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8	From grey to green: efficacy of eco-engineering solutions for nature-based coastal
9	defence
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#### 33 Abstract

Climate change is increasing the threat of erosion and flooding along coastlines globally. 34 35 Engineering solutions (e.g. seawalls and breakwaters) in response to protecting coastal communities and associated infrastructure are increasingly becoming economically and 36 ecologically unsustainable. This has led to recommendations to create or restore natural 37 habitats, such as sand dunes, saltmarsh, mangroves, seagrass and kelp beds, and coral and 38 39 shellfish reefs, to provide coastal protection in place of (or to complement) artificial structures. Coastal managers are frequently faced with the problem of an eroding coastline, 40 which requires a decision on what mitigation options are most appropriate to implement. A 41 barrier to uptake of nature-based coastal defence is stringent evaluation of the effectiveness in 42 43 comparison to artificial protection structures. Here, we assess the current evidence for the efficacy of nature-based versus artificial coastal protection and discuss future research needs. 44 Future projects should evaluate habitats created or restored for coastal defence for cost-45 effectiveness in comparison to an artificial structure under the same environmental 46 conditions. Cost-benefit analyses should take into consideration all ecosystem services 47 48 provided by nature-based or artificial structures in addition to coastal protection. Interdisciplinary research among scientists, coastal managers and engineers are required to 49 facilitate the experimental trials needed to test the value of these shoreline protection 50 schemes, in order to support their use as alternatives to artificial structures. This research 51 needs to happen now as our rapidly changing climate requires new and innovative solutions 52 to reduce the vulnerability of coastal communities to an increasingly uncertain future. 53

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## 57 Introduction

Half of the world's population lives within 60 km of the ocean, and three quarters of all large
cities are coastal (UNEP, 2005). Erosion and inundation are hazards that threaten humans and
associated infrastructure in the coastal zone (Hinkel *et al.*, 2014, Kittinger & Ayers, 2010).
The impact of these hazards has increased as the amount and value of coastal infrastructure

has grown, and continues to grow. Future climate change is predicted to further increase the 62 vulnerability of communities to coastal hazards. This is due to the influence of climate 63 change on the drivers of these hazards, such as increases in sea level, greater wave height and 64 more intense, and potentially more frequent, storm events (IPCC, 2014, Young et al., 2011) 65 (Fig. 1). For example, at least 70% of beaches worldwide are eroding or have a negative 66 sediment budget, resulting in shoreline erosion and inland displacement (Bird, 1985), while 67 up to 4.6% of the global population may experience annual flooding by 2100 (Hinkel et al., 68 2014). Identifying effective intervention methods to protect and mitigate against such 69 70 contemporary and future hazards is arguably one of the most pressing challenges facing coastal communities today. 71

Armouring with 'hard' engineered structures, such as seawalls and breakwaters, is the 72 current solution for coastal defence to protect against contemporary hazards. However, 73 financial costs of maintaining these structures under future climate change scenarios are 74 75 significant (Hinkel et al., 2014). In parallel, this has prompted research investigating the 76 value of natural ecosystems, such as biogenic reefs, dunes, beaches and vegetation, to provide 77 protection against erosion and waves, with the benefit that these systems can adapt to changes in climate and self-repair after major storm events (Gittman et al., 2014). Recently, the 78 79 restoration or creation of habitats through 'soft ecological engineering' techniques has been 80 advocated as a tool for natural shoreline stabilisation, with additional ecosystem benefits, such as biodiversity provision (Temmerman *et al.*, 2013). Despite the significant limitations 81 82 of hard coastal defence in a changing climate, these structures are continuing to be built, with little changes in practices or management. One barrier to the wider use of soft eco-83 engineering approaches for coastal defence is evidence that restored or created habitats 84 provide equivalent protection to firstly, the intact natural habitat and secondly, hard 85 engineered structures (Bouma et al., 2014, Narayan et al., 2016). 86 Here we present a review to determine the current evidence for the effectiveness of 87 88 coastal defence using soft eco-engineering versus traditional engineering solutions. As recent 89 studies have reviewed the role of natural habitats in coastal defence and climate change adaptation, we focus specifically on restored or created habitats and their ability to protect the 90

91 coast against erosion and flooding relative to hard structures. A comparison between

92 restored/created habitats and hard structures is more relevant to management, where a

93 decision on what type of structure should be built to protect an eroding coastline needs to be

94 made. Nature-based solutions through restoration or habitat creation have considerable

- 95 potential for coastal defence, but have received much less attention than the additional
- 96 ecosystem services (e.g. biodiversity enhancement) these habitats provide.
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#### 98 Natural habitats for coastal defence

Coastlines support a variety of habitats, of which sand dunes and beaches, saltmarsh, 99 mangroves, seagrass and kelp beds, and coral and shellfish reefs have been identified as 100 potentially important in mitigating the impacts of coastal hazards (Fig. 1). Increasing interest 101 in the potential for these systems to function as alternatives to hard coastal defence structures 102 has prompted research into the use of natural coastal features to support coastal resilience and 103 104 risk reduction (Spalding et al., 2014). Recent syntheses evaluating 'living infrastructure' for coastal defence have focused on the effectiveness of existing coastal habitats to provide 105 protection against coastal hazards, some in comparison to hard infrastructure (Duarte et al., 106 2013, Feagin et al., 2015, Ferrario et al., 2014, Gedan et al., 2011, Hanley et al., 2014, 107 Narayan et al., 2016, Ondiviela et al., 2014, Shepard et al., 2011). Of particular interest has 108 been the ability of natural systems to prevent episodic coastal erosion and inundation during 109 110 storms, hurricanes and tsunami, to halt or slow the chronic loss of coastal land due to persistent erosion over medium to long time periods, and minimise coastal inundation due to 111 future sea level rise. 112

