

# Generation and Quenching of Intensity Pulsations in Semiconductor Lasers Coupled to External Cavities

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**Abstract**—The behavior of self-pulsing and nonpulsing lasers coupled to external cavities is investigated experimentally and theoretically. We investigate the dependence of the pulsation characteristics on the external cavity length using a saturable absorber model for self-pulsing lasers. It was found that quenching of self-pulsation occurs only for a certain limited range of external cavity length, and the frequencies of external-cavity induced pulsations lies within a certain range determined by the coupling coefficient. Small-signal analysis allows these ranges to be derived analytically. Hitherto, complex pulsation phenomena can be explained very intuitively by interpreting the combined laser-external cavity system as a microwave oscillator with a limited gain band and discrete mode structure.

## I. INTRODUCTION

THE behavior of a laser diode coupled to an external cavity has been of considerable interest for many years [1]. Previous studies have focused on the spectral and dynamic behavior of lasers operating in external cavities. Recent studies on the dynamic behavior were particularly interesting, with self-pulsations being quenched [2], [3], and picosecond pulses [4], [5] being generated. Kuwahara has studied the interaction of self-pulsing lasers coupled to external cavities using a saturable absorber model [6]. It was shown, by numerical calculation, that this model can predict the observed quenching and frequency locking effect. How the quenching effect depends on the external cavity length was not shown. Experimental studies have shown that the length of the external cavity plays a major role in the pulsation characteristics. It was observed that [2], [3] quenching of self-pulsation occurs only over a certain range of external cavity length. Beyond that range, the pulsation frequency locks to an external cavity harmonic [7]. It was found that [3] a trap model can explain this kind of length dependence. When a nonpulsing laser is coupled to an external cavity, pulsations can be induced, with pulses sometimes as short as 5 ps [8]. The induced pulsation frequency also locks to an external cavity harmonic. We have performed similar experiments and found that pulses can be induced only over certain ranges of external cavity

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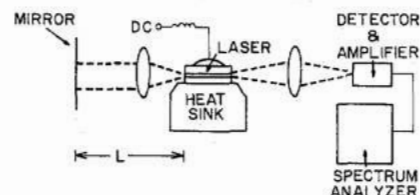


Fig. 1. Experimental setup for coupling of laser diodes to an external cavity.

lengths. This was also predicted by the same trap model [3] used before for the quenching effect, where we have assumed that laser diodes, even though they do not self-pulse, still contain a certain amount of saturable absorber. One has to resort to numerical calculations when dealing with the trap model due to its mathematical complexity, and consequently, lose sight of the role each parameter (coupling coefficient, cavity length, etc.) plays in determining the solution. Kuwahara's approach [6], however, takes on a much simpler form but is basically similar to the trap model, namely, the saturable absorber effect. (The trap model is actually a combination of saturable absorption plus superlinear gain, but these two effects are indistinguishable in their consequences.) Thus, proceeding along this line and through a small-signal analysis, we derive analytical expressions for the range of external cavity length where quenching and induced pulsing occur. Intuitive understanding in the general cavity-length dependence can be gained by casting the model in the form of a microwave oscillator with a finite gain band, the width of which depends on the coupling coefficient between the laser diode and the external cavity.

## II. EXPERIMENTAL OBSERVATIONS

Experiments were performed to study the effect of external cavities on various lasers. To make it easy for varying the external cavity length, the output from the lasers is collimated by a 10X microscope objective, and reflected back by a plane mirror mounted on a translation stage (see Fig. 1). Good coupling is indicated by a lowering of the lasing threshold. After good alignment is obtained, the laser output, detected by an APD with 150 ps rise time, is fed into a microwave spectrum analyzer, and its spectrum is compared to the one without the external cavity. Our observations can be grouped into two categories.

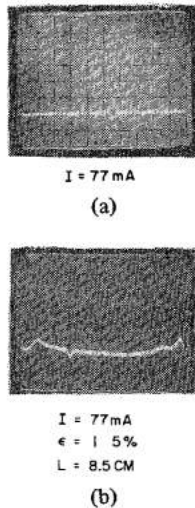


Fig. 2. Microwave spectrum of output of a nonpulsing laser: (a) without external cavity; (b) with external cavity. Horizontal scale: 180 MHz/div. Vertical scale: 10 dB/div. The notch is a frequency indicator at 540 MHz.

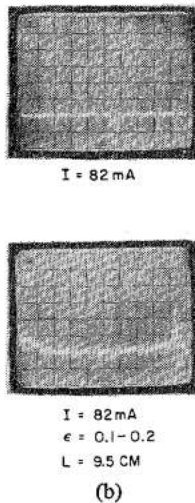


Fig. 3. Microwave spectrum of output of another nonpulsing laser: (a) without cavity; (b) with external cavity. The notch is a frequency indicator at 1 GHz.

