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**Genetic population structure of the round whitefish
 (*Prosopium cylindraceum*) in North America: multiple
 markers reveal glacial refugia and regional subdivision.**

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 Manuscripts

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2 **America: multiple markers reveal glacial refugia and regional subdivision.**

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26

Draft

27 **ABSTRACT**

28 Round whitefish (*Prosopium cylindraceum*) have a broad, disjunct range across northern North
29 America and Eurasia, and little is known about their genetic population structure. We performed
30 genetic analyses of round whitefish from 16 sites across its range using nine microsatellites, two
31 mitochondrial DNA (mtDNA) loci, and 4,918 to 8,835 single-nucleotide polymorphism (SNP)
32 loci. Our analyses identified deep phylogenetic division between eastern and western portions of
33 the range, likely indicative of origins from at least two separate Pleistocene glacial refugia.
34 Regionally, microsatellites and SNPs identified congruent patterns in subdivision, and population
35 structure was consistent with expectations based on hydrologic connectivity. Within the
36 Laurentian Great Lakes, Lake Huron and Lake Ontario were identified as key areas of interest.
37 Lake Huron appears to be a contemporary source population for several other Great Lakes, and
38 Lake Ontario contains a genetically discrete group of round whitefish. In all cases, multiple
39 genetic markers yielded similar patterns, but SNPs offered substantially enhanced resolution. We
40 conclude that round whitefish have population subdivision on several scales important for
41 understanding their evolutionary history and conservation planning.

42

43 INTRODUCTION

44 The round whitefish (Salmonidae; Coregoninae; *Prosopium cylindraceum*) is a
45 widespread species that has become a conservation concern in several regions of North America.
46 In the northeastern United States several populations have been extirpated, and the species is
47 listed as Endangered in New York (Steinhart et al. 2007) and critically imperiled in Vermont and
48 New Hampshire (Vermont Department of Fish and Wildlife 2015; Nugent and Carpenter 2015).
49 Round whitefish were once targeted for commercial harvest in the Laurentian Great Lakes
50 (Bailey 1963; Mraz 1964); however, declining catch rates and a limited market have caused a
51 reduction in harvest to an average of less than 4,500 kg per year in Canadian waters (Ontario
52 Commercial Fisheries Association data 1994-2013). Population data for most coregonines is
53 limited in the Great Lakes, but recent evidence suggests local declines of round whitefish (e.g.
54 Ebener 2012). In addition, thermal sensitivity during development has raised concern for this
55 species in areas potentially impacted by industrial once-through cooling processes (Patrick et al.
56 2013; Graham et al. 2016). Little is known about population trends or conservation status in
57 other areas of the extensive round whitefish range.

58 Round whitefish populations in North America are likely genetically subdivided on
59 multiple geographic scales relevant to understanding their evolutionary history and management.
60 On the continental scale, the species appears to be divided into two large, disjunct areas,
61 occurring in the west from Alaska to northern Manitoba, and the east from the Laurentian Great
62 Lakes to the Atlantic coast (see Fig. 1A, Scott and Crossman 1973; Global Biodiversity
63 Information Facility). During previous glacial maxima, fishes in northern North America were
64 isolated within at least nine identified glacial refugia (Mandrak and Crossman 1992; Ross 2013;
65 Mee et al. 2015), from which they dispersed after the glaciers receded. The resulting pattern is

66 reflected in the detection of distinct glacial lineages using genetic markers for other fish species
67 with similar distributions (e.g. Wilson and Hebert 1998; April et al. 2013; Mee et al. 2015). The
68 delineation of separate glacial lineages is of principal importance in determining conservation
69 priorities for species (Dizon et al. 1992; Palsbøll et al. 2007; Funk et al. 2012). The disjunct
70 range of round whitefish in North America has led to the hypothesis that they persisted in at least
71 two glacial refugia: the Beringian in the west, and the Mississippian in the east (McPhail and
72 Lindsey 1970; Scott and Crossman 1973). There are currently no genetic data available to
73 address the hypothesis of separate glacial lineages for round whitefish.

74 Within the eastern and western portions of their range, round whitefish occupy multiple
75 watersheds with variable hydrologic connectivity, which likely affects gene flow. For example,
76 the upper Great Lakes are contiguous and hydrologically connected, enabling fish movement, but
77 many inland lakes occupied by round whitefish are completely isolated from one another. These
78 hydrological features that affect connectivity alter levels of gene flow and should be reflected in
79 the resulting level of genetic differentiation among fish in different bodies of water (Pringle
80 2003; Waples and Gaggiotti 2006). Molecular tools have been instrumental in quantifying levels
81 of connectivity between populations, as well as detecting the biogeographic relationships of
82 glacial lineages (e.g. Bernatchez and Wilson 1998; April et al. 2013); however, for round
83 whitefish there has yet to be any analyses of genetic population structure beyond very local, fine-
84 scale applications (see Graham et al. 2016; Wood et al. 2016).

85 Here we present the first broad-scale study of North American round whitefish
86 population genetics and phylogeography. We applied microsatellite genotyping, mtDNA
87 sequencing, and nextRAD sequencing of single nucleotide polymorphisms (SNP) to address the
88 following major objectives: (1) characterize intraspecific phylogenetic relationships for round

89 whitefish in North America; (2) relate phylogenetic data for round whitefish to putative glacial
90 refugia during the Wisconsinan glaciation that have been identified for other freshwater fish
91 species; and (3) characterize the genetic population structure of round whitefish at local,
92 regional, and continental scales using traditional and next-generation sequencing (NGS)
93 techniques. In addition, we chose to emphasize analyses of fish from the Laurentian Great Lakes
94 due to the high impact of invasive species and environmental disturbance on the region, as well
95 as its economic importance as one of the largest freshwater fisheries in the world (Kohler and
96 Hubert 1999; FAO 2011).

97

98 **MATERIALS AND METHODS**

99 Sample Collection and DNA Isolation

100 Tissue samples (pectoral fin clip, adipose fin clip, or muscle stored in 95% ethanol or
101 lysis buffer) were obtained for round whitefish from various sites across North America and one
102 site in eastern Russia (Table 1; Fig. 1). From the western portion of the round whitefish range,
103 the samples included fish from six different watersheds. The north Alaska site was north of the
104 Brooks Range within the north slope watershed that drains into the Arctic Ocean, and the south
105 Alaska sites were within the Nushagak River Basin. Bennett and Little Salmon Lakes are part of
106 the Yukon River Basin, while Simpson Lake, the Rat River sites, and Great Bear Lake are within
107 watersheds of the Mackenzie River system (the Liard, Peel, and Great Bear Lake watersheds,
108 respectively; Benke and Cushing 2005). In the eastern part of the range, the samples include
109 round whitefish caught in each of the Laurentian Great Lakes, Lake Nipigon, and one site in
110 Labrador, Canada (Fig. 1).

111 Genomic DNA was extracted from 414 samples of round whitefish tissue following the
112 manufacturer's guidelines (Genomic DNA Isolation Kit, Norgen Biotek Corp., Ontario, Canada).
113 However, we extended lysis to 12-14 hours at 55°C and performed the optional step of treatment
114 with RNase A (Qiagen Inc., Ontario, Canada). DNA concentration was determined using a Qubit
115 2.0 Fluorometer (Life Technologies Inc., Ontario, Canada). Subsets of the 414-sample collection
116 were selected based on likelihood of capturing representative diversity and assay cost, then
117 analyzed using various molecular techniques as indicated (Table 1).

118

119 Mitochondrial DNA Sequencing

120 Portions of the mitochondrial control region (D-loop) and cytochrome c oxidase subunit I
121 (COI) barcode region were polymerase chain reaction (PCR) -amplified for 124 round whitefish
122 individuals representing 7-12 samples from each site, with the exceptions of Russia (n=3) and
123 the Rat River site in the Yukon (n=4). The Lake Michigan – Door County fish were excluded
124 from this analysis due to the close proximity between this location and the Lake Michigan –
125 Milwaukee site. Loci were amplified as described in Delling et al. (2014; D-loop) and Ward et
126 al. (2005; COI), resulting in amplicons of approximately 400 bp and 655 bp respectively. PCR
127 products were purified using MinElute PCR Purification Kits (Qiagen Inc., Ontario, Canada) and
128 Sanger-sequenced commercially (University of Calgary Core DNA Services; See Table 1 for
129 sample details).

130

131 Microsatellite Genotyping

132 Round whitefish were genotyped at 11 microsatellite loci previously developed for this
133 species (O'Bryhim et al. 2013; Graham et al. 2016; Details in Table S1). We genotyped

134 individuals from 14 sites that had DNA for 8 or more fish (n=390). Samples were included for
135 five sites in the western range (the Yukon and Alaska), eight sites in the Great Lakes region, and
136 one site in Labrador. Six microsatellite loci (*Prwi6*, *Prwi15*, *Prwi24*, *Prwi25*, *Prwi27*, and
137 *Prwi28*) were amplified and genotyped as described in Graham et al. (2016). Genotypes were
138 determined using GENEMARKER 2.20 software (Softgenetics, State College, PA) with a bin-
139 width of one nucleotide anchored to the median integer value of the raw genotype read. The
140 remaining five loci (*Prwi55*, *Prwi56*, *Prwi60*, *Prwi65*, and *Prwi72*; Graham et al. 2016) were
141 amplified and genotyped as described in O’Byrhim et al. (2013).