113 Natural habitats provide protection services against these coastal hazards through ecosystem processes such as increased bed friction, local shallowing of water, sediment 114 deposition and building vertical biomass (Fig. 1). These processes elicit responses such as 115 changes in shore profile and elevation relative to sea level and wave attenuation, which in 116 turn mitigate the coastal hazards (Fig. 1). For instance, vegetated coastal habitats, such as 117 seagrasses, saltmarshes and mangroves, can reduce water flow and wave height as waves 118 pass through the dense vegetation, and similar effects are caused by the rough surfaces of reef 119 systems (reviewed in Spalding et al., 2014). In addition, subtidal habitats cause localised 120 water shallowing, which promotes wave breaking (Ferrario et al., 2014). Coastal vegetation 121 122 and shellfish reefs can stabilise shorelines by promoting sediment deposition and/or reducing 123 erosion and sediment movement (Spalding et al., 2014). Further, sediment accumulation in association with coastal vegetation can increase the height of the land relative to sea-level, 124 125 thus reducing the likelihood of flooding during storm events (Shepard et al., 2011). Finally, 126 the effects of natural habitats in terms of coastal protection can be additive, since two or more 127 ecosystems may lie in close proximity (Spalding et al., 2014).

The wave height reduction of coral reefs, saltmarsh, seagrass/kelp beds and 128 mangroves has been estimated to be 70%, 72%, 36% and 31%, respectively (Narayan et al., 129 2016), which is comparable to that reported for low-crested detached breakwaters (30-70%, 130 Ferrario et al., 2014). Equally, a meta-analysis found a positive effect of saltmarsh on 131 shoreline stabilisation, although these studies only compared areas with and without 132 saltmarsh (i.e., saltmarsh was not compared with an alternative hard solution; Shepard et al. 133 2011). For saltmarsh, the vegetation characteristics and environmental setting were important 134 for the degree of wave attenuation and shoreline stabilisation provided (Shepard et al. 2011). 135 136 For instance, an increase in marsh width, vegetation height and density and marsh elevation 137 had a positive effect on wave attenuation, while an increase in wave energy had a negative effect. Similarly, an increase in biomass production, percentage cover, patch size and density 138 had a positive effect on shoreline stabilisation, but a greater tidal elevation had a negative 139 effect (Shepard et al. 2011). 140

A reduction in the impact of extreme events (tsunami, storms and cyclones) has also 141 been reported on coasts where sand dunes were present compared to coastlines without dunes 142 143 (Bayas et al., 2013, Hu et al., 2016, Kathiresan & Rajendran, 2005, Wijetunge, 2010); although the degree of coastal protection depends on the shape and height of the dunes. Low 144 145 dunes relative to the height of storm surge or tsunami have reduced coastal protection capacities, whereas gaps in dune barriers can cause more substantial impacts by accelerating 146 water flows inland (Bayas et al., 2013, Hart & Knight, 2009, Wijetunge, 2010). There are 147 few direct comparisons between dunes and hard structures; however, an assessment of a low-148 149 energy tsunami in the Seychelles found that dunes were less successful than seawalls in reducing flood hazards but that dunes did reduce wave strength leading to a significant 150 151 decrease in structural damage compared to seawalls (Bayas et al., 2013). The coastal protection capacity of seawalls also depends on their height, and dunes have decreased 152 inundation rates where surge levels have exceeded the height of seawalls but remained lower 153 than the dune height (Sato, 2015). 154

In terms of natural shellfish reefs, there is a paucity of information on their value for coastal defence, which may be due to the widespread destruction of these habitats that has left few existing mature shellfish beds (Beck *et al.*, 2011). Recent simulations, however, suggest increased wave energy due to historical oyster bed loss in New York Harbour (Brandon *et al.*, 2016). Further, increases in the percentage cover of ribbed mussel (*Geukensia demissa*), which is found synergistically with saltmarsh in the United States, decreased saltmarsh shoreline erosion, however, the effects varied among locations and sites (Moody, 2012).

Although the protection of natural coastal features can be comparable to built 162 infrastructure, unlike artificial defences, coastal habitats are dynamic ecosystems. From one 163 perspective, this is an advantage as they may have the capacity to adapt with climate change. 164 Alternatively, dynamic systems introduce uncertainty that could be a barrier to the wider use 165 of natural habitats in coastal defence planning (see Bouma et al., 2014). For instance, the 166 aboveground biomass of coastal vegetation can vary seasonally, which may impact wave 167 attenuation (Bouma et al., 2014). Further, the persistence and effectiveness of habitats to 168 protect the shoreline is site specific, depending on tidal inundation and foreshore width 169 170 (Bouma et al., 2014). Long-term persistence of coastal ecosystems needs to be predicted on similar decadal scales to engineered structures, but this is hard to assess and can change due 171 to inherent ecosystem dynamics, or environmental factors. The latter could be the result of 172 the many other anthropogenic impacts simultaneously affecting coastal ecosystems alongside 173 climate change, including contamination (Browne et al., 2015, Myers et al., 1980, Stark, 174 1998, Stark et al., 2004), extraction of resources (Duran & Castilla, 1989, Fanelli et al., 175 1994, Lenihan & Peterson, 1998) and introduction and establishment of invasive species 176 (Ruiz et al., 1997). 177

Although there is evidence for the protective role of coastal habitats, global losses of these habitats is as high as 85% for oyster reefs (Beck *et al.*, 2011) and 50% for coral reefs (Hoegh-Guldberg, 2014) and coastal wetlands (Davidson, 2014). Often, habitat destruction is greatest around the most densely populated areas, ironically where the impact to humans is greatest during erosion and flooding. This has driven an emerging interest in restoring or creating habitats for coastal defence (Temmerman *et al.*, 2013).

