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Foliar sampling with a UAS reveals spectral and functional trait differences within tree crowns

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1 Foliar sampling with a UAS reveals spectral and functional trait differences within
2 tree crowns

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22

23

24 Abstract

25

26 Imaging spectroscopy is currently the best approach for continuously mapping forest canopy
27 traits, which is important for ecosystem and biodiversity assessments. Ideally, models are trained
28 with trait data from fully sunlit top-of-canopy leaves. However, sampling top-of-canopy leaves is
29 often difficult and sunlit foliage from the crown periphery is collected instead, assuming minimal
30 within-crown trait variation among sunlit leaves. We tested the degree to which crown position
31 affects foliar traits and spectra using mixed-effects models comparing sun leaves from crown
32 centres of mature *Acer saccharum* trees collected with DeLeaves, a novel twig-sampling
33 Unmanned Aerial System device, to sun leaves from the crown periphery collected with a pole
34 pruner. Sun leaves from the crown centre differed from sun leaves from the crown periphery in
35 absorption, reflectance and transmittance, and in a series of foliar traits, including leaf thickness,
36 leaf mass and leaf nitrogen content per unit area, pointing out differences in resource allocation
37 depending on sun exposure. Our study highlights the importance of matching exactly the location
38 of foliar samples and spectral data, and of sampling across gradients of intra-individual variation
39 for accurately predicting foliar trait distributions across and within canopies with imaging
40 spectroscopy.

41

42 Keywords

43 functional trait mapping, spectroscopy, twig sampling, Unmanned Aerial System, Unmanned
44 Aerial Vehicle

45 Introduction

46

47 Recent technological advances in remote sensing, including imaging spectroscopy, provide
48 ecologists with the unique ability to monitor the diversity and function of forests continuously
49 over large regions. In addition, the “ecological data revolution” provides researchers and forest
50 managers with an increasing amount of open access organismal and remote sensing data, and
51 with tools kits for processing and interpreting these data (Bush et al. 2017). Large-scale research
52 initiatives, such as the National Ecological Observatory Network (NEON,
53 www.neonscience.org), are making their protocols and databases, containing foliar trait,
54 community composition, and high resolution remote sensing data, publicly available. In addition,
55 space agencies are currently investing in new instrument fleets for Earth observation, including
56 imaging spectrometers allowing to remotely sense forest canopy traits repeatedly worldwide
57 (Stavros et al. 2017). Together with ground observation data, these instruments will enable
58 assessing the status of ecosystems and biodiversity at unprecedented detail (Turner 2014). This
59 commitment to monitoring planet Earth comes at a critical time as global losses in plant species
60 (Humphreys et al. 2019) and deforestation (Curtis et al. 2018) are putting ecosystem functions
61 and services at risk.

62 Functional trait ecology is the most promising approach for scaling biological interaction
63 across scales, and for modelling, predicting, and mitigating the effects of global change on
64 ecosystem function and services (Laughlin 2014; Lavorel and Garnier 2002; Shipley et al. 2016;
65 Violle et al. 2014). Plant functional traits are any characteristics that have a potentially
66 significant influence on an individual’s establishment, survival, and fitness. At the individual
67 scale, plant functional traits reveal trade-offs among a plant’s investment into different organs

68 (Osnas et al. 2013; Reich et al. 1997; Wright et al. 2004). At the community scale, investigating
69 plant functional traits and trait syndromes, collections of interrelated traits, provide insight into
70 resource partitioning and community assembly (Hart et al. 2016; McGill et al. 2006). And at
71 landscape and continental scales, incorporating plant functional traits improves predictions of
72 water and energy fluxes among atmosphere, biosphere, and geosphere in Earth System Models
73 (Rockström et al. 2009; Rogers et al. 2017), and can also guide large-scale conservation planning
74 (Asner et al. 2017). However, large inter- and intraspecific variation in plant functional traits
75 imposes difficulties on their integration in large-scale ecosystem studies (Serbin et al. 2019).
76 Measuring the traits of individual plants is laborious and it is logistically impossible to measure a
77 wide range of traits over large spatial extents (Ustin et al. 2004) with traditional field techniques
78 (see e.g. Perez-Harguindeguy et al. 2013). Remote sensing, particularly imaging spectroscopy
79 and light detection and ranging (Lidar), provide the only practical means for mapping
80 continuously and repeatedly the spatial distribution of chemical and structural plant traits (Jetz et
81 al. 2016; Schimel et al. 2013; Turner 2014), from which plant community types, plant functional
82 types and, in some cases, taxonomic groups can be inferred (for reviews see Fassnacht et al.
83 2016; Wang and Gamon 2019).

