

Gene therapy with hematopoietic stem and progenitor cell for monogenic disorders: a systematic review and meta-analysis

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Article

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Abstract

To provide an assessment of the safety of ex-vivo gene therapy (GT) with hematopoietic stem and progenitor cells (HSPC), we reviewed in a systematic manner the literature on monogenic diseases to describe survival, genotoxicity and engraftment of gene corrected HSPC, across vector platforms and diseases.

From 1995 to 2020, 55 trials for 14 diseases met inclusion criteria and 406 patients with primary immunodeficiencies (55.2%), metabolic diseases (17.0%), haemoglobinopathies (24.4%) and bone marrow failures (3.4%) were treated with gammaretroviral vector (γ RV) (29.1%), self-inactivating γ RV (2.2%) or lentiviral vectors (LV) (68.7%). The pooled overall incidence rate of death was 0.9 per 100 person-years of observation (PYO) (95%CI = 0.37–2.17). There were 21 genotoxic events out of 1504.02 PYO. All these events occurred in γ RV trials (0.99 events per 100 PYO, 95%CI = 0.18–5.43) for primary immunodeficiencies. Pooled rate of engraftment was 86.1% (95%CI = 66.9–95.0%) for γ RV and 99.0% (95%CI = 95.1–99.8%) for LV HSPC-GT ($p = 0.002$).

A comprehensive meta-analysis on HSPC-GT showed stable reconstitution of haematopoiesis in most recipients with superior engraftment and safer profile in patients receiving LV-transduced HSPC.

Introduction

In the past two decades, gene transfer into hematopoietic stem/progenitor cells (HSPC) has emerged as a promising treatment for several monogenic diseases, including primary immunodeficiencies (PID), metabolic disorders, haemoglobinopathies and bone marrow failures. Autologous HSPC gene therapy (GT), which belongs to the group of medicinal products classified as advanced therapies medicinal product (ATMP), is designed to overcome the main limitations related to allogeneic HSPC transplantation (HSCT), such as the availability of a compatible donor, the risk of graft versus host disease and need of post-HSCT immunosuppression, while providing comparable or sometime even superior therapeutic benefit. Recently three ATMPs based on HSPC-GT have been approved for the EU market for the treatment of Adenosine Deaminase Severe Combined Immunodeficiency (ADA-SCID), beta thalassemia and metachromatic leukodystrophy (MLD), respectively¹. Other products are in advanced stage of development in the EU and US.

Engineered viral vectors integrate the therapeutic gene into the chromatin of the patients' own HSPC collected from the bone marrow or peripheral blood after mobilization. After reinfusion, gene corrected HSPC undergo self-renewal and transfer the healthy copy of the gene to daughter blood cells. GT works mainly through two mechanisms of action. In the case of PID and haemoglobinopathies, expression of the healthy gene reestablishes normal differentiation and/or function of mature cells such as immune cells or red blood cells. For metabolic disorders, myeloid cells are engineered to express supraphysiological levels of the defective enzyme, which allows functional reconstitution of scavenger activity within various tissues and cross-correction of resident non-hematopoietic cells, including in the central nervous system¹. The first integrating vectors to be employed were derived from gamma-retroviruses (γ RV). The limited gene transfer efficiency into HSPC and the occurrence of adverse events due insertional mutagenesis in γ RV trials accelerated the development of self-inactivating lentiviral vectors (LV) as a delivery platform enabling more effective and safe insertion of therapeutic genes into HSPC.

Several excellent disease specific reviews have been published in this faster evolving area which however report the main results in descriptive manner, without providing cumulative analyses^{2,3}. On the other hand, despite the requirement from national and EU regulatory bodies for active monitoring of delayed adverse events, the lack of centralization currently hampers a thorough and comprehensive collection of the long-term safety and efficacy data of HSPC-GT across various studies and platforms. Here we reviewed in a systematic manner the literature on monogenic diseases in the field of ex-vivo HSPC-GT with the aim to describe survival, genotoxicity and engraftment of gene corrected HSPC, across vector platforms and diseases, in a large cohort of patients over a period of 25 years. This meta-analysis helps providing a comprehensive picture of the current outcomes of these highly innovative treatments with the goal of informing scientific community, regulatory authorities and clinical practice.

Results

The results obtained from our search strategy are reported through the PRISMA flowchart in Fig. 1. From an initial selection of 10329 records from literature search and 127 from gray literature, 746 records were assessed for eligibility and a total of 55 studies, involving 406 patients, were considered. Overall, none of the studies included in the systematic review showed important methodological flaws as to be excluded from the meta-analysis (Supplementary text for detailed results and Supplementary Table 2 for data). The selected trials, performed from 1995 to 2020, were all one-arm prospective studies and focused on the treatment of 14 different diseases by ex-vivo HSPC-GT (Table 1). LV was the most often used vector to genetically modify HSPC [34 trials (61.8%) and 279 patients (68.7%) and a total of 730.6 PYO], followed by γ RV (20 trials and 118 patients, 36.4% and 29.1%, respectively and a total of 807 PYO) and SIN- γ RV (1 trial and 9 patients, 1.8% and 2.2%, respectively and a total of 18.6 PYO). The use of LV was exclusive in trials of metabolic diseases ($n = 8$, 14.6%), Fanconi anemia (FA) ($n = 3$, 5.5%) and hemoglobinopathies ($n = 11$, 20%). In the PID group γ RV was more frequently used ($n = 20$, 36.4%) than LV ($n = 12$, 21.8%) or SIN- γ RV ($n = 1$, 1.8%). The number of treated patients and the follow-up greatly varied across trials, ranging from one to 29 patients in sample size and 0.5 to 276.58 in total PYO, respectively. In 53 trials, where conditioning regimen was declared, 21 trials used a non-myeloablative regimen (10 γ RV and 11 LV trials), 22 a myeloablative regimen (2 γ RV and 20 LV trials), while no pre-GT conditioning was employed in 7 γ RV, 3 LV and 1 SIN- γ RV trials (Table 1). Two trials had > one regimen. The median CD34+ cell dose among trials ranged from 0.28 to 23.1 $\times 10^6$ /kg. Overall, the median CD34+ cell dose infused was 8.95 $\times 10^6$ /kg (range 0.03-71) (260 available individual data) and median VCN/genome was 1.6 (range 0.05–9.4) (200 available data).