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#### 185 Incorporating ecological engineering into coastal defence planning

Economic costs for coastal adaptation to climate change using hard infrastructure is 186 substantial. Additional costs of US\$ 4-11 billion per year are estimated for the coastal 187 engineering protection measures required with projected climate change over the next 50 188 189 years (Parry et al., 2009). Equally, it has been well documented that building infrastructure in 190 intertidal and subtidal systems has a number of negative ecological impacts (Bulleri & Chapman, 2010). For example, artificial coastal defence structures often support less diverse 191 192 communities than natural habitats (e.g. Chapman, 2003), with greater numbers of non-native 193 species (Dafforn *et al.*, 2009). This change in assemblage composition is likely to affect ecosystem functioning in artificial systems, and consequently the services important to 194

humans (e.g. food provision), although this remains an understudied topic (Bulleri &
Chapman, 2015). To mitigate impacts of built infrastructure in the environment, there is an
increasing interest in ecological engineering, which is combining ecological processes with
engineering principles to develop infrastructure that benefits both humans and nature (Mitsch
& Jørgensen, 2003). Coastal eco-engineering research to date has ranged from 'hard', to
'hybrid' to 'soft' solutions (Chapman & Underwood, 2011, Fig. 2).

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# 202 Hard eco-engineering

In principle, hard eco-engineering is a solution to the ecological impacts of built 203 204 infrastructure in areas where there is not an option to manage shorelines using soft engineering techniques. For instance, in coastal cities that are densely populated there may 205 insufficient space to create or restore habitats for coastal defence (Bouma et al., 2014). 206 Equally, eco-engineered habitats can be retrofitted onto existing infrastructures (Dafforn et 207 208 al., 2015b, Fig. 2b). For example, much research has focussed on adding microhabitats, such as water-retaining features and crevices, to marine infrastructure to increase the overall 209 210 heterogeneity of substrata and the diversity of organisms living on that structure (Chapman & Underwood, 2011). Techniques for hard eco-engineering have been extensively reviewed 211 recently, and therefore are not addressed in this paper (Chapman & Underwood, 2011, 212 Dafforn et al., 2015b, Firth et al., 2016, Firth et al., 2014). 213

Hard eco-engineering has different objectives to soft eco-engineering as ecological 214 principles are integrated into the design of existing or planned defence structures, with the 215 motivation to create multi-purpose infrastructure for enhancing diversity and ecological 216 functioning, while maintaining defence services. An exception, however, is when hard eco-217 engineering promotes the settlement of organisms with a calcium carbonate skeleton (e.g. 218 barnacles), which can shelter the structure from weathering and erosion through bioprotection 219 (Perkol-Finkel & Sella, 2015). Conversely, while soft eco-engineering is advocated as the 220 preferred approach from an ecological perspective (Dafforn et al., 2015b, Mayer-Pinto et al., 221 222 2017), the created habitat foremost needs to provide sufficient coastal protection into the 223 future if this technique is to replace (or complement) artificial defences. 224

#### 225 *Hybrid eco-engineering*

226 An intermediate solution between hard and soft eco-engineering is a hybrid approach (Sutton-Grier *et al.*, 2015). Nature-based and built infrastructure in this case are combined to 227 provide maximal coastal protection benefits (Sutton-Grier et al., 2015). This provides an 228 opportunity to harness the strengths of nature-based and hard infrastructure, while minimising 229 the weaknesses of both (Sutton-Grier et al., 2015). For example, a shellfish reef may be 230 placed in front of a seawall (Fig. 2c), which could form the first line of defence, thus 231 prolonging the life of the wall as well as contributing other functions such as water filtration 232 and biodiversity enhancement. On the other hand, the seawall can provide protection during 233 234 reef formation. New initiatives could involve removable walls after the establishment of nature-based infrastructure, or wider use of seawalls with gates that can be opened and closed 235 in extreme events (Sutton-Grier et al., 2015). Thus, hybrid engineering might provide novel 236 alternatives to traditional infrastructure, particularly where soft engineering alone is not 237 238 appropriate.

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#### 240 Soft eco-engineering

The diversity of terminologies in the literature that relate to actions inspired or 241 supported by nature to solve environmental, social and economic problems may have 242 introduced ambiguity around nature-based coastal defence (Nesshöver et al., 2017). This 243 244 includes 'nature-based solutions' (Nesshöver et al., 2017), 'soft engineering' (Chapman & Underwood, 2011), 'nature-based features or infrastructure' (Bridges et al., 2015), 245 'green/blue infrastructure' (Mayer-Pinto et al., 2017) 'building with nature' (de Vriend et al., 246 247 2014) and 'living shorelines' (Bilkovic et al., 2016). In addition, restoration (defined as the re-creation of habitat that was previously in a particular area, Elliott et al. 2007) and habitat 248 249 creation or enhancement, which is placing a different habitat within an area (Elliott et al., 250 2007) have both been included under nature-based shorelines (Bilkovic *et al.*, 2016). 251 Whichever the term used, in general, all practices have in common the promotion of nature to enhance climate change mitigation and adaptation, explicitly as an alternative to, or to 252 253 complement, built infrastructure (Nesshöver et al., 2017), Fig. 2d). Coastal protection may often not be a primary motive in soft engineering projects. For 254 example, the restoration of oysters in the United States started with the aim of enhancing 255 256 fisheries, but since the framework has been used to restore other ecosystem services,

- including coastal defence (Beck *et al.*, 2011). Here, we evaluate the current evidence for the
- effectiveness of restored or created (hereafter collectively referred to as 'restored') dunes,
- coral and shellfish reefs, seagrass and kelp beds, mangroves, and saltmarsh as coastal
- 260 defence, in comparison to hard infrastructure.
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#### 262 Current evidence for nature-based coastal defence

A relatively small percentage of studies reported coastal defence as a primary 263 objective of coral reef (18%), mangrove (26%), saltmarsh (16%) and shellfish (26%) 264 restoration projects, and none for kelp and seagrass (Supplementary methods and Table S4, 265 266 Fig. 3). In contrast, over half (65%) of dune restoration studies were for coastal defence. For coral reefs and mangroves designed for coastal defence, monitoring to determine whether the 267 created habitat had succeeded in protecting the coast was done in half, or less, of the studies 268 (Fig. 3). A questionnaire-based study on coral reef restoration revealed a similar result, where 269 270 19.6% of respondents reported designing reefs to deliver coastal defence services, but only 10% reported measuring those benefits (Fabian et al., 2014). For dunes, saltmarsh and 271 shellfish coastal defence projects, field measurements of shoreline erosion and/or wave 272 attenuation was greater (60-80% of projects, Fig. 3). However, all studies (except see, 273 274 Gittman et al., 2014) compared restored habitats to control areas without habitats, leaving a paucity of information on how nature-based defences compare to hard infrastructure (Fig. 3). 275

