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## Genetic Diversity and Distributional Pattern of Ammonia Oxidizing Archaea Lineages in the Global Oceans — [Source link](#)

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1 Genetic Diversity and Distributional Pattern of Ammonia Oxidizing

2 Archaea Lineages in the Global Oceans

3

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## 26 **Summary**

27

28 In the study, we used miTAG approach to analyse the distributional pattern of the ammonium  
29 oxidizing archaea (AOA) lineages in the global oceans using the metagenomics datasets of  
30 the *Tara* Oceans global expedition (2009-2013). Using ammonium monooxygenase alpha  
31 subunit gene as biomarker, the AOA communities were obviously segregated with water  
32 depth, except the upwelling regions. Besides, the AOA communities in the euphotic zones  
33 are more heterogeneous than in the mesopelagic zones (MPZs). Overall, water column A  
34 clade (WCA) distributes more evenly and widely in the euphotic zone and MPZs, while water  
35 column B clade (WCB) and SCM-like clade mainly distribute in MPZ and high latitude  
36 waters, respectively. At fine-scale genetic diversity, SCM1-like and 2 WCA subclades  
37 showed distinctive niche separation of distributional pattern. The AOA subclades were  
38 further divided into ecological significant taxonomic units (ESTUs), which were delineated  
39 from the distribution pattern of their corresponding subclades. For examples, ESTUs of WCA  
40 have different correlation with depth, nitrate to silicate ratio and salinity; SCM1-like-A was  
41 negatively correlated with irradiation; the other SCM-like ESTUs preferred low temperature  
42 and high nutrient conditions, etc. Our study provides new insight to the genetic diversity of  
43 AOA in global scale and its connections with environmental factors.

44

## 45 **Introduction**

46 Nitrification is an important and well-concerned pathway in the marine nitrogen cycle,  
47 which can supply approximately 25-36 % of bioavailable nitrogen to phytoplankton  
48 (Wankel et al., 2007; Yool et al., 2007). Besides that, it was also estimated as dominant  
49 source of nitrous oxide (Freing et al., 2012), a strong greenhouse gas influencing the Earth  
50 climate (Solomon, 2007). As the first and rate-limiting step of nitrification, ammonia  
51 oxidation was formerly only discovered within bacterial domain (AOB) (Ward, 2002).  
52 However, unique archaeal-associated ammonia monooxygenase gene recovered from  
53 environmental metagenomics data over a decade ago, suggested the metabolic potential of  
54 archaeal ammonia oxidation (Venter et al., 2004; Treusch et al., 2005). Using ammonia  
55 monooxygenase alpha subunit (*amoA*) as a biomarker, archaeal *amoA* genes were detected  
56 throughout water column and marine sediments (Francis et al., 2005). With the first  
57 isolation of marine ammonia oxidizing archaea (AOA) (*Nitrosopumilis maritimus* SCM-1),  
58 AOA are known to be chemo-lithoautotrophs, aerobically oxidizing ammonia to nitrite  
59 while fixing inorganic carbon (Könneke et al., 2005). Subsequent field studies revealed  
60 AOA outnumber AOB in the oceanic waters (Wuchter et al., 2006; Mincer et al., 2007;  
61 Beman et al., 2008; Beman et al., 2010; Santoro et al., 2010), and they play a dominant role  
62 in determining the activity and distribution of nitrification process (Wuchter et al., 2006;  
63 Beman et al., 2008; Smith et al., 2014). In contrast to AOB, AOA (*N. maritimus* SCM-1)  
64 exhibited exceptionally high ammonia affinity and low thresholds, explaining why AOA  
65 are dominant in the oceanic waters (Martens-Habbena et al., 2009).

66 In the first phylogenetic analysis of AOA *amoA* gene, two groups of AOA were  
67 commonly detected in the water column, and they were named water column A (WCA)  
68 and water column B (WCB), respectively (Francis et al., 2005). WCA and WCB are  
69 considered as the major ecotypes of AOA in the oceanic waters, predominating in upper (<

70 200m) and deep (> 200m) layers, respectively (Francis et al., 2005; Beman et al., 2008;  
71 Santoro et al., 2017). So far, there is only one culture of WCA (*Nitrosopelagicus brevis*  
72 CN25) reported (Santoro and Casciotti, 2011; Santoro et al., 2015) and the WCB is still  
73 uncultivated, therefore, the physiology of these two ecotypes are still unclear (Santoro et al.,  
74 2017). In spite of that, several factors have been hypothesized as potentially causing the  
75 vertical succession of WCA and WCB, including light inhibition, differential affinity and  
76 utilization of nitrogen sources, and different requirement of micro-nutrients (Amin et al.,  
77 2013; Sintes et al., 2013; Luo et al., 2014; Qin et al., 2014; Sintes et al., 2016; Smith et al.,  
78 2016; Santoro et al., 2017).

79 With development of DNA sequencing technique, our knowledge of the genetic  
80 diversity of AOA is improving (Sintes et al., 2016; Jing et al., 2017). Using next generation  
81 sequencing to samples from western subarctic Pacific Ocean, diverse subclades of ecotype  
82 WCA and WCB were defined; and some subclades of same ecotypes showed differential  
83 distributional patterns (Jing et al., 2017). In addition to that, recently global scale study has  
84 found that the ecological significant taxonomic units (ESTUs) of *Synechococcus* were  
85 delineated from their corresponding ecotypes, in which OTUs of same ecotypes were  
86 grouped according to their distributional patterns (Farrant et al., 2016). Therefore, although  
87 most of the previous studies were targeting the whole clade of WCA and WCB (Francis et  
88 al., 2005; Beman et al., 2008; Santoro et al., 2010; Smith et al., 2014; Smith et al., 2016;  
89 Santoro et al., 2017), the subclades and ESTUs of WCA and WCB may show distinctive  
90 distributional patterns in the oceans. Moreover, analysing the subclades and ESTUs of  
91 AOA in diverse marine ecosystems may provide better and more insights on the specific  
92 connection between genetic diversity of AOA and environmental conditions.

