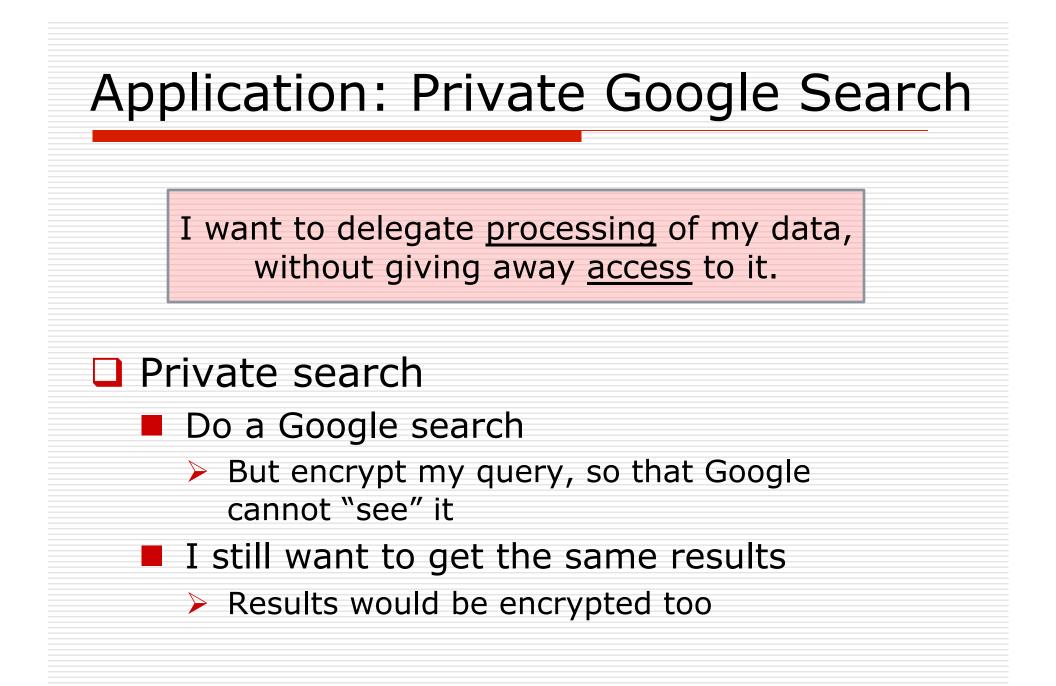
# **Fully Homomorphic Encryption**



Craig Gentry IBM Watson MIT Guest Lecture April 2010

#### The Goal

#### I want to delegate processing of my data, without giving away access to it.



# Application: Cloud Computing

I want to delegate <u>processing</u> of my data, without giving away <u>access</u> to it.

#### Storing my files on the cloud

- Encrypt them to protect my information
- Later, I want to retrieve the files containing "cloud" within 5 words of "computing".
  - Cloud should return only these (encrypted) files, without knowing the key

Privacy combo: Encrypted query on encrypted data

# Outline

#### Why is it possible even in principle?

- A physical analogy for what we want
- What we want: fully homomorphic encryption (FHE)
  - Rivest, Adleman, and Dertouzos *defined* FHE in 1978, but *constructing* FHE was open for 30 years

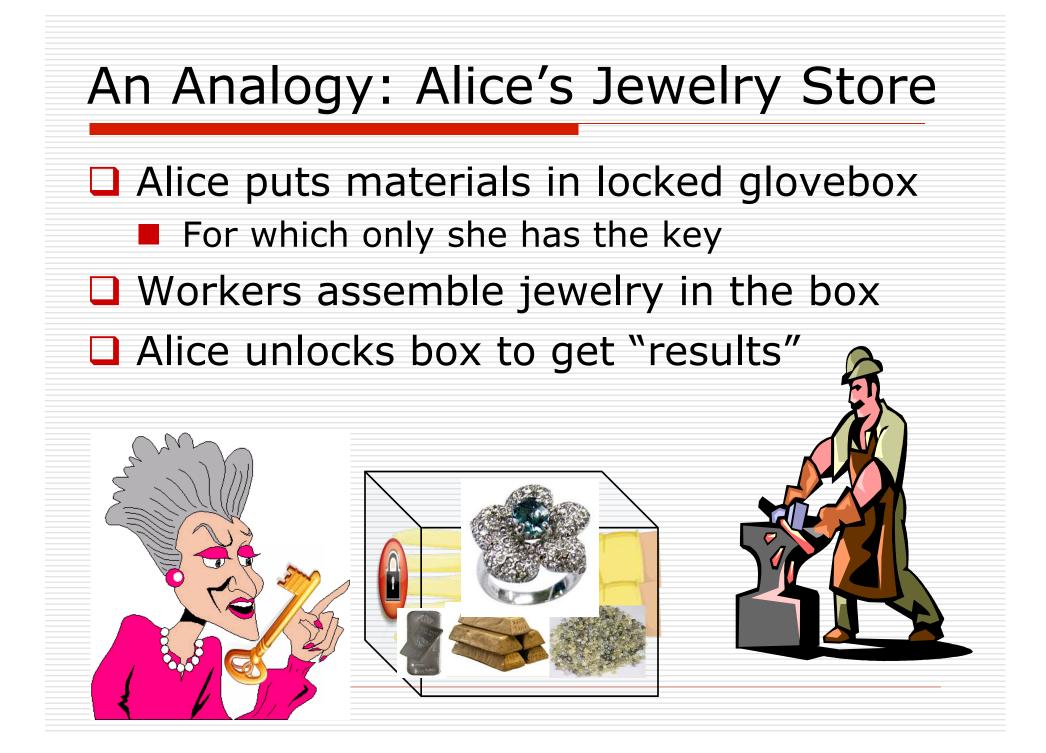
#### Our FHE construction

# Can we separate processing from access? Actually, separating processing from access even makes sense in the physical world...

# An Analogy: Alice's Jewelry Store

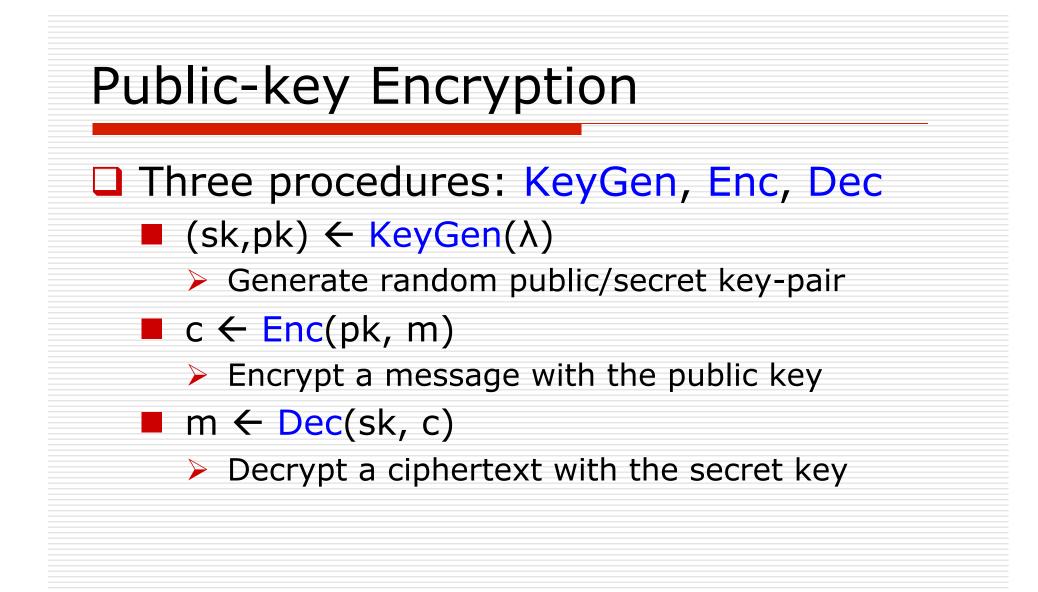
- Workers assemble raw materials into jewelry
- But Alice is worried about theft
  - How can the workers process the raw materials without having access to the raw?

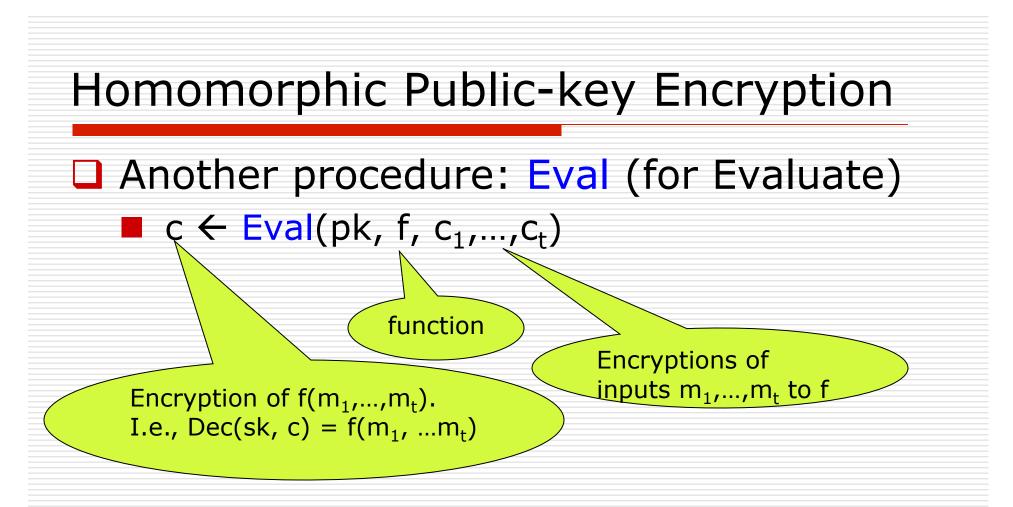




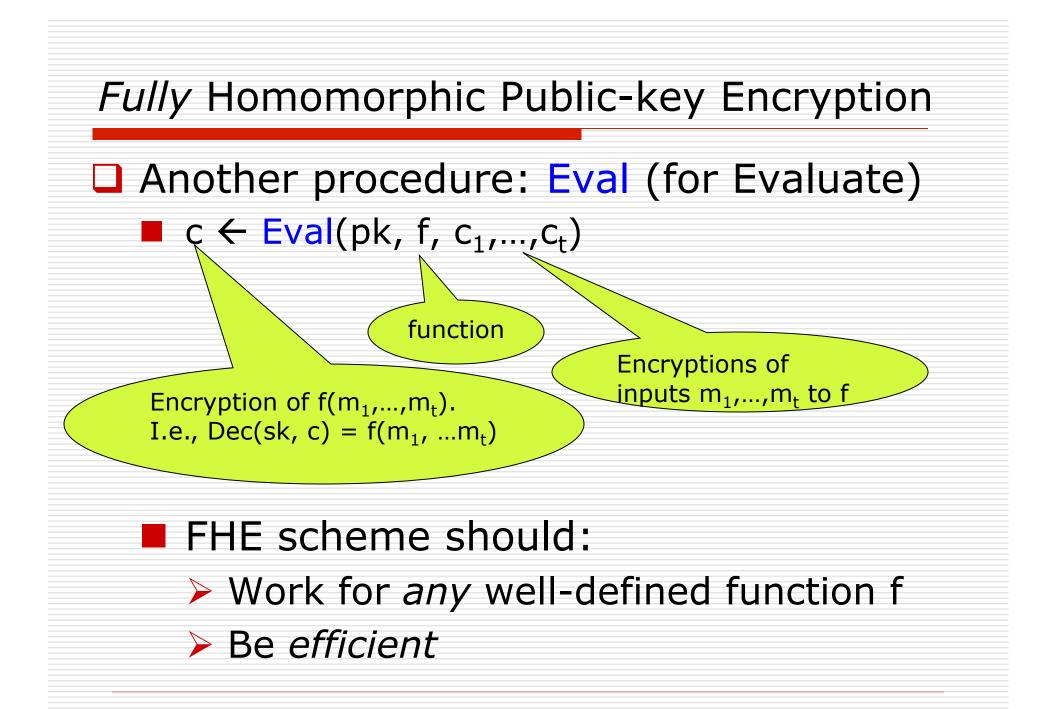
# An Encryption Glovebox?