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#### 277 Wave attenuation

Wave attenuation is the reduction in wave height or energy that occurs as waves pass 278 279 over coastal habitats. Created oyster reefs, installed to combat both natural and anthropogenic erosion, attenuated 25% of the wave height caused by boating pressures, in comparison to 280 281 controls with no reefs, and was equivalent to a natural reef (23% attenuation) (Garvis, 2009). 282 As might be expected, wave energy reduction significantly increased from immediate deployment of the oyster reef (18.7%) to one year after establishment (44.7% reduction) 283 (Manis *et al.*, 2015). Under the same conditions, newly created and established saltmarsh 284 285 reduced 6.9% and 31.4% of wave energy, respectively (Manis et al., 2015). A design that incorporated both saltmarsh and oyster reef, however, was the most effective at attenuating 286 wave energy (67.3% after one year; Manis et al., 2015). 287

Oyster reefs reduced the power of larger wind-waves (> 0.03 m in height) by 42-44% (Taube, 2010). Restored reefs, however, dissipated less wave energy than a natural reef in the same area (61%). Under some conditions, restored saltmarsh was recorded to dissipate virtually all wave energy, over half of which was within the first few metres of the bed (Knutson *et al.*, 1982). There is likely to be an optimal water depth, however, for wave attenuation by coastal habitats, where decoupling occurs between the surface waves and structure on the seabed when depth is too great (Knutson *et al.*, 1982, Taube, 2010).

The rate of wave reduction for mangroves can be as high as 20% per 100 m of forest (Mazda *et al.*, 1997). Further, using vegetation parameters from a restored mangrove forest, a model simulation estimated a 60% wave height reduction, even under predicted sea level rise (Cuc *et al.*, 2015). Concurrently, field measurements showed that wave reduction of restored mangroves was unaffected by changes in water depth, where mangroves were sufficiently tall (Mazda *et al.*, 1997). Thus, mangroves may be more effective at shoreline protection over a larger range of depths, in comparison to subtidal habitats or low-lying coastal vegetation.

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#### 303 Shoreline response

304 Shoreline response describes the extent of lateral (i.e., a change in shoreline position) or vertical erosion/accretion to built or natural/nature-based infrastructure. The majority of 305 306 studies on shoreline response came from restored dune habitats (Tables S5 and S6). Dune restoration for defence takes many forms including the direct addition of sand (i.e., 307 nourishment) to dunes and beaches (Achab et al., 2014, Matias et al., 2005), the construction 308 of sand ridges with and without hard cores (do Carmo et al., 2009, Kratzmann & Hapke, 309 2012, Wamsley et al., 2011), and the facilitation of sand accumulation using fences, 310 vegetation and by managing pedestrian access (Anthony et al., 2007, Johnston & Ellison, 311 2014, Lin, 1996, Miller et al., 2001, Table S3). Utilising multiple techniques within a site, for 312 example beach renourishment in combination with sand fences, to build dunes is common 313 (Bocamazo et al., 2011, Khalil & Lee, 2006). Dune restoration in conjunction with more 314 traditional hard engineering structures has also been applied (Bezzi et al., 2009, Bocamazo et 315 316 al., 2011, Wamsley et al., 2011).

Although few dune restoration studies made meaningful comparisons with control sites, there is some evidence that created dunes can reduce shoreline loss in comparison to non-restored sites (Achab *et al.*, 2014, Dias *et al.*, 1999, Matias *et al.*, 2005). Restoration often results in an initial seaward shift in shoreline position, particularly when sand is added

to the system or when the created dune was constructed seawards of the existing shoreline 321 (Dias et al., 1999, Matias et al., 2005). Subsequent post-restoration beach retreat and dune 322 erosion during storms is also frequently reported (Dias *et al.*, 1999, Kratzmann & Hapke, 323 2012, Matias et al., 2005, Shibutani et al., 2016), in extreme cases leading to the total 324 removal of the restored dune (do Carmo et al., 2010, Froede, 2010, Gares et al., 2006). 325 Restored dunes are potentially able to function in a manner akin to natural dunes and rebuild 326 following erosive events (Nordstrom et al., 2000). Information on the post-storm recovery of 327 restored dunes is sparse but appears limited due to the negative sediment budgets, frequent 328 329 erosive events, or poor beach management practises that necessitated construction of the dunes in the first place (Bezzi et al., 2009, do Carmo et al., 2010, Froede, 2010, Shibutani et 330 al., 2016). Over decadal time scales shoreline stability or net progradation was only achieved 331 through repeated dune restoration interventions (Bakker et al., 2012, Bocamazo et al., 2011, 332 Gares et al., 2006, Keijsers et al., 2015) or the construction of hybrid eco-engineering 333 structures (do Carmo et al., 2010). 334

Created oyster reefs can reduce shoreline loss in comparison to control sites with no 335 336 reefs, although in some cases (La Peyre et al., 2014, La Peyre et al., 2013b, Moody et al., 2013), but not others (La Peyre et al., 2013a), shorelines continued to erode, albeit less. 337 338 Shoreline exposure can impact the defence value of oyster reefs, however, data that supports whether reefs are more successful in low (Piazza et al., 2005) or mid- to high energy (La 339 340 Peyre et al., 2015) environments is unclear. Oysters are the only group of shellfish that have been restored for the goal of coastal defence and these created reefs may be able to match or 341 342 exceed natural reefs in meeting this objective (Stricklin et al., 2010).