93 In this study, we conducted detailed phylogenetic analysis of AOA in the global  
94 oceans using metagenomics datasets of *Tara* Oceans expedition (2009-2013) (Karsenti et  
95 al., 2011; Armbrust and Palumbi, 2015). We have built a database of *amoA* gene sequences  
96 and recovered the AOA communities from the metagenomics datasets using miTAG  
97 approach (Logares et al., 2014). miTAG is an approach mapping short reads of  
98 metagenomics dataset to long sequences of known identity, which has been proven as a  
99 promising and PCR amplification biases free method for recovering community of bacteria  
100 and picocyanobacteria from the *Tara* Ocean datasets (Logares et al., 2014; Farrant et al.,  
101 2016). Furthermore, we have further divided the subclades of AOA into ecological  
102 significant taxonomic units (ESTUs), in which OTUs of same subclades were grouped  
103 according to their distributional patterns (Farrant et al., 2016). Through all these analyses,  
104 we described the distributional patterns of AOA and characterized the AOA ESTUs with  
105 environmental conditions.

## 106 **Methods**

### 107 **Constructing database of *amoA* gene**

108 In order to retrieve and identify the *amoA* affiliated sequences (AARs) in the *Tara*  
109 Oceans metagenomics dataset (Karsenti et al., 2011; Armbrust and Palumbi, 2015), the  
110 reference sequences and environmental sequences of *amoA* gene were downloaded from  
111 National Centre for Biotechnology Information (NCBI) nucleotide database (Acland et al.,  
112 2014). These sequences were aligned with MUSCLE (Edgar, 2004) and the majority of  
113 sequences have overlapping at the region targeted by the Arch-amoAF/Arch-amoAR  
114 primer set (Francis et al., 2005). The sequences that have overlapping in this region were  
115 chosen and clipped into an alignment with the same length, and then were used to  
116 calculated OTUs at 97 % DNA similarity cut off using Mothur (Schloss et al., 2009).

117 Besides that, amino acid sequences of these selected sequences were also downloaded from  
118 the NCBI (Acland et al., 2014).

### 119 **Recruiting AARs from the *Tara* Oceans dataset**

120 The clean dataset of *Tara* Ocean metagenomics dataset (processed nucleotide reads,  
121 100-200 bases per reads) were downloaded from the European Bioinformatics Institute  
122 (EMBL-EMI) (<https://www.ebi.ac.uk/metagenomics/projects/ERP001736>) and aligned  
123 against the amino acid sequences of our *amoA* gene database using DIAMOND (e-value =  
124  $1e^{-5}$ ) (Buchfink et al., 2015). DIAMOND is a BLASTX like algorithm 2000 times faster  
125 than BLASTX on short reads (Buchfink et al., 2015), which reduces the demand of  
126 computational resources when recruiting the *amoA* from large metagenomics dataset of the  
127 *Tara* Oceans. The sequences recruited with DIAMOND were then blasted against the DNA  
128 sequences of our *amoA* database using BLASTN (McGinnis and Madden, 2004). The reads  
129 which have 99-100 % similarity and coverage with the DNA sequences in our *amoA*  
130 database were chosen as AARs. There was less than 1 % of reads showed lower than 99 %  
131 similarity with the sequences in our dataset, which were neglected in downstream analysis.  
132 AARs were recruited from 35 bacterial size fraction metagenomics datasets that contained  
133 significant number of AARs. Except 3 datasets, which contains 35, 43 and 51 AARs, the  
134 rest datasets contained more than 100 AARs each (111-10,604 reads) (S 1 Table). These  
135 datasets contain 22 and 13 samples from euphotic zone and MPZ, covering Pacific Ocean,  
136 Atlantic Ocean, Indian Ocean, Southern Ocean, Red Sea, Mediterranean Sea and Gulf of  
137 Mexico (Fig. 1).

### 138 **Phylogenetic analysis**

139 The number of AARs which affiliated to the DNA sequences of the same OTUs were  
140 merged into the sequence number of each OTUs; and the relative abundance of each OTU

141 in each sample were calculated by dividing sequence number of the OTU in particular  
142 sample by total clear reads of that sample. Because the number of AARs in different  
143 samples are highly varied, ordering of the OTUs were normalized by their relative  
144 abundance, instead of sequence numbers. Top 202 OTUs were selected for downstream  
145 analysis, which accounts for more than 90 % of the whole dataset.

146 To identify the top 202 OTUs, DNA sequences of these OTUs, species of AOA and  
147 previously defined subclades of AOA (Jing et al., 2017) were codon aligned and used to  
148 construct a Maximum-Likelihood (ML) phylogenetic tree with MEGA 7.0 (Kumar et al.,  
149 2016). According to the result of model test (Bayesian Information Criterion calculation),  
150 the Tamura 3-parameter model, using discrete Gamma distribution with assumption that a  
151 certain portion of sites are evolutionarily invariables (T92+G+I), was used to construct the  
152 ML phylogenetic tree. The tree was further edited with iTOL (Letunic and Bork, 2016),  
153 with the relative abundances of top 202 OTUs displayed. The OTUs fell into the same  
154 subclades were further hierarchically clustered into ESTUs using SIMPROF test  
155 (method.distance=Euclidean) of the package “clustsig” in R (Whitaker and Christman,  
156 2010), in which the OTUs having significantly similar distributional patterns ( $P$  value <  
157 0.05) were grouped into same clusters (i.e. ESTUs).

## 158 **Statistical analyses**

159 Non-metric multidimensional scaling (NMDS) plots were plotted using Primer 5  
160 (Clarke and Gorley, 2001), to visualise the relationship between the AOA communities  
161 recovered in the 35 metagenomics datasets, based on the Bray-Curtis dissimilarity matrix.  
162 To characterize the ESTUs with environmental factors, correlation between the relative  
163 abundances of the ESTUs and environmental factors were analysed with Pearson test using  
164 package “Hmisc” in R; and the correlation plots were generated with the package “Corrplot”



165 in R (Wei and Simko, 2013). The environmental factors were downloaded from the  
166 [www.pangaea.de/](http://www.pangaea.de/).

