



**Flood control structures in tidal creeks associated with
reduction in nursery potential for native fishes and creation
of hot-spots for invasive species**

Journal:	<i>Canadian Journal of Fisheries and Aquatic Sciences</i>
Manuscript ID	cjfas-2015-0311.R1
Manuscript Type:	Article
Date Submitted by the Author:	16-Nov-2015
Complete List of Authors:	Scott, David; Simon Fraser University, Arbeider, Michael; Simon Fraser University, Gordon, Jennifer; Simon Fraser University, Moore, Jonathan; Simon Fraser University, Biological Sciences;
Keyword:	SALMON < Organisms, INVASIVE SPECIES < Organisms, CLIMATE CHANGE < General, DAMS < Environment/Habitat, ICHTHYOLOGY < General

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1 **Title**

2 Flood control structures in tidal creeks associated with reduction in nursery
3 potential for native fishes and creation of hot-spots for invasive species

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26 Abstract

27 Habitat connectivity is important for maintaining biodiversity and ecosystem processes, yet
28 globally is highly restricted by anthropogenic actions. Anthropogenic barriers are common in
29 aquatic ecosystems; however, the effects of small-scale barriers such as floodgates have received
30 relatively little study. Here we assess fish communities in ten tributaries over the spring-summer
31 season of the lower Fraser River (British Columbia, Canada), five with floodgates and five
32 reference sites without barriers, located primarily in agricultural land use areas. While the Fraser
33 River supports the largest salmon runs in Canada, the lower Fraser river-floodplain ecosystem
34 has numerous dikes and floodgates to protect valuable agricultural and urban developments.
35 Floodgate presence was associated with reduced dissolved oxygen concentrations, three-fold
36 greater abundance of invasive fish species, and decreased abundances of five native fish species
37 including two salmon species. These findings provide evidence that floodgates decrease suitable
38 habitat for native fishes, and become hotspots for non-native species. Given climate change, sea-
39 level rise, and aging flood protection infrastructure, there is an opportunity to incorporate
40 biodiversity considerations into further development or restoration of this infrastructure.

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42 Keywords

43 Flood mitigation; salmon; invasive species; aquatic barriers; tide gates; sea-level rise

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49 Introduction

50 Estuaries and coastal floodplains are ecologically important yet are some of the most threatened
51 ecosystems on earth (Tockner and Stanford 2002). They provide key ecosystem services such as nursery
52 habitat for fishes of cultural and economic importance (Beck et al. 2001). However, multiple human
53 activities are rapidly changing these systems (Lotze et al. 2006). For example, seagrass meadows, an
54 important nursery habitat for juvenile marine and estuarine fish, have been increasingly in decline since
55 1990, reaching loss rates of 7% per year globally (Waycott et al. 2009). Conversion for aquaculture and
56 agriculture has resulted in the loss of 25–50% of coastal tidal wetlands and is expected to continue,
57 resulting in further loss of 20–45% of existing salt marsh habitat before the end of the century (Kirwan
58 and Megonigal 2013). Coastal developments and ecosystems alike are predicted to be threatened by sea-
59 level rise and increasing flood and coastal storm frequency due to climate change (Church et al. 2013).
60 Developed countries will likely offset flooding risk with engineered infrastructure such as dikes, which
61 may have ecological consequences as they reduce connectivity between coastal rivers and their
62 floodplains (Airoldi et al. 2005; Church et al. 2013).

63 Research on the ecological impacts of barriers in aquatic systems has primarily focused on dams
64 in larger river systems (Januchowski-Hartley et al. 2013). Large dams are known to block the movements
65 of materials and animals, dampen flow regimes, reduce river floodplain connectivity, extirpate upstream
66 anadromous salmon, and reduce access to different habitats for feeding, spawning and refugia for fluvial
67 migrants (Arthington et al. 2010; Gustafson et al. 2007; Schlosser and Angermeier 1995). Dams may also
68 facilitate non-native species by providing novel (impounded) habitat (Johnson et al. 2008) or altering flow
69 regimes that native fishes were previously adapted to (Fausch et al. 2001; Propst and Gido 2004).

70 Although these effects of large dams are now recognized, there is arguably less understanding of the
71 ecological effects of smaller-scale structures that also alter aquatic connectivity such as culverts (Favaro
72 et al. 2014), weirs (Mueller et al. 2011), dikes (Hood 2004), and floodgates (Pollard and Hannan 1994;
73 Boys et al. 2012; Wright et al. 2014). These types of small barriers are common in aquatic systems yet
74 little is known regarding their effects on fish passage, hydrological cycles, or habitat quality.

75 Small-scale barriers in aquatic ecosystems such as floodgates (also called tide gates) are
76 commonly installed to prevent flooding, yet their effects are largely unknown (Giannico and Souder
77 2005). Floodgates are installed in low gradient coastal areas to allow tributaries to drain downstream
78 through dikes while preventing backflows and flooding (Pollard and Hannan 1994). Floodgates consist of
79 culverts with side- or top-mounted hinged gates on the downstream side, which require a hydraulic head
80 difference from the upstream to downstream side to push open the gates and allow the passage of water
81 and organisms; conversely the backpressure from rising water on the downstream side forces them closed
82 (Thomson et al. 1999). Floodgates are a common flood control structure in coastal aquatic ecosystems
83 globally, including North America (Raposa and Roman 2001), Europe (Wright et al. 2014), Australia
84 (Pollard and Hannan 1994) and New Zealand (Doehring et al. 2011). Previous research has found
85 floodgates to be associated with reduced overhanging vegetation (Pollard and Hannan 1994), greater
86 nutrient concentrations, increased abundance of aquatic weeds (Kroon and Ansell 2006), and reduced
87 dissolved oxygen concentrations (Gordon et al. 2015). In estuarine systems, floodgates can be associated
88 with reduced abundance of commercially valuable species (Pollard and Hannan 1994), reduced fish
89 passage (Doehring et al. 2011) including delayed downstream migration of salmonids (Wright et al.
90 2014), reduced diversity of estuarine fish (Boys et al. 2012), and reduced abundance, biomass, and
91 diversity of juvenile fish (Kroon and Ansell 2006). This body of previous research has focused on
92 floodgates in estuarine areas where they open and close with daily tides. However the potential effect of
93 floodgates on snowmelt river systems, where prolonged elevated floodwaters may close floodgates for
94 several months at a time, have yet to be extensively studied. In these systems fish communities may
95 experience greater impacts due to prolonged floodgate closure blocking passage and changing habitat
96 characteristics, potentially resulting in similar effects to more permanent barriers such as dams.

97 In this study, we examined the effect of floodgates on fish communities in tidal tributaries of a
98 large river system. The Fraser River (British Columbia, Canada), an enormous (220,000 km²) watershed
99 that supports the largest salmon returns in Canada, is extensively diked in its lower reaches and floodgates
100 are present on the majority of tidal tributary creeks. In this system, during the yearly spring freshet river

101 levels rise by several meters for up to several months before receding, likely preventing floodgates from
102 opening (Thomson et al. 1999). We used a comparative approach--we sampled the seasonal dynamics of
103 tidal creeks with and without the presence of floodgates to determine if fish communities upstream of
104 floodgates are different from reference creeks without in-stream barriers. We hypothesized that floodgates
105 would be associated with effects similar to other anthropogenic aquatic barriers, and that floodgates
106 would be the key driver of these effects, relative to other differences in environmental variables and land
107 use patterns. We predicted that similar to permanent barriers such as dams, floodgates would be
108 associated with decreases in habitat quality and abundance of anadromous and resident native fish
109 species, and increased prevalence of non-native fish species.

