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# FUNCTIONS OF UNIFORMLY BOUNDED CHARACTERISTIC

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### 1. Introduction

We shall introduce a new notion of functions of uniformly bounded characteristic in the disk in terms of the Shimizu-Ahlfors characteristic function.

Let f be a function meromorphic in the disk  $D = \{|z| < 1\}$  in the complex plane  $C = \{|z| < \infty\}$ . Let  $f^{\#} = |f'|/(1+|f|^2)$ , 0 < r < 1, and z = x + iy. Set

$$S(r, f) = (1/\pi) \iint_{|z| < r} f^{\#}(z)^2 dx dy.$$

The Shimizu-Ahlfors characteristic function of f,

$$T(r,f) = \int_0^r t^{-1} S(t,f) dt,$$

is a non-decreasing function of r, 0 < r < 1, so that

$$T(1,f) = \lim_{r \to 1} T(r,f) \leq \infty,$$

exists.

Let BC be the family of f meromorphic in D with  $T(1, f) < \infty$ . Then, g meromorphic in D is of bounded (Nevanlinna) characteristic in D if and only if  $g \in BC$ . Letting  $w \in D$  as a parameter we set

$$\varphi_w(z) = (z+w)/(1+\overline{w}z), \quad z \in D.$$

The inverse map of  $\varphi_w$  is then  $\varphi_{-w}$ . We set  $f_w(z) = f(\varphi_w(z)), z \in D$ . If  $f \in BC$ , then  $f_w \in BC$  for all  $w \in D$ .

Definition. A meromorphic function f in D is said to be of uniformly bounded characteristic in D if and only if

$$\sup_{w\in D} T(1, f_w) < \infty.$$

Denote by UBC the family of meromorphic functions in D of uniformly bounded characteristic in D. By UBC<sub>0</sub> we mean the family of functions f meromorphic in D

such that

$$\lim_{|w|\to 1} T(1, f_w) = 0.$$

Then UBC $\subset$ BC. However, the inclusion formula UBC<sub>0</sub> $\subset$ UBC is never obvious and needs a proof (Lemma 2.1.).

In Section 2 we propose a criterion (Theorem 2.2) for a meromorphic f to belong to UBC or UBC<sub>0</sub> in terms of the Green function of D.

In Section 3 we show that UBC is a subfamily of the family N of meromorphic functions normal in D in the sense of O. Lehto and K. I. Virtanen [5]; an analogue:  $UBC_0 \subset N_0$ , is also considered (Theorem 3.1). Use is made of J. Dufresnoy's lemma [1, p. 218], from which a criterion for f to be of N or of  $N_0$  is obtained in terms of the spherical areas of the Riemannian images of the non-Euclidean disks (Lemma 3.2). We believe that this criterion itself is novel.

In Section 4 we consider Blaschke products

$$b(z) = z^k \prod \frac{|a_n|}{a_n} \frac{a_n - z}{1 - \overline{a}_n z}$$

$$(k \ge 0 \text{ integer}; \sum (1-|a_n|) < \infty).$$

If  $f \in UBC$  is not identically zero, then f, as a member of BC, has the decomposition  $b_1g/b_2$ , where  $g \in BC$  is pole- and zero-free, and  $b_1$  and  $b_2$  are Blaschke products without common zeros. We observe that  $g \in UBC$ . One of the essential differences of UBC from BC is that UBC is not closed for summation and multiplication. This is a consequence of Theorem 4.2. For the proof, Blaschke products play fundamental roles.

In Section 5 holomorphic functions f in D are considered. A criterion for  $f \in UBC$ or  $f \in UBC_0$  is obtained in terms of the harmonic majorants (Theorem 5.1). In Theorem 5.2 we claim that if the image f(D) is contained in a domain in C of a certain type, then  $f \in UBC$ .

If f is holomorphic and bounded in D, then  $f \in UBC$ . In Section 6 we show that if a meromorphic f satisfies the condition

$$\iint_D f^{\#}(z)^2 dx dy < \infty,$$

then  $f \in UBC$ . Thus, if f is "bounded" in a natural sense, then  $f \in UBC$ .

In the final section, Section 7, we consider BMOA and VMOA functions. These are, roughly speaking, holomorphic functions in D whose boundary values are of bounded or vanishing mean oscillation on the circle  $\{|z|=1\}$  in the sense of F. John and L. Nirenberg [4] or of D. Sarason [7], respectively. The main result is that BMOA $\subset$ UBC and VMOA $\subset$ UBC<sub>0</sub>.

To extend the notion of UBC and  $UBC_0$  (as well as BMOA and VMOA) to Riemann surfaces *R* is possible. Some arguments in *D* are also available on *R*. We hope we can publish a systematic study of UBC and  $UBC_0$  on *R* in the near future.

#### 2. Criteria

First we show, as was promised in Section 1, that  $UBC_0 \subset UBC$ ; for the proof, use is made of

Theorem 2.1. If  $f \in BC$ , then for each  $\varrho, 0 < \varrho < 1$ ,

$$\sup_{|w|<\varrho} T(1, f_w) < \infty$$

*Proof.* Set for  $w \in D$  and for  $\lambda, 0 < \lambda < 1$ ,

$$\Delta(w, \lambda) = \{z \in D; |w-z|/|1-\overline{w}z| < \lambda\};\$$

this is the non-Euclidean disk of the non-Euclidean center w and the non-Euclidean radius  $(1/2) \log [(1+\lambda)/(1-\lambda)]$ . The change of variable  $\zeta = \xi + i\eta = \varphi_w(z)$  then yields that

(2.1) 
$$S(\lambda, f_w) = (1/\pi) \iint_{|z| < \lambda} f_w^{\#}(z)^2 dx dy = (1/\pi) \iint_{\mathcal{A}(w, \lambda)} f^{\#}(\zeta)^2 d\xi d\eta;$$

hereafter,  $(f_w)^{\#} = f_w^{\#}$  and  $(\varphi_w)' = \varphi'_w$  for short.

