



## Generalizations of the Aluthge Transform of Operators

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**Abstract.** Let  $A$  be an operator with the polar decomposition  $A = U|A|$ . The Aluthge transform of the operator  $A$ , denoted by  $\tilde{A}$ , is defined as  $\tilde{A} = |A|^{\frac{1}{2}}U|A|^{\frac{1}{2}}$ . In this paper, first we generalize the definition of Aluthge transform for non-negative continuous functions  $f, g$  such that  $f(x)g(x) = x$  ( $x \geq 0$ ). Then, by using this definition, we get some numerical radius inequalities. Among other inequalities, it is shown that if  $A$  is bounded linear operator on a complex Hilbert space  $\mathbb{H}$ , then

$$h(w(A)) \leq \frac{1}{4} \left\| h(g^2(|A|)) + h(f^2(|A|)) \right\| + \frac{1}{2} h(w(\tilde{A}_{f,g})),$$

where  $f, g$  are non-negative continuous functions such that  $f(x)g(x) = x$  ( $x \geq 0$ ),  $h$  is a non-negative and non-decreasing convex function on  $[0, \infty)$  and  $\tilde{A}_{f,g} = f(|A|)Ug(|A|)$ .

### 1. Introduction and preliminaries

### 2. Introduction

Let  $\mathbb{B}(\mathbb{H})$  denotes the  $C^*$ -algebra of all bounded linear operators on a complex Hilbert space  $\mathbb{H}$  with an inner product  $\langle \cdot, \cdot \rangle$  and the corresponding norm  $\| \cdot \|$ . In the case when  $\dim \mathbb{H} = n$ , we identify  $\mathbb{B}(\mathbb{H})$  with the matrix algebra  $\mathbb{M}_n$  of all  $n \times n$  matrices with entries in the complex field. For an operator  $A \in \mathbb{B}(\mathbb{H})$ , let  $A = U|A|$  ( $U$  is a partial isometry with  $\ker U = \text{range } |A|^\perp$ ) be the polar decomposition of  $A$ . The Aluthge transform of the operator  $A$ , denoted by  $\tilde{A}$ , is defined as  $\tilde{A} = |A|^{\frac{1}{2}}U|A|^{\frac{1}{2}}$ . In [7, 21], a more general notion called  $t$ -Aluthge transform has been introduced which has later been studied. This is defined for any  $0 < t \leq 1$  by  $\tilde{A}_t = |A|^t U |A|^{1-t}$ . Clearly, for  $t = \frac{1}{2}$  we obtain the usual Aluthge transform. For the case  $t = 1$ , the operator  $\tilde{A}_1 = |A|U$  is called the Duggal transform of  $A \in \mathbb{B}(\mathbb{H})$ . For  $A \in \mathbb{B}(\mathbb{H})$ , we generalize the Aluthge transform of the operator  $A$  to the form

$$\tilde{A}_{f,g} = f(|A|)Ug(|A|),$$

in which  $f, g$  are non-negative continuous functions such that  $f(x)g(x) = x$  ( $x \geq 0$ ). The numerical radius of  $A \in \mathbb{B}(\mathbb{H})$  is defined by

$$w(A) := \sup\{|\langle Ax, x \rangle| : x \in \mathbb{H}, \|x\| = 1\}.$$

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It is well known that  $w(\cdot)$  defines a norm on  $\mathbb{B}(\mathbb{H})$ , which is equivalent to the usual operator norm  $\|\cdot\|$ . In fact, for any  $A \in \mathbb{B}(\mathbb{H})$ ,  $\frac{1}{2}\|A\| \leq w(A) \leq \|A\|$ ; see [8]. Let  $r(\cdot)$  denote the spectral radius. It is well known that for every operator  $A \in \mathbb{B}(\mathbb{H})$ , we have  $r(A) \leq w(A)$ . An important inequality for  $w(A)$  is the power inequality stating that  $w(A^n) \leq w(A)^n$  ( $n = 1, 2, \dots$ ). For further information about the numerical radius we refer the reader to [10–12] and references therein. The quantity  $w(A)$  is useful in studying perturbation, convergence and approximation problems as well as integrative methods, etc. For more information see [3, 6, 9, 13–15, 17].