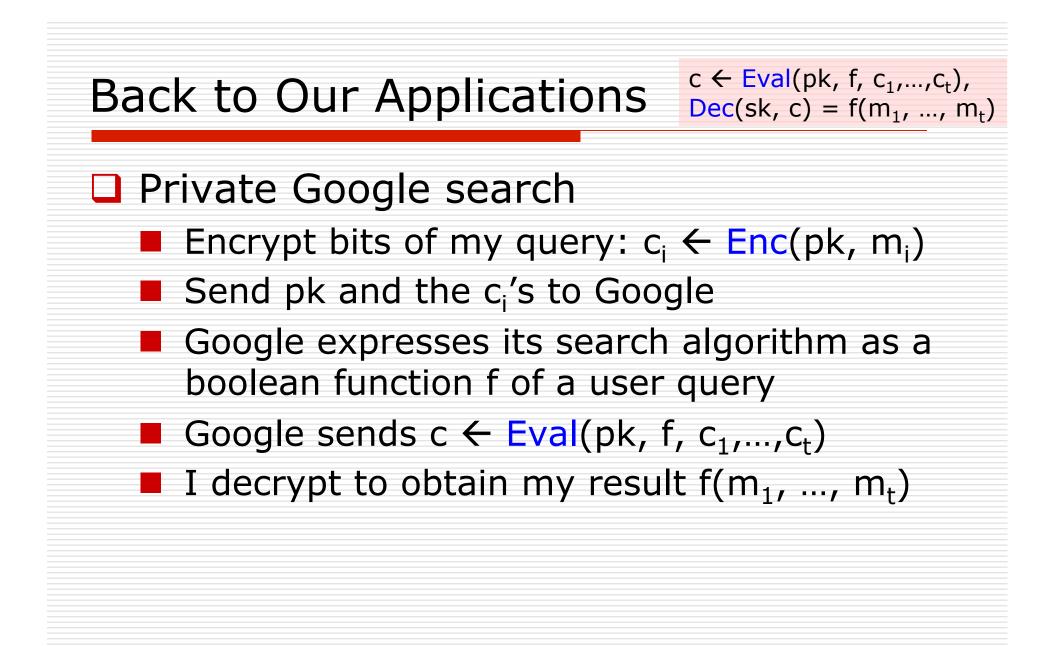
- Alice delegated <u>processing</u> without giving away <u>access</u>.
- But does this work for encryption?
  - Can we create an "encryption glovebox" that would allow the cloud to process data while it remains encrypted?

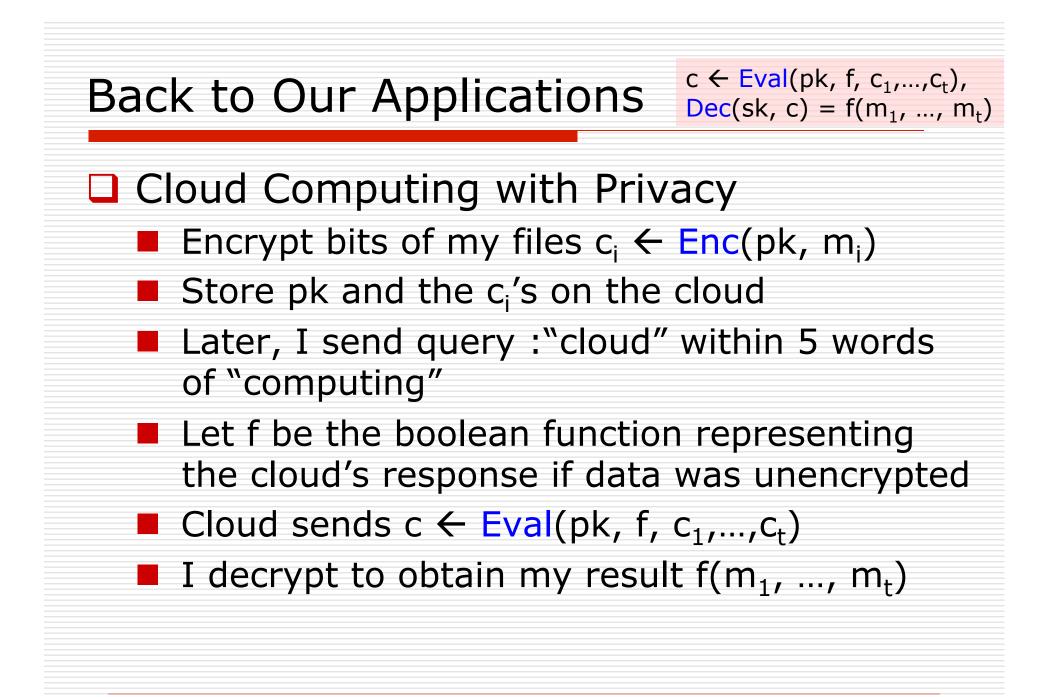


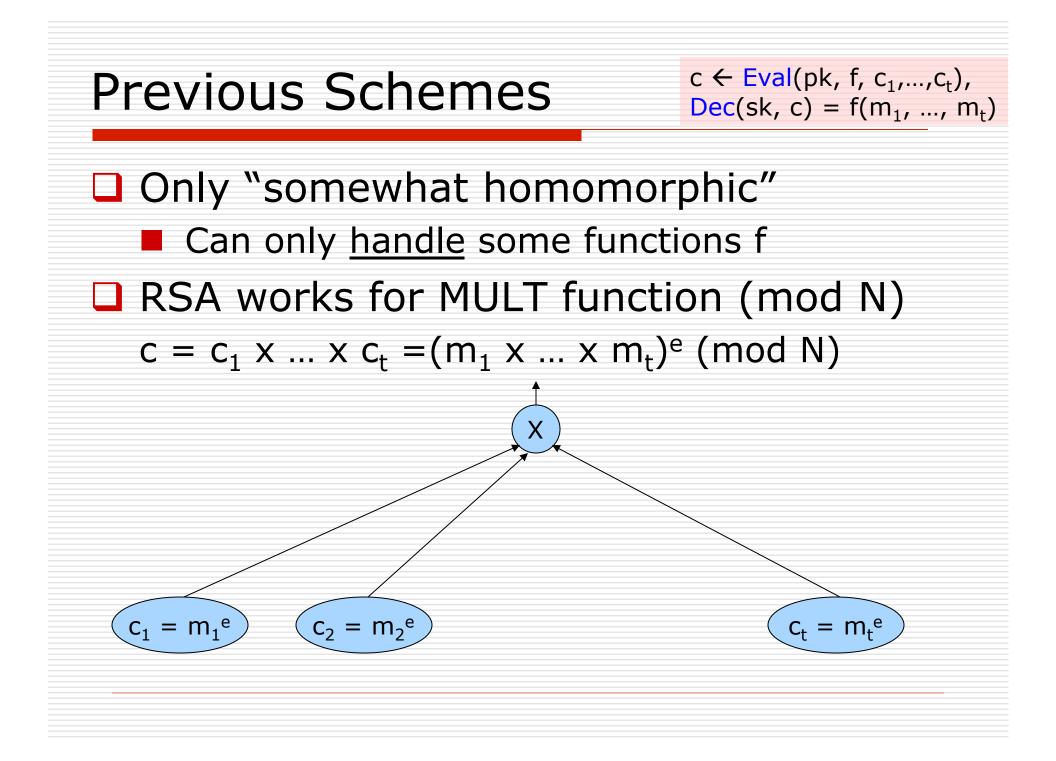


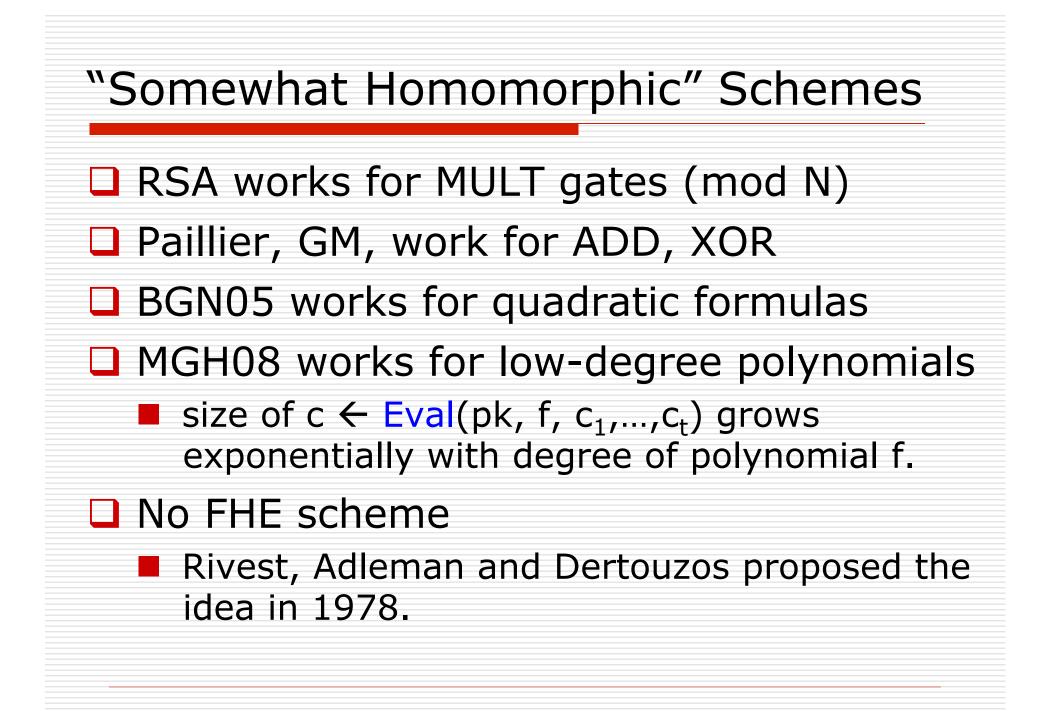
No info about m<sub>1</sub>, ..., m<sub>t</sub>, f(m<sub>1</sub>, ...m<sub>t</sub>) is leaked
 f(m<sub>1</sub>, ...m<sub>t</sub>) is the "ring" made from raw materials m<sub>1</sub>, ..., m<sub>t</sub> inside the encryption box











# FHE: What does "Efficient" Mean? Here is a trivial (inefficient) FHE scheme: ■ $(f, c_1, ..., c_n) = c^* \leftarrow Eval(pk, f, c_1, ..., c_n)$ Dec(sk, $c^*$ ) decrypts individual $c_i$ 's, applies f to $m_i$ 's (The worker does nothing. Alice assembles the jewelry by herself.) But the point is to delegate processing! What we want: c\* is a "normal" compact ciphertext Time to decrypt c\* is independent of f.

#### *Efficiency* of FHE

KeyGen, Enc, and Dec all run in time polynomial in the security param λ.

In particular, the time needed to decrypt  $c \leftarrow Eval(pk, f, c_1, ..., c_t)$  is *independent* of f.