Table 1
Characteristics of the 55 studies included in the meta-analysis

Publication	Country	CT registration	Disease	N° Patients	Vector	Conditioning	CD34+ *10 ⁶ /kg median (min-max)	VCN copies/genome (min-max)	Follow up* in month (min-max)
Candotti et al. ³⁵	USA	NCT00018018	ADA-SCID	10	gRV-ADA	N/S	1.9 (0.7–9.8)	0.1–1.46	30–120
Shaw et al. ³⁶	USA	NCT00794508	ADA-SCID	10	gRV-ADA	M	6.23 (0.6–8.4)	0.6–2.68	42–84
Aiuti et al. ^{37–40}	Italy	NCT00598481	ADA-SCID	22	gRV-ADA	S	9.23 (0.9–18.5)	0.3–1.8	12.9–241
Aiuti (PC)§	Italy	Compassionate use	ADA-SCID	2	gRV-ADA	S	17.9 (10.1–25.7)	1.4	28–29
Migliavacca et al. ⁴¹	Italy	NCT03478670	ADA-SCID	12	gRV-ADA	S	11.55 (3.4–19.7)	1.2–2.5	11–43
Otsu et al. ⁴²	Japan	-	ADA-SCID	2	gRV-ADA	N	1.15 (0.92–1.38)	-	72–120
Gaspar et al. ⁴³	UK	NCT01279720	ADA-SCID	6	gRV-ADA	M	1.65–0.5–5.8	-	24–84
Gaspar et al. ⁴⁴	UK	-	ADA-SCID	1	gRV-ADA	S	1.4	-	24
Kohn et al. ^{45,46}	USA	-	ADA-SCID	3	gRV-ADA	N	-	-	180
Braun et al. ^{47,48}	Germany	DRKS00000330	WAS	10	gRV-WAS	S	18.25 (2.9–24.9)	1.7–3.2	15–86
Malech et al. ⁴⁹	USA	BB IND 6100	X-CGD	5	MFGS RV p47phox	N	2.5 (0.1–4.7)	-	84–120
Siler et al. ⁵⁰	Switzerland	NCT00927134	X-CGD	2	gRV-CYBB	S	5.65 (5.3–6)	0.8–1.3	57.6–87
Ott et al. ⁵¹	Germany	NCT00564759	X-CGD	2	gRV-CYBB	S	4.35 (3.6–5.1)	1.5–2.6	27–45
Kang et al. ⁵²	USA	NCT00394316	X-CGD	3	gRV-CYBB	S	19 (18.9–71)	0.005–4.05	11–36
Kang et al. ⁵³	Korea	NCT00778882	X-CGD	2	gRV-CYBB	S	5.6 (5.4–5.8)	0.5–2	48
Uchiyama et al. ⁵⁴	Japan	-	X-CGD	1	gRV-CYBB	-	6.5	2.63	49
Six; Ginn et al. ^{55–59}	France; Australia	-	X-SCID	10	gRV-IL-2Rγ	N	5 (1–22)	-	8–180
Gaspar et al. ⁶⁰	UK	-	X-SCID	10	gRV-IL-2Rγ	N	23.1 (6.9–34.1)	-	51–107
Chinen et al. ⁶¹	USA	NCT00028236	X-SCID	3	gRV-IL-2Rγ	N	2.92 (2.85–3.13)	1.1–3.7	12–30

* when only one value was reported it refers to the median follow-up since min-max are missing. Individual medicinal products for the same disease (i.e. encoding the same transgene) may differ for vector backbone, promoter, vector production process and transduction method.

§ Oral communications at 2020 ASCGT meeting.

Publication	Country	CT registration	Disease	N° Patients	Vector	Conditioning	CD34+ *10 ⁶ /kg median (min-max)	VCN copies/genome (min-max)	Follow up* in month (min-max)
Thrasher et al. ⁶²	France; USA; UK	-	X-SCID	2	gRV-IL-2Rγ	N	18.9 (2.8–35)	-	6
Gaspar et al. ⁶³	UK	NCT01380990	ADA-SCID	5	EFS-ADA LV	S	4–11	2.4–6.1	5-19.7
Kohn et al. ⁶⁴	USA	NCT01852071	ADA-SCID	20	SIN LV EF1aSprom-ADA	S	-	-	24
Kohn et al. ⁶⁴	USA	NCT02999984	ADA-SCID	10	SIN LV EF1aSprom-ADA	S	-	-	24
Scaramuzza et al. ^{20,65}	Italy	NCT02453477	β-thalassaemia	9	GLOBE LV	M	19.5 (16.3–20)	0.7–1.5	24–48
Cavazzana-Calvo et al. ²²	France	LG001	β-thalassaemia	2	SIN LV LCR-βprom-β-globin	M	3.9	0.6	5-144
Thompson et al. ⁶⁶	USA; Australia; Thailand	NCT01745120	β-thalassaemia	18	LentiGlobin BB305 vector	M	8.1 (5.2–18.1)	0.3–1.5	34.8–61.3
Thompson et al. ⁶⁶	France	NCT02151526	β-thalassaemia	4	LentiGlobin BB305 vector	M	10.5 (8.8–13.6)	0.8–2.1	40.5–60.6
Lal et al. ⁶⁷	Multisite	NCT03207009	β-thalassaemia	11	LentiGlobin BB305 vector	M	-	1.2–4.3	2.5–20
Colvin (PC)§		NCT02906202	β-thalassaemia	21	LentiGlobin BB305 vector	M	-	-	0.9–26.3
Barshop (PC)§ ⁶⁸	USA	NCT03897361	Cystinosis	1	CTNSRD-04	M	7.88	2.07	6
AvroBio ⁶⁹	USA	FAB-201	Fabry disease	4	AVR-RD-01	M	-	-	1-1.83
AvroBio ⁶⁹	Canada	NCT02800070	Fabry disease	5	AVR-RD-01	M	-	-	2.67
Adair et al. ⁷⁰	USA	NCT01331018	Fanconi anemia	3	SIN LV PGKprom-FANCA	N	0.03–2.44	0.33–1.83	55.56-60
Rio et al. ^{71,72}	Spain	NCT03157804	Fanconi anemia	9	SIN LV PGKprom-FANCA	N	(1.9–7.3)	0.2–0.9	2–3
Czechowicz et al. ⁷³	USA	NCT03814408	Fanconi anemia	2	SIN LV PGKprom-FANCA	N	0.28 (0.2–0.37)	2.08–2.21	6
Kohn et al. ⁷⁴	USA	NCT03812263	LAD	1	Chim-CD18-WPRE	S	4.2	3.8	6
Calbi et al. ^{75–77}	Italy	NCT01560182	MLD	29	SIN LV PGKprom-ARSA	M	10.5 (3.2–18.2)	1-7.4	3-108
Bernardo et al. ⁷⁸	Italy	NCT03488394	MPSIH	8	SIN LV PGKprom-IDUA	M	20.7 (15–29)	1-5.2	3–18
Kinsella et al. ⁷⁹	UK		MPSIIIA	1	LV.CD11b.SGSH	M	13.42	3.79	9
Walters et al. ²⁴	USA	NCT02140554	SCD	7	LentiGlobin BB305 vector	M	2.2 (1.6–5.1)	0.3–1.3	29.8–44.5

