CRITICAL REVIEW



Gaining a better understanding of the extrusion process in fused filament fabrication 3D printing: a review

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Abstract

Additive manufacturing is a promising tool that has proved its value in various applications. Among its technologies, the fused filament fabrication 3D printing technique stands out with its potential to serve a wide variety of applications, ranging from simple educational purposes to industrial and medical applications. However, as many materials and composites can be utilized for this technique, the processability of these materials can be a limiting factor for producing products with the required quality and properties. Over the past few years, many researchers have attempted to better understand the melt extrusion process during 3D printing. Moreover, other research groups have focused on optimizing the process by adjusting the process parameters. These attempts were conducted using different methods, including proposing analytical models, establishing numerical models, or experimental techniques. This review highlights the most relevant work from recent years on fused filament fabrication 3D printing and discusses the future perspectives of this 3D printing technology.

Keywords Fused deposition modeling \cdot Fused filament fabrication \cdot Experimental analysis \cdot Simulation \cdot Melt extrusion \cdot 3D printing

1 Introduction

Over the past few years, additive manufacturing (AM) technologies have developed rapidly. With this technology, 3D structures are produced by laying off 2D layers sequentially along the vertical axis. Presently, AM is considered to be a rapidly growing area that has made great technological

progress since it was first invented by Hull [1]. Thereafter, several AM techniques using materials such as metals, polymers, and ceramics have evolved and have been developed by many researchers throughout the world.

There are different advantages of AM technologies over the traditional manufacturing processes, such as the time of designto-manufacturing is lower, waste materials are lower, flexibility

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in produced designs [2], complexity in manufactured geometries [3–5], and ability to introduce internal structures without a notable increase in the cost or turnaround time [2]. Moreover, AM provides great promise in terms of sustainable lightweight construction and the fabrication of complex multi-functional material structures in a single processing phase [6–9].

One of the well-known AM technologies is Fused Deposition Modeling (FDMTM). It was first proposed by Stratasys, and Scott Crump led the FDMTM technology development at Stratasys in 1989 [10]. This has evolved at double-digit annual levels and has been used not only for research purposes but also in various important sectors such as engineering, science, quick prototyping, medicine, and industry [11]. For this technique, objects are produced by melting a thermoplastic polymer, to extrude it through a nozzle, and then depositing the melted material layer-by-layer onto a build plate and the previously printed layers to finally create a replica of the digital model. The robustness of FDMTM and the cost-competitive design were the key reasons for its tremendous success in the industry [11].

This technology was also known by the term Fused Filament Fabrication (FFF). This term spread in the field after the expiration of the Stratasys FDMTM patent, especially because the FDMTM term was registered as a trademark for Stratasys. Mainly led by the Reprap society, a wide variety of open-source software and hardware was readily available. This enabled this technology to become more affordable, and more accessible and user-friendly, which enabled anyone with a basic knowledge of computer aided design (CAD) to facilely use the FFF 3D printer. FFF has become favored in a variety of sectors, ranging from educational institutes and the medical sector to aircraft manufacturers and military corporations. The use of FFF has become a necessity throughout the phases of development, prototyping, visual aid, and presentations.

Over the past few years, crucial technical improvements have been achieved in FFF technology. These advancements can be divided into two categories: (1) process development, and (2) material development. Remarkable attention has been focused on the advancement of the speed of printing, the maximum print dimensions, and the maximum production rate. Additionally, FFF has been shown to have significant potential for 3D printing with different materials and composites, such as continuous and discontinuous fiber-reinforced polymers and nanoscale composites in many different applications, varying from small scale prototype to large scale industrial applications [12, 13]. With this wide variety of thermoplastic materials and composites that can be printed requiring only a few upgrades and modifications to the printer itself, FFF 3D printing became one of the most widely used AM technologies.

Despite the significant improvements and the progress in FFF technologies, it is still highly empirical and requires calibration. Additionally, optimizing the printing process parameters is mostly done experimentally. Previous reviews have

focused more on discussing published work that aimed to optimize the process parameters using the experimental approach. Chohan and Singh [14], Jaisingh et al. [15], Harris et al. [16], and Popescu et al. [17] reviewed numerous publications to gain a greater understanding of the dependency of the FFF process parameters and the printing material on the mechanical properties of the final part. They also investigated the impact of various process parameters (individually and combined) on the mechanical behavior of the specimen. The scientific community is working rigorously to develop models that can predict materials' behavior upon printing. Furthermore, many researchers are working on correlating the process parameters during 3D printing with the produced product in terms of functional properties, such as mechanical integrity, and appearance such as surface finish.

This review provides an overview of various studies that aimed to improve our understanding of the process of the FFF 3D printing method, by proposing models that can predict the printing behavior of a material based upon its properties, such as thermal and rheological. Moreover, we will specifically focus on the extrusion system in the FFF 3D printer. Firstly, the main principles that govern this system will be explained and discussed. Then, recently published work on the extrusion process and the relationship between the performance of this process with the quality of the produced objects will be discussed and outlined. Finally, the future aspects of this technology and the key topics that will further guide the development of this 3D printing technology will be discussed.