Eval(pk, f, c<sub>1</sub>,...,c<sub>t</sub>) runs in time g(λ) • S<sub>f</sub>, where g is a poly and S<sub>f</sub> is the size of the boolean circuit (# of gates) to compute f.
 S<sub>f</sub> = O(T<sub>f</sub> • log T<sub>f</sub>), T<sub>f</sub> is Turing complexity of f

## Outline

#### □ Why is it possible even in principle?

- A physical analogy for what we want
- What we want: <u>fully homomorphic encryption (FHE)</u>
  - Rivest, Adleman, and Dertouzos *defined* FHE in 1978, but *constructing* FHE was open for 30 years

#### Our FHE construction

Not my original STOC09 scheme. Rather, a simpler scheme by Marten van Dijk, me, Shai Halevi, and Vinod Vaikuntanathan

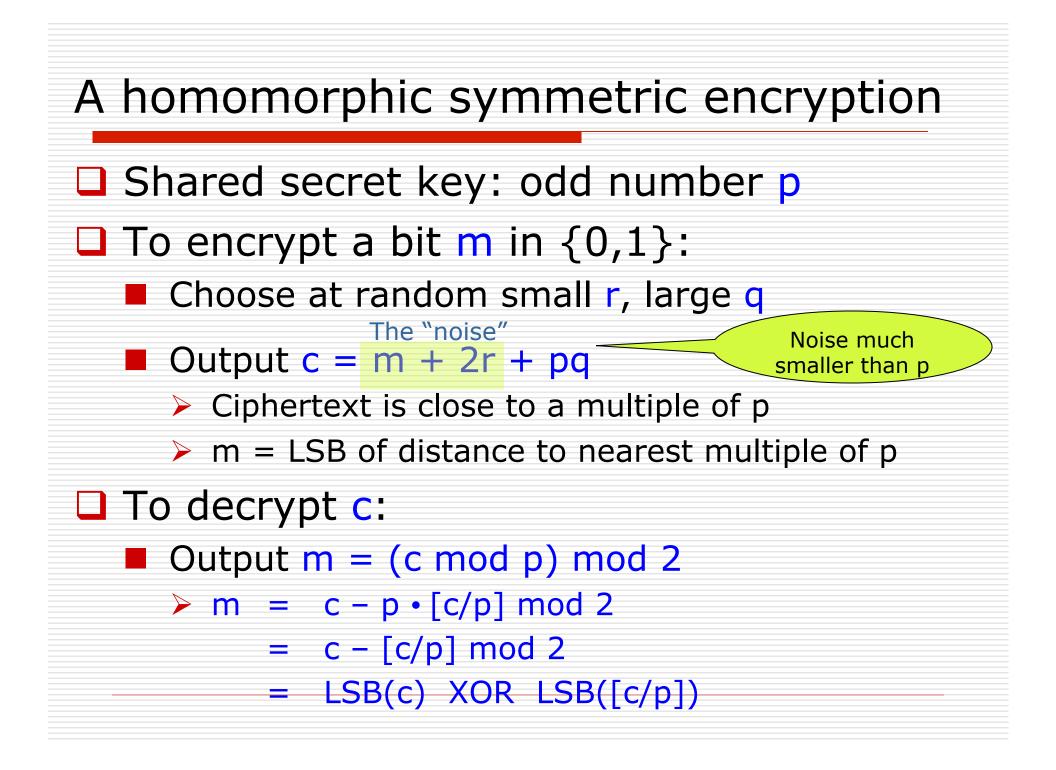
Smart and Vercauteren recently proposed an optimization of the STOC09 scheme.

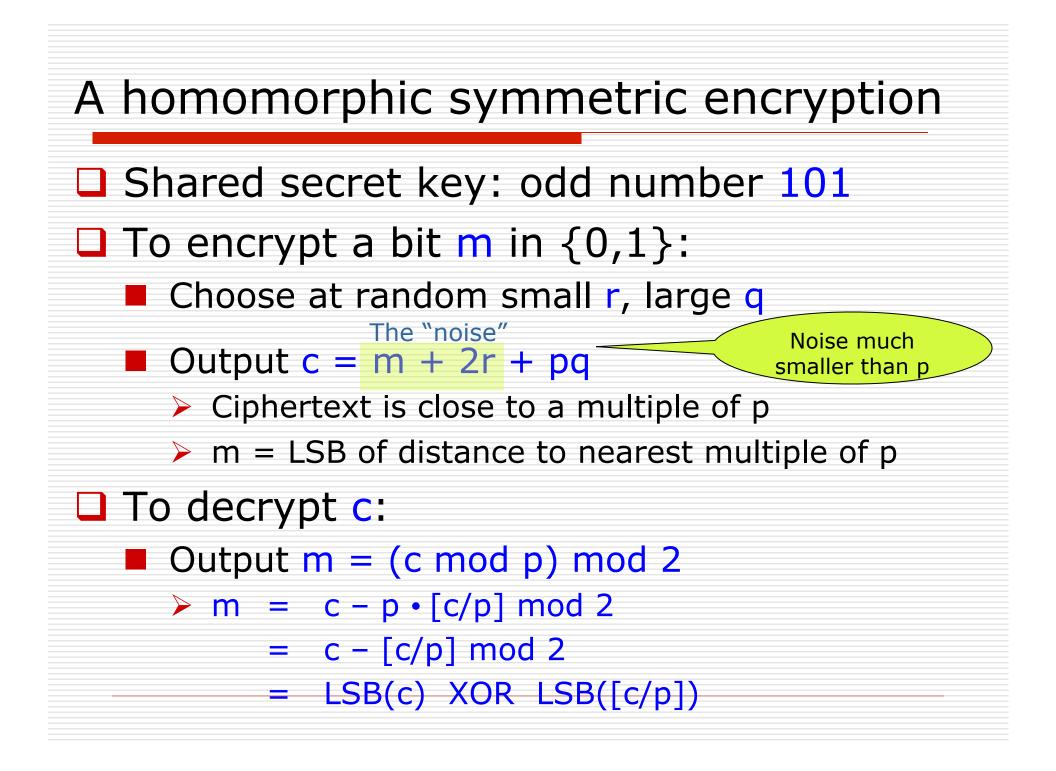
# Somewhat Homomorphic" Scheme

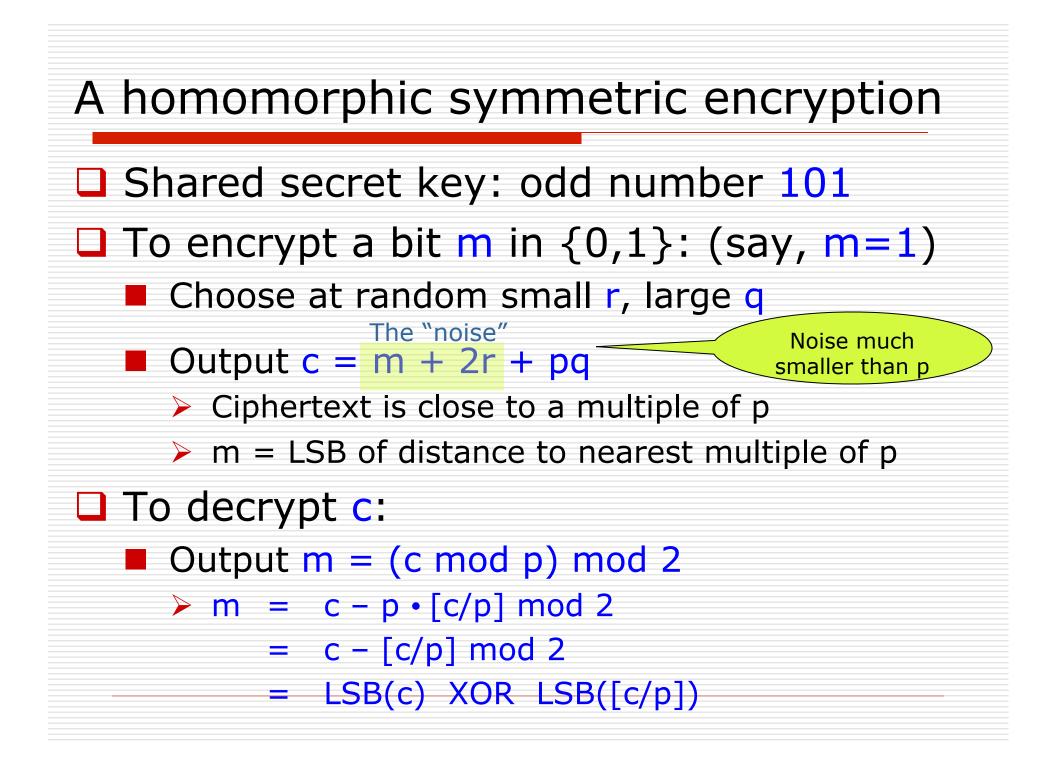
#### Why a somewhat homomorphic scheme?

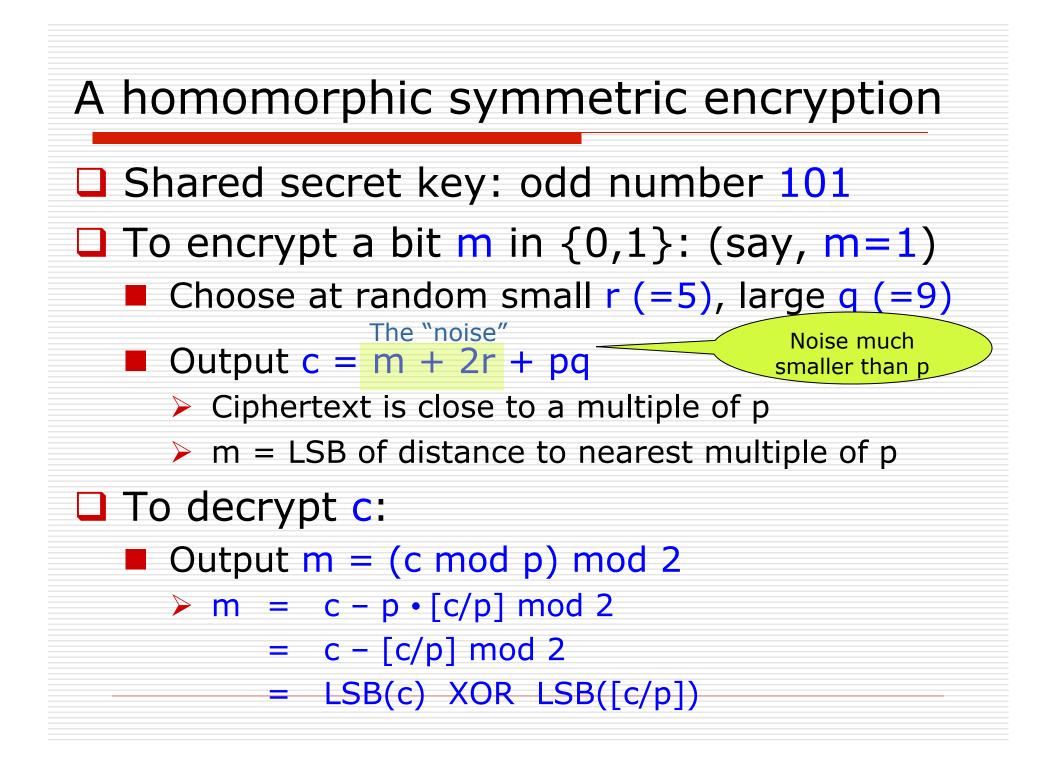
# Can't we construct a FHE scheme directly?