### A. Nonpulsing Lasers

We have examined a laser which is relatively new (stripe geometry laser with threshold of about 80 mA) and displays a flat microwave spectrum [see Fig. 2(a)], which indicates that no pulsing occurs. No sharp resonance can be induced in the microwave spectrum by aligning it in an external cavity. The coupling coefficient  $\epsilon$  (defined as the fraction of light fed back into the laser diode by the external cavity) is estimated from the lowering of lasing threshold to be about 15 percent. However, a broad and weak resonance can be seen [see Fig. 2(b)] peaking at approximately the roundtrip cavity frequency and is more prominent at higher current levels.

We have also examined another stripe geometry laser (threshold  $\approx 80$  mA) which displays no self-pulsing [see Fig. 3(a)]. When aligned with the external resonator, a dramatic sharp resonance occurs at the fundamental external cavity roundtrip frequency of 1.6 GHz. The peak of the resonance is almost 30 dB above background level [see Fig. 3(b)], with

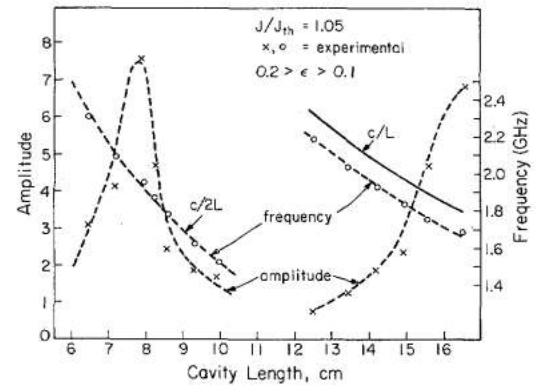


Fig. 4. Experimental plot of amplitude and frequency of induced resonance versus external cavity length.

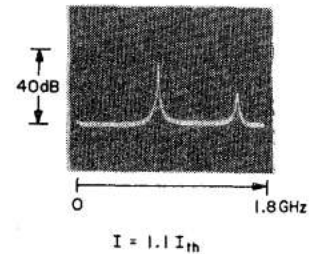


Fig. 5. Microwave spectrum of a self-pulsing laser.

a width of less than 10 MHz. The coupling results in approximately a 6-10 percent reduction in threshold. The induced resonance tracks the roundtrip frequency as the cavity length is varied, its amplitude exhibiting a maximum at some cavity length as shown in Fig. 4. At longer cavity lengths, the frequency locks onto the second external-cavity harmonic. Some of these observations have been seen by Broom [9] and Figueroa *et al.* [10]. This, in effect, is self-mode locking.

### B. Self-Pulsing Lasers

We examined the effect of the external cavity on a self-pulsing lasers (including BH, proton stripe, and CSP lasers). The results described below are qualitatively similar in all the lasers examined. The microwave spectrum of the laser without an external cavity shows pulsations at about 800 MHz (see Fig. 5) and this frequency increases with increasing current. When this laser is coupled to an external cavity less than approximately 5 cm long, the coupling does not seem to have much of an effect on the pulsing behavior. A small shift in the pulsing frequency is observed, but there is no correspondence with the external cavity roundtrip frequency. However, over the range of cavity lengths from about 6 to 11 cm, the pulsation is significantly quenched [see Fig. 6(a)] and beyond 11 cm the pulsation amplitude grows with its frequency locked to the first cavity harmonic ( $c/2L$ ). The suppression of pulsations can be maintained only for bias currents below certain values. The coupling coefficient  $\epsilon$  in this case is 1-5 percent. Suppression of self-pulsations can be accomplished even with a very small coupling coefficient. In the case shown in Fig. 6(b), the coupling coefficient is less than 1 percent, and the suppression is maintained for currents below 1.1 times threshold. A similar quenching effect has been observed with a piece of multimode fiber of optimum length as the external cavity [3]. Earlier experiments of Paoli *et al.* [7] with a much longer cavity

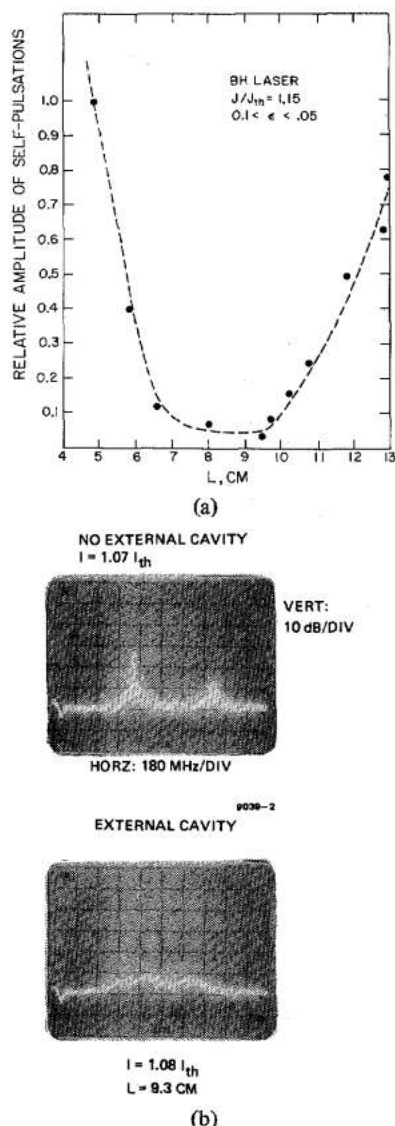


Fig. 6. (a) Amplitude of self-pulsing versus cavity; (b) microwave spectrum of a self-pulsing laser quenched by an external cavity 9.5 cm long.

