scanning vibrometer [35] was used to monitor the signals 0.4 m away from the excitation. This type of experimental setup is validated to be accurate in order to characterize transducers in the literature by Haig *et al.* [24]. 90 kHz 5-cycle Hann-windowed pulse was used as the input tone-burst, and the transducer was driven by the commercially available UGW system Teletest. The experimental setup is illustrated in Fig. 9.

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297 Laboratory experimental results are illustrated in Fig. 10. The propagation directionality of wave modes is plotted against



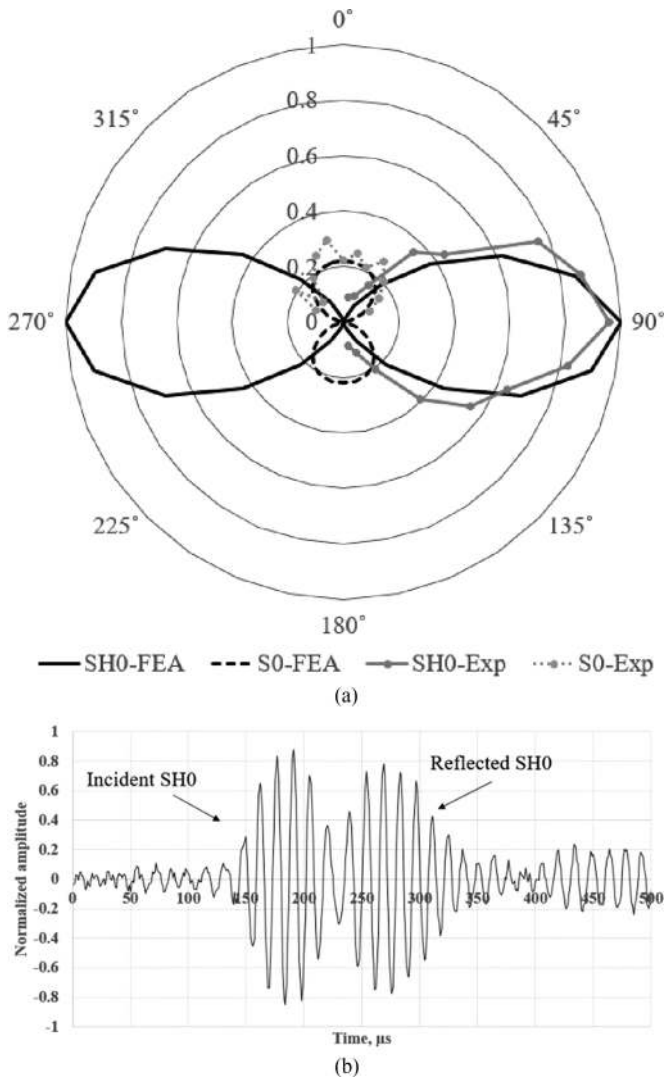


Fig. 10. Laboratory experimental results at 90 kHz. (a) Polar plot illustrating the propagation directionality of the proposed FSM transducer and the vertical axis represents the normalized amplitude and (b) time-domain data illustrating the monitored data at 90°.

298 normalized FEA results [see Fig. 10(a)]. A0 mode is eliminated  
 299 due to being buried within the noise level. Directionality patterns  
 300 of the proposed FSM transducer match with FEA results. This  
 301 illustrates that the SH0 mode can be excited perpendicular to  
 302 the axis of vibration by the proposed FSM transducer. Time-  
 303 domain data collected at 90° are illustrated in Fig. 10(b). The  
 304 first pulse to arrive is the incident SH0 followed by the reflected  
 305 SH0 from the near edge. These results can be used to verify that  
 306 the propagation direction of SH0 is perpendicular to the axis of  
 307 vibration. Numerical and experimental ToA of SH0 is presented  
 308 in Table III.

### 309 B. Field Trials

310 Field trials were performed on a 4 m diameter liquid storage  
 311 tank to study the UGW propagation across the tank floor. The  
 312 tank floor surface is undulated due to corrosion. Thickness of

TABLE III  
 COMPARISON OF NUMERICAL AND EXPERIMENTAL RESULTS—ToA OF SH0  
 MODE GENERATED BY THE PROPOSED FSM TRANSDUCER 0.4 M AWAY  
 FROM THE POINT OF EXCITATION, WHICH CORRESPONDS TO THE  
 INFORMATION SHOWN IN FIG. 10

Mode	Velocity, $V_{gr}$ (m/ms)	Numerical ToA ( $\mu$ s)	Experimental ToA ( $\mu$ s)
SH0	3.2	125	127

