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### Review

# Gangliosides as apoptotic signals in ER stress response

A d'Azzo\*,1, A Tessitore<sup>2</sup> and R Sano<sup>1</sup>

- <sup>1</sup> Department of Genetics and Tumor Cell Biology, St. Jude Children's Research Hospital, Memphis, TN, USA
- <sup>2</sup> Telethon Institute of Genetics and Medicine (TIGEM), Memphis, TN, USA
  \* Corresponding author: A d'Azzo, Department of Genetics and Tumor Cell
- Biology, St. Jude Children's Research Hospital, 332 North Lauderdale, Memphis, TN 38105, USA. Tel: 901-495-2698; Fax 901-526-2907; E-mail: alessandra.dazzo@stjude.org

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# Abstract

Renewed attention has been given lately to gangliosides and to their function as intracellular messengers of the adaptive responses to stress. Gangliosides are vital components of cell membranes; therefore, deleterious consequences can result from changes in their chemical composition and concentration, that is, membrane dynamics and structure can be altered as can the behavior of other membrane proteins. The importance of gangliosides in human health is evident in neurodegenerative diseases associated with defects in their degradation. As key modulators of intracellular calcium flux, gangliosides involved in cellular processes downstream of are calcium signaling. In this review, we focus on the effect of ganglioside accumulation on the endoplasmic reticulum calcium homeostasis and on the integrity of the mitochondrial membranes. We discuss how these events elicit an apoptotic program that ultimately leads to cell death. Owing to interorganelle crosstalk, these events are not necessarily self-contained, and gangliosides may serve as the common factor.

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**Abbreviations:** Glc, glucose; Gal, galactose; GalNac, *N*-acetylgalactosamine; Lac, lactose; Cer, ceramide; ER, endoplasmic reticulum; GSLs, glycosphingolipids; Ca<sup>2+</sup>, calcium; GlcCer, glucosylceramide; LacCer, lactosylceramide; SAPs, saposines; LSDs, lysosomal storage diseases; CSF, cerebrospinal fluid; CNS, central nervous system; ES, embryonic stem; UPR, unfolded protein response; SERCA, sarco/endoplasmic reticulum Ca<sup>2+</sup>-ATPase; PTP, permeability transition pore; MMP, mitochondrial membrane permeabilization; GalNAcT,  $\beta$ -1,4-*N*-acetylgalactosaminyltransferase; RyaR, ryanodine receptor; IP3R, IP3 receptor; SMAC/Diablo, second mitochondrial activator of caspases

## Introduction

Since their discovery in the late 1800s, sphingolipids remain enigmatic, mostly because of their complexity, structural diversity, and cell specificity. However, increasing attention has been given to sphingolipids in recent years after the discovery of their pivotal role in signal transduction. These molecules are distinguished by the presence of a sphingoid long-chain base (usually sphingosine in mammalian cells) that can be acylated at the 2-amino position to form ceramide (Cer). This simple sphingolipid, a potent signaling molecule by itself, serves as the precursor of more complex sphingolipids such as glycosphingolipids (GSLs) and gangliosides, a subclass of acidic GSLs.

Gangliosides are composed of a common hydrophobic Cer moiety, which acts as a membrane anchor, and a hydrophilic oligosaccharide chain, which varies in length and composition and contains one or more sialic acid residues.<sup>1,2</sup> Most gangliosides are amphipathic constituents of the outer leaflet of cell membranes, where they are vital for the maintenance of membrane structure and organization. A small proportion (10%) is localized in mitochondria and endoplasmic reticulum (ER). The amount and composition of gangliosides in a cell is species- and cell type-specific and may vary dramatically during development and changes in the metabolic state of the cell. Owing to their amphiphilic nature and the unique composition of their hydrophobic portion, gangliosides can either distribute asymmetrically or segregate with cholesterol and other membrane proteins in specialized microdomains or clusters (e.g., lipid rafts and caveolae)<sup>3</sup> whose biological activities are greatly influenced by their lipid content.

The diversity and complexity of gangliosides suggest that they are not biologically redundant, but have unique functions as receptors or coreceptors for cytokines, toxins, viruses, and bacteria.<sup>1</sup> Gangliosides are also key signaling molecules of pivotal biological processes, including cellular recognition and adhesion, receptor signal transduction, growth regulation, and differentiation.<sup>4–6</sup> In addition, they are important messengers of the adaptive responses to stress such as apoptosis. Under stress conditions that dramatically increase their intracellular concentration, gangliosides can initiate the induction of an apoptotic program.<sup>7</sup> Thus, a myriad of crucial cellular responses may be influenced or controlled by gangliosides and ultimately result in either cell growth and division, differentiation, or cell death. Nonetheless, the molecular mechanisms underlying many of these ganglioside-mediated responses remain largely unknown.

Many signal transduction events occur at the plasma membrane and are thought to proceed within caveolae or lipid rafts; these events are greatly influenced by the concentration and subtype of gangliosides.<sup>1</sup> Evidence that gangliosides directly perturb membrane composition and permeability or affect the function of membrane components remains circumstantial. However, it is becoming increasingly clear that gangliosides also play crucial roles in subcellular compartments such as the ER and mitochondria.<sup>1</sup> At these sites, gangliosides influence often opposite cell fate decisions (e.g., proliferation *versus* apoptosis), and this action appears to depend on their local concentration, structural characteristics, and sugar modifications.

