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FOXO1 is an essential regulator of pluripotency in human embryonic stem cells

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Abstract

Pluripotency of embryonic stem cells (ESCs) is defined by their ability to differentiate into three germ layers and derivative cell types¹⁻³ and is established by an interactive network of proteins including OCT4 (also known as POU5F1; ref. 4), NANOG (refs 5,6), SOX2 (ref. 7) and their binding partners. The forkhead box O (FoxO) transcription factors are evolutionarily conserved regulators of longevity and stress response whose function is inhibited by AKT protein kinase.

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AUTHOR CONTRIBUTIONS

X.Z. and S.G. designed experiments and analysed data; X.Z. carried out most of the experiments, with significant help from S.Y. and some assistance from S-M.L., S.K.M. and P.R.; M.K. helped with the set-up of some critical techniques; R.S. analysed data; D-F.L. and J.S. designed and carried out experiments involving mESCs; N-W.C. designed and carried out antibody calibration experiments with the help of T-Y.J.Y.; M.L. and T.T. contributed key reagents; I.L. and G.K. provided valuable reagents and advice; S.G. conceived the project and wrote the manuscript.

COMPETING FINANCIAL INTERESTS

The authors declare no competing financial interests.

FoxO proteins are required for the maintenance of somatic and cancer stem cells⁸⁻¹³; however, their function in ESCs is unknown. We show that FOXO1 is essential for the maintenance of human ESC pluripotency, and that an orthologue of FOXO1 (Foxo1) exerts a similar function in mouse ESCs. This function is probably mediated through direct control by FOXO1 of OCT4 and SOX2 gene expression through occupation and activation of their respective promoters. Finally, AKT is not the predominant regulator of FOXO1 in human ESCs. Together these results indicate that FOXO1 is a component of the circuitry of human ESC pluripotency. These findings have critical implications for stem cell biology, development, longevity and reprogramming, with potentially important ramifications for therapy.

ESC pluripotency is maintained by OCT4 (octamer-binding transcription factor 4), NANOG and SOX2 (SRY-box containing protein 2), which form a feedback regulatory circuit positively regulating their own genes and activating genes encoding critical components of pluripotency while repressing genes important for developmental processes¹⁴. Identification of key regulators of ESC pluripotency provided a foundation for somatic cell reprogramming¹⁵⁻¹⁷ and is likely to have a critical impact on the use of human ESCs (hESCs) in regenerative medicine.

FoxO proteins are mammalian orthologues of DAF-16 (abnormal dauer formation protein 16), an essential protein in the regulation of stress response and ageing in *Caenorhabditis elegans*¹⁸. FoxO proteins are primarily phosphorylated and negatively regulated by AKT serine/threonine protein kinase downstream of the PI(3)K (phosphatidylinositol-3-OH kinase) signalling pathway^{19,20}. A number of kinases other than AKT also phosphorylate and regulate FoxO proteins either positively or negatively²¹⁻²³. In addition to phosphorylation, FoxO proteins are subject to several post-translational modifications such as acetylation²⁴⁻²⁶, ubiquitylation²⁷, methylation²⁸ and redox modulation²⁹. The combined output of this stringent multilayer regulation determines the subcellular localization of FoxO proteins and ultimately their transcriptional activity^{30,31}.

FoxO proteins exert key biological functions (reviewed in ref. 30) that seem overlapping but non-redundant, as evidenced by distinct phenotypes of their respective knockout models^{32,33} as well as studies in primary stem and progenitor cells^{9-13,34}. FoxO proteins are *bona fide* tumour suppressors, as demonstrated by the phenotype of their conditional deletion in mice⁸, and as such promote cell cycle arrest, induce apoptosis, contribute to DNA damage repair and suppress oxidative stress by modulating genes involved in these processes^{30,31}.

To address the potential function of FoxO proteins in human development, we analysed their expression in hESCs. ESC differentiation recapitulates early events of embryogenesis (reviewed in ref. 35), providing a suitable system for biochemical analyses of developmental processes under tightly controlled *in vitro* conditions. As previously predicted³⁶, FOXO1 was the most abundant FOXO at the messenger RNA level in undifferentiated pluripotent H1 hESCs (Fig. 1a and Supplementary Fig. S1). Importantly, FOXO1 protein was at least seven times more abundant than FOXO3A and FOXO4 (no *FOXO6* mRNA or protein was detectable, Supplementary Fig. S1b-f and data not shown) in these cells. The expression of FOXO1 was markedly downregulated during embryoid body formation and commitment to mesoderm and haematopoietic cells (Fig. 1a). Interestingly, in undifferentiated self-

renewing hESCs, most FOXO1 was nuclear (Supplementary Fig. S1g). A similar pattern of FOXO1 distribution was found in a distinct hESC line HES2 (Supplementary Fig. S2a) and was highly conserved during mouse ESC (mESC) differentiation (Supplementary Fig. S2b), collectively indicating a potential role for FOXO1 in regulating ESC fate. To investigate this, we used two distinct *FOXO1*-targeting short hairpin RNA (shRNA) sequences and a lentiviral vector to deliver tetracycline-inducible shRNAs to inducibly knockdown *FOXO1* in hESCs (H1/*FOXO1* shRNA, H1/*FOXO1* shRNA II). In these cells, the shRNA is driven by a tet-on hybrid promoter where the polymerase (Pol) III promoter H1 is fused to tetracycline operator sequences such that the shRNA expression requires the addition of doxycycline. For a complete description see the Supplementary Information and Supplementary Fig. S2c–j.

In the absence of doxycycline, stable expression of shRNA-containing lentiviral vectors did not perturb the normal development of experimental or control hESC-derived lines, which maintained pluripotency under appropriate culture conditions, and preserved full embryoid body formation and commitment to mesoderm and haematopoietic cells *in vitro* (Fig. 1b–e and Supplementary Figs S3 and S4). Three to four days after the addition of doxycycline, FOXO1 transcript was significantly reduced in both H1/*FOXO1*-shRNA and H1/*FOXO1*-shRNA-II cells maintained under pluripotency self-renewing conditions (Fig. 1b,c and Supplementary Fig. S4a). The expression of other FOXO proteins was not significantly altered (Supplementary Figs S4b and S5a,b), nor was their overall nuclear/cytoplasmic distribution (Supplementary Fig. S5c), indicating that the observed phenotype was specifically due to FOXO1 downregulation. Importantly, *FOXO1*-knockdown cells seemed to conserve their rate of growth and survival, as evidenced by the comparable timing of their confluency and the total number of DAPI (4,6-diamidino-2-phenylindole)-positive cells (data not shown).

