

1 **REVIEW**

2 **Gene expression biomarkers of heat stress in scleractinian corals: Promises and limitations**

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19 **Abstract**

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21 Gene expression biomarkers (GEBs) are emerging as powerful diagnostic tools for identifying
22 and characterizing coral stress. Their capacity to detect sublethal stress prior to the onset of signs
23 at the organismal level that might already indicate significant damage makes them more precise
24 and proactive compared to traditional monitoring techniques. A high number of candidate GEBs,
25 including certain heat shock protein genes, metabolic genes, oxidative stress genes, immune
26 response genes, ion transport genes, and structural genes have been investigated, and some
27 genes, including *hsp16*, *Cacna1*, *MnSOD*, *SLC26*, and *Nf-kB*, are already showing excellent
28 potential as reliable indicators of thermal stress in corals. In this mini-review, we synthesize the
29 current state of knowledge of scleractinian coral GEBs and highlight gaps in our understanding
30 that identify directions for future work. We also address the underlying sources of variation that
31 have sometimes led to contrasting results between studies, such as differences in experimental
32 set-up and approach, intrinsic variation in the expression profiles of different experimental
33 organisms (such as between different colonies or their algal symbionts), diel cycles, varying
34 thermal history, and different expression thresholds. Despite advances in our understanding there
35 is still no universally accepted biomarker of thermal stress, the molecular response of corals to
36 heat stress is still unclear, and biomarker research in *Symbiodinium* still lags behind that of the
37 host. These gaps should be addressed in future work.

38 **Keywords: coral, gaps, gene expression biomarkers, thermal stress, variations**

44 1. Introduction

45

46 Scleractinian corals are the principal habitat builders of modern coral reefs. As such, they are
47 critical components of one of the most diverse ecosystems on earth, harboring 32 of the 34
48 recognized animal phyla, including 800 hard coral species and more than 4,000 species of fish
49 (Birkeland, 1997; Spalding *et al.*, 2001). Corals are delicate symbioses between an animal host
50 and diverse dinoflagellate algae in the genus *Symbiodinium*, also commonly referred to as
51 ‘zooxanthellae’ (Wells, 1957). Climate change, overfishing, nutrient pollution, disease, ocean
52 acidification, and coastal development are among the escalating direct and indirect human
53 pressures contributing to reef decline (Brown, 1997; Hughes, 2003), and many of these varied
54 stressors can result in coral bleaching (the expulsion of algal symbionts, or a reduction in their
55 per-cell pigment concentrations) (Coles and Jokiel, 1977; Falkowski and Muscatine 1981; Lesser
56 *et al.*, 1990; Dove *et al.*, 2000). The breakdown of the cnidarian-*Symbiodinium* partnership
57 results in a significant energy loss for the animal host, leading to reduced growth and
58 reproduction, and increasing the risk of disease and starvation (Bruno *et al.*, 2007; Hoegh-
59 Guldborg *et al.*, 2007). Mass coral bleaching events occur when bleaching affects the majority of
60 the zooxanthellate (“symbiont-bearing”) hosts on a reef, and typically occurs over large spatial
61 scales (1000s of km²) (Hoegh-Guldborg, 1998). The occurrence of natural disturbances, such as
62 rising sea surface temperature (SST) and ocean acidification, is increasing as a result of climate
63 change (Hoegh-Guldborg *et al.*, 2007). Sustained periods of elevated SSTs, usually in shallow
64 areas where the incident solar irradiance is also high, are now recognized as the principal factor
65 driving contemporary mass coral bleaching events. Severe episodes of mass coral bleaching
66 usually result in high coral mortality and decreases in coral cover. They also commonly lead to
67 changes in species composition, local extirpation of some reef species, and reductions in species
68 richness (Wilkinson *et al.*, 2008; Alemu and Clement, 2014). Ecological extinction of corals
69 reefs in some regions has been forecast to occur within the next 20 to 50 years if corals are
70 unable to adapt and/or acclimatize sufficiently rapidly to keep pace with warming, and if
71 effective reef management strategies are not quickly implemented (Sheppard, 2003; Hoegh-
72 Guldborg, 1999; Baird *et al.*, 2009; Bhagooli and Sheppard, 2012).

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74 Conservation of coral reefs is a global environmental concern, however the tools for
75 implementing proactive management solutions are currently lacking, particularly for evaluating
76 and predicting the health of corals *in situ* (Aswani *et al.*, 2015). The advent of molecular tools
77 and resources for corals has highlighted the possibility for gene expression biomarker (GEB)
78 development as a means of detecting and quantifying coral stress even before the onset of
79 symptoms (Kenkel *et al.*, 2011; Traylor-Knowles and Palumbi, 2014; Kenkel *et al.*, 2014).
80 Biomarkers are critical tools in biomedical research and clinical practice, where they are used to
81 determine whether patients will benefit from particular treatments (predictive biomarkers),
82 monitor the progression of a disease or efficacy of a prescribed treatment (monitoring
83 biomarkers) and even to predict survival (prognostic biomarkers; Oldenhuis *et al.*, 2008). Such a
84 molecular toolkit for corals could help reef managers identify reefs under stress, pinpoint the
85 causative stressors, and target resilient individuals for restoration. For example, corals from a
86 reef showing stress response biomarkers could be transplanted to a healthier site, or corals
87 showing heat resistance biomarkers could be transplanted or selected for adaptive breeding
88 programs (van Oppen *et al.*, 2015) to prevent collapse of vulnerable reefs.

89

90 However, despite more than a decade of research, it is unclear how accurately can we predict the
91 occurrence of stress factors based on changes in the expression of coral and symbiont genes. This
92 is primarily the result of substantial variation in the stress tolerance of different species (Rowan,
93 2004) and species combinations (Rocker *et al.*, 2012) as well as in gene expression patterns
94 (Granados-Cifuentes *et al.*, 2013). Research into these areas, as well as into ontogenetic changes
95 in gene expression, are emerging as frontiers in the field of GEB development.

96
97 This review synthesizes the current state of knowledge in the field of coral GEBs, addresses the
98 potential drivers of variation between studies in results, and highlights gaps in our knowledge to
99 outline a framework for the direction of future research in this area.

102 **2. Gene expression biomarkers of heat stress**

103
104 In predictive medicine, the term biomarker refers to biological measurements used in the
105 prediction of disease risk and early detection of disease to improve treatment selection and
106 monitor the outcome of therapeutic interventions (Simon, 2011). A “Genomic Biomarker” is
107 therefore a DNA or RNA sequence with similar properties. A gene expression biomarker should
108 reflect the expression of a gene, the function of a gene, and the regulation of a gene (Novelli *et*
109 *al.*, 2008). In the field of coral biology and conservation, the application of gene expression
110 biomarkers to diagnose heat stress in corals has raised a great deal of interest. Suitable GEB
111 candidates should be able to assess the heat stress of corals rapidly, before onset of visible signs
112 such as bleaching. Expression of genes can be immediate and early, where genes which are
113 expressed immediately after stimulation by external factors and are then downregulated, such as
114 *hsp 70*. Genes can also show delayed and late expression relative to the timing of the stimulus.
115 Expression of ‘late’ genes is normally induced by early genes (Chambers *et al.*, 1999).

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118 Research on gene expression patterns in coral, with the ultimate aim of informing conservation
119 efforts, started in the early 2000s. During this ‘discovery’ phase, some genes rose to scientific
120 prominence as they were repeatedly reported to be differentially expressed when the cnidarian
121 host and/or the symbiont were subjected to thermal and/or irradiance stress, well before the onset
122 of visible signs of stress, such as bleaching (Rosic *et al.*, 2014a). These genes included those
123 involved in heat shock response, metabolism, oxidative stress, immune response, and ion
124 transport, among others (Table 1, 2). These studies provided an initial impression of the
125 molecular stress response in corals and laid the foundation for the further development of
126 biomarkers (Figure 1). Below, we consider the most studied genes in corals and *Symbiodinium*
127 from each of these categories in turn and evaluate their potential applicability as gene expression
128 biomarkers.

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131
132 **Figure 1. Schematic representation of the expression of genes involved in thermal stress,**
133 **how they are involved in homeostasis, and the use of these genes as early biomarkers of**
134 **heat stress in corals**

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138 **2.1 Heat shock genes**

139 The most studied candidate genes in coral and *Symbiodinium* transcriptomic responses to thermal
140 stress are those encoding the heat shock proteins (HSPs) (Rodriguez-Lanetty *et al.* 2009; Kenkel
141 *et al.*, 2011; Leggat *et al.*, 2011; Meyer *et al.*, 2011; Rosic *et al.*, 2011) , particularly *hsp70* and
142 *hsp90*. HSPs are conserved proteins whose expression is triggered by a wide range of stressors
143 (Schmitt *et al.* 2006). HSPs are molecular chaperones and have vital cytoprotective functions.
144 They are involved in protein folding, unfolding, sorting transport, and assembly of complexes.
145 They also protect cells from apoptosis and stress (Li and Srivastava, 2004). During a stress event,
146 such as exposure to elevated temperature, events such as protein misfolding, aggregation or
147 disruption of regulation and disassembly of multiprotein complexes may occur, leading to
148 subsequent activation of signaling pathways. Through their cytoprotective functions, HSPs are
149 thought to restore proteolytic homeostasis. Upregulation of HSPs occurs through the heat shock
150 response (HSR) which is launched when the transcription factor HSF1 is activated and/or de-
151 repressed during a stress event. HSF1 ultimately binds to promoters of heat shock genes
152 (Pirkkanta *et al.*, 2001; Jolly and Morimoto 2000). Therefore, following heat stress, upregulation
153 of both coral and *Symbiodinium* HSPs is expected.