Underlying many ganglioside-mediated effects is a change in intracellular calcium levels  $(Ca^{2+})$ .<sup>4,5</sup> Cytosolic  $Ca^{2+}$ concentration of resting cells is maintained at low levels by the concerted action of specialized channels, a  $Ca^{2+}$  pump,  $Ca^{2+}$ -dependent enzymes, and  $Ca^{2+}$ -binding proteins. These mechanisms are localized in the cytosol, ER, and mitochondria, the three compartments that control the traffic of  $Ca^{2+}$  across the plasma membrane or into intracellular stores.<sup>8</sup> As  $Ca^{2+}$  regulates a plethora of physiological processes, it is not surprising that perturbation of  $Ca^{2+}$ homeostasis is a potent inducer of an ER stress response that, in turn, dictates the fate of the cells.

The scope of this review is to describe the downstream events caused by changes in ganglioside concentration, localization, and composition. These events include activation of an ER stress response, mitochondrial apoptotic signaling, or both that trigger cell death under physiologic or pathologic conditions.

#### **Metabolism of Gangliosides**

The biosynthesis of gangliosides takes place in the ER and in the Golgi complex. It is mediated by the action of membranebound glycosyltransferases and sialyltransferases, which catalyze the transfer of sugar nucleotide donors to sphingolipid acceptors.<sup>1,9</sup> The first step in ganglioside biosynthesis occurs at the cytosol–ER interface. There, the enzyme UDPglucose (Glc) Cer glucosyltransferase adds Glc to Cer to form the simplest glycolipid, glucosylceramide (GlcCer). Then, GlcCer translocates across the ER compartment and is rapidly converted into lactosylceramide (LacCer), the common precursor of the GSL series in vertebrates. To form LacCer, galactosyltransferase I transfers a galactose (Gal) moiety from UDP-Gal.<sup>9</sup>

Complex gangliosides that are more glycosylated are built by the stepwise addition of sugar nucleotides to LacCer. In particular, specific sialyltransferases generate GM3, GD3, and GT3, which are further converted across the *cis*-medial*trans*-Golgi to complex gangliosides of the a-, b-, or c-series, respectively, by sequential addition of Gal, *N*-acetylgalactosamine (GalNac), or sialic acid residues. In contrast, gangliosides of the 0-series, which include GA1 and GA2, that lack sialic acids are directly formed by LacCer.<sup>9</sup> The final products of ganglioside metabolism leave the *trans*-Golgi network in budding vesicles that have glycan chains oriented toward the extracellular space. These vesicles eventually fuse with the plasma membrane and other intracellular membranes, but maintain their topology<sup>9,10</sup> (Figure 1).

The degradation of gangliosides occurs along the endocytic-lysosomal pathway and is controlled by hydrolytic enzymes that function at acidic pH.<sup>1</sup> For the efficient catabolism of these membrane-bound substrates, the watersoluble lysosomal hydrolases require the cooperative action of effector proteins named sphingolipid activator proteins (SAPs or saposines). Acting as natural detergents, SAPs facilitate the physical interaction between the enzyme and its substrate.<sup>11</sup> Plasma membrane gangliosides are internalized and transported in endocytic vesicles to the lysosomes. After endosome-lysosome fusion, gangliosides expose their glycan chains to the luminal face of the lysosome. This topologic conformation makes gangliosides accessible for degradation by specific exoglycosidases that cleave their sugar residues sequentially from the nonreducing end.<sup>1,2</sup> Initially, a lysosomal sialidase converts multisialogangliosides into monosialogangliosides GM1, GM2, or LacCer. The enzyme  $\beta$ -galactosidase  $(\beta$ -gal) then removes the  $\beta$ -galactosyl moiety from GM1, thereby giving rise to GM2 (Figure 2); and  $\beta$ -N-acetylhexosaminidase cleaves the GalNac residue from GM2 to generate GM3. In some cells and species, sialic acid residues are effectively removed from GM1 and GM2 by specific sialidases producing the corresponding asialo derivatives GA1 and GA2. Through the sequential actions of  $\beta$ -gal and  $\beta$ glucosidase, LacCer is degraded to Cer, and through that of ceramidases, Cer is further converted into sphingosine and fatty acids (Figure 1).

Recent studies on the metabolic turnover of gangliosides have demonstrated a variety of dynamic processes by which these molecules are modulated. Besides the typical biosynthetic and degradative pathways, specialized glycosidases can modify gangliosides at the plasma membrane; gangliosides can be directly recycled to the plasma membrane from early endosomes;<sup>12</sup> they can be sorted to the Golgi apparatus from endosomes and subsequently reglycosylated;<sup>12</sup> or they can be fully degraded in lysosomes and reused in the so-called salvage pathway.12 In the latter process, degradative products leave the lysosome and are subsequently modified and reused for the biosynthesis of new GSLs. In some cells, salvage pathways represent an important means of saving energy and can account for as much as 90% of overall ganglioside turnover. The existence of salvage pathways also potentially explains how certain cells cope with a fast turnover of complex gangliosides at the plasma membrane and intracellular membranes during cell division.

Under physiological conditions and at steady-state levels, pools of gangliosides (or ganglioside intermediates) are present in different subcellular compartments. The modulation of their concentration at those sites strictly depends on the coordinated regulation of the biosynthetic, degradative, and salvage/recycling pathways. However, the precise mechanisms by which the cell balances these pathways have not been fully elucidated.