Experimental saltmarsh planting in the United States represents some of the earliest 343 examples of creating habitats for coastal defence (Knutson et al., 1981). Planted areas were 344 successful in stabilising shorelines compared to unplanted areas, with some accretion also 345 observed (Benner et al., 1982, Woodhouse et al., 1976). Equally, coastal stabilisation and 346 land reclamation have been achieved through years of ecological engineering with saltmarsh 347 in China (Chung, 2006, Chung et al., 2004). The success of saltmarsh plantings may depend 348 on sediment grain size, length of fetch and shoreline configuration, with greater effectiveness 349 in sheltered areas (Knutson et al., 1981). Establishment of saltmarsh, however, may be 350 achievable in more exposed areas using temporary wave breakers (Dodd & Webb, 1975) or 351 352 in combination with shellfish (e.g. mussels) to attenuate wave energy (Newcombe *et al.*, 1979). 353

In the tropics, artificial reefs transplanted with corals were effective in promoting 354 beach accretion following a period of significant erosion (Arnouil, 2008, Fabian et al., 2014), 355 although specific reports detailing shoreline response were unavailable, or not measured for 356 many coral reef projects (Fabian et al., 2014). Equally, although case studies were presented 357 where mangroves were planted to provide coastal protection (IFRC, 2011), there was little 358 data on the shoreline response to planted mangroves, despite evidence for higher sediment 359 accretion rates at greater mangrove plantation densities (Kumara et al., 2010). More 360 commonly, a hybrid eco-engineering approach to mangrove restoration was reported (Table 361 362 S3). This involved planting in combination with a breakwater to facilitate sediment 363 accumulation and wave attenuation for mangrove establishment (Hashim et al., 2010, Motamedi et al., 2014, Van Cuong et al., 2015). Although there are no studies on shoreline 364 protection provided by seagrass and kelp restoration, tests of an artificial seaweed bed 365 installed to promote build-up of a beach in the United Kingdom showed some accretion over 366 its lifespan. However, the artificial seaweed was severely damaged by storms within a year of 367 installation (Price et al., 1968). 368

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### 370 Flood water and storm surge attenuation

371 Flood water and storm surge attenuation is the ability of coastal habitats to reduce the 372 height or duration of flood waters and protect the coast during extreme episodic events (e.g., hurricanes). There is less empirical data on the effectiveness of nature-based coastal defence 373 for flood water and storm surge attenuation. Furthermore, even whether natural ecosystems 374 provide effective defence against storms, in particular extreme events, such as tsunami and 375 hurricanes is hotly debated (Kumar, 2015). Storm events were stated to have occurred in 376 many studies that observed shoreline response over a number of years (Table S5 and S6), 377 although the effect of the individual storm event was not necessarily quantified. Regardless, 378 the value of nature-based coastal defence had been predicted to be negligible under storm 379 surges where the sea level is elevated (Knutson *et al.*, 1982, Taube, 2010), although this is 380 381 likely to be habitat and context dependent. For example, observations of an artificial coral 382 reef in the Dominican Republic suggest that while the reef remained stable during two hurricanes, wave attenuation was not enough to prevent significant beach erosion (Fabian et 383 384 al., 2014). In contrast, remote sensing data suggested little flooding after cyclones in areas where there were natural mangroves and for coastal islands that were protected by a 385 386 combination of dykes and mangrove plantings (Blasco et al., 1992).

In some studies, repeated surveys of restored dunes identified the response of these 387 systems to storm events. There were mixed results with created dunes withstanding 388 significant storm events in some cases (Bezzi et al., 2009, Dias et al., 1999, Harley & 389 Ciavola, 2013, Wamsley et al., 2011), but failing in others (do Carmo et al., 2010, Froede, 390 2010). Where measured, the surviving created dunes protected against overwash compared to 391 adjacent non-dunal areas or areas where dunes had been destroyed (Harley & Ciavola, 2013, 392 Wamsley et al., 2011). Smaller narrower dunes are more frequently destroyed by wave action 393 than larger ones but can also be rebuilt quickly (Nordstrom et al., 2000), and in the 394 395 appropriate environments can accord the desired protective function (Harley & Ciavola, 2013). Dunes constructed close to the sea were frequently eroded (do Carmo et al., 2010, 396 Froede, 2010), while those constructed further inland were able to accumulate sand even 397 during storm events (Miller et al., 2001). A wide, high beach can minimise dune erosion and 398 serve as a source of sand for dune building (Bezzi et al., 2009, Bocamazo et al., 2011), 399 400 although erosion of artificially elevated nourished beaches can also limit aeolian processes and dune growth (Dias et al., 1999, Jackson et al., 2010). The frequency and magnitude of 401 402 erosive events, and the use of hybrid eco-engineering methods also influenced dune survival (Anthony et al., 2007, do Carmo et al., 2010, Mendelssohn et al., 1991, Wamsley et al., 403 404 2011).

The most compelling evidence for effective protection by nature-based coastal 405 defence is provided by Gittman et al. (2014) for created saltmarshes with sills (rock or oyster 406 shell seaward of marsh) after a hurricane in the United States. Following the hurricane, storm 407 damage was reported for 76% of bulkheads protecting shorelines, whereas no damage was 408 found for restored marshes with sills. From before to after the hurricane event, there was no 409 410 effect on marsh surface elevation, although vegetation density was reduced. After one year, however, the vegetation had recovered to pre-hurricane levels (Gittman et al., 2014). This 411 study exemplifies the approach that should be taken in assessing soft versus traditional 412 engineered shoreline protection schemes. 413

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#### 415 *Traditional versus nature-based coastal defence*

We extracted data from 15 and 23 studies for wave attenuation and shoreline response, respectively, for inclusion in our meta-analysis. In addition, we undertook a qualitative review for those studies that only presented written statements about their results (n = 76; Supplementary methods). Studies on either or both artificial and nature-based

infrastructure occurred in many countries throughout the world, although a clear hotspot for 420 this research was the United States (Fig. 4). A meta-analysis was possible for wave 421 attenuation for saltmarsh, oyster reef and breakwaters (emergent and submerged combined, 422 Supplementary methods, Table 1) and shoreline response for saltmarsh, oyster reef, dunes, 423 coral reefs and breakwaters (emergent and submerged combined). Further, a qualitative 424 review of the effect on shoreline response of mangroves, emergent and submergent 425 breakwaters, groynes, revetments and seawalls (Table 1), as well as additional papers on 426 coral reefs and dunes was done. 427