Next, we assessed the potential effect of *FOXO1* knockdown on hESC pluripotency. Specific inhibition of *FOXO1* mRNA using two distinct shRNA sequences resulted in >90% depletion of FOXO1 protein expression within 72 h, which was accompanied by rapid downregulation of pluripotent *OCT4*, *NANOG* and *SOX2* expression in H1/*FOXO1*-shRNA and H1/*FOXO1*-shRNA-II cells (Fig. 1c,d and Supplementary Fig. S4a,b). These alterations were concomitant with a significant loss of surface markers of pluripotency TRA-1-60 and TRA-1-81 (Fig. 1e and Supplementary Figs S3a and S4c). Targeting *FOXO1* with a third shRNA led to a similar suppression of pluripotency gene expression, confirming that this phenotype was not the result of an off-target effect of shRNAs (Supplementary Fig. S5d). Furthermore, *FOXO1* knockdown in the hESC line HES2 caused a similar downregulation of pluripotency gene expression (Supplementary Fig. S5e). Most hESCs responded to *FOXO1* knockdown, as evidenced by immunostaining of cellular distribution of pluripotent proteins, indicating that the phenotype did not result from a minor subset of hESCs (Supplementary Fig. S5f). Together, these results indicate that FOXO1 has a critical function in the regulation of hESC pluripotency.

Interestingly, the effect of *FOXO1* knockdown on hESC pluripotency was reversible in that doxycycline withdrawal after 96 h resulted in recovery of FOXO1 expression as well as *OCT4*, *NANOG* and *SOX2* within a few days (Fig. 2a and Supplementary Fig. S6). These

results indicate that FOXO1 tightly controls pluripotency genes. *FOXO1* knockdown resulted in the spontaneous differentiation of hESCs maintained under pluripotent self-renewal conditions, as shown by the induction of mesoderm (*SCL*, also known as *TALI*; *GATA2*; and brachyury, also known as *T*) and endoderm lineage markers (*AFP*, *GATA4* and *SOX17*; Fig. 2a and Supplementary Fig. S6a–c). As none of these genes is known to be directly regulated by FoxO, these results also indicate that FOXO1 regulation of pluripotency is due in part to its participation in suppressing the mesoderm and endoderm lineage commitment. In this context, *FOXO1* knockdown did not impact the expression of markers of ectoderm specification *NES*, *TUBB3* and *GFAP* (data not shown).

In agreement with a critical function of FOXO1 in the regulation of hESC pluripotency, lentiviral-mediated ectopic FOXO1 expression induced a significant upregulation of *OCT4*, *NANOG* and *SOX2* in two different hESC lines (Fig. 2b and Supplementary Fig. S6d). These effects were specific to FOXO1, because ectopic expression of FOXO3A in these same two hESC lines did not significantly alter the expression of pluripotency genes (Fig. 2b and Supplementary Fig. S6d). Of note, overexpression of FOXO1 in hESCs also resulted in upregulation of *KLF4* and *REST*, genes associated with mESC pluripotency^{37,38} (Fig. 2b).

Targeting *FOXO1* in hESCs undergoing few passages in self-renewing conditions (Fig. 2c–e and Supplementary Fig. S7a, top) resulted in a morphological transition towards an epithelial flattened appearance. These morphological changes were accompanied by a nearly complete loss of alkaline phosphatase activity (Fig. 2c), loss of cell surface markers of pluripotency (Fig. 2d and Supplementary Fig. S7a) and loss of transcripts for *OCT4*, *NANOG* and *SOX2* (Fig. 2e and Supplementary Fig. S7b), all indicating loss of pluripotency in *FOXO1*-knockdown cells after few passages. Importantly, these alterations were concomitant with full acquisition of differentiation markers (Fig. 2e and Supplementary Fig. S7c,d). In contrast to its short-term impact (Fig. 2a), the effect of *FOXO1* knockdown on pluripotency did not seem to be reversible after few passages, indicating that FOXO1 is critical for the maintenance of pluripotency in hESCs over time (Supplementary Fig. S7e).

Signalling pathways regulating ESC fate differ between mESCs and hESCs, whereas transcriptional programs of pluripotency are relatively conserved^{39,40}. Importantly, as in hESCs, we found Foxo1 (mouse orthologue of FOXO1) to be critical for the regulation of pluripotency and differentiation of mESCs (Fig. 3), as determined by loss of alkaline phosphatase activity (Fig. 3a), suppression of pluripotency gene expression (Fig. 3b, top) and upregulation of certain developmental genes, such as the mesoderm specific brachyury (*T*; Fig. 3b, bottom). However, in contrast to hESCs, *Foxo1* knockdown resulted in the upregulation of the *Cdx2* (caudal type homeobox 2) trophoblastic marker in mESCs, as would have been predicted from *OCT4* downregulation⁴¹. This result probably reflects differences in responses to *OCT4* downmodulation in mESCs versus hESCs that represent discrete pluripotency states developmentally at distinct stages⁴². Interestingly, in mESCs, although *Foxo3* knockdown also resulted in loss of pluripotency (Fig. 3c, top), it did not lead to modulations of brachyury expression as seen with *Foxo1* knockdown (Fig. 3c, bottom), indicating a potential function for Foxo3 in upregulation of brachyury gene expression during mesoderm induction.

To further confirm the specificity of loss of FoxO1 on pluripotency, we rescued the observed phenotype by overexpression of a resistant form of the targeted FoxO1 using two different strategies in both hESCs (Fig. 3d) and mESCs (Fig. 3e and data not shown). These findings validated unambiguously our results implicating FoxO1 in the regulation of pluripotency in ESCs.

hESCs form teratoma-like masses when introduced into immunodeficient mice⁴³. This generally accepted approach demonstrates the developmental potential of pluripotent hESCs *in vivo*. We reasoned that if FOXO1 is critical for hESC pluripotency, loss of its activity should prevent hESCs from forming teratomas *in vivo*. Whereas almost all immunocompromised severe combined immunodeficient (SCID)-Beige mice injected with control cells formed well-encapsulated cystic tumours that contained elements of all three embryonic germ layers, only two of nine mice injected with doxycycline-induced H1/*FOXO1* shRNA formed tumours, all of which were relatively small (Supplementary Fig. S8a–m).