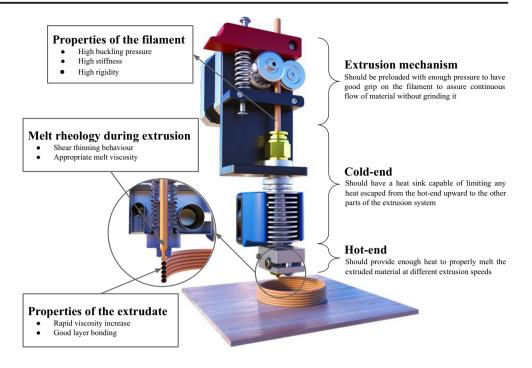
2 The heart of the FFF 3D printer

The extrusion system in the FFF 3D printer is a key player in this process. This part of the printer consists of several sections: (1) A motor for extruding the filament, (2) a barrel through which the filament flows without melting, (3) a heating block in which the filament is melted, and (4) the nozzle in which the cross-sectional area of the flowing material is changed from the filament to printed road size [18]. Those sections are illustrated in Fig. 1.

The part that initializes the extrusion process is one of the main components that ensure a continuous flow of material [18]. This is vital for assuring the best quality of the produced parts. In a conventional FFF 3D printer, a stepper motor, either with or without a step-down gear, is used. Subsequently, it is connected to a driving gear, which is in direct contact with the filament on the one side and an idler on the other side. The pressure caused by this configuration on the filament must be adjusted correctly. Excessive pressure and high extrusion speeds can cause grinding of the filament, which can occur when using brittle filaments. On the other hand, insufficient pressure can cause slipping between the filament and the driving gear, which will cause inconsistent material flow [19].



Fig. 1 Detailed construction of the extrusion system in an FFF 3D printer highlighting the main features required for proper material extrusion



The material is then fed into a barrel, which typically functions as a barrier between the hot and the cold parts in the extrusion system and is mostly designed as a heat sink and is equipped with a fan. The optimum design of the barrel is crucial for preventing heat from escaping upward from the hot to the cold section [20]. However, if this was not prevented, it would then cause the filament to expand due to heat and it would get stuck inside the parallel, which will stop the material flow. Additionally, preserving the material in a nonmelted condition would aid with obtaining a successful extrusion process. This is due to the use of non-melted portion of filament as a piston to push the melted part through the nozzle [21, 22]. However, the reduction in the stiffness of the nonmelted part, due to softening caused by excessive heating, will result in devastating effects on the continuity of the material flow.

The heating block is the part of the extruder in which the material is melted. In this 3D printing technology, thermoplastic polymers or composites are used [23]. These materials exhibit a change in their physical state upon heating above the glass transition temperature (T_g) and this phenomenon is reversible [8]. This process is caused by the van der Waals weak forces and without the generation of new chemical bonding with the polymer matrix [24]. However, it is important to note that thermoplastics have very low heat transfer properties. Thus, the design of the heating block should assure sufficient heating of the material to enable that the required degree of material melting is reached. This can be achieved by increasing the length of the heating block to increase the material's residence time while under extrusion [25].

The final part of the extrusion system is the nozzle. This part is directly connected to the heating block as it should be heated at the same temperature. In this part, the cross-sectional area of the material is reduced. Normally, in typical FFF printers, the feedstock material is in the form of filaments with a cross-sectional diameter of 1.75 or 2.85 mm. Thus, the nozzle has an input diameter that is similar to the one for the filament. However, the output of the nozzle varies with the diameter and ranges between 0.15 and 1.00 mm [26]. The nozzle output diameter has a direct effect on the accuracy and surface finish of the produced objects, as smaller diameters produce objects with fine details and high resolution. Nonetheless, it has a negative effect on the printing speed as a smaller diameter causes a lower material flow rate. Moreover, the nozzle's diameter size has a great effect on the extrusion pressure required for a continuous material flow. The maximum pressure that can be delivered by the extrusion mechanism should be taken into consideration when selecting the melting temperature (T_m) and extrusion speed as they are the key players in the success of this part of the process [25].

To summarize the extrusion process, a thermoplastic polymeric material is fed in the form of a filament into the extrusion system by a stepper motor. This filament acts as a piston to assure a continuous flow of material. Then, the material is heated just above its melting point. This is very important to avoid over heating of the material which may cause unwanted oozing or over extrusion during non-printing and printing movements, respectively. Finally, the material exits from the nozzle into a smaller cross-sectional area. During this process, understanding the change in the material's melting and