167

## 168 **Results and Discussions**

### 169 **High diversity of AOA in the metagenomics datasets**

170 The top 202 OTUs were all affiliated to WCA, WCB and SCM-like clades, except  
171 two unclassified OTUs (OTU 53 and 154) (Fig. 2). Using the sequences of previously  
172 defined subclades of WCA and WCB (Jing et al., 2017) as references, all the subclades were  
173 detected in the *Tara* Oceans metagenomics datasets. In addition to that, new subclades of  
174 WCA (WCAIV) and WCB (WCAV) were identified (Fig. 2). The previously defined WCBII  
175 (Jing et al., 2017) was further divided into WCBII and WCBIII; and WCBIII in previous  
176 study (Jing et al., 2017) was renamed as WCBIV in this study (Fig. 2). Although there were  
177 10 subclades of AOA commonly detected in the oceans (Fig. 2), only WCAI and SCM1-like  
178 has their representative cultures, *N. brevis* CN 25 (Santoro et al., 2015) and *N. maritimus*  
179 SCM-1 (Könneke et al., 2005) (Fig. 2). Based on the result of SIMPROF test, the OTUs of  
180 most subclades were grouped into ESTUs, except WCAIV, WCBII and WCBV, in which the  
181 OTUs showed homogenous distributional patterns (Fig. 2). Besides that, the genetically  
182 similar OTUs were not necessary to be included in the same ESTUs. For examples, within  
183 WCAI, the OTUs within WCAI-C were genetically similar, while the OTUs of WCAI-B  
184 were not closely clustering together in the phylogenetic tree (Fig. 2).

185 Considering the high genetic diversity of WCA and WCB and that the previous  
186 studies quantified the whole group of WCA and WCB (Mosier and Francis, 2011; Smith et  
187 al., 2014; Smith et al., 2016; Jing et al., 2017; Santoro et al., 2017), the sequences of qPCR  
188 primer sets of WCA and WCB (Mosier and Francis, 2011) were compared with

189 representative sequences of the top OTUs of all the subclades (S2 Table). The result showed  
190 that both primer sets were well designed and can cover all the subclades, except WCAII,  
191 which has two bases mismatched with the WCA-amoA-P (Taqman probe). It has been  
192 reported that mismatch between primer set and template may influence the efficiency of  
193 amplification (Liu et al., 2006; Stadhouders et al., 2010). Therefore, the current primer set of  
194 WCA (Mosier and Francis, 2011) may underestimate the abundance of WCA, when WCAII  
195 predominates the AOA community. The actual influence of the mismatches to detection of  
196 WCAII is waiting for validation in future study.

### 197 **Global distributional pattern of AOA clades / subclades**

198 The AOA communities in the euphotic zones were predominated by WCA and  
199 SCM1-like, while the communities in MPZs were mainly predominated by WCB (Fig. 3).  
200 The general vertical distributional pattern of WCA and WCB in this study agreed with the  
201 previous findings (Beman et al., 2008; Sintes et al., 2013; Sintes et al., 2016; Jing et al., 2017;  
202 Santoro et al., 2017). At the level of subclade, WCAI was the most dominant subclade in the  
203 euphotic layers of open oceans, followed by WCAII and WCAIII (Fig. 3). However, in the  
204 Gulf of Mexico and Red sea, WCAII became more dominant than WCAI. Previous study in  
205 the western North Pacific Ocean suggested that WCAII was specific to the Western Pacific  
206 Ocean (Jing et al., 2017), because the WCAII affiliated sequences in the NCBI nucleotide  
207 database were all recovered from the East China Sea (Hu et al., 2011). Our result suggested  
208 that WCAII is indeed globally distributed, however, it is predominant in the ecosystems of  
209 marginal seas. SCM1-like was another major member of the AOA community in the euphotic  
210 zone, which mainly predominated the waters above the latitude of 35° in both hemispheres.  
211 This agreed with previous study that *N. maritimus* SCM-1 affiliated sequences were detected  
212 in Arctic Ocean and Antarctic coastal waters (Kalanetra et al., 2009). Our results suggested

213 niche separation of WCAI, WCAII and SCM1-like in the euphotic zones of the oceans,  
214 which are predominant in open oceans, marginal seas and high latitude waters, respectively.

215 In the MPZs, WCBIV is the most dominant subclades, followed by WCB I, WCBII  
216 and WCBIII. However, it should be noticed that WCA and SCM1-like was also detected in  
217 the MPZs. Although the relative abundances of these two clades in the MPZ AOA  
218 communities were low (Fig. 3), their significant relative abundances in the MPZ  
219 metagenomics datasets suggested that they are more evenly and widely distributed than WCB  
220 (Fig. 2). The vertical profiles of WCA abundance have been analysed in several studies  
221 (Sintes et al., 2016; Smith et al., 2016; Jing et al., 2017; Santoro et al., 2017), which showed  
222 different results. In the equatorial Pacific and the northeast Pacific Ocean, the abundance of  
223 WCA decreased dramatically in the upper MPZ (near zero) (Santoro et al., 2017) and lower  
224 MPZ ( $10^2$  gene copy per liter) (Smith et al., 2016), respectively. However, at some stations in  
225 Atlantic Ocean and northwest Pacific Ocean, the abundances of WCA remained significant in  
226 the MPZs ( $10^3 - 10^4$  gene copies per liter) and were comparable with that in the euphotic  
227 zones (Sintes et al., 2016; Jing et al., 2017). Together with our results (Fig. 2), it is not  
228 necessary for the distribution of WCA to be restricted in the euphotic zone and upper MPZs,  
229 and the significance of WCA and SCM1-like in the MPZs and even deeper water (Jing et al.,  
230 2017) is worthy for further exploration.

### 231 **Vertical segregation of AOA communities**

232 At 7 geographic locations, AOA communities were recovered in both euphotic zones  
233 and MPZs (Fig. 4). The communities in the euphotic layers (IO6, IO7, SAO3, SAO6, SAO9,  
234 SPO6 and SO1) were more heterogeneous than those in the MPZs (IO5, IO8, SAO2, SAO7,  
235 SAO10, SPO5 and SO2) (Fig. 4). Especially in the Southern Ocean, the community in the  
236 euphotic zone (SO1) was distant from all other AOA communities in the dataset, while  
237 community in the MPZ (SO2) still clustered closely with other MPZ communities (Fig. 4).