110

111 **Methods**

112 *Study System*

113 The lower Fraser River delta in British Columbia is an example of a highly settled coastal
114 floodplain where dikes and their floodgates are a prevalent feature of the landscape. The lower Fraser
115 region contains approximately 1 million people and \$13 billion in infrastructure development, much of it
116 on the floodplain of the lower Fraser watershed (Fraser Basin Council 2010). The Fraser River is tidal for
117 115 km upstream of the mouth, and historically the Fraser River delta was an intricate floodplain of
118 tidally influenced freshwater and estuarine creeks (Levings et al. 1995). However, since the early 20th
119 century approximately 70% of the floodplain has become isolated by dikes (Healey and Richardson 1996)
120 and floodgates are a common feature, with an estimated 500 installed to control flows (Thomson et al.
121 1999). The lower Fraser River is home to 42 fish species, including at least six introduced species
122 (Richardson et al. 2000). The Fraser River contains one of the world's largest populations of Pacific
123 salmon (*Oncorhynchus* spp.), which move through the estuary during their out-migration (Levy and
124 Northcote 1982; Levings et al. 1995). In the lower Fraser, tidal freshwater tributaries provide critical
125 rearing and overwintering habitats for juvenile salmon including Chinook (*O. tshawytscha*), coho (*O.*

126 *kisutch*), and chum salmon (*O. keta*) (Levings et al. 1995). Previous work has indicated that the use of
127 these nursery habitats is important to the survival of juvenile Chinook salmon migrating seawards from
128 throughout the system (Murray and Rosenau 1989). Floodgates in systems such as this likely remain
129 closed for extended periods of time in the lower Fraser during the spring freshet, low flow periods, and
130 high tide cycles, yet the effects on fish communities are poorly understood (Thomson et al. 1999).

131 *Study Sites*

132 We chose 10 tidal creeks as study sites. These sites were selected from a larger pool of potential
133 sites initially identified from the Lower Fraser Valley Streams Strategic Review (Fraser River Action Plan
134 1999) and Government of British Columbia Ministry of Forests Lands and Natural Resource Operations
135 Lower Mainland Dike Inventory Maps (BC MFLNRO 2011). Sites were chosen from this set based on
136 presence in tidal floodplain areas, and similarity in watershed size, gradient, and land use (Table 1). We
137 then conducted preliminary site evaluations to determine accessibility and feasibility of sampling before
138 the final group of sites was selected. Reference sites were geographically close to floodgate sites and in
139 similar tidal, low gradient areas. Reference sites differed from floodgate sites in that flood protection was
140 in the form of dikes running along the banks of the tributaries lower reaches subject to backflooding,
141 removing the need for floodgates at the confluence with the mainstem. All sites were located in areas that
142 experience mixed semidiurnal daily tidal fluctuations with distances from the ocean ranging from 44 to 57
143 km. Sites were generally located in agricultural and urban areas and have all been modified in the past
144 through channelizing, diking and straightening. Floodgate sites were also chosen based on having
145 associated pumping stations, the presence of which is typically related to a threshold in watershed
146 drainage area. We note that pumps only operate when floodgates are closed; therefore although the local
147 increase in turbulent flow may serve to attract fishes, it occurs when the gates are acting as physical
148 barriers to fish passage.

149 We studied ten sites located throughout the lower Fraser River floodplain (Figure 1). Five of our
150 sites were upstream of floodgate barriers and associated pumping stations and five of the sites were
151 references, with no in-stream flood control structures. The barrier sites included McLean Creek and

152 Fenton Slough that drain directly to the Pitt River, Cranberry Slough that drains directly to the Alouette
153 River, and Yorkson Creek and Nathan Slough that drain directly to the Fraser River. The pump station at
154 Yorkson Creek contained “fish friendly” Archimedes screw pumps which are thought to impart a lower
155 rate of mortality on out-migrating fish. Cranberry Slough had a single flap gate, however, following our
156 study it was determined to operate solely as a pumping station with the gate functioning only as an
157 outflow, thereby consistently preventing upstream migration. This diversity of floodgate permeability
158 (ranging from seasonal to near complete barriers to upstream movement) prevents us from directly
159 analyzing the mechanism by which the floodgates affected fish, however we retain this site in our analysis
160 to focus on the difference in fish communities between sites with and without barriers; therefore, we will
161 refer to all barrier sites as floodgate sites. Reference sites included De Boville Slough and Smokwha
162 Marsh that drain directly to the Pitt River, McKenny Creek that drains directly to the Alouette River, and
163 West Creek and Nathan Creek that drain directly to the Fraser River (Table 1).

164 *Sampling Methods*

165 We sampled each of the ten sites once per month from April through August during the summer
166 of 2013. We conducted sampling in ten consecutive days each month, except April in which Smokwha
167 Marsh was sampled three days after completion of the other sites. Sampling generally alternated daily
168 between reference and floodgate sites to reduce the potential effect of within-month variation. Sampling
169 spanned from April 11th to 23rd, May 7th to 16th, June 10th to 19th, July 9th to 18th and August 14th to
170 23rd. Water levels at floodgate sites were consistent between different sampling occasions, presumably
171 because of the pump operations and floodgates that buffered tidal and seasonal variation. At reference
172 sites water levels significantly rose following the start of the spring freshet fluctuating by several meters
173 between lows in April and August and a peak in late May. Water levels at reference sites also fluctuated
174 daily with tides; therefore, we generally conducted sampling at midday when the tide height was low to
175 mid and depths were around 1m, which maximized accessibility and increased sampling effectiveness. At

176 floodgate sites water depths were generally around 1m and were typically controlled by pump operations
177 and therefore are kept consistent.

178 We captured fish on each sampling occasion by seine hauls using a 15.2 m by 2.4 m net with 0.32
179 cm mesh size. We conducted three seine hauls at each sampling event. Seining started approximately 50m
180 upstream of the floodgates or confluence at reference sites, and repeated hauls were conducted
181 approximately 50m upstream of the previous haul. Thus fish sampling was restricted to the first 150m
182 upstream of the floodgate or confluence at reference sites. For each haul, two crew members would fully
183 extending the net by having one crew member hold the net while the other walked downstream typically
184 2m from the bank before circling towards the bank and pulling the net into a purse, seining an area of
185 approximately 15.4 m by 2 m. Sampling locations had extremely low gradients and due to the position
186 near the confluence of our sampling sites there was typically little to no water velocity and the substrate
187 was typically sand or mud. Consecutive seine hauls were typically conducted immediately following
188 completion of identification of fish from previous hauls and were separated by habitat type if habitats
189 were not homogenous. After identification, fish were temporarily held in aerated buckets to prevent re-
190 capture in consecutive hauls. We also set minnow traps with 0.32 cm mesh size and baited with 20.0 ± 2.0
191 g cured salmon eggs, approximately 25 m apart, overnight for periods averaging 18 hours on each of our
192 sampling occasions. We identified and measured fish caught in traps prior to commencement of seine
193 hauls and fish were typically held until seining was completed if seine hauls were conducted in the same
194 area as traps. All fish were released following identification. The Simon Fraser University Animal Care
195 Committee approved sampling techniques and permits were obtained from federal and provincial
196 agencies. To determine if water quality was similar between reference and floodgate sites water chemistry
197 measurements of salinity, temperature, dissolved oxygen concentration, and conductivity were obtained
198 using a YSI metre (model 556 MPS, YSI Incorporated 2009). We took water chemistry measurements
199 just below the water surface within thirty minutes of noon, upstream (~50m) of floodgates or the
200 confluence at reference sites.

