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FRB 121102 BURSTS SHOW COMPLEX TIME-FREQUENCY STRUCTURE

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ABSTRACT

FRB 121102 is the only known repeating fast radio burst source. Here we analyze a wide-frequency-range (1 – 8 GHz) sample of high-signal-to-noise, coherently dedispersed bursts detected using the Arecibo and Green Bank telescopes. These bursts reveal complex time-frequency structures that include sub-bursts with finite bandwidths. The frequency-dependent burst structure complicates the determination of a dispersion measure (DM); we argue that it is appropriate to use a DM metric that maximizes frequency-averaged pulse structure, as opposed to peak signal-to-noise, and find $DM = 560.57 \pm 0.07 \text{ pc cm}^{-3}$ at MJD 57644. After correcting for dispersive delay, we find that the sub-bursts have characteristic frequencies that typically drift lower at later times in the total burst envelope. In the 1.1 – 1.7 GHz band, the ~ 0.5 – 1-ms sub-bursts have typical bandwidths ranging from 100 – 400 MHz, and a characteristic drift rate of $\sim 200 \text{ MHz/ms}$ towards lower frequencies. At higher radio frequencies, the sub-burst bandwidths and drift rate are larger, on average. While these features could be intrinsic to the burst emission mechanism, they could also be imparted by propagation effects in the medium local to the source. Comparison of the burst DMs with previous values in the literature suggests an increase of $\Delta DM \sim 1 - 3 \text{ pc cm}^{-3}$ in 4 years, though this could be a stochastic variation as opposed to a secular trend. This implies changes in the local medium or an additional source of frequency-dependent delay. Overall, the results are consistent with previously proposed scenarios in which FRB 121102 is embedded in a dense nebula.

Keywords: radiation mechanisms: non-thermal — radio continuum:
general — galaxies: dwarf

1. INTRODUCTION

Fast radio bursts (FRBs) are short-duration astronomical radio flashes of apparent extragalactic origin (Lorimer et al. 2007; Thornton et al. 2013; Petroff et al. 2016). FRB emission arrives later at lower radio frequencies, and this has been attributed to dispersive delay from intervening ionised material. This dispersive delay is quadratic with radio frequency ($\Delta t \propto \nu^{-2}$), and its magnitude is proportional to the dispersion measure (DM), which is the column density of free electrons between source and observer. The large DMs of FRBs are inconsistent with models of the Galactic free electron density distribution (Cordes & Lazio 2002; Yao et al. 2017). This suggests that FRBs originate at extragalactic distances, because their anomalously large DMs can not be explained by an additional dispersive delay from material local to a source in the Milky Way but can be explained by material in a host galaxy and the intergalactic medium (Lorimer et al. 2007; Thornton et al. 2013).

Discovered in the Arecibo PALFA pulsar survey (Cordes et al. 2006; Lazarus et al. 2015), FRB 121102 is a source of sporadically repeating fast radio bursts (Spitler et al. 2014, 2016; Scholz et al. 2016). The direct and precise localization of these bursts has shown that FRB 121102 is hosted in the star-forming region of a dwarf galaxy at a luminosity distance of ~ 1 Gpc ($z = 0.193$; Chatterjee et al. 2017; Tendulkar et al. 2017; Marcote et al. 2017; Bassa et al. 2017). This association thus confirms the extragalactic distance of FRB 121102, as was previously inferred from its DM (Spitler et al. 2014). FRB 121102 is also associated with a compact (diameter < 0.7 pc), persistent radio source with isotropic luminosity $L_{\text{radio}} \sim 10^{39}$ erg s $^{-1}$ (Chatterjee et al. 2017; Marcote et al. 2017). Deep X-ray and γ -ray observations have found no persistent high-energy counterpart to FRB 121102 (Scholz et al. 2017). Many models for FRB 121102 have focused on a young, energetic and highly magnetized neutron star origin (e.g. Connor et al. 2016; Cordes & Wasserman 2016; Lyutikov et al. 2016). FRB 121102's host galaxy is of a type that is also known to host superluminous supernovae (SLSNe) and long gamma-ray bursts (LGRBs); as such, it has been suggested that FRB 121102 originates from a millisecond magnetar formed in the last few decades (Metzger et al. 2017; Tendulkar et al. 2017; Marcote et al. 2017). This scenario can also naturally explain the co-location of FRB 121102 with a star-forming region, as well as its association with the persistent radio source, which would represent a pulsar or magnetar wind nebula (PWN or MWN) and/or a supernova remnant (SNR) (Piro 2016; Murase et al. 2016; Kashiyama & Murase 2017; Margalit et al. 2018).

As yet, no other FRB source has been seen to repeat, despite dedicated searches for additional bursts (e.g., Petroff et al. 2015a; Ravi et al. 2015; Shannon et al. 2018), nor are there any other definitive host galaxy associations. While Keane et al. (2016) present a potential afterglow to FRB 150418, Williams & Berger (2016) argue that the putative counterpart is unassociated variability of an active galactic nucleus in the same field (see also discussion in Bassa et al. 2016; Johnston et al. 2017). Thus,

99 it remains unclear whether FRB 121102 has a similar physical origin to other known
100 FRBs (e.g., [Ravi 2018](#)).

101 Optical, X-ray and γ -ray observations that are simultaneous with detected
102 FRB 121102 radio bursts have failed to identify any prompt high-energy counter-
103 part to the radio bursts themselves ([DeLaunay et al. 2016](#); [Hardy et al. 2017](#); [Scholz
104 et al. 2017](#)). Given the absence of multi-wavelength counterparts, the properties of the
105 radio bursts are thus critical for understanding the emission mechanism ([Beloborodov
106 2017](#); [Lyubarsky 2014](#); [Lyutikov 2017](#); [Waxman 2017](#)) and the local environment of
107 the source through imparted propagation effects ([Cordes et al. 2017](#)). The bursts
108 have typical durations of milliseconds, but also show fine structure as narrow as
109 $\sim 30 \mu\text{s}$ ([Michilli et al. 2018](#)). The spectrum varies between bursts, even those that
110 are separated by minutes or less (e.g., Fig. 3 of [Gajjar et al. 2018](#)). Simultaneous,
111 multi-telescope data show that some bursts are visible over a relatively broad range
112 of frequencies ($> 1 \text{ GHz}$, see [Law et al. 2017](#)). However, wide-band observations also
113 show that many of the bursts peak in brightness within the observing band and are
114 not well modeled by a power law ([Spitler et al. 2016](#); [Scholz et al. 2016](#)).

115 Recently, the detection of FRB 121102 bursts at relatively high radio frequencies
116 of 4 – 8 GHz has revealed that the bursts are $\sim 100\%$ linearly polarized, with a
117 flat polarization position angle across the bursts; no circular polarization is detected
118 ([Michilli et al. 2018](#); [Gajjar et al. 2018](#)). This provides new clues about the emission
119 mechanism, and allows a more detailed phenomenological comparison to be made with
120 other known types of millisecond-duration astronomical radio signals — including
121 various forms of pulsar and magnetar pulsed radio emission, which are often highly
122 polarized (e.g., [Gould & Lyne 1998](#); [Eatough et al. 2013](#)). The polarized signal also
123 reveals that an extreme Faraday rotation is imparted on the bursts: the rotation
124 measure (RM) in the source frame was $\text{RM}_{\text{src}} = 1.46 \times 10^5 \text{ rad m}^{-2}$ at the first epoch
125 of detection, and was 10% lower 7 months later ([Michilli et al. 2018](#); [Gajjar et al.
126 2018](#)). This shows that FRB 121102 is in an extreme and dynamic magneto-ionic
127 environment — e.g., the vicinity of an accreting massive black hole (MBH) or within
128 a highly magnetized PWN/MWN and SNR. The properties of the aforementioned
129 persistent radio source are consistent with both these scenarios, as are the constraints
130 from the non-detections of persistent high-energy emission ([Chatterjee et al. 2017](#);
131 [Tendulkar et al. 2017](#); [Marcote et al. 2017](#); [Scholz et al. 2017](#)).

132 Here we present a multi-frequency subset of high-signal-to-noise FRB 121102 bursts
133 that better demonstrate the complex time-frequency structure hinted at by previ-
134 ously reported bursts in the literature (e.g., [Spitler et al. 2016](#); [Scholz et al. 2016,
135 2017](#)). These add substantial observational clues for modeling the underlying emis-
136 sion mechanism and propagation effects imparted near the source. In §2 we present
137 the observations and selection of the burst sample. We analyse the time-frequency
138 properties of this sample in §3, and discuss possible consequences for understanding

FRB 121102, and the FRBs in general, in §4. Lastly, in §5 we conclude and provide an outlook to future work inspired by the results presented here.

2. OBSERVATIONS AND BURST SAMPLE

2.1. Arecibo and GBT Observational Configurations

Until recently, the available time and frequency resolution of FRB detections has been a limitation in studying their properties. Even in the case of real-time detections, dedispersion of the bursts has typically been done incoherently (though see Farah et al. 2018), meaning that there is residual time smearing from intra-channel delays (Petroff et al. 2015b; Keane et al. 2016). The known DM of FRB 121102 allows for coherent de-dispersion¹, and the precise localization allows observations up to much higher frequencies (where the telescope field-of-view is narrower) compared to all other known FRB sources (Gajjar et al. 2018).

Arecibo observations (project P3094) were performed with the L-Wide receiver, which provides a 1150 – 1730 MHz band, dual linear polarizations, a gain $G \sim 10.5$ K/Jy, and a system temperature $T_{\text{sys}} \sim 30$ K. Coherently dedispersed filterbank data with full Stokes information were recorded using the PUPPI backend (a clone of the GUPPI backend, described in DuPlain et al. 2008). Before each integration on FRB 121102, we also acquired a 60-s calibration scan for polarimetric calibration. The 8-bit data provide 10.24- μ s time resolution and 1.5625-MHz spectral channels. These channels were coherently dedispersed online to a fiducial $\text{DM}_{\text{fid}} = 557.0$ pc cm⁻³. Hence, any residual intra-channel dispersive smearing is negligible as long as this is close to the true DM of the bursts: for deviations, $\Delta\text{DM}_{\text{fid}}$, from DM_{fid} the residual temporal smearing scales as $\sim 4 \times \Delta\text{DM}_{\text{fid}} \mu\text{s}$ — i.e., DM smearing is $\lesssim 20 \mu\text{s}$ in these data. For comparison, the intra-channel DM smearing in the original FRB 121102 burst detections made with the Arecibo Mock Spectrometers was 700 μs (Spitler et al. 2014, 2016).