238 Similar patterns of bacteria and archaea communities have been reported in Pacific Ocean, in  
239 which the communities in surface waters are more heterogeneous than in the deep water (Jing  
240 et al., 2013; Xia et al., 2017). It was explained that the communities are less stable under the  
241 fluctuating physiochemical factors in the surface waters (Jing et al., 2013; Bryant et al., 2016).  
242 Except NAO5, which was sampled in upper MPZ (246 m in depth), all the MPZs datasets  
243 were originated from the samples collected in lower MPZs (> 500 m in depth) (S1 Table).  
244 Although the AOA communities were located in the lower MPZs in different oceans, they  
245 were all clustered together closely (Fig. 4). This is because the relative abundances of WCB  
246 subclades in the AOA communities were similar among the lower MPZs of different Oceans  
247 (Fig. 3), which can be explained by that the relatively stable environmental conditions in  
248 deep waters select the similar community of prokaryotes (Jing et al., 2013; Xia et al., 2017)  
249 and support the theory that “everything is in everywhere, but, the environment selects” (Baas-  
250 Becking, 1934).

251 The vertical segregation of AOA communities was disrupted in the upwelling regions of  
252 northeast tropical Pacific Ocean (NPO3), Arabian Sea (IO1) and Equatorial Pacific Ocean  
253 (EPO) (Fig. 3, 4) (Wyrski, 1967; Ulloa et al., 2012), where WCB were dominant in the  
254 euphotic layers (Fig. 3). Significant abundance of WCB was also detected in the shallow  
255 water of upwelling regions in Monterey Bay (Smith et al., 2014) and southeast Pacific  
256 (Molina et al., 2010). Our result showed that the vertical segregation of AOA communities is  
257 stable and predictable in the oceans, and physical force that transporting WCB to euphotic  
258 layers in upwelling region is the main factor of disrupting the segregation.

### 259 **Characterizing the ESTUs of AOA with environmental factors**

260 For finer resolution of the connection between AOA genetic diversity and  
261 environmental factors, instead of subclades, the correlation between ESTUs of different  
262 subclades and environmental factors were examined using Pearson test. As the result, the

263 ESTUs within same subclades could be correlated to different environmental factors (Fig. 5).  
264 Within WCAI subclade, three dominant ESTUs (WCAI-A, WCAI-B and WCAI-C) showed  
265 different correlations with depth. The WCAI-A prefers shallower water with low  
266 concentrations of organic nutrients WCAI-C prefers deeper waters with low temperature,  
267 while WCAI-B has no significant correlation with depth (Fig. 5). This agreed with the  
268 distribution patterns of these ESTUs that the relative abundance of OTU1 (WCAI-A) was  
269 higher in the euphotic zone, OTU46 of WCAI-B did not show obvious distributional pattern  
270 and OTU63 of WCAI-C was mainly distributed in the MPZs (Fig. 2). In previous studies  
271 which quantified the whole group of WCA, WCA was most abundant in the euphotic zone  
272 and remained significant abundance in the MPZs (Sintes et al., 2016; Jing et al., 2017). It can  
273 now be explained that the WCAI-A (top ESTUs of WCA) is responsible for the high  
274 abundance of WCA in the euphotic zone, while WCA in MPZ is mainly contributed by  
275 WCAI-C. The vertical succession between WCA and WCB along the water column has been  
276 well documented (Beman et al., 2008; Sintes et al., 2016; Smith et al., 2016; Jing et al., 2017;  
277 Santoro et al., 2017). In addition to that, our result suggested that vertical succession is also  
278 existing among the ESTUs of WCA. The ESTUs of WCAII have positive correlations with  
279 salinity and temperature (Fig. 5), because they were predominant in the low latitude marginal  
280 seas (Fig. 3), where the salinity and temperature were high (S1 Table). Similar to WCAI-C,  
281 WCAIII-A and WCAIII-C were also positively correlated with water depth. Besides the  
282 physical factors, a number of ESTUs of WCAI and WCAIII were positively correlated with  
283 nitrate : silicate ratio, which agreed with the recent finding that the abundance of WCA was  
284 positively correlated with this ratio in Equatorial Pacific upwelling region (Santoro et al.,  
285 2017). The nitrate : silicate ratio was treated as indicator of remineralization in their studied  
286 region (Raimbault et al., 1999; Jiang et al., 2003; Buesseler et al., 2008) , and the positive  
287 correlation implied that WCA is a major player of nitrification and the trace metal and

288 ammonium released during remineralization process are important requirement of WCA  
289 (Santoro et al., 2017). Our result showed significant and positive correlation of WCAI and  
290 WCAIII with nitrate : silicate ratio in the larger geographic scale. However, it should be  
291 noticed that the nitrate : silicate ratio may have other implications, which are depended on  
292 phytoplankton community structure and the geographic locations (Koike et al., 2001; Bibby  
293 and Moore, 2011). Therefore, further explorations are needed for verifying the relationship  
294 between WCA (or even WCA subclades), nitrate : silicate ratio and remineralization of  
295 organic matter.

296         The SCM1-like-A was negatively correlated with irradiation, while the rest ESTUs of  
297 SCM1-like clade did not (Fig. 5). SCM1-like-B, D and E were negatively correlated with  
298 temperature, agreeing with the general pattern that SCM1-like clade prefers high latitude  
299 waters (Fig. 3). Besides that, similar to WCAI-C and WCAIII, SCM1-like-C has a strong and  
300 positive correlation with depth (Fig. 5), which explains their main distribution in the MPZs  
301 (Fig. 2). Culture based study has discovered that different marine strains of *Nitrosopumilus*  
302 (SCM-1, PS0 and HCA1) had different sensitivity to photoinhibition (Qin et al., 2014), which  
303 supports our result about SCM1-like-A. Moreover, the positive correlations of SCM1-like-B  
304 and D with coloured dissolve organic matter (Fig. 5) also agreed with previous finding that  
305 some strains of *Nitrosopumilus* were obligate mixotrophs (Qin et al., 2014). The similar  
306 results of culture based study and our field study suggested that, the correlations between  
307 AOA ESTUs and environmental factors provides useful knowledge about the ecophysiology  
308 of AOA, especially the uncultured subclades.