154

155 **2.1.1 *hsp70* is an early responder to general stress**

156 In stony coral hosts, expression of *hsp70* has been reported to be upregulated during laboratory-
157 induced thermal stress experiments. In a long-term thermal stress experiment, adult colonies of
158 *Acropora aspera* were exposed to a 1°C increase in temperature for 6 days and maintained at the
159 maximum experimental temperature (34°C) for two additional days. Significant up-regulation of
160 *hsp70* was reported on days 7 (6.4-fold increase compared to day 1) and 8 (8.2-fold) when the
161 temperature was 4°C above the control transcript levels of *hsp70* (Leggat *et al.*, 2011). Using
162 microarrays, Rodriguez-Lanetty *et al.* (2009) detected rapid upregulation of *hsp70* in
163 aposymbiotic larvae of *Acropora millepora* after 3 h of exposure to 28°C (~2-fold increase) and
164 31°C (~4-fold increase) relative to 24°C controls. However, after 10 h of exposure, expression
165 levels dropped at 28°C (~2-fold decrease) and 31°C (~2.5-fold decrease). However, after 10 h at
166 31°C, transcript levels of *hsp70* remained significantly higher relative to controls.

167

168 In *Symbiodinium*, *hsp70* gene expression levels rose when adult *A. millepora* colonies harboring
169 *Symbiodinium* clade C3 were exposed to both rapid (+ 8°C over 18 h) and gradual (+ 7°C over 5
170 d) thermal stress (Rosic *et al.*, 2011). A 0.39-fold increase in symbiont *hsp70* transcript
171 abundance was reported after 18 h during the gradual thermal stress experiment when
172 temperature was 29°C (3°C above the control). However, after reaching the target maximum
173 experimental temperature of 32°C, *hsp70* expression levels then dropped (by 0.59-fold after 18 h

174 in the rapid ramp treatment and by 0.69-fold after 120 h in the gradual ramp treatment). The
175 different responses could be due to different ramping and sampling times. Leggat *et al.* (2011)
176 also reported a much more limited increase in *hsp70* gene expression of the symbiont (only a
177 1.2-fold increase on day 5 of the gradual ramping experiment, when temperatures reached 32°C).
178 Although the data is limited, the differences in the expression responses of *hsp70* between the
179 host and symbiont suggest that *Symbiodinium* may be less capable of transcriptional
180 acclimatization than their hosts.

181 Expression of *hsp70* is also altered by other stresses. When the coral *Montastraea (Orbicella)*
182 *franksi* was exposed to copper, *hsp70* was upregulated by ~5 fold after 4 h of exposure to 100
183 ppb and ~3.5 fold after 8 h of exposure to both 30 and 100 ppb. Exposure to oil dispersant
184 (Corexit TM9527) at concentrations of 5, 10 and 50ppm, caused the expression of *hsp70* to
185 increase by 3 -3.5 fold after 8 h (Venn *et al.*, 2009).

186 Non-symbiotic dinoflagellates have also exhibited upregulation of *hsp70*. For example,
187 *Prorocentrum minimum* showed upregulation by ~7-fold in response to 24 h exposure to copper
188 (0.50 mg L⁻¹) and by 1.4 and 1.8 fold when exposed to 0.1 mg L⁻¹ and 0.2 mg L⁻¹ bisphenol A, a
189 component of plastics and epoxy resin (Guo *et al.*, 2012).

190 Further experiments are required to confirm this trend across taxa, determine the relative
191 timescale of responses and range of detectability of fold-changes.

192

193 **2.1.2 *hsp90* is an early responder to general stress**

194 Microarray analyses on adult *Montastraea (Orbicella) faveolata* colonies revealed that host
195 *hsp90* was slightly up-regulated after thermal stress, with a 1.28-fold increase in abundance after
196 ~11 days of abrupt exposure to 32°C, compared to controls at ~29°C. (Desalvo *et al.*, 2008).
197 Another long-term thermal stress study reported up-regulation of *hsp90* in adult *Acropora aspera*
198 nubbins when gradually exposed to 32°C, compared to controls at 28°C. In this case, *hsp90*
199 transcript levels increased with exposure time with 1.5, 4.9 and 10.5-fold up-regulation on days
200 5, day 7 and day 8, respectively, as determined by quantitative PCR (Leggat *et al.*, 2011) .
201 Similarly, aposymbiotic larvae of *A. millepora* showed ~1.5-fold upregulation of *hsp90* after 3 h
202 abrupt exposure to 28°C (compared to controls at 24°C). The extent of up-regulation was even
203 higher (3-fold) when they were abruptly shocked at 31°C (Rodriguez-Lanetty *et al.*, 2009). In the
204 genus *Porites*, a comparable pattern of *hsp90* expression, assayed by qPCR, was observed in
205 adult colonies of *P. astreoides* when exposed to heat stress. In a laboratory-induced heat/light
206 stress experiment, *hsp90* expression was up-regulated by approximately 6-fold after 3 h of
207 exposure to 35–36°C (7-8°C warmer than controls and with 10-fold higher light intensity; Kenkel
208 *et al.*, 2011). In a similar study, it was noted that when exposure time to thermal stress (31°C)
209 was extended to 6 weeks, down-regulation of *hsp90* gene expression occurred, as assayed by
210 qPCR. Colonies that paled in the study exhibited a 2.4-fold decrease in *hsp90* expression, while

211 colonies that bleached showed a 1.6-fold down-regulation (Kenkel *et al.*, 2013). This sustained
212 stressed possibly caused irreversible cellular damage. The observed down-regulation might also
213 be the consequence of the decrease in cell density.

214 In contrast, in the symbiont, the *hsp90* gene is reported to be downregulated following thermal
215 stress. After 18 h of thermal stress, *hsp90* gene expression was significantly downregulated by
216 0.57-fold at 26°C and by 0.43-fold at 32°C (compared to controls at 24°C). Prolonged thermal
217 stress led to further declines, i.e., by 0.23-fold after 72 h at 29°C and 0.22-fold after 120 h at
218 32°C. The same expression patterns were observed in freshly isolated and cultured
219 *Symbiodinium* cells in a control experiment (Rosic *et al.*, 2011). Leggat *et al.* (2011) also showed
220 that *Symbiodinium hsp90* gene expression decreased following 32°C thermal stress over 7 d (by
221 0.26-fold) and 8 d (by 0.23-fold).

222 The expression of coral *hsp90* is also altered by other stresses. When *M. franksi* was exposed to
223 copper at concentrations of 30 and 100 ppb, the expression of coral *hsp90* was upregulated by
224 2.5 and 2.3 fold respectively after 8 h. The specificity of *hsp90* to other stress factors remains to
225 be evaluated (Venn *et al.*, 2009).

226 Why *Symbiodinium* and the coral host exhibit opposite patterns of *hsp90* gene expression upon
227 exposure to thermal stress is still not understood. A host-cnidarian buffering system might be
228 involved, partially protecting the symbiont from physiological stress by assisting in specific
229 cellular processes (Barshis *et al.*, 2014; Richier, 2005).

230 **2.1.3 *hsp16***

231 Small HSP (smHSPs), such as *hsp16*, generally assist other chaperones in the refolding of
232 denatured polypeptides and prevent their aggregation (Veinger *et al.*, 1998). *Hsp16* is one of the
233 most responsive genes to heat stress reported to date in corals. When adult colonies of *Porites*
234 *astreoides* were subjected to lab-induced irradiance (100 times higher than control) and thermal
235 stress (7-8°C above controls), a ~700-fold and ~800-fold upregulation of *hsp16* was observed in
236 two different experiments (Kenkel *et al.*, 2011). In a follow-up study investigating gene
237 expression at lower stress intensity (no irradiance stress and heat stress of +4°C), Kenkel *et al.*
238 (2014) reported 10 times less upregulation of *hsp16* indicating a large dynamic range in this
239 gene. This makes it a good candidate as a biomarker of heat stress, but gene expression has been
240 investigated only in genus *Porites* and no studies have targeted the response of *hsp16* in
241 *Symbiodinium* with respect to thermal stress. In addition, the specificity of *hsp16* expression
242 response to thermal stress alone remains to be determined. The potential shown by this gene, as
243 marker of heat stress in coral, warrants future research. *Hsp16* expression during heat stress has
244 not been studied in *Symbiodinium*. Such a promising GEB definitely deserves attention in the
245 symbiont as well.

246 **2.1.4 *hsp60***

247 *Hsp60*, also known as chaperonin 60 or cpn 60, is a Group I chaperonin found in mitochondria
248 but also in cytosol, vesicles, extracellular space, cell membrane and blood (Cappello *et al.*,
249 2008). In corals, a ~4-fold up regulation was observed in adult colonies of *Porites astreoides*
250 after exposure to heat stress (Kenkel *et al.*, 2011). Expression also tended to be upregulated in
251 response to temperatures 2°C above ambient (29°C to 31°C) and showed a ~2.2 fold increase in
252 transcript abundance between 31°C and 33°C, suggesting expression is graded in response to the
253 level of stress experienced (Kenkel *et al.*, 2014). Western blot analysis of *hsp60* protein
254 expression showed comparable patterns when *Seriatopora hystrix*, *Montipora monasteriata* and
255 *Acropora echinata* were heat shocked at 34°C. An initial increase in *hsp60* protein expression
256 was noted but sustained stress brought about a downregulation of the protein expression (Seveso
257 *et al.*, 2014). Consistent early upregulation of *hsp60* following heat stress in corals has been
258 observed in all these studies, but different temporal responses have not been studied. No studies
259 have yet investigated the response of *hsp60* gene in *Symbiodinium*, or tested the specificity of
260 *hsp60* to thermal stress.

261 **2.1.5 *Tcp-1***

262 T complex polypeptide is an *hsp60* family member (Wagner *et al.*, 2004) playing an important
263 role in the folding of various proteins including actin and tubulin. *Tcp-1* seems to exhibit a
264 relatively delayed response to heat stress after other HSPs have responded. In the larvae of *A.*
265 *millepora* no response to thermal stress was detected for this gene in the first 10 h of exposure to
266 28°C or 32°C (Rodriguez-Lanetty *et al.*, 2009). In the coral *Orbicella faveolata* *Tcp-1* was
267 upregulated 1.36-fold after 24 hours at 32°C (Desalvo *et al.*, 2008). Future work should further
268 investigate the response of *Tcp-1* to thermal stress as, in contrast to other HSPs, *Tcp-1* could be
269 involved in a delayed heat stress response. No studies have investigated gene expression patterns
270 of *Tcp-1* in *Symbiodinium*.