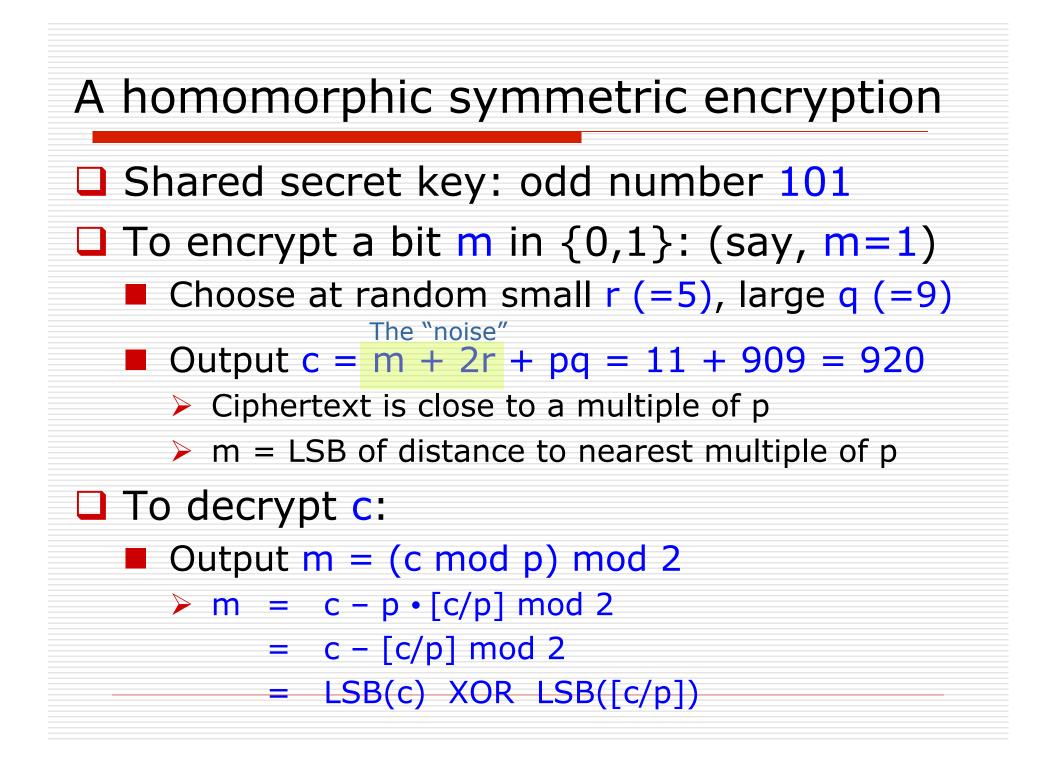
- If I knew how, I would tell you.
- Later: somewhat homomorphic → FHE
  - If somewhat homomorphic scheme has a certain property (bootstrappability)

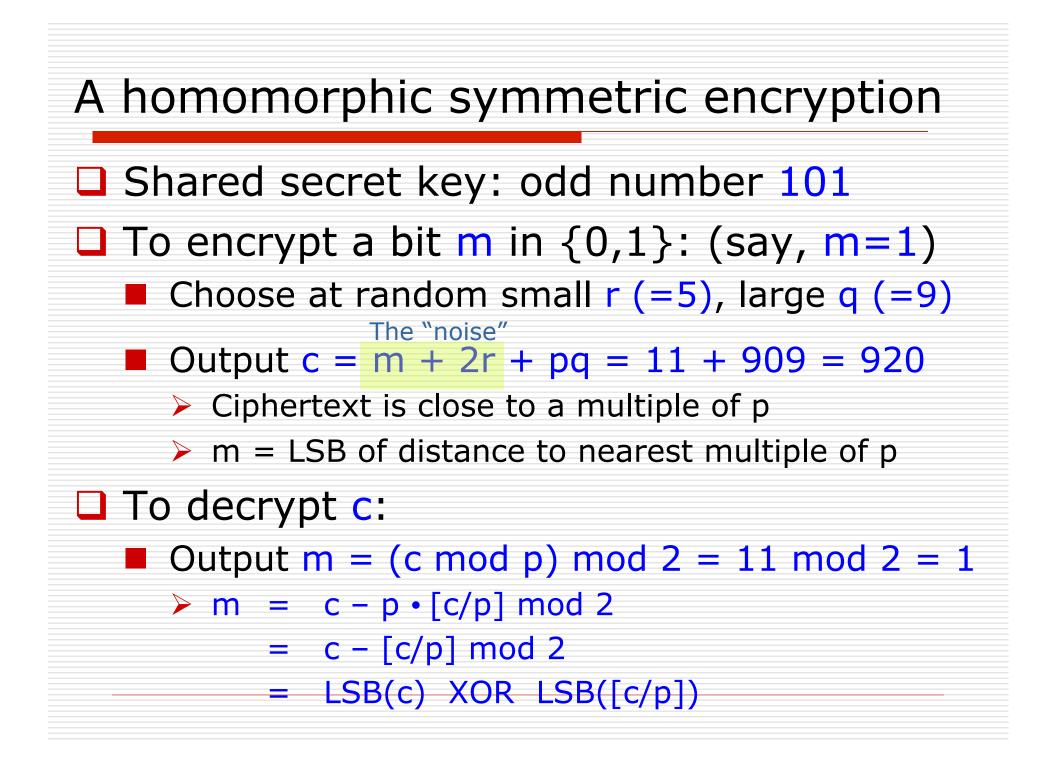












Homomorphic Public-Key Encryption Secret key is an odd p as before Public key is many "encryptions of 0" •  $x_i = [q_i p + 2r_i]_{x_0}$  for i = 1, 2, ..., n $\Box \operatorname{Enc}_{pk}(m) = [\operatorname{subset-sum}(x_i's) + m + 2r]_{x0}$  $\Box \operatorname{Dec}_{sk}(c) = (c \mod p) \mod 2$ Eval as before

# Security of E Approximate GCD (approx-gcd) Problem: Given many $x_i = s_i + q_i p$ , output p Example params: $s_i \sim 2^{\lambda}$ , $p \sim 2^{\lambda^2}$ , $q_i \sim 2^{\lambda^5}$ , where $\lambda$ is security parameter $\succ$ Best known attacks (lattices) require $2^{\lambda}$ time Reduction: if approx-gcd is hard, E is semantically secure

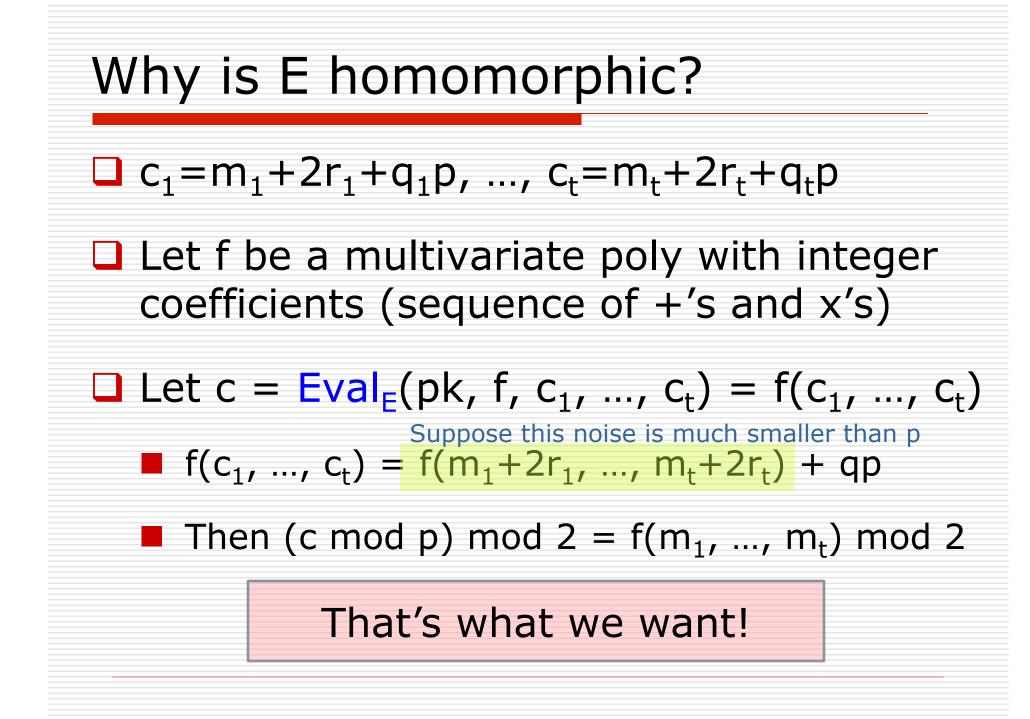
# Why is E homomorphic?

#### Basically because:

If you add or multiply two near-multiples of p, you get another near multiple of p...

# Why is E homomorphic? $\Box c_1 = m_1 + 2r_1 + q_1p$ , $c_2 = m_2 + 2r_2 + q_2p$ Noise: Distance to nearest multiple of p $\Box c_1 + c_2 = \frac{(m_1 + m_2) + 2(r_1 + r_2)}{(m_1 + m_2) + 2(r_1 + r_2)} + (q_1 + q_2)p$ $(m_1+m_2)+2(r_1+r_2)$ still much smaller than p $\Rightarrow$ c<sub>1</sub>+c<sub>2</sub> mod p = (m<sub>1</sub>+m<sub>2</sub>) + 2(r<sub>1</sub>+r<sub>2</sub>) $\Box C_1 \times C_2 = (m_1 + 2r_1)(m_2 + 2r_2)$ $+(c_1q_2+q_1c_2-q_1q_2)p$ $(m_1+2r_1)(m_2+2r_2)$ still much smaller than p $\Rightarrow$ c<sub>1</sub>xc<sub>2</sub> mod p = (m<sub>1</sub>+2r<sub>1</sub>)(m<sub>2</sub>+2r<sub>2</sub>)

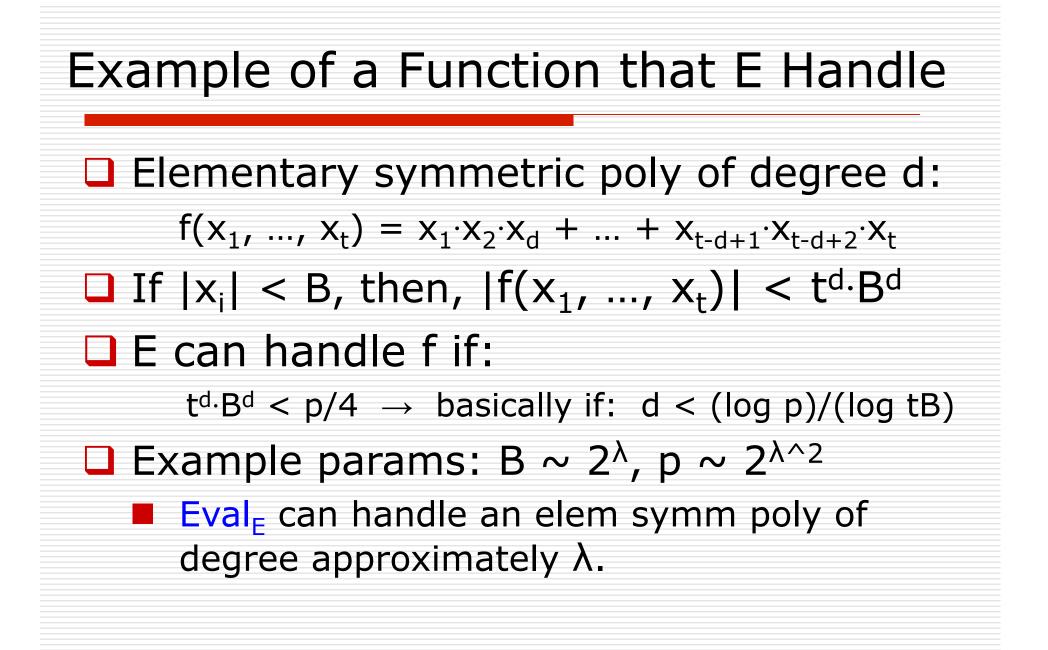
 $\bigstar(c_1xc_2 \mod p) \mod 2 = m_1xm_2 \mod 2$ 



## Why is E *somewhat* homomorphic?

# □ What if $|f(m_1+2r_1, ..., m_t+2r_t)| > p/2?$

- $c = f(c_1, ..., c_t) = f(m_1 + 2r_1, ..., m_t + 2r_t) + qp$ 
  - > Nearest p-multiple to c is q'p for q'  $\neq$  q
- (c mod p) =  $f(m_1+2r_1, ..., m_t+2r_t) + (q-q')p$
- (c mod p) mod 2
  - =  $f(m_1, ..., m_t) + (q-q') \mod 2$ = ???
- We say E can <u>handle</u> f if:
  - $|f(x_1, ..., x_t)| < p/4$
  - whenever all |x<sub>i</sub>| < B, where B is a bound on the noise of a fresh ciphertext output by Enc<sub>E</sub>



#### Step 2: Somewhat Homomorphic → FHE (if somewhat homomorphic scheme has a certain property: <u>bootstrappability</u>)

#### Back to Alice's Jewelry Store

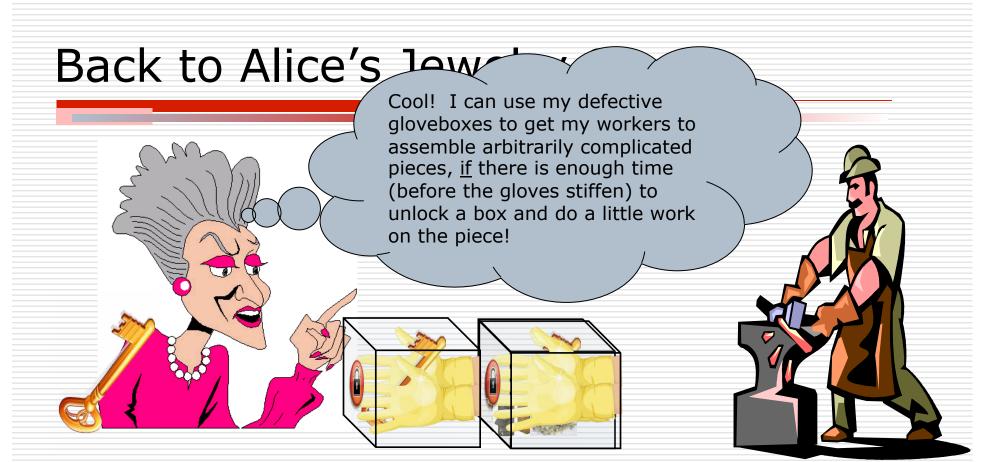


- After the worker works on the jewel for 1 minute, the gloves stiffen!
- Some complicated pieces take 10 minutes to make.
- Can Alice still use her boxes?
- Hint: you can put one box inside another.