142 Round whitefish genotype data were assessed for scoring errors and null alleles (Micro-
143 Checker v2.2.3; Van Oosterhout et al. 2004), and for conformation to Hardy-Weinberg
144 Equilibrium (HWE) within each of the 14 presumptive populations (GENEPOP v4.3; Rousset
145 2008). A sequential Bonferroni correction was applied to account for multiple HWE tests.
146 Individuals with complete data for at least seven loci were retained in all subsequent analyses.
147 *Prwi56* and *Prwi72* showed evidence of null alleles; these loci were excluded from subsequent
148 analyses. The nine remaining loci conformed to HWE for all populations and were retained for
149 subsequent analyses; all 390 individuals had data for at least seven of nine loci.

150

151 NextRAD Sequencing

152 Genomic DNA for 190 round whitefish samples from 14 sites was converted into
153 nextRAD genotyping-by-sequencing libraries (SNPsaurus, Oregon, USA; as described by
154 Russello et al. 2015). Sites included in the analysis were those that had reasonable DNA quality
155 (visible fragments larger than 1Kb when extraction run on a gel) for >8 individuals, with the
156 exceptions of Little Salmon Lake in the Yukon and Russia (n=3 in both cases). Briefly,

157 genomic DNA was first fragmented with Nextera reagent (Illumina, Inc), which fragments the
158 genome using a transposase and also ligates short adapter sequences to the ends of the fragments
159 (Marine et al. 2011). The Nextera reaction was scaled for fragmenting 7 ng of genomic DNA,
160 although 15.75-17.5 ng of genomic DNA were used for input to compensate for degraded DNA
161 in the samples. Fragmented DNA was then amplified, with one of the primers matching the
162 adapter and extending 9 nucleotides into the genomic DNA with the selective sequence 5'-
163 GTGTAGAGC-3'. Thus, only fragments starting with a sequence that hybridized with the
164 selective sequence of the primer will be efficiently amplified. PCR amplification was done with
165 an annealing temperature of 73 °C for 26 cycles. The nextRAD libraries were sequenced on an
166 Illumina HiSeq 2500 with 100bp single-end reads (University of Oregon).

167 NextRAD data were uploaded to an online Galaxy analysis platform at McMaster
168 University (galaxylab.mcmaster.ca; Afgan et al. 2016). FASTQ files were first processed using
169 Trimmomatic (Bolger et al. 2014); Nextera adaptors were removed, long reads were trimmed to
170 100bp, and low quality/short reads (<100bp) were removed from subsequent analyses. The
171 remaining reads were analyzed using FastQC (Galaxy version 0.64; Andrews 2010) and
172 individuals containing <100,000 reads were removed. FastQC analysis indicated eight samples
173 with low read numbers, six from Lake Ontario, and one each from Lake Huron and Lake
174 Nipigon. These samples were excluded from subsequent analyses, leaving 182 samples with a
175 mean read number of $1,031,989 \pm 452,088$ (SD).

176 Sequences for all individuals used for phylogenetics and population structure (see below)
177 were analyzed using the *de novo* pipeline (no reference genome available) of Stacks 1.41
178 (Catchen et al. 2013). Putative loci were assembled into 'stacks' using *ustacks* with a minimum
179 stack depth (-m) of 3, and 2 mismatches allowed between stacks (-M). A catalogue of the loci

180 was then constructed for all individuals using *cstacks* allowing a mismatch (-n) of 3 between
181 sample tags. Sets of stacks were then searched against the catalogue using *sstacks*.

182

183 Phylogenetic Analyses

184 *MtDNA*

185 Trace files were compiled and edited in CodonCode Aligner v.6.0.2 (CodonCode
186 Corporation, Centerville, MA, USA), then trimmed for quality and concatenated in MEGA6
187 (Tamura et al. 2013). Unique haplotypes were identified in the final alignment and concatenated
188 sequences were run through Modeltest using Paup4.0a147 (Swofford 2002) to determine the best
189 model of sequence evolution. A statistical parsimony network was constructed using TCS 1.21
190 (Clement et al. 2000) for the 13 identified haplotypes (Tables 2 and S2) using 95% connection
191 limits (Fig. S1).

192 Phylogenetic tree building analysis of mtDNA was first conducted using a maximum-
193 likelihood (ML) approach with the Russian samples designated as the outgroup. ML trees were
194 inferred using the GTRCAT model in the PTHREADS version of RAxML v8.2.8 (Stamatakis
195 2014), using the default bootstrap procedure with robustness tested using 1000 replicates. A
196 Bayesian search of tree space was also conducted using MrBayes v.3.2.6 (Ronquist et al. 2012)
197 and seeded with the RAxML tree. We used four chains under the HKY+I model until after 7 x
198 10⁶ generations the standard deviation of split frequencies fell below 0.01. Haplotypes for the
199 concatenated sequences were determined and mapped back to their putative population.

200 Sequences for COI were compared to round whitefish sequences already on the International
201 Barcode of Life Database using the BLAST tool to confirm that all samples were the correct
202 species (Ratnasingham and Hebert 2007).

203

204 *NextRAD*

205 For phylogenetic analyses, a consensus sequence (with IUPAC ambiguity codes) was
206 exported for each population using the Stacks program *populations* in full-sequence Phylip
207 format. To account for unequal sample sizes, consensus sequences were based on the three
208 samples from each site with the most raw reads after Trimmomatic filtering. Loci present in 50%
209 of individuals within a population, in at least 12 of the 14 presumptive populations, and with a
210 minimum read depth of 3x were exported. This maximized the number of captured loci, and
211 allowed for the construction of a supermatrix with a consensus sequence representing each
212 population, the size of which has been shown to positively impact resolution of phylogenetic
213 relationships (Wagner et al. 2013). The matrix of RAD loci was used to determine
214 phylogeographic patterns among locations. Maximum likelihood and Bayesian trees were
215 constructed using the PTHREADS version of RAxML v7.2.7 and MrBayes v3.1.2 on CIPRES
216 (Miller et al. 2010) following the same procedure as for the 2 mitochondrial loci. The samples
217 from Russia were used as an outgroup to root the tree.

218

219 Population Structure Analyses220 *Microsatellites*

221 Continental and regional population structures were analyzed using three types of
222 analyses. First, a distance matrix was created for all sites using an AMOVA (Excoffier et al.
223 1992) to calculate pairwise F_{ST} between presumed populations (Weir and Cockerham 1984;
224 GENODIVE; Meirmans and Van Tienderen 2004; Table S2). Measures of genetic diversity were

225 determined for each population (GENODIVE), including a measure of allelic richness rarefied to
226 the smallest sample size of eight individuals (HP-Rare; Kalinowski 2005).

227 Population structure was further analyzed using discriminant analysis of principal
228 components (DAPC; adegenet; Jombart 2008, Jombart and Ahmed 2011). Missing genotypes
229 were imputed using a random forest model implemented in *stackr* (Breiman 2001; Ishwaran and
230 Kogalur 2007; Gosselin and Bernatchez 2016) based on genotypes within each population.
231 Ellipses were generated hierarchically for all sites, Alaska and Yukon sites, and Great Lakes
232 sites, and validated using the *optim.a.score* function (PCs retained = 12, 5, and 26, respectively).
233 Group delineations were then determined based on separation along the first and second
234 principal axes.

235 Contemporary migration rates were determined for sites in the Great Lakes region using
236 the program BAYESASS 3.0 (Wilson and Rannala 2003). We ran BAYESASS using 5×10^6
237 iterations with a burn-in of 1×10^6 and sampling every 2000 iterations; mixing parameters were
238 adjusted to $f = 0.35$ and $a = 0.35$ to maintain optimal values between 0.20 and 0.60.

239 The Bayesian clustering program STRUCTURE was run on all samples to determine the
240 most likely number of genetic groups (Pritchard et al. 2000). The analysis was run 10 times with
241 K ranging from 1 to 16 to account for the maximum number of populations (14) and additional
242 unanticipated substructure. Each run consisted of a burn-in of 100,000 followed by 100,000
243 Markov chain Monte Carlo (MCMC) steps. STRUCTURE was then run hierarchically on the
244 western sites (Alaska and the Yukon) with K ranging from 1 to 7, the Great Lakes sites and
245 Labrador with K ranging from 1 to 11, and the Great Lakes sites on their own with K ranging
246 from 1 to 10.

247 After each STRUCTURE run, the most likely number of genetic groups was determined
248 using the methods of Evanno et al. (2005) in the program STRUCTURE HARVESTER v0.6.94
249 (Earl and vonHoldt 2012). Results of all 10 runs were combined for the most likely K value
250 using the Greedy algorithm of CLUMPP (Jakobsson and Rosenberg 2007), and membership
251 coefficients for individuals were visualized using the program DISTRUCT (Rosenberg 2004).

252

253 *NextRAD*

254 Single nucleotide polymorphism (SNP) genotypes (one per locus) for loci present in 50%
255 of individuals, found in at least 3 of 14 populations, with a minor allele frequency of 0.05, and
256 with a read depth of at least 6x were used for population structure analyses. Compared to
257 phylogenetics, these analyses retained the relative frequencies of SNPs within populations and
258 allowed for finer-scale population metrics. Loci were outputted and checked in PLINK1.07
259 (Purcell et al. 2007) for conformation to Hardy-Weinberg equilibrium ($P < 0.05$) in the 12 sites
260 with > 8 samples. Loci that did not conform to HWE in two or more populations were used to
261 create an exclusion list for *populations*, and the reduced dataset was re-exported. Patterns in
262 missing data were then analyzed using *stackr* (Gosselin and Bernatchez 2016). Five individuals
263 (one each from Lakes Huron, Superior, and Nipigon, as well as two from south Alaska) were
264 found to be biased based on missingness because of genotyping rates under 5.3% of the total
265 panel, and were removed from subsequent analyses. The overall SNP panel was not biased by
266 missingness, so all SNP loci were retained for subsequent population genetic analyses.