Breakwaters significantly reduced wave height by 45% (n = 7; 14 - 90\%; Fig. 5a,b). 428 There was no significant effect, however, of saltmarsh (n = 6; 4 - 74%) or oyster reefs (n = 2, 429 26 - 27%) on wave attenuation (Fig. 5a,b). Coral reefs and breakwaters had a significant 430 accretionary effect on shoreline response (Fig. 6a). In addition, the proportion of studies that 431 cited accretion in the qualitative review was significantly different among habitats ( $\gamma^2$  = 432 77.14, d.f. =  $7, P \le 0.001$ ). There was, however, no significant effect of restored saltmarsh, 433 oyster reefs and dunes on shoreline response (Fig. 6a). For dunes, this result contrasts with 434 435 the qualitative review, where a greater proportion of studies reported accretion (Fig. 6b), which likely reflects the small sample size in the meta-analysis. All studies on mangroves 436 437 reported accretion following mangrove planting, which in some cases was in combination with a temporary breakwater to facilitate mangrove establishment (Fig. 6b). A greater 438 proportion of studies on emergent breakwaters reported shoreline accretion, however erosion 439 was more often the result of submerged breakwaters (Fig. 6b). Similarly, shoreline retreat 440 was also reported in a greater number of studies for seawalls (Fig. 6b). 441

For saltmarsh, this result is in direct contrast to a recent meta-analysis, which showed a significantly positive effect of natural, intact saltmarsh on shoreline stabilisation and wave attenuation (Shepard *et al.*, 2011). The obvious point of distinction is the meta-analysis by Shepard et al. (2011) included more studies, but only two (wave attenuation) and three (shoreline change) papers included here looked at restored saltmarsh, highlighting the need for more research on the coastal defence value of restored habitats.

448 Currently, the evidence for the efficacy of restored habitats versus traditional 449 infrastructure suggests that restored coral reefs, mangroves and dunes could be equivalent to 450 artificial structures at maintaining or building the shoreline, although more quantitative 451 evidence is needed for these habitats. The effect of saltmarsh and oyster reefs was, however, 452 more variable and did not show a significant effect on shoreline response or wave attenuation 453 in comparison to breakwaters. Variability in results among studies highlights the need to

identify not only which habitats are effective at providing coastal defence, but also under 454 what range of physical conditions (i.e. what locations and types of environments). Further, as 455 with natural habitats (e.g. Shepard *et al.* 2011) the design of soft engineering projects (e.g. 456 tidal height, length and width, density of organisms) will impact effectiveness. Thus, the 457 design elements that contribute to the success or failure of nature-based coastal defence need 458 to be identified. Interestingly, a greater proportion of studies on seawalls and submerged 459 breakwaters reported erosion compared to accretion. These are structures that are commonly 460 and increasingly used as coastal defence, but equally can result in adverse impacts in some 461 areas (Ranasinghe & Turner, 2006). 462

Comparisons between traditional and soft engineering approaches, however, are 463 difficult if they have not been tested under the same environmental conditions. Indeed, for 464 those studies that recorded the tidal and average significant wave heights at the study area, a 465 greater percentage of soft engineering structures were tested under smaller tidal (n = 30; 466 micro- = 70%; meso- = 27%; macro-tidal = 3%) and wave energy conditions (n = 14; 467 significant wave height < 1 m = 79%; < 2 m = 21%, Table S5 and S6). In comparison, studies 468 on artificial structures included a higher percentage tested under larger tidal (n = 24; micro- = 469 54%; meso- = 13%; macro-tidal = 33%) and wave energy conditions (n = 14; significant 470 wave height < 1 m = 42%; < 2 m = 25%;  $2^+ \text{ m} = 33\%$ , Table S5 and S6). Further, as many 471 studies only monitored over short-time periods (months to years) following restoration, there 472 is limited information on the long-term effectiveness of the created habitats (Table S5 and 473 S6). Thus, while soft engineering structures offer the potential for low-impact, effective 474 475 coastal defence, until there is a greater number of studies globally to test the value of these shoreline protection schemes, their wider use in place of artificial structures is likely to 476 remain relatively limited. 477

478

#### 479 Cost-benefit of nature-based coastal defence

Nature based coastal defence needs to be effective and have an equal or greater costbenefit when compared to traditional infrastructure. As there are few studies that make
comparisons between the effectiveness of nature-based and traditional defences, it is
unsurprising there is also a lack of data for site-specific cost-benefit comparisons. This is
regarded as one of the significant challenges for widespread use of habitats for coastal
protection (Narayan *et al.*, 2016).

A recent synthesis estimated the cost and effectiveness of nature-based coastal 486 defences through pairing information on restored habitats for defence with nearby field data 487 on wave attenuation at natural habitats (Narayan et al., 2016). This was integrated with 488 engineering knowledge to estimate the costs of coastal defence if the equivalent was achieved 489 with a submerged breakwater. Saltmarsh and mangroves were the only habitats with 490 sufficient data for comparison, with both being assessed as cost-effective alternatives to 491 traditional infrastructure. Similarly, Ferrario et al. (2014) reported a comparable range of 492 wave height reduction for coral reefs and low-crested detached breakwaters, and significantly 493 494 cheaper costs for coral reef restoration in comparison to building tropical breakwaters.

Ideally, however, to produce more accurate cost-benefit analyses for the habitats 495 reviewed, field measurements on the effectiveness of nature-based coastal defence as well as 496 the costs associated with their creation/restoration should be reported for each project. 497 Importantly, data for the coastal protection benefits of existing natural habitats may not 498 necessarily translate to nature-based projects for defence because of the trade-offs that can 499 occur when restoring or creating these systems (see below). Further, comparing the cost 500 501 relative to the effectiveness of the single service of coastal protection will result in undervaluing nature-based approaches, which are expected to provide a number of additional 502 503 ecosystem services.