Fix  $\varrho, 0 < \varrho < 1$ , and then let w satisfy  $|w| < \varrho$ . For  $r_0 \equiv 1/2 < r < 1$ , we shall estimate upwards the characteristic function

$$T(r, f_{w}) = T(r_{0}, f_{w}) + \int_{r_{0}}^{r} t^{-1} S(t, f_{w}) dt \equiv \alpha + \beta$$

by a constant independent of r and w.

For the  $\alpha$ -part we note that

$$|z| < r_0 \Rightarrow |\varphi_w(z)| \le (|w| + |z|)/(1 + |zw|) < R_0 \equiv (r_0 + \varrho)/(1 + r_0\varrho).$$

Then, for  $|z| < r_0$ ,

$$f_{w}^{\#}(z) = f^{\#}(\varphi_{w}(z)) |\varphi_{w}'(z)| \leq \left[\max_{|\zeta| \leq R_{0}} f^{\#}(\zeta)\right] \left(1 - \varrho r_{0}\right)^{-2} \equiv K < \infty$$

by the continuity of  $f^{\#}$ . Consequently,

$$f_w^{\#}(z) \leq K \quad \text{for} \quad |z| < t < r_0,$$

so that the inequality  $S(t, f_w) \leq K^2 t^2$  yields

$$(2.2) \qquad \qquad \alpha \leq K^2/8.$$

To estimate  $\beta$  we notice that, for 0 < t < 1,

$$\Delta(w, t) \subset \{|z| < u\}, \quad u \equiv (t+\varrho)/(1+\varrho t).$$

By (2.1), together with  $R \equiv (r+\varrho)/(1+r\varrho) > R_0$ , we obtain

$$\beta \leq \int_{r_0}^r t^{-1} S(u, f) dt = \int_{R_0}^R C(u, \varrho) u^{-1} S(u, f) du,$$

where

$$C(u, \varrho) = \frac{u(1-\varrho^2)}{(u-\varrho)(1-\varrho u)} \leq 2/(R_0-\varrho)$$

because  $\varrho < R_0 < u < 1$  for  $r_0 < t < r$ . Therefore

$$\beta \leq 2T(R, f)/(R_0 - \varrho) \leq 2T(1, f)/(R_0 - \varrho),$$

which, together with (2.2), completes the proof.

Lemma 2.1. UBC<sub>0</sub> $\subset$  UBC.

**Proof.** For  $f \in UBC_0$  there exists  $\delta$ ,  $0 < \delta < 1$ , such that  $T(1, f_w) < 1$  in  $\{\delta < |w| < 1\}$ . Then  $f \in BC$  because f is the composed function  $f = f_{\varrho} \circ \varphi_{-\varrho}$  for  $\varrho = (1+\delta)/2$  with  $f_{\varrho} \in BC$ . It now follows from Theorem 2.1 that

$$K \equiv \sup_{|w| < \varrho} T(1, f_w) < \infty,$$

whence

$$\sup_{w\in D} T(1, f_w) \leq K+1.$$

Remark. Theorem 2.1 also yields:

For f meromorphic in D to be of UBC it is necessary and sufficient that

$$\limsup_{|w|\to 1} T(1, f_w) < \infty.$$

The Green function of D with pole at  $w \in D$  is given by

 $G(z, w) = \log |(1 - \overline{w}z)/(z - w)| = -\log |\varphi_{-w}(z)|, \quad z \in D.$ 

We now propose the main result in the present section.

Theorem 2.2. Let f be meromorphic in D. Then the following propositions hold. (I)  $f \in UBC$  if and only if

(2.3) 
$$\sup_{w\in D} \iint_D f^{\#}(z)^2 G(z,w) dx dy < \infty.$$

(II)  $f \in UBC_0$  if and only if

(2.4) 
$$\lim_{|w| \to 1} \iint_D f^{\#}(z)^2 G(z, w) dx dy = 0$$

For the proof we need

Lemma 2.2. For f meromorphic in D and for  $0 < r \le 1$  we have

(2.5) 
$$T(r, f) = (1/\pi) \iint_{|z| < r} f^{\#}(z)^2 \log (r/|z|) dx dy.$$

*Proof.* For 0 < r < 1, we let  $X_r$  be the characteristic function of the disk  $\{|z| < r\}$ , namely,  $X_r(z)=1$  for |z| < r,  $X_r(z)=0$  for  $r \le |z| < 1$ .

It suffices to prove (2.5) for 0 < r < 1. For, if (2.5) is true for 0 < r < 1, then

$$T(r, f) = (1/\pi) \iint_{D} f^{*}(z)^{2} X_{r}(z) \log(r/|z|) dx dy.$$

Since  $0 \le X_r(z) \log (r/|z|) \nearrow \log (1/|z|)$  as  $r \to 1$  at each  $z \in D$ , (2.5) for r=1 follows. Now, for 0 < r < 1,

so that (2.5) is a consequence of

$$T(r, f) = (1/\pi) \iint_{D} f^{\#}(z)^{2} \left[ \int_{0}^{r} t^{-1} X_{t}(z) dt \right] dx dy.$$

*Proof of Theorem* 2.2. Since  $f_w^{\pm} = (f^{\pm} \circ \varphi_w) |\varphi'_w|$ , it follows from Lemma 2.2, together with the change of variable  $\zeta = \varphi_w(z)$ , that

(2.6) 
$$T(1, f_w) = (1/\pi) \iint_D f^{\#}(\zeta)^2 \log(1/|\varphi_{-w}(\zeta)|) d\xi d\eta.$$

This completes the proof of Theorem 2.2.

Remark. For  $f \in BC$ , the function  $T(1, f_w)$  of  $w \in D$  is well defined. The identity (2.6) shows that  $T(1, f_w)$  is lower semicontinuous with respect to  $w \in D$ . Actually,  $T(1, f_w)$  is a Green's potential in D of the measure in the differential form

$$(1/\pi)f^{\#}(\zeta)^2 d\zeta d\eta.$$

## 3. Normal meromorphic functions

Let N be the family of meromorphic functions f in D such that

$$\sup_{z\in D}(1-|z|^2)f^{\#}(z)<\infty,$$

and let  $N_0$  be the family of meromorphic functions f in D such that

$$\lim_{|z| \to 1} (1 - |z|^2) f^{\#}(z) = 0$$

Each  $f \in \mathbb{N}$  is normal in D in the sense of Lehto and Virtanen [5], and vice versa. By the continuity of  $f^{\#}$ , the inclusion formula  $\mathbb{N}_0 \subset \mathbb{N}$  is easily established.