Let  $A, B, C, D \in \mathbb{B}(\mathbb{H})$ . The operator matrices  $\begin{bmatrix} A & 0 \\ 0 & D \end{bmatrix}$  and  $\begin{bmatrix} 0 & B \\ C & 0 \end{bmatrix}$  are called the diagonal and off-diagonal parts of the operator matrix  $\begin{bmatrix} A & B \\ C & D \end{bmatrix}$ , respectively.

In [16], it has been shown that if  $A$  is an operator in  $\mathbb{B}(\mathbb{H})$ , then

$$w(A) \leq \frac{1}{2} (\|A\| + \|A^2\|^{\frac{1}{2}}). \tag{1}$$

Several refinements and generalizations of inequality (1) have been given; see [1, 4, 5, 21–24]. Yamazaki [22] showed that for  $A \in \mathbb{B}(\mathbb{H})$  and  $t \in [0, 1]$  we have

$$w(A) \leq \frac{1}{2} (\|A\| + w(\tilde{A}_t)). \tag{2}$$

Davidson and Power [7] proved that if  $A$  and  $B$  are positive operators in  $\mathbb{B}(\mathbb{H})$ , then

$$\|A + B\| \leq \max\{\|A\|, \|B\|\} + \|AB\|^{\frac{1}{2}}. \tag{3}$$

Inequality (3) has been generalized in [2, 20] and improved in [18, 19]. In [20], the author extended this inequality to the form

$$\|A + B^*\| \leq \max\{\|A\|, \|B\|\} + \frac{1}{2} (\| |A|^t |B^*|^{1-t} \| + \| |A^*|^{1-t} |B|^t \|), \tag{4}$$

in which  $A, B \in \mathbb{B}(\mathbb{H})$  and  $t \in [0, 1]$ .

In this paper, by applying the generalized Aluthge transform of operators, we establish some inequalities involving the numerical radius. In particular, we extend inequalities (2) and (4) for two non-negative continuous functions. We also show some upper bounds for the numerical radius of  $2 \times 2$  operator matrices.

### 3. main results

To prove our numerical radius inequalities, we need several known lemmas.

**Lemma 3.1.** [1, Theorem 2.2] Let  $X, Y, S, T \in \mathbb{B}(\mathbb{H})$ . Then

$$r(XY + ST) \leq \frac{1}{2} (w(YX) + w(TS)) + \frac{1}{2} \sqrt{(w(YX) - w(TS))^2 + 4\|YS\|\|TX\|}.$$

**Lemma 3.2.** [16, 22] Let  $A \in \mathbb{B}(\mathbb{H})$ . Then

- (a)  $w(A) = \max_{\theta \in \mathbb{R}} \left\| \operatorname{Re} \left( e^{i\theta} A \right) \right\|.$
- (b)  $w \left( \begin{bmatrix} 0 & A \\ 0 & 0 \end{bmatrix} \right) = \frac{1}{2} \|A\|.$

**Polarization identity:** For all  $x, y \in \mathbb{H}$ , we have

$$\langle x, y \rangle = \frac{1}{4} \sum_{k=0}^3 \|x + i^k y\|^2 i^k.$$

Now, we are ready to present our first result. The following theorem shows a generalization of inequality (2).