length ( $\approx 75$  cm) did not show the quenching effect, and the pulsation frequency was observed to lock to an external-cavity harmonic other than the fundamental. As the cavity length was increased, they actually observed the pulsing frequency jumping from the  $N$ th harmonic to the  $N+1$ th harmonic at certain cavity lengths. It was also observed that when the external cavity feedback was applied with a roundtrip time near the laser's self-pulsing period, the optical pulse narrowed down to, in some cases, less than 160 ps. This, in essence, is passive mode locking. Addition of current modulation at the pulse repetition frequency would clearly enhance and shorten the pulse, as it is a combination of passive and active mode locking.

### III. THEORETICAL CALCULATIONS

All the above experimental observations can be interpreted as consequences of a model of self-pulsation. Numerical calculations have been performed on Copeland's trap [11] model with external optical feedback. We specifically investigate the dependence of the pulsation characteristics on the external

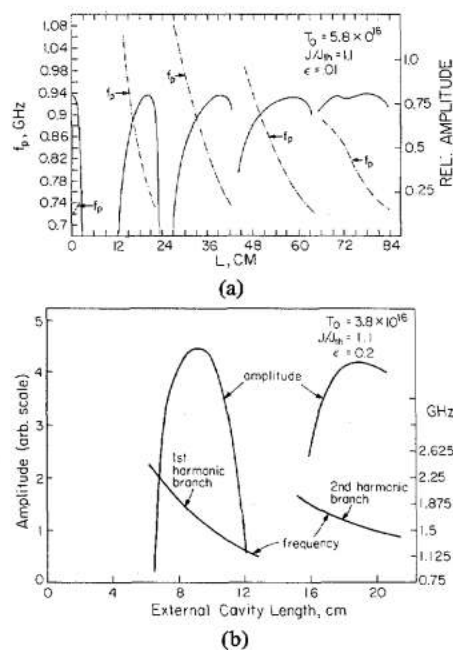


Fig. 7. Computed results of amplitude and frequency of sustained pulsation versus external cavity length: (a) for a self-pulsing laser; (b) for a nonpulsing laser. Spontaneous and photon lifetimes are, respectively, taken to be 3 ns, 2.9 ps in (a) and 1.5 ns, 1.4 ps in (b).

cavity length, and the results conform closely to that observed experimentally. This, however, is *not* a confirmation of the trap model, for other models including saturable absorber or superlinear gain yield similar results [12]–[19]. The equations and parameters used are the same as those in [3]. The use of the delayed photon feedback term [1], which neglects the optical phase, to describe the external cavity (which has been used by numerous other authors) can be justified as follows: for sharply pulsing solutions, the situation is that of one or more discrete photon packages bouncing back and forth between the laser diode and the external mirror. It is clear that in this case inclusion of the phase term is not necessary. In the case of nonpulsing (steady) or slowly varying solutions (slow compared to the external cavity roundtrip time), the result could be affected by coherence interference effects [20], [24]. However, if one neglects small variations (on the order of an optical wavelength) in the external cavity length, the overall picture can be well described by the above approach.

The computed results are shown in Fig. 7(a) and (b), which are plots of *sustained* pulsation amplitudes and frequencies versus external cavity length. Fig. 7(a) is calculated with  $T_0$  above the critical pulsing value with a small coupling coefficient ( $\epsilon = 0.01$ ), and it shows the quenching bands at short cavity lengths and frequency harmonic jumping at long cavity lengths, as observed experimentally. Fig. 7(b) is calculated with  $T_0$  slightly below the critical value and with a large coupling coefficient  $\epsilon = 0.2$ . It shows induced *sustained* pulsations at certain ranges of cavity length, and conforms qualitatively to our experimental plot, Fig. 4. For smaller trap densities or smaller coupling coefficients, it becomes increasingly difficult to induce sustained pulsations (i.e., a much larger  $\epsilon$  is required) and even if it occurs, the pulses are far from being sharp. Notice that at very short cavity lengths, the pulsing character-

istics of the laser are not altered: a self-pulsing laser continues to self-pulse, while a nonpulsing laser remains stable with the external cavity added. Therefore, the effects of pulse generation and quenching are not just due to a change in photon lifetime of the laser diode, they are *retarded effects* due to the external cavity.

#### IV. ANALYSIS OF RATE EQUATIONS WITH SATURABLE LOSS OR SUPERLINEAR GAIN—NYQUIST DIAGRAM APPROACH<sup>1</sup>

As mentioned before, common to most proposed models for self-pulsations are two underlying effects; saturable loss and superlinear gain. It is thus reasonable to believe that a general saturable loss description is applicable to most lasers. The rate equations with a simple saturable absorbing loss of the form  $L = L_0/(1 + P/P_s)$  [22] are

$$\begin{aligned}\dot{N} &= J - N - NP \\ \dot{P} &= \gamma(N - 1 - L)P\end{aligned}\quad (1)$$

where  $N$  is the electron density,  $P$  is the photon density,  $J$  is the pump current, and  $\gamma$  is the ratio of the spontaneous to photon lifetime. Stability of the steady state is examined by small-signal analysis, where we write

$$\begin{aligned}N &= N_0 + n \\ P &= P_0 + p\end{aligned}$$

where  $n$  and  $p$  are small perturbations, and  $N_0$  and  $P_0$  are steady-state values.