271

272 **2.2 Oxidative stress genes are late responders to general stress**

273 Reactive oxygen species (ROS) production is a key element in the cellular pathology of
274 bleaching, regardless of stressor (Baker & Cunning 2016). During thermal stress, photosynthetic
275 dysfunction leads to the accumulation of ROS and is highly damaging to cells. ROS denature
276 proteins, damage nucleic acids and oxidize membranes (Lesser, 2006; Weis, 2008). The first line
277 of defense against ROS involves induction of superoxide dismutase (SoD), manganese
278 superoxide dismutase (MnSoD), glutathione peroxidase (Gpx1), peroxidasin homologue
279 precursor (Pxdn) and thioredoxin (Txn). These enzymes convert ROS to hydrogen peroxide
280 (H₂O₂) and water. Catalase (Cat) activity then regulates the increasing amount of H₂O₂ in host
281 cells (Merle *et al.*, 2007). Upregulation of these oxidative stress genes is expected following heat
282 stress as a direct consequence of increased oxidative stress.

283

284 Seneca *et al.* (2010) sampled tagged *A. millepora* colonies in the field during a bleaching event
285 in 2002. They reported 1.81-fold upregulation of a catalase homolog (AmCaT) in thermally

286 stressed (bleached) samples exposed to naturally elevated temperature of 32°C compared to
287 expression in the same corals under normal environmental conditions (< 29°C) one year earlier.
288 Souter *et al.* (2011) suggested MnSoD as a useful bioindicator of bleaching stress in corals as
289 they observed significant and consistent upregulation by 1-fold in adult *A. millepora* after 9 d of
290 exposure to 32°C. An increase in oxidative stress genes was also observed in adult *M. faveolata*,
291 with glutathione-s-transferase sigma and thioredoxin reductase 1(*TR-1*) being upregulated by
292 1.26 and 1.36-fold following thermal stress of 32°C for ~11 days (Desalvo *et al.*, 2008).
293 Interestingly, in aposymbiotic larvae of *A. millepora* subjected to heat stress of 31°C, no increase
294 in oxidative stress genes was observed (Rodriguez-Lanetty *et al.*, 2009). These results support
295 existing evidence indicating *Symbiodinium* photosystem II as the principal source of ROS in host
296 cells (Downs *et al.*, 2000; Weis, 2008, Jones *et al.*, 1998). However, in a similar experiment
297 where embryos of *M. faveolata* were subjected to heat stress, upregulation of some oxidative
298 stress related genes (cytochrome p450, soma ferritin, catalase and peroxidase-like protein) by 1-
299 2-fold was observed after 48 h of exposure to 29°C and 31.5°C (Voolstra *et al.*, 2009). The
300 peroxidase-like protein showed the highest susceptibility to heat stress among the candidate
301 oxidative stress, with 12.4-fold upregulation after 48 h at 31.5°C (Voolstra *et al.*, 2009).
302 However, when adult colonies of *M. faveolata* were subjected to longer exposure times (~11
303 days) at 32°C, peroxidase-like protein was the most downregulated gene (3.45-fold, Desalvo *et*
304 *al.*, 2008). However, hypersaline stress has also been reported to increase expression of
305 thioredoxin by 2-fold (46 ppt) and 1.7-fold (43 ppt).

306 In *Symbiodinium*, cytochrome P450 (*CYP*) genes have been reported to be upregulated by 2.5 to
307 4-fold at moderately elevated temperatures (+ 3°C and +6°C above ambient) (Rosic *et al.*, 2010).
308 However, expression of these genes decreases to initial levels at +9°C above ambient, possibly as
309 a result of impairment of photosynthesis, cellular damage and decrease in cell density at
310 temperatures above 30 °C. Future research need to be done to confirm if cytochrome *CYP* genes
311 show consistent upregulation under heat stress.

312 Expression of ROS genes is not necessarily specific to thermal stress alone. Exposure of *M.*
313 *franki* to the copper (30 µg L⁻¹) for 48 h resulted in upregulation of the oxidative genes
314 glutathione S transferase (1.2-fold), peroxidase-homolog-like (3.2-fold), catalase (1-fold) and
315 cathepsin B (0.5-fold; Schwarz *et al.*, 2013). Furthermore, induction of an oxidative stress-
316 responsive protein was also observed when *A. tenuis* was exposed to tributyltin chloride, an
317 antifouling agent (Yuyama *et al.*, 2012). The soft coral *Scleronephthya gracillimum* also
318 exhibited upregulation of the antioxidant gene ferritin following exposure to a polycyclic
319 aromatic hydrocarbon, Benzo(a)pyrene (Woo *et al.*, 2012).

320 Overall, certain oxidative stress response genes may be good candidates as late heat stress gene
321 expression biomarkers, particularly peroxidase-like proteins (Voolstra *et al.*, 2009). However,
322 the broad response of some of these genes to multiple stressors suggests they are not specific to
323 temperature stress alone.

324

325 **2.3 Immune response genes respond to general stress**

326 Several studies have highlighted the correlation between bleaching events and subsequent
327 disease outbreaks (Muller *et al.*, 2008; Cróquer and Weil, 2009; Rogers *et al.*, 2009). Rodriguez-
328 Lanetty *et al.* (2009) hypothesized that high temperature may have a detrimental effect on the
329 host innate immune system, based on their observation that a c-type mannose-binding lectin
330 gene, was downregulated by 3-fold in *A. millepora* following 10 h exposure to thermal stress
331 (31°C). In *Porites*, complement C3, another key player in innate immunity, was also
332 downregulated by 6-fold following heat (7-8°C above ambient) and light (100x higher than
333 ambient) stress (Kenkel *et al.*, 2011). However, there was no significant change in expression of
334 complement C3 following short-term temperature exposure (29-33°C), and no differential
335 regulation was observed among bleached and healthy corals collected during a natural bleaching
336 event (Kenkel *et al.*, 2014). Conversely, the expression of a major transcription factor involved
337 in regulating immune response, *Nf-kβ* (*Nf-kβ1*, *Nf-kβ2*) increases by 1-2-fold as a result of 9-days
338 heat stress at 32°C (Souter *et al.*, 2011). Results from these different studies demonstrate that
339 immune response genes are potentially valuable direct biomarkers of heat stress, but may also be
340 indirectly influenced by disease pathology. Complement factor C3-like protein (C3-Am) was
341 upregulated by ~0.5-fold following physical injury. The mannose binding lectin, Millectin, was
342 upregulated by ~0.3-fold and ~0.15-fold after 45 and 360 minutes, respectively, following
343 lipopolysaccharide (25g) injection. C3-like protein (C3-Am) was also upregulated by ~0.15 and
344 0.8-fold following peptidoglycan (5g) injection after 6 and 12 h, respectively. These injections
345 mimicked events occurring during infection by pathogens (Kvennefors *et al.*, 2010). Other genes
346 involve in the immune response (e.g., astacin and cathepsin L alpha-macroglobulin and serine
347 proteinase inhibitor) were also shown to be 1-3 fold upregulated in disease tissue associated with
348 white syndrome, compared to healthy tissue (Wright *et al.*, 2015). Additional studies are needed
349 to confirm the direction of regulation, as well as the specificity and timing of expression
350 response. The response of immune genes in the symbiont has not been studied.

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354 **2.4 Genes involved in calcium ion (Ca²⁺) signaling respond to general stress**

355 Transport of ions across cellular membranes is vital for cellular homeostasis and transepithelial
356 transport or neuronal signal transduction. In addition, transport of calcium ions is a key process
357 in coral calcification (i.e., growth, Al-Horani *et al.*, 2003; Furla *et al.*, 2000; Marshall *et al.*,
358 2007), which is known to be affected by heat stress (Huang *et al.*, 1998). A calcium transporter,
359 *Cacna1s* was 5-fold upregulated following thermal stress (~4°C above ambient) in *A. millepora*
360 larvae (Meyer *et al.*, 2011). The high responsiveness of *Cacna1s* makes it an interesting potential
361 biomarker. A member of the *SLC26* family, a putative bicarbonate/chloride exchanger, is among
362 the most differentially expressed genes following heat stress (Kenkel *et al.*, 2013). In *Porites*
363 *astreoides*, 92-fold downregulation of this gene has been reported following exposure to 30.9°C
364 (Kenkel *et al.*, 2013).

365 Calcium-modulated protein, also known as *Calmodulin* or *CaM*, is a calcium binding protein.
366 Ca^{2+} binds to *CaM*, which acts as an intermediate messenger protein, and in turn regulates target
367 proteins to bring about various responses (Stevens, 1983). When adult colonies of *M. faveolata*
368 were exposed to sudden heat stress at 32°C for 24 h, a downregulation of *CaM* was observed
369 (DeSalvo *et al.*, 2008). A slight decrease in *CaM* transcript abundance (1.38-fold) was also
370 observed following thermal stress (3°C above ambient for 10 d) in adult colonies of *Acropora*
371 *palmata* (DeSalvo *et al.*, 2010a). Yet, when aposymbiotic larva of *Acropora millepora* were
372 exposed to abrupt thermal stress (7°C above ambient) for 3 and 10 h, stable expression of *CaM*
373 was observed (Rodriguez-Lanetty *et al.*, 2009). These authors suggested that differential
374 expression of *CaM* in other studies was influenced by the presence of stressed symbionts.
375 Further experiments are needed to confirm either of these two trends.

376 Genes involved in calcium ion transport processes also show differential regulation under
377 elevated pCO₂, or ocean acidification scenarios. Upregulation of genes involved in calcium and
378 carbonate transport, conversion of CO₂ into HCO₃⁻ and organic matrix proteins was reported in
379 the coral *Pocillopora damicornis* after gradual exposure to decreased pH of 7.2-7.8 for three
380 weeks (Vidal-Dupiol *et al.*, 2013). This suggests that regulation of ion transport is modified by
381 acidification stress in addition to thermal stress. Studies have yet to target ion transport genes in
382 *Symbiodinium*.