84 Spectroscopy is the study of the interaction between electromagnetic energy and matter,
85 and has a long history in detecting and quantifying chemical compounds in chemometrics
86 (Martens 2001; Wold et al. 1983) and planetary sciences (Schaepman et al. 2009). Light
87 absorption and scattering depend on the chemical composition and structural characteristics of
88 the material measured. Spectrometers measure the interaction of light and matter in dozens of
89 narrow wavelength channels, covering the visible (VIS, 400–700 nm), near- (NIR, 700–1000
90 nm) and shortwave-infrared (SWIR, 1000–2500 nm) regions of the electromagnetic spectrum.

91 Spectral profiles of plants (i.e., the curves resulting from spectral measurements at short
92 wavelength intervals) depend on a range of leaf and whole-plant traits (Gates et al. 1965;
93 Knipling 1970), including pigment composition, micro- and macronutrient content, water
94 content, specific leaf area (SLA), surface and internal structure of leaves, and canopy
95 architecture (see e.g., Curran 1989; Curran et al. 2001; Ollinger 2011; Slaton et al. 2001; Ustin et
96 al. 2009). Spectral profiles thus capture key differences in foliar chemistry, morphology, life
97 history strategies, and responses to environmental variation, which have evolved over time and
98 reflect ecological strategies (Cavender-Bares et al. 2017; Ustin and Gamon 2010). Ecological
99 applications of imaging spectroscopy include mapping of functional traits (e.g., Asner et al.
100 2011; Singh et al. 2015; Wang et al. 2019); the differentiation of plant communities (e.g., Foster
101 and Townsend 2004; Schweiger et al. 2017), species (e.g. Asner and Martin 2008; Clark et al.
102 2005; Lopatin et al. 2017), and genotypes (e.g., Madritch et al. 2014); the detection of disease
103 (Herrmann et al. 2018; e.g., Pontius et al. 2005) and stress symptoms (e.g., Asner et al. 2016;
104 Singh et al. 2016); and the estimation of other dimensions of plant biodiversity based on spectral
105 diversity (e.g., Draper et al. 2019; Féret and Asner 2014; Laliberté et al. 2019; Palmer et al.
106 2002; Rocchini et al. 2010; Schweiger et al. 2018; Wang et al. 2018). Imaging spectrometers are
107 regularly mounted on airplanes, including NASA's AVIRIS (Green et al. 1998) and ESA's
108 APEX (Schaepman et al. 2015) instruments, and experimental platforms, including mobile and
109 stationary tram systems (Gamon et al. 2006), and flux towers (Gamon 2015). Current frontiers in
110 imaging spectroscopy include the development of UAS's (Aasen et al. 2018; Arroyo-Mora et al.
111 2019) and upcoming spaceborne missions, such as ESA's EnMAP (Guanter et al. 2015), JAXA's
112 HISUI (Iwasaki et al. 2011), and NASA's SGB (National Academies of Sciences and Medicine
113 2018) missions.

114 Spectra of plants can be measured on dried and ground leaf material (e.g., (Curran et al.
115 2001; Kokaly and Clark 1999), on fresh leaf samples (e.g., Gates et al. 1965), and estimated from
116 spectral images (e.g., Green et al. 1998). Partial least squares regression (PLSR, Wold et al.
117 1983) is one the most popular statistical methods for modelling and predicting continuous
118 information from high dimensional data, such as spectra. Developed in the 1970's and 1980's for
119 laboratory spectroscopy (Martens 2001), PLS methods were specifically designed to deal with
120 the high degree of autocorrelation inherent in spectral data. For training a model with PLS,
121 spectra (the predictors or x variables) are being matched to continuous data (the outcomes or y
122 variables). For example, for functional trait mapping in forests, where harvesting all foliage
123 within a given area is usually impossible, the biological outcome variables can be determined
124 from leaf samples that are representative for the tree or trees present within a certain number of
125 pixels captured by the sensor. Generally it is expected that the centre pixel or pixels of tree
126 crowns dominate the spectral signal captured by airborne sensors with spatial resolutions at the
127 m-scale, and they also often have the best illumination. Thus, methodological guidelines in
128 imaging spectroscopy (see e.g., [https://www.neonscience.org/data-collection/protocols-](https://www.neonscience.org/data-collection/protocols-standardized-methods)
129 [standardized-methods](https://www.neonscience.org/data-collection/protocols-standardized-methods)) suggest sampling fully sunlit and mature leaves from the top of the
130 canopy. However, the very top of a tree (i.e., the crown summit) is not always reachable with
131 standard sampling equipment, such as pole pruners or line launchers. Canopy tops might be out
132 of reach even for professional tree climbers that can operate equipment from within the crown;
133 and more permanent infrastructure, such as canopy cranes, are difficult to deploy and expensive
134 (Charron et al. 2020). In addition, the degree to which the chemical and structural composition of
135 sunlit leaves from the top and centre of tree crowns are representative for the entire canopy is not
136 clear.