Green Bank Telescope (GBT) observations (projects GBT16B-391, GBT17A-319) used the S-band receiver, with a 1600 – 2400 MHz band, dual linear polarizations, a gain $G \sim 2$ K/Jy, and a system temperature $T_{\text{sys}} \sim 25$ K. Data were recorded with the GUPPI backend (DuPlain et al. 2008) in an identical observing mode, and with the same time/frequency resolutions and polarimetric calibration scans as those described above for Arecibo/PUPPI.

2.2. Selection of Burst Sample

To search the Arecibo coherently dedispersed filterbank data for bursts, we first used `psrfits_subband` from `psrfits_utils`² to subband and downsample the raw data to 12.5 MHz frequency channels and 81.92 μs total intensity (Stokes I) time samples. Using the PRESTO³ (Ransom 2001) tool `prepsubband`, we then created dedispersed

¹ A method that completely corrects for intra-channel smearing from dispersive delay; see Hankins & Rickett (1975) and Lorimer & Kramer (2004).

² https://github.com/demorest/psrfits_utils

³ <https://github.com/scottransom/presto>

176 time series (summed over the full 800-MHz frequency band), using a range of trial
 177 DMs from $461 - 661 \text{ pc cm}^{-3}$, in steps of 1 pc cm^{-3} . The GBT data were processed in
 178 a very similar way, but in this case the subbanded data used $40.96 \mu\text{s}$ time samples
 179 and kept the full 1.56-MHz frequency resolution, while the DM trials were for a range
 180 of $527 - 587 \text{ pc cm}^{-3}$ and step size 0.1 pc cm^{-3} .

181 In both cases, the dedispersed timeseries were searched for single pulses using
 182 PRESTO's `single_pulse_search.py`. We chose not to apply a radio frequency in-
 183 terference (RFI) mask in this process in order to avoid the possibility of rejecting a
 184 very bright and relatively narrow-band burst. The dynamic spectra (radio frequency
 185 versus time) of candidate single-pulse events were inspected by eye to differentiate
 186 genuine astrophysical bursts from RFI.

187 The 1.4-GHz Arecibo sample presented here was detected during a high-cadence
 188 observing campaign in 2016 September (Chatterjee et al. 2017; Law et al. 2017).
 189 Specifically, the sample was selected by choosing bursts with $S/N > 60$, as reported
 190 by `single_pulse_search.py`, which searches a range of pulse widths using a boxcar
 191 matched filter. This S/N is calculated after averaging the signal over the full band
 192 and corresponds to an equivalent fluence limit of $> 0.2 \text{ Jy ms}$, assuming a 1-ms-wide
 193 burst. The S/N threshold was chosen in order to select just the brightest detected
 194 bursts, but to also retain a sufficiently large sample. A complementary sample of
 195 Arecibo bursts observed at 4.5 GHz, using the identical PUPPI recording setup, is
 196 presented in Michilli et al. (2018). We do not include a re-analysis of those bursts
 197 here because the available fractional observing bandwidth ($\sim 15\%$) is significantly
 198 lower compared to the data presented here, and insufficient to accurately study their
 199 broadband spectral behavior (see also discussion below).

200 The 2.0-GHz GBT bursts are from 2016 September and 2017 July and were also
 201 selected to have $S/N > 60$ (this corresponds to an equivalent fluence limit of $>$
 202 0.8 Jy ms , assuming a 1-ms-wide burst). We chose an identical S/N threshold as for
 203 the Arecibo selection, in order to have comparable sensitivity to faint structures in the
 204 bursts. To complement the Arecibo and GBT bursts, we also include in the sample
 205 a highly structured burst observed over an ultra-wide band of 4.6 – 8.2 GHz with
 206 the GBT as part of the Breakthrough Listen (BL) project⁴ (for further details of the
 207 observational setup and analysis used to detect that burst, see Gajjar et al. 2018).

208 The full sample considered here is summarized in Table 1 along with, as a point of
 209 comparison, the earliest 1.4-GHz FRB 121102 burst detected using coherent dedisper-
 210 sion (Scholz et al. 2016). For each of the selected bursts, we used `dspsr` (van Straten
 211 & Bailes 2011) to extract a window of full-resolution, full-Stokes raw data around
 212 the nominal burst time and produced a dedispersed dynamic spectrum using tools
 213 from PSRCHIVE⁵ (van Straten et al. 2012). We then manually excised narrow-band
 214 RFI (channels with excess power before and/or after the burst), blanked recorded

⁴ These data are available to download at <http://seti.berkeley.edu/frb121102/>.

⁵ <http://psrchive.sourceforge.net/>

channels beyond the edges of the receiver band, and applied a bandpass correction using tools from PSRCHIVE. The resulting dynamic spectra of the bursts⁶ are shown in Figure 1. They reveal a variety of temporal and spectral features, and in the rest of the paper we will refer to bright, relatively isolated patches in time-frequency as ‘sub-bursts’. Note that the narrow-band, horizontal stripes in these dynamic spectra are due predominantly to RFI excision, which is necessary in order to reveal faint features in the bursts (the exception is GB-BL, where scintillation is also visible). We analyze the time-frequency properties of the bursts and their sub-bursts in §3.

We note that selecting only bursts with large S/N possibly introduces a bias towards more complex structure, if this structure is typically faint compared to the brightest peak in a burst. That may contribute to why the bursts in the sample presented here are typically more complex in morphology compared to the entire sample of bursts detected and reported so far (e.g, Spitler et al. 2016; Scholz et al. 2016). However, we also note that high-S/N, relatively unstructured bursts have been detected from FRB 121102 (e.g., Scholz et al. 2017; Marcote et al. 2017), and the sub-bursts are often of comparable brightness. This suggests that any such bias is not strong.

3. ANALYSIS & RESULTS

Here we present the properties of the burst sample defined in §2.

3.1. *DM Ambiguities*

The dispersive delays across the Arecibo 1.4-GHz and GBT 2.0-GHz bands are roughly 1.0 s and 0.5 s, respectively, for $DM_{\text{fid}} = 557 \text{ pc cm}^{-3}$. The dynamic spectra shown in Figure 1 are corrected using our best estimate of the dispersive delay. However, there is an ambiguity between burst structure and DM because of the evolving burst morphology with radio frequency. For example, a frequency-dependent profile shift on the order of 1 ms can influence the measured DM at the 0.5 pc cm^{-3} level, and this is easily detectable, even by eye. Furthermore, intrinsically frequency-dependent emission time or local propagation effects can also possibly influence the apparent DM. Hence, while a large fraction ($> 99\%$) of the frequency-dependent arrival time delay is likely due to dispersion in the intervening Galactic, intergalactic and host galaxy medium, there may also be additional non-dispersive effects that are difficult to distinguish from DM.

Before we can analyze the time-frequency properties of the bursts in detail, we must decide on an appropriate metric for determining DM. We argue that choosing a DM that maximizes the peak S/N of the bursts is incorrect in this case. Instead, we search a range of trial DMs and, effectively, we determine at what DM value the sub-bursts appear de-dispersed individually (i.e. the emission in each sub-burst arrives simultaneously across the band, after correcting for dispersion using this DM). This makes the basic assumption that burst temporal components each emit simultaneously over

⁶ These data are available upon request to the Corresponding Author, and three-dimensional printable models of these data cubes are freely available at <https://www.thingiverse.com/thing:2723399>.

253 a broad range of frequencies; a different underlying assumption, e.g. that there is
 254 an intrinsic, frequency-dependent delay in emission time, could also be considered.
 255 Furthermore, here we determine a single DM per burst, and do not attempt to find
 256 separate DMs for individual sub-bursts (these could have different apparent DMs in
 257 certain scenarios, as we discuss below).

258 To find an optimal DM under these assumptions, we maximize the steepness, i.e.
 259 time derivative, of peaks in the frequency-averaged burst profile. Specifically, we
 260 search for the DM that maximizes the mean square of each profile’s forward difference
 261 time derivative⁷. Because these time derivatives are susceptible to noise, and since we
 262 are searching for features that vary with DM, a two-dimensional Gaussian convolution
 263 (with $\sigma_{\text{DM}} = 0.08 \text{ pc cm}^{-3}$ and $\sigma_{\text{time}} = 82 \mu\text{s}$) is performed within the DM versus time
 264 space before squaring and averaging over the time axis. The resulting mean squared
 265 versus DM curve is then fitted with a high-order polynomial, and the peak DM value
 266 is then interpolated from this fit (Figure 2).

267 This is roughly the same as maximizing the structure in the frequency-averaged
 268 burst profile. We find that all the 1.4-GHz and 2.0-GHz bursts in this sample are well
 269 modeled by a DM $\sim 560.5 \text{ pc cm}^{-3}$ (Table 1). In contrast, maximizing the peak S/N
 270 of each burst leads to sub-bursts that overlap in time and sweep upward in frequency,
 271 as well as displaying a broader range of apparent DMs (see also Fig. 1 of [Gajjar](#)
 272 [et al. 2018](#)). The AO-01 to AO-13 bursts span a time range of only 11 days, and
 273 for 8 of these it was possible to derive a structure-maximizing DM (for the others,
 274 the method did not converge). The average DM of these bursts is $560.57 \text{ pc cm}^{-3}$,
 275 with a standard deviation of 0.07 pc cm^{-3} — comparable to the formal uncertainties
 276 on the individual DM determinations. Given how well a single DM per burst aligns
 277 the sub-bursts such that each arrives at a consistent time across the frequency band
 278 (post de-dispersion), we estimate that variations in apparent DM between sub-bursts
 279 are $\lesssim 0.1 \text{ pc cm}^{-3}$. In contrast, for these same 8 bursts, the DMs from maximizing
 280 peak S/N are systematically higher, with an average of $562.58 \text{ pc cm}^{-3}$ and a much
 281 larger standard deviation of 1.4 pc cm^{-3} . The much smaller scatter in DMs from the
 282 structure-maximizing metric arguably further justifies that approach; however, given
 283 the extreme magneto-ionic environment of the source ([Michilli et al. 2018](#)), we cannot
 284 rule out that there are relatively large DM variations between bursts.