#### Back to Alice's Jewelry Store



- Yes! Alice gives worker more boxes with a copy of her key
- Worker assembles jewel inside box #1 for 1 minute.
- □ Then, worker puts box #1 inside box #2!
- With box #2's gloves, worker opens box #1 with key, takes jewel out, and continues assembling till box #2's gloves stiffen.



- Yes! Alice gives worker a boxes with a copy of her key
- Worker assembles jewel inside box #1 for 1
- □ Then, worker puts box #1 inside box #2!
- With box #2's gloves, worker opens box #1 with key, takes jewel out, and continues assembling till box #2's gloves stiffen.

# Back to Alice's Jewelry Store

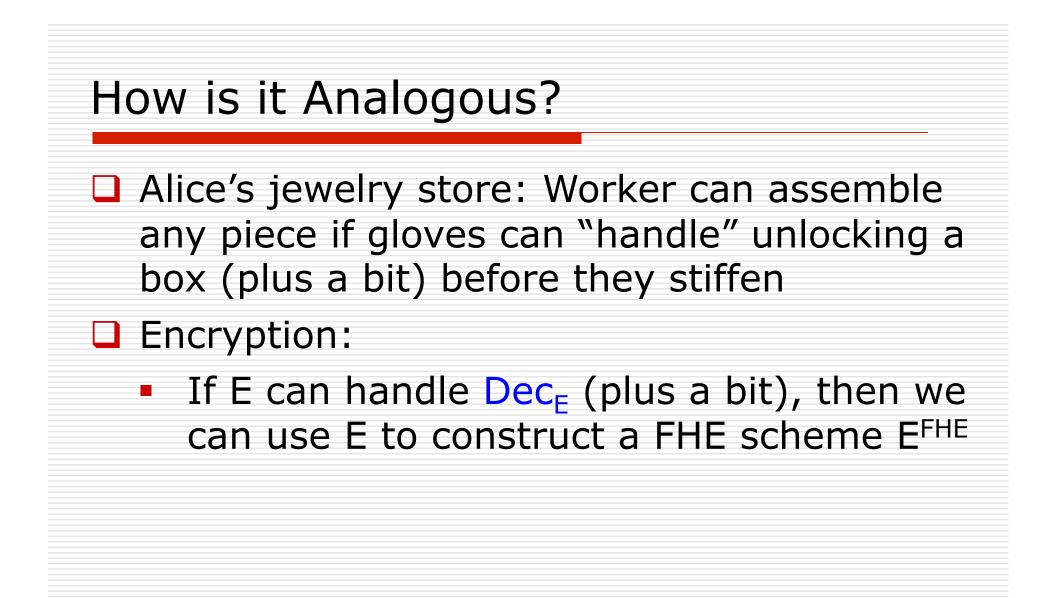
A weird question: Is it safe to put a key inside a glove box? What if the key can unlock the box from the inside?

- Yes! Alice gives worker a boxes with a copy of her key
- Worker assembles jewel inside box #1 for 1
- □ Then, worker puts box #1 inside box #2!
- With box #2's gloves, worker opens box #1 with key, takes jewel out, and continues assembling till box #2's gloves stiffen.

### Back to Alice's Jewelry Store

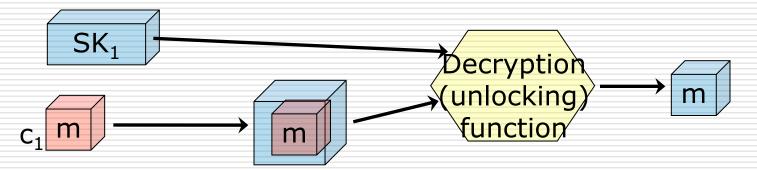
In any case, it definitely should be safe to have distinct keys, and to put the key for box #1 inside box #2, and so on...

- Yes! Alice gives worker a boxes with a copy of her key
- Worker assembles jewel inside box #1 for 1
- □ Then, worker puts box #1 inside box #2!
- With box #2's gloves, worker opens box #1 with key, takes jewel out, and continues assembling till box #2's gloves stiffen.



## Warm-up: Applying Eval to Dec<sub>E</sub>

Blue means box #2. It also means encrypted under key PK<sub>2</sub>.



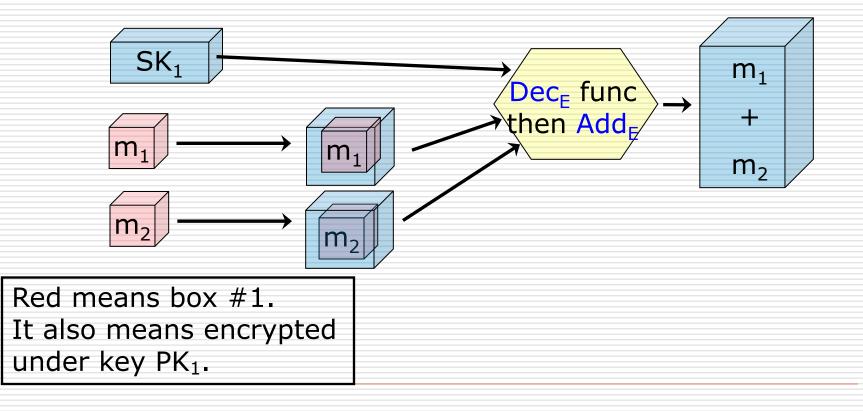
Red means box #1. It also means encrypted under key PK<sub>1</sub>.



Warm-up: Applying Eval to Dec<sub>F</sub>  $\Box$  Suppose c = Enc(pk, m)  $\Box \text{ Dec}_{F}(sk_{1}^{(1)}, ..., sk_{1}^{(t)}, c_{1}^{(1)}, ..., c_{1}^{(u)}) = m,$ where I have split sk and c into bits  $\Box$  Let  $sk_1^{(1)}$  and  $c_1^{(1)}$ , be ciphertexts that encrypt  $sk_1^{(1)}$  and  $c_1^{(1)}$ , and so on, under  $pk_2$ . Then, Eval( $pk_2$ ,  $Dec_F$ ,  $sk_1^{(1)}$ , ...,  $sk_1^{(t)}$ ,  $c_1^{(1)}$ , ...,  $c_1^{(1)}$ ) = m i.e., a ciphertext that encrypts m under  $pk_2$ .

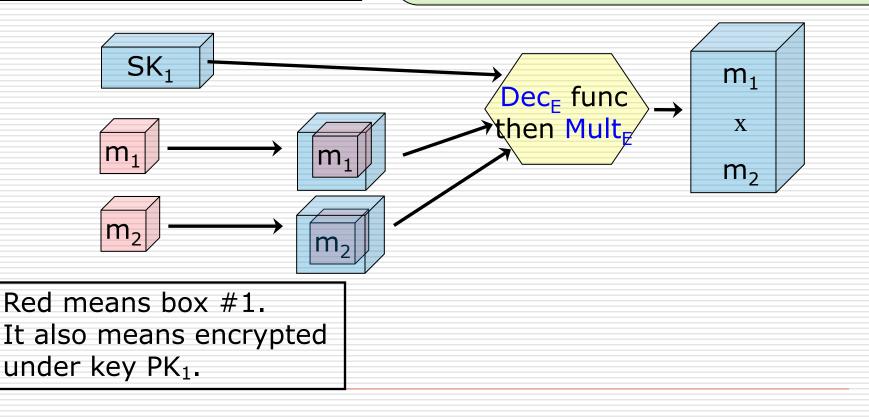
## Applying Eval to (Dec<sub>E</sub> then Add<sub>E</sub>)

Blue means box #2. It also means encrypted under key PK<sub>2</sub>.

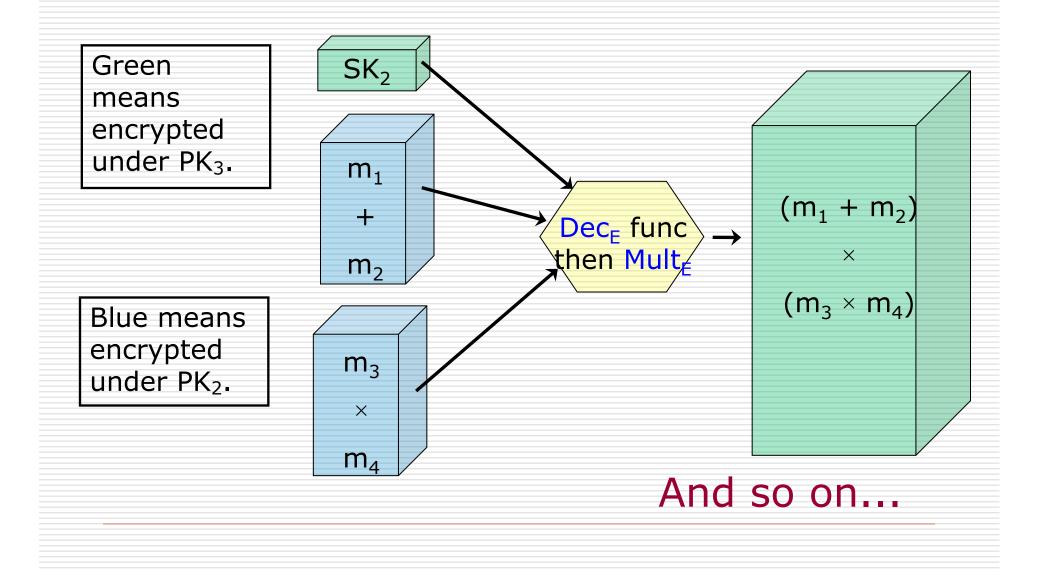


#### Applying Eval to (Dec<sub>E</sub> then Mult<sub>E</sub>)

Blue means box #2. It also means encrypted under key PK<sub>2</sub>. If E can evaluate  $(Dec_E \text{ then } Add_E)$ and  $(Dec_E \text{ then } Mult_E)$ , then we call E "bootstrappable" (a selfreferential property).