Theorem 3.1. The following inclusion formulae hold:

$$UBC \subset N$$
 and  $UBC_0 \subset N_0$ ;

both are shown to be sharp.

We begin with Dufresnoy's result.

Lemma 3.1 [1, Lemma, p. 218] (See [3, Theorem 6.1, p. 152].). Suppose that f is meromorphic in D and that there exists r, 0 < r < 1, such that S(r, f) < 1. Then

$$f^{*}(0)^{2} \leq S(r, f)r^{-2}[1 - S(r, f)]^{-1}$$

Note that our Riemann sphere is of radius 1/2, touching C from above at 0, while Dufresnoy considered the sphere of radius 1 bisected by C.

Lemma 3.2. Let f be meromorphic in D. Then the following propositions hold. (I)  $f \in \mathbb{N}$  if and only if there exists r, 0 < r < 1, such that

(3.1) 
$$\sup_{w \in D} S(r, f_w) = (1/\pi) \sup_{w \in D} \iint_{A(w, r)} f^{\#}(z)^2 dx dy < 1.$$

(II)  $f \in \mathbb{N}_0$  if and only if there exists r, 0 < r < 1, such that

(3.2) 
$$\lim_{|w| \to 1} S(r, f_w) = \lim_{|w| \to 1} \iint_{\mathcal{A}(w, r)} f^{\#}(z)^2 dx dy = 0.$$

In the proof of Theorem 3.1, the "if" parts of (I) and (II) are needed. Lemma 3.2 (I) gives a new criterion for f to be normal in D.

There exist a nonnormal holomorphic function f and r>0 for which  $S(r, f_w) < 1$  for each  $w \in D$ ; see [12, Remark, p. 226]. This function f must satisfy

$$\sup_{w\in D}S(r,f_w)=1.$$

**Proof of Lemma 3.2.** For the proof of (I) we first assume that  $f \in \mathbb{N}$  with

$$(1-|z|^2)f^{\#}(z) \leq K < \infty$$
 for all  $z \in D$ .

Then, for each  $w \in D$ ,

$$(1-|z|^2)f_w^{\#}(z) = (1-|\varphi_w(z)|^2)f^{\#}(\varphi_w(z)) \leq K, \quad z \in D.$$

Therefore, for a small r, 0 < r < 1, with  $K^2 r^2 / (1 - r^2) < 1$ ,

$$\pi S(r, f_w) = \iint_{|z| < r} f_w^{\#}(z)^2 dx dy \leq 2\pi K^2 \int_0^r \varrho (1 - \varrho^2)^{-2} d\varrho = \pi K^2 r^2 / (1 - r^2),$$

whence (3.1) follows. Conversely, let the supremum in (3.1) be S. Then, by Lemma 3.1, together with  $x/(1-x) \nearrow$  as  $0 \le x \nearrow 1$ ,

$$(1-|w|^{2})^{2}f^{*}(w)^{2} = f^{*}_{w}(0)^{2} \le r^{-2}S(1-S)^{-1}$$

for all  $w \in D$ , whence  $f \in \mathbb{N}$ .

To prove (II) we first suppose that  $f \in N_0$ . Then, for each  $\varepsilon > 0$ , there exists  $\delta$ ,  $0 < \delta < 1$ , such that

(3.3) 
$$\delta < |z| < 1 \Rightarrow (1 - |z|^2) f^{*}(z) < \varepsilon^{1/2}.$$

Choose r such that  $0 < r < \delta$  and  $r^2/(1-r^2) < 1$ . Then

 $(3.4) \qquad \qquad \delta < (r+\delta)/(1+r\delta) < |w| < 1 \Rightarrow \Delta(w,r) \subset \{\delta < |z| < 1\}$  because

 $\delta < (|w|-r)/(1-r|w|) < |z| \quad \text{for} \quad z \in \Delta(w, r).$ 

The formula (2.1), together with (3.3) and (3.4), yields that

$$\pi S(r, f_w) = \iint_{\Delta(w, r)} f^{\#}(z)^2 dx dy \leq \varepsilon \pi r^2 / (1 - r^2);$$

in fact, the non-Euclidean area of  $\Delta(w, r)$  is  $\pi r^2/(1-r^2)$ . Therefore,

 $S(r,f_w) < \varepsilon \quad \text{for} \quad (r+\delta)/(1+r\delta) < |w| < 1.$ 

Conversely, suppose that (3.2) holds. Then, for each  $\varepsilon > 0$ , there exists  $\delta$ ,  $0 < \delta < 1$ , such that

$$S(r, f_w) < \varrho$$
 for  $\delta < |w| < 1$ 

where  $0 < \varrho < 1$  and  $\varrho r^{-2}(1-\varrho)^{-1} < \varepsilon/2$ . By Lemma 3.1,

$$(1-|w|^2)^2 f^{\#}(w)^2 = f_w^{\#}(0)^2 < \varepsilon \text{ for } \delta < |w| < 1,$$

which completes the proof.

Remark. The condition (3.1) can be replaced by

$$\limsup_{|w| \to 1} S(r, f_w) < 1$$

*Proof of Theorem* 3.1. Suppose that  $f \in UBC$ . Then (2.3) of Theorem 2.2 holds; we denote by A the supremum in (2.3). Choose r, 0 < r < 1, such that

(3.5) 
$$A/[\pi \log (1/r)] < 1.$$

Since, for each  $w \in D$ , the formula (2.1) yields that

$$A \geq \iint_{\Delta(w,r)} f^{\#}(z)^2 G(z,w) dx dy \geq \pi \log(1/r) S(r, f_w),$$

it follows from Lemma 3.2, (I), together with (3.5), that  $f \in \mathbb{N}$ . Therefore UBC $\subset \mathbb{N}$ . The proof of UBC<sub>0</sub> $\subset \mathbb{N}_0$  is similar.