To investigate the mechanism of FOXO1 control of pluripotency, we investigated whether FOXO1 regulates hESC cycling, apoptosis or redox status. *FOXO1* knockdown did not significantly modulate hESC proliferation (Fig. 4a and Supplementary Fig. S8n), or apoptosis (Supplementary Fig. S8o). In addition, it did not alter the expression of anti-oxidant enzymes or stress genes that accompany oxidative stress particularly in stem cells^{9,10} (Fig. 4b). In agreement with these results, reactive oxygen species (ROS) levels were not significantly increased in FOXO1-knockdown hESCs (Supplementary Fig. S8p). Furthermore, the anti-oxidant *N*-acetyl-cysteine (NAC) treatment did not impact pluripotency genes in these cells (Fig. 4c), altogether strongly arguing against redox modulation in mediating the effect of FOXO1 on pluripotency.

These results led us to investigate whether FOXO1 directly regulates pluripotency gene expression. Using rVista tools, multi-LAGAN alignment and the TRANSFAC database, we analysed 5-kb regions upstream of pluripotency genes. These analyses identified highly conserved sequences containing putative FoxO binding sites⁴⁴ within the regulatory regions of *OCT4* (O1, O2) and *SOX2* (S1, S2, S3) genes (S1 and S3 each containing two clusters each) but not those of the *NANOG* gene (Fig. 5a).

In pluripotent self-renewing hESCs, endogenous FOXO1 bound specifically to sequences within regulatory regions of *OCT4* (O2) and *SOX2* (S1, S3) genes, as revealed by chromatin immunoprecipitation (ChIP; Fig. 5b and Supplementary Fig. S9a,b). In these experiments the *p 27^{KIP1}* promoter, a known FOXO target, served as a positive control, whereas a conserved upstream region of human *OCT4* (NEG Seq O) and human *SOX2* (NEG Seq S) lacking FOXO-binding sequences served as negative controls. Importantly, FOXO1 binding to these sites was significantly reduced in doxycycline-treated cells when compared with controls (Fig. 5c), further validating the specificity of FOXO1 binding. These *in vivo* findings were corroborated by *in vitro* gel mobility shift assays using nuclear extracts of HEK293T cells ectopically expressing FOXO1 (Supplementary Figs. S9c,d). In agreement with these results, reporter assays showed that FOXO1 activates *OCT4* and *SOX2* transcription through regulation of O2 in *OCT4*, and the S1 and S3 regions in *SOX2* (Fig. 5d

and Supplementary Fig. S10a–c). These experiments also revealed that FOXO3A binds to the O2 sequence of OCT4 in hESCs and activates to some extent OCT4 luciferase reporter in HEK293T cells (Fig. 5b,d). To further evaluate this, we examined the activation of the full-length (3 kb) human OCT4 promoter by overexpressed FOXO proteins in hESCs. As shown in Fig. 5e, ectopically expressed FOXO1 highly activates (four times more than FOXO3A) human OCT4 in hESCs. Importantly, mutation of the O2 sequence abolishes the activation, indicating that O2 is the principal mediator of FOXO1 induction of OCT4 in hESCs.

In contrast to FOXO1, and consistent with overexpression studies in hESCs (Fig. 2b and Supplementary Fig. S6d), FOXO3A did not bind to *SOX2* regulatory regions *in vivo*, nor did it have any impact on *SOX2* transcription (Fig. 5b,d and Supplementary Fig. S10b), despite binding to the *SOX2* promoter in gel mobility shift assays *in vitro* (Supplementary Fig. S10e).

The differential impact of FOXO1 versus FOXO3A on pluripotency genes may be explained by the differential regulation of FOXO proteins, and specifically their nuclear localization, in hESCs (see Supplementary Figs S1g and S5c). Indeed, the function of FoxO proteins is determined by the integrated balance of competing stimuli^{30,31}. Notable among negative regulators of FOXO proteins is AKT, which is critical for ESC self-renewal^{45,46}. AKT-dependent phosphorylation of FOXO proteins triggers their rapid nuclear export by multiple means to ensure the inhibition of their transcriptional activity^{30,31}. As anticipated, in self-renewing hESCs the PI(3)K/AKT signalling pathway is activated in response to basic fibroblast growth factor (bFGF) stimulation resulting in AKT phosphorylation of FOXO1 (Fig. 5f, lanes 2–5). Interestingly, FOXO1 (but not FOXO3A) is mostly nuclear despite abundant pAKT in hESCs cultured in bFGF (Fig. 5f, lane 1, Fig. 5g, top and Supplementary Fig. S11).

Inhibition of AKT by the PI(3)K inhibitor LY294002 leads to FOXO1 dephosphorylation and increased nuclear FOXO1 intensity (Fig. 5f, lane 5; Fig. 5g). These results indicate that in hESCs, FOXO1 is nuclear and transcriptionally active despite its phosphorylation by AKT, presumably because its inhibition by pAKT is overridden by other signalling pathways²⁸. These findings are reminiscent of regulation of Foxo3 in adult stem cells^{10,47} and are consistent with the notion that the output of competing signalling pathways (and not AKT alone) ultimately determines the nuclear localization and activity of FOXO proteins^{30,31}.

The present study demonstrates an essential function for FOXO1 in the regulation of hESC fate. Thus, the longevity FoxO proteins emerge as critical non-redundant regulators of both somatic and embryonic stem cell activity. Specifically, Foxo3 is essential for the maintenance of haematopoietic, neural and leukaemic stem cells^{9–13}, whereas FOXO1 is critical for regulating hESC pluripotency. Similarly to certain other pluripotency gene-deleted mice such as *Sox2*^{-/-}, *Tcl1*^{-/-} or *Tbx3*^{-/-} (refs 7,48,49), *Foxo1*^{-/-} mice do not exhibit an early (pre-gastrulation) developmental defect³³. This may be due to the regulation of pluripotency by both Foxo1 and Foxo3 in mESCs in contrast to hESCs (mESCs being at an earlier developmental stage than hESCs)⁴², indicating that perhaps during early stages of

mouse development Foxo1 and Foxo3 are redundant *in vivo*, as supported by our findings in mESCs (Fig. 3). Alternatively, lack of early lethality of *Foxo1*^{-/-} mice may be due to species-specific differences or, as in the case of *Sox2*^{-/-} (ref. 7), to the potential presence of long-lived maternal Foxo1 protein at the early stages of *Foxo1*^{-/-} embryonic development. Given OCT4 binding to FOXO1 promoter in hESCs¹⁴, these findings indicate that FOXO1 is a component of the circuitry of hESC pluripotency and support the notion that activation of FOXO1 may be used for improving somatic cell reprogramming¹⁵⁻¹⁷.