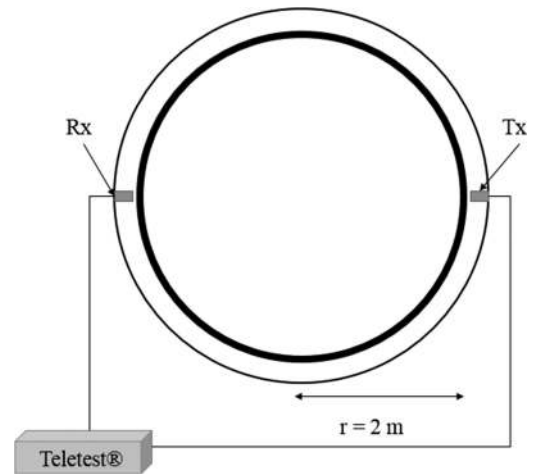


Fig. 11. Setup of the field trials and attached sensors.



Fig. 12. Attached FSM transducer on the 4 m diameter tank floor without any surface preparation.

the floor plate is 6.25 mm. Two transducers were attached on  
 opposite sides of the tank floor plate (see Fig. 11). Transducers  
 were bonded to the surface using an acrylic adhesive, and during  
 the curing process, transducers were held in place with a magnet  
 to achieve a rigid surface contact. The Teletest UGW system was  
 used to drive the FSM transducer. The data collection was in  
 pitch-catch configuration in order to identify excited modes  
 discretely. A frequency sweep was conducted from 20 to 120 kHz  
 with 1 kHz increments. Hann-windowed pulse modulation was  
 applied to excite a discrete input signal. Fig. 13 illustrates the  
 contour plot for the acquired data (frequency range of 20–120 kHz)  
 and also time-domain representation at 60 kHz.

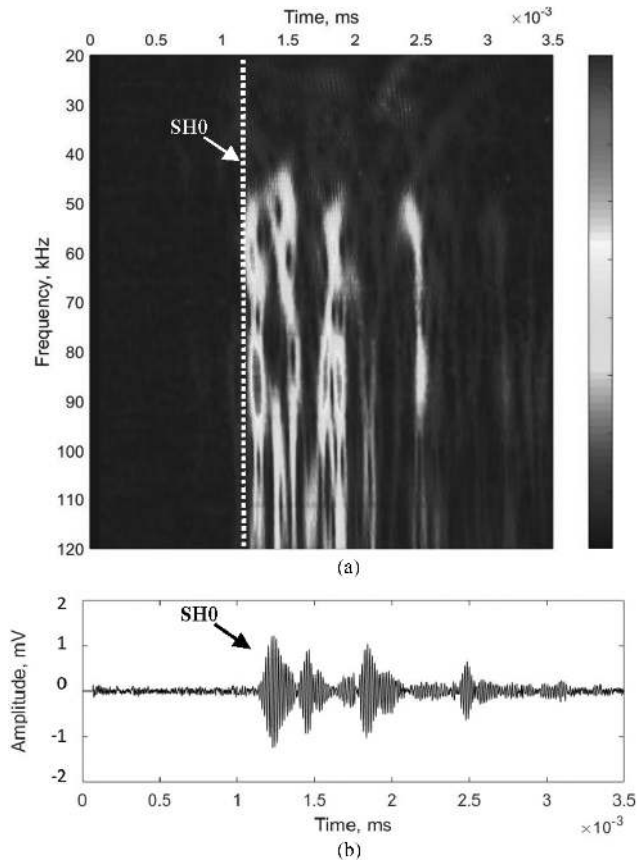


Fig. 13. Field trial results (proposed FSM transducer). (a) Contour plot over 20–120 kHz with 1 kHz increments (dashed line represents the ToA of SH0) and (b) time-domain data at 60 kHz.