To prove the sharpness it suffices to observe the existence of  $f \in N_0 - BC$ . Then  $f \in N_0 - UBC_0$  and  $f \in N - UBC$ . Consider the gap series

$$f(z) = \sum_{k=1}^{\infty} a_k z^{n_k}, \quad z \in D,$$

where the sequence  $\{n_k\}$  of positive integers satisfies  $n_{k+1}/n_k \ge q > 1$  for all  $k \ge 1$ . Suppose that

$$\sum_{k=1}^{\infty} |a_k|^2 = \infty \quad \text{and} \quad \lim_{k \to \infty} |a_k| = 0.$$

Then it is known (see [10, Corollary, p. 34]) that

$$\lim_{|z| \to 1} (1 - |z|^2) |f'(z)| = 0$$

and f does not have finite radial limit a.e. on  $\{|z|=1\}$ . Therefore,  $f \in \mathbb{N}_0$ , yet  $f \notin BC$ .

# 4. Blaschke products

First of all we prove

Lemma 4.1. Suppose that  $f \in UBC$  and that g is a rational function. Then  $g \circ f \in UBC.$ 

**Proof.** There exists K > 0 such that

$$g^{\#}(z) \leq K/(1+|z|^2)$$
 for all  $z \in C$ .

Since  $(g \circ f)_w = g \circ f_w$ , it follows that

$$egin{aligned} &(g \circ f)^{\#}_{w} = (g \circ f_{w})^{\#} = (g^{\#} \circ f_{w}) |f'_{w}| \leq K f^{\#}_{w}. \ &Tig(1, (g \circ f)_{w}ig) \leq K^{2} T(1, f_{w}), \end{aligned}$$

Consequently,

$$T(1, (g \circ f)_w) \leq K^2 T(1, f_w)$$

which shows that  $g \circ f \in UBC$ .

As we shall observe later in Theorem 4.2, UBC is not closed for summation and multiplication. The family UBC resembles N at this point. However, a decisive difference between UBC and N is that, each non-zero  $f \in UBC$ , as a member of BC, admits the decomposition

(4.1) 
$$f = b_1 g/b_2,$$

where  $g \in BC$  has neither pole nor zero in D, and  $b_1(b_2$ , respectively) is the Blaschke product whose zeros are precisely the zeros (poles, respectively) of f, the multiplicity being counted. For simplicity we shall call  $b_2$  the polar Blaschke product of f. If f is pole-free, then  $b_2 \equiv 1$ .

We shall show that g of (4.1) is a member of UBC if  $f \in UBC$  as a corollary of

Theorem 4.1. Let  $f \in UBC$ , and let b be the polar Blaschke product of f. Then *bf*€UBC.

For the proof of Theorem 4.1, we first deduce the formula (4.4) in Lemma 4.2 by making use of a precise description of the first step in the Nevanlinna theory. The adjective "precise" in the preceding sentence means that there is no Landau's notation O(1).

Let

$$I(r, f) = (1/4\pi) \int_{0}^{2\pi} \log\left(1 + |f(re^{it})|^2\right) dt,$$

and let n(r, f)  $(n^*(r, f))$  be the number of the poles of f in the disk  $\{|z| < r\}$  (on the circle  $\{|z|=r\}$ ), the multiplicity being counted, 0 < r < 1. Delete from  $\{|z| < r\}$  the closed disks, with poles on the closed disk  $\{|z| \le r\}$  as centers, and with common small radii  $\varepsilon > 0$ , apply the Green formula to  $\log (1+|f|^2)$  in the resulting domain, and, finally, let  $\varepsilon \to 0$ . Then, for 0 < r < 1, the identity  $\Delta \log (1+|f|^2) = 4f^{\#^2}$  (except for poles of f) yields

(4.2) 
$$r(d/dr)I(r, f) = S(r, f) - n(r, f) - (1/2)n^*(r, f).$$

Arrange r > 0 with  $n^*(r, f) \neq 0$  as

$$0 < r_0 < \ldots < r_j < r_{j+1} < \ldots < 1$$

For each  $R, r_0 \leq R < 1$ , there is a j such that  $r_j \leq R < r_{j+1}$ . Divide both sides of (4.2) by r, and integrate from  $\varepsilon, 0 < \varepsilon < r_0$ , to R, to obtain

(4.3) 
$$I(R, f) - I(\varepsilon, f) = \int_{\varepsilon}^{R} r^{-1} S(r, f) dr - \int_{\varepsilon}^{R} r^{-1} n(r, f) dr,$$

where

$$\int_{\varepsilon}^{R} = \int_{\varepsilon}^{r_0} + \left(\sum_{p=1}^{j} \int_{r_{p-1}}^{r_p}\right) + \int_{r_j}^{R}.$$

Lemma 4.2. Let b be the polar Blaschke product of  $f \in BC$ . Then,

(4.4) 
$$T(1, f) = I(1, f) - (1/2) \log \left[ |b(0)|^2 + \lim_{z \to 0} |b(z)f(z)|^2 \right],$$

where

 $I(1, f) = \lim_{r \to 1} I(r, f).$ 

*Proof.* Suppose that 0 is a pole of order  $k \ge 0$ . Then

$$\int_{\varepsilon}^{r_0} r^{-1} n(r, f) dr = k \left( \log r_0 - \log \varepsilon \right)$$

and, in case k=0,

$$I(\varepsilon, f) \to (1/2) \log (1 + |f(0)|^2),$$

as  $\varepsilon \rightarrow 0$ , while in case k > 0,

 $I(\varepsilon, f) \sim -k \log \varepsilon + \log A$ 

as  $\varepsilon \rightarrow 0$ , where

$$A = \lim_{z \to 0} |z^k f(z)|.$$

Therefore,  $\varepsilon \rightarrow 0$ , and then  $R \rightarrow 1$  in (4.3) yield

$$T(1, f) = I(1, f) - (1/2) \log(1 + |f(0)|^2) - \log|b(0)|$$

if k=0, while if k>0, then

$$T(1, f) = I(1, f) - \log A - \log \left[\lim_{z \to 0} |z^{-k} b(z)|\right]$$
$$= I(1, f) - \log \left[\lim_{z \to 0} |b(z) f(z)|\right],$$

which completes the proof.