137 Leaf structure and function within canopies vary depending on gradients of light,
138 microclimate, and the degrees of herbivory and pathogen pressure (Niinemets 2007). Particularly
139 well studied are anatomical and biochemical differences among sun and shade leaves which
140 determine, for example, their maximum photosynthesis rates and longevity (Lichtenthaler et al.
141 1981; Niinemets 2007; Valladares and Niinemets 2008). At high light sun leaves
142 photosynthesize more and reach light saturation more gradually and at higher irradiance than
143 shade leaves, while at low light shade leaves can exceed the maximum photosynthesis rates of
144 sun leaves (Lichtenthaler et al. 1981). Generally, higher photosynthetic rates are associated with
145 sun leaves being thicker than shade leaves, which is mainly achieved by longer palisade
146 parenchyma cells increasing the space per unit area available for chloroplasts (Lichtenthaler et al.
147 1981; Niinemets 2007). In addition, sun leaves often have a more robust cuticle reducing water
148 loss and increasing specular reflectance at high irradiance. Shade leaves are generally thinner
149 than sun leaves and have proportionally more spongy mesophyll cells (Lichtenthaler et al. 1981),
150 which increases path length through light scattering within leaves increasing the probability of
151 photon absorption by chlorophyll. Although shade leaves have compared to sun leaves often less
152 chloroplasts per unit area, they can have higher chlorophyll concentrations per chloroplast
153 resulting in relatively similar chlorophyll concentrations per unit mass (Vogelman et al. 1996).
154 Comparative studies tend to describe differences among sun and shade leaves as extreme cases,
155 but it is clear that these represent the tails of the distribution of traits along more complex light
156 gradients within tree crowns (Ellsworth and Reich 1993; Gamon and Berry 2012; Niinemets
157 2007; Shipley et al. 2005; Valladares and Niinemets 2008).

158 Several studies have highlighted the importance of incorporating intra- and interspecific
159 variation for trait predictions from spectral images (Serbin et al. 2019; Singh et al. 2015), but

160 intra-individual variation in foliar traits within the outer tree crown surface has not received
161 much attention. Here we took advantage of a custom UAS for foliar sampling to collect leaves
162 from the centre and top of tree crowns which are difficult to reach with standard sampling
163 methods. We tested for differences in chemical and structural leaf traits and spectra between
164 paired samples of sunlit, mature and healthy leaves of ten sugar maple (*Acer saccharum*
165 Marshall) trees, one set from crown periphery collected with a pole pruner, the other set from the
166 top and centre of the crown collected with the UAS. We hypothesized that crown position would
167 influence leaf traits and spectral only marginally, such that samples collected with the pole
168 pruner would be representative for top of the canopy leaves.

169

170

171 Materials and methods

172

173 On 26 June 2019 we sampled sunlit leaves of ten sugar maple trees near Sherbrooke (45.582, -
174 71.875) in Southern Québec (Supplementary Fig.1) under dry and sunny conditions, with
175 minimal wind. The study site is located within the sugar maple-basswood bioclimatic domain,
176 and has a mean annual air temperature and precipitation of 5.1°C and 1287 mm, respectively.

177 The stands are dominated by sugar maple with some red maple (*Acer rubrum* L.), American
178 beech (*Fagus grandifolia* Ehrh.) and yellow birch (*Betula alleghaniensis* Britton). The soils are
179 typically Orthic Humo-Ferric and Ferro-Humic Podzols characterized by a sandy loam to loam
180 texture and a moder type forest floor varying in depth from 5 to 10 cm.

181 We collected two twigs from each tree crown, one from the side of the crown with a pole
182 pruner (Fig. 1A), and one from the top and centre of the crown using the DeLeaves Forestry

183 edition twig-sampling tool (DeLeaves, Sherbrooke, www.deleaves-drone.com; Charron et al.
184 2020) operated from an off-the-shelf Unmanned Aerial Vehicle (UAV; here a Tarot 680 Pro
185 Hexacopter; Fig. 1B). The DeLeaves sampling device consists of a grasping mechanism, a
186 rotating saw, a branch holding mechanism and a camera installed on a carbon fibre rod, and a
187 custom quick release system under the drone. It has a total weight of 1100 g and can be operated
188 from any UAV with a maximum payload allowance of 1500 g (for details see Charron et al.
189 2020)

190 After collection the twigs were immediately sealed into plastic bags, cooled and
191 measured as soon as possible. We measured spectral reflectance and transmittance of six healthy
192 and undamaged leaves per twig using a portable field spectrometer (SVC HR-1024i plus
193 software; Spectra Vista Corporation, Poughkeepsie, NY) covering the wavelength range of 340
194 to 2,500 nm in 1,024 spectral bands, fitted with an integrating sphere (DC-R/T, Spectra Vista
195 Corporation, Poughkeepsie, NY) following the standardized leaf sampling protocol developed by
196 the Canadian Airborne Biodiversity Observatory (CABO; Laliberté and Soffer 2018). Spectral
197 processing followed the CABO spectral processing protocol (Schweiger and Laliberté 2019) and
198 included correcting artefacts at the sensor overlap regions (around 900 nm and 1,900 nm,
199 respectively), resampling to 1 nm spectral resolution, removing noisy regions at the beginning
200 and end of the spectrum (centre wavelengths smaller than 400 nm or greater than 2,400 nm), and
201 applying a Savitzky-Golay filter to reduce sensor noise. We calculated absolute leaf reflectance
202 (%), transmittance (%), and absorptance (%; absorptance = 1 - reflectance - transmittance) per
203 leaf (see Laliberté and Soffer 2018), and averaged the values of six leaves per twig.