* when only one value was reported it refers to the median follow-up since min-max are missing. Individual medicinal products for the same disease (i.e. encoding the same transgene) may differ for vector backbone, promoter, vector production process and transduction method.

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Publication	Country	CT registration	Disease	N° Patients	Vector	Conditioning	CD34+ *10 ⁶ /kg median (min-max)	VCN copies/genome (min-max)	Follow up* in month (min-max)
Walters et al. ²⁴	USA	NCT02140554	SCD	2	LentiGlobin BB305 vector	M	2.7 (2.2–3.2)	1.4–5	17.2–20.2
Kanter et al. ⁸⁰	USA	NCT02140554	SCD	17	LentiGlobin BB305 vector	M	4.5 (3–8)	2.8–5.6	1–20
Ribeil et al. ⁸¹	France	NCT02151526; NCT02633943	SCD	3	LentiGlobin BB305 vector	S	4.7 (3–5.6)	0.5–1.2	25.5–52.5
Esrick et al. ⁸²	USA	NCT03282656	SCD	5	BCH-BB694	M	3.3–8.3	3.3–6.9	1–18
Ferrua et al. ^{19,83}	Italy	NCT01515462	WAS	17	LVV-w1.6W WAS	S	12.2 (7–26.4)	0.9–4.3	6–108
Magnani et al. ^{84,85}	France; UK	NCT01347346; NCT01347242	WAS	9	LV-w1.6WASp	S	7.3 (2–15)	0.6–2.8	7–109
Morris et al. ⁸⁶	UK	NCT01347242	WAS	1	LV-w1.6 WASp vector	S	3.77	-	20
Labrosse et al. ⁸⁷	USA	NCT01410825	WAS	5	w1.6_hWASP_WPRE SIN-LV	S/M	9.8 (24.9–6.3)	0.53–3.3	27.6–68.4
Eichler et al. ⁸⁸	USA	NCT01896102	X-ALD	17	SIN LV MNDprom-ABCD1 (Lenti-D)	M	10.5 (6–19.4)	0.5–2.5	21.6–42
Aubourg et al. ^{89,90}	France		X-ALD	4	SIN LV MNDprom-ABCD1	M	-	-	60–120
Magnani et al. ⁹¹	France	NCT02757911	X-CGD	4	G1XCGD	-	-	0.6–1.77	5–40.8
Kohn et al. ⁹²	UK; USA	NCT01855685; NCT02234934	X-CGD	9	SIN LV Chimericprom-CYBB	M	12.5 (6.5–32.6)	0.7–5.5	1–24
De Ravin et al. ^{93,94}	USA	NCT01306019	X-SCID	5	SIN LV EF1aSprom-IL-2Ry	S	20.4 (16–25)	-	51–84
Mamcarz et al. ^{95,96}	USA	NCT01512888	X-SCID	11	CL20-i4-EF1a-hyc-OPT	S	8.7 (4.5–19)	0.16–1.13	1.5–33.9
Hacein-Bey-Abina et al. ⁹⁷	France; USA	NCT01410019; NCT01129544; NCT01175239	X-SCID	9	SIN gRV EF1aSprom-IL-2Ry	N	7.8 (3.7–11.7)	0.25–2.92	12.1–38.7
* when only one value was reported it refers to the median follow-up since min-max are missing. Individual medicinal products for the same disease (i.e. encoding the same transgene) may differ for vector backbone, promoter, vector production process and transduction method.									
§ Oral communications at 2020 ASCGT meeting.									

Abbreviations: PC: personal communication; N: no conditioning; M: myeloablative; S: submyeloablative/non-myeloablative.

There were 21 deaths reported in 12 trials over a total of 1556.04 PYO for a pooled estimate of the incidence rate of death of 0.90 events per 100 PYO (95%CI = 0.37–2.17). The degree of heterogeneity among studies was moderately high, although non statistically significant ($I^2 = 49.4\%$, $p = 0.393$). The incidence rates of death estimated in a meta-regression model were 1.01 (95%CI = 0.35–2.92) and 0.59 (95%CI = 0.16–2.90) per 100 PYO in patients treated with LV or yRV GT ($p = 0.423$) (Fig. 2). Similar results were obtained in the sensitivity analyses (Supplementary text).