rheological behavior is crucial [27]. An ideal material should show high stiffness to assure optimum material feeding. Subsequently, this material should exhibit rapid changes in its viscosity with heating and during extrusion to minimize the required feeding pressure. Finally, this material should show rapid increase in viscosity upon extrusion to assure the preservation of the geometry of the 3D printed structure. Thus, thermoplastic polymers are widely used and act like a shearthinning material with excellent viscoelastic behavior upon heating and cooling [24]. The shear-thinning behavior describes a material with the reverse relationship between the viscosity and the shear rate. The viscoelastic behavior includes two main parameters which are the storage modulus and the loss modulus. When the storage modulus has a larger value than the loss modulus, the material becomes more solid-like, while when the loss modulus is larger, then the material acts more like a liquid-like one. After melting, the viscosity of the material decreases drastically. Moreover, during extrusion, the material is exposed to a high shear rate due to the decrease in the nozzle's diameter; this causes an even greater decrease in the viscosity of the material [28]. Finally and after extrusion, this high shear vanishes upon exiting the nozzle's output. Additionally, the material is quenched by the environmental temperature which is typically much lower than the nozzle's temperature. Thus, the material retains its high viscosity and solid-like behavior [27]. However, it is very important to have a sufficient time for proper diffusion between the deposited material and the previously printed layer. During the printing, the high temperature of the material being deposited acts as a heater that partially remelts the previous layer for a small portion of the time. This is key in order to achieve a temperature above T_g and the crystalline melting temperature [29]. This condition initiates the welding and polymer fusion process between the two layers. This thermally driven process is called reptation, which is a model describing the polymer chains when melted as if moving within a tube that represents the topological constraints imposed by entanglements with other chains [30]. This process is highly affected by melt anisotropy and the developed crystal morphology. Thus, the non-isothermal process that occurs during 3D printing affects greatly the polymer's solidification behavior. This can be seen in non-crystalline polymer melts cooling below T_g or semi-crystalline ones that nucleates and crystallize between T_m and T_g [31]. The crystallization behavior in semi-crystalline polymer has a great effect on layers deformation during printing. This is due to the effect of the crystallization process which induces dimensional variations [32]. A possible solution to reduce this effect is by the addition of fillers materials that slow down the crystallization process as can be seen in a work done by Fitzharris et al. [33]. Moreover, all the previously mentioned aspects play a vital role in improving the mechanical properties of the 3D printed objects.



3 Gaining a better understanding of the extrusion process in the FFF 3D printer

As many research groups have investigated the phenomena that are related to the extrusion process during 3D printing, this section will divide their work into two categories: (1) previous work that discussed the extrusion process inside the extruder, and (2) previous work that discussed the material behavior after being extruded and during the construction of the 3D printed part. Additionally, the included articles in this review were summarized in the supplementary table ST1.

3.1 Previous work discussing the extrusion process inside the extruder

There has been a great interest in understanding the extrusion process in FFF 3D printing since the spread of commercial machines that were provided by Stratasys. An example of such research can be seen in several articles published in the early 2000s. Bellini et al. [34] analyzed the response of the extrusion system to better understand its behavior, which would enable the design of a control system to control the material flow. After deriving an analytical model, they established a model based on dynamic systems modeling to study the response of the system based on a defined input. A comparison was made between the results obtained from this model and the experimental data. It was concluded that the slippage between the filament and the extruder's rollers caused the steady-state error in the system. On the other hand, the limitation in the motor torque, power, and the temperature variation in a liquefier, which directly affect the viscosity of the polymer, is considered to be the cause for the time delay in the response.

Moreover, Ramanath et al. [35] numerically analyzed the velocity gradient, pressure drop, and thermal behavior and compared these results with previously published analytical models. The polymer under investigation in this study was polycaprolactone (PCL), with an intended application of producing scaffolds for biomedical applications. Based on their results, it was found that the liquefier's temperature and geometry have a direct effect on the extrusion process. The material was melted after passing 34% of the total liquefier length. Additionally, Mostafa et al. [36] conducted a numerical and experimental analysis of Acrylonitrile butadiene styrene (ABS) loaded with iron particles. The numerical simulation was conducted on computational fluid dynamics (CFD) software with a focus on main parameters such as temperature, pressure drop, and flow velocity.

Moreover, Monzón et al. [37] considered the possibility of extrusion using fine nozzle diameters (i.e., 0.05 mm). They used ABS as a testing material. Their work included establishing an analytical model and experimental analysis focusing on swelling. They used a conventional FFF printer to extrapolate

the relevant conclusions when using fine nozzles. The nozzle and the envelope temperature were shown to be a key contributor to the die swelling; however, the nozzle temperature demonstrated a more significant effect. Additionally, it was found that there was a temperature variation along the nozzle, with a lower temperature found at the nozzle exit. This was caused by the nozzle's design and the location of the heating element. A swelling diameter factor, which represents the ratio between the nozzle's diameter and extrudate's diameter after the extrusion process, was proposed for this extrapolation. This factor was estimated to be equal to 1.249. The calculations showed that using such a fine nozzle decreases the volume of flow by 215 times when compared with a conventional system; (i.e., 0.46 mm) diameter.

After the expiration of the Stratasys FDMTM patent in 2010, open-source 3D printers started to be used by researchers and many publications on these systems arose [19]. This greatly benefited from the work done by Bowyer's and his colleagues, which developed into a global open-source society called the RepRap project [38]. The relatively cheap hardware and open-source software enabled researchers to contribute more to the development of the 3D printing process. This was limited using the commercial FDMTM 3D printers provided by Stratasys. Ortega et al. [39] designed a special nozzle equipped with a temperature and pressure sensor to investigate the process parameter and their effects on the extrudate swell and shape. They found that a higher swell was caused by a higher shear rate. This is because of the material's short residence time inside the nozzle. Additionally, they provided CFD results for the process, which corroborated the experimental data.

Anderegg et al. [40] redesigned the nozzle segment to equip it with a pressure sensor and double the temperature sensors along the liquefier. Adding the pressure sensor was very useful for understanding the relationship between the pressure inside the liquefier with time. The experimental data recorded showed a sigmoidal relationship between the pressure and time at the beginning of extrusion, while an exponential decaying one at the end of the extrusion. Additionally, a lower shear rate was observed at the beginning of the extrusion process which could negatively affect shear sensitive material such as ones reinforced with fibers. Moreover, it enabled a comparison between the experimental measurements and calculated values from previously developed analytical models. This comparison showed a 27% deviation which was caused by limitations in the parameters of these models such as nozzle geometry, isothermal and steady-state assumptions among others. Another important observation was the fluctuation in both temperature and pressure while the system was in an idle state. The fluctuation in the temperature was caused by the control system, which regulates it. This is typically a proportional-integral-derivative(PID) controller and the selection of proper parameters plays a vital role in lowering these fluctuations. On the other hand, the fluctuation in pressure was caused by temperature fluctuations as the material expands during the heating cycles.