201 *Watershed Land Use Analysis*

202 To ensure that observed differences were related directly to floodgate presence relative to other
203 anthropogenic stressors we determined the area of our watersheds and analyzed the proportion of different
204 types of land use to determine if they differed between floodgate and reference sites. We used the
205 watershed tools in ArcGIS using a 25 m resolution digital elevation model, land use spatial layers, and
206 stream and river locations in British Columbia. As our sites are located in extremely low gradient areas,
207 the software had difficulty determining the correct dimensions for some of our sites. Therefore, we used a
208 dataset outlining streams and rivers in B.C. created by the Ministry of Environment in 2005, along with
209 Google Earth (Version 7.1.2.2041, Google Inc., Mountain View CA, USA) images and our knowledge of
210 the watersheds, to draw polygons outlining our watersheds based on those initially delineated by ArcGIS,
211 and then calculated total area. To determine land uses, we obtained a land use dataset created by
212 MetroVancouver in 2006 with 25 m resolution at a 1:20,000 scale that indicated the dominant land use for
213 each parcel. We then grouped watershed use into: 1) agriculture, 2) urban, which represented all forms of
214 residential land use along with commercial and institutional, 3) other human use, which represented
215 industrial, transportation, recreation and parks, and 4) undeveloped or protected areas. Our land use data
216 set did not cover all of the watershed areas for Nathan Creek and Nathan Slough with data coverage for
217 44 and 34 percent of each watershed respectively. Based on visual inspection of Google Earth images of
218 the remaining portions of each watershed the land use appeared similar therefore we used the available
219 data as a proxy for land use for those two watersheds. Spatial analyses were conducted using ArcGIS
220 version 10.2 (ESRI 2014).

221 *Statistical Analysis*

222 We analyzed fish data at the community and species levels. For both sets of analyses, we summed
223 our catch data from our traps and seine hauls for each sampling occasion at each site, as they represented
224 an equal sampling effort for each sampling date. Our aggregated catch data thus represents a metric of the
225 fish community at each site. We used non-metric multidimensional scaling (NMDS) (Prentice 1977) to
226 explore the relationship between floodgate presence and community composition at our sites. NMDS
227 analysis was used to visualize community dissimilarity across sites and across time and to visualize which

228 species were influencing community composition. Species abundances were fourth root transformed to
229 satisfy normality for multivariate analysis. Unidentified juvenile minnows were grouped with peamouth
230 chub and northern pikeminnow under the category minnow. We also combined fish identified as
231 pumpkinseed and black crappie with our un-identified juvenile sunfish under the category sunfish. A
232 Bray-Curtis dissimilarity matrix was generated based on the species composition for each site and
233 sampling occasion. For our NMDS we used two dimensions ($k=2$) and our stress score was 0.174. We ran
234 a permutational multivariate analysis of variance test (PERMANOVA; Anderson 2001) to test the
235 significance of floodgate presence and date on our community composition. Our model included
236 floodgate presence, date and an interaction term between floodgate presence and date. These analyses
237 were done in the program R (version 3.1.1; R Development Core Team 2014), using the vegan package
238 (Oksanen et al. 2013).

239 We examined the relationship between floodgate presence and abundance for each species with
240 adequate data using generalized additive models (GAM). GAMs function as an extension of generalised
241 linear models that can incorporate a non-linear smoothing function for an independent variable such as
242 time (Hastie and Tibshirani 1987). We used GAMs to test the effect of floodgate presence on our
243 abundance data for each species while accounting for time with a smoothing function. GAMs allowed us
244 to use multiple measurements through time nested within site, with dates numbered consecutively
245 beginning from the first day of sampling. This smoothing function removes the effect of time allowing us
246 to focus solely on the effect of floodgate presence and accurately compare coefficients between species.
247 For non-salmon species, we ran our GAM with a negative binomial error distribution as it gave us the
248 best fit based on diagnostics. We normalized our data by dividing our abundances for each sampling
249 occasion by the total standard deviation for each species prior to analysis. This then compares abundances
250 in terms of the number of standard deviations to allow direct comparison between species. We excluded
251 species caught at very low abundances ($n \leq 10$) and frequency, including rainbow trout (*Oncorhynchus*
252 *mykiss*), redbside shiner (*Richardsonius balteatus*) and largescale sucker (*Catostomus macrocheilus*), as
253 sample sizes for these species did not meet conditions of normality. Again, we combined fish identified as

254 pumpkinseed and black crappie with our un-identified juvenile sunfish for analysis. As our salmon data
255 were highly skewed, particularly for Chinook and chum, to satisfy normality we used a $\log_{10}(x+1)$
256 transformation prior to analysis, divided by the standard deviation to allow comparison, then ran our
257 GAM using a quasipoisson error distribution. As Chinook and chum salmon were only captured in the
258 first two and three sampling periods respectively we only used those data for our GAM's. GAM's were
259 run using the mgcv package in R (Wood 2001; R Development Core Team 2013). We used an alpha level
260 of 0.05 to determine statistically significant results.

261

262 **Results**

263 Reference and floodgate sites were similar in watershed area and dominant land uses (Table 1).
264 Study watersheds were typically small, floodgate watersheds averaged 7.00 km², ranging from Fenton
265 Slough at 3.33 km² to Yorkson Creek at 17.12 km², whereas, reference watersheds averaged 8.92 km² and
266 ranged from Smokwha Marsh at 4.74 km² to West Creek at 15.29 km². Land use was predominantly
267 agriculture and urban in four of five reference sites and four of five floodgate sites. The exceptions were
268 the floodgate site McLean Creek, which runs through an agricultural area in its lower reaches, but the
269 majority (55%) of the watershed is a protected forested area, and the reference site Smokwha Marsh,
270 which is mostly situated in what is now a protected area but was historically used for agriculture and as
271 such is channelized, diked and does not experience a natural hydrological cycle (Table 1). As these sites
272 are highly modified by human activity they are arguably similar to our other sites. Floodgate and
273 reference sites were also similarly distributed through the region (Figure 1).

274 Variation in measured water quality parameters was associated both with sampling date and
275 floodgate presence. Temperatures increased throughout the summer at all sites with no trends related to
276 floodgate presence. Salinity and conductivity were measured at nearly negligible concentrations at both
277 floodgate and reference sites throughout the study period, therefore these parameters will not be further
278 discussed (Table A1). More notably, floodgates were associated with decreased dissolved oxygen levels
279 (Figure 2). Dissolved oxygen concentrations were initially similar among all sites; however, by later

280 sampling periods concentrations decreased in floodgate sites compared to reference sites. During our
281 August sampling period, dissolved oxygen concentrations at all floodgate sites fell to levels below BC
282 Ministry of Environment safe minimum standards (5 mg/l) for the protection of aquatic life (GBCME
283 1997) (Figure 2). A concurrent study by our research group found that floodgates were associated with
284 significant lower levels of dissolved oxygen that extended at least 100 m upstream of the floodgates
285 (Gordon et al. 2015).