The overall survival estimate at 5 years in 260 subjects with individual data (Supplementary Table 3) was 91.1% (95%CI = 86.8–95.6%) (Supplementary Fig. 1A) and similar for all vectors ($p = 0.2652$) (Supplementary Fig. 1B) and disease subgroups (PID, metabolic, haemoglobinopathies, FA; $p = 0.7264$) (Supplementary Fig. 1C). The survival profiles of the immunodeficiencies were significantly different ($p = 0.0141$) and ranged, at 5 years, from 100% for ADA-SCID to 78.8% (95%CI = 61.2–100%) for X-CGD (Supplementary Fig. 1D). The causes of death were secondary to oncogenic events in 6 cases (5 related and 1 non related to GT), infectious and bleeding complications ($n = 8$), progressions of a neurodegenerative disorder ($n = 4$), ischemic stroke ($n = 1$), cardiovascular disease ($n = 1$) and not obtainable in one case (see Supplementary Table 4 describing the patients who died). The median time to event in 19 out of the 21 deaths was 1.83 years with a range of 0.08–5 years (I-III quartiles = 0.46–3.7). No death occurred within 100 days from transplantation. The 21 events were

observed in 13 patients treated with a LV (6 PID, 5 metabolic diseases, 2 hemoglobinopathies), 7 with a γ RV (3 WAS, 2 X-CGD, 2 X-SCID) and 1 with a SIN- γ RV (X-SCID).

Among the 406 patients treated, 21 genotoxic events were reported over a total of 1504.02 PYO for a pooled estimated incidence rate of 0.078 events per 100 PYO (95% CI = 0.005–1.19). All the events occurred in 7 trials that used γ RV, specifically in 9 WAS, 6 X-SCID, 5 X-CGD, and 1 ADA-SCID patients (460.6 PYO). The results of the meta-analysis indicated a very high and significant between-study heterogeneity ($I^2 = 87.7\%$, $p < 0.001$) that was still confirmed when restricting the analyses to γ RV trials ($I^2 = 85.9\%$, $p < 0.001$). The pooled incidence rate obtained in this subgroup was 0.99 events per 100 PYO (95% CI = 0.18–5.43). The forest plot of the trial specific incidence rates stratified by vector type is reported in Fig. 3. The type of conditioning regimen did not result as a moderator in the meta-regression analysis ($p = 0.602$). All these results were robust to sensitivity analyses (Supplementary text).

The characteristics of 19 out of the 21 patients experiencing a genotoxic event are reported in Supplementary Table 5. Their median age at gene-therapy was 3 years (min-max = 1 months–27 years; 3 patients were adults), while the CD34 + cell dose and VCN mean values (\pm sd) were 13.8×10^6 /kg (± 7.1) and 2.4 copies/genome (± 1.1), respectively. The median time to onset of genotoxic event was 2.8 years with a range of 0.7–14.8 years (I-III quartiles = 2.3–3.8). The most frequently targeted genes by oncogenesis-related γ RV insertion sites were reported to be LMO2 (9 patients) and MECOM (6 patients, of whom 5 were X-CGD). Twelve patients received an allogeneic HSCT after a median of 13.9 months from the occurrence of the genotoxic event (min-max = 3.2–24.7) and 4 subsequently died (median = 18.8, min-max = 8.2–30 months from the genotoxic event), while an additional patient died without HSCT.

The overall crude cumulative incidence of genotoxicity at 5 years from GT obtained from the available individual data was 9.6% (95%CI = 5.9–15.5%) (Fig. 4A). When the estimation was done stratifying by vector type we obtained 17.3% (95%CI = 11.0–27.3%) for γ RV, while no event was observed in LV and SIN- γ RV subgroups ($p = 0.0004$) (Fig. 4B). The curves by disease within the γ RV trials show at 5 years the lowest incidence in ADA-SCID (2.7%, 95%CI = 0.3–19.2%) as compared to WAS (66.7%, 95%CI = 39.8–100.0%), X-CGD (37.2, 95%CI = 17.6–78.4) and X-SCID (20.6%, 95%CI = 8.3–50.7%) and this difference was maintained overtime ($p < 0.0001$) (Fig. 4C).

Out of the 395 patients included in the 54 trials with information available on engraftment, 361 displayed engraftment of gene corrected cells \geq one year, with a pooled estimate of 96.9% (95%CI = 91.1–98.9). The rate of engrafted patients was highly heterogeneous between studies ($I^2 = 75.15\%$, $p < 0.001$) and the results of the regression model indicated that the nature of the viral vector was a significant moderator ($p = 0.002$). Only in 5 trials and 9 patients treated with a LV the engraftment was lost, while this happened in 11 trials and 24 patients using a γ RV. The pooled rates of engraftment were 99.0% (95%CI = 95.1–99.8%) and 86.1% (95%CI = 66.9–95.0%) for LV and γ RV, respectively (Fig. 5). No major changes were observed in the results of the sensitivity analyses (Supplementary text).

Discussion

The purpose of HSPC-GT for monogenic disorders is to achieve permanent correction of long-term repopulating cells by integration of the therapeutic gene into the chromatin. Here, we gathered results from 55 studies including 406 participants, showing an extraordinary progress in the treatment of genetic diseases in the past two decades. This meta-analysis provides relevant information on the safety of HSPC-GT across different vector platforms.

From the survival point of view, no death occurred within the first 100 days after GT. This represents a favourable finding compared to allogeneic HSCT that historically has been reported in the range of 7–20% in pediatric subjects^{4,5} and 6–14% in adolescent and adults^{6,7} due to toxicity, infections and acute GvHD. Of the 21 deaths reported, apart from those caused by genotoxicities, which were all derived from γ RV trials, the others were mainly due to concomitant infections, progression of neurodegenerative disease or acute events not related to GT. The type of vector does not seem to be a moderator in the meta-analysis, since the three different vectors have a similar behavior in terms of survival. The overall survival at 5 years post GT was 91.1% without relevant differences among disease subgroups. In allogeneic HSCT, which represents the current standard of care for most diseases, the 5-year survival has been reported to be 74% for PID⁸, 73% for FA⁹, 59–95% for metabolic diseases^{10–12} such as MLD and MPSI respectively, and 91–92% for haemoglobinopathies^{13,14}. Comparison between GT and allogeneic HSCT, however, was not the objective of this work and will require additional data collection and specific analyses. Registries of the European Society for Blood and Marrow Transplantation (EBMT) or the Center for International Blood and Marrow Transplant Research (CIBMTR) could represent a potential platform for comparing allogeneic HSCT and GT but currently are not designed to retrieve sufficiently high quality data for long-term monitoring and GT-related parameters.