**Theorem 3.3.** Let  $A \in \mathbb{B}(\mathbb{H})$  and  $f, g$  be two non-negative continuous functions on  $[0, \infty)$  such that  $f(x)g(x) = x$  ( $x \geq 0$ ). Then, for all non-negative and non-decreasing convex function  $h$  on  $[0, \infty)$ , we have

$$h(w(A)) \leq \frac{1}{4} \|h(g^2(|A|)) + h(f^2(|A|))\| + \frac{1}{2} h(w(\tilde{A}_{f,g})).$$

*Proof.* Let  $x$  be any unit vector. Then

$$\begin{aligned} \operatorname{Re} \langle e^{i\theta} Ax, x \rangle &= \operatorname{Re} \langle e^{i\theta} U|A|x, x \rangle \\ &= \operatorname{Re} \langle e^{i\theta} Ug(|A|)f(|A|x), x \rangle \\ &= \operatorname{Re} \langle e^{i\theta} f(|A|x), g(|A|)U^*x \rangle \\ &= \frac{1}{4} \left\| (e^{i\theta} f(|A|) + g(|A|)U^*)x \right\|^2 - \frac{1}{4} \left\| (e^{i\theta} f(|A|) - g(|A|)U^*)x \right\|^2 \\ &\hspace{15em} \text{(by polarization identity)} \\ &\leq \frac{1}{4} \left\| (e^{i\theta} f(|A|) + g(|A|)U^*)x \right\|^2 \\ &\leq \frac{1}{4} \left\| (e^{i\theta} f(|A|) + g(|A|)U^*) \right\|^2 \\ &= \frac{1}{4} \left\| (e^{i\theta} f(|A|) + g(|A|)U^*) (e^{-i\theta} f(|A|) + Ug(|A|)) \right\| \\ &= \frac{1}{4} \left\| g^2(|A|) + f^2(|A|) + e^{i\theta} \tilde{A}_{f,g} + e^{-i\theta} (\tilde{A}_{f,g})^* \right\| \\ &\leq \frac{1}{4} \|g^2(|A|) + f^2(|A|)\| + \frac{1}{4} \|e^{i\theta} \tilde{A}_{f,g} + e^{-i\theta} (\tilde{A}_{f,g})^*\| \\ &= \frac{1}{4} \|g^2(|A|) + f^2(|A|)\| + \frac{1}{2} \left\| \operatorname{Re} (e^{i\theta} \tilde{A}_{f,g}) \right\| \\ &\leq \frac{1}{4} \|g^2(|A|) + f^2(|A|)\| + \frac{1}{2} w(\tilde{A}_{f,g}). \end{aligned}$$

Now, taking the supremum over all unit vectors  $x \in \mathbb{H}$  and applying Lemma 3.2 in the above inequality produces

$$w(A) \leq \frac{1}{4} \|g^2(|A|) + f^2(|A|)\| + \frac{1}{2} w(\tilde{A}_{f,g}).$$

Therefore,

$$\begin{aligned}
 h(w(A)) &\leq h\left(\frac{1}{4} \|g^2(|A|) + f^2(|A|)\| + \frac{1}{2} w(\tilde{A}_{f,g})\right) \\
 &= h\left(\frac{1}{2} \left\| \frac{g^2(|A|) + f^2(|A|)}{2} \right\| + \frac{1}{2} w(\tilde{A}_{f,g})\right) \\
 &\leq \frac{1}{2} h\left(\left\| \frac{g^2(|A|) + f^2(|A|)}{2} \right\|\right) + \frac{1}{2} h(w(\tilde{A}_{f,g})) \\
 &\hspace{15em} \text{(by the convexity of } h) \\
 &= \frac{1}{2} \left\| h\left(\frac{g^2(|A|) + f^2(|A|)}{2}\right) \right\| + \frac{1}{2} h(w(\tilde{A}_{f,g})) \\
 &\leq \frac{1}{4} \left\| h(g^2(|A|)) + h(f^2(|A|)) \right\| + \frac{1}{2} h(w(\tilde{A}_{f,g})) \\
 &\hspace{15em} \text{(by the convexity of } h).
 \end{aligned}$$

□

Theorem 3.3 includes some special cases as follows.