267 For the nextRAD SNP panel, measures of genetic variation were calculated using
268 *populations*, excluding F_{ST} which was calculated in GENODIVE as described for microsatellites.
269 Hierarchical runs of STRUCTURE were implemented using STRAUTO1.0 (Chhatre et al. 2016)

270 to determine the number of genetic groups at continental and regional levels until no further
271 substructure was detected. Each analysis was run 10 times with a burn-in of 50,000 followed by
272 50,000 MCMC steps. K was tested for values ranging from 1 to 15 for all sites, then 1 to 8 for
273 western sites, 1 to 10 for eastern sites, and 1 to 10 for the Great Lakes. The most likely number
274 of genetic groups was determined as described above for microsatellite loci using STRUCTURE
275 HARVESTER. DAPC analyses were implemented hierarchically using *adegenet* as described for
276 the microsatellite dataset. We used the random forest model in *stackr* to impute missing
277 genotypes from within populations and validated the number of retained principal components
278 using *optim.a.score* (9, 2, and 7 for all-sites, Alaska-Yukon sites, and the Great Lakes,
279 respectively).

280

281 **RESULTS**

282 Phylogenetic Analyses

283 *MtDNA*

284 COI sequences were identified through BLAST as closest to round whitefish for all samples.
285 North American round whitefish returned a >99.5% match to sequences already on BOLD, while
286 round whitefish from Russia returned a top hit of 98.25% sequence similarity to round whitefish.
287 Edited sequences for D-loop and COI yielded 354 and 578bp respectively, for a concatenated
288 sequence of 932 bp (haplotype information in Table S3). The concatenated sequences yielded 11
289 haplotypes for RWF across North America and two haplotypes for samples from eastern Russia
290 (Fig. 2; Table S3). Five haplotypes were exclusively found in Alaska, Yukon, and Northwest
291 Territories sites (designated *W1*, *W2*, *W3*, *W4* and *W5*; n = 10, 19, 14, 3, and 7, respectively;
292 Table 2). Six haplotypes were found exclusively in the Great Lakes and Labrador sites

293 (designated *E1-E6*). In the east, the *E3* and *E6* haplotypes represented the majority of samples
294 ($n= 30$ and 31 , respectively of 68 total; Table 2). Tree building analyses were unable to resolve
295 the relationships between most mtDNA haplotypes with high degrees of confidence. However,
296 Russian haplotypes were strongly supported as the outgroup, and within North America there
297 was moderate support for *E1*, *E2*, *E3*, and *E4* sharing a lineage, and strong support for *E5* and *E6*
298 being from a shared lineage more closely associated with *W1*, *W2*, and *W3* (Fig. 2; Fig. S1).
299 Interestingly, haplotypes *E1-E4* were five mutation steps from the other eastern haplotypes *E5*
300 and *E6* (Fig S1), a greater level of differentiation than observed between eastern and western
301 haplotypes (between two and seven mutational steps).

302

303 *NextRAD*

304 *Stacks* analyses yielded a matrix of 4918 loci for phylogenetic analysis. Relationships among
305 major regions resolved with high support (Fig. 3); the eastern sites were all highly differentiated
306 from the western and Russian sites (Maximum-likelihood bootstrap (ML) = 100 , Bayesian $P =$
307 1.00). There was also high support (Bayesian $P = 1.00$) for western sites being distinct from the
308 Russian site. The branch lengths between the western sites and the Great Lakes were 0.00112
309 substitutions/site compared to 0.00041 substitutions/site between the Great Lakes and Labrador
310 ($2.7X$ difference). The Russian group separated from the east by a branch length of 0.00421
311 substitutions/site, and the west by 0.00367 substitutions/site ($3.8X$ and $3.3X$ the distance from
312 each other). Within the western region, individual sites resolved with moderate support in the
313 maximum likelihood analysis (ML > 60), and with moderate to high support in the Bayesian
314 analysis ($P > 0.80$). In the east there was strong support separating Labrador from all the Great
315 Lakes sites (ML = 75 , Bayesian $P = 1.00$). Lake Nipigon and Lake Superior resolved as their

316 own group from the other Great Lakes (ML = 94, Bayesian P = 1.00), and there was moderate to
317 strong support for Lake Ontario being separate from Lake Huron, Lake Michigan, and Georgian
318 Bay (ML >50; Bayesian P = 1.00). Further moderate to high support delineated relationships
319 within Lake Huron, Lake Michigan, and Georgian Bay sites (ML > 60; Bayesian P = 1.00; Fig.
320 3).

321

322 Population Structure

323 *Microsatellites*

324 AMOVA indicated significant differentiation in pair-wise F_{ST} for 26 of the 28 between-
325 site comparisons (Table S2). There was non-significant differentiation between fish from Lake
326 Michigan Door County and those from Lake Michigan Milwaukee, as well as Lake Huron –
327 main basin and northern Georgian Bay. Within the Great Lakes F_{ST} values ranged from 0.020 to
328 0.108, whereas in the Alaska-Yukon region F_{ST} values ranged from 0.049 to 0.283. The nature of
329 the sampling distribution did not permit formal testing of isolation by distance; however, the
330 highest values of F_{ST} observed were for comparisons among the most geographically distant
331 populations. Simpson Lake in Yukon Territory and T-Bone Lake in Labrador had a F_{ST} value of
332 0.416, Simpson Lake and south Georgian Bay 0.321, and south Georgian Bay and Labrador
333 0.336.

334 In the west, southern Alaska and Yukon sites showed similar allelic richness (average
335 ranging from 5.0 to 5.1 alleles per locus after rarefaction; Table S2). Northern Alaska and
336 Simpson Lake had lower values indicating less genetic diversity (3.6 and 3.4 average alleles per
337 locus respectively). Measures of allelic richness were fairly uniform across the Great Lakes
338 region (ranging from 4.4 to 4.8 average alleles per locus), whereas T-Bone Lake in Labrador had

339 the lowest measure at 2.8 average alleles per locus. The total variance retained for the DAPC of
340 all sites, Alaska-Yukon, and the Great Lakes was 43.9%, 35.6%, and 69.3%, respectively (Fig.
341 4). The DAPC of all sites resolved the eastern and western regions well along the first axis, and
342 also separated Labrador from the Great Lakes along the second axis (Fig. 4A). The subsequent
343 DAPCs were for only the western sites (Fig. 4B) and only the Great Lakes sites (Fig. 4C) and
344 once again resolved obvious groups along the two principal axes. For the western sites DAPC
345 ellipses indicated three groups, with north Alaska and Simpson Lake separating distinctly from
346 south Alaska, Bennett Lake, and Little Salmon Lake. Much less separation was apparent between
347 south Alaska, Bennett Lake, and Little Salmon Lake. In the Great Lakes, there was little
348 evidence for clear separation among groups from different sites. However, fish from Lake
349 Ontario and southern Georgian Bay sites showed some evidence of being distinct from the other
350 locations sampled.

351 STRUCTURE analysis of microsatellites returned the same patterns observed in DAPC.
352 Analysis of all samples returned a most likely value of $K=2$, corresponding to eastern and
353 western regions (membership coefficient, $Q, >0.95$; Fig. S2A). Subsequent hierarchical runs of
354 STRUCTURE on the Alaska-Yukon region, and Great Lakes and Labrador regions returned
355 most likely values of $K=3$ in both cases. The three clusters identified in the west corresponded to
356 north Alaska, Simpson Lake in the Yukon (Q of 0.98 and 0.96, respectively), and the three other
357 western sites as one cluster ($Q>0.95$; Fig. S2B). The three clusters identified in the east
358 delineated T-Bone Lake in Labrador ($Q=0.98$), and two clusters in the Great Lakes. There was
359 weaker cluster assignment within the Great Lakes; Lake Huron, northern Georgian Bay, and
360 southern Georgian Bay all assigned most closely to cluster 1 ($Q=0.81, 0.81, \text{ and } 0.92$,
361 respectively), whereas Lake Nipigon and Lake Ontario assigned to cluster 2 ($Q=0.85 \text{ and } 0.91$,

362 respectively; see Fig. S2C). Lake Michigan and Lake Superior sites did not assign with high
363 confidence to either of the two Great Lakes clusters ($Q < 0.70$). Further hierarchical runs on
364 subgroups in both the east and west returned most likely values of $K=1$ indicating no further
365 population structure. BAYESASS analysis detected recent migration within the Great Lakes.
366 Connectivity with recent migration was detected for Lake Huron to Lake Superior, Lake Huron
367 to northern Georgian Bay and Lake Michigan, and migration from Lake Superior to Lake
368 Nipigon (Fig. 5).

369

370 *NextRAD*

371 After filtering SNPs for conformation to HWE and only retaining the first SNP from each
372 locus, an output of 8835 SNP loci was retained for population structure analyses. Measures of
373 genetic variation and F_{ST} values for the nextRAD loci showed similar relationships to those
374 identified using microsatellites (Table S4). The Great Lakes sites were less differentiated from
375 Labrador (F_{ST} ranging from 0.245 to 0.287) than western sites were (F_{ST} ranging from 0.535 to
376 0.595). Within the Great Lakes F_{ST} values ranged from 0.013 to 0.089, whereas in the Alaska-
377 Yukon region F_{ST} values ranged from 0.006 to 0.301. Samples from the Russian sites showed the
378 highest differentiation from all North American sites (F_{ST} ranging from 0.383 to 0.674);
379 however, pairwise F_{ST} comparisons for Russian samples (as well as those for Little Salmon Lake)
380 were often not significant after Bonferonni correction, likely due to low sample size ($n=3$).

381 The DAPC analyses of SNP loci retained only the loci present in all populations for each
382 analysis. The panels were reduced to 343, 801, and 1375 SNP loci for all-sites, Alaska-Yukon
383 sites, and the Great Lakes sites, respectively. The total variance retained for the DAPC of all
384 sites, Alaska-Yukon, and the Great Lakes was 43.1%, 19.5%, and 18.3%, respectively (Fig. 6).