As an immediate consequence of (4.4) in Lemma 4.2 we obtain

Lemma 4.3. If f is holomorphic and bounded,  $|f| \leq K$ , in D, then

$$T(1, f_w) \le I(1, f_w) \le (1/2) \log (1 + K^2)$$
 for all  $w \in D$ .

*Therefore*  $f \in UBC$ .

Lemma 4.4. Let b be the polar Blaschke product of  $f \in BC$ . Then for each constant  $\alpha$ ,  $|\alpha| = 1$ ,

(4.5) 
$$T(1, \alpha bf) \leq T(1, f) + (1/2) \log 2$$

*Proof.* By (4.4) in Lemma 4.2, applied to f with g=bf, we obtain

 $T(1, f) = I(1, f) - (1/2) \log (|b(0)|^2 + |g(0)|)^2,$ 

and it is apparent that  $(\alpha g)^{\#} = g^{\#}$ . Therefore,

$$T(1, \alpha bf) = T(1, g) = I(1, g) - (1/2) \log (1 + |g(0)|^2)$$

$$\leq I(1, b) + I(1, f) - (1/2) \log (1 + |g(0)|^2) \leq (1/2) \log 2 + T(1, f) + (1/2) \log A,$$

where

$$A = (|b(0)|^2 + |g(0)|^2)/(1 + |g(0)|^2) \le 1.$$

We thus obtain (4.5).

*Proof of Theorem* 4.1. Let  $b^w$  be the polar Blaschke product of  $f_w$ . Then  $|b^w| = |b_w|$  in *D*. Actually, defining

$$\psi(z,a) = |z-a|/|1-\overline{a}z|, \quad z \in D,$$

for  $a \in D$ , one obtains

$$\psi(z, \varphi_{-w}(a)) = \psi(\varphi_w(z), a)$$

Since  $a \in D$  is a pole of order  $k \ge 1$  of f if and only if  $\varphi_{-w}(a)$  is a pole of order  $k \ge 1$  of  $f_w$ , it follows from the expression

$$|b(z)| = \prod_{j=1}^{\infty} \psi(z, a_j)$$

that

$$|b^{w}(z)| = \prod_{j=1}^{\infty} \psi(z, \varphi_{-w}(a_j)) = |b \circ \varphi_{w}(z)|$$

for all  $z \in D$ .

 $g_w = b_w f_w = \alpha b^w f_w$ . It follows from (4.5) in Lemma 4.4, applied to  $f_w$ , that

$$T(1, g_w) \le T(1, f_w) + (1/2) \log 2$$
 for all  $w \in D$ .

Consequently,  $g \in UBC$ .

Corollary 4.1. If  $f \in UBC$  with (4.1), then  $g \in UBC$ . The converse is false.

*Proof.* By Theorem 4.1,  $b_1g = b_2f \in UBC$ . By Lemma 4.1,

$$h \equiv 1/(b_1 g) = (1/g)/b_1 \in \text{UBC}.$$

Again, by Theorem 4.1,  $1/g = b_1 h \in \text{UBC}$ , whence, by Lemma 4.1 once more,  $g \in \text{UBC}$ . To prove that the converse is false we remember that there exist Blaschke products  $b_1$  and  $b_2$  with no common zero in D such that the quotient  $b_1/b_2$  is not normal in D; see, for example, [11] and [13]. Therefore,  $g \equiv 1 \in \text{UBC}$ , yet  $f \equiv b_1 g/b_2 \notin \text{UBC}$  because  $f \notin \mathbb{N}$ .

Finally in this section we prove

Theorem 4.2.

- (I) There exist  $f \in UBC$  and  $g \in UBC$  such that  $fg \notin N$ .
- (II) There exist  $f \in UBC$  and  $g \in UBC$  such that  $f + g \notin N$ .

Combined with the inclusion formula UBC $\subset$ N, Theorem 4.2 asserts that UBC is not closed for the product and the sum.

Lemma 4.5. Let  $f \in UBC$ , and let g be a holomorphic function bounded from below and above in D:  $0 < m \leq |g| \leq M < \infty$ .

Then  $fg \in UBC$ .

*Proof.* By Lemma 4.3, 
$$g \in UBC$$
. Set

 $K = (1 + M^2)/\min(1, m^2).$ 

Then,

$$1 + |fg|^2 \ge K^{-1}(1 + |f|^2)(1 + |g|^2),$$

whence

(4.6) 
$$(fg)^{\#2} \leq \frac{|f'g|^2 + 2|ff'gg'| + |fg'|^2}{K^{-2}(1+|f|^2)^2(1+|g|^2)^2} \leq K^2(f^{\#2} + 2f^{\#}g^{\#} + g^{\#2}).$$

On the other hand, the Cauchy inequality, together with (2.1), yields

$$\left[\iint_{A(w,r)} f^{\#}(z) g^{\#}(z) dx dy\right]^{2} \leq \pi^{2} S(r, f_{w}) S(r, g_{w})$$

for all  $w \in D$  and all r, 0 < r < 1. Consequently, by (2.1), together with (4,6), we obtain

$$\begin{aligned} \pi S(r, (fg)_w) &\leq \pi K^2 \{ S(r, f_w) + S(r, g_w) + 2[S(r, f_w) S(r, g_w)]^{1/2} \} \\ &\leq 2\pi K^2 [S(r, f_w) + S(r, g_w)]. \end{aligned}$$

Therefore

$$T(1, (fg)_w) \leq 2K^2[T(1, f_w) + T(1, g_w)],$$

whence  $fg \in UBC$ .

**Proof of Theorem 4.2.** Again we consider the Blaschke products  $b_1$  and  $b_2$  such that  $b_1/b_2$  is not normal. To prove (I), set  $f=b_1$  and  $g=1/b_2$ . Then  $f\in UBC$  and  $g\in UBC$ , yet  $fg\notin N$ . To prove (II) we set  $f=2/b_2$  and  $g=(b_1-2)/b_2$ . Then  $f\in UBC$ . Since  $1 < |b_1-2| < 3$  and  $1/b_2 \in UBC$ , it follows from Lemma 4.5 that  $g\in UBC$ . However,  $f+g=b_1/b_2\notin N$ .