Tlegenov et al. [41] introduced a method for detecting the nozzle clogging using a vibration sensor. For this purpose, an acceleration sensor was mounted on the extruder that has a fixed position while the printing platform has the ability to move in three axes. In this study, two types of extruders were used: direct and Bowden extrusion systems. Moreover, ABS, Polylactic acid (PLA), and flexible filaments, which demonstrate different mechanical properties, were used to examine the efficiency of each extrusion system. It was found that ABS was less sensitive to the nozzle's temperature and thus showed less clogging during extrusion than other filaments. Additionally, the Bowden configuration showed a greater likelihood of clogging when compared with the direct extrusion configuration.

Serdeczny et al. [25] designed an experimental setup that mainly depends on measuring the relationship between the feeding force and input filament speed at various nozzle temperatures. This group used the experimental data collected from their setup to validate an analytical model that was based on heat balance inside the barrel section of the hot end and independent of the pressure drop. They found that the relationship between the feeding force and feeding rate increased linearly and was highly dependent on the liquefier temperature, which was a limiting factor in this case. They noted that the limitation of the slow heat transfer within the hot end barrel can be solved by increasing its length to allow sufficient time for heat to properly melt the extruded material. On the other hand, they studied the relationship between the nozzle diameter and the swell ratio. From their experimental data, it was shown that there is a positive relationship between these two parameters. Additionally, they found that the swell ratio is positively affected by the flow rate and the liquefier temperature.

Peng et al. [42] investigated the extrusion process inside the hot end using two approaches. The first approach used a specially prepared polycarbonate (PC) filament with horizontally colored pigments along the filament to study the flow profile during extrusion. The second approach used a temperature sensor embedded inside the filament. This sensor enabled researchers to study the temperature changes that occur to the filament during the extrusion process. It was found that increasing the extrusion speed causes an increased deviation from an ideal isothermal flow. Thus, the experimental results suggest that the extrusion process is a highly non-isothermal process, especially at high extrusion temperatures. Moreover, it was concluded that the temperature at the center of the filament is lower than the inner walls of the hot end barrel. A blunted velocity profile was detected when the shape of the colored pigment was visualized



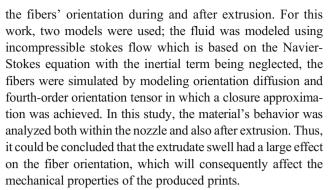
after extrusion, which indicated a lower shear rate at the center of the filament compared to the outer surface.

Shadvar et al. [43] mainly focused on the swelling effect during extrusion, both numerically and experimentally. An extrudate was immediately quenched after extrusion to study the effect of extrusion temperature and speed on the swelling ratio. They found that the simulation resulted in a 20% increase in the values when compared with the numerical simulation. Such differences in the results were due to assumptions in the numerical model, temperature variation during the process, and also inaccuracies produced during the quenching process. The study authors concluded that the swell was mainly caused by the increased shear due to the change in the area primarily in the conical section of the nozzle.

Jerez-Mesa et al. [44] designed and ran finite element (FE) modeling to investigate the thermal performance of a RepRap 3D printer liquefier that depends on the airflow velocity introduced by the refrigerating fan. The airflow velocity, resulting from the fan, can be written as a numerical value and assigned as a percentage in the software. The result showed that the final achieved temperature at the top of the liquefier was influenced by the convection caused by heat dissipation. Therefore, this study suggested that the refrigerating fan must not be left out when extruding PLA. This influence was noticeable when a PLA material was extruded at 210°C while a cooling fan was set at 30% of its power. This showed a relevant influence at the top of the liquefier by reducing the temperature to 31.1°C.

Other research groups have worked on developing models to better understand flow behavior inside the extruder and the nozzle. Yang et al. [45] provided a numerical model to investigate the extrusion process of fiber-reinforced polymers. Fibers were simulated using discrete element method particles, while polymer melt was simulated as a Newtonian incompressible fluid. Additionally, a physical model was presented to compute the drag force acting on the fiber and its reaction force returned to the surrounding polymer melt. However, heat transfer and energy equations were not included in this model. To test their model, two scenarios were analyzed: (1) ABS loaded with short glass fibers, and (2) Polyamide (PA) loaded with a continuous carbon fiber located in the center. Based on the proposed model and for the first scenario, during extrusion, the fibers are randomly located in the center of the liquefier, while they are more aligned and parallel near the walls. However, subsequently the extrusion fibers are randomly oriented due to hitting the printing bed. In the second scenario, the continuous fiber stays in the center due to the symmetry of the nozzle. However, after extrusion a large shift in the fiber occurs in the opposite direction of the movement of the extrusion head due to asymmetry of the extrusion conditions.