#### Gangliosides in Disease Pathogenesis

The importance of gangliosides in cellular integrity and homeostasis is made apparent by the many catastrophic pathogenic conditions (e.g., neurodegenerative diseases and



Figure 1 Scheme of the biosynthesis and degradation of gangliosides. Ceramide (Cer) the precursor of all complex sphingolipids is synthesized from sphingosine and fatty acid. The biosynthetic route is identified by black arrows, whereas the degradative route is marked with dark blue arrows. The biosynthesis occurs at the Golgi complex through the action of glycosyltransferases (green), while the degradation occurs in the endosomal/lysosomal compartment by the action of glycosylhydrolases (blue). Both pathways begin and end with ceramide (\*). Ganglioside are designated according to the nomenclature of Svennerholm (1980)

cancer) associated with the abnormal expression, degradation, or distribution of these molecules. Regulation of the metabolism of gangliosides in specific cell types is also imperative, as indicated by the numerous human genetic diseases known as GSL storage diseases or glycosphingolipidoses<sup>13–15</sup> (Table 1). These monogenic disorders of metabolism that belong to the large group of the lysosomal storage diseases (LSDs) result from deficiency of any one of the lysosomal enzymes involved in GSL degradation and consequent accumulation of undigested GSLs or their intermediates in lysosomes. An account of the cellular consequences of ganglioside accumulation in lysosomes is given in Figure 2.

Glycosphingolipidoses represent one of the most frequent causes of neurodegeneration and mental retardation in children. These diseases are complex and, in most cases, present with a progressive and severe neurodegenerative course and a broad spectrum of systemic abnormalities.<sup>13,16</sup> The neurologic symptoms include mental retardation or dementia, motor dysfunction, sensory deficits, increased startle response, and seizures.<sup>13,16</sup> In some disorders, cerebellar signs predominate, whereas in others, the cerebral cortex, basal ganglia, or spinal cord neurons are the most affected. The variations in symptoms associated with different

Cell Death and Differentiation

glycosphingolipidoses may reflect differences in the metabolic needs of individual cell types that depend on the selective nature of the primary defect. Pathophysiologic studies of patients and animal models have identified changes in neuronal connectivity in the cerebral cortex, including degeneration of axons and synapses of inhibitory neurons (axon swelling or 'spheroids'), regrowth of dendrites (ectopic dendrites or meganeurites), and formation of new synapses of pyramidal neurons.<sup>17-20</sup> Many of these phenomena have been attributed to ganglioside storage, albeit the underlying molecular effectors are still unknown. Neuronal cell death and demvelination occur in some of these LSDs<sup>21–23</sup> and are often accompanied by astrogliosis and microgliosis that appear mostly in areas of severe neuronal vacuolation.24-26 The presence of reactive astrocytes is indicative of an elicited neuroinflammatory response, and the biochemical heterogeneity and adaptive plasticity may reflect differences in microenvironmental cues, such as the combination of cytokines, growth factors, adhesion molecules, and other signals emanating from injured neurons, activated microglia, endothelial cells, and vascular components.<sup>27-29</sup>

As mentioned in the Introduction, the amount and composition of gangliosides in a cell is species- and cell type-specific. In the central nervous system (CNS), gangliosides constitute



Figure 2 Genetic defect in GM1-gangliosidosis. Lack of  $\beta$ -galactosidase leads to expansion of the lysosomal system and massive accumulation of GM1-ganglioside in neurons of GM1-gangliosidosis mouse model. The presence of numerous vacuoles in the cytoplasm represents the typical histological finding in LSDs (electron microscopy picture)

10–12% of the total lipid content, and in the mammalian brain, GM1 ganglioside is the most abundant glycolipid. The ganglioside storage diseases (or gangliosidoses) include the GM1 storage disorder, GM1-gangliosidosis, and the GM2 storage disorders, Tay–Sachs disease, Sandhoff disease, and GM2-gangliosidosis AB variant (Table 1).<sup>30,31</sup> Given the abundance of gangliosides in the CNS, the clinical and pathologic manifestations of these LSDs are characteristic of generalized CNS disorders. Gangliosidoses are severe neurosomatic conditions that occur mainly in infants, although milder forms with later onset and longer survival also occur in adolescents and adults.<sup>30,31</sup> The severe forms are characterized primarily by growth retardation, progressive neurologic deterioration due to extensive brain atrophy, visceromegaly, and skeletal dysplasia.<sup>32,33</sup>

In most glycosphingolipidoses, the predominant storage is one particular GSL, for which the corresponding enzyme has the highest affinity (Table 1). Hence, GM1- and GM2gangliosides accumulate primarily in GM1- and GM2-gangliosidoses. GlcCer and galactosylceramide are the primary storage products in Gaucher disease and Krabbe disease, respectively.<sup>13</sup> In some LSDs, gangliosides accumulate secondarily to the primary storage material that may not necessarily be a GSL.<sup>34</sup> For example, secondary storage of gangliosides GM2 and GM3 in brain tissue has been reported in the following diseases: Niemann–Pick type A/B disease, in which the primary storage material is sphingomyelin;<sup>34</sup> Niemann–Pick type C disease, where the primary storage material is cholesterol;<sup>35</sup> and mucopolysaccharidosis types I<sup>36</sup> and III,<sup>37</sup> in which the primary storage materials are dermatan and heparan sulfate, respectively. As GSLs act as second messengers, their secondary accumulation may be the result of GSL-mediated activation of a signal transduction pathway(s) that controls the synthesis of these molecules. Conceivably, the secondary accumulation of gangliosides may contribute synergistically with the primary storage product to elicit pathogenesis.