385 The DAPC of all sites resolved eastern and western regions along the first axis and separated
386 Russian round whitefish from other western sites (Fig. 6A). Labrador round whitefish were
387 separated from Great Lakes individuals along the second axis. The subsequent DAPC on the five
388 Alaska-Yukon sites resolved three groups along the first axis, with Simpson Lake and North
389 Alaska being distinct from the other sites. Along the second axis south Alaska resolved into a
390 separate group from Bennett and Little Salmon Lakes (Fig. 6B). In the Great Lakes DAPC, Lake
391 Ontario round whitefish were separated from the other Great Lakes populations along the first
392 axis, while the other Great Lakes populations separated into three groups along the second axis
393 corresponding to northern Georgian Bay, Lake Huron plus south Georgian Bay and Lake
394 Michigan, and Lake Nipigon with Lake Superior (Fig. 6C).

395 The STRUCTURE analysis returned a most likely value of $K=2$, once again corresponding
396 to eastern ($Q=1.00$) and western sites ($Q>0.99$), with samples from Russia clustering more
397 closely with western samples ($Q=1.00$; Fig. S3A). Subsequent hierarchical runs returned $K=2$
398 separating Russia ($Q=1.00$) from all Alaska-Yukon sites ($Q=1.00$; Fig. S3B), and $K=3$ within
399 Alaska-Yukon corresponding to north Alaska ($Q=1.00$), Simpson Lake ($Q=1.00$), and the other
400 three western sites (Little Salmon Lake, Bennett Lake, and south Alaska; $Q>0.92$; Fig. S3D).
401 Within the east, STRUCTURE indicated a most likely value of $K=2$ corresponding to the Great
402 Lakes ($Q>0.97$) and Labrador ($Q=1.00$; Fig. S3C). Analysis within the Great Lakes indicated a
403 most likely value of $K=2$ with separation of Lake Ontario ($Q=0.99$) from the other Great Lakes
404 ($Q>0.96$; Fig. S3E).

405

406 **DISCUSSION**

407 Phylogeography of Round Whitefish

408 Eastern and western round whitefish have distinct lineages based on several genetic
409 marker types, supporting the hypothesis that they spread from separate glacial refugia. Separate
410 refugia are likely, based on the distinct mitochondrial haplotypes found in the eastern and
411 western portions of the range, as well as shared haplotypes between the Great Lakes and
412 Labrador (the east-west extent of the eastern range). In addition, analysis of microsatellite loci
413 showed clearly separate clusters with high assignment certainty for eastern and western groups,
414 and highly significant differentiation based on F_{ST} values. Perhaps most convincingly, the over
415 4900 SNP loci produced by nextRAD sequencing provided much more detection power and
416 resolved the eastern and western groups with higher clarity and confidence than either the
417 mtDNA or microsatellite analyses. Our results are broadly consistent with studies of other
418 postglacial fishes confirmed to originate in multiple glacial refugia, such as lake trout (Wilson
419 and Hebert 1998), pygmy whitefish (Blanchfield et al. 2014), rainbow trout (Tamkee et al.
420 2010), arctic grayling (Stamford and Taylor 2004), lake sturgeon (McDermid et al. 2011), and
421 lake whitefish (Mee et al. 2015). There is also some evidence of regional meristic differences
422 between eastern and western round whitefish (McPhail and Lindsey 1970; Scott and Crossman
423 1973), although its relevance is uncertain (Lindsey et al. 1981). We conclude that contemporary
424 round whitefish populations originated from at least two separate glacial refugia similar to other
425 northern fish species.

426 Our results suggest that the eastern and western round whitefish populations probably
427 stem from isolation in, and postglacial dispersal from, separate Wisconsinan refugia, but further
428 analysis is required to better support this idea. For instance, the incomplete lineage sorting of
429 mtDNA haplotypes we observed may be resolved with sequencing of additional loci. D-loop and
430 COI loci have been sufficiently informative in previous studies of regional relationships for

431 Nearctic freshwater fish species (e.g., April et al. 2013; Delling et al. 2014; Yamamoto et al.
432 2014; Overdyk et al. 2015), and occurred as regionally private haplotypes in round whitefish.
433 However, these markers were not as variable in round whitefish as in previous studies of other
434 North American fishes, and our mtDNA analyses alone were unable to resolve distinct regional
435 clades. Sequencing of additional loci, such as ATPase VI (Witt et al. 2011) may help resolve
436 mtDNA relationships, and provide more insight into the apparently deeper divergence of *E5* and
437 *E6* from the other eastern haplotypes. However, NGS techniques provide unprecedented capacity
438 for phylogenetic studies that is far beyond that of traditional techniques (Emerson et al. 2010;
439 Wagner et al. 2013; Bryson et al. 2016). Based on nextRAD NGS data in our study, round
440 whitefish from eastern North America (Lake Nipigon to Labrador) were strongly supported as a
441 distinct clade from western sites. Tree branch lengths within the east were substantially shorter
442 than between the east and west (2.7X difference), despite more than 1700 km separating the
443 Great Lakes and Labrador sites. These results are consistent with expectations for separate
444 glacial lineages in the eastern and western portions of the North American round whitefish range.
445 The wider application of NGS is still in its infancy, and requires continued development (Narum
446 et al. 2013; Schafer et al. 2015; Garner et al. 2016; Schafer et al. 2016); for example, the
447 application of a molecular clock to heterogeneous RAD loci is currently not commonplace.
448 However, given the superior resolution of our nextRAD analyses, we contend that further
449 characterization of round whitefish populations should continue to expand and apply NGS
450 techniques to better reveal informative patterns of genetic diversity.

451 The western lineage of round whitefish, also strongly suggested by combined genetic
452 marker data, most likely originated from the Beringian refugium (McPhail and Lindsey 1970;
453 Ross 2013). The Beringian lineage of round whitefish likely spread to the Mackenzie River

454 Basin via proglacial lakes that formed in northern and southern Yukon, similar to dispersal
455 routes used by other fish species that moved to the Peel and Liard River watersheds (Foote et al.
456 1992; Wilson and Hebert 1998; Stamford and Taylor 2004). Interestingly, the *W5* mtDNA
457 haplotype was only detected in fish from Great Bear Lake. Aquatic systems around Great Bear
458 Lake are a potential mixing zone for lake whitefish from the Nahanni, Beringian, and
459 Mississippian glacial refugia (Foote et al. 1992; Mee et al. 2015), which could explain the *W5*
460 haplotype in round whitefish only being detected at this site. Wider sampling of round whitefish
461 from other western watersheds is necessary to determine whether there are any previously
462 unidentified glacial lineages represented. Previous studies of postglacial fish have identified
463 Nahanni lineages within the lower Liard River system for other fish species (Foote et al. 1992;
464 Wilson and Hebert 1998; Stamford and Taylor 2004); however, round whitefish from this region
465 have not been previously characterized.

466 Wider genetic characterization of populations east of the Great Lakes is also required to
467 determine the refugial origins within the eastern sub-range. Past analyses of Great Lakes fishes
468 have identified the region as a likely suture zone for glacial lineages from the Mississippian and
469 Atlantic refugia (Mandrak and Crossman 1992; April et al. 2013). However, round whitefish
470 have not been genetically characterized sufficiently to determine biogeographical patterns of
471 diversity within the east. With the noted decline of round whitefish in the northeastern United
472 States (Steinhart 2007; Vermont Department of Fish and Wildlife 2015; Nugent and Carpenter
473 2015) and the Great Lakes (Ebener 2012), further characterizing genetic diversity, gene flow,
474 and the influence of glacial lineages will be important to preserving diversity of the species in the
475 eastern part of their range. The close relationship detected using nextRAD SNPs, and a common
476 mtDNA haplotype observed among sites (*E6*), could be due to common lineage from one

477 refugium or secondary contact between multiple eastern refugia, and should be further
478 investigated in order to characterize the patterns of existing genetic diversity. The presence of
479 multiple eastern refugia is hinted at in the divergence observed in eastern haplotypes (e.g.
480 haplotypes *E1-E4* are five mutations from haplotypes *E5* and *E6*; Fig. 2 and Fig. S1).
481 However, wider genetic characterization of round whitefish populations is necessary in
482 order to confirm whether this is due to separate glacial lineages.

483 Additional key areas of the round whitefish range should be assessed to better
484 characterize post-glacial migration and the range disjunction between the east and west. As the
485 easternmost known populations of the western sub-range, round whitefish from the Churchill and
486 Keewatin River Basins (unsampled in this study) should be genetically characterized to confirm
487 the hypothesized migration routes from the Beringian refugium following glacial retreat. The
488 analyses of western round whitefish in this study represent four of the most western watersheds
489 in North America, and only the most western reaches of the Mackenzie River Basin. The recent
490 discovery of populations of pygmy whitefish in an area that was supposed to represent the gap
491 between disjunct sub-ranges (Blanchfield et al. 2014) extended their known range approximately
492 320 km further east in northwestern Ontario, and highlights an example where apparent
493 geographic range disjunctions in other similar species have been mischaracterized. Genetic
494 characterization of round whitefish at the extents of the known western range (e.g. further to the
495 east) will inform the glacial lineages of populations in this region.