**Corollary 3.4.** *Let  $A \in \mathbb{B}(\mathbb{H})$ . Then, for all non-negative and non-decreasing convex function  $h$  on  $[0, \infty)$  and all  $t \in [0, 1]$ , we have*

$$h(w(A)) \leq \frac{1}{4} \left\| h(|A|^{2t}) + h(|A|^{2(1-t)}) \right\| + \frac{1}{2} h(w(\tilde{A}_t)). \tag{5}$$

**Corollary 3.5.** *Let  $A \in \mathbb{B}(\mathbb{H})$ . Then, for all  $t \in [0, 1]$  and  $s \geq 1$ , we have*

$$w^s(A) \leq \frac{1}{4} \left\| |A|^{2ts} + |A|^{2(1-t)s} \right\| + \frac{1}{2} w^s(\tilde{A}_t).$$

In particular,

$$w^s(A) \leq \frac{1}{2} (\|A\|^s + w^s(\tilde{A})).$$

*Proof.* The first inequality follows from inequality (5) for the function  $h(x) = x^s$  ( $s \geq 1$ ). For the particular case, it is enough to put  $t = \frac{1}{2}$ . □

Theorem 3.3 gives the next result for the off-diagonal operator matrix  $\begin{bmatrix} 0 & A \\ B & 0 \end{bmatrix}$ .

**Theorem 3.6.** *Let  $A, B \in \mathbb{B}(\mathbb{H})$ ,  $f, g$  be two non-negative continuous functions on  $[0, \infty)$  such that  $f(x)g(x) = x$  ( $x \geq 0$ ) and  $s \geq 1$ . Then*

$$\begin{aligned}
 w^s\left(\begin{bmatrix} 0 & A \\ B & 0 \end{bmatrix}\right) &\leq \frac{1}{4} \max\left(\|g^{2s}(|A|) + f^{2s}(|A|)\|, \|g^{2s}(|B|) + f^{2s}(|B|)\|\right) \\
 &\quad + \frac{1}{4} (\|f(|B|)g(|A^*|)\|^s + \|f(|A|)g(|B^*|)\|^s).
 \end{aligned}$$

*Proof.* Let  $A = U|A|$  and  $B = V|B|$  be the polar decompositions of  $A$  and  $B$ , respectively, and let  $T = \begin{bmatrix} 0 & A \\ B & 0 \end{bmatrix}$ .

It follows from the polar decomposition of  $T = \begin{bmatrix} 0 & U \\ V & 0 \end{bmatrix} \begin{bmatrix} |B| & 0 \\ 0 & |A| \end{bmatrix}$  that

$$\begin{aligned} \tilde{T}_{f,g} &= f(|T|) \begin{bmatrix} 0 & U \\ V & 0 \end{bmatrix} g(|T|) \\ &= \begin{bmatrix} f(|B|) & 0 \\ 0 & f(|A|) \end{bmatrix} \begin{bmatrix} 0 & U \\ V & 0 \end{bmatrix} \begin{bmatrix} g(|B|) & 0 \\ 0 & g(|A|) \end{bmatrix} \\ &= \begin{bmatrix} 0 & f(|B|)Ug(|A|) \\ f(|A|)Vg(|B|) & 0 \end{bmatrix}. \end{aligned}$$

Using  $|A^*|^2 = AA^* = U|A|^2U^*$  and  $|B^*|^2 = BB^* = V|B|^2V^*$  we have  $g(|A|) = U^*g(|A^*|)U$  and  $g(|B|) = V^*g(|B^*|)V$  for every non-negative continuous function  $g$  on  $[0, \infty)$ . Therefore,