#### And now the recursion...

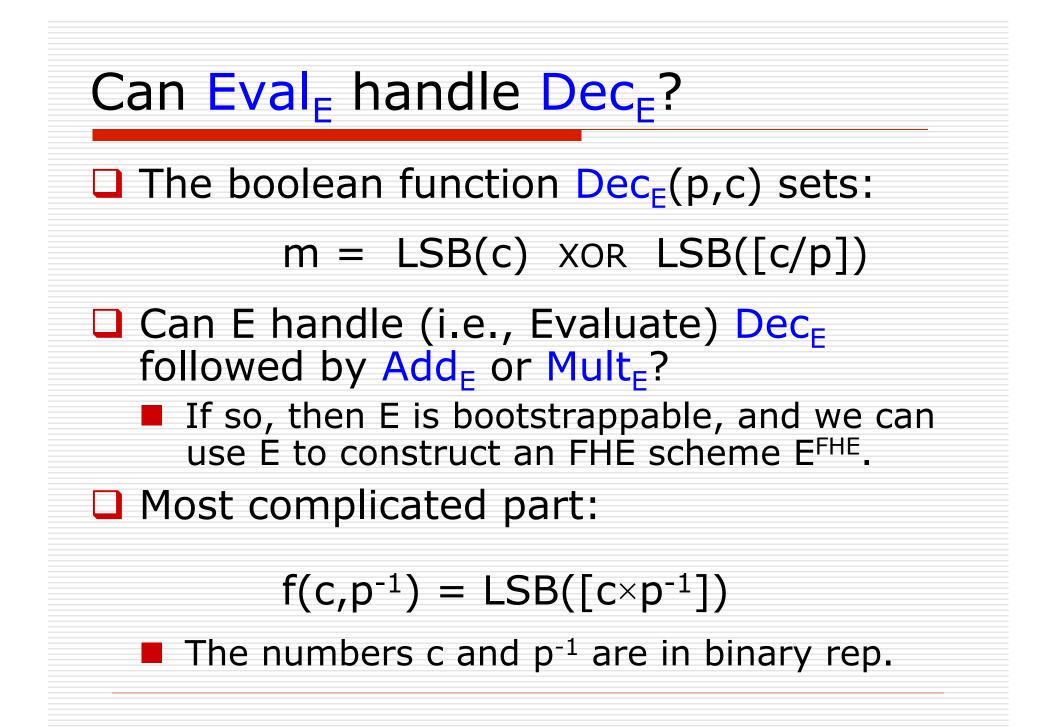


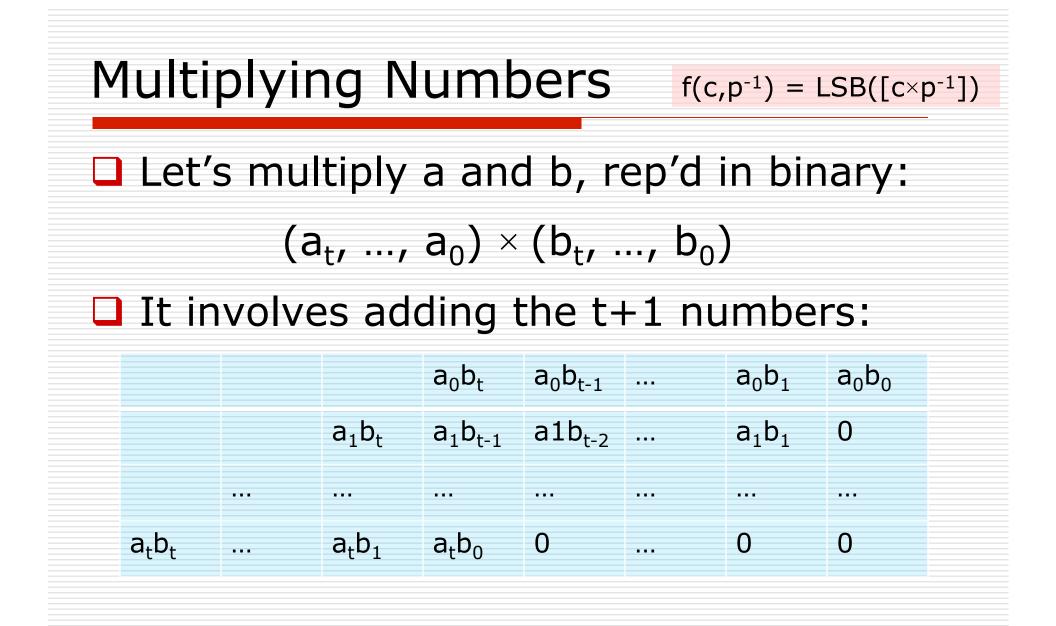
#### **Arbitrary Functions**

- Suppose E is bootstrappable i.e., it can handle Dec<sub>E</sub> augmented by Add<sub>E</sub> and Mult<sub>E</sub> efficiently.
- □ Then, there is a scheme E<sub>d</sub> that evaluates arbitrary functions with d "levels".
- $\Box$  Ciphertexts: Same size in  $E_d$  as in E.
- Public key:
  - Consists of (d+1) E pub keys: pk<sub>0</sub>, ..., pk<sub>d</sub>
  - and encrypted secret keys: {Enc(pk<sub>i</sub>, sk<sub>(i-1)</sub>)}
  - Size: linear in d. Constant in d, if you assume encryption is "circular secure."

The question of circular security is like whether it is "safe" to put a key for box i inside box i.

#### Step 2b: Bootstrappable Yet? Is our Somewhat Homomorphic Scheme Already Bootstrappable?





Adding Two Numbers $f(c,p^{-1}) = LSB([c \times p^{-1}])$					
<u>Carries</u> :	$x_1y_1 + x_1x_0y_0 + y_1x_0y_0$ $x_2$	x <sub>0</sub> y <sub>0</sub> X <sub>1</sub>	X <sub>0</sub>		
	У <sub>2</sub>	<b>y</b> <sub>1</sub>	y <sub>0</sub>		
<u>Sum</u> :	$x_2+y_2+x_1y_1+x_1x_0y_0+y_1x_0y_0$	$x_1 + y_1 + x_0 y_0$	x <sub>0</sub> +y <sub>0</sub>		

#### Adding two t-bit numbers:

Bit of the sum = up to t-degree poly of input bits

## Adding Many Numbers f(c,p<sup>-1</sup>) = LSB([c×p<sup>-1</sup>])

#### □ 3-for-2 trick:

- 3 numbers  $\rightarrow$  2 numbers with same sum
- Output bits are up to degree-2 in input bits

	<b>x</b> <sub>2</sub>	<b>X</b> <sub>1</sub>	x <sub>0</sub>
	<b>y</b> <sub>2</sub>	<b>Y</b> <sub>1</sub>	y <sub>0</sub>
	Z <sub>2</sub>	Z <sub>1</sub>	Z <sub>0</sub>
	$x_2 + y_2 + z_2$	$x_1 + y_1 + z_1$	$x_0 + y_0 + z_0$
$x_2y_2 + x_2z_2$	$x_1y_1 + x_1z_1$	$x_0y_0 + x_0z_0$	
$+y_2z_2$	$+y_1z_1$	$+y_0z_0$	

- t numbers  $\rightarrow$  2 numbers with same sum
- Output bits are degree  $2^{\log_{3/2} t} = t^{\log_{3/2} 2} = t^{1.71}$

#### Back to Multiplying $f(c,p^{-1}) = LSB([c \times p^{-1}])$ Multiplying two t-bit numbers: Add t t-bit numbers of degree 2 3-for-2 trick $\rightarrow$ two t-bit numbers, deg. 2t<sup>1.71</sup>. Adding final two numbers $\rightarrow$ deg. t(2t<sup>1.71</sup>) = 2t<sup>2.71</sup>. $\Box Consider f(c,p^{-1}) = LSB([c \times p^{-1}])$ $p^{-1}$ must have log c > log p bits of precision to ensure the rounding is correct So, f has degree at least $2(\log p)^{2.71}$ . Can our scheme E handle a polynomial f of such high degree?

Unfortunately, no.

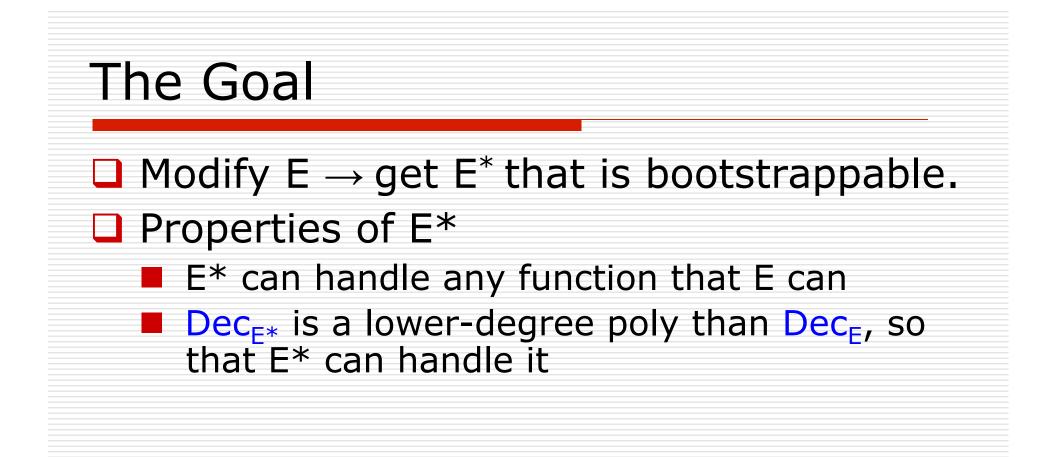
# Why Isn't E Bootstrappable? $f(c,p^{-1}) = LSB([c \times p^{-1}])$

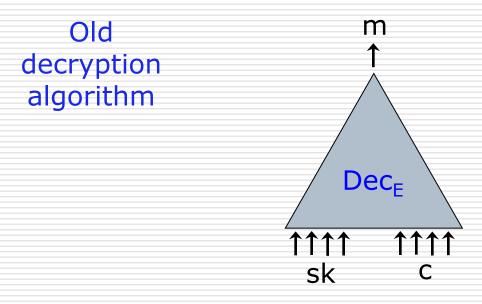
#### Recall: E can <u>handle</u> f if:

- $|f(x_1, ..., x_t)| < p/4$
- whenever all |x<sub>i</sub>| < B, where B is a bound on the noise of a fresh ciphertext output by Enc<sub>E</sub>
- If f has degree > log p, then |f(x<sub>1</sub>, ..., x<sub>t</sub>)| could definitely be bigger than p

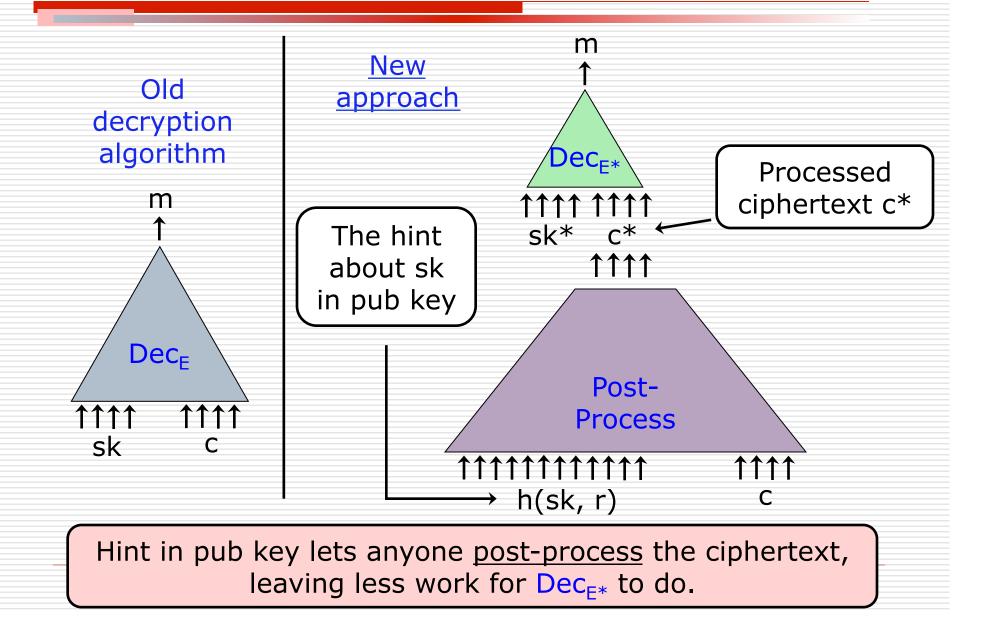
• E is (apparently) not bootstrappable...