496 Elucidating the contributions of glacial Lake Agassiz to round whitefish distribution
497 should be investigated in order to understand the disjunction between eastern and western round
498 whitefish. Lake Agassiz was a glacial lake that variously extended from central Saskatchewan to
499 northern Ontario approximately 12,300 yr BP to 7,500 yr BP (Teller and Clayton 1983); it

500 facilitated the postglacial migration of many fish species across the continent, and secondary
501 contact of western and eastern refugial lineages (Stewart and Lindsey 1983). The apparent
502 absence of round whitefish from the Lake Agassiz region was likely due either to their failure to
503 enter Lake Agassiz, or their extirpation from the region secondarily. The presence of isolated
504 populations of round whitefish in the Severn River system of northern Ontario (Scott and
505 Crossman 1973; not shown in Fig. 1) indicates that round whitefish may have invaded Lake
506 Agassiz; however, they did not persist there despite seemingly suitable habitat (Stewart and
507 Lindsey 1983). Further genetic characterization of round whitefish populations proximate to this
508 region, including those isolated populations, will therefore also inform our understanding of the
509 contribution of Lake Agassiz to current round whitefish phylogeography.

510

511 Regional Population Structure

512 *Alaska and Yukon Region*

513 Round whitefish in the western sites showed greater regional differentiation than in the
514 Great Lakes. Within the Alaska and Yukon sites, we detected population structure and
515 subdivision consistent with the more fragmented hydrologic connectivity of the sampled
516 watersheds using both microsatellites and SNPs (Benke and Cushing 2005). Hydrologic
517 connectivity facilitates contemporary gene flow; proper understanding of this gene flow is
518 integral to informed management (Pringle 2003; Waples and Gaggiotti 2006). In the Alaska-
519 Yukon region, determining the subdivision of round whitefish populations further allows for the
520 determination of genetic stocks across the US-Canada border, informing management between
521 these jurisdictions (Poff et al. 2003; Ban et al. 2013). For the western sites, evidence from both
522 microsatellite and nextRAD SNP analyses indicated a connection between Nugashek River

523 Basin populations in southern Alaska, and Yukon River Basin populations. A similar level of
524 connectivity was not detected for the site north of the Brooks Range or the site in eastern Yukon,
525 which are both isolated from the Yukon River by mountain ranges. The subdivisions we have
526 identified support the notion that round whitefish populations are delineated on multiple spatial
527 scales in western North America that are not necessarily determined by simple geographic
528 distance, and that these populations can span the American-Canadian border.

529 We detected strong differentiation of Simpson Lake round whitefish, in eastern Yukon,
530 and those north of the Brooks Range in north Alaska, in both the microsatellite and nextRAD
531 SNP analyses. Simpson Lake is separated from the Yukon River Basin by the Cassiar and
532 Selwyn Mountains. Following glaciation, fish species migrated from the Beringian refugium east
533 to the Mackenzie River Basin and other northern watersheds. Migration was facilitated through
534 the formation of proglacial lakes in northern and southern Yukon that connected the Yukon
535 River Basin and the Mackenzie River Basin (Lindsey et al. 1981; Pielou 1991). These routes of
536 dispersal have been genetically characterized in several other species such as lake whitefish
537 (Foote et al. 1992), lake trout (Wilson and Hebert 1998), and Arctic grayling (Stamford and
538 Taylor 2004). The Simpson Lake population of round whitefish is isolated within the Liard
539 system from others further west in Alaska and Yukon Territory. The Liard system also harbours
540 round whitefish populations near their southern extent in the west, and near the Nahanni
541 refugium (Foote et al. 1992; Wilson and Hebert 1998; Stamford and Taylor 2004). The
542 assessment of Simpson Lake round whitefish relative to other Liard River populations should be
543 prioritized to determine its regional status, degree of isolation, and evolutionary history
544 following glaciation. Isolation may limit the possibility of dispersal among western populations,
545 including potential rescue by migrants in the event of major declines.

546

547 *The Laurentian Great Lakes Region*

548 Our analyses identified levels of subdivision and gene flow consistent with the
549 hydrological connectivity of the Great Lakes. We observed significant genetic differentiation of
550 round whitefish among Lake Michigan, Lake Superior, Lake Nipigon, Lake Ontario, Lake
551 Huron, and Georgian Bay using both microsatellites and SNPs. This subdivision was weak to
552 moderate between the upper Great Lakes (Lakes Superior, Michigan, and Huron), and
553 consistently higher for southern Georgian Bay and Lake Ontario. The analyses indicate
554 significant differences on the level of each lake, consistent with previous studies on species such
555 as lake whitefish (VanDeHey et al. 2009; Bernard et al. 2009; Stott et al. 2010), smallmouth bass
556 (*Micropterus dolomieu*; Stepien et al. 2007), walleye (*Sander vitreus*; Stepien et al. 2009;
557 Haponski and Stepien 2014), and yellow perch (*Perca flavacens*; Kocovsky et al. 2013; Sullivan
558 and Stepien 2014).

559 Our analysis of SNPs using DAPC and phylogenetic approaches supported closer links
560 between Lakes Superior and Nipigon, Lakes Michigan and Huron (including northern Georgian
561 Bay), and isolation of Lake Ontario from the rest of the Great Lakes. DAPC of SNP genotypes,
562 STRUCTURE analysis of SNPs, and tree analysis of nextRAD loci strongly supported that Lake
563 Ontario is isolated relative to the other Great Lakes. In addition, there was moderate support for
564 Lake Ontario being distinct from the other Great Lakes based on DAPC and STRUCTURE
565 analyses of microsatellite genotypes, and higher relative F_{ST} for both microsatellites and SNPs.
566 Lake Ontario is likely disjunct from the other Great Lakes due to Niagara Falls as a barrier to
567 gene flow, which is reinforced by the absence of round whitefish in Lake Erie, which as the
568 shallowest and warmest Great Lake, lacks suitable habitat and tends to support warm-water

569 species (Leach and Nepszy 1976). Phylogenetic analysis of nextRAD SNPs suggests a more
570 recent isolation than separate Wisconsinan glacial refugia because Lake Ontario fish formed a
571 clade within the other Great Lakes, rather than an outgroup, and showed relatively little sequence
572 divergence. Monitoring of round whitefish in each lake is necessary to ensure persistence of the
573 species within the Great Lakes, and to detect any further declines, such as those observed in Lake
574 Huron and Georgian Bay (Ebener 2012). Considering their genetic differentiation and isolation,
575 management plans for the Great Lakes should consider round whitefish populations in Lake
576 Ontario as a distinct genetic stock from those in the upper Great Lakes.

577 Analysis of contemporary migration using microsatellites further highlights the potential
578 importance of Lake Huron and Lake Ontario to the genetic diversity of round whitefish in the
579 Great Lakes. Lake Huron appears to contribute (or previously contributed) to round whitefish
580 populations in Lakes Michigan, Superior, and northern Georgian Bay, as well as indirectly to
581 Lake Nipigon through migrants from Lake Superior. The noted decline of round whitefish in
582 Lake Huron and northern Georgian Bay may therefore have a wider impact on populations
583 within the rest of the Great Lakes (Ebener 2012). Lake Ontario does not appear to exchange
584 migrants with the other Great Lakes, consistent with having disjunct populations. This finding
585 further supports that Lake Ontario should be considered separately as an important and distinct
586 unit for conserving round whitefish genetic diversity in the Great Lakes. The recent declines of
587 round whitefish in Lake Huron and Georgian Bay should be considered with the additional
588 understanding that they may supplement or contribute migrants to the other Great Lakes.

589

590 Russian Round Whitefish

591 Round whitefish from Russia were highly differentiated from those in North America.
592 COI sequences for North American round whitefish were >99.5% similar to others already on
593 BOLD; however, Russian individuals returned a match of only 98.25%. This difference of 1.75%
594 is substantially higher than the average intraspecific difference of 0.73% (SE 0.053) for other
595 North American freshwater fish (April et al. 2011). NextRAD tree analyses also indicated strong
596 differentiation of the Russian site from North American populations with branch lengths >3.3X
597 longer than the differences observed within North American sites. These two analyses support
598 that Russian round whitefish may warrant designation as a separate Evolutionarily Significant
599 Unit (ESU; Ryder 1986; Moritz 1994) or species, especially considering the yet-uncharacterized
600 genetic diversity of populations further west in Russia. Round whitefish are the only species
601 from the genus *Prosopium* found outside of North America, and have likely been isolated from
602 North American populations since at least the Wisconsinan glaciations (McPhail and Lindsey
603 1970). Further investigation into the Eurasian populations of round whitefish will improve
604 understanding of coregonine postglacial migration and contemporary global connectivity.

605

606 **GENERAL CONCLUSIONS**

607 We conclude that round whitefish have important genetic population subdivision across
608 their range at multiple geographic and temporal scales. The major mechanisms driving genetic
609 population structure are most likely: (a) origins in at least two separate glacial refugia
610 representing current eastern and western parts of the round whitefish range; and (b) barriers to
611 gene flow presented by the hydrologic connectivity of currently occupied aquatic systems. Our
612 study provides the first context for understanding round whitefish genetic diversity across North
613 America using microsatellites and mtDNA loci, which can be compared to past studies of

614 postglacial fishes. In addition, we provide the first examination of the genetic diversity of the
615 round whitefish using a large number of SNPs. Eastern and western glacial lineages were
616 suggested in all of our analyses; however, wider strategic sampling of round whitefish
617 populations across North America, as well as additional genetic characterization (e.g., additional
618 mtDNA loci), is necessary to resolve specific hypotheses about their refugial origins and
619 postglacial migration. We have identified regions where additional focused studies of round
620 whitefish populations will help resolve these relationships. Finally, we conclude that Lake
621 Ontario and Lake Huron are key populations for long-term management of genetic diversity and
622 stock structure in the Great Lakes region. Lake Ontario round whitefish are differentiated from
623 those in the upper Great Lakes, and Lake Huron may be an important feeder lake for others in
624 the system.

625

626 **DATA AVAILABILITY**

627 Mitochondrial haplotype sequences are available on Genbank under Accession numbers
628 MF278536-MF278561. NextRAD FASTQ sequences, microsatellite genotypes, and associated
629 sample ID files can be accessed on dryad at: doi:10.5061/dryad.2pk34.