In addition to the altered intracellular concentration or distribution of gangliosides that occur as a consequence of lysosomal storage, the presence of free pools of gangliosides in the blood plasma, cerebrospinal fluid (CSF), and other body fluids could be part of the molecular mechanisms of disease. Under physiologic conditions, a constant exchange appears to occur between cell-associated and non-cell-associated gangliosides.<sup>38</sup> However, increased turnover of cell membranes resulting from cell degeneration or cell growth leads to an increased release of gangliosides into the extracellular milieu by a process called 'shedding'.<sup>39</sup>

Several studies have suggested that gangliosides in the CSF are shed from plasma membranes of neural cells. Degenerative processes in the CNS have been linked to increased shedding of membrane fragments into the inter-cellular space.<sup>5,40,41</sup> For example, ganglioside GD3, which is present only in trace amounts in the normal adult brain, is expressed at high levels in activated microglia and in reactive astrocytes.<sup>40,42</sup> GD3 is released by primary murine microglial

Table 1	Glycosphingolinid storage	diseases in man	and animal models
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Sphingolipidoses	Enzyme deficiency	OMIM	Human disease	Mouse model
GM1 gangliosidosis	$\beta$ -galactosidase	230500	Okada and O'Brien (1968)	Hahn <sup>48</sup> , Matsuda (1997)
<i>GM2 gangliosidoses</i> Tay–Sachs disease Sandhoff's disease Variant AB	Hexosaminidase A Hexosaminidase A and B GM2 activator protein	272800 268800 272750	Okada and O'Brien (1969), Sandhoff (1969) Sandhoff <i>et al.</i> (1968) Conzelmann and Sandhoff (1978)	Yamanaka <sup>57</sup> Sango <i>et al.<sup>58</sup></i> NA
Metachromatic leukodystrophy Krabbe disease Fabry disease Gaucher disease Niemann–Pick A Niemann–Pick B Farber disease Wolman's disease Austin's disease	Arylsulfatase A Galactosylceraminidase $\beta$ -galactosidase A $\beta$ -glucosidase Sphingomyelinase Sphingomyelinase Ceramidase Acid lipase Multiple sulfatase deficiencies	250100 245200 230200 257200 228000 607616 228000 278000 278000	Austin <i>et al.</i> (1964) Suzuki and Suzuki (1970) Brady <i>et al.</i> (1967) Brady <i>et al.</i> (1965) Brady <i>et al.</i> (1966) Brady <i>et al.</i> (1976) Sugita <i>et al.</i> (1972) Patrick and Lake (1969) Basner <i>et al.</i> (1979)	Hess <i>et al.</i> (1996) Kobayashi <i>et al.</i> (1980) Ohshima <i>et al.</i> (1997) Tybulewicz <i>et al.</i> (1992) Otterback and Stoffel (1995) Marathe <i>et al.</i> (2000) Du <i>et al.</i> (1998) NA

The first publications reporting the identification of the enzyme deficiency are listed together with the first descriptions of the corresponding knockout mouse models. OMIM = Online Mendelian Inheritance in Man; NA = not available

cells under neuroinflammatory conditions and is directly responsible for the induction of apoptosis in oligodendrocytes<sup>43</sup> (GD3-mediated apoptotic response is discussed in detail below). Increased GD3 expression has also been detected in brain tissue from patients with various neurodegenerative disorders such as Creutzfeld–Jacob disease and multiple sclerosis.<sup>44,45</sup> Moreover, elevated CSF levels of GM3 and to a lesser extent GD3 have been associated with pronounced dysfunction of the blood–brain barrier.<sup>46</sup> On the basis of these observations, we predict that altered intracellular expression of GM3 and GD3 and their release into the extracellular milieu occur in other neurodegenerative and neuroinflammatory conditions including some LSDs. We also speculate that these gangliosides actively participate in cell degeneration.

# **Animal Models of Gangliosidoses**

By elucidating the complex underlying mechanisms of disease pathogenesis linked to the accumulation of gangliosides, we will improve our knowledge of the physiologic functions of these molecules.7,47 Progress toward this endeavor has been made by generating mice with targeted disruptions of key genes that encode glycosyltransferases or glycosylhydrolases, the enzymes that control the synthesis and degradation of gangliosides.<sup>48–50</sup> Although no human diseases have yet been identified in which pathogenesis is attributed to genetic deficiency of any glycosyltransferase, the analyses of these genetically engineered null mice are beginning to identify the functions of complex gangliosides in development and cell differentiation. For instance, deficiency of glucosylceramide synthase gene (Ugcg), which eliminates the major pathway of ganglioside synthesis,<sup>51</sup> does not adversely affect growth rate, and differentiation in vitro, but impairs embryonic development and differentiation of some tissues in vivo.<sup>51</sup> In contrast, β-1,4-N-acetylgalactosaminyltransferase (GalNAcT)-knockout mice express predominantly GM3- and GD3-gangliosides in their CNS, but have virtually normal CNS development and a normal lifespan.<sup>52</sup> The outcome of these studies can be explained, at least in part,

by a possible compensatory mechanism in which precursors of complex gangliosides take over some of the functions attributed to the end products. Considerably more severe phenotypes have been demonstrated in mice carrying mutations in two crucial ganglioside-specific glycosyltransferase genes, Siat9, that encodes GM3 synthase (CMP-Nacetylneuraminic acid: LacCer  $\alpha$ -2,3-sialyltransferase) and Galgt1 that encodes GM2/GD2 synthase (UDP-N-acetyl-Dgalactosamine: GM3/GM2/GD2 synthase), also known as GalNAcT.<sup>50</sup> These mice are unable to synthesize gangliosides of the a-, b-, or c-series. Soon after weaning, viable mice develop a severe neurodegenerative disease that results in premature death. Histopathologic analyses of the CNS revealed striking vacuolization of the white matter regions, axonal degeneration, and perturbed axon-glia interactions.<sup>50</sup> Overall, these studies underscore the crucial role of gangliosides in stabilizing the developing CNS.

Spontaneous genetic defects in the lysosomal enzymes that degrade GSLs have been identified in various mammalian species<sup>53</sup> and used primarily for comparative assessments of pathologic abnormalities and for the implementation of therapeutic modalities. Although valuable, these large animal models are difficult to handle and maintain as colonies for scientific purposes. However, the generation of mouse models of these genetic diseases by gene targeting in embryonic stem (ES) cells have revolutionized the field and allowed for in-depth, comprehensive studies that were either impossible or extremely laborious to pursue in large animals or patients.