Assuming solutions of the form  $e^{st}$ , we have the following characteristic equation:

$$f(s) = s + \gamma P_0 \left( \frac{N_0}{s + (1 + P_0)} - \alpha \right) = 0 \quad (2)$$

where

$$\alpha = \frac{L_0}{[1 + (P_0/P_s)]^2 P_s} \quad (3)$$

As is well known, instability results if  $s$  lies in the right half of the complex plane.

We shall perform stability analysis using Nyquist diagrams [21]. Although (2) is simple enough for direct analytic solution, the Nyquist diagram approach proves to be very useful in the case where external cavity feedback is introduced. The Nyquist plot is generated by mapping the contour  $C$  on the complex plane into a new contour  $\Gamma$  by an analytic function  $f(z)$ , where  $C$  is the contour shown in Fig. 8. The number of times  $\Gamma$  encircles the origin in the clockwise direction is the number of zeros minus the number of poles of  $f(z)$  in the right half complex plane [21]. Fig. 9 shows a plot of  $\Gamma$ ; the solid line is the locus  $f(j\omega)$ . We see that  $\Gamma$  encircles the origin twice if

<sup>1</sup> After this manuscript had been submitted, Glasser published a paper in which a similar approach was used in stability analysis [25].

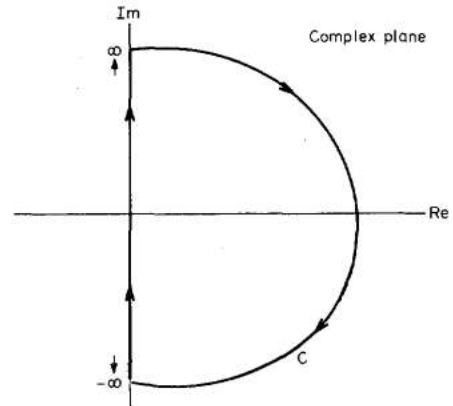


Fig. 8. The contour  $C$  on the complex plane.

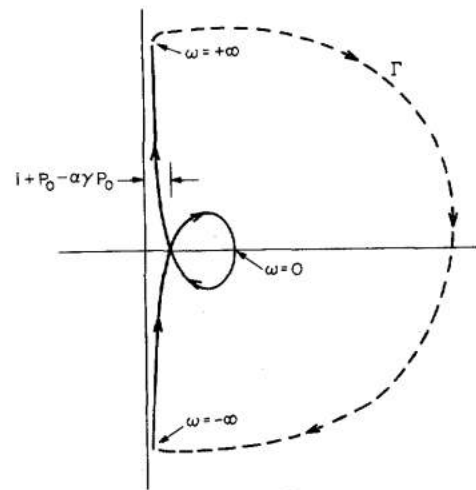


Fig. 9. Nyquist diagram for rate-equations including saturable absorbers.

$$a > \frac{1 + P_0}{\gamma P_0} \quad (4)$$

Under this condition, the zeros have positive real parts and pulsations start to build up. Expressed in terms of the absorber density  $L_0$  and saturation photon density  $P_s$  using (3), condition (4) can be written as

$$\frac{L_0}{P_s} \geq \frac{1 + P_0}{\gamma P_0} \left( 1 + \frac{P_0}{P_s} \right)^2 \quad (5)$$

This result is similar to that of Haus [22] in analyzing parameter ranges for passively mode-locked lasers.

It is hardly surprising to find that a superlinear gain, a stimulated gain that takes the form  $g = NP(1 + \alpha P)$ , where  $N$  and  $P$  are the electron and photon densities, respectively, would produce the same instability if  $\alpha$  exceeds the amount given in (4).

#### V. CHARACTERISTIC EQUATION OF THE COMBINED LASER-EXTERNAL CAVITY SYSTEM

The photon rate equation with a delayed feedback term is

$$\dot{P}(t) = \gamma \left( N(t)P(t) - P(t) - \frac{L_0 P(t)}{1 + (P(t)/P_s)} + \epsilon P(t - \tau) \right) \quad (6)$$

where  $\tau$  is the roundtrip time of the external cavity, and  $\epsilon$  is

the coupling coefficient defined earlier. This  $\epsilon$  can be estimated experimentally by the shift in lasing threshold. The steady-state solutions of the modified rate (6) is

$$N_0 = 1 + \frac{L_0}{1 + (P_0/P_s)} - \epsilon. \tag{7}$$

The small-signal photon equation is obtained in a straightforward manner:

$$\dot{p} = \gamma [P_0 n + \alpha P_0 p + \epsilon(-p + p(t - \tau))] \tag{8}$$

where  $\alpha$  is defined as before [see (4)]. Note that as  $\tau \rightarrow 0$ , the feedback term disappears, showing that any quenching or induced pulsation effects are *retarded* effects not merely a change in photon lifetime.