Moreover, Heller et al. [46] worked on simulating the fluid flow of fiber-reinforced polymers. They attempted to study



Mendes et al. [47] used a different approach for simulating the extrusion process inside the nozzle. In their work, microfluids were used to replicate the process of polymer melt extrusion. For this purpose and to assure that their method behaves similarly to the polymer extrusion, the Deborah (De) number, which is a dimensionless number used for studying the properties of fluids under specific flow conditions, and Reynolds number (Re), which is a dimensionless number used to predict the flow patterns in different flow situations, were monitored to assure they are as close as possible to the ones produced during extrusion. The study authors concluded that the De and Re numbers are key players in the material's flow behavior. It was found that at small Re and De, a Newtonian like fluid was observed with no instabilities or vortices formation. When the Re and De were increased, a change in the behavior was observed as some vortices started to appear. Higher Re and De caused larger vortices. The generated vortices were an indicator of un-extruded material near the walls of the nozzle. This phenomenon causes backflow behavior during polymer extrusion. The increase in this behavior may lead to material escape upward between the filament and barrel walls number.

3.2 Previous work discussing the material behavior after being extruded and during the construction of the 3D printed part

Since the beginning of the spread of commercial FFF 3D printers, researchers have worked intensively to simulate the 3D printing process. Some researchers focused on developing models that simulate the layer-by-layer printing process and the effect of the process parameters on the properties of the produced objects in terms of geometric accuracy and also mechanical performance. Li et al. [48] presented a theoretical and experimental analysis for predicting the elastic constant properties in an FFF system using ABS material. They also determined the effective stiffness, which is an average measure of the stiffness of the material that could be used for obtaining the required stiffness properties of the manufactured part. They found that a larger variety of laminates may be created by considering a different combination of raster angles in progressive layers. The minimum modulus of elasticity can



be obtained by having a laminate with raster angles of (45/ -45). Finally, the highest Young's modulus can be obtained in the laminate with raster angles of (0/90). Moreover, Zhang and Chou [49] prepared a FE analysis model using element activations to simulate the mechanical and thermal phenomena in the FFF system. The model has also been used for residual stress and part distortion simulations to study the toolpath effects on the process. The simulation result shows that the short-raster tool-path causes higher residual stress, and thus possibly larger distortions than the long-raster and alternate-raster patterns (where both have similar stress distortion and distributions features). The long raster tool-path shows a stress concentration pattern at the bottom of the surface and each layer, the stress begins to accumulate at the initial deposition locations. Furthermore, the boundary condition can cause greater thermal gradients at the tool-path turning points and leave a noticeable stress accumulation mark. Finally, the measured data from the prototype experiments showed that the part distortion center has been shifted due to different tool-path patterns, which is in agreement with the simulation characteristics of the residual stress.

Bellehumeur et al. [50] investigated, analytically, and experimentally, the bond formation among extruded ABS filaments in the FFF process. The effects of some process parameters, such as extrusion envelope temperatures and dimensions of the extruded filaments were evaluated using a polymer sintering model. It was shown that the neck growth of the bonding zone was significantly affected more by the extrusion temperature than by the envelope temperature. At high temperatures, the extruded filament cannot maintain the complete bonding between the filaments in the current process. Finally, it can be concluded that the values for relative bond strength factors varied with the gap size between filaments. These simulation results, obtained from the model, showed a proper agreement with the experimental results. On the other hand, Costa et al. [51] worked on an analytical model to study the transient heat transfer of an extruded filament, taking into consideration the interaction with previously deposited material or the built platform. The rate of cooling decreases as more layers are deposited. It was found that the characteristics of the contacts between the extrudate environments play a major role in the temperature field and consequently on the bonding between layers.

During the past five years, there has been even more interest in producing models that can help simulate and predict the 3D printing process. Xia et al. [52] made a numerical model to study the fused deposition modeling 3D printing process which included the effect of different process parameters. Their model was based on the front-tracking/finite volume method which was established for simulating multiphase flows. This model enabled the visualization of the 3D printing process of different structures, such as two-layered cubes. This allowed the investigation of the characteristics of printed

structures, such as the contact area between two deposited beads, in consecutive layers. Moreover, quantitative data could be gathered from the model and the effects of temperature gradient and deposited bead dimensions with different process parameters, such as printing speed and nozzle temperature, could be determined.

Zhang et al. [53] developed a numerical model to study the effect of process parameters on temperature variation during 3D printing. This model included many factors such as nozzle, bed and environmental temperatures, layer thickness, printing speed, and print dimensions and resolution. Based on their proposed model, it was found that the nozzle and bed temperature are important factors for determining the temperature variation during 3D printing. Additionally, the layer thickness or printing speed is inversely proportional to the cooling rate. Those parameters can be utilized to control the temperature variation of the printed object, which ultimately can improve inter-layer adhesion and the mechanical properties. For highresolution FFF 3D printers, the temperature variation is a key element in the printed object dimensional accuracy. Thus, proper and accurate control of the nozzle, bed, and environmental temperature is very important for a successful process.

Moreover, Liu et al. [54] created a numerical model that was based on the OpenFOAM CFD solver. This model was mainly utilized to simulate the deposition process while focusing on the effect of printing speed, printing temperature, and nozzle shape on the printed part quality. The authors found that the nozzle geometry has a significant influence on print quality; e.g., a rectangle nozzle with thick walls provides good quality compared with other shapes. They also noted that a lower printing temperature improves the final printing quality as the material will solidify faster. Furthermore, adding a pause between layers to stop the material flow will improve the printing quality, and materials with higher relaxation time have higher die swell. On the contrary, printing at low speed will have a bad effect on the printing quality and causes a pileup and local buckle. Finally, the authors used experimental data that was collected from Quinzani et al. [55] to validate their model which showed small differences compared with the obtained simulation results.