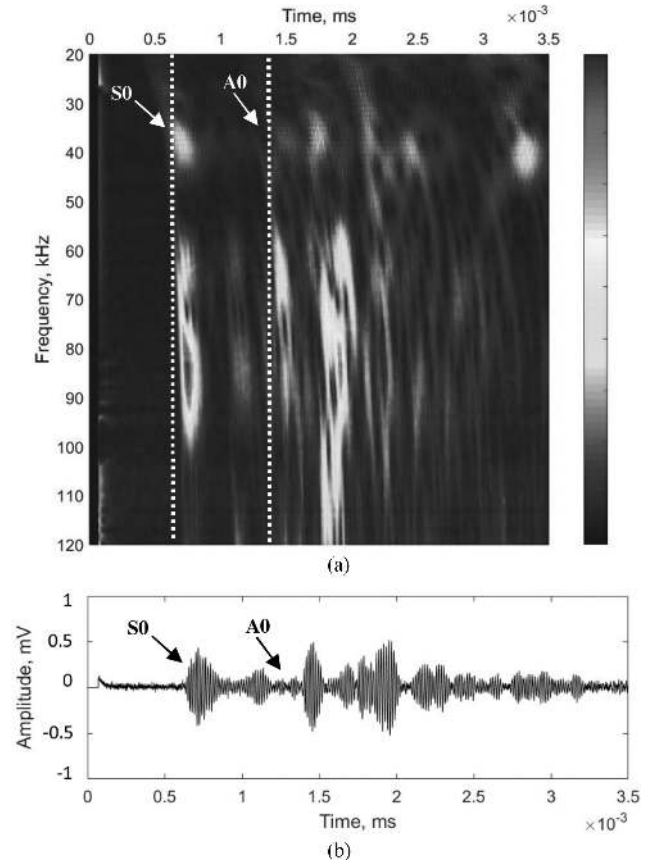


Fig. 14. Field trial results (MFC transducer [9]). (a) Contour plot over 20–120 kHz with 1 kHz increments (dashed lines represent the ToA of S0 and A0) and (b) time-domain data at 60 kHz.

## V. PERFORMANCE REVIEW OF THE PROPOSED FSM TRANSDUCER

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328 In this study, an FSM transducer was investigated to operate  
329 at the UGW operating frequency range (20–120 kHz) to excite  
330 the SH0 mode perpendicular to the axis of vibration. As  
331 illustrated in Fig. 2, the SH0 mode should propagate perpendicular  
332 to the axis of vibration. However, commercially available  
333 flexible MFC transducers excite SH0 diagonally rather than  
334 perpendicular to the axis of vibration [24]. This complicates  
335 the signal processing and transducer bonding process. In order  
336 to overcome this problem, PIC investigated on modifying their  
337 current DuraAct patch transducers to excite the SH0 mode for  
338 low-frequency UGW applications.

### A. Numerical Simulations and Laboratory Trials

340 An FEA was performed to study the characteristics and directionality  
341 of the proposed FSM transducer. FEA results are illustrated in Figs. 4 and 5. These results illustrate that the propagation of SH0 is perpendicular to the axis of vibration. SH0 has been predominantly excited compared to S0 and A0 modes. Time-domain results in Fig. 5 illustrate that the A0 mode has comparatively low amplitude, which can be neglected in experimental trials as it is buried within the 6 dB noise level.

348 Laboratory trials were conducted to validate FEA results  
349 in Section II-B. Experimental results are in good agreement

with FEA results, which corresponds to the directionality of  
the FSM transducer. Time-domain data received at  $90^\circ$  shown  
in Fig. 10(b) confirms the directional excitation of SH0. Only  
pulses present are the incident SH0 mode and the reflected SH0  
mode from the near edge [see Fig. 10(b)]. Table III summarizes  
the ToA of SH0 from FEA and experimental trials; it has an  
error of  $2 \mu\text{s}$ , which is due to the potential assumed material  
property mismatch.

### B. Field Trials

As explained in Section I, the primary application of the proposed FSM is to excite the SH0 mode to examine the structural health of above ground liquid storage tank floors. Therefore, FSM transducers were installed on a field tank to study the amplitude response over a range of frequencies. The dashed line in Fig. 13(a) highlights the ToA of the SH0 mode and it is also the first mode to arrive. The fastest mode (S0) in the UGW frequency range is suppressed due to the directional excitation of SH0.

Commercially available MFC transducers are also investigated in this study as they are currently used to inspect the tank floor using Lamb modes [36]. The same experimental setup is used, as illustrated in Fig. 11. MFC transducers use only S0 for inspection due to the complex propagation directionality of SH0 [24]. Experimental results are illustrated in Fig. 14 and dashed

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TABLE IV  
FIELD TRIAL RESULTS OF THE PROPOSED FSM AND MFC [9]  
TRANSDUCERS—TOA OF EACH MONITORED MODE 4 M AWAY FROM THE  
POINT OF EXCITATION COMPARED TO THE EXPECTED TOA, WHICH  
CORRESPONDS TO THE RESULTS SHOWN IN FIGS. 13 AND 14

	S0	SH0	A0
Excitation	MFC	FSM	MFC
Velocity, $V_{gr}$ (m/ms)	5.4	3.2	2.6
Expected ToA ( $\mu$ s)	740	1250	1538
Monitored ToA ( $\mu$ s)	698	1214	1356
Error (%)	5.6	2.8	11.8