204 From each twig we collected a bulk leaf sample for foliar trait analysis. Leaves were
205 weighed in the field to determine leaf fresh mass (LFM, g), rehydrated for 6 h, weighed to

206 determine rehydrated leaf mass (RLM, g) and scanned for total leaf area (LA, cm²). Then leaves
207 were oven-dried for 72 h and weighed again to determine dry mass (LDM, g). Based on these
208 measurement we calculated leaf mass per area (LMA, g m⁻²) as $LMA = LDM \times LA^{-1} \times 10^{-4}$,
209 equivalent water thickness (EWT, μm) as $EWT = (LFM - LDM) \times LA^{-1} \times 10^4$, relative water
210 content (RWC, %) as $RWC = [(LFM - LDM) \div (RLM - LDM)] \times 100$, leaf dry matter content
211 (LDMC, mg g⁻¹) as $LDMC = LDM \times RLM^{-1} \times 10^3$, leaf water content (LWC, %) as $LWC = 1 -$
212 $LDMC$ (for details see Laliberté 2018), and leaf thickness (LT, μm) as $LT = LMA \times LDMC^{-1} \times$
213 10^4 (Vile et al. 2005). Leaf carbon (C, %) and nitrogen content on a mass basis (N, %) were
214 determined from oven-dried and ground samples using an elemental analyzer (CHNOS
215 Elemental Analyzer Vario Micro Select; Elementar Analysensysteme GmbH, Hanau, Germany;
216 see Ayotte et al. 2019). The concentrations on a mass basis (%) of carbon fractions, including
217 non-structural carbohydrates (NSC), hemicellulose, cellulose, and lignin, were determined from
218 oven-dried and ground samples using sequential digestion (Fiber Analyzer 2000; ANKOM
219 Technology, Macedon, NY; see Ayotte and Laliberté 2019). The contents of C, N, and fibre
220 fractions on an area basis (mg m⁻²) were calculated as the product of LMA and the respective
221 contents on a mass basis.

222 We tested the hypothesis that sun leaves from the top of the crown (sampled with the
223 UAS) differed from sun leaves from the side of the crown (sampled with the pole pruner)
224 regarding their foliar traits, and band-wise reflectance, transmittance, and absorptance using
225 linear mixed-effects (LME) models with “tree identity” as the random factor. Since p-values are
226 uninformative in LME’s, we used a likelihood ratio (LR) > 4 as the threshold indicating an effect
227 of sampling position on leaf traits and spectra, meaning that it would be least four times more
228 likely that leaves differ in their foliar traits and spectra depending on sampling position (M1)

229 than that they are the same (M0). We used R (R Core Team 2019) and the lme4 package (Bates
230 et al. 2014) for all analyses.

231

232

233 Results

234

235 Foliar traits

236

237 We found evidence for intra-individual differences in a series of leaf traits depending on crown
238 position (Table 1). Sun leaves sampled from the top and centre of the crown with the UAS had
239 greater LMA than sun leaves from the crown periphery sampled with the pole pruner (LR = 14.3,
240 $P < 0.001$; Fig. 2A). This difference was likely driven by an increase in leaf thickness (LR =
241 14.4, $P < 0.001$; Fig. 2B) and not leaf density, which is strongly correlated with LDMC (LR =
242 0.6, $P = 0.44$; see Vile et al. 2005). Because of the difference in leaf thickness, leaves from the
243 top and centre of the crown also had on an area basis (g m^{-2}) higher contents of N (LR = 14.9, P
244 < 0.001 ; Fig. 2C), as well as C (LR = 13.2, $P < 0.001$), NSC (LR = 14.2, $P < 0.001$), cellulose
245 (LR = 8.7, $P = 0.003$), and lignin (LR = 13.3, $P < 0.001$; Supplementary Fig. 2) than leaves from
246 the side of the crown. We found no differences in chemical traits between leaves from the top
247 and from the side of the crown when traits were expressed on a mass basis (%), except for
248 cellulose content which was lower in leaves from the crown centre (LR = 9.7, $P = 0.002$; Fig.
249 2F). Further, compared to leaves from the crown periphery, leaves from the crown centre had
250 higher EWT (LR = 10.9, $P = 0.001$; Fig. 2D), representing the amount of water at the time
251 measurement (i.e., the depth of the water column as we cross the leaf), but lower RWC (LR =

252 9.9, $P = 0.002$; Fig. 2E), representing the amount of water at the time of measurement relative to
253 a fully hydrated leaf.