504

505 Additional ecosystem services

One rationale for nature-based coastal defence is the assumption that they will provide 506 507 other ecosystem services in addition to coastal protection (Table 2). With the global decline in natural estuarine and coastal systems, there is much interest in economically valuing the 508 509 services provided by these habitats to leverage their conservation and restoration (Barbier et al., 2011). To accurately evaluate the benefits of using nature-based coastal defence, cost-510 benefit analyses need to include all ecosystem services provided by eco-engineered and 511 traditional coastal defence. However, created or restored habitats may not be effective at 512 providing the same suite of services as natural ecosystems (Bilkovic & Mitchell, 2013). 513 514 Thus, monitoring of restored habitats needs to include an evaluation of all ecosystem services relevant to that habitat, in addition to coastal protection. 515

516 With restoration for coastal defence, trade-offs among ecosystem services can occur 517 due to the fact that coastal protection is predominantly needed during extreme events, which 518 may result in different requirements for particular features of a habitat compared to

biodiversity conservation (van Loon-Steensma & Vellinga, 2013). In particular, ecological 519 trade-offs may occur with hybrid coastal protection that incorporates structural elements to 520 facilitate restored habitats (Bilkovic & Mitchell, 2013). For instance, created saltmarsh in 521 combination with a stabilising structure, such as a low-profile rock sill is increasingly used in 522 the United States for shoreline protection, as well as to restore coastal habitat. Marsh sills, 523 however, supported epifaunal suspension feeders, such as oysters, which colonised the rock 524 sill and a lower deposit-feeding infaunal biomass than natural marshes (Bilkovic & Mitchell, 525 2013). As deposit feeders are important for bioturbation and nutrient cycling, incorporating 526 527 marsh sills for coastal protection may result in a trade-off for this ecological service provided 528 by saltmarsh systems. A greater number of suspension feeders, however, could increase water filtration and thus the water quality services (Bilkovic & Mitchell, 2013). 529

The reviewed restored coastal habitats are commonly evaluated for their effectiveness 530 at maintaining biodiversity (Table 2). For coral reefs and kelp, other ecosystem services, such 531 532 as fisheries provision and nutrient cycling have not been evaluated, which is likely due to the shorter history of restoration efforts in these habitats. There is evidence that restored 533 534 mangroves, saltmarsh, seagrass and shellfish reefs can provide similar ecosystem services to natural habitats, although some gaps remain such as nutrient cycling in mangroves, fisheries 535 536 provision for seagrass and provision of raw materials and food for saltmarsh (Table 2). Equally, an evaluation of additional ecosystem services potentially provided by artificial 537 structures is largely unknown, beyond patterns of biodiversity between artificial structures 538 and natural shorelines (Bulleri & Chapman, 2010). 539

540 Artificial structures introduce a novel substratum into the marine environment, which can be colonised by organisms. It has been well documented that human-made structures 541 generally support less diverse assemblages than natural habitats (e.g. Chapman 2003), with 542 greater numbers of non-indigenous species (Dafforn et al. 2009). Thus, artificial structures 543 cannot be considered to provide the same biodiversity provisioning services as natural 544 habitats. In some areas globally, however, artificial structures such as seawalls are colonised 545 by large numbers of filter feeders (e.g. oysters, Scanes et al., 2016). Whether the organisms 546 living on coastal defence structures have the same filtration capacity as those on natural 547 substrata, and how this contributes to water quality services is yet to be tested. Similarly, 548 artificial structures can be associated with diverse fish assemblages (Fowler & Booth, 2013). 549 Whether this is due to the attraction of existing biomass or new production of fish is still not 550 well resolved, so the contribution to fisheries provisioning is difficult to quantify (Bohnsack, 551 1989). 552

Hard ecological engineering may also be used to enhance biodiversity and ecosystem
functioning of coastal defence structures (Chapman & Underwood, 2011, Dafforn *et al.*,
2015a). Although it is unlikely that hardened shorelines will be able to provide the same suite
of ecosystem services as softer habitats, comparisons of all ecosystem services across
restored and artificial habitats are required to make reliable cost-benefit comparisons.

558 559

## Conclusions

Uptake of nature-based coastal defence depends on its acceptance as an alternative to 560 traditional engineering solutions. Support needs to come from a number of stakeholder 561 562 groups including coastal managers, engineers and the public (Nesshöver et al., 2017). For this, we need rigorous data that assesses the costs and effectiveness of habitats created for 563 protection to mitigate coastal hazards. It is clear that for most of the habitats reviewed, the 564 data is currently lacking. This is highlighted by the meta-analysis, for which we found very 565 566 few studies on the coastal defence value of restored habitats to make comparisons to artificial structures. Collaborations among stakeholders, such as scientists, coastal managers and 567 568 engineers, are required to facilitate the necessary research to identify which restored habitats provide effective coastal defence, where those habitats work and what is the best design to 569 implement. Ideally, nature-based defences should be compared directly in the field to an 570 571 artificial structure at a location under similar conditions, with ecosystem services of interest evaluated before and after the creation of habitat (and traditional infrastructure) at 572 experimental sites relative to unaltered control sites (Chapman, 1999). Alternatively, the cost 573 of an artificial structure to achieve the same coastal protection as a soft engineered shoreline 574 can be estimated in cost-benefit analyses if the opportunity to compare nature-based and 575 traditional infrastructure in the field is not possible (sensu Narayan et al., 2016). 576 Where soft engineering approaches are established, a remaining challenge is how to 577

estimate their persistence over similar decadal scales to engineered structures (Bouma et al., 578 2014). At least for dunes, different restoration designs may allow for different levels of 579 580 dynamism in the system (Nordstrom et al., 2011). However, managing nature-based coastal 581 defence for engineering resilience by promoting constancy and predictability may come at a cost to ecological resilience, when it is precisely this variability that allows natural 582 583 ecosystems to absorb disturbances and remain stable (Holling, 1996). Adopting soft 584 engineering practices will thus necessitate a change in the way we approach the design and evaluation of coastal defence infrastructure. This change in mindset needs to happen now as 585