286 We captured a total of 30,759 fish of 21 different species throughout our sampling. We captured
287 674 juvenile salmon of five different species, 29,051 fish from 10 different non-salmon native species
288 (hereafter referred to as 'other native species'), and 734 fish of six different non-native species (Table
289 A2). The majority of juvenile salmon species captured were chum, Chinook, and coho respectively, while
290 a few pink (*O. gorbuscha*) and sockeye (*O. nerka*) were also captured at one site. Native three-spine
291 stickleback (*Gasterosteus aculeatus*) dominated catches, with 27,791 individuals captured. Other native
292 species captured in abundance included the northern pikeminnow (*Ptychocheilus oregonensis*), prickly
293 sculpin (*Cottus asper*), and peamouth chub (*Mylocheilus caurinus*). Non-native species captured included
294 pumpkinseed (*Lepomis gibbosus*), largemouth bass (*Micropterus salmoides*), common carp (*Cyprinus*
295 *carpio*), brown bullhead (*Ameiurus nebulosus*), black crappie (*Pomoxis nigromaculatus*) and weather
296 loach (*Misgurnus angullicaudatus*).

297 Community-level analyses indicated fish community composition to be significantly different
298 between floodgate and reference sites. Fish communities differed significantly based on floodgate
299 presence ($F = 12.46$; $P = 0.001$), date ($F = 11.58$; $P = 0.001$), and an interaction between floodgate
300 presence and date ($F = 2.09$; $P = 0.015$; Figure 3). Visualization of fish communities with NMDS
301 indicated that the community composition was primarily dominated by stickleback at all sites. However
302 through the summer we saw reference sites shift from communities with salmon to communities with
303 higher abundance of minnow (Cyprinidae) and prickly sculpin, while floodgate sites showed higher
304 abundances of sunfish (Centrarchidae) and brown bullhead.

305 Juvenile salmon abundances were consistently lower at sites where floodgates were present
306 relative to reference sites. Juvenile salmon were captured at all five reference sites but at only two
307 floodgate sites. Total juvenile salmon abundance was 2.5 times greater in reference sites relative to
308 floodgate sites. Total abundance was also on average consistently greater for each sampling period and
309 for each juvenile salmon species (Figure 4). Total abundance was 11.7 times greater for coho, 1.5 times
310 greater for chum and 2.2 times greater for Chinook salmon, in reference sites relative to floodgate sites.
311 There was also a strong seasonal trend in abundance as would be expected for outmigrating fish with the
312 majority of individuals captured in April and May (Figure 4). These differences in total abundance in
313 floodgate sites relative to reference sites were statistically significant for coho (GAM: $\beta = -1.700$, SE =
314 0.381, $t = -4.466$, $P = 0.0001$), and chum ($\beta = -1.319$, SE = 0.492, $t = -2.683$, $P = 0.013$) but not for
315 Chinook salmon ($\beta = -0.808$, SE = 0.444, $t = -1.819$, $P = 0.087$) (Figure 5).

316 Floodgates were also associated with the decreased abundance of the majority of other native
317 species. Three-spine stickleback, which comprised 95.6% of our catch of other native fish species, were
318 similar in abundance between floodgate and reference sites throughout the summer (Figure 4). Prickly
319 sculpin and native minnow (*Cyprinidae*) species were 37.2 and 11.7 times more abundant respectively at
320 reference sites relative to floodgate sites throughout our sampling periods (Figure 4). Using GAMs, we
321 found these differences to be statistically significant for prickly sculpin (GAM: $\beta = -3.607$, SE = 0.796, t
322 = -2.62 , $P = 0.0001$), northern pikeminnow (GAM: $\beta = -2.094$, SE = 0.592, $t = -3.540$, $P = 0.001$), and
323 peamouth chub (GAM: $\beta = -1.350$, SE = 0.395, $t = -3.423$, $P = 0.0015$) (Figure 5).

324 Floodgates were positively associated with the majority of non-native fish species. In total, non-
325 native species were 3.1 times more abundant at floodgate sites relative to reference sites. Sunfish were 4.3
326 times more abundant at floodgate sites (Figure 4), which was statistically significant (GAM: $\beta = 1.477$,
327 SE = 0.577, $t = 2.560$, $P = 0.0137$; Figure 5). We found a similar statistically significant positive effect of
328 floodgate presence on brown bullhead (GAM: $\beta = 2.733$, SE = 0.969, $t = 2.819$, $P = 0.007$; Figure 5) and
329 common carp abundance (GAM: $\beta = 2.037$, SE = 0.843, $t = 2.417$, $P = 0.020$; Figure 5). Largemouth bass

330 were the only non-native species that were not statistically higher in floodgate sites (GAM: $\beta = -0.276$,
331 SE = 0.537, $t = -0.515$, $P = 0.61$; Figure 5) of those with suitable numbers for statistical analysis.

332

333 **Discussion**

334 Our results demonstrate that floodgates are associated with significant differences in fish
335 communities in the tidal creeks we studied. We found floodgate presence to be associated with decreased
336 abundance of salmon and other native fish species, greater abundance of non-native fishes and depressed
337 dissolved oxygen concentrations. Given that all of our sites were similar and are in areas impacted by
338 human land uses, our results provide evidence that floodgate presence is a driver of fish community
339 change. Furthermore, the differences in fish communities we found are supported by previous findings
340 from Australia which found reductions in eight commercially valuable species when comparing sites with
341 floodgates to un-gated reference channels (Kroon and Ansell 2006). While large dams are known to
342 profoundly impact freshwater aquatic systems, our results demonstrate that small-scale barriers have
343 similar effects, impairing native fish while facilitating non-native fishes. As floodgates are ubiquitous in
344 many coastal aquatic systems, such as the lower Fraser River, the collective impact of these small
345 structures may be an important yet relatively unconsidered driver of undesirable change.

346 Although floodgates were not associated with differences in temperature or conductivity they
347 were strongly associated with decreased dissolved oxygen concentrations, a key attribute of habitat
348 quality commonly affected by anthropogenic stressors. Dissolved oxygen concentrations were lower in
349 floodgate sites than reference sites, particularly in August when they fell below the local British Columbia
350 Provincial Criteria for the Protection of Aquatic Life of 5 mg/L, while reference sites remained near
351 saturation levels. Similarly Santucci et al. (2005) studied a river fragmented by low head dams and found
352 that in impounded reaches dissolved oxygen concentrations regularly fell below local protection criteria,
353 while in free flowing reaches they remained at safe levels. Concurrently, we also investigated the spatial
354 extent of floodgate-related hypoxia in our study system and found that oxygen concentrations at dawn and

355 dusk, in surface and bottom waters, were below safe minimum levels and that this extended at least 100m
356 upstream of floodgates, yet conditions remained safe downstream of floodgates (Gordon et al. 2015).
357 Thus floodgates may result in upstream “dead zones”, creating areas that are no longer suitable habitat for
358 oxygen-sensitive fishes (Gordon et al. 2015) and potentially leading to hypoxic fish kills (Breitburg
359 2002). While it is unclear how far upstream these effects occur they potentially represent a chemical
360 barrier (Whitmore et al. 1960), potentially altering fish passage to upstream areas which may not be
361 affected. While there is widespread appreciation for large-scale hypoxia in coastal oceans, there is less
362 appreciation for the potential cumulative impacts of small-scale hypoxia (Pressey and Middleton 1982;
363 Gordon et al. 2015). Floodgate-related hypoxia is an important implication of tidal restriction for
364 managers to consider in developed coastal floodplains.