Most of the LSDs occurring in man have now been reproduced in the mouse.<sup>54</sup> Despite slight phenotypic variations caused by differences in metabolic pathways of the two species<sup>55</sup> and the influence of genetic background, mouse models of glycosphingolipidoses appear to closely resemble the corresponding human diseases (Table 1).<sup>48,49,56</sup> For instance, GM1-gangliosidosis mice develop a generalized nervous system condition characterized by tremors, ataxia, and abnormal gait that culminates in rigidity and paralysis of the hind limbs and premature death.<sup>48,56</sup> However, unlike the human disorders, the two GM2-gangliosidosis mouse models

show different neurologic phenotypes. Although they display biochemical and pathologic features of the disease, the Tay–Sachs mice are asymptomatic early in life and develop chronic neurologic abnormalities.<sup>57</sup> In contrast, Sandhoff mice present with a severe and rapidly progressive neurodegenerative course.<sup>58</sup> In the CNS of Tay–Sachs and GM1 mice, a neuraminidase efficiently desialylates the GM1 and GM2 into their corresponding asialo derivatives, GA1 and GA2, that accumulate in massive amounts as the animals age.<sup>48,57</sup> In the GM1 mouse model, this phenotype is accompanied by gradual deterioration of motor functions<sup>48,56</sup> and progressive CNS inflammation.<sup>28</sup> Owing to their similarities to the corresponding human diseases, these mouse models are a proverbial gold mine for studying molecular pathogenesis associated with altered ganglioside metabolism.

### Gangliosides and the ER Stress Response

The presence of gangliosides at the ER membrane implies that these molecules regulate membrane dynamics and structure in this organelle; hence, changes in ganglioside composition, sugar modifications, and local concentration can alter not only their behavior but also that of other membrane components. This action can have deleterious consequences. It has been postulated that gangliosides at the ER and nuclear membranes act as key modulators of Ca<sup>2+</sup> flux,<sup>4</sup> although the molecular mechanisms underlying this function are not fully elucidated. The ER is the primary store of intracellular  $Ca^{2+}$ , especially in neurons. The Ca<sup>2+</sup> concentration of the ER is maintained around 5 mM, and that of the cytosol is kept as low as 0.1  $\mu$ M<sup>59</sup> by the synchronous action of membrane-bound and -soluble Ca2+-binding proteins localized at different subcellular sites, including the ER. This organelle is, therefore, directly responsible for controlling cellular processes mediated by Ca2+ signaling (e.g., post-translational protein folding and maturation). Several ER-resident proteins involved in the folding process require Ca<sup>2+</sup> binding for their activity.<sup>60,61</sup> Thus, depletion of the ER Ca<sup>2+</sup> store interferes with the folding pathway, results in the accumulation of misfolded protein aggregates, and can potentially damage cells. To prevent this problem and ensure the release of correctly folded proteins from the ER, eukaryotic cells have developed a quality control system called the 'ER stress response' or 'unfolded protein response' (UPR) that monitors the physiologic state of the ER.62

The ER stress response promotes cell survival under stress conditions by using mechanisms such as transcriptional upregulation of ER-resident chaperones and folding catalysts (e.g., BiP and PDI) that depend on an adequate  $Ca^{2+}$  concentration within the ER lumen, translation attenuation, and degradation. Together, these responses limit the further accumulation of unfolded proteins, prevent the aggregation of existing ones, and dispose of terminally unfolded proteins.<sup>62</sup> However, the irreversible damage caused by prolonged ER stress triggers an apoptotic program that ultimately leads to cell death (Figure 3) (see reviews in this issue).

Under normal cellular conditions, the ER-resident chaperone BiP associates with the luminal portions of the following three ER-resident UPR sensors located at the ER membrane: the Ser/Thr kinase and ribonuclease IRE1, the basic Leuzipper transcription factor ATF6, and the Ser/Thr kinase PERK<sup>63</sup> (Figure 3). Once unfolded or misfolded proteins begin to accumulate in the ER, they preferentially recruit BiP away from the UPR sensors. Consequently, PERK and IRE1 undergo homodimerization, transautophosphorylation, and activation; ATF6 is transported to the Golgi, where limited proteolysis converts it into an active transcription factor.<sup>63</sup> Processed ATF6 can then translocate into the nucleus, where it activates the transcription of genes that contain an ER stress-responsive element in their promoter. These include chaperones like BiP and calreticulin, which aid in the folding process, and the proapoptotic transcription factor CHOP/ GADD153, which decreases the expression of antiapoptotic Bcl-2 and can therefore induce apoptosis.<sup>64</sup>

Upon ER stress-mediated oligomerization, IRE1 is proteolytically cleaved into soluble C-terminal fragments with both kinase and ribonuclease activity. Similarly to ATF6, the IRE1 fragments enter the nucleus and upregulate ER stressresponsive genes.<sup>65</sup> The IRE-mediated response is, however, slower than that mediated by ATF6, because it occurs via processing the mRNA for XBP1, which is induced by active ATF6. Splicing of a small intron from the XBP1 mRNA is carried out by the ribonuclease activity of IRE1 and generates an active transcription factor. Activated IRE1 can also recruit the cytosolic adaptor protein TRAF2 (tumor necrosis factor receptor-associated factor 2), which in turn binds and activates JNK and initiates a proapototic signal.<sup>66</sup> Thus, cellular responses to ER stress involve molecules that modulate the transcription of folding catalysts, chaperones, or proapoptotic factors, the choice of which most likely depends on the level, extent, and type of stressor. On the other hand, ER stress-activated PERK attenuates translation.67

PERK phosphorylates the  $\alpha$  subunit of the eukaryotic translation initiation factor 2 (eIF2) that prevents the assembly of the 80S ribosome and consequently inhibits protein synthesis. This response is very rapid, because eIF2 is the direct target of PERK. Therefore, PERK functions as both the sensor and effector of ER stress. This theory is supported by the observation that *PERK*<sup>-/-</sup> murine ES cells lose the ability to phosphorylate eIF2 $\alpha$  and to attenuate translation upon accumulation of unfolded proteins.<sup>67</sup> It remains to be determined how ER chaperones escape from PERK-mediated inhibition of translation initiation and are induced to cope with unfolded proteins.