Equation (8) is a difference-differential equation which does not lend itself to easy analytic solutions. However, its stability can be analyzed through Laplace transformation [23] and the use of Nyquist diagrams, simple expressions for minimum required  $\epsilon$  and quenching bands can be obtained geometrically.

Laplace transforming equation (8) gives the following transcendental characteristic equation:

$$f_{f.b.}(s) = s + \gamma \left[ \frac{P_0 N_0}{(1 + P_0) + s} - \alpha P_0 + \epsilon(1 - e^{-s\tau}) \right] = 0$$

$$= f(s) + \gamma\epsilon(1 - e^{-s\tau}) \tag{9}$$

where  $f(s)$  corresponds to the laser without feedback.

VI. QUENCHING RANGE OF SELF-PULSING LASERS COUPLED TO A SHORT EXTERNAL CAVITY

We first consider the case of a self-pulsing laser. The Nyquist diagram of a self-pulsing laser is shown in Fig. 10(a). The locus  $f(j\omega)$  as parametrized by  $\omega$  passes very close and to the left of the origin [as a consequence of the fact that the zeros of  $f(s)$  lie very close to the imaginary axis]. Since the locus is symmetrical with respect to  $\omega$ , we will just look at the  $+ve$  branch of  $\omega$ . The portion of the locus closest to the origin approximates a vertical straight line at a distance  $K = 1 + P_0 - \alpha\gamma P_0$  to the left of the origin [see Fig. 10(b)]. The value of  $\omega$  at that part of the locus is approximately equal to  $\omega_0$ , the imaginary part of the zero of  $f(s)$ , which corresponds roughly to the pulsing frequency of the laser. (The actual frequency is somewhat lower due to the large-signal effect.) When feedback is included, the locus becomes  $f_{f.b.}(j\omega) = f(j\omega) + \gamma\epsilon(1 - e^{-j\omega\tau})$ . The effect of this additional term on the portion of the locus closest to the origin is shown in Fig. 11: the locus is shifted to the right by an amount  $\gamma\epsilon(1 - \cos \omega_0\tau)$ . For sufficiently large  $\epsilon$  and a suitable delay  $\tau$ , we see that the locus would no longer encircle the origin—the system becomes stable and pulsations are quenched.

From Fig. 11 we can see that the minimum amount of coupling  $\epsilon$  required is

$$\epsilon_{\min} = -K/2\gamma \tag{10}$$

where  $K = 1 + P_0 - \gamma P_0 \alpha < 0$  for a self-pulsing laser,  $P_0 \approx J' - 1$ ,  $J'$  is the pump current, and  $\alpha$  is as defined previously [see (3)]. The range of external cavity roundtrip time  $\tau$  for which quenching occurs is

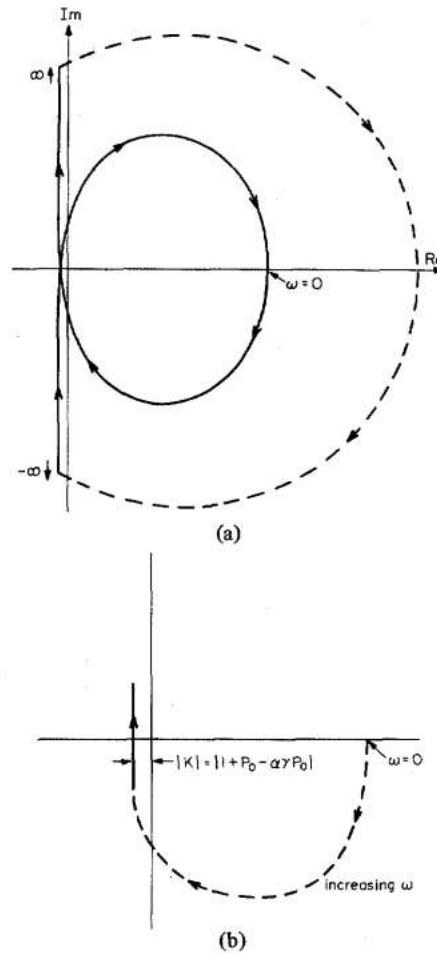


Fig. 10. (a) Nyquist diagram for a self-pulsing laser and (b) approximate locus near the origin. Only the  $+ve, \omega$  branch is shown.