365 Similar to the effects of other aquatic barriers, floodgates were found to be associated with
366 decreased abundance of juvenile salmon. Large barriers are known to extirpate salmon (Sheer and Steele
367 2006), and our results demonstrate that small scale barriers, which are much more abundant, also can
368 exclude salmon. Floodgates could negatively affect salmon by preventing adults from reaching spawning
369 grounds, preventing or delaying the re-distribution of juveniles (Wright et al. 2014), or by reducing water
370 quality thereby making areas uninhabitable. Floodgates are closed during much of spring freshet as high
371 mainstem water levels prevent upstream flows from opening gates, potentially preventing the passage of
372 juveniles. In late summer and fall low flows may not sufficiently open gates, particularly heavy top
373 mounted cast iron gates or those improperly designed, preventing the upstream passage of adults.
374 Tributary habitats like the ones we studied are also known to be important for winter growth and survival
375 of juvenile coho, which have been shown to be impacted by diking (Beechie et al. 1994) and other small
376 barriers such as culverts (Davis and Davis 2011). Chum salmon typically spend less time in freshwater
377 before migrating towards the ocean, therefore reduced abundance of juveniles is likely related to
378 differences in spawner abundance or distribution. We documented juvenile Chinook salmon presence in
379 two of our floodgate sites and as Chinook do not spawn in our study areas, their presence suggests
380 successful upstream passage of juveniles through floodgates at these sites. Conversely, the absence of

381 juvenile Chinook salmon at three of our floodgate sites may indicate that floodgates impede Chinook
382 salmon access to some gated tidal creeks. Given that there are approximately 500 floodgates in the lower
383 Fraser area (Thomson et al. 1999), these structures may have large cumulative effects. Considering
384 floodgates are highly concentrated specifically in the lower Fraser they may have contributed to
385 diminishing the nursery capacity for juvenile Fraser salmon.

386 Floodgate presence appeared to have no effect on three-spine stickleback abundance; however
387 floodgates were associated with reduced abundance of three other common native fish species in our
388 system. Stickleback exist in freshwater resident and anadromous forms in our system, therefore decreases
389 in abundance of anadromous forms may be compensated by increases in the resident population, which
390 are known to be adaptable to a broad range of habitats (Nosil and Reimchen 2005). Conversely, floodgate
391 presence was associated with dramatic decreases in prickly sculpin, which are typically present in coastal
392 streams of the Pacific Northwest but are limited by small barriers including culverts (Favaro et al. 2014)
393 and fish ladders that are passable by salmon and trout (LeMoine and Bodensteiner 2014). Prickly sculpin
394 adults spawn in rivers and streams, and larvae drift downstream to a lake, estuary, or other lentic habitat
395 to rear before moving back up as 1+ year old fish (Krejsa 1967); floodgates may prevent this upstream
396 migration.

397 Floodgate presence was also associated with decreased abundance of northern pikeminnow and
398 peamouth chub, the primary native minnow (*cyprinid*) species we studied. While there is little
399 information regarding the effects of barriers on northern pikeminnow and peamouth chub, Winston
400 (1991) described the upstream extirpation of four minnow species related to construction of a mainstem
401 dam and Porto (1999) found reduced abundances of seven species of stream fishes upstream of low-head
402 dams relative to reference sites. Our results further demonstrate that small-scale barriers can also
403 influence native stream fish communities. How floodgates affect the species we studied may be related to
404 reproductive strategy, for example, Platania and Altenbach (1998) found that interactions between dam-
405 related flow modifications and downstream transport of eggs and larvae led to declines in seven minnow
406 species they studied. Northern pikeminnow spawn in mainstem and tributary habitats in the Columbia

407 River system, and juveniles are known rear in shallow low velocity areas (Gadomski et al. 2001). In our
408 system, floodgates may prevent local migrations and interfere with access to different habitats across life
409 stages, resulting in effects similar to other types of barriers such as dams.

410 We found floodgate sites to be a hot-spot for non-native fish species including pumpkinseed,
411 brown bullhead and common carp, all of which are considered to be invasive. Interestingly although these
412 species have very different life history traits they were all similarly in greater abundance at floodgate
413 sites, possibly benefitting from decreased competition with native species. Our results are consistent with
414 a recently growing body of literature associating invasive species' abundance with river impoundments
415 (Johnson et al 2008; Clavero et al. 2014). When river levels are high floodgates remain closed, creating
416 small impoundments which can remain stagnant for days or weeks until pumps are activated or river
417 levels fall. Chu et al. (2015) found increased numbers of low head dams to be associated with increased
418 non-native abundances, and our data demonstrate similar patterns. Pumpkinseed, the most common
419 invader in our study sites, are found in high abundances downstream of dams, indicating they may gain an
420 advantage in highly altered flow regimes (Clavero et al. 2014). Common carp, which are part of the
421 minnow family, appear to be positively associated with floodgate presence despite the negative
422 association with native minnow species. Further research into the mechanisms by which small barriers
423 differentially affect fish species would help to illuminate why invasive species appear to be benefitting.
424 While these invasive species were introduced to the lower Fraser River long ago (Dextrase and Mandrak
425 2006), floodgates may support source populations of these invasive species, facilitating their spread into
426 nearby areas, enabled by dispersal through the periodic barriers that floodgates represent.

427 While our results demonstrate that floodgates are associated with altered fish communities, we
428 acknowledge that other differences between our sites may have contributed to these effects and that the
429 spatial extents of these effects are unclear. Floodgate presence is likely non-random and associated with
430 local history, topography, land use and the comparative cost of choosing to build dikes along the lowest
431 reaches. Furthermore, our reference sites were similar in size and gradient to the floodgate sites, the main
432 difference being they were typically isolated from their floodplain by parallel dikes. Another challenge is

433 that floodgate sites unavoidably differ in the number and construction of flap gates, as well as the height
434 at which they are installed, inevitably leading to differences in the timing, duration and magnitude of flap
435 gate opening versus closure. Although we observed dramatic differences in fish communities in the areas
436 directly upstream of the floodgates we studied the spatial extent of these effects remains unclear; ongoing
437 research will examine fish communities further upstream and downstream of floodgates to provide further
438 understanding of the cumulative effects of these barriers. Overall, while differences between individual
439 sites may result in some variability, we saw a similar pattern across the floodgate sites we studied,
440 indicating our results generally represent the effect of floodgates on lower Fraser tributaries.