Oncogenic events related to the insertional mutagenesis occurred in 21 patients over a total of 1504.02 PYO for a pooled overall incidence rate of 0.078 events per 100 PYO. Remarkably, 84% of oncogenic events occurred within the first five years post-GT, regardless of the type of disease, but the occurrence of one case 15 years after GT suggests that long-term follow-up should be implemented at least until this time point, in line with current EMA guidelines¹⁵. Post-marketing pharmacovigilance should be able to eventually capture signals deriving from HSPC-GT at longer time, even life-long.

The oncogenic events appear to be the results of a multistep process, in which the initial hit, in most cases an integration from a γ RV vector near the *LMO2* gene activating its constitutive transcription, is followed by rearrangements, chromosomal translocations and other somatic mutations. Incidence of genotoxicity in γ RV studies ranged from 0.20 events per 100 PYO in ADA-SCID patients to 26.6 events per 100 PYO in WAS patients. The different incidence among trials and diseases suggests that there are additional factors, including transgene function, disease background, vector dose, and individual genetic predisposition that influence the likelihood of occurrence of transformation. The molecular defect that causes inborn errors of immunity per se may predispose to tumorigenesis with variable degree, depending on the underlying molecular mechanisms¹⁶, together with an impaired tumor immune surveillance¹⁷.

Unlike γ RVs which contain strong retroviral enhancer and promoter elements (within the proviral long-terminal repeats; LTR) capable of transactivating of neighboring genes, LVs are designed with self-inactivating transcriptionally silent LTRs and often carry relatively weak or lineage-specific internal cellular promoters. These genetic features, together with different insertion site preferences from γ RV, may provide a mechanistic explanation for the lack of reported malignant clonal expansion in LV trials. This observation substantiates with a robust clinical follow-up (730.7 PYO) the superior LV biosafety profile predicted by multiple non-clinical studies including in tumor prone mice¹⁸ and well correlates with the lack of clonal perturbation assessed by insertion site analyses in LV-based trials¹⁹⁻²¹. So far, only one patient in a LV trial for β -thalassemia was reported to show a dominant clone harbouring an integration in the *HMG2* gene, causing deregulation of *HMG2* expression which, however, was not associated with adverse effects²². Very recently, a case of acute myeloid leukemia containing a viral integrant²³ was described in a phase 1/2 (HGB-206) study²⁴ of LentiGlobin GT for sickle cell disease (SCD). Based on the available analyses, the sponsor has reported that the event is unlikely to be related to the BB305 LV²³ and data are under review by EU and US regulatory authorities.

Emerging technology platforms based on targeted gene editing should in principle further reduce the residual potential low risk of insertional mutagenesis associated with genome-wide integration of LVs^{21,25,26}. However, larger studies and longer follow-up are needed to carefully assess the clinical efficacy and safety of gene editing based approaches. The occurrence of a secondary tumor (myelodysplasia followed by leukemia) in one SCD patient treated with LV²⁷, likely as a result of chemotherapy-induced mutagenesis on residual host cells as well as a bone marrow dysplasia observed in an ADA-SCID patient treated with γ RV deriving from non-corrected cells²⁸ were not unexpected. Indeed, the risk of secondary tumors is reported to be 4% at 7 years after autologous HSCT, with a median onset of 2.5 years post-transplantation (range = 3 months-7 years). The risk may be higher in immunodeficient patients or in conditions characterized by hematopoietic stress and history of previous treatment with cytotoxic drugs, such as in SCD²⁹. In this regard, replacement of standard chemotherapy with non genotoxic conditioning based on depleting antibodies or immunotoxins could reduce this risk^{30,31}.

In the majority of patients, gene modified cells persisted long-term (> one year), indicating the ability of infused HSPC to engraft, self-renew and differentiate. We found that the nature of the vector represents a moderator of this parameter, confirming, so far in the clinical setting, the higher efficiency of LV in transducing repopulating hematopoietic stem cells. On the other hand, the selective advantage of functionally corrected cells in PID subjects may compensate for the lower transduction when adopting the γ RV platform.

Conversely, conditioning regimen had no role as moderator ($p = 0.188$). However, it should be considered that the infusion of corrected HSPC in absence of conditioning was mainly chosen for diseases in which a selective advantage for gene corrected lymphoid cells (SCID) or HSPC (FA) was expected and this could alleviate the need for a chemotherapy regimen.

The creation of a dedicated global registry will be instrumental to allow comprehensive analyses of the outcome of HSPC-GT across different diseases. At present, there is still debate on the optimal format of registries that could monitor long-term safety and efficacy of ATMP, in compliance to requests of regulatory authorities and payors. These registries could collect data on specific ATMPs or diseases but their accessibility could still be limited and there are known difficulties in harmonization between countries. Existing infrastructure such as the one used by EBMT could retrieve data on all HSPC-GT procedures and allow comparison with HSCT. This approach has been used to capture information on long-term follow-up of patients treated with CAR-T cells, but its success and broader applicability are still under evaluation³².

We are aware that our meta-analysis focused on several small trials, but this is a specificity of a therapeutic approach that has been almost entirely devoted until now to rare diseases and/or is still in its early phase of clinical development. Since follow-up is not homogeneously updated, we conducted a sensitivity analysis on studies with an adequate follow-up that confirmed our results. While we are confident that all genotoxic events up to date have been reported, some deaths might have been missed if not properly reported. We also recognize that engraftment is not a hard clinical endpoint for efficacy, but traditional efficacy endpoints are disease specific and this would have precluded the meta-analytic approach that combines all diseases. The creation of a dedicated global registry will be instrumental to allow comprehensive prospective meta-analyses of the outcome of HSPC-GT across different diseases. In conclusions, results from this meta-analysis summarizing two decades of studies on HSPC-GT in over 400 patients shows stable reconstitution of haematopoiesis with gene-corrected cells in most recipients and superior engraftment and safer genotoxic profile in patients receiving LV-transduced HSPC.