309         WCB was rare and nearly undetected in the euphotic zone (Fig. 2), therefore, only the  
310 samples from lower MPZs (594 – 989 m in depth) were included in the correlation analysis.  
311 Some ESTUs of WCB I and IV showed preferences to deeper waters (Fig. 5). The WCBIV-K,  
312 L and Q showed similar pattern of correlation with salinity, temperature and macro-nutrients,

313 which is because they were only found in rare number in the MPZ of the Southern Ocean  
314 (SO2), where was low in temperature and high in macronutrients (S1 table). Besides that,  
315 WCBII and WCIV-H showed positive correlations with nitrate : silicate ratio. Because the  
316 environmental condition in the MPZs is less variable, the ESTUs of WCB in the MPZs did  
317 not show significant correlations with most of the environmental factors (Fig. 5). Moreover,  
318 since the number of datasets from MPZs are limited, the correlation results of WCB may not  
319 be as significant as that of WCA and SCM1-like.

320 Based on our analysis, both subclades of the same clades and ESTUs of the same  
321 subclades could have different distributional patterns and correlation with different  
322 environmental factors (Fig. 2; 3 and 5), indicating the micro-diversity of AOA in the oceans  
323 should not be overlooked. In addition to studying the distribution of the whole group of WCA  
324 or WCB (Sintes et al., 2016; Smith et al., 2016; Jing et al., 2017; Santoro et al., 2017),  
325 analysing micro-diversity of WCA and WCB in the global scale refined our understanding to  
326 the ecophysiology of uncultivated AOA groups in marine ecosystems. Recent high-resolution  
327 genetic analysis of *Synechococcus* and unicellular cyanobacteria diazotroph (UCYN-A) also  
328 showed that the sublineages or ESTUs have different distributional patterns due to their  
329 different preference and sensitivity to certain environmental factors, causing niche separation  
330 in the oceans (Farrant et al., 2016; Cheung et al., 2017; Turk-Kubo et al., 2017).

331 Our study provided the first insight to the micro-diversity of AOA in the global ocean,  
332 and demonstrated the importance of high resolution genetic analysis of previous defined  
333 ecotypes. In addition to large geographic scale, high resolution studies in mesoscale regions  
334 with steep environmental gradients may provide more insights to the correlations between  
335 environmental factors and microorganisms (Robidart et al., 2014; Cheung et al., 2017). In  
336 addition to macro-nutrients, trace elements have also been suggested to influence the  
337 distribution of WCA and WCB (Santoro et al., 2017). For better understanding of the eco-

338 physiology of uncultivated marine AOA, the abundance and high resolution distributional  
339 patterns of AOA subclades can be analysed using subclade specific qPCR primer sets in  
340 future studies.

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346



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508

509

510 **Legends**

511 Fig. 1. Sampling locations of metagenomics datasets selected in this study. The relative  
512 abundances of AOA (AARs divided by total clean reads) in the metagenomics datasets were  
513 shown with color gradient.

514

515 Fig.2. Maximum likelihood phylogenetic tree constructed using DNA sequences of top 202  
516 OTUs, reference sequences of marine AOA cultures and previous defined subclades of WCA  
517 and WCB. The bootstraps test was conducted for 1000 times, and the values which higher  
518 than 60 % were displayed as black circles. The OTU abundances referred to that OTU  
519 affiliated reads divided by total clean reads in particular metagenomics datasets. The OTUs  
520 were labelled with their corresponding ESTUs (e.g. WCAI-A, WCAI-B, etc).

521

522 Fig. 3. Distributional pattern of AOA communities in the global oceans. The community  
523 structures were consisted of the relative abundances of top 202 OTUs.

524

525 Fig. 4. NMDS plot showed relationship between the AOA communities recovered from  
526 metagenomics datasets. The datasets that have corresponding datasets in euphotic zones or  
527 MPZs (same geographic locations) were bolded.

528

529 Fig. 5. Correlation of relative abundances of total AOA and AOA ESTUs with environmental  
530 factors using Pearson test. The significant correlations ( $p$ -value  $< 0.05$ ) were displayed. The  
531 ESTUs of different subclades were distinguished with different colors, which are identical to  
532 the coloring in Fig. 2 and 3.