254

255

256 Spectral properties

257

258 Several wavelength regions differed between sun leaves from the top of the crown and sun
259 leaves from the crown periphery. Leaves from the top of the crown absorbed more light in the
260 green, between ~ 510 and 570 nm, at the red edge, between ~ 710 and 750 nm, and across the
261 entire SWIR, at wavelength greater than ~ 1140 nm, than leaves from the crown periphery (Fig.
262 3A). Greater SWIR absorption by leaves from the top of the crown compared to leaves from the
263 crown periphery, including at the two major water absorption features at ~ 1450 and 1950 nm, led
264 to less forward- (i.e., transmittance) and back-scattering (i.e., reflectance) in this wavelength
265 region (Fig. 3B). Further, leaves from the top of the crown transmitted less light in the blue,
266 between ~ 400 and 450 nm, in the green, between ~ 500 and 600 nm, and in the NIR regions of
267 the spectrum compared to leaves from the crown periphery (Fig. 3B top). In addition, leaves
268 from the top of the crown compared to leaves from the crown periphery reflected more light in
269 the blue, between ~ 400 and 500 nm, and red, between ~ 650 and 700 nm, wavelength regions
270 (Fig 3B bottom).

271

272

273 Discussion

274

275 Tree crowns are complex systems within which resource allocation is influenced by three-
276 dimensional gradients of light, microclimate, herbivory, and pathogen pressure. We focus our
277 discussion on the interaction of light with leaves, as light is together with nutrients, water and
278 carbon dioxide (CO₂) a primary resource for plants. Light gradients occur throughout the canopy,
279 and, although we did not measure radiation rates or the duration of leaves' sun exposure
280 depending on crown position, it is likely that leaves from the side of the crown received less
281 intense sunlight for fewer hours than leaves from the top of the crown. We found that many
282 regions of the spectrum (Fig. 3) and a number of leaf traits, including LMA, leaf thickness,
283 EWT, RWC, the contents of C, N, NSC, cellulose, and lignin on an area basis, and cellulose on a
284 mass basis (Table 1) varied significantly with sampling position. Our results are consistent with
285 existing knowledge about the influence of light gradients on leaf structure and biochemistry
286 (Lichtenthaler et al. 1981; Niinemets 2007; Shipley et al. 2005). However, the differences in leaf
287 traits and spectra between sunlit foliage from the top compared to the side of the crown were
288 greater than we expected, given that all leaves were collected from the outer crown layer and
289 thus can be classified as sun leaves. On a light gradient ranging from maximum sun exposure
290 during daylight hours to full shade, leaves from the top of the crown resembled leaves
291 experiencing maximum sun exposure in form and function, while leaves from the crown
292 periphery resembled leaves receiving less light. This has important implications for imaging
293 spectroscopy and for models predicting foliar traits from spectra.

294 The increase in LMA and leaf thickness with crown centrality is likely to be explained by
295 the tendency of palisade cell length and number of palisade cell layers to increase with
296 increasing sun exposure (Lichtenthaler et al. 1981). Longer palisade cells and more palisade cell
297 layers tend to contain more chloroplasts per unit area, within which Ribulose-1,5-bisphosphate

298 carboxylase/oxygenase (Rubisco) fixes CO₂. Rubisco contains about 20–30 % of total leaf N in
299 C3 plants (Evans and Seemann 1989), and leaves in high light environments tend to have more
300 Rubisco leading to greater content of leaf N per unit area in thicker leaves (Niinemets 2007).
301 Notably, leaf N on a mass basis did not change with sampling position, probably because thinner
302 leaves with fewer chloroplasts tend to contain more chlorophyll per chloroplast (Niinemets
303 2007). Similarly, leaves from the top of the canopy had higher amounts of carbon related traits
304 on an area basis (Table 1, Supplementary Fig. 2), highlighting that changes in areas based
305 measurements in leaf chemistry arise largely through scaling with LMA (Ellsworth and Reich
306 1993). Cellulose content was the only leaf trait included in our study that varied significantly on
307 a mass basis between leaves from the crown centre and crown periphery. Although we did not
308 specifically investigate leaf anatomy, we explain this by thinner leaves from the crown periphery
309 likely having proportionally more vascular tissue, relative to mesophyll, with thicker cell walls
310 than the thicker leaves from the crown centre. Although, leaves from the crown centre had higher
311 EWT, indicating greater leaf water content at the time of measurement, than leaves from the
312 crown periphery, leaves from the crown centre were less turgescient, indicated by a lower RWC,
313 expressing leaf water content at the time of measurement relative to leaf water content of a fully
314 hydrated leaf. This was likely caused by a greater degree of sun exposure of the crown centre
315 compared to the crown periphery, which led to more water loss through transpiration during the
316 morning hours preceding our measurements. Sampling position affected all chemical leaf traits
317 (the contents of C, N, NSC, cellulose, and lignin), except for hemicellulose content, expressed on
318 an area basis, while it affected only one leaf trait expressed on a mass basis (cellulose content),
319 pointing out that area and mass based trait measurements describe fundamentally different trade-
320 offs. Generally, area based measurements more adequately describe physiological processes and

321 leaf anatomy, while mass based measurements better describe allocation patterns and energy
322 budgets.