Methods

Search Strategy and selection criteria

In this systematic review and meta-analysis, we followed PRISMA guidelines. Searches were conducted in PubMed, Embase and Cochrane Central Register of Controlled Trials to identify potentially eligible literature from inception to October 2020. The search strategy used the following search terms in combination: "genetic disease" and "GT" or "ex-vivo GT", "autologous hematopoietic stem cell transplantation" or "HSPC-GT" (Supplementary text). We also handsearched the reference lists of every selected study and assessed relevant studies for further publications. A search on ClinicalTrials.gov was performed to identify potential missing trials from the original evaluations. Corresponding authors of selected publications were contacted to ask clarification and retrieve missing data. In addition, reviews, conference abstracts and oral communications were identified by electronic searching and included as "gray literature data". Abstracts of articles were then independently reviewed by two authors (AA and FT) and the full text was obtained for suitable articles. Data were also extracted independently.

To be eligible, studies must have: (1) included patients affected by monogenic inherited diseases treated with HSPC-GT; (2) reported outcomes, including numbers of deaths, genotoxicities and engraftments. Genotoxic events were intended as the first occurred haematological malignancy related or probably related to GT. Second malignancies and tumors not related to GT were not included in the genotoxicity analysis. Engraftment was considered successful when molecular tests reported the presence of gene corrected cells for ≥ 1 year post-GT. Due to the lack of data, we did not performed a quantitative analysis of the engraftment on distinct hematopoietic lineages. Non clinical research and clinical studies on cancer or gene editing were excluded. Studies were also excluded

if they were limited to qualitative description. In addition to the target reported outcomes, the following variables were extracted: CT registration number, disease, type of vector, type of conditioning regimen, summary measures on infused CD34 + cells/kg, vector copy number (VCN/genome) on the drug product, duration of follow-up after GT and year at the latest update. When possible, individual data on age at treatment, infused CD34 + cells/kg, VCN/genome, occurred events, timing of any subsequent HSCT and duration of follow-up post-GT were also retrieved (see Supplementary text for more details). Individual medicinal products for the same disease (i.e. encoding the same transgene) may differ for vector backbone, promoter, vector production process and transduction method. The quality of the included studies was evaluated based on a six-item tool that assessed the selection and outcome domains (Supplementary Table 1) and was adapted from the The Newcastle-Ottawa Scale (NOS) for assessing the quality of non-randomised studies in meta-analysis³³. A global score ranging 0–15 (from lowest to highest quality) was also obtained from the six items. All studies meeting inclusion-exclusion criteria were independently evaluated by 2 trained authors (AA and FT).

Data analysis

The meta-analysis on the incidence rate of mortality and genotoxicity was conducted using a random intercept Poisson model, while the analysis on the rate of engraftment was performed by means of a random intercept logistic model³⁴. The trial specific total exposures in terms of person-years of observation (PYO) were obtained from individual data or, when not available, from minimum, median and maximum follow-up. Heterogeneity across studies was graphically explored drawing forest plots and quantified by the I^2 index, while the presence of heterogeneity was tested using the Cochran Q statistic. Summary results were reported along with their 95% Confidence Intervals (CI). P-values based on the likelihood ratio test were provided ($\alpha = 0.05$, two sided). A sensitivity analysis was done by excluding those studies with a follow-up not fully adequate (i.e. median follow-up < 2 years).

The available individual data were described in terms of survival by means of the Kaplan-Meier estimator and comparisons were done by the log-rank test, while the Aalen-Johansen cumulative incidence curves were used to describe genotoxicity (with death as competing event) and the Gray test was used for comparisons. Estimates were reported with the corresponding 95% CI. Analyses were performed using the software R version 3.6 (package metafor for the meta-analysis).

Data availability

Because this meta-analysis was based on data extracted from previously published research, most of the data and study materials are available in the public domain. Data from this additional analysis will not be made publicly available; however, we encourage interested parties to contact the corresponding author for further discussions.

Declarations

Competing interests

A.A. is PI of clinical trials sponsored by Orchard Therapeutics, which licensed gene therapy products for ADA-SCID, WAS, MLD, Beta-thalassemia, and MPS originally developed at SR-TIGET. A.A. is a member of Committee for Advanced Therapies (CAT) and his views are personal and may not be understood or quoted as being made on behalf of the European Medicines Agency (EMA). L.N. is an inventor on pending and issued patents on LV technology filed by the Salk Institute, Cell Genesys, Telethon Foundation, and/or San Raffaele Scientific Institute.

Author contribution

A.A. and S.G. conceived the study. F.T., S.G. and A.A. took responsibility for the integrity of the data and the accuracy of the analyses. F.T. and A.A. conducted the literature search, did the quality assessment of the selected studies and extracted data. F.T. and S.G. interpreted results and wrote the paper; S.G. and M.G.V. performed the statistical analysis; A.A., M.G.V. and L.N. critically revised the manuscript and helped with scientific discussion; A.A. contributed to interpretation and to the final writing of the paper. All the authors confirm they have full access to all the data in the study and accept responsibility to submit for publication.