285 3.2. DM Variability

286 The complex and frequency-dependent burst profiles show that adequate time res-
 287 olution is critical in determining accurate DMs for FRB 121102 and, by extension,
 288 whether DM varies with epoch. A DM = $560.57 \pm 0.07 \text{ pc cm}^{-3}$ at MJD 57644
 289 (the average epoch of bursts AO-01 to AO-13) is roughly compatible with the range
 290 DM = $558.1 \pm 3.3 \text{ pc cm}^{-3}$ found by [Spitler et al. \(2016\)](#) — i.e. the earliest sample
 291 of detected bursts from MJD 57159 and MJD 57175. However, those data were only

⁷ For a similar approach, see [Gajjar et al. \(2018\)](#).

incoherently dedispersed, and hence unresolved burst structure may be the cause of the apparent spread in DMs in the Spitler et al. (2016) sample. Furthermore, those DMs were determined using a S/N-maximizing metric, and hence are overestimated if there was unresolved, frequency-dependent sub-burst structure like that seen in the sample presented here.

In the upper-left panel of Figure 1, we show the dynamic spectrum of AO-00, the earliest 1.4-GHz burst from FRB 121102 detected using coherent dedispersion (first presented as ‘burst 17’ in Scholz et al. 2016), as it appears dedispersed to 560.5 pc cm^{-3} . The optimal DM value for MJD 57644 appears to be slightly too high for this burst from MJD 57364, where Scholz et al. (2016) found the optimal value to be $558.6 \pm 0.3 \pm 1.4 \text{ pc cm}^{-3}$. This value optimizes both peak S/N and burst structure; here the quoted uncertainties are, in order, statistical and systematic, where the systematic uncertainty was based on measuring the ΔDM that results in a DM delay across the band equal to half the burst width. However, because this burst was coherently dedispersed, we argue that it is unnecessary to consider this additional systematic uncertainty, which was added to account for possible frequency-dependent profile evolution. In summary, comparing the burst DMs in the sample here with those of the earliest detections suggests that the DM of FRB 121102 has increased by $\sim 1 - 3 \text{ pc cm}^{-3}$ ($\sim 0.2 - 0.5\%$ fractional) in the 4 years since its discovery, but we caution that there could be stochastic variations on shorter timescales and that this is not necessarily a secular trend.

3.3. Polarimetry

The recent detection of FRB 121102 bursts at relatively high radio frequencies (4 – 8 GHz; Gajjar et al. 2017; Michilli et al. 2018; Gajjar et al. 2018; Spitler et al. 2018) has enabled the detection of a high linear polarization fraction ($L/I \sim 100\%$), no detectable circular polarization ($|V|/I \sim 0\%$), and an exceptionally large Faraday rotation measure ($\text{RM}_{\text{src}} = 1.46 \times 10^5 \text{ rad m}^{-2}$). Bandwidth smearing (intra-channel phase wrapping) in the 1.5-MHz channels at frequencies $< 2.4 \text{ GHz}$ explains why previous polarization searches have been unsuccessful, if the observer frame RM was $\gtrsim 10^5 \text{ rad m}^{-2}$ at those epochs. Additionally, it is possible that FRB 121102 is less polarized at lower frequencies. For the 1.4-GHz and 2.0-GHz bursts presented here, we nonetheless searched for polarized emission using PSRCHIVE’s `rmfit` routine to investigate a range $|\text{RM}| < 3 \times 10^5 \text{ rad m}^{-2}$ after a basic polarimetric calibration (see Michilli et al. 2018, for details). This was to check whether the RM was perhaps much lower at earlier epochs, but again no linearly or circularly polarized emission was detected above a $3\text{-}\sigma$ significance. The polarimetric properties of the high-frequency burst GB-BL (Table 1, Figure 1) are presented in Gajjar et al. (2018).

3.4. Time-Frequency Burst Analysis

As can be seen in Figure 1, the burst sample displays a significantly more complex structure than previously reported bursts from FRB 121102, most of which appeared

single peaked (Spitler et al. 2016; Scholz et al. 2016, 2017; Michilli et al. 2018; Gajjar et al. 2018). In the sample here, bursts show as many as seven components that can be isolated in time and frequency, and which we refer to as sub-bursts. The sub-burst separations are ~ 1 ms, and hence much more closely spaced compared to the shortest published burst separations to date: ~ 40 ms (Scholz et al. 2017) and 34 ms (Hardy et al. 2017). Though there is typically a gradual rise into the first sub-burst, it often appears that the leading edges of subsequent sub-bursts show a sharper rise in brightness compared to the more gradual decay in the trailing edges. Shorter-timescale sub-burst structure is sometimes seen on top of wider, more diffuse emission. Between sub-bursts, there are sometimes sharp drops in brightness. The overall time-frequency structure is reminiscent of a diffraction pattern, showing isolated peaks and troughs in brightness. There is no obvious similarity in the time-frequency structures of bursts detected within a single observation, or even for bursts separated by only a few minutes in time. Of the bursts presented here, the shortest and longest separations between bursts observed within the same observing session are ~ 138 s for bursts AO-01 and AO-02 and ~ 2360 s for bursts AO-11 and AO-12, respectively (Table 1). In the following, we quantitatively characterize the burst features.

First, we manually identified individual sub-bursts, whose time spans are indicated by colored bars under the frequency-averaged profiles in Figure 1. This is an imperfect time division of the bursts because some sub-bursts are less distinct than others, and because there is sometimes also more diffuse underlying emission. We used a least-squares fitting routine (Levenberg-Marquardt algorithm) to measure the characteristic bandwidth and duration of each sub-burst using a 2D Gaussian function. These Gaussians were aligned along the time and frequency axes, and thus we did not fit for any residual time-frequency drift within sub-bursts. This is because any such analysis is additionally complicated by frequency evolution of the sub-burst profiles. Also, we note that this fitting is not significantly influenced by RFI excision, which only affects the spectrum on a much narrower frequency scale compared to the bandwidths of the sub-bursts.

Figure 3 shows the distribution of sub-burst bandwidths and durations for the 1.4-GHz, 2.0-GHz and 6.5-GHz bursts. For the 1.4-GHz bursts, we find that the sub-bursts emit with a characteristic bandwidth of ~ 250 MHz, although with a $1\text{-}\sigma$ variation of ~ 90 MHz. For the few 2.0-GHz and 6.5-GHz bursts included in this sample, the characteristic bandwidth is comparable, but somewhat higher on average. Note that the ~ 100 -MHz features seen in the GB-BL 6.5-GHz sub-bursts are consistent with originating from Galactic diffractive interstellar scintillation (DISS; Gajjar et al. 2018).

Overall burst durations at 1.4 GHz — defined as the FWHM of the full-burst envelope — are typically ~ 3 ms and consistent with previous measurements in the literature (e.g., Spitler et al. 2016; Scholz et al. 2016). However, most bursts show narrower internal structure (sub-bursts) with widths $\lesssim 1$ ms. Note that these sub-bursts are

373 resolved in time and are not significantly affected by intra-channel dispersive smearing
 374 or interstellar scattering (see §3.5).

375 Burst durations at 2.0, 4.5, and 6.5 GHz appear to be systematically smaller than
 376 at 1.4 GHz (see also Fig. 7 of Gajjar et al. 2018). For example, Michilli et al. (2018)
 377 found total burst durations of $\lesssim 1$ ms for their sample of bursts detected at 4.5 GHz.
 378 However, the sample sizes are small and this trend requires confirmation. Also, these
 379 multi-frequency bursts were observed at different epochs, and it is possible that burst
 380 width also changes with time, systematically.

381 To complement the 2D Gaussian least-squares fitting of individual sub-bursts (which
 382 were first manually identified to provide initial parameters to the fit), we also per-
 383 formed an unguided 2D auto-correlation function (ACF) analysis (Figure 4) of the
 384 de-dispersed dynamic spectra of the bursts. The characteristic sub-burst durations
 385 (W_{sb} in Table 1) are from this analysis.

386 Particularly striking is the tendency for the characteristic frequency of the sub-
 387 bursts (i.e. the central frequency of a band-limited sub-burst) to drift to lower fre-
 388 quencies at later times during the burst. We characterized this drift using both fitting
 389 methods. For the least-squares technique, the centers of the best-fit 2D Gaussians
 390 in frequency and time for each burst (Figure 3, Top Left) were fit to a linear model.
 391 Only bursts with three or more components and with frequency centers within the
 392 band were included. The resulting slopes are shown in Figure 3 (Top Right, yellow
 393 circles). Drift rates were also estimated using the ACF method and are listed in Ta-
 394 ble 1 and shown in Figure 3 (Top Right, cyan diamonds). Note that the ACF method
 395 has the advantage that it can be applied to all bursts, regardless of their number of
 396 components. The inferred ACF drift rates are in good agreement with those derived
 397 by fitting the central times and frequencies of individual sub-bursts.

398 Interestingly, the drift rates of this burst sample are always negative (sub-bursts
 399 peak in brightness at lower frequencies at later times), and the magnitude of the
 400 drift rate increases with increasing radio frequency. In one case, however, AO-05
 401 (Figure 1), the first two sub-bursts show no drift with respect to each other, and only
 402 thereafter does the downward trend begin.