$$\begin{aligned} w(\tilde{T}_{f,g}) &= w\left(\begin{bmatrix} 0 & f(|B|)Ug(|A|) \\ f(|A|)Vg(|B|) & 0 \end{bmatrix}\right) \\ &\leq w\left(\begin{bmatrix} 0 & f(|B|)Ug(|A|) \\ 0 & 0 \end{bmatrix}\right) + w\left(\begin{bmatrix} 0 & 0 \\ f(|A|)Vg(|B|) & 0 \end{bmatrix}\right) \\ &= w\left(\begin{bmatrix} 0 & f(|B|)Ug(|A|) \\ 0 & 0 \end{bmatrix}\right) + w\left(W^* \begin{bmatrix} 0 & f(|A|)Vg(|B|) \\ 0 & 0 \end{bmatrix} W\right) \\ &= w\left(\begin{bmatrix} 0 & f(|B|)Ug(|A|) \\ 0 & 0 \end{bmatrix}\right) + w\left(\begin{bmatrix} 0 & f(|A|)Vg(|B|) \\ 0 & 0 \end{bmatrix}\right) \\ &= \frac{1}{2}\|f(|B|)Ug(|A|)\| + \frac{1}{2}\|f(|A|)Vg(|B|)\| \\ &\quad \text{(by Lemma 3.2(b))} \\ &= \frac{1}{2}\|f(|B|)UU^*g(|A^*|)U\| + \frac{1}{2}\|f(|A|)VV^*g(|B^*|)V\| \\ &\leq \frac{1}{2}\|f(|B|)g(|A^*|)\| + \frac{1}{2}\|f(|A|)g(|B^*|)\|, \end{aligned} \tag{6}$$

where  $W = \begin{bmatrix} 0 & I \\ I & 0 \end{bmatrix}$  is unitary. Applying Theorem 3.3 and inequality (6), we have

$$\begin{aligned} w^s(T) &\leq \frac{1}{4}\|g^{2s}(|T|) + f^{2s}(|T|)\| + \frac{1}{2}(w^s(\tilde{T}_{f,g})) \\ &\leq \frac{1}{4}\max(\|g^{2s}(|A|) + f^{2s}(|A|)\|, \|g^{2s}(|B|) + f^{2s}(|B|)\|) \\ &\quad + \frac{1}{2}\left[\frac{1}{2}(\|f(|B|)g(|A^*|)\| + \|f(|A|)g(|B^*|)\|)\right]^s \\ &\leq \frac{1}{4}\max(\|g^{2s}(|A|) + f^{2s}(|A|)\|, \|g^{2s}(|B|) + f^{2s}(|B|)\|) \\ &\quad + \frac{1}{4}\|f(|B|)g(|A^*|)\|^s + \frac{1}{4}\|f(|A|)g(|B^*|)\|^s \\ &\quad \text{(by the convexity } h(x) = x^s\text{)}. \end{aligned}$$

□

**Corollary 3.7.** Let  $A, B \in \mathbb{B}(\mathbb{H})$ . Then, for all  $t \in [0, 1]$  and  $s \geq 1$ , we have

$$w^{\frac{s}{2}}(AB) \leq \frac{1}{4} \max(\| |A|^{2ts} + |A|^{2(1-t)s} \|, \| |B|^{2ts} + |B|^{2(1-t)s} \|) + \frac{1}{4} (\| |A|^t |B^*|^{1-t} \|^s + \| |B|^t |A^*|^{1-t} \|^s).$$

*Proof.* Applying the power inequality of the numerical radius ( $w(A^n) \leq w^n(A)$  [19]), we have

$$\begin{aligned} w^{\frac{s}{2}}(AB) &\leq \max(w^{\frac{s}{2}}(AB), w^{\frac{s}{2}}(BA)) \\ &= w^{\frac{s}{2}} \left( \begin{bmatrix} AB & 0 \\ 0 & BA \end{bmatrix} \right) \\ &= w^{\frac{s}{2}} \left( \begin{bmatrix} 0 & A \\ B & 0 \end{bmatrix}^2 \right) \\ &\leq w^s \left( \begin{bmatrix} 0 & A \\ B & 0 \end{bmatrix} \right) \\ &\leq \frac{1}{4} \max(\| |A|^{2ts} + |A|^{2(1-t)s} \|, \| |B|^{2ts} + |B|^{2(1-t)s} \|) \\ &\quad + \frac{1}{4} (\| |A|^t |B^*|^{1-t} \|^s + \| |B|^t |A^*|^{1-t} \|^s) \\ &\quad \text{(by Theorem 3.6)}. \end{aligned}$$