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Figures

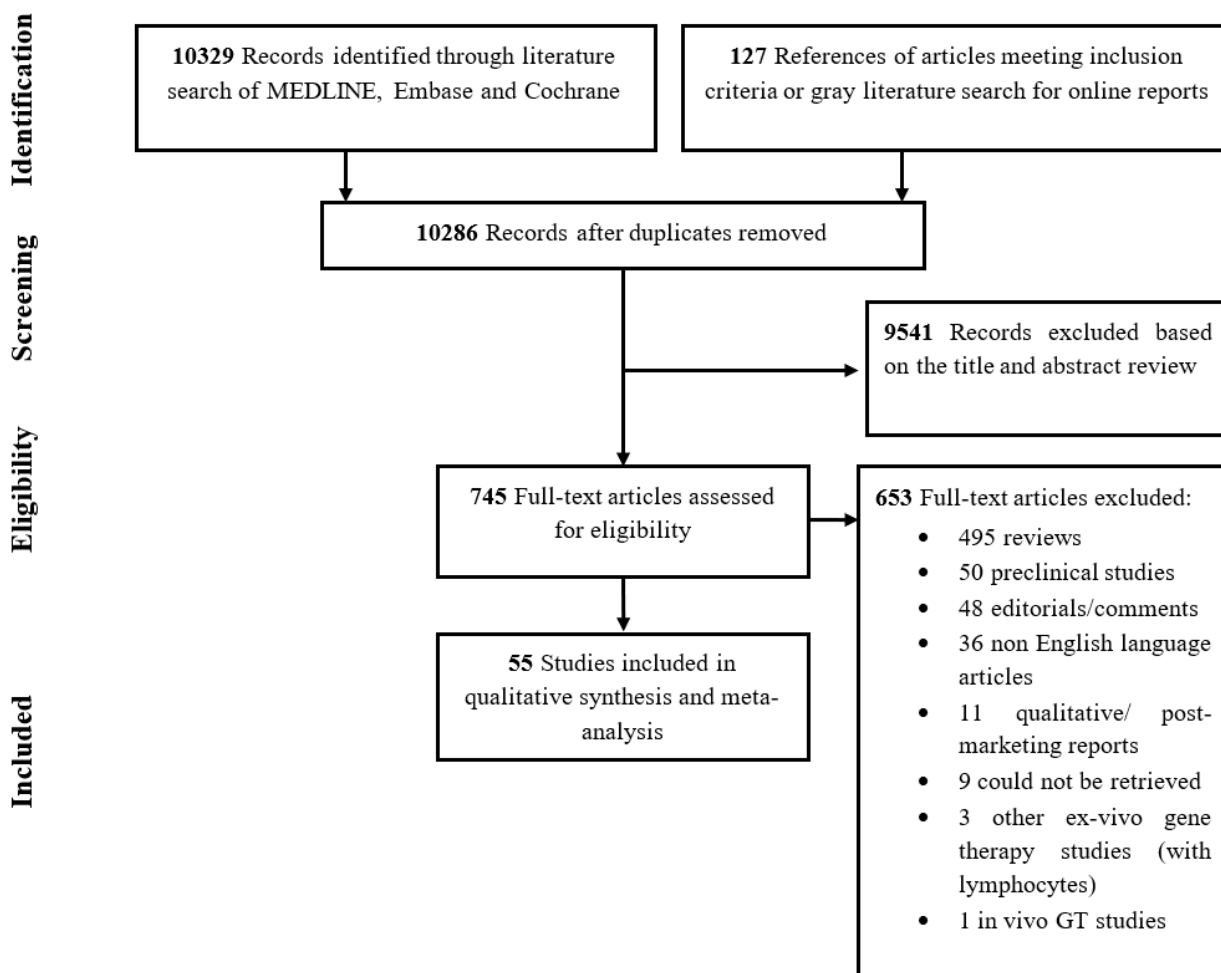


Figure 1

Study selection

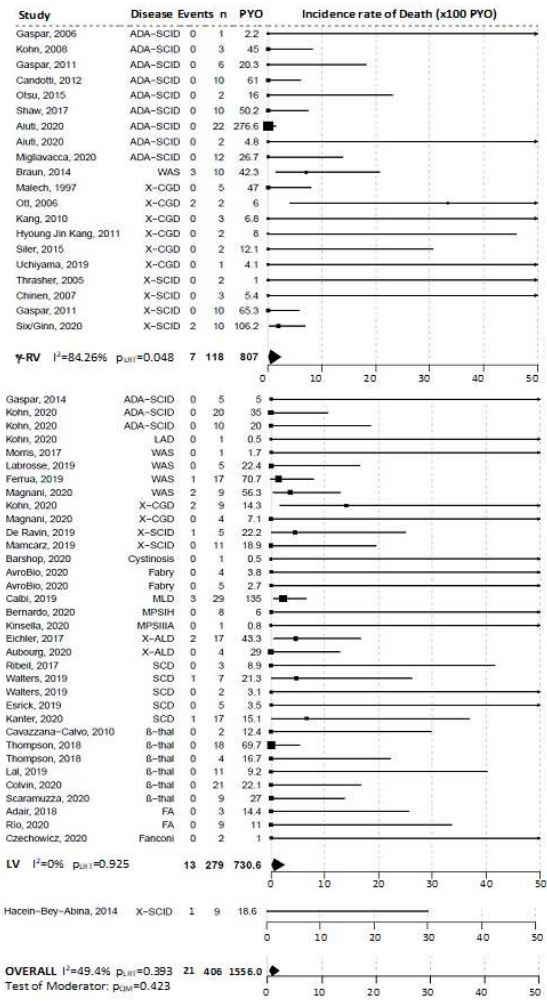


Figure 2

Forest plot for survival in the yRV trials (A), in the LV trials (B) and in the SIN-yRV trial (C)

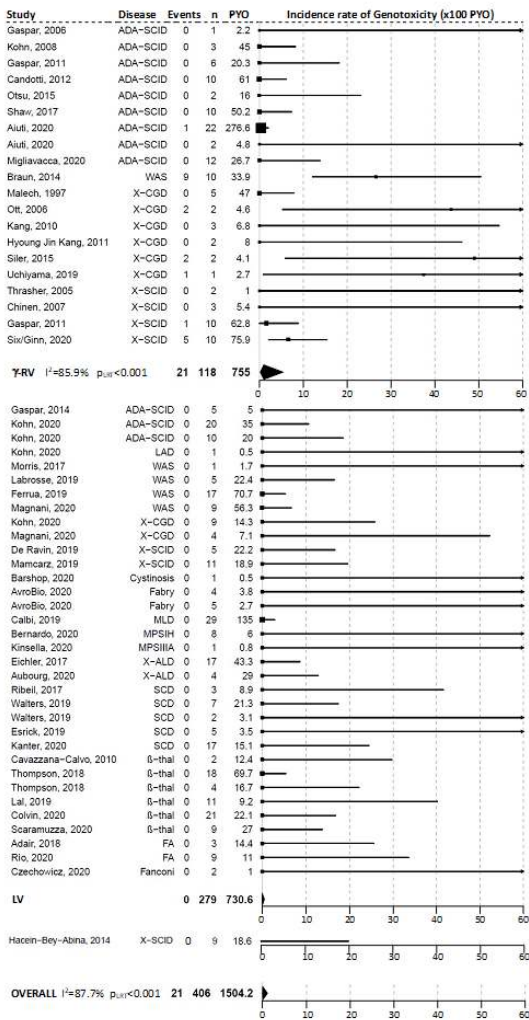


Figure 3

Forest plot for the oncogenic events in the γ RV trials (A), in the LV trials (B) and in the SIN- γ RV trial (C)