# 5. Harmonic majorant

Let  $u \not\equiv -\infty$  be a subharmonic function in a domain  $\mathscr{D} \subset C$ . We call h a harmonic majorant of u in  $\mathscr{D}$  if h is harmonic and  $u \leq h$  in  $\mathscr{D}$ . If u has a harmonic majorant in  $\mathscr{D}$ , then u has the least harmonic majorant  $u^{\hat{}}$  in  $\mathscr{D}$ , that is,  $u^{\hat{}}$  is a harmonic majorant of u in  $\mathscr{D}$  and  $u^{\hat{}} \leq h$  for each harmonic majorant h of u in  $\mathscr{D}$ . In the special case  $\mathscr{D} = D$ ,  $u^{\hat{}}$  is given by the limiting function

$$u^{\hat{}}(z) = \lim_{r \to 1} (1/2\pi) \int_{0}^{2\pi} u(re^{it}) \frac{r^2 - |z|^2}{|re^{it} - z|^2} dt, \quad z \in D.$$

Theorem 5.1. Let f be holomorphic in D. Then the following criteria hold for the subharmonic function  $F=(1/2) \log (1+|f|^2)$  in D. (I)  $f \in UBC$  if and only if

$$\sup_{w \in \mathcal{P}} \left( F^{(w)} - F(w) \right) < \infty.$$

(II)  $f \in UBC_0$  if and only if

$$\lim_{|w|\to 1} \left( F^{(w)} - F(w) \right) = 0.$$

Lemma 5.1. Suppose that a subharmonic function u in D has a harmonic majorant in D. Then  $(u \circ \varphi_w)^2 = u^2 \circ \varphi_w$  for each  $w \in D$ .

*Proof.* Since  $u \circ \phi_w$  is a harmonic majorant of  $u \circ \phi_w$  for each  $w \in D$ , it follows that

$$(5.1) (u \circ \varphi_w)^{\hat{}} \leq u^{\hat{}} \circ \varphi_w$$

Apply (5.1) to  $v = u \circ \varphi_w$  and  $\varphi_{-w}$  instead of u and  $\varphi_w$ , respectively. Then

$$u^{\hat{}} = (v \circ \varphi_{-w})^{\hat{}} \leq v^{\hat{}} \circ \varphi_{-w},$$

whence

$$u^{\circ} \circ \varphi_{w} \leq v^{\circ} = (u \circ \varphi_{w})^{\circ}.$$

Combining this with (5.1) we have the equality.

**Proof of Theorem 5.1.** (I) There exists K>0 for  $f \in UBC$  such that  $K \ge T(1, f_w)$  for all  $w \in D$ . On the other hand, by Lemma 5.1,

$$I(1, f_w) = (F \circ \varphi_w)^{\hat{}}(0) = F^{\hat{}} \circ \varphi_w(0) = F^{\hat{}}(w),$$

whence

$$K \ge T(1, f_w) = I(1, f_w) - (1/2) \log \left( 1 + |f_w(0)|^2 \right) = F^*(w) - F(w) \quad \text{for all} \quad w \in D.$$

The converse is also true, so that (I) is established. The proof of (II) is similar.

Remarks. (a) We may replace F in the UBC criterion (I) by  $\log^+ |f| = \max(\log |f|, 0)$  because

$$\log^+ |f| \le F \le \log^+ |f| + (1/2) \log 2.$$

(b) Suppose that  $f \in BC$  is pole-free. Since  $F^{\circ}$  exists and since the identity

$$T(1, f_w) = F^{(w)} - F(w), \quad w \in D,$$

is also true for the present f,

$$F(w) = F^{(w)} - T(1, f_w), \quad w \in D,$$

represents the Riesz decomposition of the subharmonic function F which has a harmonic majorant in D. The potential  $T(1, f_w)$  is continuous in the present case because the same is true of F and  $F^{\uparrow}$ . The problem is that  $T(1, f_w)$  is or is not continuous depending on whether f admits poles in D. If  $T(1, f_w)$  is proved to be continuous in Dfor each meromorphic  $f \in BC$ , then Theorem 2.1 is immediate.

A subdomain  $\mathscr{D}$  of C is called a UBC domain if each holomorphic function f in D which assumes only the values in  $\mathscr{D}$  is of UBC. We next consider a criterion for a holomorphic f in D to be of UBC.

Theorem 5.2. Suppose that the function  $H(z)=(1/2)\log(1+|z|^2)$  has a harmonic majorant in  $\mathcal{D} \subset C$ , and suppose that  $H^{-}-H$  is bounded in  $\mathcal{D}$ . Then  $\mathcal{D}$  is a UBC domain. The converse is true under the condition that the universal covering surface of  $\mathcal{D}$  is conformally equivalent to D.

**Proof.** Let  $F = (1/2) \log (1+|f|^2)$  for a holomorphic  $f: D \rightarrow \mathcal{D}$ . The first half follows from  $F = H \circ f$ ,  $F^{\uparrow} \cong H^{\uparrow} \circ f$  and Theorem 5.1 (I). To prove the converse we let p be the projection of the universal covering surface  $\mathcal{D}^{\infty}$  of  $\mathcal{D}$  onto  $\mathcal{D}$ , and let q be a conformal homeomorphism from D onto  $\mathcal{D}^{\infty}$ . Then  $f = p \circ q \in \text{UBC}$ . Since F = $(1/2) \log (1+|f|^2)$  and  $F^{\uparrow}$  both are automorphic with respect to the covering transformations, namely, automorphic with respect to a group of conformal homeomorphisms from D onto D,  $H^{\uparrow}(z) = F^{\uparrow}(f^{-1}(z))$  is well-defined in  $\mathcal{D}$ . Consequently,

 $F^{-}-F \leq K$  in D by Theorem 5.1 (I)

implies

$$H^{-}-H \leq K$$
 in  $\mathcal{D}$ .

# 6. Riemannian image of finite spherical area

In this short section we prove

Theorem 6.1. Suppose that a meromorphic function f in D satisfies

$$\iint_{D} f^{\#}(z)^2 dx dy < \infty.$$

Then  $f \in UBC \cap N_0$ .