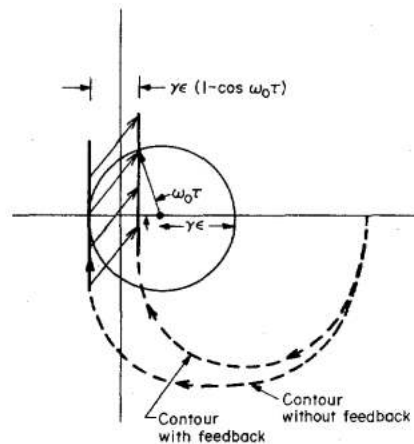


Fig. 11. Nyquist diagram illustrating the effect of external cavity on a self-pulsing laser.

$$\frac{1}{\omega_0} (2\pi - \cos^{-1} \phi) > \tau > \frac{1}{\omega_0} \cos^{-1} \phi \tag{11}$$

where  $\phi = (1 - K/\gamma\epsilon)$  and  $\omega_0$  is approximately the self-pulsation frequency of the laser. We note that a very small  $\epsilon$  is sufficient for quenching. Take a value of  $L_0 = 0.02$  (which gives self-pulsation frequencies that fit typical experimental data well). If we assume that the laser is operated at 1.1 threshold,  $\gamma = 1000$ , we have  $\epsilon_{\min} \approx 0.002$ . Of course, this amount of  $\epsilon$

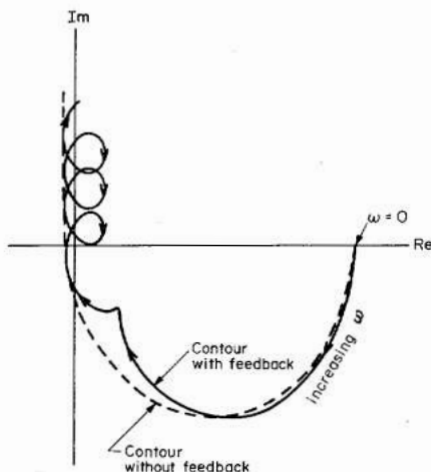


Fig. 12. Nyquist diagram for a self-pulsing laser coupled to a long cavity.

just barely pushes the zeros of the characteristic equation across the imaginary axis into the left half plane. To have a significant quenching effect, a larger  $\epsilon$  is required so that the zeros lie deeper into the left half plane, which leads to a large damping.

To estimate the quenching band, we use the above numerical values, resulting in a self-pulsation frequency  $\omega_0$  of about 0.8 GHz. Then  $K = 1 + P_0 - \gamma\alpha P_0 \approx -0.49$ , let  $\epsilon = 0.01$ , and the quenching band is calculated using (11) to lie approximately between 3 and 15 cm. This is compared with the numerical results shown in Fig. 7(a) calculated using the trap model. The trap density used in this case produces self-pulsation at roughly the same frequency as above (0.8 GHz) and the same coupling coefficient  $\epsilon = 0.01$  is used. The approximate agreement shows that the above analysis of the quenching effect is quite general and model independent.

Thus, it appears that as the external cavity length is increased ( $\tau$  increased), we would see alternating bands of quenching and pulsations. However, the above analysis is suitable only for short cavity lengths (which is where the quenching bands occur). For long cavity lengths (large  $\tau$ ), the Nyquist plot takes the form of a helix (viewed in two dimensions) as shown in Fig. 12. The origin could not escape the fate of being enclosed, and thus quenching effects do not occur at long cavity lengths, as shown in the pulsation amplitude versus cavity length plot of Fig. 7(a).

It is also obvious from (10) that, at least for current ranges not too much higher above threshold ( $\geq 1.7$  times threshold in our numerical example, which we usually do not encounter in practice), it becomes increasingly difficult to quench the pulsations at higher bias current—which is what we observed in our experiments.

#### VII. NONPULSING LASERS COUPLED TO EXTERNAL CAVITIES—THE MICROWAVE GAIN LINESHAPE AND MODE STRUCTURE OF THE COMBINED SYSTEM

Nonpulsing lasers, when coupled to external cavities, can be made to self-pulse with a pulsewidth sometimes as short as 5 ps [8]. As mentioned in Section II, such induced pulsing occurs only over a certain range of the external cavity lengths.

In some cases, induced self-pulsing occurs at twice the external cavity roundtrip frequency. It is also a common experience, when working with lasers coupled to long fiber pigtailed, to observe on the microwave spectrum of the optical output a cluster of spikes around 1–2 GHz, and the frequency separation between spikes corresponds to the inverse of the pigtail roundtrip time. All of the above mentioned hitherto complex pulsating phenomena can be explained very intuitively by interpreting the combined laser-external cavity system as a microwave oscillator with a limited gain band and discrete mode structures. The small-signal microwave gain lineshape and the mode structures will be derived analytically.

As mentioned before, the dynamic behavior of the system is determined by the zeros of the  $f_{f.b.}(s)$  [see (9)]. Due to the transcendental term,  $f_{f.b.}(s)$  has an infinite number of complex zeros  $g_k \pm i\omega_k$ ,  $k = 1, 2, \dots$ . Alternatively, we can define a continuous function  $g(\omega)$  such that  $g(\omega_k) = g_k$ . The imaginary part of the zeros  $\omega_k$  indicates a resonant peak at frequency  $\omega_k/2\pi$  and these are the modes of the system. The system will spontaneously oscillate at frequency  $\omega_k$  if  $g(\omega_k) > 0$ , i.e., the response grows as  $\exp(g_k t) \exp(i\omega_k t)$ .  $g(\omega)$ , as a function of  $\omega$ , can thus be interpreted as the microwave gain curve of the system. The system is "above threshold" if  $g(\omega) > 0$  over a certain range of  $\omega$ .