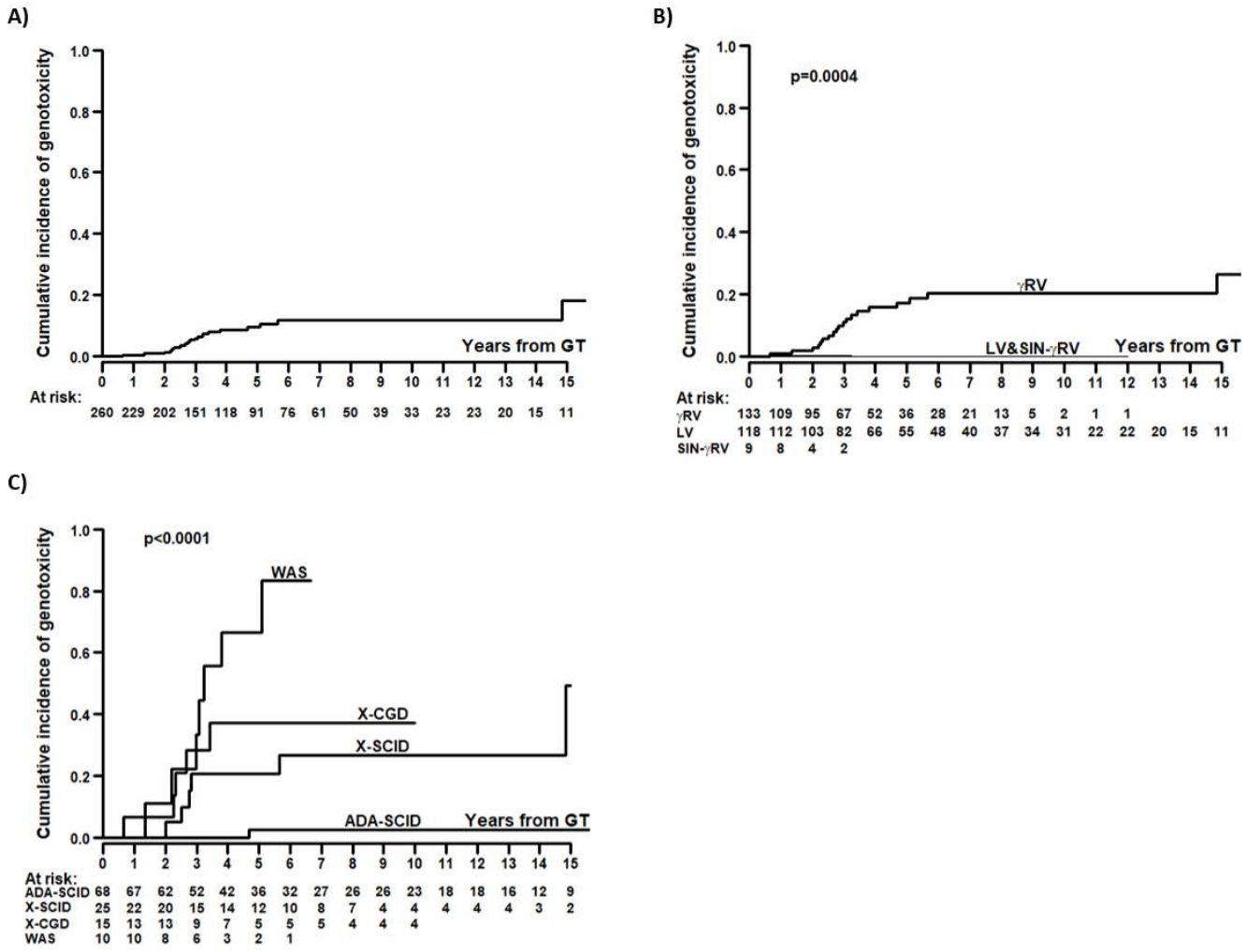


Figure 4

Crude cumulative incidence rate of genotoxicity A) overall and stratified by B) type of vector and C) disease using γ RV

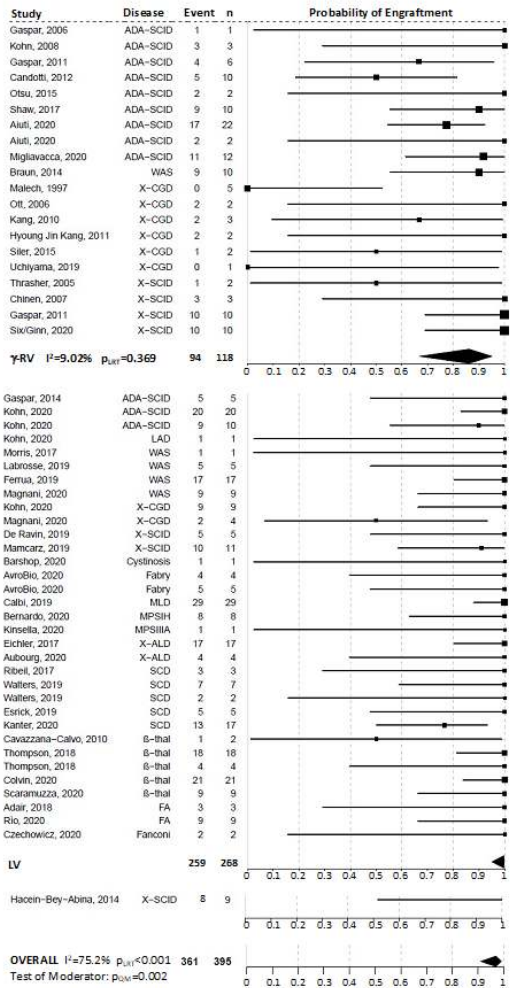


Figure 5

Forest plot for the engraftment in the γ RV trials (A), in the LV trials (B) and in the SIN- γ RV trial (C)

Supplementary Files

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- [Supplementarymaterial.pdf](#)