□

**Corollary 3.8.** Let  $A, B \in \mathbb{B}(\mathbb{H})$  be positive operators. Then, for all  $t \in [0, 1]$  and  $s \geq 1$ , we have

$$\| |A^{\frac{1}{2}} B^{\frac{1}{2}} \|^s \leq \frac{1}{4} \max(\| |A|^{ts} + |A|^{(1-t)s} \|, \| |B|^{ts} + |B|^{(1-t)s} \|) + \frac{1}{4} (\| |A|^t |B|^{1-t} \|^s + \| |B|^t |A|^{1-t} \|^s).$$

*Proof.* Since the spectral radius of any operator is dominated by its numerical radius, then  $r^{\frac{1}{2}}(AB) \leq w^{\frac{1}{2}}(AB)$ . Applying a commutativity property of the spectral radius, we get

$$\begin{aligned} r^{\frac{s}{2}}(AB) &= r^{\frac{s}{2}}(A^{\frac{1}{2}} A^{\frac{1}{2}} B^{\frac{1}{2}} B^{\frac{1}{2}}) \\ &= r^{\frac{s}{2}}(A^{\frac{1}{2}} B^{\frac{1}{2}} B^{\frac{1}{2}} A^{\frac{1}{2}}) \\ &= r^{\frac{s}{2}}(A^{\frac{1}{2}} B^{\frac{1}{2}} (A^{\frac{1}{2}} B^{\frac{1}{2}})^*) \\ &= \left\| |A^{\frac{1}{2}} B^{\frac{1}{2}} (A^{\frac{1}{2}} B^{\frac{1}{2}})^* \right\|^{\frac{s}{2}} \\ &= \left\| |A^{\frac{1}{2}} B^{\frac{1}{2}} \right\|^s. \end{aligned} \tag{7}$$

Now, the result follows from Corollary 3.7. □

An important special case of Theorem 3.6, which generalizes inequality (4) can be stated as follows.

**Corollary 3.9.** Let  $A, B \in \mathbb{B}(\mathbb{H})$  and  $s \geq 1$ . Then

$$\| |A + B| \|^s \leq \frac{1}{2^{2-s}} \max(\| |A|^{2ts} + |A|^{2(1-t)s} \|, \| |B^*|^{2ts} + |B^*|^{2(1-t)s} \|) + \frac{1}{2^{2-s}} (\| |A|^t |B|^{1-t} \|^s + \| |B^*|^t |A^*|^{1-t} \|^s).$$

In particular, if  $A$  and  $B$  are normal, then

$$\|A + B\|^s \leq \frac{1}{2^{1-s}} \max(\|A\|^s, \|B\|^s) + \frac{1}{2^{1-s}} \|AB\|^{\frac{s}{2}}.$$

*Proof.* Applying Lemma 3.2 and Theorem 3.3, we have

$$\begin{aligned} \|A + B^*\|^s &= \|T + T^*\|^s \\ &\leq 2^s \max_{\theta \in \mathbb{R}} \left\| \operatorname{Re}(e^{i\theta} T) \right\|^s \\ &= 2^s w^s(T) \\ &\leq \frac{2^s}{4} \max(\| |A|^{2ts} + |A|^{2(1-t)s} \|, \| |B|^{2ts} + |B|^{2(1-t)s} \|) \\ &\quad + \frac{2^s}{4} (\| |A|^t |B^*|^{1-t} \|^s + \| |B|^t |A^*|^{1-t} \|^s) \\ &\qquad\qquad\qquad (\text{by Theorem 3.6}), \end{aligned}$$

where  $T = \begin{bmatrix} 0 & A \\ B & 0 \end{bmatrix}$ ,  $f(x) = x^t$ , and  $g(x) = x^{1-t}$ . Now, the desired result follows by replacing  $B$  by  $B^*$ . For the particular case  $t = \frac{1}{2}$ . If  $A$  and  $B$  are normal, then  $|B^*| = |B|$  and  $|A^*| = |A|$ . Applying equality (7) for the operators  $|A|^{\frac{1}{2}}$  and  $|B|^{\frac{1}{2}}$ , we have

$$\begin{aligned} \left\| |A|^{\frac{1}{2}} |B|^{\frac{1}{2}} \right\|^s &= r^{\frac{s}{2}} (|A| |B|) \\ &\leq \| |A| |B| \|^{\frac{s}{2}} \\ &= \| U^* A B^* V \|^{\frac{s}{2}} \\ &= \| A B^* \|^{\frac{s}{2}}, \end{aligned}$$

where  $A = U|A|$  and  $B = V|B|$  are the polar decompositions of the operators  $A$  and  $B$ . This completes the proof of the corollary.  $\square$

In the next result, we show another generalization of inequality (2).