383 **2.5. Most genes involved in central metabolism tend to be poor biomarkers of heat stress**

384
385 Metabolic genes include candidates involved in pathways such as glycolysis, the tricarboxylic
386 acid cycle (TCA cycle), gluconeogenesis, and fatty acid synthesis. Early microarray work on
387 *Orbicella faveolata* coral embryos concluded that metabolic genes were more downregulated
388 than upregulated after 12-48 h of heat stress at 2-4°C above ambient (Voolstra *et al.*, 2009). Of
389 the 14 candidate metabolic genes studied, five were upregulated and the remaining was all down
390 regulated (Table 1). These results are consistent with those of Desalvo *et al.* (2008), who
391 reported downregulation of all six metabolic genes assayed in their microarray analysis in adult
392 colonies of *Orbicella faveolata* subjected to thermal stress 3°C above ambient (Table 1). Modest
393 changes were observed in both studies, ranging from 1.11- to 1.8-fold down regulation. GAPDH
394 is a commonly used control gene for qPCR-based studies (Kenkel *et al.*, 2011; Souter *et al.*,
395 2011; Seneca *et al.*, 2010), but it also exhibits differential expression patterns in response to
396 temperature stress. In adult *Acropora aspera*, upregulation by 1.7, 1.9 and 4.4-fold was observed
397 after 5, 7, and 8 days, respectively, at 4-6°C above ambient (Leggat *et al.*, 2011). Conversely,
398 this gene was shown to be downregulated in naturally bleached *P. astreoides* (Kenkel *et al.*,
399 2014). Similar to GAPDH, adenosine kinase was used as internal control gene in one RT-qPCR
400 experiment (Kenkel *et al.*, 2011) but showed 2.0-2.1 downregulation as candidate gene in a
401 RNA-Seq experiment (Kenkel *et al.*, 2013). Significant upregulation of other metabolic
402 candidates including α -ketoglutarate (1.2, 2, and 1.3-fold, after 3, 7, and 8 days, respectively, at
403 4-6°C above ambient), glycogen synthase (1.7-fold after 8 days at 6°C above ambient) and
404 glycogen phosphorylase (1.5, 1.8, and 3.6-fold after 5, 7, and 8 days, respectively, at 4-6°C
405 above ambient) has also been observed in response to heat stress (Leggat *et al.*, 2011). While
406 phosphoenolpyruvate carboxykinase (*PEPCK*) was upregulated 2-fold when measured after six

407 weeks of thermal stress at 3°C above ambient (Kenkel *et al.*, 2013). *Symbiodinium* metabolic
408 genes have not been investigated to any great extent. Transcript abundance of *Symbiodinium*
409 *GAPDH* and α -ketoglutarate slightly increased during thermal stress (4-6°C above ambient) by
410 1.3-fold and 1.2-fold increase respectively (Leggat *et al.*, 2011).

411
412 By themselves, metabolic genes tend to be poor candidates of biomarkers of heat stress in corals
413 due to their variable response and low magnitude change in expression. Possibly, as discussed by
414 Leggat *et al.* (2011), metabolic genes encode key metabolic proteins that are not solely regulated
415 by transcription but are also subject to post-translational and allosteric modifications. The
416 importance of these post-translational mechanisms can only be assessed by proteomics analyses.
417 However, *PEPCK* may be worth further investigation, because expression of this gene is
418 believed to be link to increase host gluconeogenesis to compensate for symbiont loss. Several
419 metabolic genes have been studied but still have not received enough attention as most of the
420 genes have been targeted by one study till now.

421 **2.6 Structural genes are possibly heat stress specific**

422 Structural genes encode proteins whose primary function is to form part of a physical structure
423 within a cell. Although commonly used as internal control genes in many studies (Pagarigan and
424 Takabayashi, 2008; Vandesompele *et al.*, 2002), coral actin genes were highly responsive to
425 acute thermal stress (3 h at 3-6°C above ambient), with consistent ~4-fold downregulation in
426 *Porites* spp. (Kenkel *et al.* 2011, 2014). Further evidence of differential expression of structural
427 genes following heat stress were also reported in *Montastraea (Orbicella) faveolata* and
428 *Acropora palmata*. In *M. faveolata*, differential expression of five genes associated with the actin
429 cytoskeleton were observed following 10 days of abrupt exposure to heat stress of ~32°C.
430 Gelsolin , lethal giant larvae homologue 2 , and tropomyosin were downregulated whereas
431 myosin 7 A (MYO7A) and myosin 9 A (MYO9A) are slightly upregulated (Desalvo *et al.* 2008).
432 Similarly, in *A. palmata*, Gelsolin, tropomyosin, Tropomyosin-2, Myosin-2 essential light chain
433 and L-Actin were downregulated by 1- to 2-fold after 1 day of gradual heat stress of ~32.7°C. Only
434 Myosin-10 was upregulated by approx 3-fold (Desalvo *et al.* 2010a). Moreover, when the coral
435 *Stylophora pistillata* was exposed to the pollutant Aroclor 1254, there was no change in the
436 expression of an actin-related protein 2/3 complex (Chen *et al.*, 2012), suggesting these genes
437 may be specific to heat stress. No studies have yet targeted structural genes in *Symbiodinium* for
438 a heat stress study, although actin has been used as a housekeeping gene (Leggat *et al.*, 2011).

439

440

441

442 **2.7 Other candidate genes**

443 *Exocyst complex component 4 (EXOC4)* is a component of the exocyst complex, a multiple
444 protein complex essential for targeting exocytic vesicles to specific docking sites on the plasma
445 membrane (Terbush *et al.*, 1996). *EXOC4* is known to interact with the actin cytoskeletal
446 remodelling and vesicle transport machinery, hence *EXOC4* is thought to be linked to the process
447 of symbiont expulsion. Upregulation of this gene in paling, but not fully bleached or healthy

448 corals, provides support for this proposed role (Kenkel *et al.*, 2013). However, further
449 experiments are needed to determine specificity of this expression and confirm the pattern.

450

451 **2.8 Internal controls for GEB assays**

452 Genes whose expression does not vary in the tissues or cells under investigation, or in response
453 to experimental treatment are normally used as internal control genes. Internal control genes,
454 also referred to as housekeeping or reference genes, help in normalization of gene expression
455 assays to eliminate between-samples variations (Vandesompele *et al.*, 2002). However,
456 depending on the design of the assay (e.g. the ‘double-gene assays’ developed by Kenkel *et al.*
457 2011, 2013) or the statistical method of analysis (e.g. Bayesian analysis can be control-gene
458 independent, Matz *et al.*, 2013), internal control genes may not always be necessary.

459 In studies on heat stress in corals, genes showing the most stable expression in response to the
460 selected stress should be identified and/or verified for each focal stressor and species as part of
461 the study. Typical internal control gene candidates in coral hosts include ribosomal proteins, e.g.
462 host Ribosomal protein S7 (Rp-S7; Leggat *et al.*, 2011; Souter 2011). Ribosomal protein L11
463 (RPL11) and the elongation initiation factor 3H (EIF3H) and have proven to be the most stable
464 in *P. astreoides* (Kenkel *et al.*, 2011; 2014). In *Symbiodinium*, ribosomal protein S4 (Rp-S4;
465 Rosic *et al.*, 2010; 2011; 2014), and S-adenosyl-L-methionine synthetase (SAM; Rosic *et al.*,
466 2010; 2011; 2014a) are commonly used, among others.

467 **Table 1. List of candidate genes studied to date with potential use as biomarkers of thermal stress. Duration of exposure,**
468 **d=days, h=hours. Treatment type, The non-preconditioned =NPC, preconditioned =PC. Ramped thermal stress =†, immediate**
469 **thermal stress =*.**

470

471

472

473

474

475 **Table 2. List of differentially expressed *Symbiodinium* genes following heat stress that may be potential biomarkers of thermal**
476 **stress.**

477 3. Source of variability between studies and potential solutions

478 For some of the candidate biomarker genes in host and symbionts, consistent trends of regulation
479 during heat stress have been observed in several studies. However, many other genes differ in the
480 magnitude of change or their direction of expression (Fig. 2). Differences in experimental
481 procedures such as acclimation conditions, acclimation time, initial ramping rate, sampling time
482 points, water quality, light exposure, and gene expression quantification method, as well as
483 differences in host and symbiont biology (Rodriguez-Lanetty *et al.*, 2009; Voolstra *et al.*, 2009;
484 DeSalvo *et al.*, 2010b; Rosic *et al.*, 2010; 2014a; 2014b; Leggat *et al.*, 2011; Meyer *et al.*, 2011;
485 Kenkel *et al.*, 2011, 2013; Barshis *et al.* 2014; Parkinson *et al.*, 2016) may account for
486 differences in results across studies (Table 3). In addition, variation in gene expression also
487 occurs at different life stages (Hill *et al.*, 2000). Therefore, direct comparison between studies
488 using adult and larval stages may be problematic.

489
490 **Figure 2 (A) Number of studies reporting upregulation or downregulation of gene**
491 **expression biomarkers in adult corals (N=24 studies). Corals are grouped according to**
492 **coral morphology. *Symbiodinium* are *in hospite*, except in culture where noted. (B) Number**
493 **of studies reporting upregulation or downregulation of gene expression biomarkers in coral**
494 **larvae (N=11 studies).**

495

496 Table 3. Summary of sources of variation between studies

497

498 3.1 Differences in experimental procedures

499 Most studies have attempted to simulate a thermal stress event in the lab but differences in
500 experimental design (such as pre-acclimation time and conditions, initial ramping rate, sampling
501 time points, water quality, light exposure) may result in different responses. Furthermore,
502 different studies used different gene isoforms, which may have different biological roles and
503 expression patterns, this can also account for variation in results (Table 1). Certain genes, e.g
504 *hsp90*, are considered “hub genes” due to their involvement in multiple pathways (Lehner *et al.*
505 2006). Differential expression may depend on the pathway being more solicited during heat
506 stress.

507

508 3.2 Comparing field studies to lab-induced thermal stress

509 At the current early stage of biomarker development, both field studies and laboratory
510 experiments have studied expression of candidate genes. Results from these studies cannot be
511 directly compared, as laboratory experiments test the specific effects of one or two factors
512 whereas in field studies multiple factors vary naturally, potentially influencing expression of

513 genes. Rather than direct comparisons, the specificity and expression range of biomarkers should
514 be tested under controlled laboratory conditions. Similar to human clinical trials, after
515 biomarkers are validated in the laboratory broader field applicability testing is warranted.