METHODS

Maintenance and differentiation of ESCs and flow cytometry

hESC lines H1 (NIH code WA01, WiCell Research Institute) and HES2 (NIH code ES02, ES Cell International (ESI)) were maintained on Matrigel (Becton Dickinson)-treated six-well plates using mTeSR1 medium (StemCell Technologies). hESC differentiation and haematopoietic colony-forming assays were carried out as previously described⁵⁰. mESC maintenance and differentiation were carried out as previously described⁴⁶.

Cells were stained with anti-TRA-1-81 (Santa Cruz), anti-KDR-PE (R&D Systems), anti-CD34-PE-cy7 (BD Pharmingen), anti-PECAM-1 (CD31)-FITC (BD Pharmingen) and/or anti-CD117-APC (Invitrogen) and analysed with a fluorescence-activated cell sorting (FACS) LSRII flow cytometer (Becton Dickinson).

Plasmids, generation of H1TetR cells, lentiviral production, ESC transduction and PCR reactions

H1 hESCs (2×10^6) were transfected with 5 μg of FspI-linearized tetracycline repressor protein (TetR)-expressing plasmid pcDNA6/TR (Invitrogen) using nucleofection (Amaxa kit VPH-1001 & Nucleofector). Blasticidin-S-resistant ($5 \mu\text{g ml}^{-1}$, Invitrogen) hESC colonies were picked two weeks later, expanded and maintained in the presence of $10 \mu\text{g ml}^{-1}$ blasticidin S. One of positive colonies was used in subsequent experiments.

All shRNAs (Supplementary Table S1) used in hESCs were cloned into EcoRI/XhoI sites of the pL4-H1/TetO4 lentiviral vector. *FOXO1* shRNA III targets the 3' untranslated region of the *FOXO1* mRNA. shRNAs used in mESCs were inserted into the lentivirus-based pLKO.bsd vector. *FOXO1* and *FOXO3A* complementary DNAs were cloned into the HpaI site of the pLEIGW vector. The 3-kb human OCT4 promoter from pOCT4-Luc (plasmid # 17221, Addgene)¹⁶, amplified by PCR (see Supplementary Table S1), and the regulatory regions containing putative FOXO-binding sites of human *OCT4* or *SOX2* were inserted between KpnI and BglII sites of the pGL3 vector containing a TATA box⁵¹. shRNA-resistant Foxo1 (Foxo1-m4), generated by site-directed mutagenesis without altering the amino acid sequence, was inserted into the pSIN-EF1 α -IRES-Puro lentiviral vector.

Lentiviral vectors were packaged by co-transfection of pLP1, pLP2 and pLPVSVG plasmids (all from Invitrogen) into HEK293T cells, concentrated and stored at -80°C . Cells were transduced with viral particles in media containing polybrene (Sigma; hESC, $4 \mu\text{g ml}^{-1}$; mESC cell line CCE, $8 \mu\text{g ml}^{-1}$). Zeocin (Invitrogen, $1-2.5 \mu\text{g ml}^{-1}$) and blasticidin S ($5 \mu\text{g ml}^{-1}$) were added to H1-derived cells. Resistant and GFP-positive hESC colonies were

picked 2-3 weeks later and expanded. mESCs were selected four days later in ESC media supplemented with puromycin ($1 \mu\text{g ml}^{-1}$) and blasticidin S ($5 \mu\text{g ml}^{-1}$) added for an additional four days. PCR with reverse transcription (RT-PCR) and quantitative PCR with reverse transcription (qRT-PCR) analyses were carried out as previously described¹³ (see Supplementary Table S1 for primers).

GST-fusion protein and western blot analysis

Glutathione *S*-transferase (GST)-FOXO1 was generated by inserting FOXO1 amino acids 471–598 into pGEX-4T1 and bacterially expressed in DH5 α induced with 0.5 mM isopropyl- β -D-thiogalactoside (IPTG) at 37 °C for 2 h. GST-FOXO3A (Addgene plasmid 1790, provided by M. Greenberg, Harvard University, USA) and GST-FOXO4 (provided by L. Zhi-Ping, UTSW, USA, PMID: 17242183) were induced in Rosetta 2(DE3) with 0.5 mM IPTG overnight. All GST fusions were purified over glutathione affinity resins, and the predominant bands were quantified in Coomassie-stained gels by comparison with an albumin standard (Thermo Scientific). The immunogens were amino acids 471–598 of FOXO1 (Santa Cruz Cat. No. 11350), regions surrounding amino acid 50 of FOXO3A (Cell Signalling Cat. No. 2497) and the amino terminus of FOXO4 (Santa Cruz cat. No. 5221).

The nuclear and cytoplasmic extracts were prepared using NE-PER Nuclear and Cytoplasmic Extraction Reagents (Pierce) following the manufacturer's instructions. Cell lysates were prepared in Laemmli buffer (50 mM Tris-Cl at pH 6.8, 2% SDS, 10% glycerol, 0.1% β -mercaptoethanol and 0.1% bromo phenol blue), resolved by SDS-polyacrylamide gel electrophoresis (PAGE), transferred onto polyvinylidene fluoride membranes and probed with the following primary antibodies: goat anti-FOXO1 (N-18; 1:250), rabbit anti-FOXO1 (H-128; 1:250), goat anti-FOXO3A (N-15; 1:500), goat anti-FOXO4 (N-19; 1:500), mouse anti-OCT4 (1:500), rabbit anti-NANOG (1:500), rabbit anti-SOX2 (1:300) and goat anti-actin (1:1,000), all from Santa Cruz biotechnology, and rabbit anti-TetR (Sigma, T-0951; 1:500). Rabbit anti-phosphoFOXO1 (Ser 256), anti-AKT and anti-phosphoAKT (Ser 473), all from Cell Signaling, were used at 1:1,000. The bound antibodies were detected by horseradish peroxidase (HRP)-conjugated secondary antibodies (1:2,000, Pierce or Santa Cruz).