533 **Supplementary information**

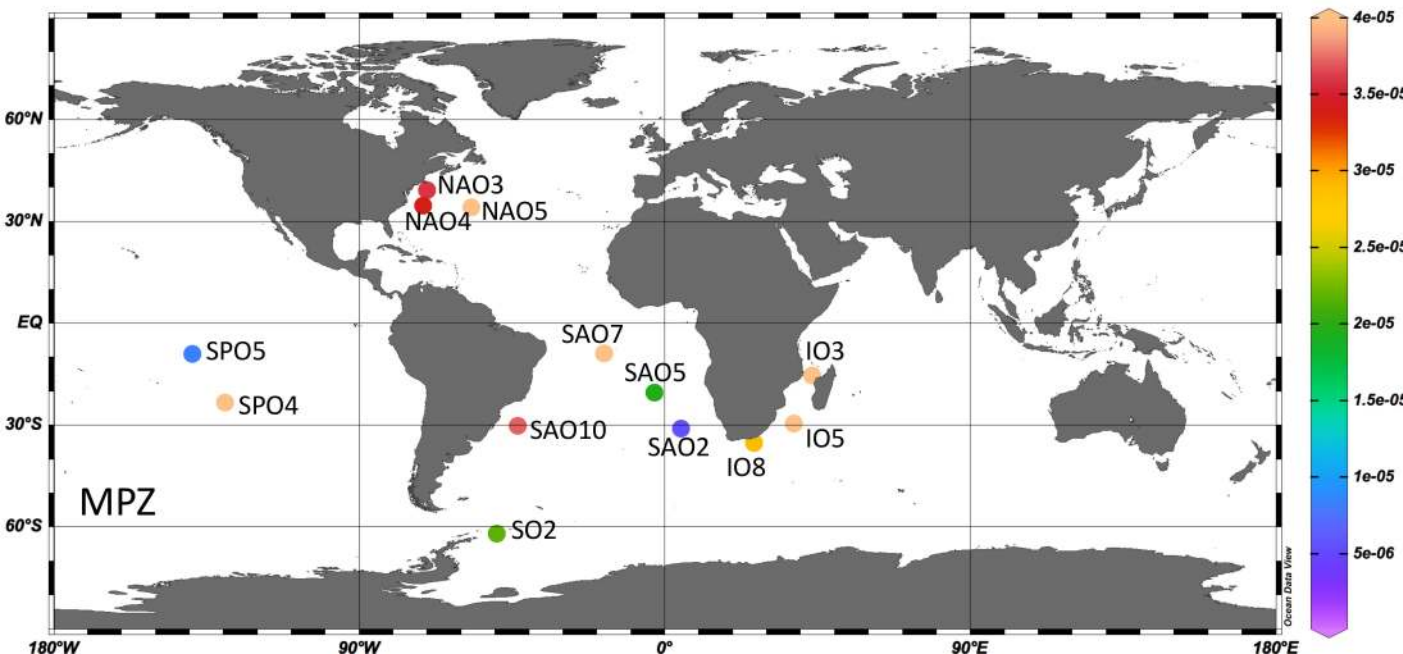
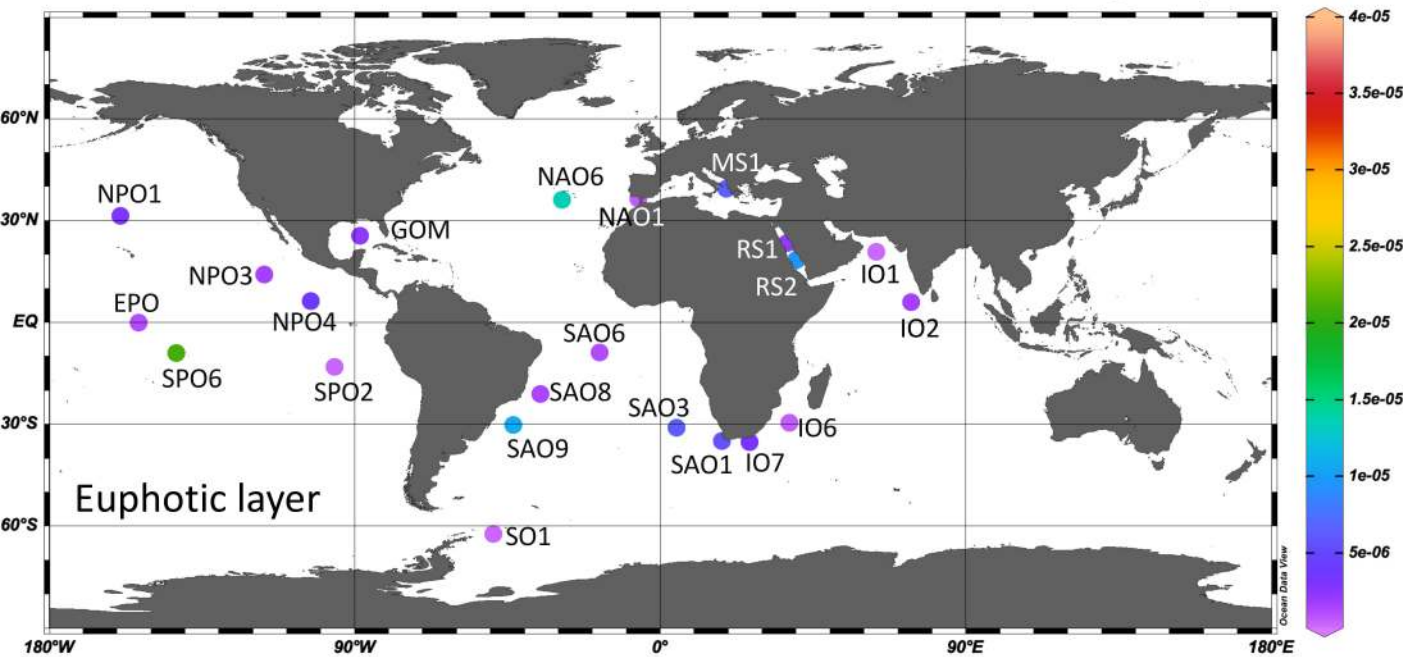
534 S1 Table. Information of the metagenomics datasets used in this study.

535

536 S2 Table. Mismatch between the qPCR primer sets that targeted WCA and WCB clades

537 (Mosier and Francis, 2011) with the representative sequences of the AOA subclades.