630

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- 937

938 **Table 1:** Source information for round whitefish samples from 16 sites across North America and
 939 one site in eastern Russia. Information includes site name, GPS coordinates, number of total
 940 samples (N), the year collected, the number of individuals included in mtDNA analyses
 941 (mtDNA), microsatellite analyses (microsatellites), nextRAD analyses (nextRAD), and
 942 additional notes on source including catalog information for museum specimens.

Location	GPS coordinates	N	Year	MtDNA	Microsatellites	NextRAD	Source
North Alaska (N-AK)	N70°00'43", W153°09'11"	8	2014	8	8	8	University of Alaska Museum Ichthyology collection – catalog number 8068
South Alaska (S-AK)	N59°19'16", W156°19'07" N60°03'23", W156°33'50"	16	2014	8	15	16	University of Alaska Museum Ichthyology collection – catalog number 9114 & 9136
Yukon – Bennett Lake (YK-Ben)	N60°04'20", W134°52'26"	20	2014	9	18	8	Environment Yukon – Jul 2014
Yukon – Little Salmon Lake (YK-LSa)	N62°11'10", W134°42'05"	20	2015	3	19	3	Environment Yukon – Jul-Aug 2015
Yukon – Simpson Lake (YK-Sim)	N60°43'28", W129°14'35"	20	2014	8	19	10	Environment Yukon – Jun 2014
Lake Huron (LHU)	N44°23'56", W81°31'51" N44°22'31", W81°33'21" N44°21'22", W81°35'10" N44°20'25", W81°35'32" N44°17'51", W81°36'33" N44°16'54", W81°36'18" N44°15'44", W81°36'52" N44°23'17", W81°31'57"	61	2010-2012	9	60	27	This study
Northern Georgian Bay (NGB)	N45°56'58", W81°30'10"	20	2014	8	19	11	This study
Southern Georgian Bay (SGB)	N44°34'19", W80°04'41" N44°31'00", W80°06'18"	27	2014	7	27	9	This study
Lake Ontario (LON)	N43°51'53", W78°44'10" N43°48'08", W79°03'15"	62	2012, 2014	7	60	32	7 sites within 4 km of this point 6 sites within 1 km of this point This study Dec 2012 and Ontario Ministry of Natural Resources and Forestry – Nov-Dec 2014
Lake Michigan – Milwaukee (LMI-M)	N42°59'11", W87°49'25"	36	2015	8	36	16	Wisconsin Department of Natural Resources – Jun 2015
Lake Michigan - Door County (LMI-D)	N45°06'20", W87°02'46" N45°00'18", W87°07'00" N44°54'08", W87°11'46"	30	2015	0	30	0	Wisconsin Department of Natural Resources – Nov 2015
Lake Nipigon (LNI)	N50°00'24", W88°54'29" N49°53'24", W88°57'57"	15	2015	8	14	15	Ontario Ministry of Natural Resources and Forestry – Sept 2015
Lake Superior (LSU)	N48°23'45", W89°02'02" N48°50'05", W88°06'19" N48°44'36", W86°28'53"	41	2015	12	40	16	Sites within 120 km of each other. Tested for differentiation between sites before being combined; Ontario Ministry of Natural Resources and Forestry – Sept 2015

Labrador – T-Bone Lake (LAB)	N56°09'10", W63°56'21"	25	2010	9	25	16	Dalhousie University – from T-Bone Lake. The system is described in McCracken et al. 2013
Russia – Taniorer River (RUS)	N66°09'17", E175°45'44"	3	2005	3	0	3	Swedish Museum of Natural History Ichthyology collection – catalog numbers NRM52850, NRM57539, NRM57540
Northwest Territories – Rat River (NTRR)	N67°44'57", W136°17'10" N68°17'56", W136°21'20"	5	2013	4	0	0	Department of Fisheries and Oceans
Great Bear Lake (GBL)	N65°08'08", W123°14'40"	10	2012	9	0	0	Department of Fisheries and Oceans

Draft

943 **Table 2:** Prevalence of 13 mtDNA haplotypes for n = 124 round whitefish from 15 sites across North America and one site in eastern
 944 Russia. Site abbreviations NAK = north Alaska, SAK = south Alaska, YKBen = Bennett Lake, Yukon, YKLSa = Little Salmon Lake,
 945 Yukon, YKSim = Simpson Lake, Yukon, LHU = Lake Huron main basin, NGB = north Georgian Bay, SGB = south Georgian Bay,
 946 LON = Lake Ontario, LMI-M = Lake Michigan – Milwaukee, LMI-D = Lake Michigan – Door County, LNI = Lake Nipigon, LSU =
 947 Lake Superior, LAB = Labrador.

948

Haplotype	WEST							EAST									
	N	RUS	NAK	SAK	YK-Ben	YK-LSa	YK-Sim	NTRR	GBL	LHU	NGB	SGB	LON	LMI	LNI	LSU	LAB
<i>RWF-E1</i>	2	2	.	.	.
<i>RWF-E2</i>	2	2
<i>RWF-E3</i>	30	3	7	6	.	5	2	7	.
<i>RWF-E4</i>	2	1	1
<i>RWF-E5</i>	1	1
<i>RWF-E6</i>	31	3	.	.	7	1	6	5	9
<i>RWF-W1</i>	10	.	7	.	1	2
<i>RWF-W2</i>	19	.	.	7	.	.	8	2	2
<i>RWF-W3</i>	14	.	1	1	7	5
<i>RWF-W4</i>	3	.	.	.	1	.	.	2
<i>RWF-W5</i>	7	7
<i>RWF-R1</i>	1	1
<i>RWF-R2</i>	2	2

949

950

951 **Figure 1:** Map of round whitefish range (dark grey; Global Biodiversity Information
952 Facility data) and sampling sites in A: North America, B: Alaska and Yukon regions, C:
953 Great Lakes region, and D: Labrador. Shaded boxes in A indicate areas enlarged in B, C,
954 and D. Site abbreviations NAK = north Alaska, SAK = south Alaska, YKBen = Bennett
955 Lake, Yukon, YKLSa = Little Salmon Lake, Yukon, YKSim = Simpson Lake, Yukon,
956 LHU = Lake Huron main basin, NGB = north Georgian Bay, SGB = south Georgian Bay,
957 LON = Lake Ontario, LMI-M = Lake Michigan – Milwaukee, LMI-D = Lake Michigan
958 – Door County, LNI = Lake Nipigon, LSU = Lake Superior, LAB = Labrador. Maps
959 were generated in R using the ‘maps’ package (Brownrigg et al. 2016).

960

961 **Figure 2:** Phylogenetic tree for concatenated D-loop-COI sequences (354 and 578
962 nucleotides) for round whitefish from 15 sites in North America and one site in eastern
963 Russia. *E1-E6* = eastern haplotypes, *W1-W5* = western haplotypes, *R1-R2* = Russian
964 haplotypes. Node supports shown for Maximum-Likelihood bootstrap (>50) and
965 Bayesian values (>0.90). Scale bar showing number of substitutions per base pair.

966

967 **Figure 3:** Phylogenetic tree for 4918 loci from 13 sites in North America and one site in
968 eastern Russia. Node supports shown for Maximum-Likelihood bootstrap values (>50)
969 and Bayesian support (>0.90). NAK = north Alaska, SAK = south Alaska, YKBen =
970 Bennett Lake, Yukon, YKLSa = Little Salmon Lake, Yukon, YKSim = Simpson Lake,
971 Yukon, LHU = Lake Huron main basin, NGB = north Georgian Bay, SGB = south
972 Georgian Bay, LON = Lake Ontario, LMI = Lake Michigan - Milwaukee, LNI = Lake

973 Nipigon, LSU = Lake Superior, LAB = Labrador, RUS = Russia. Scale bar showing
974 number of substitutions per base pair.

975

976 **Figure 4:** Discriminant analysis of principal components for nine round whitefish
977 microsatellite loci within A) 13 sites across North America B) Five sites in Alaska and
978 Yukon regions and C) Eight sites in the Laurentian Great Lakes region. NAK = north
979 Alaska, SAK = south Alaska, YKBen = Bennett Lake, Yukon, YKLSa = Little Salmon
980 Lake, Yukon, YKSim = Simpson Lake, Yukon, LHU = Lake Huron main basin, NGB =
981 north Georgian Bay, SGB = south Georgian Bay, LON = Lake Ontario, LMI-M = Lake
982 Michigan Milwaukee, LMI=D = Lake Michigan – Door County, LNI = Lake Nipigon,
983 LSU = Lake Superior, LAB = Labrador.