403 The metric that is used to determine DM is a crucial consideration in interpreting
 404 these drifts (see §3.1); we would also find a drift to lower frequencies at later times if we
 405 were under-dedispersing the bursts: $d\nu/dt \propto -\nu^3/\delta\text{DM}$, where δDM is the residual
 406 DM. We calculated the best-fit δDM to the estimated drift rates with the GB-BL
 407 burst ($\delta\text{DM} \sim 5 \text{ pc cm}^{-3}$) and without the GB-BL burst ($\delta\text{DM} \sim 40 \text{ pc cm}^{-3}$). These
 408 fits are shown in Figure 3 as the thick and thin solid lines. Clearly, no single value
 409 of δDM fits the measurements at all three observing frequencies, and we argue that
 410 the drift rate is not caused by residual dispersion. Finally, we fit a line to the rates,
 411 and while it is a good fit, the absence of bursts in our sample between ~ 2 and 6 GHz
 412 makes any conclusive statement difficult. Note that the 4.5-GHz bursts presented by
 413 Michilli et al. (2018) do not show any clear examples of sub-burst drift to include in

414 the analysis here. For that sample, the observing bandwidth of 800 MHz is comparable
 415 to the ~ 500 MHz/ms drift rate that we would predict based on the sample presented
 416 here. In fact, the clear drift visible in the 6.5-GHz GB-BL burst presented here is
 417 only visible because of the very large bandwidth of those observations.

418 3.5. Scintillation

419 We argue here that Galactic diffractive interstellar scintillation (DISS) accounts
 420 for fine structure in the spectra of the bursts but not for the relatively broadband
 421 ($\sim 100 - 400$ MHz) frequency structure observed in the 1.4 and 2.0-GHz sub-bursts.

422 To demonstrate this, we re-analyze the brightest European VLBI Network (EVN)
 423 burst presented by [Marcote et al. \(2017\)](#), using just the auto-correlations from
 424 Arecibo. These voltage data provide only 64 MHz of spectral coverage, but offer the
 425 opportunity for much better frequency resolution compared to the PUPPI/GUPPI
 426 data available for the other bursts. The EVN burst shows that there is fine-scale fre-
 427 quency structure (< 1 MHz) in the total intensity (Figure 5). In principle the structure
 428 could be due to DISS exclusively, or a combination between DISS and ‘self noise’ in
 429 the signal. Burst electric fields are well described as an intrinsic shot-noise process
 430 modulated by an envelope function. The resulting spectrum has frequency structure
 431 with widths equal to the reciprocal burst width; this structure may then combine
 432 with the extrinsically imposed scintillation modulation ([Cordes et al. 2004](#)). For mil-
 433 lisecond bursts like those from FRB 121102 the self-noise frequency structure is on a
 434 much different scale compared to the sub-burst spectral peaks displayed in Figure 1.

435 To measure a characteristic bandwidth for these narrow-band spectral features, we
 436 used an ACF analysis ([Cordes et al. 1985](#)). We computed the ACFs from power
 437 spectral densities generated with a resolution of 3.9 kHz from the de-dispersed EVN
 438 Arecibo voltage data using only the time range that coincides with the burst. We
 439 fitted a Lorentzian function to the ACF using a least-squares approach as imple-
 440 mented in the Levenberg-Marquardt algorithm. The central lag of the ACF, which
 441 is dominated by noise, was excluded from the fit. Furthermore, because of bandpass
 442 effects, only the central 80% of the frequency range in each of the 4 subbands was
 443 used to compute the ACF. We measure a characteristic bandwidth of 58.1 ± 2.3 kHz
 444 at 1.65 GHz, which corresponds to the half width at half maximum (HWHM) of the
 445 fitted Lorentzian function (Figure 5).

446 The characteristic bandwidth is consistent to better than a factor of two with the
 447 NE2001 Galactic electron model prediction for the DISS contribution from the Milky
 448 Way in this direction ([Cordes & Lazio 2002](#)): Scaling the model prediction to 1.65 GHz
 449 using ν^4 and $\nu^{4.4}$, respectively, yields bandwidths of 87 and 107 kHz. We note that
 450 the YMW16 model ([Yao et al. 2017](#)) under predicts the DISS bandwidth by a factor
 451 of 30 (1.5 kHz at 1.65 GHz); this will be discussed in a separate paper.

452 The pulse broadening time at 1.65 GHz corresponding to the DISS bandwidth is
 453 $(2\pi \times 58.1 \text{ kHz})^{-1} = 2.7 \mu\text{s}$, which is much smaller than the time resolution of our

454 data. The scintillation time scale is unmeasurable because it is expected to be much
 455 larger (order of hours) than the burst durations.

456 We thus conclude that the narrow (< 100 MHz) frequency structures seen in the bursts
 457 are due to DISS imparted when they enter the Galaxy, and consequently that the
 458 broad ($\sim 100\text{--}400$ MHz) spectral features and the temporal structure seen in Figure 1
 459 must either be intrinsic or imparted in the local environment of the source (or perhaps
 460 elsewhere in FRB 121102’s host galaxy).

461 Similarly, at higher frequencies of $4\text{--}8$ GHz, Gajjar et al. (2018) and Spitler et al.
 462 (2018) found $5\text{--}100$ MHz frequency structure, which they attributed to Galactic
 463 DISS, and which is also consistent with the NE2001 predictions. This implies that
 464 the ~ 1 GHz frequency structure in the 6.5-GHz GB-BL burst presented here is also
 465 likely intrinsic or imparted near the source.

466 4. DISCUSSION

467 4.1. Comparison of FRB 121102 with Other FRBs

468 FRB 121102 differs notably from other FRBs in the fact that it repeats in an easily
 469 detectable way (Spitler et al. 2016). The bursts also display an extreme Faraday
 470 rotation (Michilli et al. 2018) that has not been seen in any other FRB to date (see
 471 Fig. 5 in Caleb et al. 2018, which summarizes all available measurements). While some
 472 FRBs have a reasonably high absolute RM ($|\text{RM}| \sim 200 \text{ rad m}^{-2}$) that originates
 473 close to the source (e.g. Masui et al. 2015), others show a very low absolute RM
 474 ($|\text{RM}| \lesssim 10 \text{ rad m}^{-2}$, e.g. Ravi et al. 2016). However, previous polarimetric FRB
 475 detections lacked sufficient frequency resolution to resolve such a large RM as seen in
 476 FRB 121102, and hence some FRBs with no apparent linear polarization may have
 477 very large RMs as well (Petroff et al. 2015b).

478 Despite the possibility that FRB 121102 has a fundamentally different origin (or
 479 inhabits a markedly different environment) compared to the apparently non-repeating
 480 FRBs, it is nonetheless useful to compare its burst structure to what has been seen
 481 in other FRBs. The repeating nature and localization of FRB 121102 have allowed
 482 higher time- and frequency-resolution data to be acquired over a relatively large
 483 range of frequencies. As such, the detailed time-frequency features it displays may
 484 foreshadow what other FRBs will show in similar observations.

485 While FRB 121102 bursts can clearly be multi-peaked, the majority of non-repeating
 486 FRB bursts detected to date appear simple in form. However, in some cases this
 487 may simply be because they are broadened by uncorrected intra-channel dispersion
 488 smearing (Ravi 2018) or by scattering (Thornton et al. 2013) — either of which can
 489 mask sub-millisecond temporal structure. The multi-component FRB 121002 and
 490 FRB 130729 show time-frequency structures similar to those of FRB 121102 albeit
 491 at lower S/N (Champion et al. 2016), though the unknown position of these bursts
 492 with respect to the telescope sensitivity pattern makes it difficult to interpret their
 493 spectra.

More recently, Farah et al. (2018) present the UTMOST discovery of FRB 170827 at a central observing frequency of 835 MHz. Three temporal components, one only $\sim 30 \mu\text{s}$ wide, were detected in FRB 170827's burst profile thanks to real-time triggering of voltage data, which allowed coherent dedispersion. With the coarser time sampling, and incoherent dedispersion used to discover this source, this same burst looks similar to the single-component FRBs detected with Parkes (Petroff et al. 2016). This suggests that other high-S/N FRBs analyzed with coherent dedispersion will also show complex temporal structure. The narrow bandwidth (31 MHz) available in the detection of FRB 170827 limits the ability to see whether its sub-bursts drift in frequency like FRB 121102. The data also do not allow for an RM measurement. Regardless, the burst time structure and timescales are similar to those of FRB 121102. One can thus speculate that, despite FRB 170827's apparent non-repeatability (Farah et al. 2018), this suggests a similar physical origin to FRB 121102. Ultimately, however, additional observational clues, like host environment and multi-wavelength counterparts, are needed to address the question of whether there are multiple FRB progenitor classes or not.

4.2. Comparison with Radio Emission from Neutron Stars

Based on light-travel-time arguments, the short durations of FRB pulses require compact emission regions. For example, the $30\text{-}\mu\text{s}$ -wide component detected in one FRB 121102 pulse requires an emitting region $\lesssim 10$ km, assuming no additional geometric or relativistic effects (Michilli et al. 2018). Thus it is natural to compare FRB emission to neutron star radio emission, even though FRB 121102 has thus far shown no clear periodicity in its burst arrival times (Spitler et al. 2016; Zhang et al. 2018). Like FRB 121102, pulsars and magnetars show a wide range of pulse complexity in the time domain. In the case of pulsars, this results from the rotation of fluctuating beamed radiation across the line-of-sight. FRB 121102 differs markedly from pulsars and magnetars in several ways, however; in particular, its bursts are enormously more energetic. Both pulsar pulses and FRBs have peak flux densities ~ 1 Jy but the $\sim 10^6$ times greater distance of FRB 121102 implies a $\sim 10^{12}$ times greater luminosity (for equal solid angles).

Pulsar-type magnetospheres may have difficulty in providing this energy (e.g. Cordes & Wasserman 2016; Lyutikov 2017). Alternatively, bursts from FRB 121102 may be powered by the strong $\sim 10^{14} - 10^{15}$ G magnetic fields in magnetars (Popov & Postnov 2013; Beloborodov 2017).