538

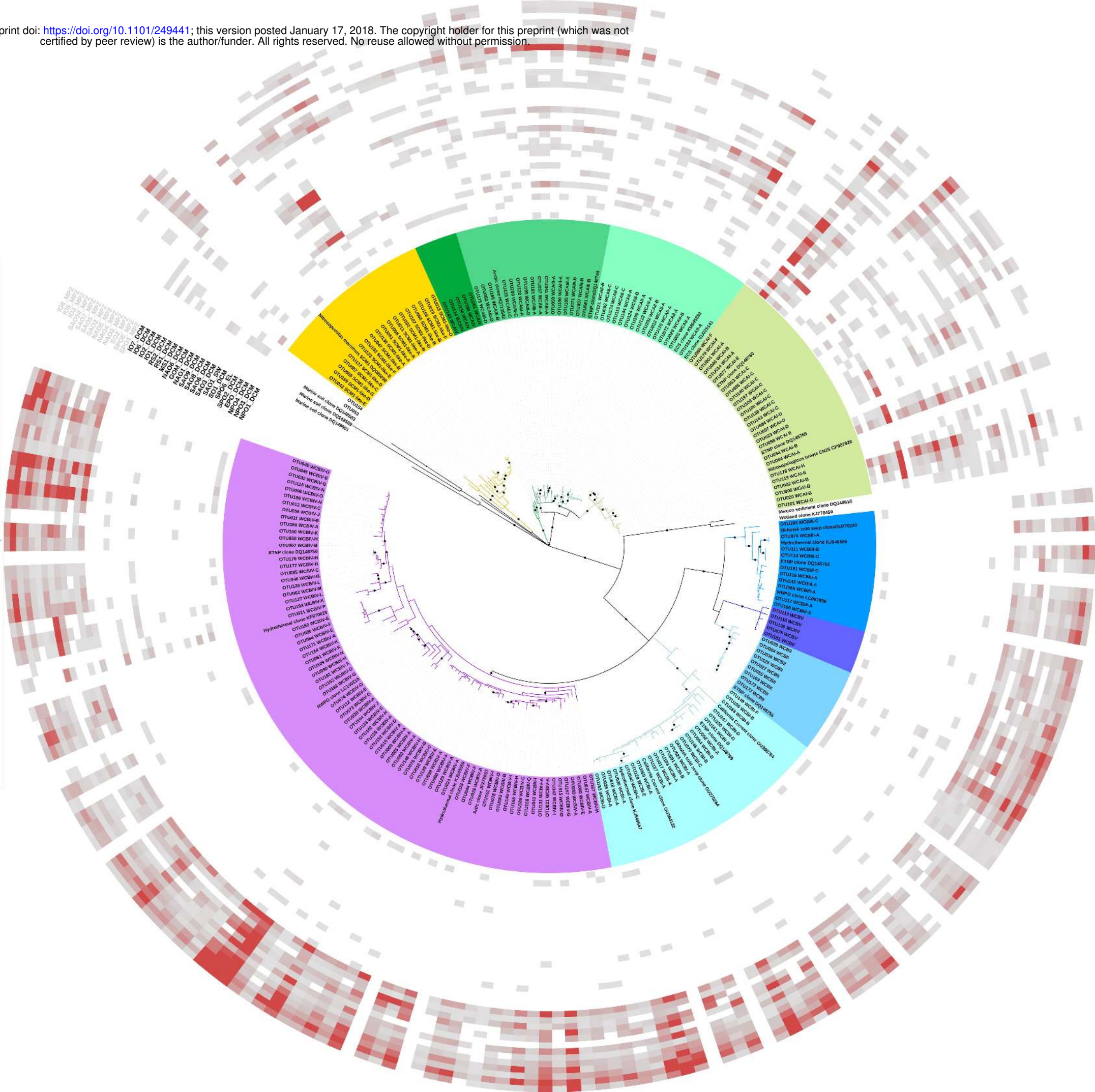
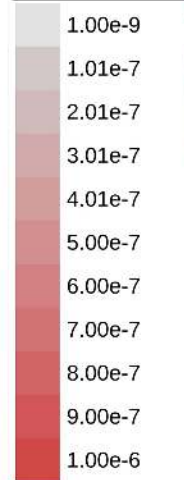


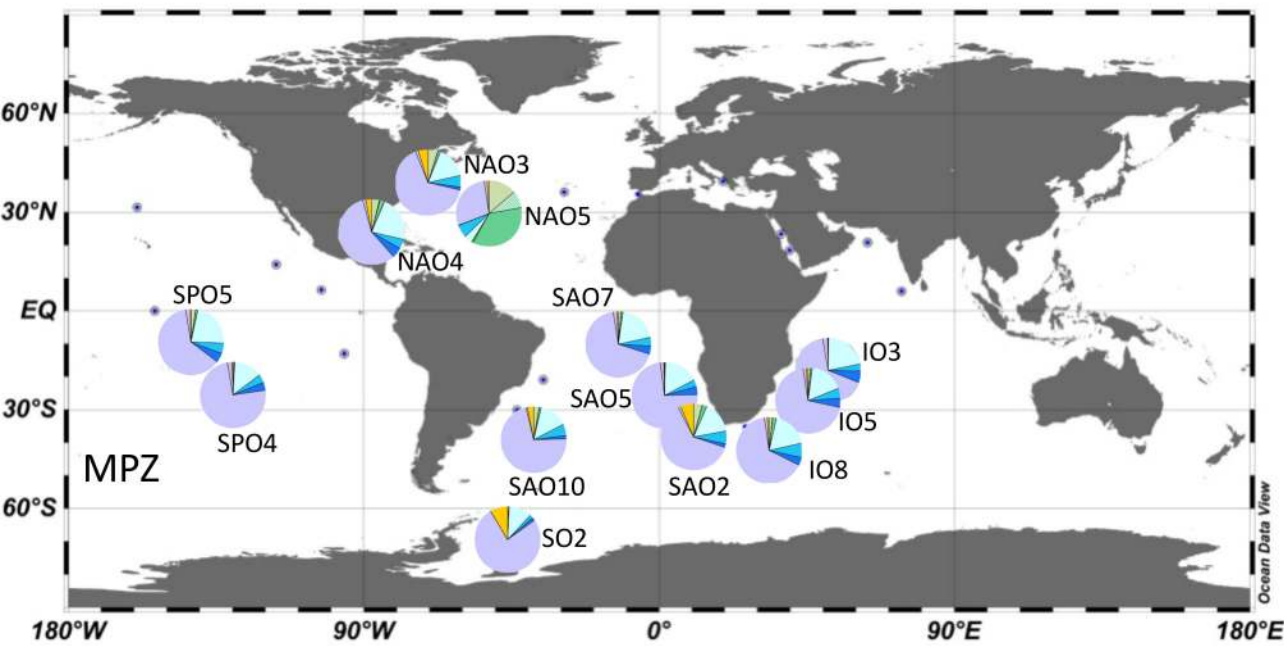
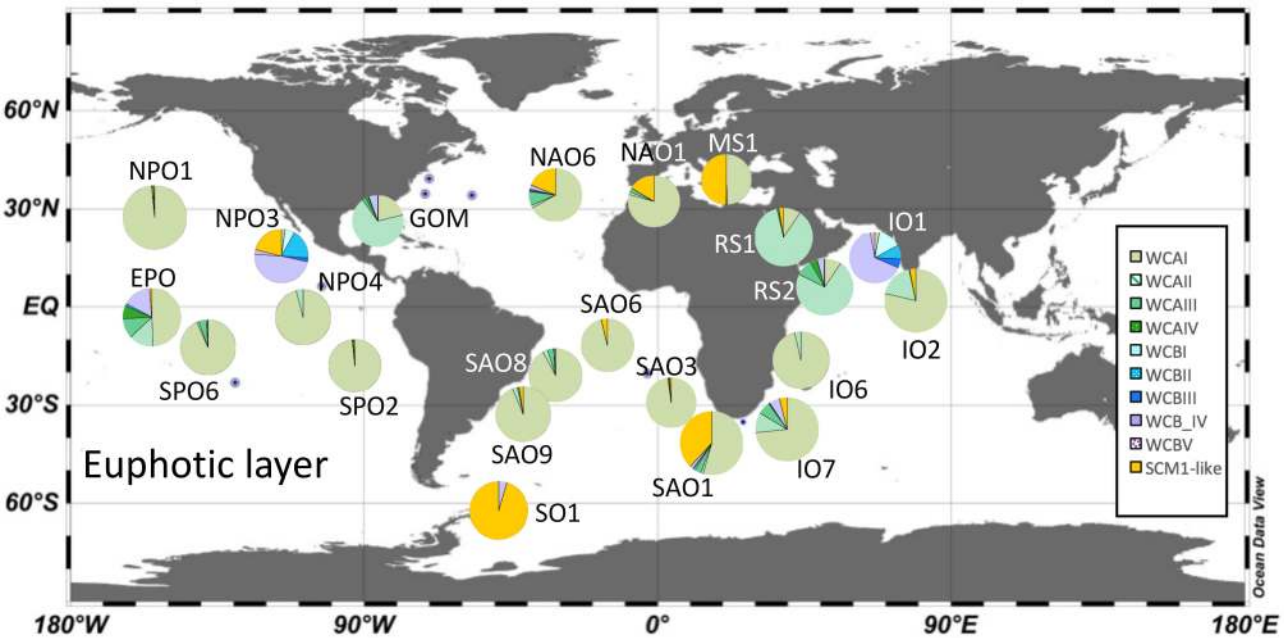
Tree scale: 0.1