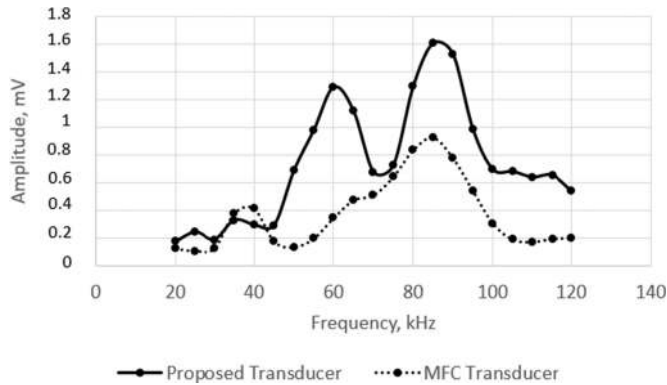


Fig. 15. Amplitude response of investigated transducers (proposed FSM and MFC [9] transducers) over a range of frequencies.

lines in contour plot represent the ToA of S0 and A0. First mode to arrive is the fastest S0 mode. The monitored field trial ToA information is summarized against the expected ToA at 60 kHz in Table IV.

Amplitude response over a range of frequencies for the mode of interest from FSM and MFC (SH0 and S0, respectively) is summarized in Fig. 15. Based on the results illustrated in Fig. 15, FSM transducer has high amplitude response compared to the MFC transducer for the studied application. Both transducers investigated in this study exhibit a broadband frequency response; however, due to the excitability, it shows a high amplitude response at the regions of 55–65 and 80–90 kHz for both transducers. The excitability of a particular mode is defined by the ratio of surface displacement of that mode to applied force when both quantities are measured at the location of interest and the direction [37]. The surface displacement caused by a transducer depends on the material and geometric features of the structure under inspection, which, therefore, cause high amplitude response for certain frequencies due to resonance [38].

### C. Applications and Further Work

Based on the characteristics of the proposed FSM transducer, it can be used to assess the structural degradation of assets using UGW for following applications:

- 1) Tank floor inspection,
- 2) Pipe inspection,
- 3) Bridge inspection,
- 4) Railway rail inspection, and
- 5) Composite inspection.

The FSM transducer has a better surface contact compared to the conventional rigid UGW transducers due to its flexibility. However, adaptability of the FSM transducer to other structures has to be investigated and quantified in future studies. Furthermore, defect sensitivity and performance at elevated temperature of the proposed FSM transducer have to be assessed in relation to SHM applications mentioned above. A controlled experiment can be conducted by introducing a growing defect to acquire data over elevating temperatures. The proposed transducer also has the potential to be used in medical applications to obtain a better contact due to its flexibility; this will be investigated in future studies.

## VI. CONCLUSION

SHM using UGW is a mature field but can be advanced by achieving higher quality assessment of structural health. There is a gap in the knowledge to improve UGW transducers and their surface contact with structures. As a solution, much research has been conducted on flexible transducers for UGW applications. The present study investigated a flexible transducer, which can be used to excite the SH0 mode perpendicular to the axis of vibration. The proposed FSM transducer can be used to advance the quality of UGW inspection on structural health. The directionality of the proposed transducer is investigated using FEA, and numerical results are validated using the 3-D laser vibrometer under laboratory conditions. Then, field trials were performed to investigate the amplitude response over a frequency range of 20–120 kHz on a liquid storage tank. Commercially available Teletest Focus+ UGW system was used in both laboratory and field experiments to drive the transducer. The proposed transducer can improve the surface contact on irregular surfaces as an inherent feature. Compared to the commercially available MFC transducer, FSM transducer has a high amplitude response. This transducer can also ease the signal processing due to the directional excitation of SH0 and open up new applications of SHM using UGW.

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Mr. Selcuk has both served as a Referee and an Editor. He sits on the editorial board of *Powder Metallurgy*, the official journal of EPMA.

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## Queries

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- Q1. Author: Please note that Refs. [18] and [20] were identical, and Ref. [20] has been deleted. The subsequent references have been renumbered. Please check. 667  
668
- Q2. Author: Please provide the citation of Fig. 12 in the text. 669
- Q3. Author: Please provide the page range in Refs. [7], [11], [12], [20], and [36]. 670
- Q4. Author: Please check Ref. [31] as set for correctness. 671
- Q5. Author: Please provide the areas of study in which Premesh S. Lowe received the Ph.D. degree and Tat-Hean Gan received the Executive MBA degree. 672  
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- Q6. Author: Please provide the area of study and the institution's name in which Timo Scholehwar received the Ph.D. degree. 674
- Q7. Author: Please check the edits made to the educational details of Timo Scholehwar, and correct if necessary. 675
- Q8. Author: Please provide the year in which Jamil Kanfoud received the Master's and M.Phil. degrees, and Tat-Hean Gan received the Graduate and Ph.D. degrees. 676  
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- Q9. Author: Please provide the educational details of Cem Selcuk. 678