Another marked difference between FRB 121102 and typical pulsars and radio-emitting magnetars is in the spectral domain, where the latter objects have smooth, wide-band spectra (even in their single pulses, e.g., Kramer et al. 2003; Jankowski et al. 2018) whose only narrow-band modulation is from DISS, augmented in some cases by constructive and destructive interference from multiple imaging by interstellar refraction. While the radio-emitting magnetars have shown variable spectra, these

534 remain well fit by a broad-band power law (e.g., Lazaridis et al. 2008). In contrast,
 535 the confinement of FRB 121102 bursts to frequency bands of width ~ 250 MHz (at
 536 ~ 1.4 GHz) is different compared to variable magnetar spectra, and also cannot be
 537 explained by Galactic DISS. To our knowledge, no similar effect is seen in pulsars
 538 except for the high-frequency interpulse of the Crab pulsar, or in cases of plasma
 539 lensing (which we will discuss in the following sub-section).

540 Indeed, the giant pulse emission in the Crab pulsar’s high-frequency interpulse
 541 (HFIP; Hankins et al. 2016), seen at radio frequencies above ~ 4 GHz, provides an
 542 intriguing observational analogy. Notably, the properties of the HFIPs differ signif-
 543 icantly from those of the main giant pulses (MP; Jessner et al. 2010; Hankins et al.
 544 2016). Since the Crab is a young (~ 1000 year old) neutron star embedded in a lu-
 545 minous nebula, it is also an interesting Galactic example of the young PWN/SNR
 546 scenario for FRB 121102. It is possible that the FRB 121102 system is simply a
 547 much younger version of the Crab, though understanding the scaling to the energies
 548 required by FRB 121102 remains challenging. A highly focused beam, or intrinsically
 549 narrow-band emission can reduce the required energy.

550 The Crab’s HFIP spectra exhibit periodic banded structure (Hankins & Eilek 2007)
 551 with separations $\Delta\nu$ that scale with frequency ($\Delta\nu/\nu = \text{constant}$). Drift rates in
 552 FRB 121102 may show a similar scaling (Figure 3) but there are too few bursts in
 553 our sample to be conclusive. Furthermore, we note that while the Crab HFIPs are mi-
 554 croseconds in duration, the burst envelopes of FRB 121102 are typically milliseconds
 555 — though with underlying $\sim 30 \mu\text{s}$ structure clearly visible in some cases (Michilli
 556 et al. 2018). Searches for even finer-timescale structure in FRB 121102 should con-
 557 tinue, using high observing frequencies to avoid smearing from scattering.

558 The polarization angle of the $\sim 100\%$ linearly polarized radiation from FRB 121102
 559 at 4 – 8 GHz appears constant across bursts and is stable between bursts (Michilli
 560 et al. 2018; Gajjar et al. 2018). Here again there is phenomenological similarity
 561 with the Crab’s HFIPs, which are $\sim 80 - 100\%$ linearly polarized with a constant
 562 polarization position angle across the duration of each pulse and also between HFIPs
 563 that span $\sim 3\%$ of the pulsar’s rotational phase (see Fig. 14 of Hankins et al. 2016).
 564 Like FRB 121102, the Crab HFIPs typically also show no circular polarization.

565 4.3. *Intrinsic Processes and Propagation Effects*

566 The spectral properties of FRB 121102 may be intrinsic to the radiation process,
 567 post-emission propagation processes, or some combination of the two.

568 Spectral structure is seen in bursts from the Sun (e.g., Kaneda et al. 2015), flare
 569 stars (e.g., Osten & Bastian 2006, 2008), and Solar System planets (e.g. Zarka 1992;
 570 Ryabov et al. 2014), including auroral kilometric radiation from the Earth and Sat-
 571 urn and the decametric radiation from Jupiter (e.g., Treumann 2006). Frequency
 572 drifts, qualitatively similar to those seen from FRB 121102, occur due to upward
 573 motions of emission regions to locations with smaller plasma frequencies or cyclotron

574 frequencies, which are tied to the observed electromagnetic frequency. Fine struc-
 575 ture in the emission is related to structure in the particle density (e.g., [Treumann](#)
 576 [2006](#)). Extrapolation of similar processes to FRBs suggests that FRB 121102’s emis-
 577 sion could originate from cyclotron or synchrotron maser emission ([Lyubarsky 2014](#);
 578 [Beloborodov 2017](#); [Waxman 2017](#)), in which case relatively narrow-band emission in
 579 the GHz range could be expected. Antenna mechanisms involving curvature radiation
 580 from charge bunches have also been considered ([Cordes & Wasserman 2016](#); [Lu &](#)
 581 [Kumar 2017](#)) but it is not clear if the energetics can be satisfied or how time-frequency
 582 structure is produced.

583 Alternatively, burst propagation through media outside the emission region can also
 584 produce spectral features by refraction and diffraction from large- and small-scale
 585 structure in ionized plasma, respectively. Enhanced electron densities in confined
 586 regions can act as diverging (overdensities) or converging (underdensities) lenses - i.e.
 587 ‘plasma lenses’. The resulting effects produce highly chromatic amplifications and
 588 multiple images ([Clegg et al. 1998](#); [Bannister et al. 2016](#); [Cordes et al. 2017](#); [Main et al.](#)
 589 [2018](#)) with bandwidths strongly dependent on the detailed properties of the lenses.
 590 Multiple images of bursts will have different amplitudes, peak frequencies, arrival
 591 times, and DMs. If burst images overlap in time and frequency, they can produce
 592 interference structure on small time and frequency scales, including oscillations that
 593 follow the square of an Airy function ([Watson & Melrose 2006](#); [Cordes et al. 2017](#)).
 594 This is qualitatively similar to what we observe from FRB 121102, and though we can
 595 model individual bursts well with a single DM, small differences ($\lesssim 0.1 \text{ pc cm}^{-3}$) in
 596 DM between sub-bursts may still be present, allowing for the possibility of different
 597 bursts being slightly differently lensed.

598 [Michilli et al. \(2018\)](#) argue that FRB 121102 is embedded in a compact, ionized
 599 region with a magnetic field of at least a few milli-Gauss and a substantial electron
 600 density ($n_e \gtrsim 10 \text{ cm}^{-3}$). The large RM suggests that the ionized gas is dominated by a
 601 non-relativistic Hydrogen-Helium plasma because a relativistic gas or gas comprising
 602 an electron-positron plasma would yield a small or null RM.

603 The large variation in RM between bursts separated by 7 months — without a
 604 similarly large accompanying DM variation — indicates that the region is dynamic,
 605 possibly much smaller than 1 pc in thickness, and contains even smaller \sim AU-size
 606 structures that could cause plasma lensing. Depending on the ratio of thermal to
 607 magnetic pressure in the plasma, β , and the geometry of the field (disordered or mis-
 608 aligned from the line-of-sight), the requirements for plasma lensing give a consistent
 609 picture for the measured RM if the region’s depth is of order \sim AU, the electron den-
 610 sity $\sim 10^4 \text{ cm}^{-3}$ and the field $\gtrsim 1 \text{ mG}$. Note that the magnetic field strength could
 611 even be a thousand times larger, $\sim 1 \text{ G}$, if the DM related to the Faraday region is
 612 small ($\lesssim 1 \text{ pc cm}^{-3}$).

613 The detection of transient pulse echoes from the Crab pulsar presents an observa-
 614 tional precedent for plasma lensing ([Graham Smith et al. 2011](#)). While these echoes

are fainter than the normal Crab pulsar emission, the possibility that FRB 121102 is also embedded in a dense nebula suggests an interesting analogy. Though such large RMs as seen from FRB 121102 have not been observed in the Crab pulses, the Crab echo events are associated with apparent DM variations⁸ of $\sim 0.1 \text{ pc cm}^{-3}$ (Backer et al. 2000), which are similar but less extreme compared to the order $\sim 1 \text{ pc cm}^{-3}$ variations seen in FRB 121102.

More recently, Main et al. (2018) discovered that plasma lensing can boost the observed brightness of the ‘black widow’ Galactic millisecond pulsar PSR B1957+20 in a strongly time and frequency-dependent way⁹. PSR B1957+20 is a binary millisecond pulsar, which is eclipsed by intra-binary material blown off of the companion star by the pulsar wind. The plasma lensing events occur near eclipse ingress and egress, and last for a few to tens of milliseconds. Their dynamic spectra (see Fig. 2 of Main et al. 2018) are qualitatively similar to those of FRB 121102 presented here. While this is a stunning demonstration of how plasma lensing can boost the observed brightness of pulsed radio emission by close to two orders-of-magnitude, we note that FRB 121102 likely inhabits a much different environment compared with PSR B1957+20 (Michilli et al. 2018).

Furthermore, while plasma lensing can explain the downwards frequency drift of the FRB 121102 sub-pulses, this would require a single dominant lens for the drift to be in the same direction for some amount of time. If plasma lensing is the cause for the sub-burst frequency drift, one would expect the drift rate to change rate and sign with time, as the viewing geometry changes and different lenses dominate. In the case of PSR B1957+20, where many lenses are involved, brightness enhancements are seen to drift both upwards and downwards over the course of tens of milliseconds (Main et al. 2018).

4.4. Constraints on the Magneto-ionic Medium Near FRB 121102

Plasma lensing, if relevant to the bursts’ time-frequency structure, provides a constraint on the circum-source medium that adds to those previously derived from RM measurements (Michilli et al. 2018) and from the host galaxy’s dispersion measure, DM_{host} — as estimated from $\text{H}\alpha$ measurements (Tendulkar et al. 2017; Bassa et al. 2017; Kokubo et al. 2017). We assume that all of the source-frame rotation measure, $\text{RM}_{\text{src}} = 1.46 \times 10^5 \text{ rad m}^{-2}$, is from a thin region near the source with thickness l but the associated DM_{RM} may be substantially less than $\text{DM}_{\text{host}} \approx 100 \text{ pc cm}^{-3}$. These constrain the thickness and temperature of the Faraday region, as we now summarize briefly (see also Michilli et al. 2018).

We relate the parallel magnetic field estimated from RM_{src} to the magnetic pressure and obtain an electron density $n_e = 4.6 \times 10^4 \text{ cm}^{-3} \text{ DM}_{\text{RM},100}^{-2} F_g^{-1}$ in a region of thickness $l = 449 \text{ AU} \times \text{DM}_{\text{RM},100}^3 F_g$, where $\text{DM}_{\text{RM},100}$ is the DM associated with the

⁸ These variations are much larger and rapid compared to the $10^{-2} - 10^{-4} \text{ pc cm}^{-3}$ variations seen over year-long timescales along normal pulsar lines of sight through the Galactic interstellar medium (Hobbs et al. 2004).