When cells are exposed to excess levels of stimuli that cause ER stress, the apoptotic program supersedes the survival program by mechanisms that are not fully understood. The concentration of Ca<sup>2+</sup> not only in the ER but also in the mitochondria and cytosol might represent an additional cause of apoptosis. A key player in this process is the cysteine protease caspase-12 whose transcription is induced during an ER stress response.<sup>7,68,69</sup> It has been postulated that caspase-12 contributes to cell death in ischemic brain and other neurodegenerative conditions, including Hungtington and Alzheimer disease.<sup>69</sup> Although the molecular pathways that link ER stress to caspase-12 induction are not fully elucidated, *caspase-12<sup>-/-</sup>* cortical neurons are resistant to amyloid  $\beta$ -protein-mediated neurotoxicity (Figure 3).<sup>69</sup>



**Figure 3** Proapoptogenic interorganellar crosstalk triggered by gangliosides. GM1 accumulation at the ER membrane induces release of  $Ca^{2+}$  to the cytosol. Depletion of ER- $Ca^{2+}$  store induces activation of an ER stress response accompanied by the activation, among others, of BiP, ATF6, CHOP, JNK2, PERK and caspase-12. Cytosolic  $Ca^{2+}$  is rapidly taken up by mitochondria and its increase in the mitochondrial matrix induces MMP with release of apoptogenic factors such as cytochrome *c* and Smac/Diablo. The formation of the apoptosome with the activation of caspase-3 leads to apoptosis. Ganglioside GD3 acts directly on the outer mitochondrial membrane through opening of the PTP. However, the direct effect of gangliosides on the Bcl-2 proapoptogenic proteins is unknown

Under normal conditions, procaspase-12 is localized at the cytoplasmic side of the ER membrane in a complex with other molecules such as unphosphorylated TRAF2, which transduces signals from IRE1.<sup>70</sup> When the cytosolic concentration of Ca<sup>2+</sup> becomes toxic, an apoptotic cascade initiates with the oligomerization and proteolytic activation of procaspase-12 by the Ca<sup>2+</sup>-dependent protease *m*-calpain.<sup>59,69</sup> Caspase-12 also appears to be able to undergo self-maturation, acting directly on procaspase-12.71 Proteolytic activation of caspase-12 results in a caspase cascade that involves the effector caspases, caspase-9 and caspase-3.71 After caspase-12 is activated, TRAF2 dissociates from the complex, which in turn initiates both IRE1- and JNK-mediated cell death pathways.<sup>70</sup> JNK participates in the release of cytochrome c from mitochondria after TRAF2 induction through a Bim/Baxmediated mechanism.<sup>72</sup> It is now evident, however, that although caspase-12 appears to be the principal effector of apoptosis, other pathways are involved in ER stress-induced cell death signals. For instance, caspase-8 appears to act independently of caspase-12 and mediates the release of mitochondrial cytochrome c via activation of Bid/Bax pathway

and the cleavage of the BiP-associated protein BAP31, which is a transmembrane ER protein.  $^{73}\,$ 

Like the caspase-8 pathway, many other biochemical pathways are known to be activated either by depletion of ER  $Ca^{2+}$  stores or by elevation of cytosolic  $Ca^{2+}$  levels. For instance, in the nervous system, the increased frequency and magnitude of Ca2+ transients results in increased growth rates of neuronal processes, yet gradual depletion of ER  $Ca^{2+}$  results in failure to generate  $Ca^{2+}$  transients that are essential for neurite outgrowth (neuritogenesis).<sup>74</sup> It has been proposed that activation of one or more of these pathways by Ca2+ imbalance is a downstream response to GSL accumulation. The mechanisms that regulate cytosolic Ca<sup>2+</sup> concentration entail external Ca<sup>2+</sup> influx via voltage- and ligandgated channels at the plasma membrane and the release of Ca<sup>2+</sup> from intracellular stores, that is, ER and mitochondria. In the ER lumen,  $Ca^{2+}$  is either free or bound to luminal  $Ca^{2+}$ binding proteins such as calnexin and calreticulin. Efflux of Ca<sup>2+</sup> from the ER occurs via two types of channels that reside in the ER membrane, the Ca2+-gated Ca2+release channel or ryanodine receptor (RyaR) and the inositol

1,4,5-triphosphate-gated Ca<sup>2+</sup>-release channel, the IP3 receptor (IP3R). Ca<sup>2+</sup> influx from the cytosol to the ER lumen is mediated by the sarco/endoplasmic reticulum Ca<sup>2+</sup>-ATPase (SERCA).<sup>75,76</sup> Fluctuations in Ca<sup>2+</sup> concentration help regulate normal cell functions,<sup>8</sup> but ER Ca<sup>2+</sup> imbalance and acute Ca<sup>2+</sup> release from the ER lead to cell death. The aforementioned pump and channels at the ER membrane may, at least in part, control both of these conditions.