See the remark at the end of the next section.

**Proof** of Theorem 6.1. For the proof of  $f \in N_0$  we set

$$A = \iint_{D} f^{\#}(z)^2 dx dy,$$

and we fix r, 0 < r < 1, arbitrarily. Since

$$\lim_{\delta \to 1} \iint_{\delta < |z| < 1} f^{\#}(z)^2 dx dy = 0,$$

it follows that, for each  $\varepsilon > 0$ , there exists  $\delta$ ,  $0 < \delta < 1$ , such that

$$\iint_{\delta < |z| < 1} f^{\#}(z)^2 dx dy < \pi \varepsilon.$$

Since

$$(r <)(r+\delta)/(1+\delta r) < |w| < 1 \Rightarrow \Delta(w, r) \subset \{\delta < |z| < 1\},$$

it follows that

$$\pi S(r, f_w) = \iint_{\Delta(w, r)} f^{\#}(z)^2 dx dy < \pi \varepsilon,$$

or  $S(r, f_w) < \varepsilon$ . By Lemma 3.2 (II), f is a member of N<sub>0</sub>.

For the proof of  $f \in UBC$ , we first note that

$$(1 - |z|^2) f_w^{\#}(z) = (1 - |\varphi_w(z)|^2) f^{\#}(\varphi_w(z)) \le K$$

for all z and w in D, because  $f \in \mathbb{N}$ . Fix R, 0 < R < 1, and then let R < r < 1. We have then

$$T(r, f_w) = T(R, f_w) + \int_R^r t^{-1} S(t, f_w) dt \equiv \alpha + \beta.$$

By (2.5) in Lemma 2.2, (6.1)

$$\pi \alpha = \iint_{|z| < R} f_w^{\#}(z)^2 \log \left( \frac{R}{|z|} \right) dx dy \leq 2\pi K^2 \int_0^R \varrho \left( 1 - \varrho^2 \right)^{-2} \log \left( \frac{R}{\varrho} \right) d\varrho \equiv C_1(R) < \infty.$$

On the other hand, since

$$\pi t^{-1} S(t, f_w) \leq R^{-1} A \quad \text{for} \quad R < t < r,$$
$$\pi \beta \leq (1 - R) R^{-1} A \equiv C_2(R) < \infty,$$

it follows that

which, together with (6.1), yields that

$$\pi \sup_{w \in D} T(1, f_w) \leq C_1(R) + C_2(R).$$

This completes the proof of Theorem 6.1.

Remark. There exists a holomorphic function f in D such that  $f \notin N$ , yet

$$\iint_{D} |f'(z)|^p dx dy < \infty \quad \text{for all} \quad p, \ 0 < p < 2;$$

see [9]. Therefore  $f \notin UBC$ , yet

(6.2) 
$$\iint_D f^{\#}(z)^p \, dx \, dy < \infty \quad \text{for all} \quad p, \ 0 < p < 2.$$

In other words, condition (6.2) for meromorphic f does not necessarily assure that  $f \in UBC$ .

## 7. BMOA and VMOA

Let |J| be the linear Lebesgue measure of a subarc J of the circle  $\Gamma = \{|z|=1\}$ . For each f of complex  $L^1(\Gamma)$  we set

$$J(f) = (1/|J|) \int_{f} f(e^{it}) dt,$$

called the mean of f on J. Then f is said to have bounded mean oscillation on  $\Gamma$ , in notation,  $f \in BMO(\Gamma)$ , if and only if the mean oscillation J(|f-J(f)|) of f on J, the mean of |f-J(f)| on J, remains bounded as J ranges over all subarcs of  $\Gamma$ . Furthermore, f is said to have vanishing mean oscillation on  $\Gamma$ , in notation,  $f \in VMO(\Gamma)$ , if and only if  $f \in BMO(\Gamma)$  and for each  $\varepsilon > 0$  there exists  $\delta > 0$  such that

$$|J| < \delta \Rightarrow J(|f - J(f)|) < \varepsilon.$$

For the properties of BMO( $\Gamma$ ) and VMO( $\Gamma$ ), see [6] and [8].

Let  $H^p$  be the Hardy class consisting of f holomorphic in D such that  $|f|^p$  has a harmonic majorant in D, where  $0 . Each <math>f \in H^p$  has a boundary value  $f(e^{it}) \in C$ , being the angular limit, at a.e. point  $e^{it} \in \Gamma$  and  $f(e^{it})$  is of  $L^p(\Gamma)$ . For  $f \in H^p$ , the norm  $||f||_p \ge 0$  is defined by

$$||f||_p^p = (|f|^p)^{(0)} = (1/2\pi) \int_0^{2\pi} |f(e^{it})|^p dt.$$

By definition ([8, p. 90]; see also [2, Theorem 3.1, p. 34]),

$$BMOA = \{ f \in H^1; f(e^{it}) \in BMO(\Gamma) \},\$$
$$VMOA = \{ f \in H^1; f(e^{it}) \in VMO(\Gamma) \}.$$

It is known (see [8, Theorem, p. 36]) that if  $f \in BMOA$ , then for each  $p, 1 \le p < \infty$ ,

(7.1) 
$$\sup_{w \in D} \left( |f - f(w)|^p \right)^{(w)} < \infty.$$

An immediate consequence of (7.1) is that  $f \in H^p$  for all p, because, for  $p \ge 1$ ,

(7.2) 
$$(|f|^p)^{\hat{}} \leq 2^{p-1} (|f-f(0)|^p)^{\hat{}} + 2^{p-1} |f(0)|^p,$$

where  $(|f-f(0)|^p)$  exists by (7.1), namely,

 $\big(|f-f(0)|^p\big)^{\widehat{}}(0)<\infty.$ 

Conversely, if  $f \in H^1$  and if (7.1) is valid for a certain  $p, 1 \leq p < \infty$ , then  $f \in BMOA$ .