441 Although our study design prevented isolation of the precise mechanisms by which floodgates are
442 affecting fish communities, probable mechanisms include changes in hydrologic connectivity and habitat
443 quality. Floodgates may directly prevent passage, reducing access to habitats important for survival,
444 growth, or reproduction for both native and non-native species. In snowmelt-driven systems such as the
445 Fraser River, high mainstem levels during spring freshet may prevent gates from opening for long periods
446 (Thomson et al. 1999). Floodgates have been shown to delay migration of salmonids (Wright et al. 2014),
447 and floodgate opening during low tide cycles depends on upstream hydraulic head differential, which may
448 create high velocity barriers for less mobile species such as sculpin. Floodgates may also impact fish
449 communities indirectly, by altering habitat through impounding water (Johnston et al. 2005) leading to
450 oxygen depletion (Gordon et al. 2015). Hypoxia alters habitat quality for fishes and can drive fish kills
451 (Richardson 1981). Reduced oxygen concentrations have also been shown to result in avoidance
452 behaviour in juvenile salmon and other fish species (Whitmore et al. 1960), and therefore may act as a
453 chemical barrier to fish passage. Respiration rates necessary to deplete oxygen concentrations are likely
454 influenced by high nutrient concentrations from agricultural runoff, as fertilizer and manure applications
455 in our study areas typically exceed soil needs (Hall and Schreier 1996). Non-native species may benefit
456 from reduced competition due to reduced abundance of native species in floodgate sites, or from highly
457 disturbed hydrology and habitat alteration (Moyle and Light 1996). Although, we did not determine the

458 mechanisms by which floodgates impacted the fish species we studied, it seems likely they affect
459 different species in different ways related to individual species traits (Poff 1997).

460 Our results demonstrate that the effects of small-scale flood control barriers such as floodgates,
461 combined with their ubiquity in coastal river systems around the world, may be an important yet
462 relatively unconsidered contributor to cumulative habitat alteration for native fishes. Our data indicate
463 that flood control trades off against local abundance of salmon, and is associated with shifts in freshwater
464 fish community structure in favour of non-native species. Flood risk is predicted to increase as a result of
465 climate change and sea-level rise (Arnell and Gosling 2014), which will undoubtedly lead to an increase
466 in the use of flood protection structures in coastal aquatic systems worldwide. Sea-level rise will also
467 impact the function of existing structures, requiring their modification or replacement to continue to
468 protect against flooding (Walsh and Miskewitz 2013). This need to invest in infrastructure represents an
469 opportunity to design future flood control structures that are friendlier to native fish. As restoring
470 connectivity between otherwise quality habitats is the most cost effective means for watershed restoration
471 (Roni et al. 2002), floodgates may represent an efficient opportunity to restore coastal habitats for
472 anadromous and resident species. Just as dam operations are modified to mimic natural flow regimes
473 (Olden and Naiman 2010), resulting in relative increases in native fishes and decreases in non-natives
474 (Propst and Gido 2004), a similar approach could guide the management and re-engineering of small-
475 scale barriers in coastal systems.

476

477 **Acknowledgements**

478 This research was financially supported by Simon Fraser University, the Liber Ero Foundation,
479 and Natural Sciences and Engineering Research Council of Canada (NSERC) undergraduate student
480 research awards to J. Gordon and M. Arbeider and a Discovery grant to J. W. Moore. The authors thank
481 the efforts of numerous volunteers who assisted with field work and feedback from the Moore lab. Thank
482 you to two anonymous editors whose input greatly improved the manuscript. Thanks to Dave Levy for
483 providing valuable feedback at various stages throughout the project.

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663 Table

664 **Table 1.** Site information, watershed area and proportions of different land uses in the watersheds of our
 665 study sites. Watershed area determination and land use analysis completed using ArcGIS, land use
 666 calculations based on MetroVancouver land use dataset created in 2006.

Sites	# Flap gates (year installed)	Distance from ocean (km)	Total Area (km ²)	Agriculture (%)	Urban (%)	Other Human Use (%)	Undeveloped/ Protected (%)
<i>Reference</i>							
De Boville	-	42.1	8.63	4.17%	48.15%	1.30%	46.39%
McKenny	-	46.6	5.42	24.89%	51.71%	23.06%	0.35%
Smokwha	-	50.7	4.74	10.87%	0.00%	0.00%	89.13%
West	-	52.5	15.29	77.84%	0.79%	13.33%	8.04%
Nathan C.	-	55.2	10.54	89.59%	0.21%	6.87%	3.34%
<i>Floodgate</i>							
McLean	4(1984)	42.3	4.06	44.89%	0.00%	0.00%	55.11%
Cranberry	*(1984)	44.7	5.27	90.84%	0.00%	9.10%	0.06%
Fenton	2(1984)	45.7	3.33	86.80%	8.36%	4.84%	0.00%
Yorkson	2(1994)	43.3	17.12	34.34%	46.34%	12.68%	6.65%
Nathan S.	2(1950)	57.4	5.20	95.91%	0.00%	4.09%	0.00%

668 *Following our sampling it was determined that the structure at Cranberry Slough functions solely as a
 669 pumping station.
 670

671

672

673 **Figure Captions**

674 **Fig. 1.** Map of study area and region. Location of reference and floodgate sites is denoted by white and
675 black circles respectively, within the lower Fraser River watershed, which is outlined in grey. Inset
676 displays location of Fraser River watershed in western North America.

677 **Fig. 2.** Monthly measurements of dissolved oxygen concentrations taken at each site on each sampling
678 occasion. Each point represents a different site, dotted line connects the means for floodgates and
679 reference sites. Grey and black colouring indicates reference and floodgate sites respectively.

680 Measurements were taken just below the surface at noon or within thirty minutes, just upstream of
681 floodgates or the confluence in reference sites. The horizontal dotted line at 5mg/L represents the
682 instantaneous minimum dissolved oxygen concentration outlined by the Government of British
683 Columbia's recommended criterion for the protection of aquatic life.

684 **Fig. 3.** Non-metric multidimensional scaling plot using data for all fish species captured throughout our
685 sampling. Unidentified juvenile minnows are grouped with peamouth chub and northern pikeminnow
686 under the category minnow. Unidentified juvenile sunfish are grouped with pumpkinseed and black
687 crappie under the category sunfish. Each point represents one sampling occasion for one site, grey and
688 black colouring indicates reference and floodgate sites respectively, and size of points scales from
689 beginning to end of sampling period going from smallest to largest. Position of points is relative to Bray-
690 Curtis dissimilarity matrix generated from our catch data, position of species names represent weighted
691 average scores of species for ordination configuration. The stress score indicates the degree to which the
692 ordination explains the dissimilarity matrix in two dimensions.

693 **Fig. 4.** Abundances of specific fishes through time in floodgate (FG) and reference (Ref) sites.
694 Abundance data after $\log_{10}(x + 1)$ transformation of a) juvenile Chinook salmon, b) juvenile chum
695 salmon, c) juvenile coho salmon, d) three-spine stickleback, e) prickly sculpin, and f) all minnow species
696 (northern pikeminnow, peamouth chub, redbreast shiner and un-identified juvenile minnows combined), g)
697 all sunfish (pumpkinseed, black crappie and un-identified juvenile sunfish combined), h) largemouth bass,
698 and i) brown bullhead. Points represent the sum of three seine hauls and six minnow traps for an

699 individual site for each sampling occasion with black open circles representing reference sites and grey
700 full circles representing floodgate sites. Dotted lines connect means across sites for floodgate and
701 reference sites on each sampling occasion.

702 **Fig. 5.** Points representing model coefficients for the effect of floodgate presence on abundance of each
703 fish species. More positive values indicate larger positive impacts of floodgates on fish abundance, more
704 negative values indicate more negative impacts of floodgates on fish abundance. Data were normalized by
705 division by the standard deviation for each species prior to analysis; the model coefficients thus indicate
706 the impact of floodgate relative to observed variation of that species. Data coefficients are derived from
707 generalized additive models for the effect of floodgates on abundance data with a smoothing function for
708 the effect of date. Error distributions used for salmon and non-salmon species data were quasipoisson and
709 negative binomial respectively out of necessity to satisfy normality. The thick and thin lines represent 1
710 and 2 standard errors for these estimates respectively.