ChIP assay

ChIP was carried out as previously reported^{52,53}. Briefly, 1×10^7 H1 hESCs were crosslinked at room temperature with formaldehyde (1% for FOXO1 antibodies, 0.4% for FOXO3A antibody). Cells were sonicated in SDS-lysis buffer (50 mM Tris-HCl at pH 8.0, 10 mM EDTA, 1% SDS), after which protein lysate was diluted fivefold in ChIP dilution buffer (20 mM Tris-HCl at pH 8.0, 1% Triton X-100, 2 mM EDTA, 150 mM NaCl and 0.01% SDS). An aliquot of precleared lysates (100 μl) was used as a control for the amount of input DNA. The rest was immunoprecipitated with 5 μg anti-FOXO1 (N-18 or H-128, Santa Cruz Biotechnology), or anti-FOXO3A (N-15, Santa Cruz Biotechnology or 07-702, Millipore) antibodies, overnight at 4 °C. As a negative control, anti-goat or anti-rabbit IgG (Cell Signaling) was used. The antibody-FoxO-DNA complexes were reverse crosslinked for DNA isolation and quantitative PCR (qPCR) analysis. qPCR was carried out in duplicate

on an ABI PRISM 7500 Sequence Detection system (Applied Biosystems) using SYBR Premix Ex Taq (Takara). Primer sequences are shown in Supplementary Table S1.

Sequence alignment and identification of FOXO-binding sites in *OCT4* and *SOX2* regulatory regions

To identify the putative FOXO-binding sites in *OCT4* and *SOX2* regulatory regions, the most recent annotated versions of the 5-kb genomic sequences upstream of the transcription start site of the *OCT4* and *SOX2* locus in mouse, rat and human were obtained from the ENSEMBL Genome Browser (<http://www.ensembl.org>). The three-way alignment was created using the Multi-LAGAN tool (<http://genome.lbl.gov/vista/phylovista/index.shtml>). The rVista tool (<http://rvista.dcode.org>) was run to identify putative FoxO binding sites. Conserved binding sites are defined in rVista as sequence fragments conserved between at least two species at the level of over 80% over a 24-base-pair window. For comparison, visualization of the alignment and FOXO-binding site searches within the alignment were repeated using the TRANSFAC database (<http://www.generegulation.com/pub/databases.html>).

Reporter gene assay

HEK293T (1.5×10^5 cells per well) were co-transfected with 0.5 μ g pcDNA3-FOXO1, pcDNA3-FOXO3A or an empty control vector and 0.5 μ g of the Firefly luciferase reporter gene along with 50 ng pRL-TK (Promega). hESCs (1×10^6 H1 cells) were co-transfected with 2.5 μ g pLEIGW-FOXO1, pLEIGW-FOXO3A or pLEIGW empty vector and 2.5 μ g of the luciferase reporter vector along with 250 ng pRL-TK (Promega) using nucleofection. Firefly luciferase activity was normalized to the corresponding *Renilla* luciferase activity.

Measurement of intracellular ROS levels

ROS levels were measured as previously described^{10,13,34}. hESCs (5×10^5) were probed with 2,3,4,5,6-pentafluorodihydroxytetramethylfosamine (RedoxSensor Red CC-1; Molecular Probes-Invitrogen, 3 μ M), and hESCs incubated with H₂O₂ (200 μ M) were used as positive controls.

Electrophoretic mobility shift assay

Nuclear extracts of HEK293T cells were isolated in two steps using hypotonic buffer (20 mM HEPES, 10 mM KCl, 1 mM MgCl₂, 0.5 mM dithiothreitol, 0.1% Triton X-100 and 20% glycerol) containing protease inhibitors (Roche) and nuclear extraction buffer (hypotonic buffer with 420 mM NaCl) and stored at -80 °C. The 2 \times DNA-protein binding reaction buffer was composed of 100 mM KCl, 20 mM MgCl₂ protein, 0.1 mM dithiothreitol, 1% NP-40 and 5% glycerol in 20 mM Tris-HCl at pH 7.5, supplemented with 0.1 μ g ml⁻¹ polydeoxyinosinic-deoxycytidylic acid. Total nuclear extracts (5 μ g) were used in each binding reaction in a total volume of 20 μ l at room temperature. A total of 100 ng of each probe (S1, S3, O2 wild type and mutant, and insulin response sequence as a positive control)¹⁹ was labelled with [γ -³²P]ATP (PerkinElmer) using the T4 Polynucleotide Kinase. In competition experiments, 200 molar excess of unlabelled probe was added to the binding reaction 15 min before the ³²P-labelled probe. For supershift experiments, nuclear extracts

were pre-incubated with 2 μ g of anti-FOXO1 (N-18) or anti-HA antibodies (Santa Cruz Biotechnology) for 45 min at 4 °C.

Cell proliferation and apoptosis

BrdU (5-bromodeoxyuridine) labelling and annexin V-binding assays were carried out as previously described^{10,13}. Ki-67 staining for flow cytometry was carried out according to the manufacturer's recommendations.

Histochemistry and immunocytochemistry staining

Alkaline phosphatase activity was detected using Alkaline Phosphatase Substrate kit I (Vector Laboratories) following the manufacturer's protocol.

Cells were fixed with 4% paraformaldehyde, then permeabilized with 0.3% Triton X-100 for 30 min followed by washing and blocking with 4% goat serum in PBS. Cells were then incubated with the following antibodies: mouse anti-SSEA-4, mouse anti-TRA-1-60, mouse anti-TRA-1-81 monoclonal and rabbit anti-FOXO3A (07-702, Millipore) antibodies (1:50), mouse anti-OCT4 (C-10) and rabbit anti-SOX2 (H-65) antibodies (1:50), goat anti-FOXO1 (N-18; 1:50), goat anti-FOXO3A (N-15; 1:200), goat anti-FOXO4 (N-19; 1:200), all from Santa Cruz, and goat anti-brachyury (T, AF-2085, R & D systems) antibody (1:50). The Alexa Fluor 594-conjugated secondary antibodies (Invitrogen) were used at 1:500 dilution (1:100 for brachyury staining). Double immunostaining was carried out using anti-FOXO1 (N-18; Santa Cruz) and anti phospho-AKT (Ser 473; Cell Signalling) at 1:50 and 1:25 dilution, respectively. After washing three times, slides were mounted using Vectashield with DAPI (Vector Laboratories) and visualized by a Leica DMRA2 fluorescence microscope (Leica).