Therefore, a holomorphic function f in D is of BMOA if and only if

(7.3) 
$$\sup_{w \in D} \|f_w - f(w)\|_2 < \infty.$$

Actually, setting g=f-f(w) and considering Lemma 5.1, one calculates that

$$||f_w - f(w)||_2^2 = (|g \circ \varphi_w|^2)^{(0)} = (|g|^2 \circ \varphi_w)^{(0)}$$
  
=  $(|g|^2)^{\circ} \circ \varphi_w(0) = (|g|^2)^{(w)} = (|f - f(w)|^2)^{(w)}.$ 

A straightforward modification of the proof of [8, Theorem, p. 36] yields the VMOA version:

If  $f \in VMOA$ , then for each  $p, 1 \le p < \infty$ , (7.4)  $\lim_{|w| \to 1} \left( |f - f(w)|^p \right)^*(w) = 0.$ 

Conversely, if  $f \in BMOA$  and (7.4) for a certain p,  $1 \le p < \infty$ , holds, then  $f \in VMOA$ .

However, it must be emphasized that the condition  $f \in BMOA$  in the preceding sentence can be dropped. Namely, if a holomorphic f in D satisfies (7.4) for a p,  $1 \le p < \infty$ , then  $f \in VMOA$ . To ascertain this it suffices to show that  $f \in BMOA$ under the condition (7.4). First, there exists  $\delta$ ,  $0 < \delta < 1$ , such that

(7.5) 
$$\delta < |w| < 1 \Rightarrow \left( |f - f(w)|^p \right)^{(w)} < 1.$$

On replacing 0 in (7.2) by  $r_0 = (1+\delta)/2$ , we observe that  $f \in H^p$ . Now, for w,  $|w| \leq r_0$ ,

$$(|f-f(w)|^p)^(w) \le 2^{p-1}(|f|^p)^(w) + 2^{p-1}|f(w)|^p.$$

The right-hand side is apparently bounded for  $|w| \leq r_0$ , which, together with (7.5), shows that (7.1) is valid. Consequently,  $f \in BMOA$ .

By the observation in the preceding paragraph we can now conclude that a holomorphic function f in D is of VMOA if and only if

(7.6) 
$$\lim_{|w| \to 1} \|f_w - f(w)\|_2 = 0,$$

a VMOA counterpart of (7.3).

We propose

Theorem 7.1. The inclusion formulae

BMOA  $\subset$  UBC and VMOA  $\subset$  UBC<sub>0</sub>

hold.

For the proof we first consider the holomorphic analogue  $T^*(r, f)$  of the Shimizu-Ahlfors characteristic function basing on the identity

(7.7) 
$$\Delta(|f|^2) = 4|f'|^2$$

for f holomorphic in D instead of  $\Delta \log (1+|f|^2)=4f^{\#2}$ .

For f holomorphic in D we set

$$M(r, f) = \left[ (1/2\pi) \int_{0}^{2\pi} |f(re^{it})|^2 dt \right]^{1/2}, \quad 0 < r \leq 1,$$

where  $M(1,f) = \lim_{r \to 1} M(r,f)$ . If  $f \in H^2$ , then  $||f||_2 = M(1,f)$ . Since (7.7) holds, the Green formula yields

$$r(d/dr)[M(r, f)^2] = A(r, f),$$

where

$$A(r,f) = (2/\pi) \iint_{|z| < r} |f'(z)|^2 dx dy$$

is the holomorphic analogue of S(r, f). Setting

$$T^*(r, f) = \int_0^r t^{-1} A(t, f) dt, \quad 0 < r \le 1,$$

one obtains the formula

(7.8) 
$$M(r, f)^2 - |f(0)|^2 = T^*(r, f), \quad 0 < r \le 1.$$

Applying (7.8) to  $g = f_w - f(w)$  (g(0) = 0), one observes from (7.3) and (7.6), together with

$$T^*(r, g) = T^*(r, f_w)$$

that

$$f \in BMOA$$
 if and only if  $\sup_{w \in D} T^*(1, f_w) < \infty$ ,

while

$$f \in VMOA$$
 if and only if  $\lim_{|w| \to 1} T^*(1, f_w) = 0.$ 

Since

$$T^*(r, f) = (2/\pi) \iint_{|z| < r} |f'(z)|^2 \log (r/|z|) dx dy$$

for f holomorphic in D and for  $0 < r \le 1$ , the analogue of (2.5) holds, and it is now an easy exercise to obtain the following holomorphic counterpart of Theorem 2.2.

Lemma 7.1. Let f be holomorphic in D. Then the following propositions hold. (I)  $f \in BMOA$  if and only if

$$\sup_{w\in D}\iint_{D}|f'(z)|^{2}G(z,w)dxdy<\infty.$$

(II)  $f \in VMOA$  if and only if

$$\lim_{|w|\to 1} \iint_D |f'(z)|^2 G(z,w) dx dy = 0.$$

Lemma 7.1 (I) is known [6, Proposition 7.2.13, p. 85]. Theorem 7.1 now follows from Theorem 2.2 and Lemma 7.1, because  $|f'| \ge f^{\#}$  for f holomorphic in D.

Remark. At this point we remark that if f is holomorphic in D and if

$$\iint_{D} |f'(z)|^2 dx dy < \infty,$$

then  $f \in VMOA$ . By the theorem at the bottom of [8, p. 50] it suffices to show that

$$\lim_{|J|\to 0} \mu_f(R(J))/|J| = 0,$$

where  $|J| < \pi$ , and R(J) is the annular trapezoid

and

$$\{z\in D; \ z/|z|\in J, \ 1-|z|\leq |J|/(2\pi)\},\$$

$$\mu_f(R(J)) = \iint_{R(J)} (1-|z|) |f'(z)|^2 dx dy.$$

Since  $1-|z| \leq |J|(2\pi)$ , it follows that

$$\mu_f(R(J)) \leq [|J|/(2\pi)] \iint_{R(J)} |f'(z)|^2 dx dy \leq [|J|/(2\pi)] \iint_{1-|J|/(2\pi) < |z| < 1} |f'(z)|^2 dx dy.$$

Therefore  $\mu_f(R(J))/|J| \to 0$  as  $|J| \to 0$ .

A natural question then arises: Can the conclusion in Theorem 6.1 be replaced by  $f \in UBC_0$ ?

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