984

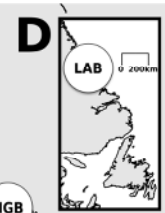
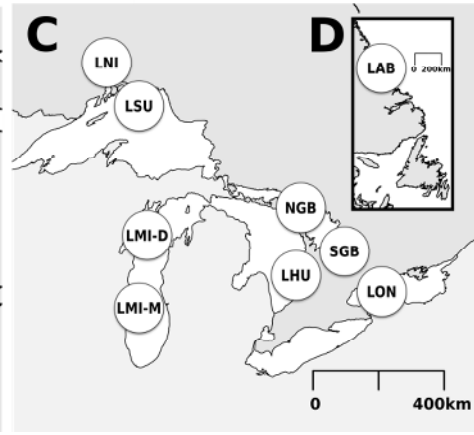
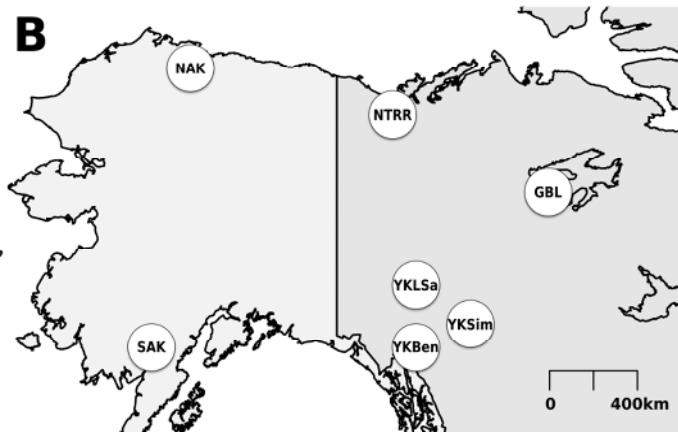
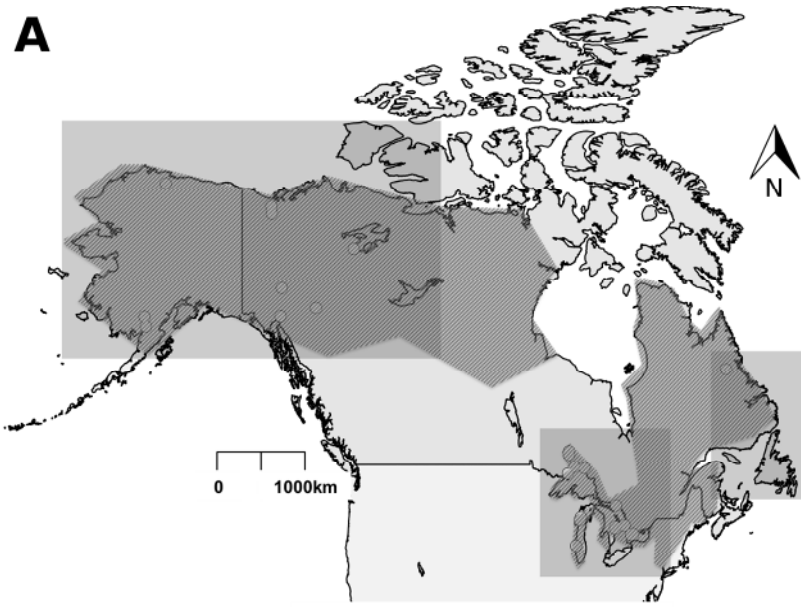
985 **Figure 5:** Contemporary migrations rates (proportion of the population that has migrated
986 per generation in the direction of the arrow with 95% confidence interval) as determined
987 using the program BAYESASS for seven sites in the Laurentian Great Lakes region.
988 Values are shown only for migration rates with 95% confidence not overlapping with
989 zero. LHU = Lake Huron main basin, NGB = north Georgian Bay, SGB = south
990 Georgian Bay, LON = Lake Ontario, LMI = Lake Michigan, LNI = Lake Nipigon, LSU =
991 Lake Superior. Map was generated in R using the ‘maps’ package (Brownrigg et al.
992 2016).

993

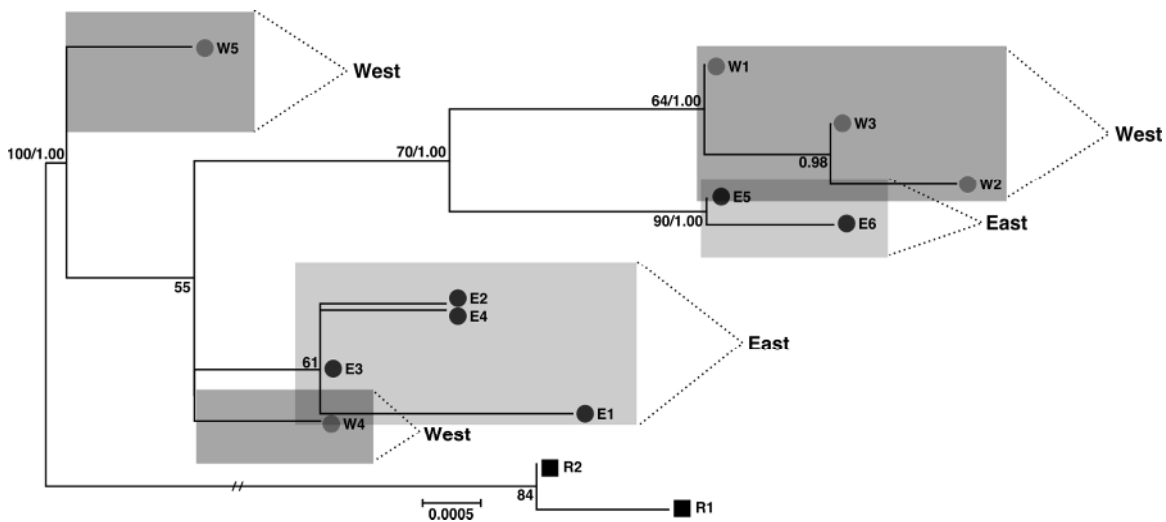
994 **Figure 6:** Discriminant analysis of principal components for 343, 801, and 1375 round
995 whitefish SNP loci within A) 13 sites across North America and one in Russia B) Five

996 sites in Alaska and Yukon regions and C) Seven sites in the Laurentian Great Lakes
997 region. NAK = north Alaska, SAK = south Alaska, YKBen = Bennett Lake, Yukon,
998 YKLSa = Little Salmon Lake, Yukon, YKSim = Simpson Lake, Yukon, LHU = Lake
999 Huron main basin, NGB = north Georgian Bay, SGB = south Georgian Bay, LON = Lake
1000 Ontario, LMI = Lake Michigan, LNI = Lake Nipigon, LSU = Lake Superior, LAB =
1001 Labrador, RUS = Russia.
1002
1003