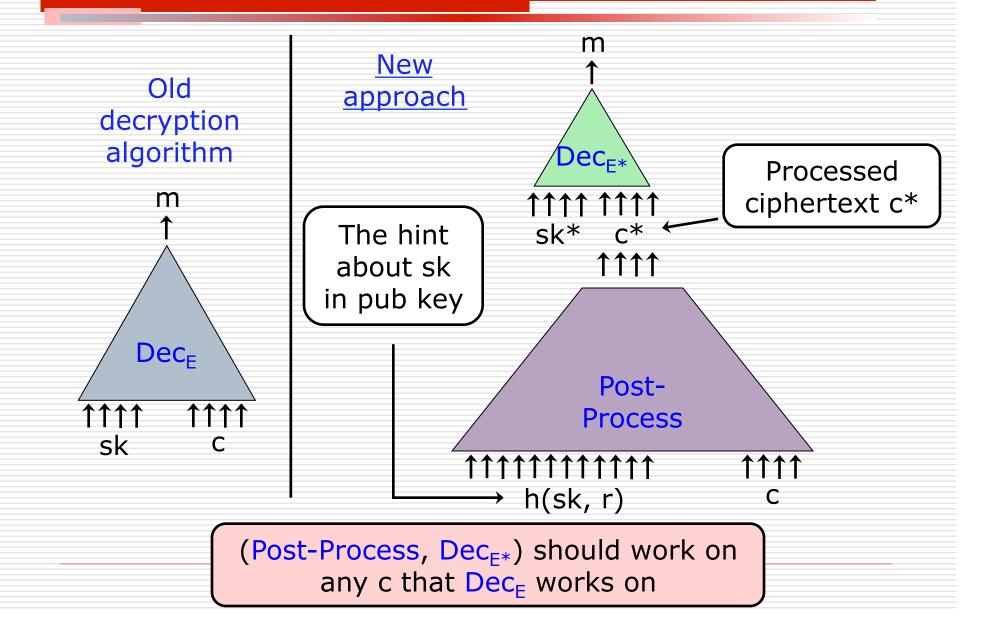
#### Step 3 (Final Step): Modify our Somewhat Homomorphic Scheme to Make it Bootstrappable

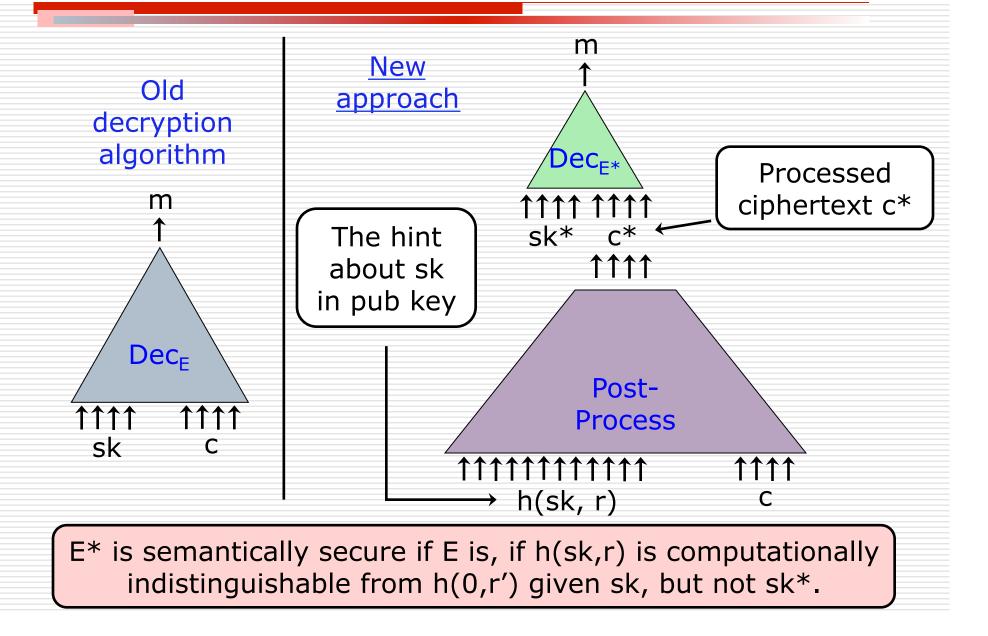


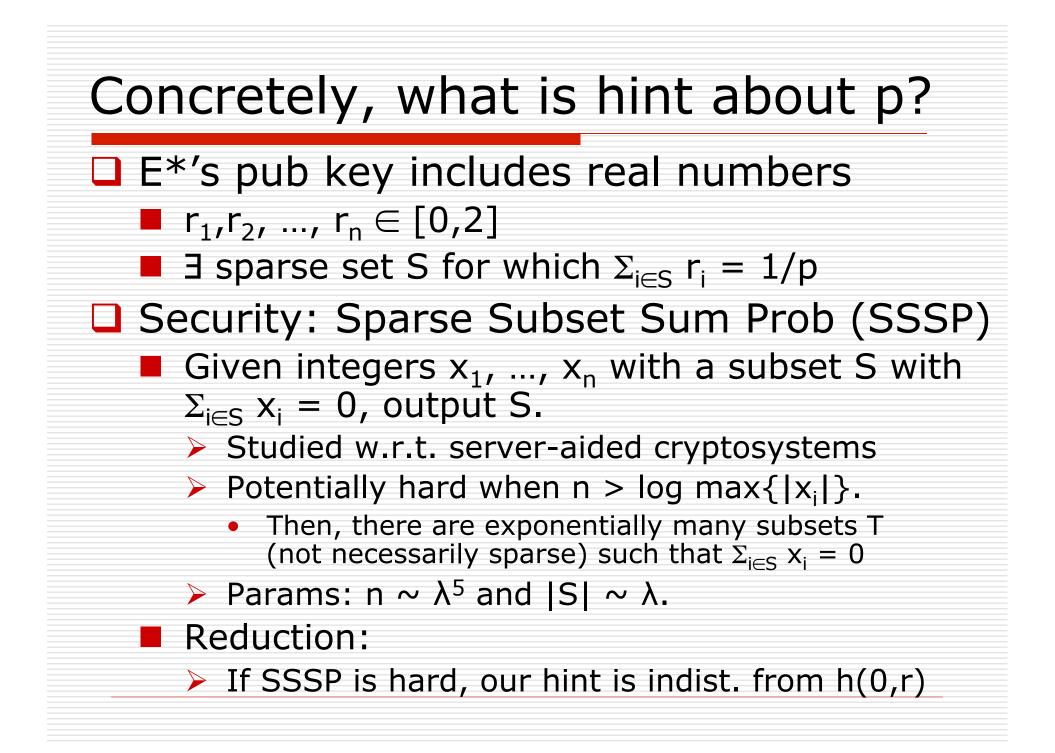


- Crazy idea: Put <u>hint</u> about sk in E\* public key! Hint lets anyone <u>post-process</u> the ciphertext, leaving less work for Dec<sub>E\*</sub> to do.
- This idea is used in server-aided cryptography.







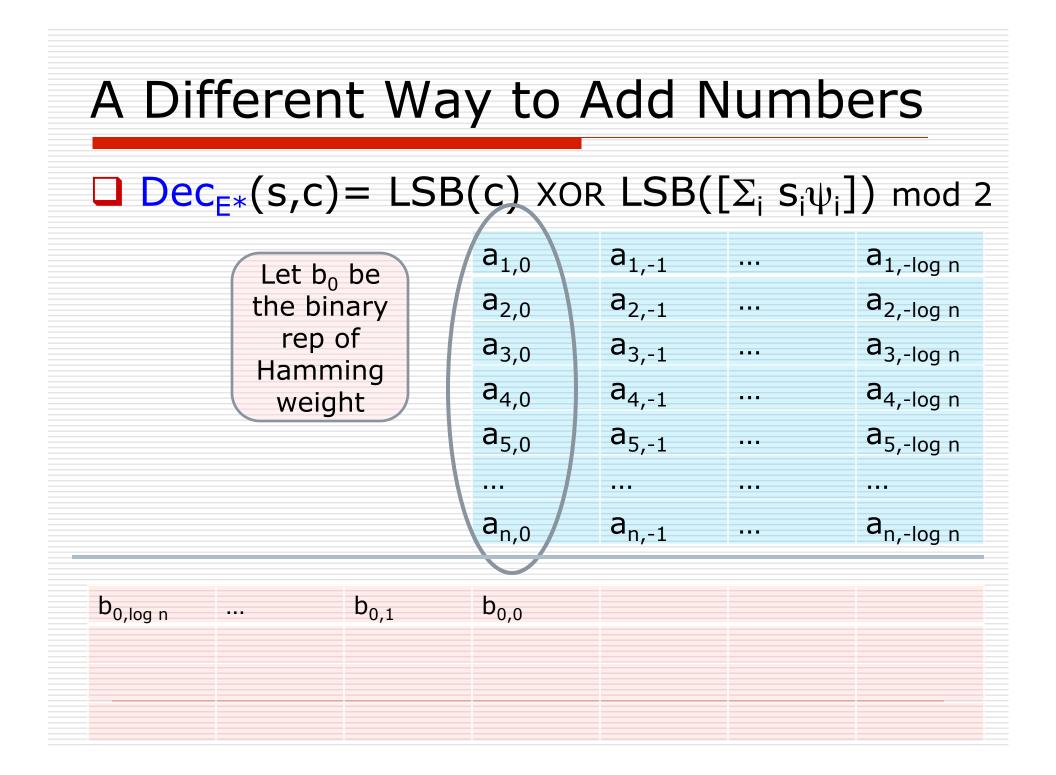


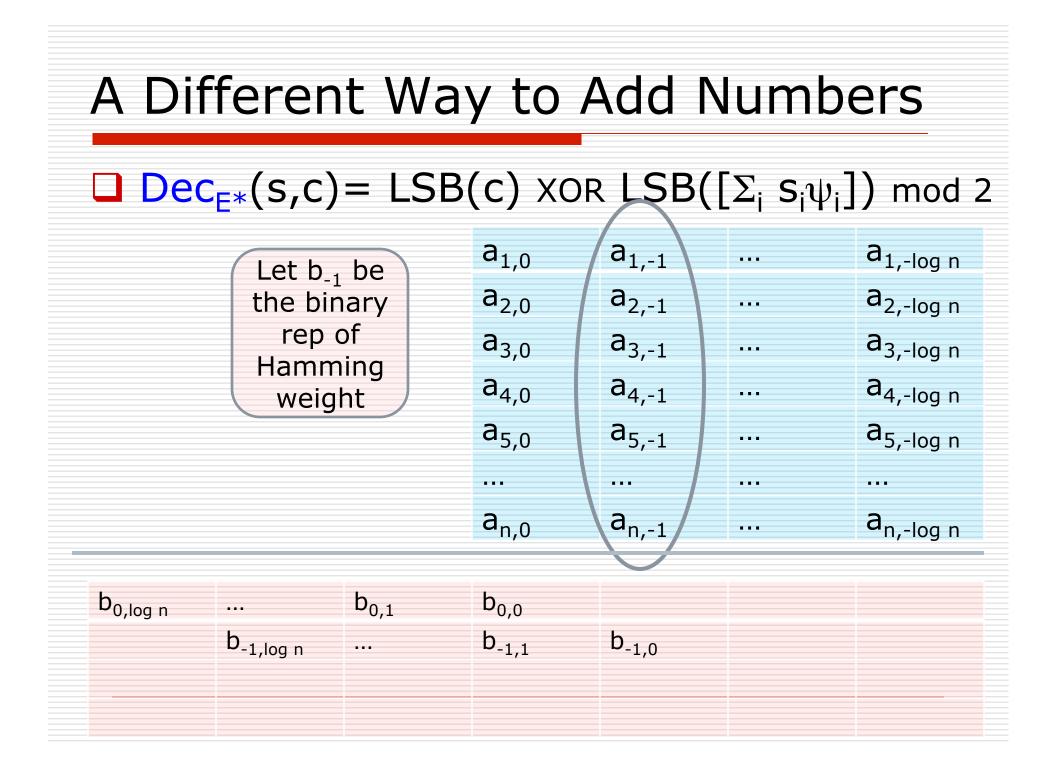
How E* works
<ul> <li>Enc<sub>E*</sub>, Eval<sub>E*</sub> output ψ<sub>i</sub>=c x r<sub>i</sub> mod 2, i=1,,n</li> <li>Together with c itself</li> <li>The ψ<sub>i</sub> have about log n bits of precision</li> <li>New secret key is bit-vector s<sub>1</sub>,,s<sub>n</sub></li> </ul>
■ $s_i=1$ if i∈S, $s_i=0$ otherwise □ $Dec_{F*}(s,c)=LSB(c)$ XOR $LSB([\Sigma_i s_i \psi_i])$ mod 2
<ul> <li>E* can handle any function E can:</li> <li>c/p = c Σ<sub>i</sub> s<sub>i</sub>r<sub>i</sub> = Σ<sub>i</sub> s<sub>i</sub>ψ<sub>i</sub>, mod 2, up to precision</li> <li>Precision errors do not changing the rounding</li> <li>Precision errors from ψ<sub>i</sub> imprecision &lt; 1/8</li> <li>c/p is with 1/4 of an integer</li> </ul>