There is increasing evidence that these regulators of the Ca<sup>2+</sup> concentration in the ER can be altered directly or indirectly by the level and type of GSLs, including gangliosides, that are present at the ER membrane.<sup>47,77,78</sup> For instance, accumulation of GlcCer, which is the main storage product in Gaucher disease, increases calcium mobilization from intracellular stores in cultured neurons, likely via amplification of the response of the RyaR to agonists, which leads to ER calcium release.<sup>47,77</sup> As a result, neurons show increased sensitivity to neurotoxic agents and Ca2+mediated cell death. Isolated microsomes from the brain of a Sandhoff disease mouse model that accumulate GM2 show substantially reduced rate of Ca<sup>2+</sup> uptake because the  $V_{\rm max}$  of SERCA is reduced in those organelles.<sup>47</sup> This effect of GM2 is prevented if the mice are treated with a specific inhibitor of glycolipid synthesis that reduces GM2 storage, directly implicating this ganglioside in the function of SER-CA.<sup>47</sup> Thus, neuronal Ca<sup>2+</sup> homeostasis is perturbed in Gaucher and Sandhoff diseases resulting in elevated cytosolic Ca<sup>2+</sup> levels, which may lead to enhanced sensitivity of the neurons to stress and promote cell death.

The molecular mechanisms by which GM2 modulates SERCA, and GlcCer modulates the RyaR have not been elucidated. However, recent studies have helped to add a piece to the puzzle that could eventually link the effects of ganglioside accumulation on the Ca2+ homeostasis and neurodegeneration.7 Tessitore et al.7 demonstrated that in neurons of the GM1-gangliosidosis mouse model, excessive accumulation of GM1 in lysosomes results in the build-up of this ganglioside at the ER membrane, which in turn depletes ER Ca<sup>2+</sup> stores and activates the ER stress response. The combined upregulation of BiP and CHOP increases the levels of processed ATF6 and activates JNK2 and caspase-12, which ultimately leads to neuronal apoptosis. The ER-stressmediated apoptotic pathway is not induced in  $\beta$ -gal<sup>-/-</sup>/  $GalNAcT^{-/-}$ , which are deficient for  $\beta$ -gal and GM2-ganglioside synthase and do not accumulate GM1; this finding directly implicates GM1 in the activation of the apoptotic pathway.

Increased GM1 concentration at the ER may trigger the ER stress response either by directly affecting Ca<sup>2+</sup> transport across the membrane or by indirectly influencing the activity of other membrane components, including the Ca<sup>2+</sup> pump and channels. The latter possibility would be consistent with the observed effect of GM2 accumulation on the activity of SERCA in microsomal membranes from Sandhoff disease mice and the cholesterol-induced ER stress response in macrophages from Niemann–Pick A/B mice.<sup>47,79</sup> Ultimately, the disruption of intracellular Ca<sup>2+</sup> homeostasis in ganglioside-accumulating cells could affect protein folding in the ER and induce the ER stress response through the conventional route, thereby suggesting a novel mechanism of neuronal

apoptosis that could also occur in other neurodegenerative diseases.  $^{7} \ensuremath{\mathsf{C}}$ 

# Gangliosides as Propagators of the ER Stress- and Mitochondria-Elicited Death Pathways

Accumulating evidence suggests that different intracellular organelles contribute synergistically to the initiation of apoptosis by specific stress inducers. Therefore, apoptotic signals emanating from one subcellular compartment relay to the rest of the cell. The interplay between the ER and the mitochondrion in controlling intracellular Ca<sup>2+</sup> concentration directly affects the apoptotic signaling network; in turn, the influence of gangliosides on the membrane topology and function of these two organelles may alter their cellular response to stressors.

Experimental evidence argues for a role of GM1 in ER stress response-induced  $Ca^{2+}$  release from the ER when GM1 concentration at this site reaches a certain threshold. One means of maintaining  $Ca^{2+}$  homeostasis is by the rapid uptake of cytosolic  $Ca^{2+}$  into the mitochondria, which depends on a negative transmembrane potential and a  $Ca^{2+}$  uniporter.<sup>80</sup> Thus, GM1 accumulation in the ER may simultaneously or secondarily affect the function of the closely juxtaposed mitochondria by provoking mitochondrial  $Ca^{2+}$  overload.<sup>81,82</sup>

Most of the downstream effects of Ca<sup>2+</sup> release from the ER hinge on the disruption of the mitochondrial membrane permeability (MMP) due to the opening of the permeability transition pore (PTP).83 The PTP is a high-conductance, nonselective megachannel that forms by the apposition of transmembrane proteins from the inner and outer mitochondrial membranes.<sup>82</sup> Opening of the PTP can be triggered by several physiologic and pathologic processes that occur simultaneously or consequentially; these include increase in Ca<sup>2+</sup> levels in the mitochondrial matrix, production of reactive oxygen species and nitric oxide, changes in pH, lowering of the mitochondrial transmembrane potential, and increase in the concentration of GD3.82,84 PTP opening causes swelling and rupture of the mitochondria with a sudden increase in the permeability of the inner mitochondrial membrane. This so-called 'permeability transition' (PT) results in immediate dissipation of the proton-dependent mitochondrial transmembrane potential and chemical equilibration between the cytoplasm and mitochondrial matrix. It also allows the release of diffusible apoptogenic factors, which are normally confined between the inner and outer mitochondrial membranes; these include cytochrome c, apoptosis-inducible factor (AIF), and second mitochondrial activator of caspases (SMAC/ Diablo).82,85 Cytosolic cytochrome c induces the formation of the apoptosome and activates caspases and nucleases that finalize the apoptotic process (Figure 3).