⁹ Similar effects have also been seen in PSR B1744–24A (Bilous et al. 2011).

653 Faraday medium in units of 100 pc cm^{-3} . The composite quantity $F_g \equiv \eta_B^2 T_4 / \beta$ is a
 654 ‘gas factor’ comprising the temperature T_4 in units of 10^4 K , the plasma β (the ratio
 655 of thermal and magnetic energy densities with $\beta = 1$ in the case of equipartition),
 656 and a geometric factor $\eta_B \leq 1$ that accounts either for the misalignment of an ordered
 657 magnetic field from the line of sight or for a turbulent field with local values much
 658 larger than the net parallel component that determines RM. The corresponding free-
 659 free optical depth is $\tau_{\text{ff}} \approx 1.5 T_4^{-1.3} \nu^{-2.1} (F_g \text{DM}_{\text{RM},100})^{-1}$. For a small DM in the
 660 Faraday region, e.g. $\text{DM}_{\text{RM}} = 1 \text{ pc cm}^{-3}$, the optical depth is large even at 1 GHz
 661 unless the temperature or the composite gas factor F_g is also large.

662 If plasma lensing accounts for some of the time-frequency structure of the bursts,
 663 then the source’s distance must exceed the focal distance given by Equation 7 of
 664 Cordes et al. (2017) for a Gaussian lens. Lensing occurring at a frequency ν_l in GHz
 665 requires $(a_{\text{AU}} \nu_l)^2 / \text{DM}_l d_{\text{sl}} \leq 1.5 d_{\text{so}}$, where DM_l is the DM depth of the lens, a_{AU}
 666 is the $1/e$ half-width of the lens in AU; d_{sl} and d_{so} are the source-lens and source-observer
 667 distances in pc and Gpc, respectively. The path length through the lens is defined to
 668 be $l = Aa$, where A is a multiplier that allows non-spherical lenses to be considered.
 669 An upper bound on the depth is then

$$l \leq 24.5 \text{ AU } (d_{\text{sl}} d_{\text{so}})^{1/2} \text{DM}_{\text{RM},100}^{1/2} \left(\frac{A}{\nu_l} \right). \quad (1)$$

670 The combined constraints on l from DM, RM, and lensing give an upper bound on
 671 the gas factor

$$F_g \leq 0.055 \left(\frac{A}{\nu_l} \right) \frac{(d_{\text{sl}} d_{\text{so}})^{1/2}}{\text{DM}_{\text{RM},100}^{5/2}}, \quad (2)$$

672 a lower bound on the electron density,

$$n_e \geq 8.42 \times 10^5 \text{ cm}^{-3} \left(\frac{\text{DM}_{\text{RM},100}}{d_{\text{so}} d_{\text{sl}}} \right)^{1/2} \left(\frac{\nu_l}{A} \right), \quad (3)$$

673 and a lower bound on the free-free optical depth,

$$\tau_{\text{ff}} \geq 28.4 T_4^{-1.3} \nu^{-2.1} \text{DM}_{\text{RM},100}^{3/2} (d_{\text{so}} d_{\text{sl}})^{-1/2} \left(\frac{\nu_l}{A} \right). \quad (4)$$

674 Bursts at 8 GHz are qualitatively similar to those at lower frequencies, suggesting
 675 that lensing might be relevant at a wide range of frequencies. Using $\nu_l = 8 \text{ GHz}$
 676 and requiring the region to be optically thin at $\nu = 1.5 \text{ GHz}$, where most bursts have
 677 been detected, we require $\text{DM}_{\text{RM},100}^{3/2} / T_4^{1.3} A \sqrt{d_{\text{sl}}} \lesssim 0.01$ (and possibly smaller given the
 678 inequality in Eq. 4). This can be satisfied by a small DM_{RM} , a large temperature or
 679 large A , or a source-lens distance larger than 1 pc. A reduced DM_{RM} also makes the
 680 Faraday region thinner and less dense but more strongly magnetized. Furthermore,
 681 it substantially increases the upper bound on the gas factor.

Overall there appears to be sufficient latitude to account for the measured Faraday rotation as well as the requirements for plasma lensing. For a small $DM_{\text{RM}} = 1 \text{ pc cm}^{-3}$, the Faraday region is very thin ($l \lesssim 1 - 10 \text{ AU}$), highly magnetized ($B \gtrsim 1 \text{ G}$), and dense ($n_e \gtrsim 10^5 \text{ cm}^{-3}$). Intriguingly, these values are comparable to those inferred for the Crab echo events, where [Graham Smith et al. \(2011\)](#) argued that these are created by plasma lensing from filaments with diameters of $\sim 2 \text{ AU}$ and electron density of the order of 10^4 cm^{-3} .

The apparent increase of $\sim 1 - 3 \text{ pc cm}^{-3}$ in FRB 121102's DM over 4 years could indicate a genuine increase in electron column density along the line-of-sight, e.g. from an expanding supernova shock-wave sweeping up ambient material ([Yang & Zhang 2017](#); [Piro & Gaensler 2018](#)). However, we again caution that this is not necessarily a secular trend, and it could also reflect frequency-dependent arrival time delays due to variable plasma lensing like seen in the Crab ([Backer et al. 2000](#)).

5. CONCLUSIONS AND FUTURE WORK

We have shown that radio bursts detected from FRB 121102 often exhibit complex time-frequency structure that is unlike what is commonly seen in radio pulsars or radio-emitting magnetars. We apply a DM determination metric that maximizes structure in the frequency-averaged pulse profile, and which reveals that bursts are composed of temporally distinct sub-bursts with widths $\lesssim 1 \text{ ms}$ and characteristic emission bandwidths of typically $\sim 250 \text{ MHz}$ at $\sim 1.4 \text{ GHz}$. Furthermore, these sub-bursts drift to lower frequencies with time at a rate of $\sim 200 \text{ MHz/ms}$ at 1.4 GHz , and the rate of drift is possibly larger at higher radio frequencies. We find that the bursts in this sample have a $DM = 560.57 \pm 0.07 \text{ pc cm}^{-3}$ at MJD 57644, and this suggests an increase of $\Delta DM \sim 1 - 3 \text{ pc cm}^{-3}$ in 4 years. Whether this is a smooth, secular increase or whether there are stochastic variations at the $\sim 1 \text{ pc cm}^{-3}$ level is, as yet, unclear.

We have discussed how the time-frequency structures in the bursts could be intrinsic to the emission mechanism, or due to local propagation effects. While the FRB 121102 bursts show many commonalities with the Crab pulsar high-frequency interpulses, the time-frequency structures are also consistent with plasma lensing, like that seen from the Crab nebula and in the intra-binary material of PSR B1957+20. In either case, the time-frequency structure provides new information about the nature of the underlying bursting source and its environment. Overall, these new findings are consistent with previously proposed scenarios in which FRB 121102 is a particularly young neutron star in a dense nebula.

A larger, high-S/N, and broad frequency burst sample is needed to further address the nature of FRB 121102. In the absence of prompt multi-wavelength counterpart, the radio bursts themselves remain a key diagnostic. Future work can better quantify DM variations, whether the apparent drift rate of the sub-bursts changes with time, and whether there is a correlation between the variable RM and the time-frequency

722 structure in the bursts. If the RM is dominated by a single plasma lens, correlated
723 variations could be expected. Furthermore, a larger sample can address if sub-burst
724 brightness is inversely proportional to its characteristic bandwidth and whether in-
725 dividual sub-bursts have demonstrably different DMs — both of which would be
726 expected in a plasma lensing scenario. Continued monitoring, over the broadest pos-
727 sible range of radio frequencies, and preferentially with simultaneous ultra-broadband
728 observations, is thus strongly motivated.

729 The low frequencies and huge fractional bandwidth (400 – 800 MHz) offered by
730 CHIME (CHIME/FRB Collaboration et al. 2018) is well suited to exploring the role
731 of local propagation effects like plasma lensing — especially if bursts can be studied in
732 fine detail using coherent dedispersion on buffered voltage data. While FRB 121102
733 has yet to be detected below 1 GHz (Scholz et al. 2016), both UTMOST and CHIME
734 have shown that FRBs are detectable at these frequencies (Farah et al. 2018; Boyle &
735 CHIME/FRB Collaboration 2018). Finding commonalities or differences in the burst
736 properties between repeating and apparently non-repeating FRBs may help establish
737 whether they have a common physical origin or not. Indeed, during the refereeing
738 stage of this paper, the CHIME collaboration announced the discovery of a second
739 source of repeating FRBs, whose burst properties look remarkably similar to those of
740 FRB 121102 (CHIME/FRB Collaboration et al. 2019).

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Facilities: Arecibo, GBT, EVN

Software: Astropy, DSPSR, PSRCHIVE, PRESTO, psrfits_utils

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Table 1. Properties of detected bursts. Uncertainties are the 68% confidence interval, unless otherwise stated.