#### $\Box \text{ Dec}_{E^*}(s,c) = \text{LSB}(c) \text{ XOR } \text{LSB}([\Sigma_i \ s_i \psi_i]) \text{ mod } 2$

#### $\Box \text{ Dec}_{E^*}(s,c) = \text{LSB}(c) \text{ XOR } \text{LSB}([\Sigma_i \ s_i \psi_i]) \text{ mod } 2$

a <sub>1,0</sub>	a <sub>1,-1</sub>		a <sub>1,-log n</sub>
a <sub>2,0</sub>	a <sub>2,-1</sub>		a <sub>2,-log n</sub>
a <sub>3,0</sub>	a <sub>3,-1</sub>		a <sub>3,-log n</sub>
a <sub>4,0</sub>	a <sub>4,-1</sub>		a <sub>4,-log n</sub>
a <sub>5,0</sub>	a <sub>5,-1</sub>		a <sub>5,-log n</sub>
a <sub>n,0</sub>	a <sub>n,-1</sub>	•••	a <sub>n,-log n</sub>





### $\Box \text{ Dec}_{E^*}(s,c) = \text{LSB}(c) \text{ XOR } \text{LSB}([\Sigma_i \ s_i \psi_i]) \text{ mod } 2$

(	Let b <sub>-log n</sub>	be	a <sub>1,0</sub>	a <sub>1,-1</sub>		āl <sub>1,-log n</sub>
	the bina		a <sub>2,0</sub>	a <sub>2,-1</sub>		a <sub>2,-log n</sub>
	rep of		a <sub>3,0</sub>	a <sub>3,-1</sub>		a <sub>3,-log n</sub>
Hamming weight		-	a <sub>4,0</sub>	a <sub>4,-1</sub>		a <sub>4,-log n</sub>
			a <sub>5,0</sub>	a <sub>5,-1</sub>		a <sub>5,-log n</sub>
						\·· /
			a <sub>n,0</sub>	a <sub>n,-1</sub>		a <sub>n,-log r</sub>
),log n		b <sub>0,1</sub>	b <sub>0,0</sub>			
	b <sub>-1,log n</sub>		b <sub>-1,1</sub>	b <sub>-1,0</sub>		
			b <sub>-log n,log n</sub>		b <sub>-log n,1</sub>	b <sub>-log n,0</sub>

b

#### $\Box \text{ Dec}_{E^*}(s,c) = \text{LSB}(c) \text{ XOR } \text{LSB}([\Sigma_i \ s_i \psi_i]) \text{ mod } 2$

Only log n numbers with log n bits of precision. Easy to handle.		a <sub>1,0</sub>	a <sub>1,-1</sub>		a <sub>1,-log n</sub>	
		a <sub>2,0</sub>	a <sub>2,-1</sub>		a <sub>2,-log n</sub>	
		a <sub>3,0</sub>	a <sub>3,-1</sub>		a <sub>3,-log n</sub>	
		-	a <sub>4,0</sub>	a <sub>4,-1</sub>		a <sub>4,-log n</sub>
		a <sub>5,0</sub>	a <sub>5,-1</sub>		a <sub>5,-log n</sub>	
		a <sub>n,0</sub>	a <sub>n,-1</sub>		a <sub>n,-log n</sub>	
_	V					
b <sub>0,log n</sub>		b <sub>0,1</sub>	b <sub>0,0</sub>			
	b <sub>-1,log n</sub>		b <sub>-1,1</sub>	b <sub>-1,0</sub>		
			b <sub>-log n,log n</sub>		b <sub>-log n,1</sub>	b <sub>-log n,0</sub>

# Computing Sparse Hamming Wgt.

# $\Box \text{ Dec}_{E^*}(s,c) = \text{LSB}(c) \text{ XOR LSB}([\Sigma_i s_i \psi_i]) \text{ mod } 2$

a <sub>1,0</sub>	a <sub>1,-1</sub>	 a <sub>1,-log n</sub>
a <sub>2,0</sub>	a <sub>2,-1</sub>	 a <sub>2,-log n</sub>
a <sub>3,0</sub>	a <sub>3,-1</sub>	 a <sub>3,-log n</sub>
a <sub>4,0</sub>	a <sub>4,-1</sub>	 a <sub>4,-log n</sub>
a <sub>5,0</sub>	a <sub>5,-1</sub>	 a <sub>5,-log n</sub>
\ /		 
a <sub>n,0</sub>	a <sub>n,-1</sub>	 a <sub>n,-log n</sub>
/		

# Computing Sparse Hamming Wgt.

## $\Box \text{ Dec}_{E^*}(s,c) = \text{LSB}(c) \text{ XOR LSB}([\Sigma_i \ s_i \psi_i]) \text{ mod } 2$

a <sub>1,0</sub>	a <sub>1,-1</sub>	 a <sub>1,-log n</sub>
0	0	 0
0	0	 0
a <sub>4,0</sub>	a <sub>4,-1</sub>	 a <sub>4,-log n</sub>
0	0	 0
/		 
a <sub>n,0</sub>	a <sub>n,-1</sub>	 a <sub>n,-log n</sub>

#### Computing Sparse Hamming Wgt. $\Box \text{ Dec}_{E^*}(s,c) = \text{LSB}(c) \text{ XOR } \text{LSB}([\Sigma_i \ s_i \psi_i]) \mod 2$ Binary rep of Hamming wgt of $a_1$ $\mathbf{x} = (x_1, ..., x_n)$ in $\{0, 1\}^n$ given by: 0 $\mathbf{0}$ $e_{2^{[\log n]}}(\mathbf{x}) \mod 2, ..., e_2(\mathbf{x}) \mod 2, e_1(\mathbf{x}) \mod 2$ a<sub>4,0</sub> where $e_{k}$ is the elem symm poly of deg k $\mathbf{O}$ Since we know a priori that Hamming wgt is |S|, we only need an $e_{2^{[\log |S|]}}(\mathbf{x}) \mod 2, ..., e_2(\mathbf{x}) \mod 2, e_1(\mathbf{x}) \mod 2$ up to deg < |S|

□ Set  $|S| < \lambda$ , then E\* is bootstrappable.

## Yay! We have a FHE scheme!

### Performance

#### □ Well, a little slow...

- In E, a ciphertext is  $c_i$  is about  $\lambda^5$  bits.
- **Dec**<sub>E\*</sub> works in time quasi-linear in  $\lambda^5$ .
- Applying  $Eval_{E^*}$  to  $Dec_{E^*}$  takes quasi- $\lambda^{10}$ .
  - To bootstrap E\* to E\*FHE, and to compute Eval<sub>E\*FHE</sub>(pk, f, c<sub>1</sub>, ..., c<sub>t</sub>), we apply Eval<sub>E\*</sub> to Dec<sub>E\*</sub> once for each Add and Mult gate of f.
  - > Total time: quasi-  $\lambda^{10} \cdot S_f$ , where  $S_f$  is the circuit complexity of f.

## Performance

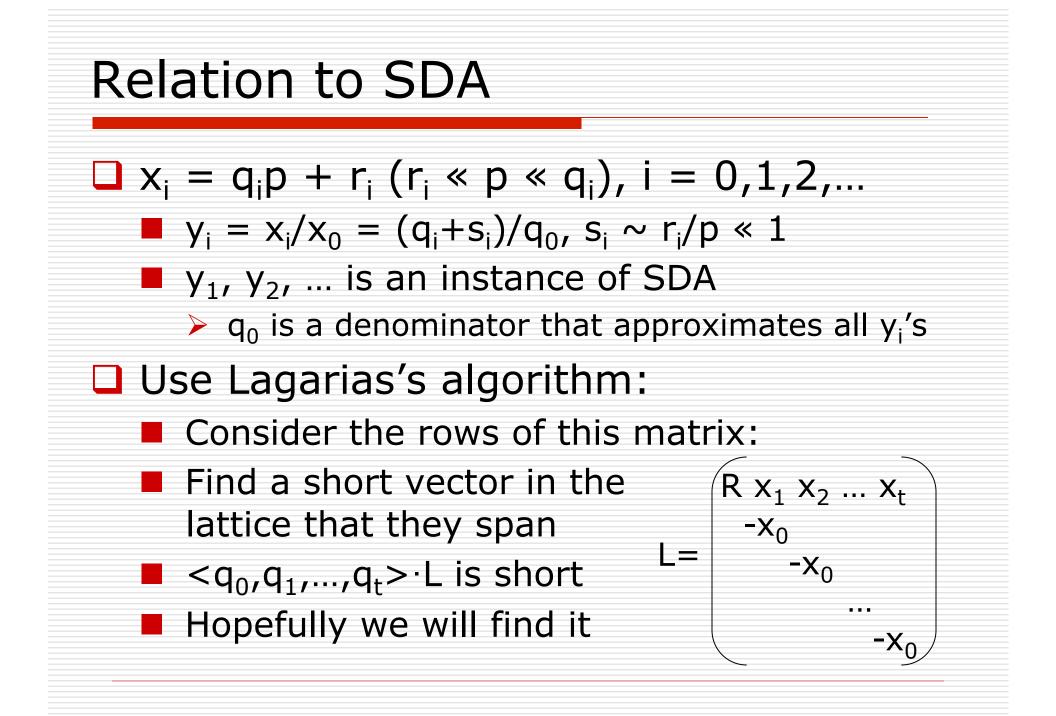
# STOC09 lattice-based scheme performs better:

- Applying Eval to Dec takes Õ(λ<sup>6</sup>) computation if you want 2<sup>λ</sup> security against known attacks.
- Comparison: RSA also takes Õ(λ<sup>6</sup>); also, in ElGamal (using finite fields).

More optimizations on the way!



# Hardness of Approximate-GCD Several lattice-based approaches for solving approximate-GCD Related to Simultaneous Diophantine Approximation (SDA) Studied in [Hawgrave-Graham01] We considered some extensions of his attacks $\Box$ All run out of steam when $|q_i| > |p|^2$ In our case $|p| \sim n^2$ , $|q_i| \sim n^5 \gg |p|^2$



#### Relation to SDA (cont.) When will Lagarias' algorithm succeed? $< q_0, q_1, ..., q_t > L$ should be shortest in lattice > In particular shorter than $\sim det(L)^{1/t+1}$ This only holds for t > log Q/log P Minkowski bound The dimension of the lattice is t+1 Quality of lattice-reduction deteriorates exponentially with t • When log Q > $(\log P)^2$ (so t>log P), LLL-type reduction isn't good enough anymore