Southern blot analysis

Genomic DNA was isolated using a DNeasy Tissue kit (QIAGEN), digested with EcoRI and KpnI, separated by 1% agarose gel electrophoresis and transferred to a nylon membrane (Amersham Bioscience) in 10 \times SSC (1.5 M NaCl and 0.15 M sodium citrate) overnight. PCR-amplified TetR and enhanced fluorescent green protein (eGFP) gene fragments were used as probes for hybridization. The TetR probe detects a 1.5-kb band and the eGFP probe a 1.7-kb band.

Teratoma formation

Doxycycline-treated or non-treated hESCs (1×10^6) were resuspended in 20 μ l hESC media and injected under the kidney capsule of four-week-old male SCID-Beige mice. Four to eight weeks later, tumours (>5 mm in diameter) were surgically removed, fixed in 4% paraformaldehyde overnight and embedded in paraffin. Sections were stained with haematoxylin and eosin.

Statistical analysis

Data represent mean \pm s.e.m. of the number (n) of independent experiments unless indicated otherwise. Statistical significance has been calculated by a two-tailed Student t -test between the indicated groups.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

ACKNOWLEDGEMENTS

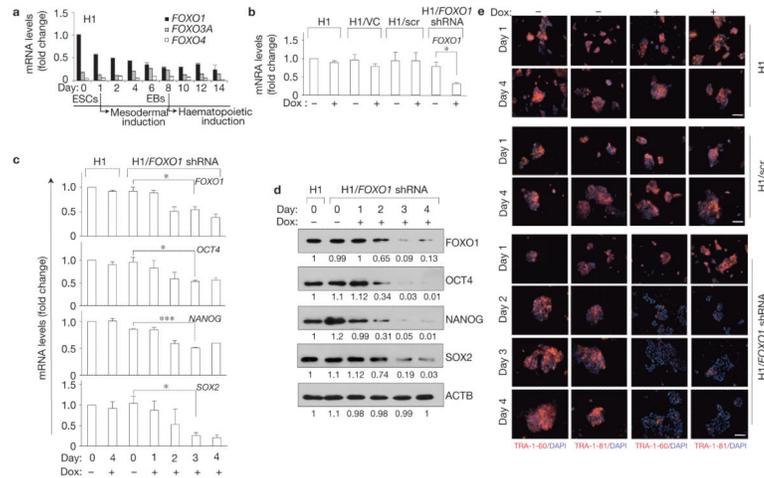
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**Figure 1.**

FOXO1 is essential for the expression of hESC pluripotency markers. **(a)** qRT-PCR analysis of expression of *FOXO* genes in pluripotent undifferentiated hESCs and during mesodermal induction. The expression levels of *FOXO3A* and *FOXO4* are relative to that of *FOXO1* in undifferentiated H1 cells under self-renewal conditions. Note, downregulation of *FOXO1* and upregulation of *FOXO3A* during differentiation of hESCs. *FOXO6* expression was not detectable in hESCs. EBs, embryoid bodies. **(b)** *FOXO1* expression was analysed by qRT-PCR in parental H1 hESCs and in H1 cells expressing shRNA targeting *FOXO1* (H1/*FOXO1* shRNA) cultured in the absence or presence of doxycycline (Dox) for 4 days under undifferentiated self-renewal conditions. H1 cells expressing vector control (H1/VC) or scrambled *FOXO1* shRNA (H1/scr) were used as controls. **(c)** qRT-PCR analysis carried out as in **b** at the indicated times in cells treated with or without doxycycline. Quantification of the target genes was relative to the endogenous *ACTB* (-actin) transcript levels. Results are mean s.e.m. of three independent experiments, each carried out in triplicate (**a-c**); * $P < 0.05$, * $P < 0.01$, $P < 0.001$ (**b,c**). **(d)** An aliquot of cells from **c** was subjected to western blot analysis of the indicated proteins; relative intensities of bands are shown below each panel relative to that measured at time 0 in H1 cells (uncropped scanned gels are shown in Supplementary Fig. S12). **(e)** hESCs were cultured with or without doxycycline for the indicated times and immunostained for surface markers of pluripotency, TRA-1-60 and TRA-1-81, and counterstained with DAPI. Scale bars, 100 μ m.