ID ^a	Barycentric Peak Time (MJD) ^b	Peak Flux Density (Jy) ^c	Fluence (Jy ms) ^c	W_{sb} (ms) ^d	W_{b} (ms) ^e	Drift Rate (MHz ms ⁻¹) ^f	DM Max. (dl/dt) ² (pc cm ⁻³) ^g	DM Peak S/N (pc cm ⁻³) ^h
AO-00	57364.2046326656	0.03	0.1	557.7(2)
AO-01	57638.4659716231	0.3	0.6	1.03	1.94	-204	...	561.50(2)
AO-02	57638.4675640004	0.4	0.6	0.19	2.50	-122	560.68(2)	562.96(2)
AO-03	57640.4138405217	0.1	0.2	0.25	1.89	-187	...	562.24(2)
AO-04	57641.4594528637	0.2	0.2	0.30	1.52	-221	...	562.24(2)
AO-05	57641.4645632098	1.0	6.2	0.34	5.42	-46	560.60(3)	565.85(2)
AO-06	57642.4715691734	0.2	0.6	0.31	3.28	-129	560.50(2)	562.66(2)
AO-07	57642.4754649610	0.4	1.1	0.24	2.44	-128	560.50(3)	562.83(2)
AO-08	57644.4110709268	0.2	0.3	0.43	2.45	-140	...	562.16(2)
AO-09	57646.4173141213	0.1	0.3	0.20	2.77	-205	...	561.17(5)
AO-10	57646.4278138709	0.4	0.9	0.23	2.51	-50	560.50(3)	562.52(2)
AO-11	57648.4307890113	0.3	0.6	0.14	2.32	~0	560.55(3)	560.74(2)
AO-12	57648.4581115606	0.2	0.2	0.35	1.58	-168	560.53(3)	561.68(2)
AO-13	57649.4281585259	0.2	0.6	0.17	2.08	-286	560.67(4)	561.38(2)
GB-01	57647.2964919448	0.4	0.5	0.13	2.10	-237	560.79(1)	564.21(4)
GB-02	57649.3337214719	0.2	0.4	0.16	1.97	-251	560.65(1)	563.96(4)
GB-03	57927.5700691158	0.05	0.1	0.30	2.67	-141	560.5(1)	567.27(8)
GB-04	57928.7263586936	0.05	0.1	0.40	1.95	-276	560.1(1)	563.10(7)
GB-BL	57991.5765740056	0.4	0.5	0.13	1.97	-865	563.86(5)	595.1(4)

^a Central observing frequencies: AO-00 to AO-13: 1.4 GHz; GB-01 to GB-04: 2.0 GHz; GB-BL: 6.5 GHz.

^b Arrival time of the centroid of the full-burst envelope, corrected to the Solar System Barycenter and referenced to infinite frequency (i.e the time delay due to dispersion is removed) using an assumed DM= 560.5 pc cm⁻³.

^c Uncertainties on peak flux density and fluence are roughly 50% fractional.

^d The characteristic sub-burst durations determined from the ACF analysis. Uncertainties are on the order of 50 μ s.

^e The characteristic burst durations determined from the ACF analysis. Uncertainties are on the order of 50 μ s.

^f Best-fit linear trend to the sub-burst centroids. A negative sign is used to indicate decreasing frequency. Uncertainties are not well quantified, but it is clear that a simple linear fit is a poor model in some cases.

^g DM at which the squared time-derivative of the profile is maximized.

^h DM at which the peak S/N is maximized.

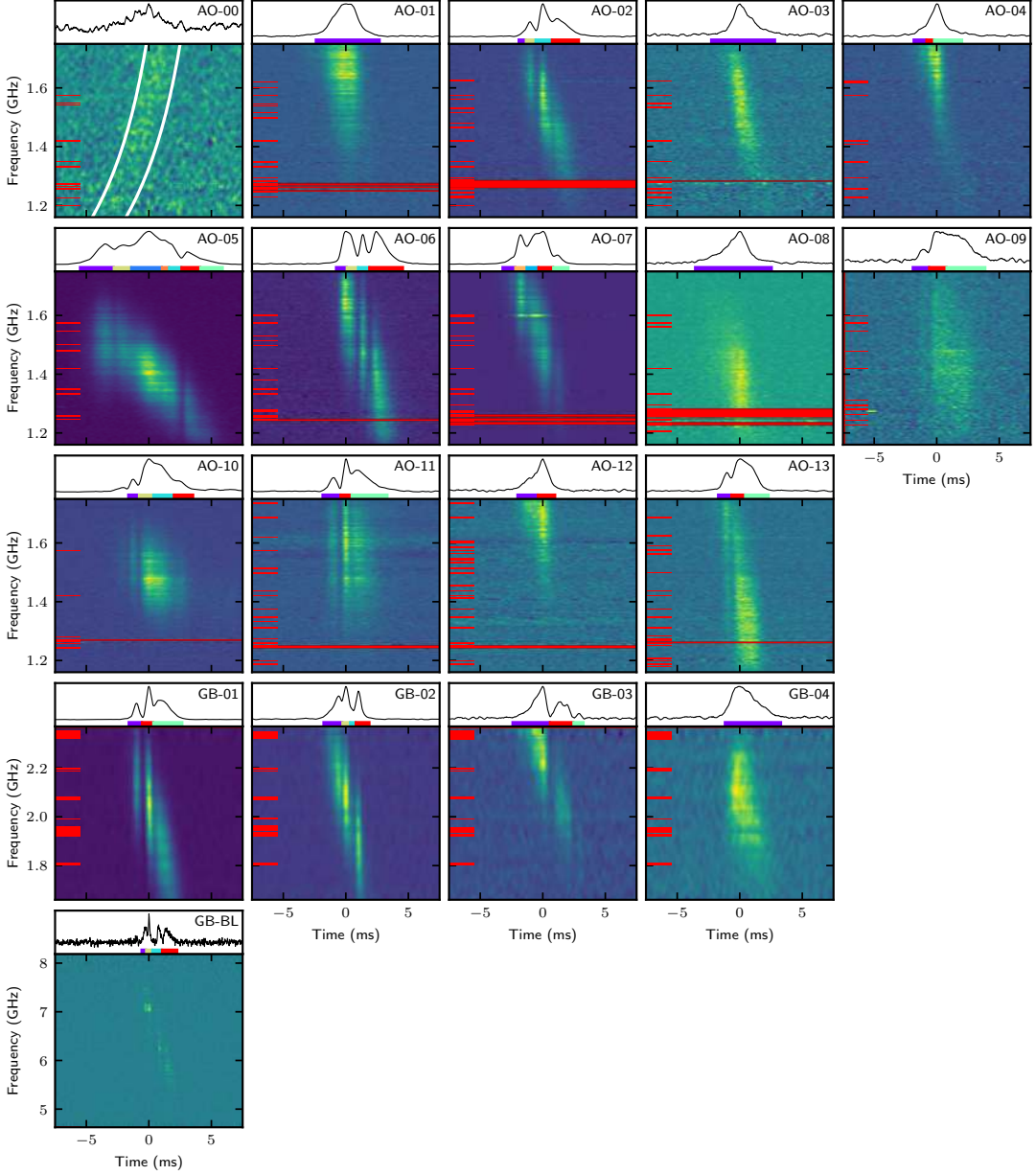


Figure 1. Dynamic spectra of the bursts (see Table 1), each dedispersed to $DM = 560.5 \text{ pc cm}^{-3}$, and using a linear scaling in arbitrary units (the bursts are not flux calibrated). The plotted dynamic spectra have been smoothed using a Savitzky-Golay filter (Savitzky & Golay 1964), which preserves higher moments of the peak while providing a natural way to interpolate across modest gaps in the data due to RFI excision (indicated with red tick marks on the left). Larger gaps are indicated with full red bars. The smoothing time and frequency scales are: AO-00: $0.5 \text{ ms}/25 \text{ MHz}$, AO-01–13: $0.5 \text{ ms}/8 \text{ MHz}$, GB-01–04: $0.5 \text{ ms}/55 \text{ MHz}$, GB-BL: $0.05 \text{ ms}/60 \text{ MHz}$. At the top of each panel, the band-integrated burst profile is shown, with the colored bars indicating the time spans of the sub-bursts used in the fitting. Bursts AO-01 to AO-13 are the new bursts detected with Arecibo. For comparison, AO-00 is burst #17 from Scholz et al. (2016); the white lines show the best-fit $DM = 559 \text{ pc cm}^{-3}$ for that burst, which deviates significantly from the $DM = 560.5 \text{ pc cm}^{-3}$ dispersive correction displayed here. GB-01 to GB-04 are the four new GBT bursts detected at 2.0 GHz, and GB-BL is one of the 6.5-GHz GBT Breakthrough Listen bursts presented in Gajjar et al. (2018).

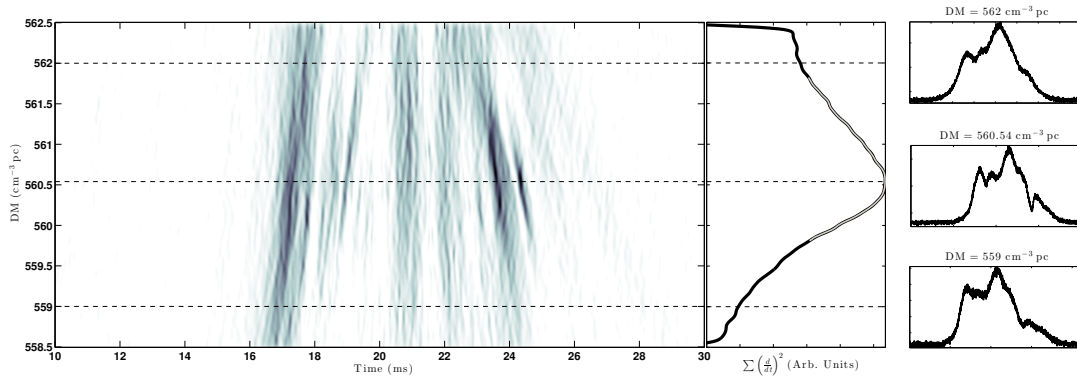


Figure 2. An example of the DM optimization method, using burst AO-05. The main panel presents the square of the Gaussian-smoothed forward-difference time-derivative of the frequency-averaged burst profile as a function of DM and time. Darker regions show steeper areas of the profile when varying DM. The adjacent sub-panel shows the average along the time axis. Here the gray curve overlaid on the time-average curve is the high-order polynomial used for the optimal DM interpolation. The right-hand panels show the frequency-averaged burst profiles at DM values above, at, and below the optimum value, which are marked with dash lines in the main panel.