323 Leaf water content strongly influences leaf spectral properties throughout the SWIR
324 (Curran 1989; Knipling 1970; Ollinger 2011). Water causes not only distinct absorption features
325 at 1450 nm and 1950 nm, and smaller features in the NIR-SWIR transition region at 980 nm and
326 1150 nm, but also generally high absorption beyond 1400 nm due to rotational-vibrational
327 properties of water molecules (Ollinger 2011). The increased SWIR absorption, and decreased
328 transmittance and reflectance of leaves from the top of the crown compared to leaves from the
329 crown periphery can be explained by their greater water content at the time of measurement
330 (EWT). Leaves from the centre of the crown compare to leaves from the crown periphery also
331 likely had more cell-to-air interfaces per unit area (Slaton et al. 2001), which probably led to
332 increased forward-scattering (i.e., reflectance) in the NIR (at the expense of transmittance), since
333 differences in density between air and water lead to refraction (Ollinger 2011). Leaves from
334 centre of the crown compared to leaves from the side of the crown absorbed more light in the
335 green, but reflected more (and transmitted less) in the red and blue regions of the spectrum,
336 which is likely associated with differences in pool sizes of photoprotective carotenoid pigments
337 relative to chlorophyll (Gamon and Berry 2012). We used the photochemical reflectance index
338 (PRI, Gamon et al. 1992) to compare our leaf samples. Generally, lower PRI values [calculated
339 as $PRI = (reflectance\ at\ 531\ nm - reflectance\ at\ 570\ nm) / (reflectance\ at\ 531\ nm + reflectance\ at\ 570\ nm)$]
340 are associated with higher carotenoid to chlorophyll ratio, lower epoxidation state of
341 xanthophyll cycle pigments which dissipate excess absorbed photosynthetically active radiation
342 as heat (Demmig-Adams and Adams 1996), and lower light use efficiency (Gamon et al. 1992).
343 Therefore, PRI and changes in PRI capture short term responses to excess light (xanthophyll

344 pigment conversion) as well as long term changes in pigment pool sizes due to leaf development,
345 leaf age, sun exposure, and chronic stress (Gamon et al. 1992; Gamon and Berry 2012). Most
346 leaves from the centre of the crown had lower PRI values than leaves from the crown periphery
347 (Supplementary Fig. 3). Given the time it takes to collect foliar samples and measure them, it is
348 likely that the PRI signal was primarily driven by differences in pigment pool size and not
349 xanthophyll cycle activity. Thus, our result points towards sun leaves from the centre of the
350 crown investing more in carotenoid pigments relative to chlorophylls, as they likely experience
351 increased sun exposure compared to sun leaves from the crown periphery.

352 The UAS twig sampling device offered the only possibility for us to collect leaves from
353 the top of crown of our maple trees within a reasonable amount of time (the average time for
354 sample collection was ~5 min). It would have been difficult for professionals to climb high
355 enough for reaching the top of the crowns with a pole pruner because tree branches were
356 relatively thin. Likewise, reaching the top of the tree with a line launcher (Youngentob et al.
357 2016) would have been difficult because of the high density of foliage, and using a canopy crane
358 was not an option due to the high cost and limited accessibility of the site. Pole pruners are easy
359 to use and inexpensive and are thus regularly used for twig sampling, including the collection of
360 foliar samples for remote sensing studies. Generally, it is assumed that healthy, mature, sunlit
361 leaves collected more towards the side of the crown are representative of the leaves from the
362 crown centre. However, our results show that this assumption can introduce bias for remote
363 sensing of foliar traits in forests. Combining imaging spectroscopy data collected at the m-level
364 from airplanes with trait data sampled from the same crowns is the current gold standard for
365 continuous trait mapping in forest ecosystems (Asner et al. 2017; Asner et al. 2011; Serbin et al.
366 2019; Singh et al. 2015). Although the careful matching of leaf samples and spectra has been

367 emphasized and possibilities for incorporating intra-individual trait variation at the vertical level
368 in trait mapping has been explored (Singh et al. 2015), less attention has been paid to intra-
369 individual variation at the outer layer of leaves in the crown. More studies are needed to quantify
370 the degree to which different parts of the canopy contribute to remotely sensed signals. Our
371 results suggest that remote sensing products, such as trait maps, could be improved by
372 incorporating intra-individual variation of foliar characteristics, for example by sampling leaves
373 from multiple position throughout the top layer of the crown. Focusing on the centre of the
374 crown with UAS precision sampling provides another option and could be combined with tree
375 segmentation algorithms allowing the automatic extraction of image pixels from crown centres
376 (e.g., Maschler et al. 2018). Looking ahead, trait mapping from spectral imagery acquired by
377 satellites would likely also benefit from incorporating intra-individual variation during the
378 upscaling process. In addition, imaging spectroscopy from UASs, an active area of research
379 (Arroyo-Mora et al. 2019), will provide exciting opportunities for studying the functional
380 complexity of tree crowns at the cm level. Matching spectra exactly to the positions of foliar
381 sample collection will be important for such high spatial resolution remote sensing studies.