- our rapidly changing climate requires new and innovative solutions to reduce the
- vulnerability of coastal communities to an increasingly uncertain future.
- 588

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983



990 Table 1. Artificial structures evaluated in this study, defined per Van Rijn (2013).

Structure	Definition
Groyne	Narrow, shore-perpendicular structure built on the shore extending into
	the surf zone for shoreline stabilisation
Emergent breakwater	Offshore barrier built parallel to the shore for wave attenuation and
	shoreline stabilisation. Crest positioned above still water height.
Submerged breakwater	Offshore barrier built parallel to the shore for wave attenuation and
	shoreline stabilisation. Crest positioned below still water height.
Revetment	Shore-parallel sloped structure built to reduce inundation and protect
	land behind from erosion.
Seawall	Shore-parallel vertical structure built to reduce inundation and protect
	land behind from erosion.
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1005 Table 2. Other ecosystem services provided by a) natural habitats, b) restored habitats and c)

1006 hard coastal defences. ✓ indicates ecosystem service is provided, × indicates ecosystem

1007 service is not provided, ? data are not available.

	1	Maintenance of wildlife	Raw materials and food	Fisheries provision	Nutrient cycling, water purification	Carbon sequestration	Tourism, recreation,	education, research	References
<b>a</b> ) 1	Natural habita	nts							
	Coral reef	✓ ✓	×	$\checkmark$	$\checkmark$	×	~	/	Barbier et al. (2011)
	Foredunes	<b>O</b> ✓	√	×	$\checkmark$	✓	$\checkmark$		Everard et al. (2010), Barbier et al.
									(2011)
	Kelp		$\checkmark$	$\checkmark$	$\checkmark$	×	~	/	Smale <i>et al.</i> (2013)
	Mangrove	✓	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	~	/	Barbier et al. (2011)
	Saltmarsh	✓	$\checkmark$	$\checkmark$	$\checkmark$	✓	√	/	Barbier et al. (2011)
	Seagrass	✓	$\checkmark$	✓	$\checkmark$	$\checkmark$	~	/	Barbier et al. (2011)
	Shellfish reef	✓	$\checkmark$	✓	$\checkmark$	×	~	/	Beck et al. (2011)
b) Restored habitats									
	Coral reef	1	×	?	?	×	√	/	Clark and Edwards (1999)
	Foredunes	$\checkmark$	?	×	?	×	1	/	Nordstrom et al. (2000), Russell et al.
				••			·		(2009), Gallego-Fernandez et al. (2011)
	Kelp	$\checkmark$	?	?	?	×	~	/	Marzinelli et al. (2016)

	Mangrove	$\checkmark$	$\checkmark$	$\checkmark$	?	$\checkmark$	$\checkmark$	Bosire et al. (2008), Dung et al. (2016)		
	Saltmarsh	1	9	1	1	1	1	Davis et al. (2015), La Peyre et al.		
	Sattillarsii	·	4	•	·	·	•	(2007), Sparks et al. (2015)		
								Cole and McGlathery (2012), Greiner		
	Seagrass	$\checkmark$	$\checkmark$	?	✓	$\checkmark$	$\checkmark$	et al. (2013), McSkimming et al.		
								(2016)		
	Shellfish reef	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	×	$\checkmark$	Milbrandt et al. (2015), Scyphers et al.		
								(2011)		
<b>c</b> ) <b>H</b>	lard defences				-					
	Breakwater	×	×	?	?	×	<b>√</b>	Bulleri and Chapman (2010)		
	Groynes	×	×	?	?	×	<b>√</b>	Bulleri and Chapman (2010)		
	Revetment/Riprap	×	×	?	?	×	<b>√</b>	Bulleri and Chapman (2010)		
	Seawall	×	×	?	?	×	✓	Bulleri and Chapman (2010)		
100	18									
100	9									
101	1010									
101	1 Fig. 1. Coastal haz	ards an	d protee	ction se	rvices p	orovideo	d by n	atural habitats.		
101	2									
101	3 Fig. 2. Ecological	enginee	ering inc	corpora	ted into	coastal	l defei	nce infrastructure ranges from		
101	4 hard to soft approa	iches. a)	) Tradit	ional se	awall,	b) Seaw	all w	ith water-retaining features to		
101	5 enhance biodiversi	enhance biodiversity, c) Oyster reef in front of seawall, d) Created oyster reef with saltmarsh								
101	6 and e) Natural man	and e) Natural mangrove forest.								
101	7									
101	8 Fig. 3. Heat map o	Fig. 3. Heat map of the number of restoration projects implemented for different management								
101	9 objectives. 'Measu	objectives. 'Measured' refers to the number of projects implemented for coastal defence that								
102	0 made field measur	made field measurements to determine their effectiveness. 'Vs. artificial' refers to the number								
102	1 of projects that ma	of projects that made comparisons between soft and traditional engineering shoreline								
102	2 protection.	protection.								
102	3									
102	Fig. 4. Map of the	Fig. 4. Map of the location and number of studies included in the review. The smallest circles								
102	5 represent 1-5 studi	represent 1-5 studies, the largest represents 45-50 studies.								
102	6									

- 1027 Fig. 5. a) Results of the meta-analysis (log response ratios and 95% confidence intervals)
- testing the effects of different habitats (black = nature-based, grey = artificial) on wave
- attenuation. b) Graph of the percentage wave attenuation (95% confidence intervals).
- 1030
- 1031 Fig. 6. Results of the a) meta-analysis (Hedge's g standard mean difference effect size and
- 1032 95% confidence intervals) and b) qualitative analysis (proportion of studies citing accretion)
- testing the effects of different habitats (black = nature-based, grey = artificial) on sediment
  stabilisation.

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