Xia et al. [56] made a numerical model to simulate the construction of three objects (bridge, inverted cone, and a rectangle) formed by parallel filaments using the FFF process. The simulation result demonstrates that the object constructed utilizing a material with low viscosity will have a slight fluctuation and become unstable (cone case). While, on the contrary, constructing an object with high viscosity will always rise and be stable. Furthermore, the heat losses are about twice lower for the object with high viscosity than for the one with low viscosity. The study authors also found that decreasing the spacing of the filament will lead to stronger squeezing with a large reheated area and larger deformation. Finally, the



simulation result showed that a higher injection temperature will cause more deformation (bridge case).

Additionally, Bakrani Balani et al. [57] conducted an experimental, analytical, and numerical simulation to study the effects of printing parameters on the stability of deposited beads of PLA. This study showed that with a small nozzle diameter, a maximum value for shear rate can be obtained at the internal wall at a high inlet temperature. At the same time, decreasing the viscosity will enhance the adhesion between the deposited beads and layers, and a low viscosity will have a low precision result. Additionally, a multi-physics two-phase flow model was made to calculate the viscosity of the polymer and shear rate according to various inlet velocities and had been validated by an experimental setup. The results showed that the output material extrudates underwent severe deformation, caused by the 'sharkskin' effect when the shear rate is higher than 4000 s⁻¹.

El Moumen et al. [58] carried out their experimental tests using a mini-single screw extruder fitted with a nozzle, to investigate temperature and residual stress fields during 3D printing of composite polymer, while a numerical model was created to simulate the FFF 3D printing process. They illustrated that the difference in temperature between the numerical simulation and experiments was less than 5%. The temperature was determined at various zones (through the thickness and along the length) to predict the potential part distortion, stress concentration, and residual stress. This was conducted using two different printing approaches; (1)layerby-layer deposit printing process, and (2)line-by-line process filament deposit. The authors observed that the maximum stress was between the first and the second layers and decreased gradually with the composite thickness and the highest temperature gradient was recorded during filament deposition. They also found that the stress magnitude increased with printing time, which was induced by the decrease of the temperature and the solidification of the part. During the cooling phase, the von Mises stress reaches its maximal value; it takes 55 MPa for the filament deposition process and 65 MPa for the layer deposition process. An important gradient in the temperature was observed throughout the composite thickness and the stress concentration was higher when the temperatures of the printed part varied rapidly, and this stress can lead to delamination between the layers of the printed part.

Some studies focused on simulating the bond formation either between adjacent deposited beads or between layers as this is one of the key players in the mechanical properties. Costa et al. [59] presented an analytical solution to the transient heat conduction that takes place during filament deposition in fused deposition techniques by taking into consideration the deposition sequence. The computation of adhesion quality between adjacent deposited material segments has been also proposed. The resulting computation considered the main process parameters, such as filament dimensions

and material, environment temperature, extrusion velocity, and sequence of deposition to predict the adhesion and the evolution of temperature during the deposition process and until cooling is completed. This study showed that insufficient adhesion between filament segments was anticipated at the lateral bottom regions of the produced part, and this was probably due to the more efficient heat conduction at this location with the support and environment. The study authors also found that 7% of the entire volume of the part will have poor adhesion by reducing the environment temperature from 70°C to 50°C, whereas reducing the environment temperature to 40°C will increase this value to 52%.

Moreover, Coogan and Kazmer [60] presented a simulation model using a diffusion-controlled healing technique for predicting the material bond strength between layers in the FFF process. The developed simulation model may be utilized to calculate the layer-to-layer strength of produced parts as a function of print settings and material properties. The results show that the simulated bond strengths can predict the measured bond strengths with a 0.795 coefficient of determination. The results indicate that the nozzle temperatures, larger fiber width, faster print speeds, and higher platform can produce greater bond strengths, and this is because each of these parameters allow for more polymer chain diffusion across the bond interface. The authors also found that the total diffusion reaches equilibrium value and begins to plateau as the interface temperature approaches transition temperature (Tg).

Fonseca et al. [61] studied the bond connection between layers such as interlaminar strength and toughness of the components created by an FFF process. To do that, a set of experimental tests were performed followed by numerical analysis with pure and short fiber reinforced PA. More precisely, three experimental results were obtained for the following material, PA12 and PA12 loaded with carbon fibers. The results show that these materials have a quite a low cohesive strength and Young's modulus in comparison with traditional composites. These materials have a potential for application, especially when interlaminar fracture behavior loading is a crucial design parameter that needs to be considered.

Kallel et al. [62] used an experimental setup to study the bonding formation between the PLA filaments. The authors found that printing parameters like nozzle temperature, platform temperature, and feed rate can differentially influence the neck growth of filaments. They found that there is a high variation difference between the temperature of the filament and the setpoint, and this is increasingly influenced by the previously mentioned printing parameters. A coalescence test has been done to observe the neck growth evolution with temperature and time, and it appears that there are limits for reproducing the same conditions as observed during the process. The analysis shows a cyclic evolution with different temperatures between layers. Finally, a predictive model was proposed to predict the neck growth. However, the results



showed a lower amount of neck growth than the experimental data, which can be due to the consideration that the authors did by choosing the constant heat transfer coefficient, heat capacity, the pressure of the nozzle, and polymer relaxation time.