382 In summary, our study highlights the importance of matching accurately leaves and
383 spectra during spectroscopic field campaigns. Twig sampling devices operated from UAS's
384 provide a valuable, cost- and time-effective option for sampling from the top and centre of tree
385 crowns (Charron et al. 2020), which are difficult to reach with conventional sampling methods.
386 Opportunities for imaging spectroscopy across scales are rapidly increasing (Turner 2014). Thus
387 far, imaging spectrometers have been mostly operated from airplanes at relatively high costs.
388 Upcoming satellite missions will collect spectral images of Earth repeatedly across large spatial
389 extents, while UAS imaging spectroscopy will allow investigating resource allocation in forests

390 at the cm level. Foliar traits express specific adaptations of leaves for optimizing resource
391 allocation within the complex light environment of a crown, including trade-offs in
392 photosynthesis, photoprotection, and longevity. Incorporating intra-individual foliar variation
393 can be expected to improve the accuracy of remote sensing products, which are becoming
394 increasingly important for predicting energy and water fluxes, and for global biodiversity
395 assessments.

396

397

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Draft

656 Tables

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658 Table 1. Differences in chemical and structural traits, and the photochemical reflectance index
 659 (PRI) between sunlit sugar maples leaves from the top and side of the crown; we rejected the null
 660 model (M0) of no effect of sampling position on foliar traits and PRI when the model including
 661 an effect of sampling position (M1) was at least four times (likelihood ratio > 4) more likely than
 662 M0 (highlighted in bold).

Trait	Model	df	Likelihood ratio	P value
Leaf thickness (μm)	M1	4	14.44	0.0001
Leaf mass per area (g m^{-2})	M1	4	14.34	0.0002
Equivalent water thickness (μm)	M1	4	10.91	0.0010
Relative water content (%)	M1	4	9.94	0.0016
Leaf water content (mg g^{-1})	M0	3	0.60	0.4378
Leaf dry matter content (mg g^{-1})	M0	3	0.60	0.4378
Nitrogen (mg cm^{-2})	M1	4	14.89	0.0001
Nitrogen (%)	M0	3	1.49	0.2216
Carbon (mg cm^{-2})	M1	4	13.20	0.0003
Carbon (%)	M0	3	0.25	0.6146
Nonstructural carbohydrates (mg cm^{-2})	M1	4	14.15	0.0002
Nonstructural carbohydrates (%)	M0	3	2.49	0.1149
Hemicellulose (mg cm^{-2})	M0	3	0.61	0.4362
Hemicellulose (%)	M0	3	0.77	0.3793
Cellulose (mg cm^{-2})	M1	4	8.73	0.0031
Cellulose (%)	M1	4	9.72	0.0018
Lignin (mg cm^{-2})	M1	4	13.27	0.0003
Lignin (%)	M0	3	0.16	0.6865
Photochemical reflectance index (PRI)	M1	4	4.31	0.0380

663

664 Figures

665

666 Fig. 1. Sampling of sunlit leaves (A) with a pole pruner from the side of the crown, and (B) with
667 an Unmanned Aerial System (UAS) and the DeLeaves twig-sampling tool from the top of the
668 crown.