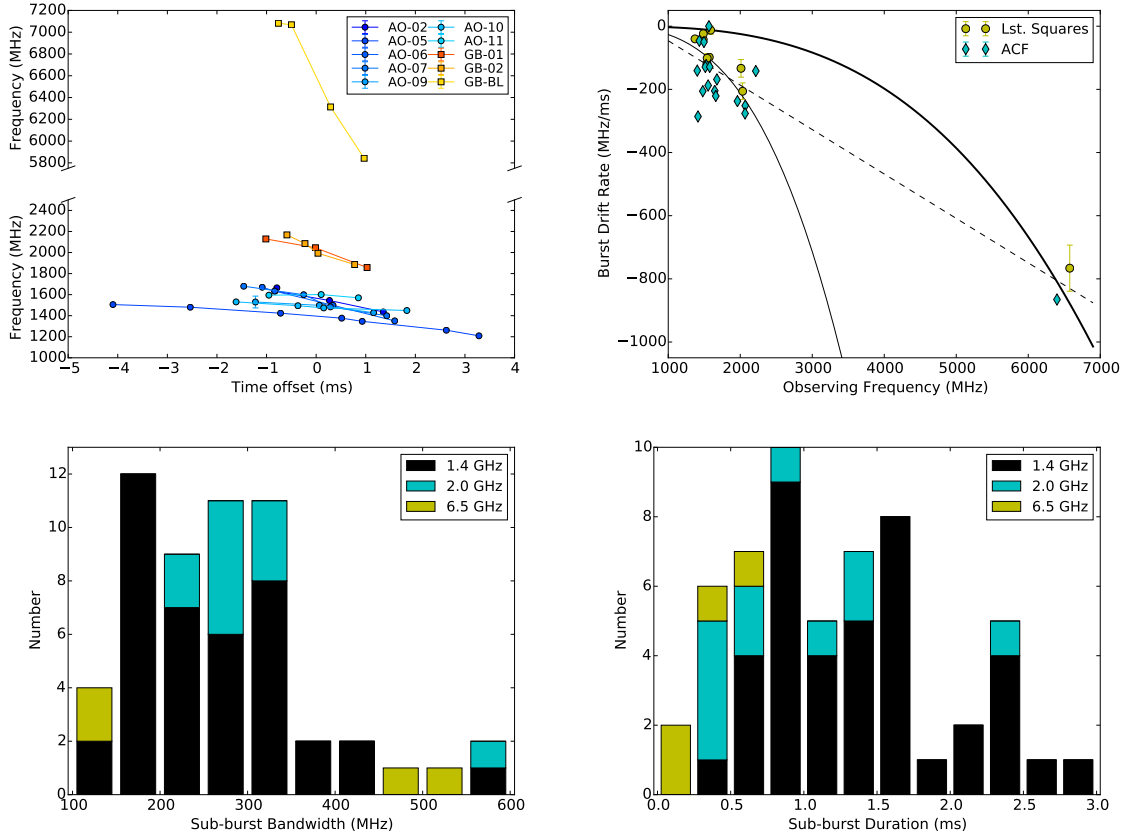


Figure 3. *Top-left:* Sub-burst central frequency as a function of arrival time. The bursts are aligned such that, for each burst, emission at the average frequency of the sub-bursts arrives at zero time offset. This is to demonstrate that they have similar slopes at the same central observing frequency. *Top-right:* Measured linear burst drift rates versus burst characteristic radio frequency for the least squares (yellow circles) and ACF (cyan diamonds) methods. The solid curves illustrate the drift expected if the DM used to dedisperse the burst was too low. The thicker solid line corresponds to a $\Delta DM \sim 40 \text{ pc cm}^{-3}$ as determined through a least squares fit to all of the data points, while the thinner solid line corresponds to $\Delta DM \sim 5 \text{ pc cm}^{-3}$ as determined through a least squares fit to only the 1.4- and 2.0-GHz bursts. The dashed line illustrates a linear fit to the data. *Bottom-left:* The FWHM bandwidths measured by fitting a 2D Gaussian model to each sub-burst in the sample using the least squares routine. The 1.4-GHz Arecibo bursts are shown in black, the 2.0-GHz GBT bursts in cyan, and the 6.5-GHz GBT bursts in yellow. *Bottom-right:* The 2D Gaussian FWHM temporal durations of each sub-burst as determined by the least squares fitting technique. Color coding same as for *Bottom-left*.

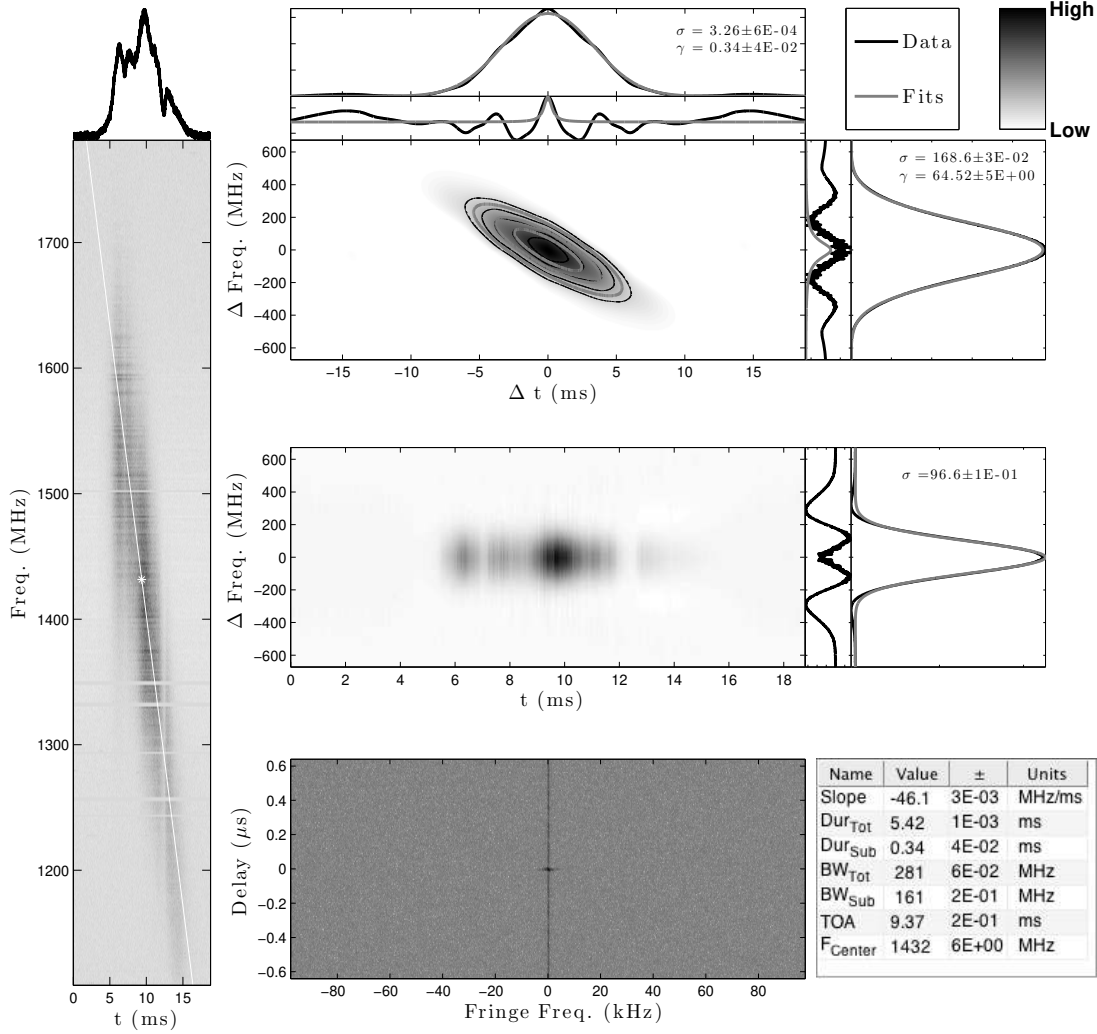


Figure 4. A diagnostic plot from the autocorrelation function (ACF) burst analysis, using burst AO-05 as an example. *Left:* the dynamic spectrum, with the profile averaged over frequency shown above. Here the white diagonal line and star show the fitted drift and characteristic frequency of the burst. *Top-right:* a two dimensional ACF for the burst, with adjacent sub-panels showing the average along each axis. These average ACF curves are fitted with a Gaussian distribution, and the residuals of those are fitted with a Lorentz distribution. *Center-right:* the non-normalized ACF at each time stamp, with the time-averaged ACF shown in the adjacent sub-panel. This time-averaged ACF is fitted with a Gaussian, whose residual is displayed. *Bottom-right:* the secondary spectrum and a table of fitted values.

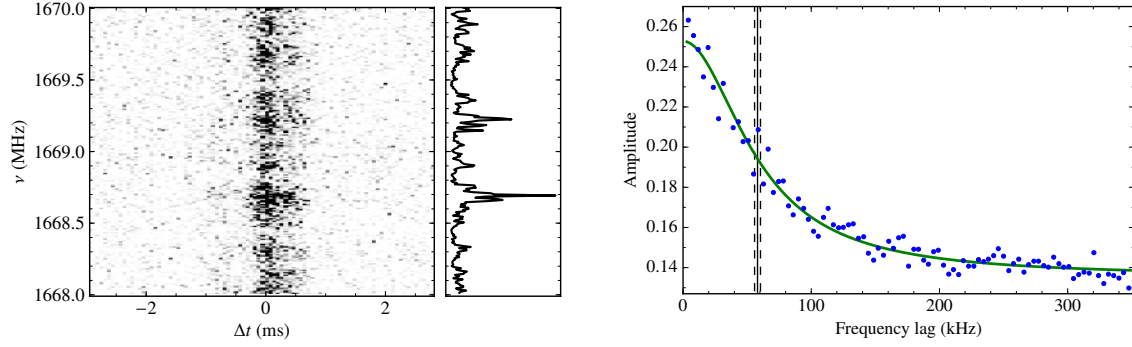


Figure 5. *Left:* A zoom-in on 2 MHz of the dedispersed dynamic spectrum of a burst detected in European VLBI Network (EVN) observations. The right-hand sub-panel shows the cumulative burst brightness (arbitrary units) as a function of frequency. *Right:* Auto-correlation function of the burst spectrum showing that its narrow-band frequency structure has a characteristic scale (half width at half maximum, HWHM) of 58.1 ± 2.3 kHz. Here the solid vertical line shows the HWHM of the fitted Lorentzian function (shown by the solid green curve), and the dashed lines show the uncertainty.