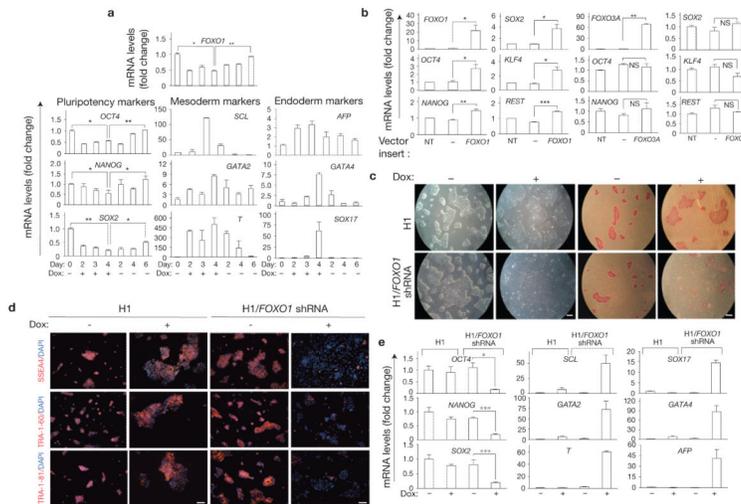


Figure 2. Reversible effect of loss of FOXO1 on pluripotency and differentiation of hESCs. **(a)** qRT-PCR analysis of pluripotency (left), mesoderm (middle) and endoderm (right) gene expression in H1/*FOXO1*-shRNA hESCs. Cells were maintained under pluripotency self-renewing conditions and treated with or without doxycycline for up to 4 days, after which cells were washed extensively and maintained in the absence of doxycycline. Quantification of the genes was relative to the endogenous β -actin transcript levels. Error bars indicate s.e.m. of three independent experiments, each carried out in triplicate. * $P < 0.05$, ** $P < 0.01$. **(b)** In H1 cells, ectopic expression of FOXO1 and not FOXO3A induces expression of pluripotency genes. GFP-positive hESCs lentivirally transduced with an empty control vector or a vector containing *FOXO1* or *FOXO3A* were FACS sorted 72 h after transduction and analysed by qRT-PCR for *FOXO1* or *FOXO3A* and pluripotency marker gene expression; results shown are relative to endogenous *ACTB* and normalized to untransduced H1 cells under self-renewal conditions. Error bars indicate s.e.m. of three independent experiments each, carried out in triplicate; * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$. NT, not transduced. NS, not significant. **(c)** *FOXO1*-knockdown-mediated loss of pluripotency and induction of differentiation markers in H1/*FOXO1*-shRNA cells after several passages. Morphology (left) and alkaline phosphatase staining (right) of hESCs cultured in doxycycline for five passages. **(d)** Expression of surface markers of pluripotency, SSEA4, TRA-1-60 and TRA-1-81, by immunostaining and DAPI counterstaining of hESCs was analysed after five passages in the absence or presence of doxycycline (Dox). Scale bar, 100 μ m. One representative of two independent experiments is shown. **(e)** qRT-PCR analysis of *FOXO1* and pluripotency genes (left), mesoderm (middle) and endoderm (right) markers in H1 cells maintained in pluripotency self-renewal conditions with or without doxycycline for five passages. Results shown are relative to the endogenous *ACTB*. Error bars indicate s.e.m. ($n = 3$). * $P < 0.05$, *** $P < 0.001$.

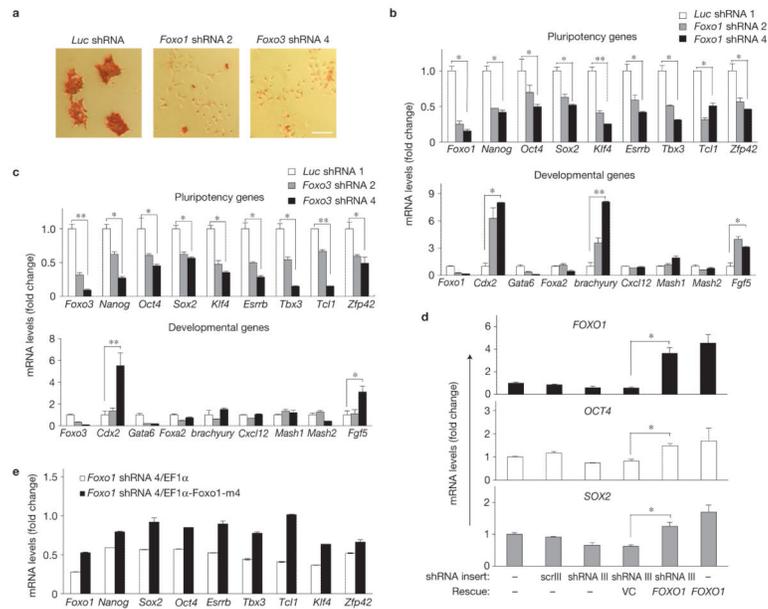
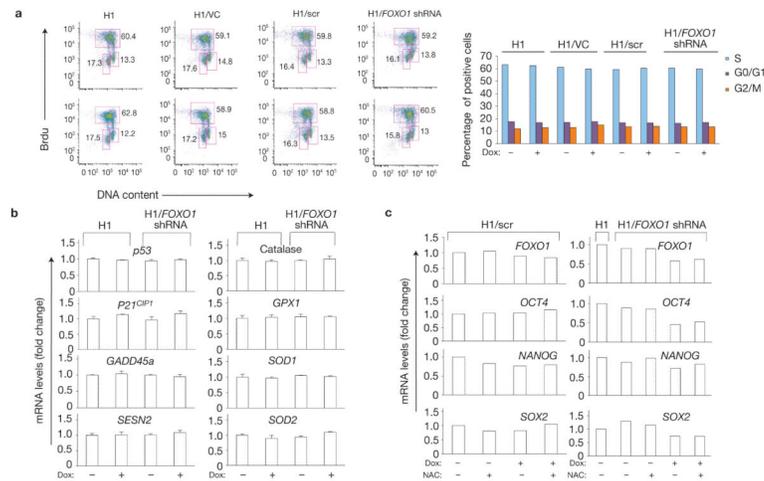


Figure 3.

Foxo1 and Foxo3 regulate pluripotency of mESCs. **(a)** Alkaline phosphatase staining in *Foxo1*-, *Foxo3*- or control-knockdown mESCs. Scale bar, 50 μ m. **(b,c)** qRT-PCR analysis of pluripotency (top) and developmental genes (bottom) in mESCs expressing one of two distinct shRNA targeting *Foxo1* **(b)** or *Foxo3* **(c)**. shRNA-mediated knockdown of luciferase was used as a control. The gene expression levels of four lineages, including trophectoderm (*Cdx2* and *Mash2*, also known as *Ascl2*), endoderm (*Gata6* and *Foxa2*), ectoderm (*Cxcl12*, *Mash1*, also known as *Ascl1*, and *Fgf5*) and mesoderm (brachyury) were examined after knockdown of *Foxo1* or *Foxo3*. All graphs show mean \pm s.e.m. for $n = 3$; * $P < 0.05$, ** $P < 0.01$. P values are from comparing *Foxo1* shRNA 4 or *Foxo3* shRNA 4 with *Luc* shRNA 1 **(b,c)**. Ectopic expression of a resistant form of the targeted *Foxo1*-rescued *Foxo1*-knockdown-mediated phenotype in both hESCs and mESCs. **(d)** hESCs were transfected with a lentivirus expressing *FOXO1* shRNA III (targeting the 3' untranslated region of the *FOXO1* mRNA); GFP-positive cells were FACS sorted 3 days later (>50-60% GFP positive), transduced with lentiviral vector (pLEIGW-FOXO1) that contains only the FOXO1 coding region and cultured for another 2 days before gene expression analysis. All results are relative to the endogenous *ACTB*. Error bars indicate s.e.m. ($n = 3$). * $P < 0.05$. **(e)** Endogenous *Foxo1* was targeted by *Foxo1* shRNA 4 in mESCs and rescued by re-expressing the shRNA-resistant *Foxo1*-m4 construct (EF1-*Foxo1*-m4). EF1 promoter empty vector (EF1) was used as a control. Gene expression analysis of *Foxo1* and pluripotency genes was carried out by qRT-PCR and all results in *Foxo1*-shRNA 4 cells are expressed relative to the sample with *Luc* shRNA and EF1 (set as 1). Error bars indicate s.e.m. ($n = 3$). $P < 0.05$ for all genes in rescued samples when compared with controls.