The gain curve  $g(\omega)$  can be derived with the help of the Nyquist diagram. This was done in the Appendix, resulting, for frequencies near the peak of the gain curve, in

$$g(\omega) = -\frac{1}{2\gamma\epsilon\omega^2} \left[ \frac{\omega^4 - 2\gamma P_0(N_0 + \gamma\epsilon\alpha)\omega^2}{\gamma^2 P_0 N_0 (P_0 N_0 + 2\epsilon(1 + P_0))} \right] \quad (12)$$

and the mode frequencies

$$\omega_k = (2\pi k - \theta)/\tau \quad (13)$$

where  $\tau$  is the external cavity roundtrip time, and  $\theta$  is a small frequency pulling term given by

$$\theta \approx \frac{1}{\gamma\epsilon} \text{Im}(f(2\pi ki)).$$

Fig. 13 shows a plot of  $g(\omega)$  for various coupling coefficients  $\epsilon$ , with  $\gamma = 1000$ ,  $P_0 = 0.3$ ,  $N_0 = 1$ ,  $\alpha = 3.9 \times 10^{-3}$ ,  $\tau_s$  (= spontaneous lifetime) = 3 ns. As expected, the system is "below threshold" unless  $\epsilon$  is above a certain  $\epsilon_{\min}$ , and the linewidth widens with further increase in  $\epsilon$ . For the modes near the line center (peak) of  $g(\omega)$ , the frequency pulling term  $\theta$  is approximately given by  $\theta = \text{Im}[f(\omega_0)]/\gamma\epsilon$ , where  $\omega_0$  is the line center frequency. For the above parameters,  $\theta$  is approximately 0.05 rad so that the modes are virtually that of the passive external resonator.

We thus have the condition for sustained microwave pulsation; first, the coupling between the laser and the external cavity must be above a critical value so that there is a certain frequency range over which  $g(\omega) > 0$ . Then, at least one or more modes given by (13) must lie within that frequency range. This range can be easily found by setting  $g(\omega) = 0$ , giving

$$\omega_{\pm}^2 = \gamma P_0(N_0 + \gamma\epsilon\alpha) \pm \gamma^2 P_0 \alpha \sqrt{\epsilon(\epsilon - \epsilon_{\min})} \quad (15)$$

where

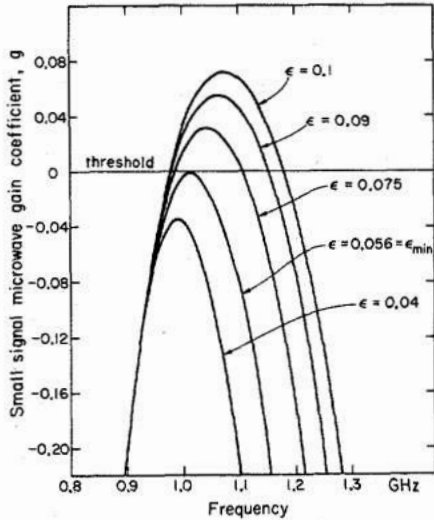


Fig. 13. The small-signal microwave gain lineshape of the combined laser-external cavity system for various coupling coefficients  $\epsilon$ .

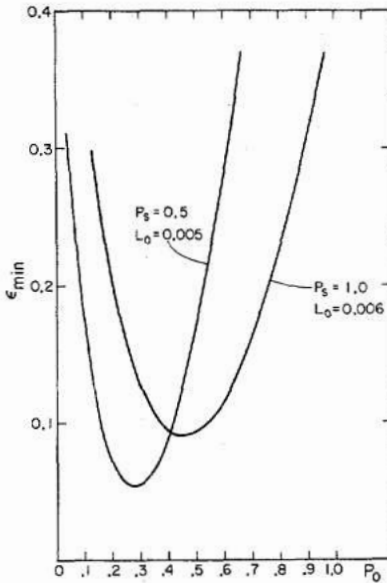


Fig. 14. Plot of  $\epsilon_{\min}$  required for induced pulsation in nonpulsing lasers.

$$\epsilon_{\min} = 2N_0K/\alpha^2\gamma^2P_0 \quad (16)$$

is the minimum coupling for induced pulsing. The quantity  $K$  defined as  $K = 1 + P_0 - \gamma P_0 \alpha$  is an indication of how close the laser is to self-pulsing, and in the case when it is negative, it measures how deep the laser is into self-pulsing. The solid lines in Fig. 14 are plots of  $\epsilon_{\min}$  versus the bias level as measured by the static photon density  $P_0$  for two cases: 1) absorber density  $L_0 = 0.005$  and saturation photon density  $P_s = 0.5$ ; 2)  $P_s = 1$  and  $L_0 = 0.006$  ( $\gamma$  is taken to be 1000). [The calculated minimum absorber densities  $L_0$  for self-pulsing are  $L_0 \approx 0.006$  and  $0.007$ , respectively, for cases 1) and 2).] We observe from Fig. 14 that a very high coupling coefficient is required for inducing pulsation if the bias level is not optimized.