516

517 **3.3 High natural variation in gene expression**

518 Evidence of high variability in gene expression between different colonies has been commonly
519 reported. Granados-Cifuentes *et al.* (2013) reported that 17% of genes in their microarray were
520 differentially expressed in six *A. millepora* colonies after four weeks of acclimatization in a
521 common garden experiment with similar environmental conditions. Among those differentially
522 expressed were genes involved in oxidation/reduction, apoptosis, transport, translation and
523 response to general stimuli. These results support a previous study of *A. millepora* where two
524 candidate genes (AmSw, DY585805; AmTrib, DY587605), and an internal control gene,
525 (Ctg1913) showed inter-colony variation during a brief bleaching event (Seneca *et al.* 2010).
526 Császár *et al.* (2010) also observed high inter- and intra-colony variation in antioxidant genes,
527 ferritin, mnSOD, Zn²⁺-met and *hsp70* in different colonies of the same coral species after
528 exposure to thermal stress in the laboratory. Variation between *A. hyacinthus* colonies were
529 reported in an laboratory experiment mimicking extreme temperatures in the lagoon of Ofu
530 island, American Samoa (Seneca and Palumbi, 2015). Variation in gene expression also occurs
531 between different parts of the same coral colony. RNA-seq reveals that between coral tip and
532 base, genes involved in developmental pathways like Notch, Wnt, and BMP, extracellular matrix
533 production were differentially expressed (Hemond *et al.*, 2014).

534 Also, the same coral colony might be harbouring different *Symbiodinium*. The algal symbionts
535 vary in their thermotolerance (Rowan, 2004) and variation in gene expression has been
536 documented between different symbiont taxa (Parkinson *et al.*, 2016; Rosic *et al.*, 2014b). The
537 observed variation in such studies can be attributable to the fact that the *Symbiodinium* under
538 investigation may belong to different species or different individual strains. These differences
539 may be compounded under heat stress. Barshis *et al.* (2014) reported natural gene expression
540 variation between *Symbiodinium* lineages while studying the transcriptional profiles of different
541 *Symbiodinium* following heat stress, with 35% of candidate genes showing significant variation
542 attributable solely to *Symbiodinium* type. Hence intraspecific variation in coral expression seen
543 within colonies might also be the result of the fact that corals are responding to heat stress on
544 different *Symbiodinium* harboured in their gastrodermal cells.

545 Intercolony variation in gene expression might also occur as a result of allelic variation in the
546 host occurring between microclimates differing in environmental conditions such as temperature.
547 Bay and Palumbi (2014) demonstrated that colonies of *A. hyacinthus* from different pools of a
548 back reef lagoon in American Samoa differed in genotype. *A. hyacinthus* in the warmer pool had,
549 on average, almost twice as many alleles at selected loci as coral in the cooler pool. Genetically

550 diverse populations of *Porites astreoides* from inshore and offshore reefs of Florida Keys
551 differing in environmental conditions, with inshore reefs being subject to higher temperatures,
552 have been reported, (Kenkel *et al.*, 2013). It is argued that coral host genotype might play an
553 important role in holobiont capacity to resist heat stress and hence might be involved inter-
554 colony gene expression variability.

555 The expression of some genes in corals also changes naturally in the field over time. Edge *et al.*
556 (2008) followed the expression of a panel of 32 selected genes in a field population of *M.*
557 *faveolata*. The selected genes included those involved in key processors such as respiration,
558 oxidative stress, maintenance of cellular integrity, apoptosis, post-translational processing and
559 response to xenobiotic exposure. Most of these genes showed little variation from their average
560 level of expression during spring and early summer. Yet, in late summer, the variation in
561 expression of these genes was higher. Triggers of this natural variation in the field were
562 suspected to be environmental changes such as changes in temperature, salinity and light
563 intensity but might also be related to physiological events such as spawning.
564

565 **3.4 Thermal history**

566 Another factor affecting transcriptional profiles of corals in response to heat stress is their
567 thermal history. The influence of prior thermal exposure on coral response to subsequent heat
568 stress is known to affect response of corals to future stress. The transcriptional effect of pre-
569 conditioning corals to sub-lethal temperature was studied by Bellantuono *et al.* (2012). The study
570 revealed nine differentially expressed genes between pre-conditioned (PC) and non-conditioned
571 (NC) colonies when exposed to the same bleaching temperature for 10 days. Differences in
572 transcriptional profiles included both the magnitude of change in expression, and its direction.
573 Lectin, tyrosine kinase receptor, and follistatin showed consistent upregulation even after
574 preconditioning. These genes may be good candidate biomarkers of heat stress. Natural variation
575 in thermal history can also be a factor explaining contradictory results in the direction of
576 regulation of certain genes following heat stress between studies. Barshis *et al.* (2013) thermally
577 stressed colonies of *A. hyacinthus* from backreef environments with different thermal profiles,
578 and found that corals from highly variable sampling environments (summer maximum $\geq 34^{\circ}\text{C}$
579 and daily variation of 6°C) had higher expression of 60 genes under non-stressful conditions
580 compared to colonies from moderately variable environments. These ‘frontloaded’ genes were
581 less up-regulated in these ‘resilient’ corals when exposed to heat stress. Among these frontloaded
582 genes were heat shock proteins, and antioxidant enzymes, as well as genes involved in innate
583 immunity, cell adhesion, apoptosis and tumor suppression. Short-term pre-conditioning can also
584 elicit a frontloading response: when the coral *Acropora nana* was subjected to three different
585 acclimatization treatments, significant differences were observed in gene expression response
586 following heat stress. Corals acclimated to higher temperatures ($29\text{-}31^{\circ}\text{C}$) did not show changes
587 in gene expression compared to corals preconditioned to lower temperatures (less than 29°C) and
588 they also had higher physiological tolerance to bleaching (Bay and Palumbi, 2015).

589 **3.5 Symbiodinium identity**

590 Different *Symbiodinium* harbored can also account for discrepancies between similar studies.
591 DeSalvo *et al.* (2010b) found a positive relationship between symbiont and host transcriptomic
592 state when comparing gene expression profiles of *M. faveolata* colonies after acclimatization,
593 heat stress and recovery. They reported that transcriptomic profiles were similar for colonies
594 harboring the same *Symbiodinium* genotype, rather than colonies subjected to similar
595 experimental conditions. Similarly, Rocker *et al.* (2012) studied the transcriptional response of
596 juvenile *A. millepora* inoculated with different *Symbiodinium*. Juveniles harboring mixed
597 communities of *Symbiodinium* in clades C and D initially showed higher upregulation of *hsp70*
598 and *hsp90* genes following exposure to 32°C, compared to juveniles harboring only one
599 *Symbiodinium* type, but these genes were subsequently downregulated over the course of the
600 experiment. Conversely, juveniles harboring only a single *Symbiodinium* type showed no change
601 in expression during the experiment. Differential expression of genes based on symbiont
602 genotype was also shown in a laboratory thermal stress experiment, in which two photosynthetic
603 genes, *psb A* and *psa A*, were downregulated 2-3-fold only in heat sensitive *Symbiodinium* A13
604 and C1b-c (McGinley *et al.* 2012).

605 **3.6 Diel cycle**

606 As in other animals, gene expression in scleractinian corals can be affected by circadian rhythms.
607 In *A. hyacinthus*, up to 100-fold changes in gene expression were reported when comparing
608 response at noon vs. midnight. These genes included highly responsive genes, such as
609 transcription factors associated with cryptochromes, thyrotroph embryonic factor, and D site-
610 binding protein, as well as genes involved glucose transport and glycogen storage (Ruiz-Jones
611 and Palumbi, 2015). Brady *et al.* (2011) observed that the gene expression of certain genes in
612 aposymbiotic larvae and adult colonies of *A. millepora* was also influenced by a diel cycle.
613 Thousands of contig reads were differentially expressed between day and night samples. Further
614 investigation of six candidate genes using qPCR showed significant changes in gene expression
615 between day and night. Levy *et al.* (2011) reported that stress-related genes and antioxidant
616 genes in corals are under the control of an endogenous clock in anticipation of oxidative stress
617 originating from symbiont photosynthesis during the day.

618 In *Symbiodinium*, genes involved in a circadian clock have also been reported but research in this
619 area is still in its infancy (Sorek *et al.*, 2014). Oxygen-evolving enhancer 1 (OEE1) a component
620 of PSII, showed decreased expression during the day, in both free living and *in hospite*
621 *Symbiodinium*, compared to night measurements (Sorek *et al.*, 2013).

622 **3.7 Host buffering system**

623 A host cnidarian buffering system (Barshis *et al.*, 2014; Richier, 2005) might be involved in
624 dampening *Symbiodinium* expression. Richier (2005) reported the expression of novel proteins

627 when *Symbiodinium* were grown in culture compared to *in hospite* ones, suggesting the existence
628 of a host buffering system. Parkinson *et al.* (2015) shed further light on this system by subjecting
629 *Acropora palmata* fragments to cold-stress of 20°C for 3 days. Hosts which showed the greatest
630 change in gene expression (184 genes differentially expressed) had less stressed *Symbiodinium*
631 which showed less fluctuation and lower impairment of photochemical efficiency compared to
632 hosts showing relatively stable gene expression (only 14 genes differentially expressed). They
633 suggested that host identity and expression pattern affects *Symbiodinium* stress response. By the
634 same argument, changes in *Symbiodinium* gene expression might also affect expression of host
635 genes. However, the relatively small fold changes in *Symbiodinium* compared to coral hosts
636 (Leggat *et al.*, 2011) suggests this may be less common.

637

638 **3.8 Expression of host gene may be graded and regulated by thresholds**

639 The graded expression response of some genes in proportion to the level of stress experienced
640 may explain differences in fold-changes observed across studies. Regulation of gene expression
641 by stimuli/stress thresholds have been reported in many animal systems. For example, the
642 expression of the proto oncogene *fos* in gerbils proportionally increased with photon exposure
643 (Dkhissi-Benyahya *et al.*, 2000). A mathematical model predicted the existence of temporal
644 regulation of gene expression in cyanobacteria for the gene *IsiA* (iron stress induced protein A)
645 which is transcriptionally induced in response to iron depletion or oxidative stress (Legewie *et*
646 *al.*, 2008). Such regulation systems are believed to help ensure that energetically expensive
647 proteins are only expressed when stress exceeds a critical threshold limit, limiting the production
648 of these proteins in response to short-term exposures when they may not be needed (Legewie *et*
649 *al.*, 2008). A similar response was reported in the coral *Porites astreoides*, where the heat shock
650 protein genes, *hsp16* and *hsp90*, and *actin* showed a graded expression response when the host
651 was exposed to a linear increase in temperature from 29°C to 33°C. Based on previous studies, it
652 was hypothesized that 33°C represented a critical threshold triggering more extreme gene
653 expression response (Kenkel *et al.*, 2014).