The crystallization behavior has a great effect on the output from the 3D printing process, thus, many researchers focused on studying how semi-crystalline materials behave during printing. Northcutt et al. [63] work combined infrared (IR) thermography and Raman spectroscopy to show the effect of process conditions on the crystallinity of PCL. The testing setup consists of a 3D printer's extruder extruding on a moving belt. The extrudate then passes by a Raman spectroscope and IR sensor. The Raman spectra and the IR intensity were used to calculate the crystallinity as a function of distance or time from the nozzle. Using this configuration, the researchers could study the effect of the nozzle's temperature (90–140°C) and flow rate (1.8-3 mm/s) on the enhancement of the crystallization kinetics. Based on their results, it was found that the process conditions have a direct effect on the crystallization of the used polymer, when printing at a lower temperature with enhanced crystallization kinetics at a higher shear rate. IR measurements showed a fast cooling rate with the independence of the filament feeding rate.

McIlroy and Graham [31] set up a numerical model to study the crystallization kinetics during non-isothermal melt extrusion-based 3D printing. The simulated results were validated by Raman spectroscopy measurements produced by Northcutt et al. [63]. The results from their study show an enhanced crystallization behavior due to flow-enhanced nucleation. Additionally, the crystallization time is improved at the surface of the deposited material, while, the inner section showed slower kinetics. The polymer stretch caused by the extrusion flow results in an inhomogeneous spherulites-size distribution and reduction in crystallization time at the surface. This is limited to low printing temperatures. On the other hand, the inner part of the deposited material has a quiescent kinetics and slower crystallization time. This is due to the big variation in the number of nuclei when compared with the surface. The researcher suggests that the flow-enhanced crystallization on the surface plays an important role in improving the mechanical strength of interface between each two consecutive printed beads. This is due to the formation of more spherulites which generate tie-chains across the weld interface.

Seppala and Migler [29] studied the temperature profile of the extrudate using an IR imaging sensor. ABS was used as a model polymer for this research. The main focus of this study is to investigate the spatial area directly around the active printing area and more specifically to study the welding behavior of the successive layers. Their results suggest that the extrudate cooling rate reaches 100° C/s and stays above T_g for around one second. Thus, only a small amount of heat is transferred to the layer below the current one being printed.

The time allowed for weld formation in this process was around two seconds. Moreover, the formed weld between the two layers does not go through the annealing process as the second layer below the one being printed never reaches T_g.

Moreover, some researchers used advanced analytical tools in order to have a better observation of the thermal history of the 3D printing process. Vaes et al. [64] used an IR sensor to study the temperature variation during the melted bead deposition while 3D printing. Afterward, the measured cooling and heating rates were used as an input for setting up a testing method to be used in a scanning chip calorimetry instrument. The researchers focused on understanding the crystallinity of a semi crystalline polymer after 3D printing. Two molecular weights of PA polymer were used in this test. Via this approach, the crystallinity of the tested PA was analyzed. The results of their study show that the nozzle temperature and the printing speed have a small effect on the crystallinity, while the build plate and the environment temperature have a more pronounced effect. Moreover, the lower molecular weight the more enhanced the crystallinity. Another important phenomenon that was heavily investigated is the layer distortion or the printed parts' warpage. This has a large effect on the final product's dimensional accuracy. Additionally, in some cases, it might cause a failure during the 3D printing process. Xinhua et al. [65] created a theoretical model to investigate the distortion mechanism in a PLA thin-plate part using the FFF 3D printing process. The model has been validated by experimental data using a 3D scanner to scan the final printed part. From the model and the experimental data, the result shows that the distortion levels decrease with latter layers in the printed part and the biggest deflection occurs at the four corners of the PLA thin plate. They also found that the distortion will increase by decreasing the layer thickness. A fast filling speed will reduce the distortion up to a limit after which it causes noise and violent vibration. Finally, the distortion can be reduced by having a lower nozzle temperature, and this is due to the lower temperature gradient.

Terekhina et al. [66] examined the effect of build orientation on the flexural quasi-static fatigue behavior. The main material studied in this work was PA. The authors focused on studying the thermal characteristics of the material in terms of thermal properties and crystallization behavior before and after printing. Based on their results, it was shown that there is no significant change in the thermal characteristics of the PA before and after printing. The 3D printed part's porosity is affected by the build orientation. Additionally, the results show that the porosity increases with the increase in distance from the printing bed. This was due to the large temperature gradient when printing far from the printing bed. This decrease in temperature limits the fusion process between printed beads and thus higher porosity is gained. Samples printed with XZ orientation showed better quasi-static flexural behavior than the XY; due to the increased porosity along the



Z axis. Moreover, the XZ orientation shows higher overall fatigue life than the XY. The surface roughness has no significant effect on the fatigue behavior of the samples. Moreover, Terekhina et al. [67] conducted a comparison between FFF and selective laser sintering (SLS) 3D printing processes and the effect of the process on the flexure quasi-static and fatigue loading. In this study, PA was used as a model polymer. From their investigation, the FFF showed four times lower crystallinity of the produced samples, which caused a 16% decrease in the flexural stiffness. There was a difference in the porosity between the two 3D printing processes, as the FFF process produced parts with around 11% porosity. On the other hand, the surface roughness of the FFF process was around 43% higher. Despite these variations, there was no significant change in the flexural and fatigue properties of the produced samples.