The hitherto complex behavior of the laser diode coupled to external cavities of various lengths can now be understood in very intuitive terms. When the external cavity length is very short, the microwave mode frequencies are very high and do not fall under the positive gain line. In fact, the value of  $g(\omega)$

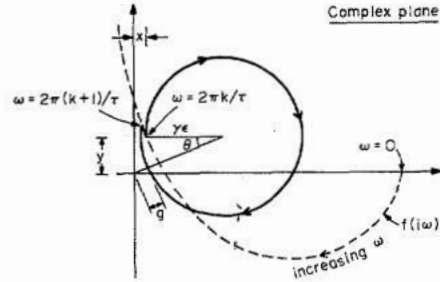


Fig. 15. Nyquist diagram for the derivation of  $g(\omega)$  and the mode spectrum.

is large and negative at high frequencies so that a short cavity actually serves to stabilize the laser diode. As the EC length is increased, the first microwave mode ( $kc/2L, k = 1$ ) falls within the gain line and induced pulsation occurs at the fundamental roundtrip frequency of the EC. Further increase in the EC length brings the  $k = 1$  mode outside the gain line, pulsation ceases until the  $k = 2$  mode moves in and induced pulsations occur at twice the EC roundtrip frequency, and so on. For very long EC's, several microwave modes lie within the gain line simultaneously, and "multimode" microwave oscillation occurs, as often observed in lasers coupled to very long fiber pigtailed. Badly degrading lasers, with a large absorbing defect density, are particularly vulnerable to such disturbance, as indicated by (16).

### IX. CONCLUSION

The behavior of various laser diodes operating in external cavities can thus be related to the strength of saturable absorbers in the lasers. The ability of the laser to generate short pulses is correlated with the amount of saturable absorber present in the laser medium. Although the above analysis does not reveal the nature of the absorbers, it illustrates the various effects the external cavity has on the laser. Thus, useful devices can be fabricated by introducing known amounts of absorbers into the laser, and the generated pulses can be sharpened and frequency stabilized by an external cavity. On the other hand, self-pulsing lasers can be made useful by quenching it in an external cavity of appropriate length. The use of optical fibers as external cavities can render actual systems highly compact and stable, which is desirable in any practical application.

### APPENDIX

We shall derive  $g(\omega)$  and the mode frequencies  $\omega_k$ 's with the help of the Nyquist diagram—a plot of  $f_{f.b.}(i\omega)$  in the complex plane. We assume that one or more zeros of  $f_{f.b.}(s)$  lie very close to the imaginary axis. Then, the curve  $f_{f.b.}(i\omega)$  makes its closest approach to the origin when  $\omega$  equals the imaginary part  $\omega_k$  of a zero, and the distance of closest approach is the real part  $g_k$  of that zero.  $g_k$  is by convention positive(negative) if the locus does(not) encircle the origin.

To see what  $f_{f.b.}(j\omega)$  looks like, we first plot  $f(j\omega)$  (the term without feedback) as shown by the dashed line in Fig. 15.<sup>2</sup> This curve will itself encircle the origin if  $K > 0$ , as de-

<sup>2</sup>Since  $f(-j\omega) = f^*(j\omega)$ , the locus is symmetric about the real axis so that only the +ve  $\omega$  branch is considered.

fined in (10), i.e., the laser self-pulses. Now we divide this curve into tiny segments with end points parametrized by  $\omega = 2\pi k/\tau$  and  $\omega = 2\pi(k+1)/\tau$ ,  $k=0, 1, 2, \dots$ . Then, it is obvious that addition of the feedback term  $\gamma\epsilon(1 - \exp(-i\omega\tau))$  to  $f(j\omega)$  transforms each segment into (roughly) a circle of radius  $\gamma\epsilon$ , as shown in Fig. 15. From simple trigonometry, the closest approach distance of this circle to the origin is  $g = \gamma\epsilon - \sqrt{(\gamma\epsilon + x)^2 + y^2}$ , where  $x$  and  $y$  are, respectively, the real and imaginary part of  $f(j\omega)$ :

$$x(\omega) = \frac{\gamma(1+P_0)P_0N_0}{(1+P_0)^2 + \omega^2} - \gamma P_0 \alpha$$

$$y(\omega) = \omega \left( 1 - \frac{\gamma P_0 N_0}{\omega^2 + (1+P_0)^2} \right). \quad (A1)$$

This value  $g$  is then the real part of a zero of  $f_{f,b}(s)$ ; the imaginary part  $\omega_k$  of this zero is the value of  $\omega$  at which the closest approach occurs. From Fig. 15, we have

$$\omega_k \tau = 2\pi k - \theta \quad (A2)$$

where  $\theta$  is the angle as shown,

$$\theta \simeq \tan^{-1} \left[ \frac{y(2\pi k i)}{\gamma\epsilon + x(2\pi k i)} \right]. \quad (A3)$$

In the frequency range of interest (where the locus is closest to the origin),  $\omega \gg 1 + P_0$  and for induced pulsation to occur  $\epsilon$  has to be sufficiently large such that  $\gamma\epsilon \gg x, y$ . Making these approximations and substituting (A1) into  $g$ , we obtain the gain spectrum (12), and the mode frequencies (13) and (14).

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Luis Figueroa, photograph and biography not available at the time of publication.



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