Cytochrome *c* released from mitochondria binds directly and selectively to IP3R; thus, cytosolic access of cytochrome *c* is restricted early in apoptosis. Also, cytochrome *c* binding to IP3R abolishes inhibition of IP3R-associated Ca<sup>2+</sup> release. By blocking the feedback regulation of IP3R, cytochrome *c* greatly augments the release of Ca<sup>2+</sup>, which then is rapidly taken up by juxtaposed mitochondria to provoke further cytochrome *c* release. This feedforward amplification manifested by sustained increases in cytosolic  $Ca^{2+}$  during early apoptosis elicits massive release of cytochrome *c* from all mitochondria.<sup>86</sup> For instance, in neurons, cytochrome *c* release at a focal point within the cell such as the cell body or neurites can create a wave of cytochrome *c* release throughout the cell body and cause further damage.

Agents capable of inducing mitochondrial PT and consequent release of cytochrome c are considered important mediators of apoptotic signals, and GD3, which directly targets mitochondria by opening the PTP, can be listed among the most potent natural inducers.5,87 Interestingly, Scorrano et al.<sup>84</sup> have demonstrated that GD3 preferentially affect mitochondrial function, while other gangliosides such as GD1a, GM3 have no effect. Acetylation of GD3 nullifies its apoptotic effect and this mechanism is suggested to confer resistance to tumors.<sup>88</sup> These findings imply that differences in ganglioside chemical structures modify their functions. Although Ca<sup>2+</sup> appears to be unnecessary in GD3-mediated PT, elevated intracellular Ca<sup>2+</sup> can synergistically accelerate the induction of this event. Ca2+ also regulates the association between mitochondria and a smooth domain of the ER and enhances the ability of gangliosides to produce membrane clusters.<sup>89</sup> Whether mitochondrial damage occurs through ganglioside interaction with a candidate component of the PTP or through direct perturbation of mitochondrial membranes and, in turn, PTP function, remains to be elucidated. However, the fact that GD3-mediated opening of PTPs is antagonized by known PT inhibitors (e.g., cyclosporin A, ADP, trifluoperazine, and Mg<sup>2+</sup>) suggests that GD3 acts directly at the pore level. Additionally, overexpression of calnexin, an ER chaperone, retains GD3 synthase in the ER compartment and, in turn, suppresses its apoptotic activity by preventing GD3 to reach the mitochondria.90

Other potential downstream targets of gangliosides are the Bcl-2 family of proteins. Pro- and antiapoptotic Bcl-2 family members are involved in the ER stress response-mediated apoptotic pathway. These proteins, which were thought to act exclusively in the mitochondria, are also localized in the ER.91 Hence, they are present in key locations where Ca<sup>2+</sup> is transported, and they may help control Ca<sup>2+</sup> flux. Antiapoptotic Bcl-2, a small integral membrane protein, localizes to the ER membrane where it is thought to decrease the Ca<sup>2+</sup> content of the ER and reduce the Ca<sup>2+</sup> efflux via the IP3R to the mitochondria.92 On the other hand, increased levels of Bcl-2 at the ER enhance the  $Ca^{2+}$  efflux by physical interaction of Bcl-2 with IP3R-1.<sup>93,94</sup> Although the molecular mechanism underlying this phenomenon is still unclear, these data suggest a Ca<sup>2+</sup>-mediated proapoptotic function of Bcl-2 in the ER that probably depends on its local concentration and interaction with other membrane components, whose function may be influenced by gangliosides.<sup>95</sup>

The ER-localized proapoptotic Bax and Bak undergo conformational changes and oligomerization upon  $Ca^{2+}$ -induced ER stress.<sup>96</sup> In turn, the proteins promote proteolytic processing of the ER-resident caspase-12 leading to cell death. In fact, targeted overexpression of Bak in the ER depletes its  $Ca^{2+}$  levels, activates caspase-12, and ultimately causes cell death. Thus, the ratio of Bax and Bak to Bcl-2

appears to be a key determinant of the levels of  $Ca^{2+}$  in the ER, as inferred from earlier studies.<sup>93,97</sup> In cells lacking Bax and Bak, uninhibited Bcl-2 leads to IP3R-1 hyperphosphorylation, enhanced ER  $Ca^{2+}$  release, and decreased steadystate ER  $Ca^{2+}$  stores.<sup>94</sup> Finally, Bid, Bik, and Bim, the upstream regulators of these Bcl-2 family members, are also influenced by proximal signals including ER stress. Considering that gangliosides and some of the Bcl-2 proteins colocalize at intracellular membranes, it is conceivable that changes in ganglioside concentration may activate signal pathways attributed to Bcl-2 family of proapoptotic proteins. Hence, controlling the intracellular levels of gangliosides appears to be crucial for the cell to determine its fate.

## Conclusion

The finding that gangliosides are active mediators of apoptotic programs has opened a remarkable and interesting field of research that has improved our knowledge of the function of these molecules in physiologic and pathologic conditions. Understanding the hierarchy in interorganelle crosstalk and the way that ER and mitochondria interact in response to stress will help to unravel the multifaceted roles of gangliosides in the apoptosis and signal transduction pathways. So far, Ca<sup>2+</sup> signaling appears to be the key player in the apoptotic arena, but the molecular mechanism(s) by which gangliosides perturb intracellular Ca<sup>2+</sup> flux that ultimately result in cell death need to be further clarified. The Bcl-2 family of proteins could serve as intermediate components of the ganglioside-mediated apoptotic program induced by disturbance of the ER Ca<sup>2+</sup> homeostasis. This hypothesis does not necessarily exclude the possibility that gangliosides may also directly affect the MMP and cause mitochondrial damage. In either of the scenarios, gangliosides integrate a plethora of cellular responses by impinging on the functional integrity of intracellular membranes. A full understanding of the involvement of gangliosides in the cell death program will allow us to target molecular effectors that would potentially prevent apoptosis-modulating signals in numerous conditions where gangliosides appear to be harmful.

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## 414