654

655 **4. Future directions**

656 Reviewing recent studies of gene expression biomarkers of coral heat and light stress (Table 1,
657 2), reveals several areas that are poorly understood, and which need further attention. One of the
658 major research gaps remains the lack of a universally accepted biomarker(s) of heat stress. To
659 date, most potential GEBs have been studied in only one coral species, or mostly in the genus
660 *Acropora* (Fig. 1). The most studied genes (*hsp90* and *hsp70*) have been tested in only six of the
661 800 known hard coral species. We propose that a shortlist of potential GEBs of thermal stress
662 should be analyzed in a suite of different representative coral species from different regions of
663 the world. This will help to determine consistency of GEBs within and between coral species.
664 Potential GEBs of heat stress also showed differential regulation when subjected to other stresses
665 like heavy metals (Venn *et al.*, 2009), pollutants, and changes in pH. To test for specificity of the
666 biomarkers under investigation we suggest that including other stressors when designing future
667 experiment might be an effective way of testing for specificity of the candidate heat stress
668 biomarker. Additionally, more transcriptomic studies of heat stress in corals and *Symbiodinium* is

669 essential. Given the high variation seen across individuals, particular emphasis should be laid on
670 including more individuals in future studies. Sufficient studies are needed to draw an accurate
671 general regulation trend for most of the potential GEBs. If future research still fails to identify
672 universally accepted GEBs of heat stress, we believe that research can be focused on combined
673 expression of several genes. A suite of GEBs can prove efficient, similar to the double gene
674 assay that showed robust reciprocity in two *Porites* species and across studies (Kenkel *et al.*,
675 2011; 2014). The basis of the double gene assay is the difference in the expression levels of two
676 genes showing antagonistic responses. This difference is then used as a stress index. Interesting
677 results have been reported so far as the assay has been able to distinguish between unstressed and
678 heat/light stressed samples. The assay has also proved to be transferable across species of the
679 genus *Porites*. We propose that this assay can be incorporated in future research or researchers
680 might design similar type assays using two or more genes. While comparatively most studies
681 have focused on the animal host, the search of GEBs in *Symbiodinium* is also needed. A
682 universally accepted GEB might also come from *Symbiodinium* transcriptomics studies. We
683 suggest that research on gene expression biomarkers of heat and light stress in *Symbiodinium*
684 should be broadened. Equal consideration of *Symbiodinium* should be given in future
685 transcriptomics studies.

686 Another key avenue for future research is to decipher the precise molecular mechanisms
687 involved in the thermal stress response of corals, which would help to better situate the role of
688 the targeted biomarker in any particular pathway(s). Thereby, increasing our understanding of
689 the observed expression patterns of GEBs. Most transcriptomics experiments on coral response
690 to heat stress have been done under laboratory conditions. Due to complex natural interactions in
691 the field, transcriptomic response of coral might not be similar to those observed under control
692 conditions. We suggest that future work will also need to be focused on validating gene
693 expression responses of coral *in situ*. The ultimate goal of GEBs is to find cosmopolitan
694 biomarkers as well as develop simple routine assays to assess coral heat and light stress status.
695 Developing standard reproducible transcriptomic assay protocols that can be used anywhere
696 around the world, particularly portable diagnostic kits, should be a research priority. Such a kit
697 would be very practical for reef managers to take rapid decisions in the field. In Table 4, we
698 summarize future directions to aid in development of consistent GEBs of thermal and light stress
699 in corals.

700

701

702

703 **Table 4. Research gaps in the development of gene expression biomarkers of heat stress in**
704 **scleractinian corals**

705

706

707 **5. Concluding remarks: The future of gene expression biomarkers as indicators of coral**
708 **heat and light stress status**

709 Gene expression biomarkers of coral health promises proactive management of coral reefs.
710 Questions pertaining to reproducibility across species, stress-specificity, temporal variation,
711 thermal history, life stages, and worldwide reproduction of the technique are now emerging. We
712 propose *hsp16*, *Cacna1*, *MnSOD*, *SLC26*, peroxidase-like protein, *CaM* and *NF- κ B* as having
713 high potential as heat stress biomarkers for coral hosts, and cytochrome P450 as a potential heat
714 stress biomarker in *Symbiodinium*. However, we recognize that since different individuals might
715 respond in different ways (Granados-Cifuentes *et al.*, 2013; Kenkel *et al.*, 2013; Bay and
716 Palumbi, 2014) the use of a single universal GEB might be insufficient, and instead rather a suite
717 of GEBs might be needed to assess heat stress. Among the identified gaps, stress specificity is a
718 priority research gap that needs to be filled for these genes. Future work needs to establish
719 whether expression patterns of these GEBs can indeed be correlated with a specific stressor and
720 if their expression is consistent across coral taxa. If gene expression biomarkers are to be useful,
721 this issue must be addressed in the development of suitable markers. One solution may be to
722 focus not on the absolute change but on the consistent relative change of genes in response to
723 different conditions while accounting for random effects of different coral genotypes in statistical
724 models. Expression patterns could be then used to differentiate between stressors. Future work
725 should focus on these interrogations so that we can rapidly translate acquired knowledge of coral
726 GEBs into a practical approach that could be used by reef managers around the world.