**Theorem 3.10.** Let  $A \in \mathbb{B}(\mathbb{H})$  and  $f, g, h$  be non-negative and non-decreasing continuous functions on  $[0, \infty)$  such that  $f(x)g(x) = x$  ( $x \geq 0$ ) and  $h$  is convex. Then

$$h(w(A)) \leq \frac{1}{2} \left( h(w(\tilde{A}_{f,g})) + \|h(|A|)\| \right).$$

*Proof.* Let  $A = U|A|$  be the polar decomposition of  $A$ . Then for every  $\theta \in \mathbb{R}$ , we have

$$\begin{aligned} \|\operatorname{Re}(e^{i\theta} A)\| &= r(\operatorname{Re}(e^{i\theta} A)) \\ &= \frac{1}{2} r(e^{i\theta} A + e^{-i\theta} A^*) \\ &= \frac{1}{2} r(e^{i\theta} U|A| + e^{-i\theta} |A|U^*) \\ &= \frac{1}{2} r(e^{i\theta} U g(|A|) f(|A|) + e^{-i\theta} f(|A|) g(|A|) U^*). \end{aligned} \tag{8}$$

Now, if we put  $X = e^{i\theta}Ug(|A|)$ ,  $Y = f(|A|)$ ,  $S = e^{-i\theta}f(|A|)$  and  $T = g(|A|)U^*$  in Lemma 3.1, then we get

$$\begin{aligned}
 & r(e^{i\theta}Ug(|A|)f(|A|) + e^{-i\theta}f(|A|)g(|A|)U^*) \\
 & \leq \frac{1}{2}(w(f(|A|)Ug(|A|)) + w(g(|A|)U^*f(|A|))) \\
 & \quad + \frac{1}{2}\sqrt{4\|e^{-i\theta}f(|A|)g(|A|)\| \|g(|A|)U^*e^{i\theta}Uf(|A|)\|} \\
 & \qquad \qquad \qquad \text{(by Lemma 3.1)} \\
 & \leq w(f(|A|)Ug(|A|)) + \sqrt{\|f(|A|)\| \|f(|A|)\| \|g(|A|)\| \|g(|A|)\|} \\
 & = w(f(|A|)Ug(|A|)) + \sqrt{f(\|A\|)g(\|A\|)g(\|A\|)f(\|A\|)} \\
 & = w(f(|A|)Ug(|A|)) + \sqrt{\|A\|\|A\|} \\
 & = w(\tilde{A}_{f,g}) + \|A\|. \tag{9}
 \end{aligned}$$

Note that, since  $w(X) = w(X^*)$  ( $X \in \mathbb{B}(\mathbb{H})$ ), in the first inequality we have

$$w(YX) - w(TS) = w(f(|A|)Ug(|A|)) - w(g(|A|)U^*f(|A|)) = 0.$$

Using inequalities (8), (9) and Lemma 3.2 we get

$$w(A) = \max_{\theta \in \mathbb{R}} \left\| \operatorname{Re}(e^{i\theta}A) \right\| \leq \frac{1}{2}(w(\tilde{A}_{f,g}) + \|A\|).$$