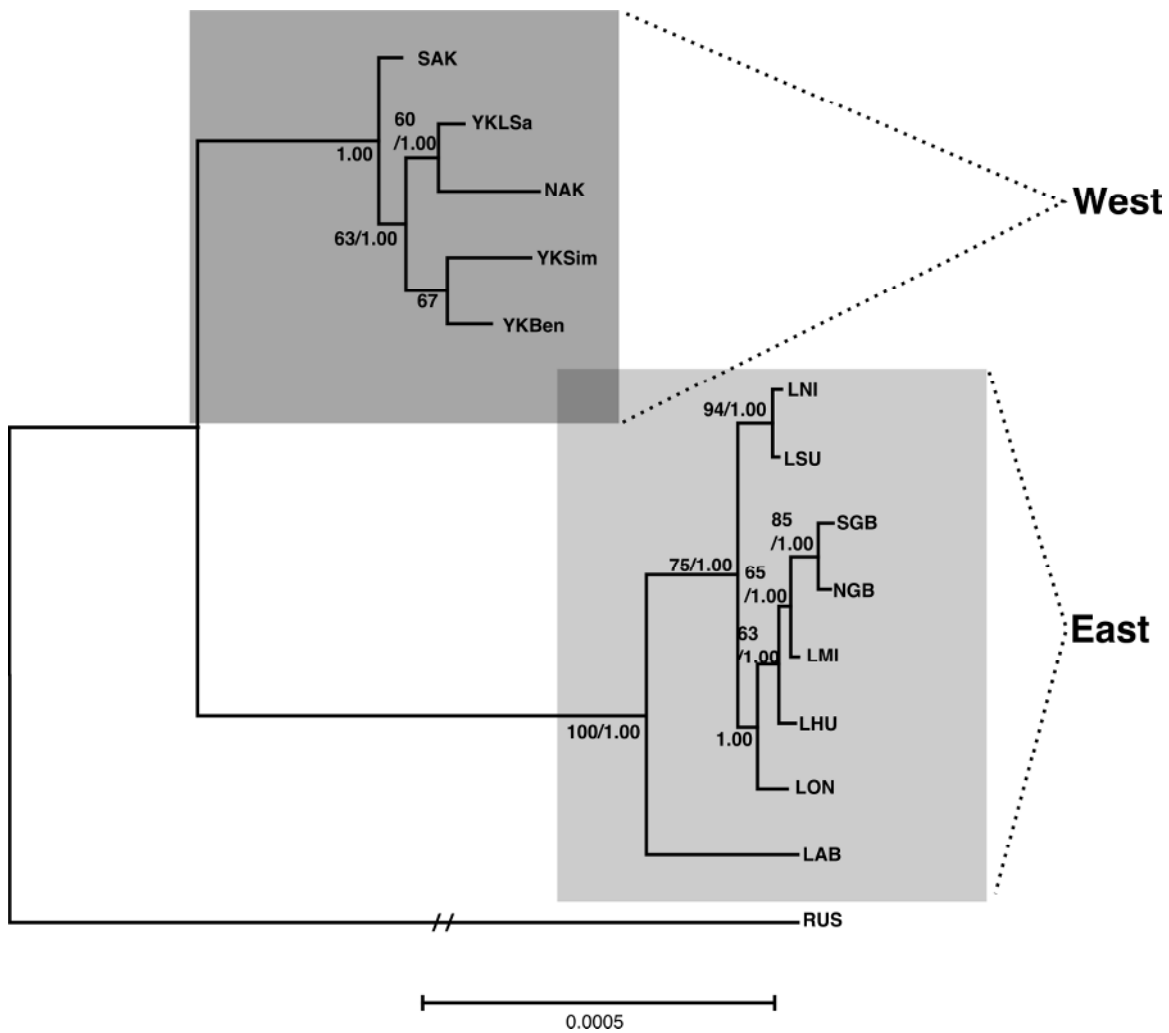
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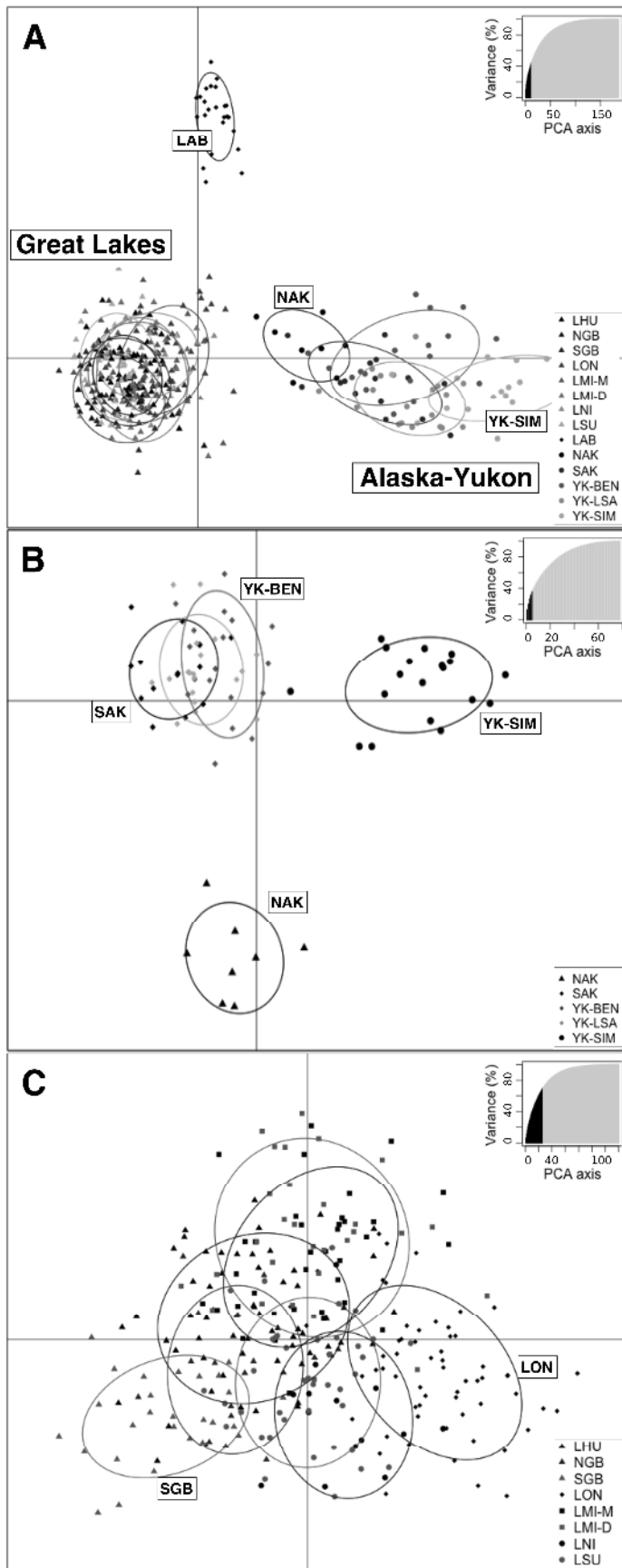
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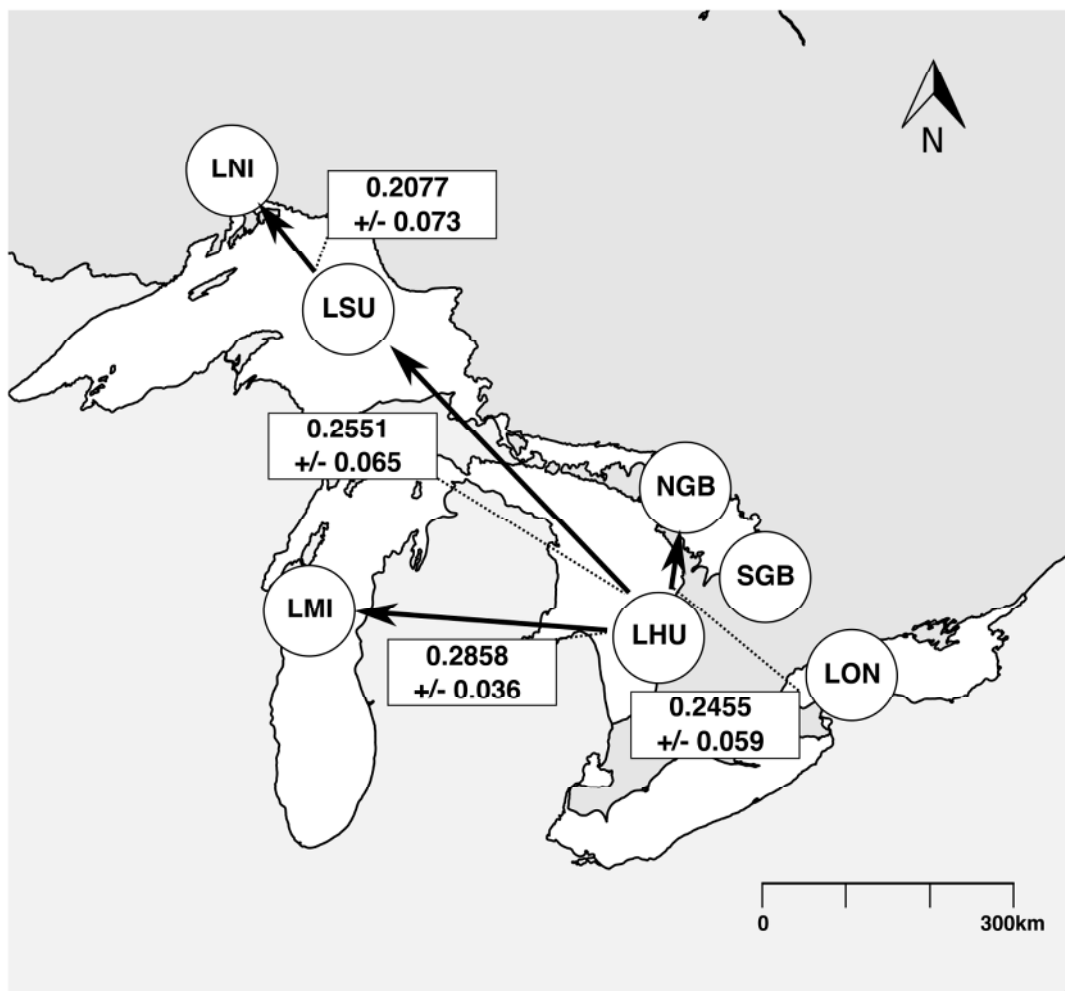
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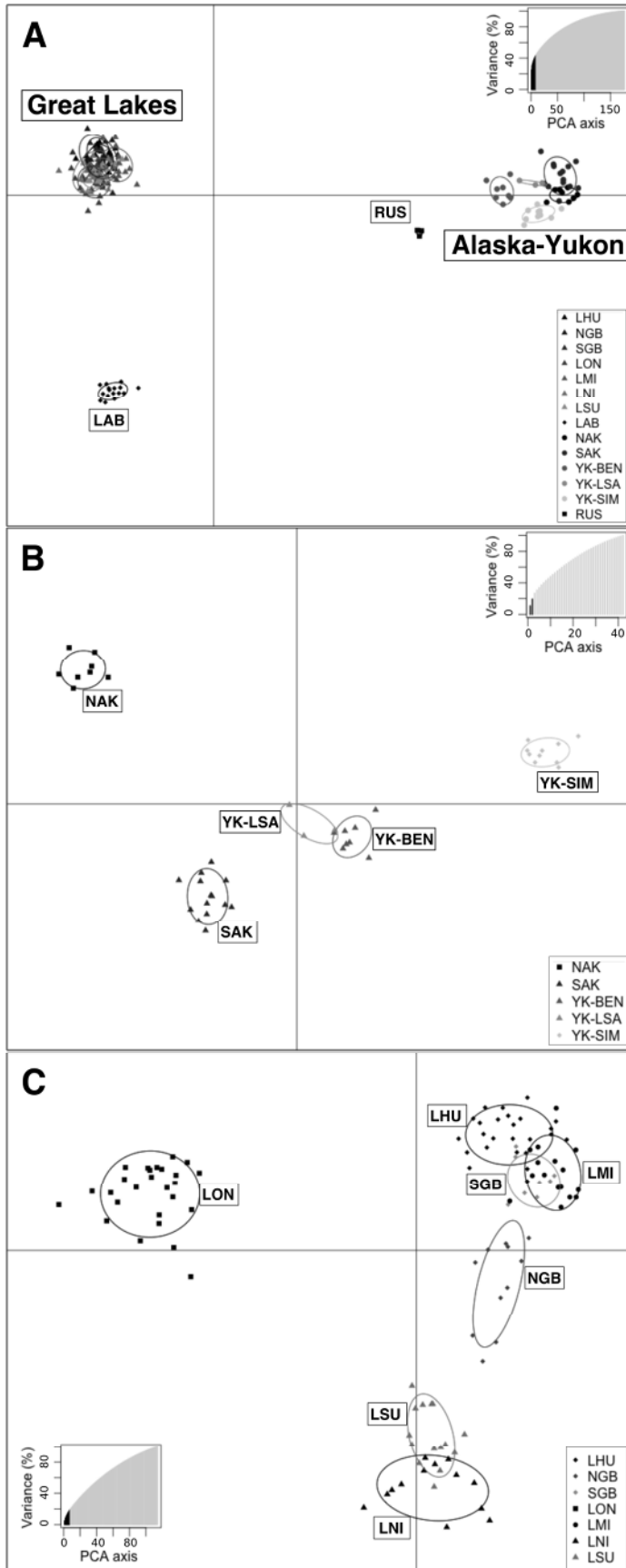
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