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Figure 1

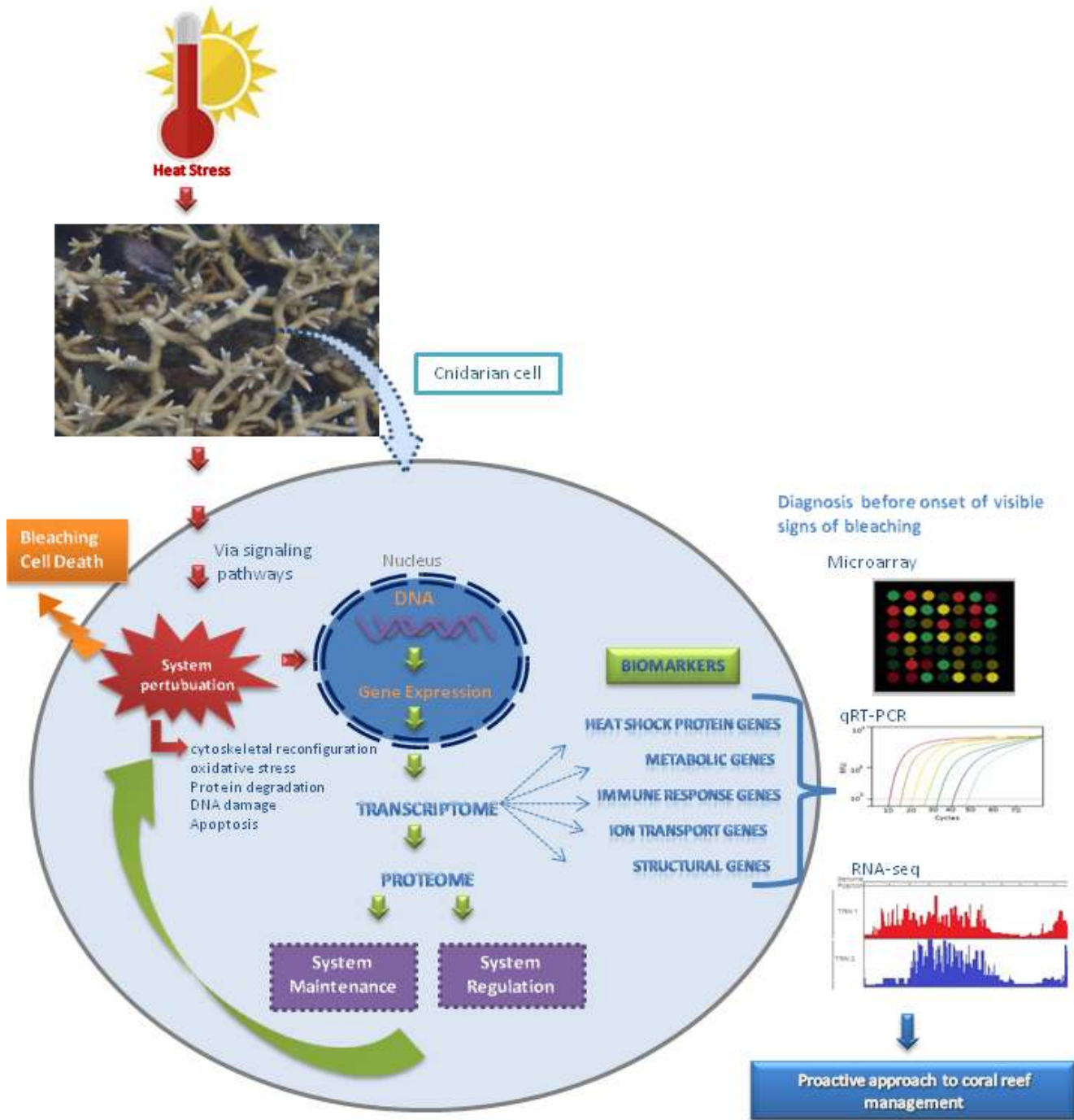


Figure 2a

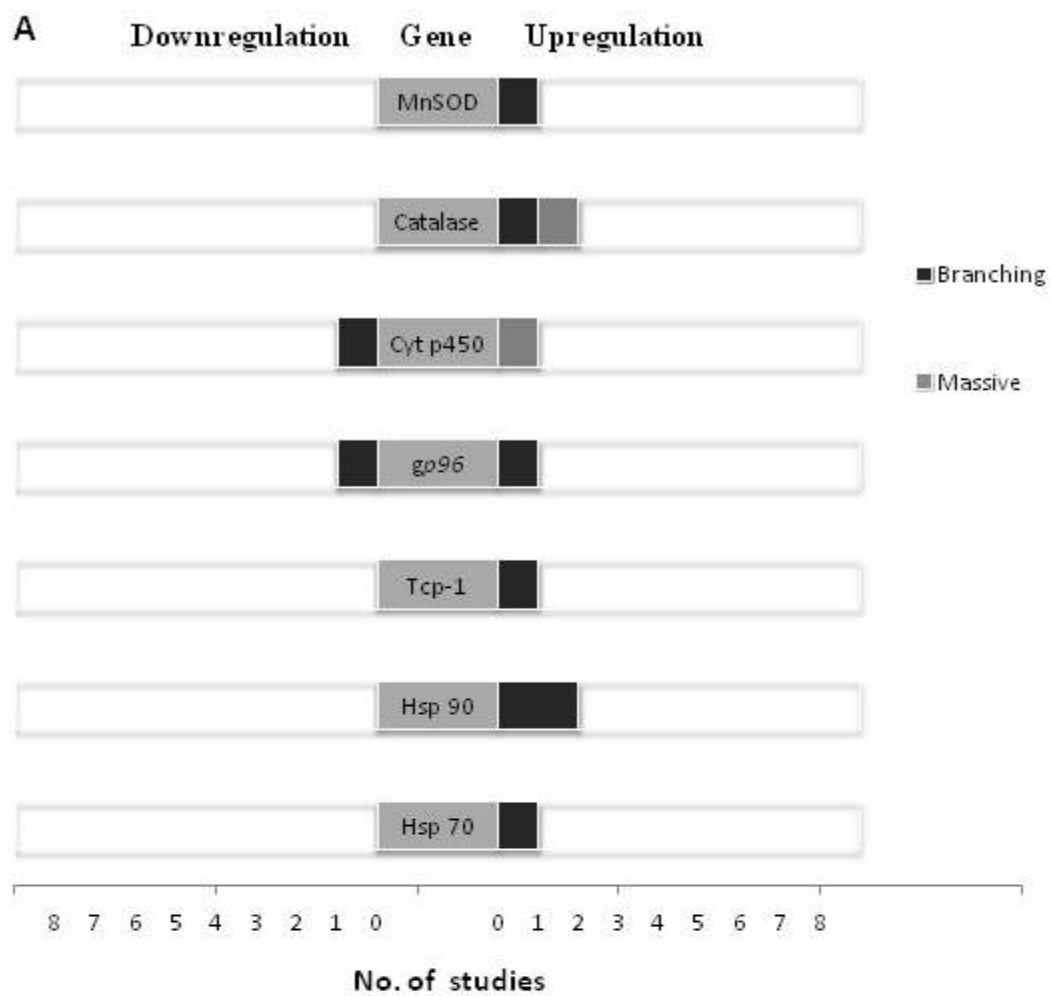


Figure 2b

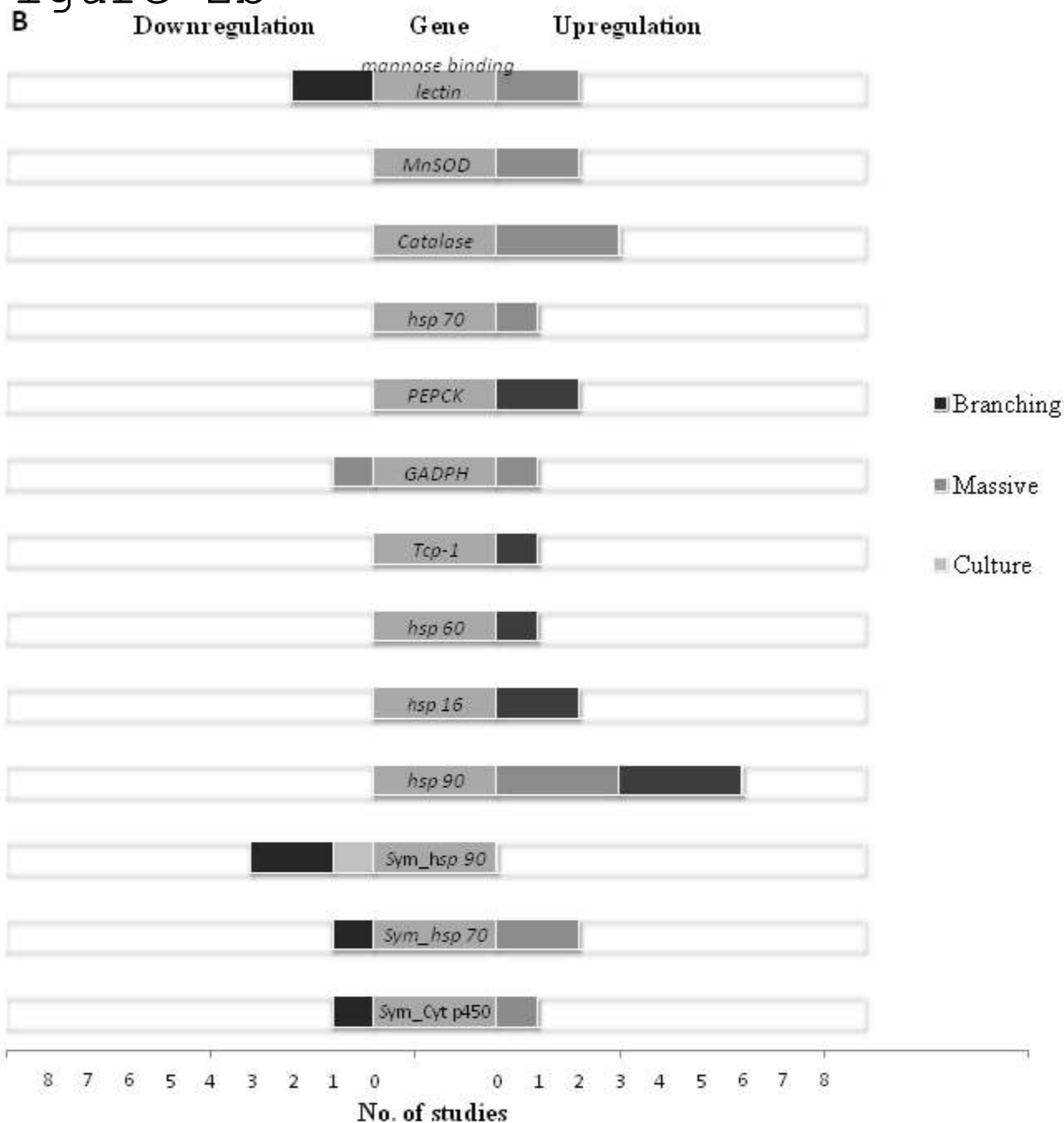


Table 1. List of candidate genes studied to date with potential use as biomarkers of thermal stress. Duration of exposure, d=days, h=hours. Treatment type, non-preconditioned =NPC, preconditioned =PC. Ramped thermal stress =†, immediate thermal stress =*.

Genes of interest	Accession No.	Host organism	Host life stage	Stressor	Temperature (°C)		Direction of regulation	Duration of exposure	Fold Change	Technique	Reference
					Control/ Ambient	Stress					
Heat Shock Response											
<i>hsp90</i>	DY584045.1	<i>A.aspera</i>	Adult	Heat	28	32 [†]	↑	5,7,8 d	1.5, 4.9,10.5	qRT-PCR	Leggat <i>et al.</i> , 2011
	DR988373	<i>M.faveolata</i>	Adult	Heat	29.2	32*	↑	10 d	1.28	microarray	DeSalvo <i>et al.</i> , 2008
	AOSF1451	<i>M.faveolata</i>	Larvae	Heat	31.5	31*	–	12 h	No change -	microarray	Voolstra <i>et al.</i> , 2009
	D016-C6	<i>A.millepora</i>	Larvae	Heat	24	31*	↑ ↑ ↓ ↓	3h 3h 10 h 10 h	3 - - 5.74	microarray	Rodriguez-Lanetty <i>et al.</i> , 2009
	DC999947	<i>A.tenuis</i>	Adult	Heat Chem (DCMU) Chem (TBT-Cl)	24	32*	↑ ↑ ↑	27h 13d 11d	1.54 1.99 6	HiCEP & RT-PCR	Yuyama <i>et al.</i> , 2012

	-	<i>P.astreoides</i>	Adult	Heat & light	27.8	30.9*	↑	3 h	1.6 -2. 5	qRT-PCR	Kenkel et al., 2011
	-	<i>P.astreoides</i>	Adult	Heat	27.2 °C	30.9*	↓	6 weeks	2.4	RNA-seq	Kenkel et al., 2013
		<i>P.astreoides</i>	Adult	Heat	27.8	31* 33*	↑ ↑	3 h	1.5 2.4	qRT-PCR	Kenkel et al., 2014
	-	<i>P.damicornis</i>	Adult	pCO2	-	-	↑	3	1.6-2.9	RNA-seq	Moya <i>et al.</i> , 2015
<i>hsp70</i>	GO000475.1	<i>A.aspera</i>	Adult	Heat	28	32 [†]	↑	7,8 d	6.4, 8.2	qRT-PCR	Leggat <i>et al.</i> , 2011
	A017-C4	<i>A.millepora</i>	Larvae	Heat	24	31*	↑	3, 10 h	3	Microarray	Rodriguez-Lanetty <i>et al.</i> , 2009
		<i>A.millepora</i>	Adult	Heat	27	32 [†]	↑	9 d	0.12	qRT-PCR	Császár <i>et al.</i> , 2010
<i>hsp16</i>		<i>P.astreoides</i>	Adult	Heat & light	27.8	35-36*	↑	4d	700 -800	qRT-PCR	Kenkel <i>et al.</i> , 2011