Hence

$$\begin{aligned}
 h(w(A)) & \leq h\left(\frac{1}{2}[w(\tilde{A}_{f,g}) + \|A\|]\right) \\
 & \qquad \qquad \qquad \text{(by the monotonicity of } h) \\
 & \leq \frac{1}{2}h(w(\tilde{A}_{f,g})) + \frac{1}{2}h(\|A\|) \\
 & \qquad \qquad \qquad \text{(by the convexity of } h) \\
 & = \frac{1}{2}h(w(\tilde{A}_{f,g})) + \frac{1}{2}\|h(|A|)\|,
 \end{aligned}$$

as required.  $\square$

**Remark 3.11.** We can obtain Theorem 3.3 from Theorem 3.10, but we keep the proof for the readers. To see this, first note that by the hypotheses of Theorem 3.3 we have

$$\begin{aligned}
 h(|A|) & = h(g(|A|)f(|A|)) \\
 & \leq h\left(\frac{g^2(|A|) + f^2(|A|)}{2}\right) \quad \text{(by the arithmetic-geometric inequality)} \\
 & \leq \frac{1}{2}(h(g^2(|A|)) + h(f^2(|A|))) \quad \text{(by the convexity of } h). \tag{10}
 \end{aligned}$$

Hence, using Theorem 3.10 and inequality (10) we get

$$\begin{aligned}
 h(w(A)) & \leq \frac{1}{2}[h(w(\tilde{A}_{f,g})) + \|h(|A|)\|] \\
 & \leq \frac{1}{2}[h(w(\tilde{A}_{f,g})) + \frac{1}{2}\|h(g^2(|A|)) + h(f^2(|A|))\|] \\
 & = \frac{1}{2}h(w(\tilde{A}_{f,g})) + \frac{1}{4}\|h(g^2(|A|)) + h(f^2(|A|))\|.
 \end{aligned}$$



**Remark 3.12.** For the special case  $f(x) = x^t$  and  $g(x) = x^{1-t}$  ( $t \in [0, 1]$ ), we obtain the inequality (2)

$$w(A) \leq \frac{1}{2} (w(\tilde{A}_t) + \|A\|),$$

where  $A \in \mathbb{B}(\mathbb{H})$ .

Let  $T = \begin{bmatrix} 0 & A \\ B & 0 \end{bmatrix}$ . Using Theorem 3.10, we get the following result.

**Corollary 3.13.** Let  $A, B \in \mathbb{B}(\mathbb{H})$  and  $f, g$  be two non-negative and non-decreasing continuous functions such that  $f(x)g(x) = x$  ( $x \geq 0$ ). Then

$$2w^s \left( \begin{bmatrix} 0 & A \\ B & 0 \end{bmatrix} \right) \leq \max\{\|A\|^s, \|B\|^s\} + \frac{1}{2} (\|f(|B|)g(|A^*|)\|^s + \|f(|A|)g(|B^*|)\|^s),$$

where  $s \geq 1$ .

*Proof.* Using Theorem 3.10 and inequality (6), we have

$$\begin{aligned} 2w^s \left( \begin{bmatrix} 0 & A \\ B & 0 \end{bmatrix} \right) &\leq \left\| \begin{bmatrix} 0 & A \\ B & 0 \end{bmatrix} \right\|^s + w^s(\tilde{T}_{f,g}) \\ &= \max\{\|A\|^s, \|B\|^s\} + \left( \frac{1}{2} [\|f(|B|)g(|A^*|)\| + \|f(|A|)g(|B^*|)\|] \right)^s \\ &\leq \max\{\|A\|^s, \|B\|^s\} + \frac{1}{2} (\|f(|B|)g(|A^*|)\|^s + \|f(|A|)g(|B^*|)\|^s) \end{aligned}$$

and the proof is complete.  $\square$

Using similar arguments to the proof of Corollary 3.9, we get the following result.

**Corollary 3.14.** Let  $A, B \in \mathbb{B}(\mathbb{H})$  and  $f, g$  be two non-negative and non-decreasing continuous functions on  $[0, \infty)$  such that  $f(x)g(x) = x$  ( $x \geq 0$ ). Then

$$\|A + B\| \leq \max\{\|A\|, \|B\|\} + \frac{1}{2} (\|f(|B|)g(|A|)\| + \|f(|A^*|)g(|B^*|)\|).$$

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