AOA subclades

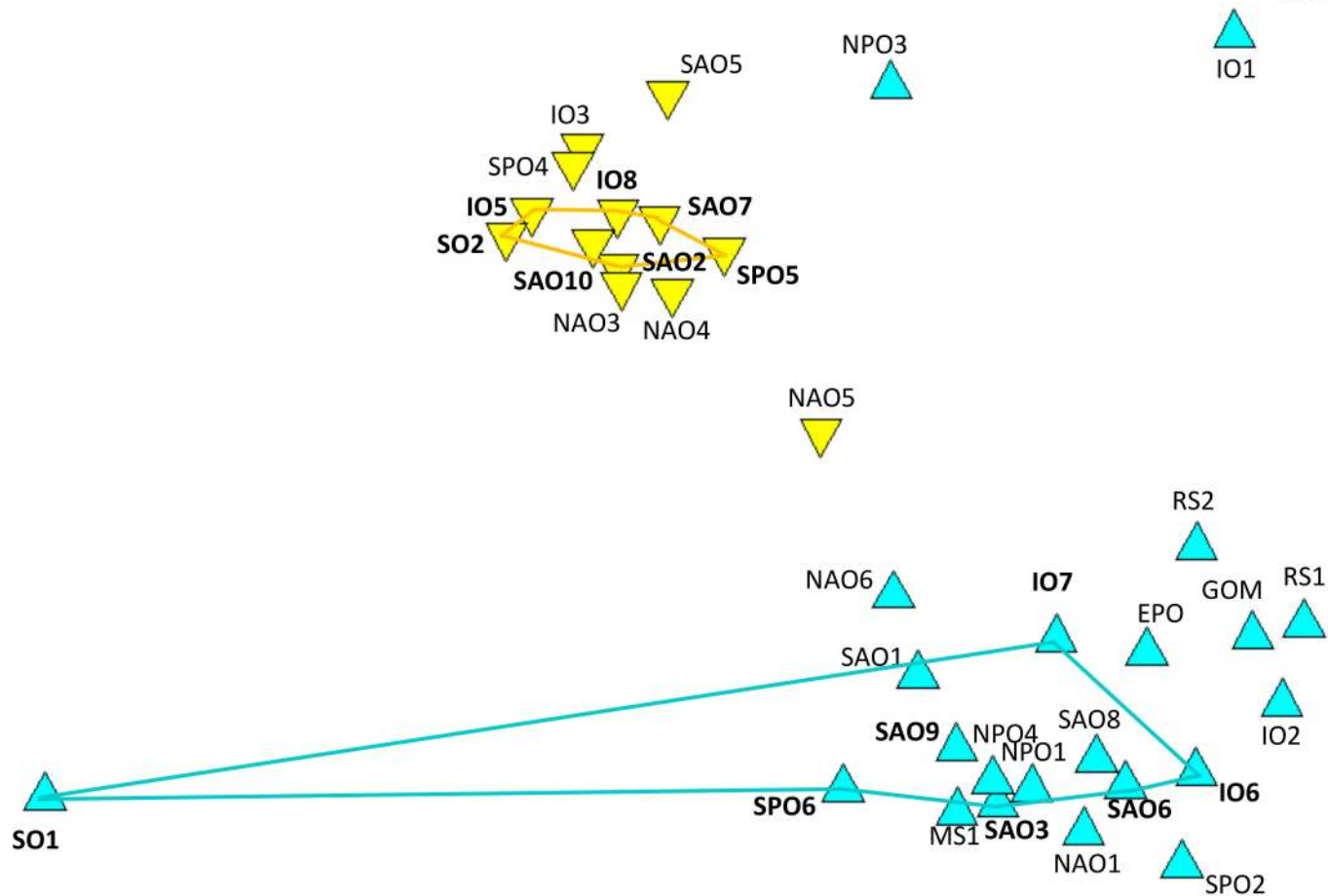


OTU abundance





Stress: 0.09



▲ Euphotic layer

▼ Mesopelagic zone