		<i>P.astreoides</i>	Adult	Heat Heat	27.8	31* 33*	↑ ↑	3-4 h	4.5 10.6	qRT-PCR	Kenkel <i>et al.</i> , 2014
<i>hsp60</i>		<i>P.astreoides</i>	Adult	Heat & light	27.8	30.9*	↑	3 h	4	qRT-PCR	Kenkel <i>et al.</i> , 2011
		<i>P.astreoides</i>	Adult	Heat	27.8	31* 33*	↑ ↑	3 h	1.3 2.2	qRT-PCR	Kenkel <i>et al.</i> , 2014
Metabolism											
Glyceraldehyde-3-phosphate dehydrogenase	EZ026309.1	<i>A.aspera</i>	Adult	Heat	28	32†	↑	7,8 d	1.9, 4.4	qRT-PCR	Leggat <i>et al.</i> , 2011
		<i>P.astreoides</i>	Adult	Natural bleaching event	29-30	-	↓	-	11	qRT-PCR	Kenkel <i>et al.</i> , 2014
Oxidative stress											
Cytochrome p450	CAON1879	<i>M. faveolata</i>	Larvae	Heat	31.5°C (incubation)	29* 31.5*	↑ ↑		1.26 1.35	microarray	Voolstra <i>et al.</i> , 2009
Catalase	AOSF550	<i>M. faveolata</i>	Larvae	Heat	31.5°C (incubation)	29* 31*	↑ ↑	2d	2.0 2.3	microarray	Voolstra <i>et al.</i> , 2009
		<i>A. millepora</i>	Larvae	Heat	24	31*	-	3, 10 h	No change	microarray	Rodriguez-Lanetty <i>et al.</i> , 2009
	-	<i>A.aspera</i>	Adult	Heat	24	30†	↑	24 h	Data not available	RNA-seq	Rosic <i>et al.</i> , 2014a

		<i>A. aspera</i>	Adult	Ammonium enrichment			↑	24 h	Data not available	RNA-seq	Rosic <i>et al.</i> , 2014a
Catalase homolog (AmCat)	DY586920	<i>A. millepora</i>	Adult	Natural bleaching event	24	-	↑	-	1.8	qRT-PCR	Seneca <i>et al.</i> , 2010 - field
Manganese superoxide dismutase (MnSoD)	EZ027843	<i>A. millepora</i>	Adult	Heat	27	32*	↑	9 d	Data not available	qRT-PCR	Souter <i>et al.</i> 2011
	-	<i>A. millepora</i>	Larvae	Heat	24	31*	-	3, 10 h	No change	microarray	Rodriguez-Lanetty <i>et al.</i> , 2009
	DY581262	<i>A. millepora</i>	Adult	Heat	27	32†	↑	9 d	0.20	qRT-PCR	Császár <i>et al.</i> 2010
glutathione-s-transferase sigma-like (GST-S)	DR987062	<i>M. faveolata</i>	Adult	Heat	29.23	32†	↓	10 d	1.29	microarray	Desalvo <i>et al.</i> , 2008
glutathione-s-transferase mu (GST-M)	DR988371	<i>M. faveolata</i>	Adult	Heat	29.23	32†	↑	10 d	1.26	microarray	Desalvo <i>et al.</i> , 2008

glutathione-s-transferase		<i>A. millepora</i>	Larvae	Heat	24	31*	-	3, 10 h	No change	microarray	Rodriguez-Lanetty <i>et al.</i> , 2009
glutathione-s-transferase	Q9N1F5, Q3T100	<i>A. aspera</i>	Adult	Heat	24	30†	↑	24 h	Data not available	RNA-seq	Rosic <i>et al.</i> , 2014a
	Q3T100			Ammonium enrichment	24	-	↑	24 h	Data not available	RNA-seq	Rosic <i>et al.</i> , 2014a
Immunity											
c-type mannose-binding lectin	EU863781.1	<i>A. millepora</i>	Larvae	Heat	24	28* 31*	↓ ↓ ↓	3 h 3 h 10 h	Data not available Data not available 3	microarray	Rodriguez-Lanetty <i>et al.</i> , 2009
mannose-binding lectin		<i>A. hyacinthus</i>	Adult	Heat	29	32.9*	↓	3 d	27.2	RNA-seq	Barshis <i>et al.</i> 2014
mannose-binding lectin					28	31†	↑	2 d	1.59 (NPC)	microarray	

mannose-binding lectin		<i>A. millepora</i>	Adult	Heat	28	31 [†]	↓		0.93 (NPC)	microarray	Bellantuono <i>et al.</i> , 2012
mannose-binding lectin					28	31 [†]	↓ ↑		2.16 (NPC) 0.42 (PC)	microarray	
Ion transport											
Calmodulin (CaM)	DR987178	<i>M. faveolata</i>	Adult	Heat	29.23	32 [†]	↓	10 d	-1.38	microarray	Desalvo <i>et al.</i> , 2008
		<i>A. millepora</i>	Larvae	Heat	24	31 [*]	-	3, 10 h	No change	microarray	Rodriguez-Lanetty <i>et al.</i> , 2009
Cytoskeleton											
Actin		<i>P. astreoides</i>	Adult	Heat	27.8	30.9 [*]	↓	3 h	4	qRT-PCR	Kenkel <i>et al.</i> , 2011
		<i>P. astreoides</i>	Adult	Heat	27.8	33 [*]	↓	3 h	4	qRT-PCR	Kenkel <i>et al.</i> , 2014

Table 12 List of differentially expressed *Symbiodinium* genes following heat stress that may be potential biomarkers of thermal stress.

Gene of interest	Accession No.	<i>Symbiodinium</i> ITS2 Type	Host organism	Stressor	Temp/°C		Direction of regulation	Duration of exposure	Fold change	Technique	Reference
<i>hsp90</i>	EH038163.1	C3	<i>A. aspera</i>	Heat	28	32 [†]	↓	7, 8 days	0.77, 0.78	qRT-PCR	Leggat <i>et al.</i> , 2011
	EH038163.1	C3	<i>A. millepora</i>	Heat	23-24	26 [†]	↓	18 hours	0.57	qRT-PCR	Rosic <i>et al.</i> , 2011
						32 [†]	↓	18 hours	0.43		
						29 [†]	↓	3 days	0.23		
						29 [†]	↓	24 hours	0.20		
		C1	Cultured			32 [†]	↓	24 hours	0.25		
						32 [†]	↓	5 days	0.22		
	-	C3	<i>A. aspera</i>	Heat	24	30 [†]	↑	24 hours	1.6	RNA- seq	Rosic <i>et al.</i> , 2014a
Ammonium enrichment				-	-	↑	24 hours	1.4			
<i>hsp70</i>	EH037708.1	C3	<i>A. aspera</i>	Heat	28	32 [*]	↑	5 days	1.2	qRT-PCR	Leggat <i>et al.</i> , 2011
	EH038080.1	C3		Heat	23-24	26 [†]	↑	18 hours	0.39		

						29 [†]	↑	18 hours	0.57	qRT-PCR	Rosic <i>et al.</i> , 2011
						32 [†]	↓	18 hours	0.60		
						32 [†]	↓	5 days	0.70		
	C1	Cultured	Heat	23-24	29 [†]	↑	24 hours	0.25			
					32 [†]	↓	24 hours	0.87			

Table 8. Summary of sources of variation between studies

Source	Reference
Differences in experimental design	-
Comparing field studies to lab-induced thermal stress	Leggat <i>et al.</i> (2011), Kenkel <i>et al.</i> , (2014)
Natural intercolony variation in coral host gene expression as a result of acclimatization to different conditions or differences in <i>Symbiodinium</i>	DeSalvo <i>et al.</i> (2010b), Granados-Cifuentes <i>et al.</i> (2013), Rocker <i>et al.</i> (2012), McGinley <i>et al.</i> (2012). Barshis <i>et al.</i> (2014), Seneca and Palumbi, (2015), Bellantuono <i>et al.</i> (2012), Bay and Palumbi (2015)
Variation in the time of sampling (e.g., diel patterns in expression)	Brady <i>et al.</i> (2011), Levy <i>et al.</i> (2011), Ruiz-Jones and Palumbi (2015)
Expression of host genes may be graded and regulated by thresholds	Kenkel <i>et al.</i> , (2014)
Existence of a host buffering effect	Richier, (2005), Barshis <i>et al.</i> (2014), Parkinson <i>et al.</i> (2015)

Table 4. Research gaps in the development of gene expression biomarkers of heat stress in scleractinian corals

Gap	Description	Proposed future work
No universally accepted biomarker of thermal stress in hard corals	Most potential GEBs have been studied in only one coral species, or mostly in the genus <i>Acropora</i> (Fig. 1). The most studied genes (<i>hsp90</i> and <i>hsp70</i>) have been studied in only 6 coral species.	A shortlist of potential GEBs of thermal stress should be analyzed in a suite of different coral species in different reef regions.
Specificity of response	Potential GEBs of heat stress also showed differential regulation when subjected to other stresses like heavy metals, pollutants, and changes in pH.	Include other stressors in future experiment to test for specificity of the candidate heat stress biomarker.
General regulation trend	General regulation trends have been reported for few GEBs due to contrasting results of different studies or a single study reporting differential expression of a gene.	More transcriptomic studies of heat stress in corals and <i>Symbiodinium</i> , with particular emphasis on including more individuals, should be done to establish general regulation trends.
Limited understanding of the molecular responses of coral and symbiont to heat stress	Understanding the precise molecular pathways involved in response of corals and <i>Symbiodinium</i> would help increase knowledge regarding the diagnostic potential of gene expression responses.	Future research should focus on elucidating links between molecular responses and higher order phenotypes of coral and its symbiont.
Only a few genes studied in <i>Symbiodinium</i>	Symbiont transcriptomics may help identify GEBs of heat stress if coral transcriptomics fails to yield a universal GEB.	Broaden research on gene expression biomarkers of heat and light stress in <i>Symbiodinium</i> .
Variation in expression of single genes	Significant variation in gene expression levels between studies, between species, location, and even within colonies has been reported.	Research should focus on combined expression of several genes. A suite of GEB can prove efficient, similar to the double gene assay that showed robust reciprocity in two <i>Porites</i> species and across studies (Kenkel <i>et al.</i> , 2011; 2014).

Field studies	Only one study (of 24 recent studies on GEBs of heat and light stress) was carried out in the field.	Due to complex natural interactions in the field, future work should focus on validating gene expression response of coral <i>in situ</i> . This could prove to be more informative.
Application of biomarkers in field by reef managers	To find cosmopolitan biomarkers as well as develop simple routine assays to assess coral heat and light stress status.	Development of a reproducible qRT-PCR protocol be used anywhere in world or simple portable kits that could provide instantaneous data in the field may be a feasible concept.