669 A

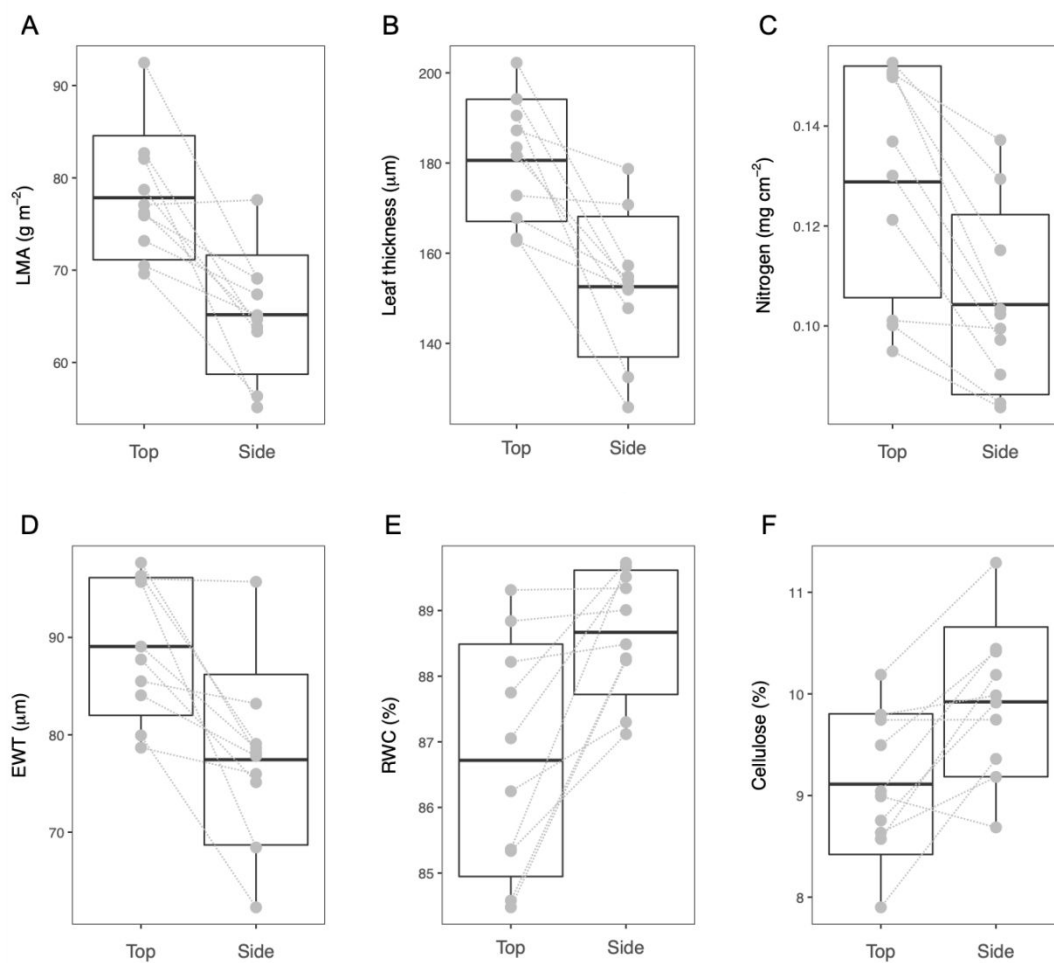


669 B



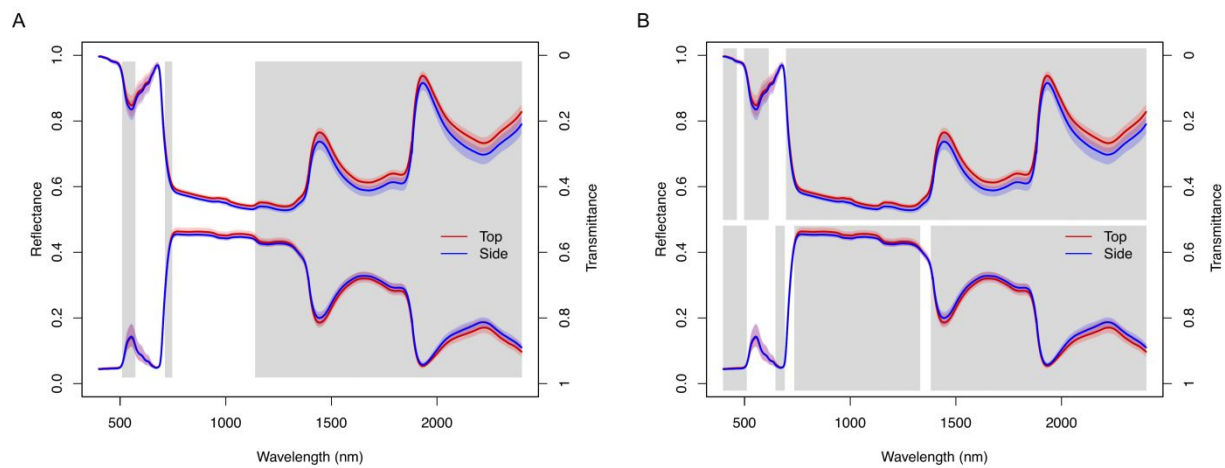
679

680 Fig. 2. Sunlit sugar maple leaves from the top of the crown had higher (A) leaf mass per area
681 (LMA, g m^{-2}), (B) leaf thickness (μm), (C) nitrogen content per area (mg cm^{-2}) and (D)
682 equivalent water thickness (μm), but lower (E) relative water content (RWC, %), and (F)
683 cellulose content per mass (%) than sunlit leaves from the side of the crown. Plots show means
684 \pm standard deviations; dashed lines connect samples from the same trees; for statistics see Table
685 1.



686

687 Fig. 3. Differences in (A) absorbance, and (B) absolute reflectance (bottom) and transmittance
688 (top) between sunlit sugar maple leaves sampled from the top (red) and side (blue) of the crown.
689 Wavelength regions that were at least four times more likely to be affected by sampling position
690 than not affected are shaded in grey; red and blue lines indicate mean spectra, red and blue
691 shaded areas 95% of the sample distribution.
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