**Figure 4.**

FOXO1 knockdown does not impact hESC proliferation or redox status. **(a)** Left, H1, H1/VC, H1/scr and H1/*FOXO1*-shRNA cells maintained in pluripotency self-renewing conditions were cultured with (top) or without (bottom) doxycycline (Dox) for 96 h, after which cell proliferation was analysed by BrdU staining. BrdU incorporation versus DNA content were used to detect percentage of cells that are in G1, S or G2/M phase of cell cycle. Right, quantification of results of two independent experiments is shown. **(b)** qRT-PCR analysis of anti-oxidant enzymes and anti-oxidant response genes in parental H1 and in H1/*FOXO1*-shRNA cells maintained with or without doxycycline for 96 h. Quantification of the target genes is relative to the endogenous *ACTB* transcript levels and normalized to untreated H1 cells under self-renewal conditions. Results are shown as mean \pm s.e.m. of triplicate experiments; one representative of two independent experiments is shown. **(c)** Anti-oxidant treatment does not impact the expression of pluripotency genes in hESCs. qRT-PCR analysis of *FOXO1* and pluripotency genes in H1, H1/scr and H1/*FOXO1*-shRNA cells maintained under pluripotency conditions in the presence or absence of NAC (100 μ M) and treated or not with doxycycline for 96 h. Results shown are relative to the endogenous *ACTB* and normalized to untreated H1/scr or H1 cells under self-renewal conditions. $n = 2$.

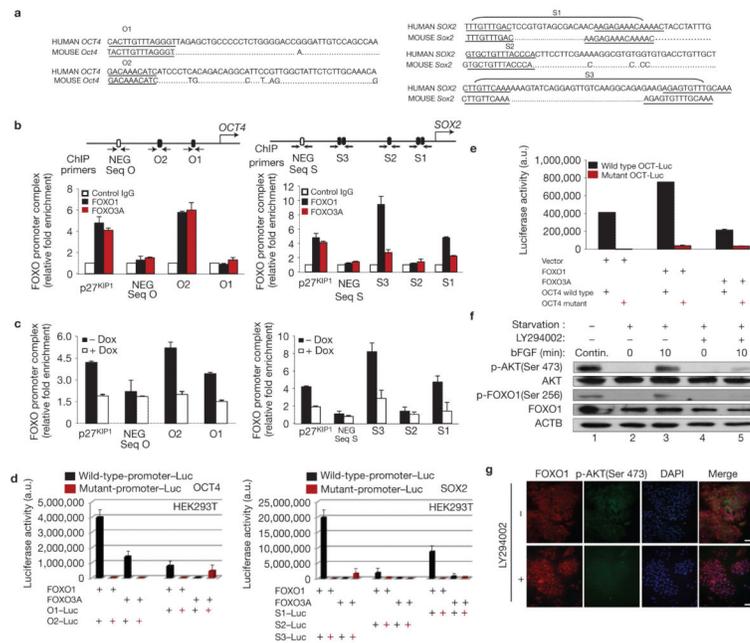


Figure 5. FOXO1 activates the expression of *OCT4* and *SOX2* pluripotency genes in hESCs by binding directly to their regulatory regions. **(a)** Sequence alignment of human *OCT4* and *SOX2* regulatory regions containing putative FoxO-binding sites. **(b)** Endogenous FOXO occupation of sites shown within arrows was analysed by ChIP in H1 cells. DNA co-immunoprecipitated with either anti-FOXO1 (H-128), anti-FOXO3A (N-15) or a control pre-immune immunoglobulin was amplified by qPCR. Binding of FOXO1 to p27^{KIP1} promoter and binding of FOXO1 to a conserved upstream region with no FOXO-binding sequences (in 2.7 kb of human *OCT4* (NEG Seq O) and in -4 kb of human *SOX2* (NEG -Seq S)) were used, respectively, as positive and negative controls. Results of three independent experiments (mean \pm s.e.m.) are shown as relative fold enrichment when compared with control immunoglobulin after normalization to the values obtained from the input samples. **(c)** ChIP analysis of FOXO1 in undifferentiated H1/*FOXO1*-shRNA hESCs treated or not with doxycycline (Dox) for 3 days. Results of three independent experiments (mean \pm s.e.m.) are shown. **(d)** pcDNA3, pcDNA3-FOXO1 or pcDNA3-FOXO3A were co-transfected into HEK293T cells with a pGL3 containing the O1 or O2 site of human *OCT4*, or the S1, S2 or S3 site of *SOX2* or their mutants driving the luciferase gene; luciferase activity was measured 48 h later. Results are from three independent experiments (mean \pm s.e.m.). **(e)** Luciferase activity measured 48 h after co-transfection of hESCs (H1) with a lentiviral vector control or containing FOXO1 or FOXO3A and a human *OCT4* reporter plasmid containing a wild-type or mutant O2 sequence. Results are the mean of two independent experiments. **(f)** H1 hESCs were maintained continuously (Contin.) in bFGF for 72 h (lane 1) or starved overnight and stimulated or not with bFGF (40 ng ml⁻¹ for 10 min) and/or PI(3)K inhibitor LY294002 (10 μ M) for 45 min before stimulation, and preparing the whole-cell lysate (lanes 2-5). One representative immunoblot of two experiments is shown. **(g)** H1 cells maintained in undifferentiated conditions and treated or not with LY294002 (10 μ M) for 2 h before double immunostaining of FOXO1 and phospho-AKT (Ser 473) and

counterstaining with DAPI. One representative of three independent experiments is shown (uncropped scanned gels are shown in Supplementary Fig. S12).

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