Additionally, Fitzharris et al. [33] investigated the warpage that occurs during the printing of semicrystalline material. Polypropylene and polypropylene sulfide were selected as a model semicrystalline polymer. Material's characteristics such as coefficient of thermal expansion, thermal conductivity, heat capacity, and young's modulus were included in the simulation. Numerical simulation was used to simulate depositing a 2 to 10mm long road of material on the printing platform with a constant temperature. It was found that the coefficient of thermal expansion is the main cause of warpage, however, thermal conductivity, heat capacity and young's modulus did not show a significant effect on the warpage phenomenon. Additionally, the large temperature gradient between the old deposited material and the freshly deposited one is one of the main reasons for this phenomenon.

Moreover, Armillotta et al. [68] provided an experimental and analytical model to overcome warpage defects on the processing material in the FFF system. This study investigated the behavior of these defects and characterized them on blockshaped parts in ABS thermoplastic resin as a function of various geometric variables, such as the thickness of deposited layers and the size of the processing part. The experimental results showed that thermal distortions (warpage) for a rectangular plate in ABS built by the FFF technique were mostly dependent on the maximum dimension of the horizontal plane and the length of a beam deflection under a uniform bending moment. Furthermore, increasing the layer thickness will have a moderate effect on the warpage, as a larger volume of material will be subjected to shrinkage during the thermal transient following the deposition of a new layer. Finally, different part shapes, such as a flat part with a complex profile may have a critical influence on the characteristic of the produced warpage.

Cattenone et al. [69] implemented a simulation model based on FE analysis to predict distortions in the FFF process. This model was tested with several parameters (e.g., material model, mesh size, and time step size) and was validated with

experimental data. The result shows that the local temperature distribution, during the printing process, had a large influence on the time step size and a minor influence on mechanical performance. Also, choosing an appropriate meshing strategy has an important influence during the real printing process. The authors suggested having a finer meshing strategy for a small model, while a coarser meshing strategy for larger models (where large and small refers to the dimensions of the models compared with the filament dimensions). Lastly, the authors showed that the temperature dependence of yield stress limit and Young's modulus must be considered and calibrated to exert an acceptable result on the extruded filament and cannot be neglected when simulating an FFF process.

On the other hand, D'Amico and Peterson [70] provided a FE analysis model that is capable of simulating the heat transfer at sufficiently small time scales to capture the rapid cooling in the AM process. An experimental measurement was collected using a MatEx printer to validate the simulation results of the heat transfer obtained by the proposed model. The result indicates that high cooling rates with a common print speed may lead to larger residual stresses and reduced mechanical properties. By using a similar cooling profile, there will be a temperature deviation between the current and previous layers. It was also noticeable that the cooling rates showed a small dependence on a regular print speed (10–30 mm/s) than for a higher print speed (<30 mm/s), and the equilibrium with the environment temperature can be reached by sufficiently large parts. A maximum cooling rate and minimum time to reach the Tg can be observed between 10 and 30 mm/s of print speeds and increasing time to Tg with higher print speeds. On the contrary, with high printing speed, the nozzle will move through each layer faster and start to deposit a new layer more rapidly, and this will raise effectively the steady-state temperature to which the layer is cooling.

4 Conclusions and future perspective

Various studies have explored the potential of transforming the developmental process of 3D printing technologies from the trial and error approach via experimentation into using virtual models based on the process properties and the material characteristics. Since the beginning of the development of the FFF 3D printing technology, many researchers have started to develop such models. This can be seen from the work by Bellini et al. [34], in which an analytical model was developed to describe the material flow and liquefier dynamics. In the meantime, other researchers worked on developing numerical models which allowed a better description of the material flow inside the printing head and also the behavior of the extrudates and eventually the printed part. An example of such an approach is evident in the research published by



Serdeczny et al. [25, 71, 72]. Moreover, the availability of open-source hardware and software developed by the Reprap society after the expiration of the Stratasys FDM patents allowed researchers to monitor the process more successfully. This was shown by the work of Coogan and Kazmer [73, 74], in which a pressure sensor was installed in the printer nozzle which allowed on-line monitoring of the melt rheology and the material flow before extrusion. This enabled researchers to predict defects in printed parts using this on-line monitoring approach [75].

The future of the FFF 3D printing technology is promising as there are many researchers worldwide working on improving this technology; however, there are still many challenges. One of the major challenges is the material used to 3D print objects. Currently, many different materials are used that have very different rheological properties. These variations can be a limiting factor to the developed models as such models were developed for using just one or two materials. The approach of equipping the FFF technology with elements for on-line monitoring can be considered as a big move forward. Such tools are used for the prediction of defects. However, this step will enable researchers to investigate utilizing such elements for the production of closed-loop feedback systems. One of the challenges that need to be overcome in such a closed-loop system is the issue of over and under extrusion. As this problem is mainly caused by the melt rheology of the material being printed, such closed-loop systems can help to provide a suitable material flow during the 3D printing process. This approach, along with other monitoring systems that provide feedback for other elements of the 3D printing process, will help us to achieve a lower number of printing processes for products that have optimum quality.

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