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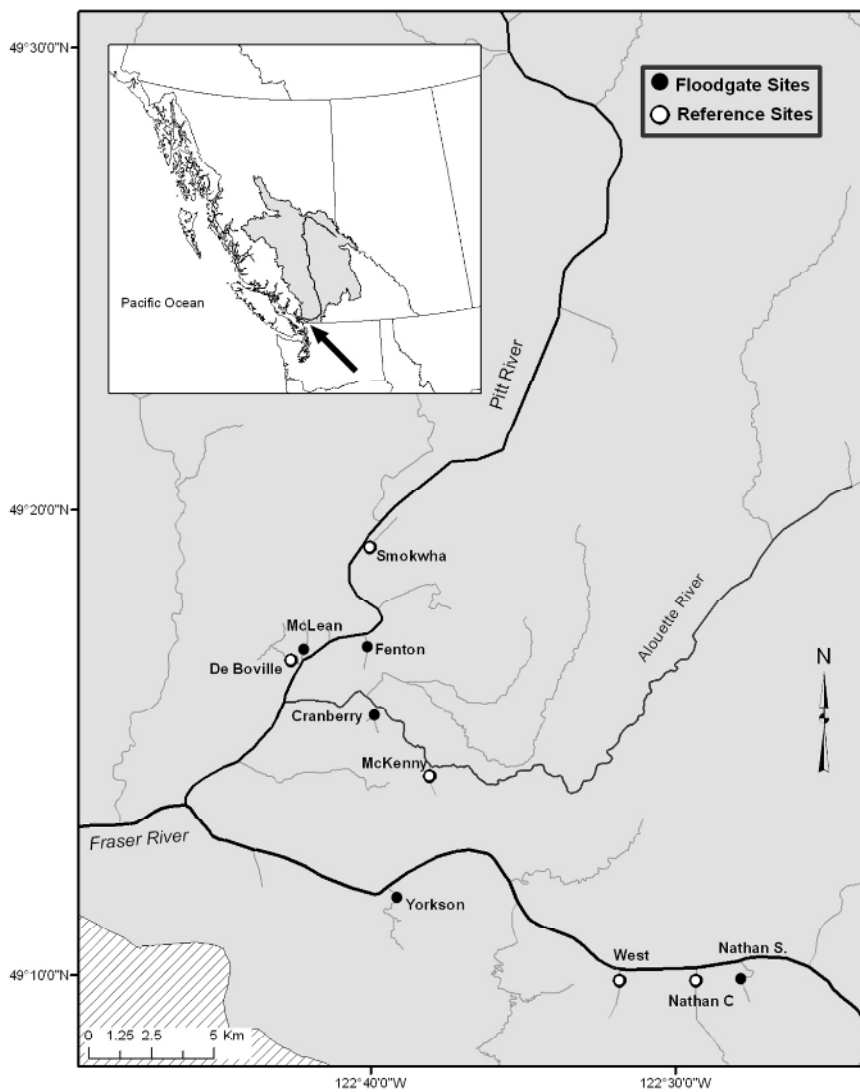


Fig. 1.
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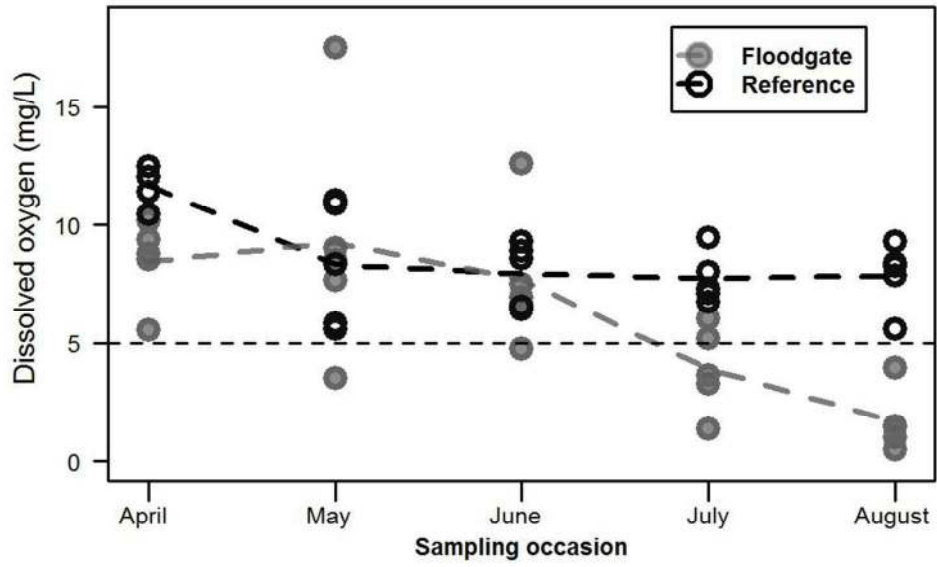


Fig. 2.
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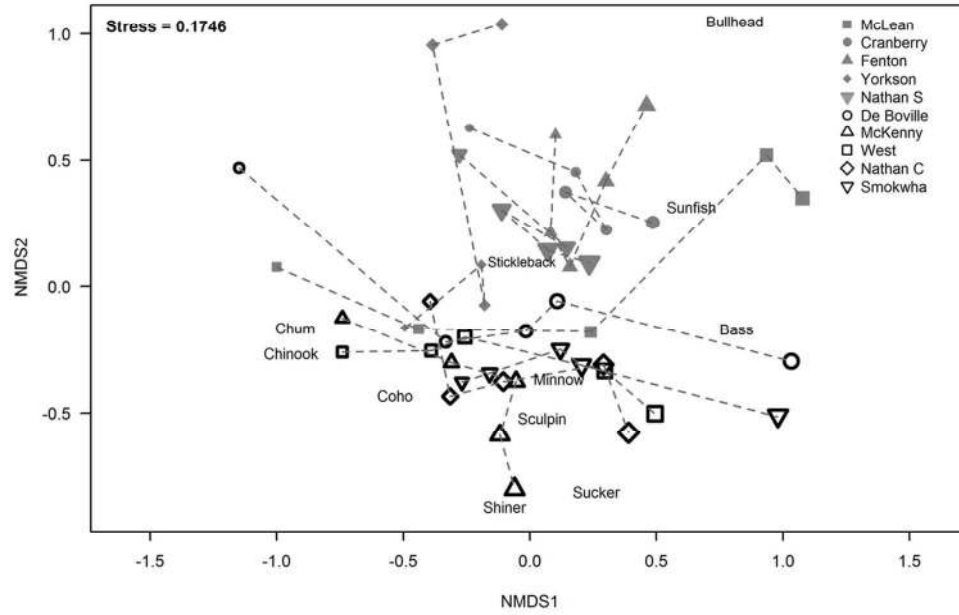


Fig. 3.
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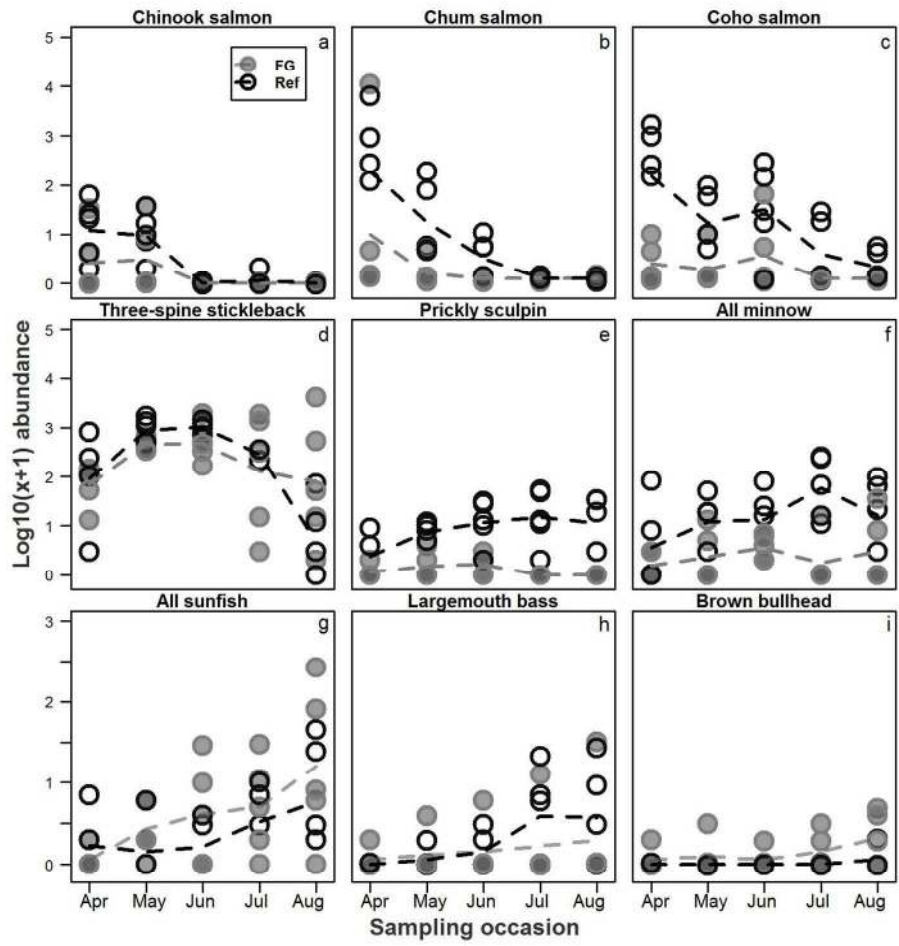


Fig. 4.
139x139mm (300 x 300 DPI)

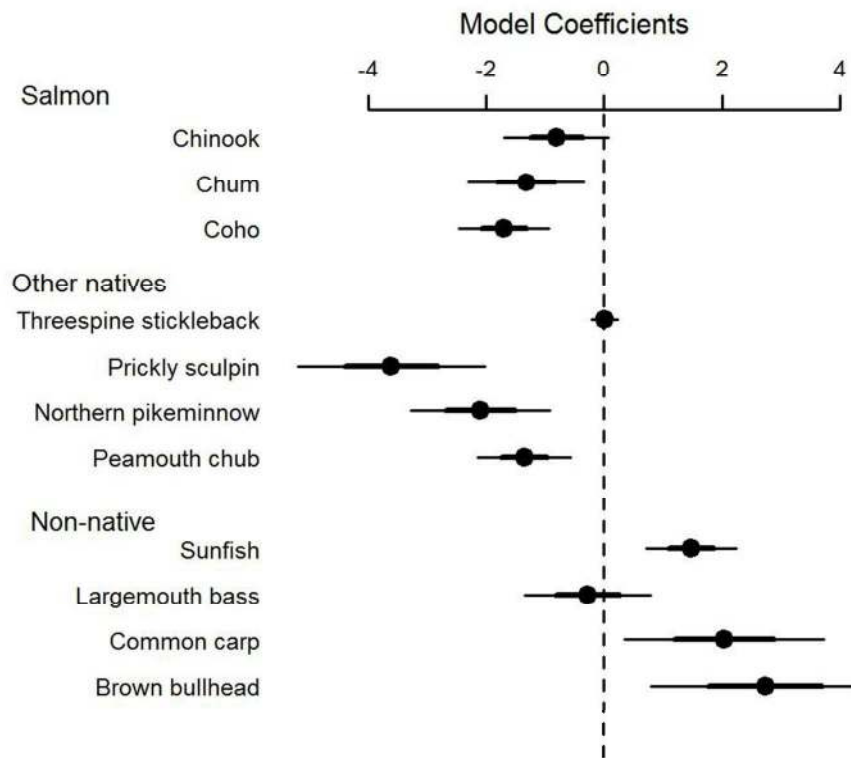


Fig. 5.
89x76mm (300 x 300 DPI)

Appendix

Table A1. Average water chemistry measurements with standard deviations for each site type from each sampling month. Measurements were taken just upstream of the floodgates or at equivalent locations at reference sites, just below water surface at 12:00 pm plus or minus 30 minutes on each sampling occasion.

Month	Type	Temperature (°C)	Dissolved Oxygen (mg/L)	Salinity (ppt)	Conductivity (mS/cm)
April	Reference	10.3 (±2.0)	11.69 (±0.79)	0.042 (±0.026)	0.084 (±0.053)
	Floodgate	9.8 (±1.0)	8.48 (±1.76)	0.064 (±0.026)	0.135 (±0.056)
May	Reference	14.4 (±0.6)	8.34 (±2.63)	0.046 (±0.029)	0.100 (±0.061)
	Floodgate	16.7 (±3.3)	9.25 (±5.10)	0.092 (±0.047)	0.194 (±0.098)
June	Reference	15.2 (±0.9)	7.95 (±1.36)	0.054 (±0.033)	0.113 (±0.066)
	Floodgate	17.0 (±0.9)	7.70 (±2.94)	0.122 (±0.053)	0.263 (±0.115)
July	Reference	17.6 (±2.1)	7.73 (±1.08)	0.056 (±0.038)	0.123 (±0.081)
	Floodgate	18.7 (±1.8)	3.91 (±1.82)	0.122 (±0.051)	0.257 (±0.109)
August	Reference	18.2 (±4.4)	7.88 (±1.38)	0.062 (±0.033)	0.133 (±0.067)
	Floodgate	18.8 (±2.1)	1.68 (±1.33)	0.120 (±0.060)	0.251 (±0.124)

Table A2. Total number of fish captured of each species by type of site. This represents the sum of three seine hauls and six minnow traps over five sampling occasions at five floodgate and five reference sites.

Species	Floodgate	Reference
Brown bullhead	15	1
Black crappie	1	2
Bull trout	0	1
Common carp	32	4
Chinook salmon	77	172
Chum salmon	102	152
Coho salmon	13	152
Cutthroat trout	1	1
Juvenile sunfish	391	26
Pacific lamprey	0	3
Largemouth bass	52	70
Largescale sucker	0	117
Unidentified minnow	4	95
Peamouth chub	33	207
Northern pikeminnow	55	608
Pink salmon	0	2
Prickly sculpin	9	335
Pumpkinseed	61	77
Rainbow trout	0	47
Redside shiner	0	44
Sockeye salmon	0	4
Three-spine stickleback	14500	13291
Weather loach	2	0

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