

1 **Genetic responses to carbon and nitrogen availability in *Anabaena***

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21 **Originality-significance statement**

22 Heterocyst-forming cyanobacteria such as *Anabaena* can acclimate to thrive in
23 environments with different sources of (mainly) inorganic nitrogen and different levels
24 of inorganic carbon. The responses to C and N supplies are orchestrated by global (NtcA
25 and PacR) and pathway-specific transcription factors, and they involve regulation not
26 only of assimilatory pathways but also of other physiological processes such as defense
27 against oxidative damage. These regulatory responses are essential for the cyanobacterial
28 mode of living as oxygenic phototrophs.

29

30 **Summary**

31

32 Heterocyst-forming cyanobacteria are filamentous organisms that perform oxygenic
33 photosynthesis and CO₂ fixation in vegetative cells and nitrogen fixation in heterocysts,
34 which are formed under deprivation of combined nitrogen. These organisms can
35 acclimate to use different sources of nitrogen and respond to different levels of CO₂.
36 Following work mainly done with the best studied heterocyst-forming cyanobacterium,
37 *Anabaena*, here we summarize the mechanisms of assimilation of ammonium, nitrate,
38 urea and N₂, the latter involving heterocyst differentiation, and describe aspects of CO₂
39 assimilation that involves a carbon concentration mechanism. These processes are
40 subjected to regulation establishing a hierarchy in the assimilation of nitrogen sources –
41 with preference for the most reduced nitrogen forms– and a dependence on sufficient
42 carbon. This regulation largely takes place at the level of gene expression and is exerted
43 by a variety of transcription factors, including global and pathway-specific transcriptional
44 regulators. NtcA is a CRP-family protein that adjusts global gene expression in response
45 to the C-to-N balance in the cells, and PacR is a LysR-family transcriptional regulator
46 (LTTR) that extensively acclimates the cells to oxygenic phototrophy. A cyanobacterial-
47 specific transcription factor, HetR, is involved in heterocyst differentiation, and other
48 LTTR factors are specifically involved in nitrate and CO₂ assimilation.

49

50 **Introduction**

51

52 Cyanobacteria are a phylogenetically coherent group of bacteria characterized by their
53 ability to perform oxygenic photosynthesis (Stanier and Cohen-Bazire, 1977; Giovannoni
54 *et al.*, 1988). Nonetheless, some cyanobacterial non-photosynthetic mutants have evolved
55 as obligate endosymbionts (Zehr *et al.*, 2017). Although non-photosynthetic bacteria such
56 as Melainabacteria and Sericytochromatia have been included into the phylum
57 “cyanobacteria” (Soo *et al.*, 2017), in our opinion they constitute different groups of
58 organisms (see also Pakrasi and Zehr, 2017). Therefore, photoautotrophy is the
59 characteristic mode of growth of cyanobacteria, although numerous strains in this phylum
60 can use sugars supporting either chemoheterotrophic or photoheterotrophic growth
61 (Rippka *et al.*, 1979). Regarding nitrogen (N) sources, cyanobacteria are generally able
62 to assimilate with high affinity inorganic N compounds and a simple organic compound
63 such as urea, and many strains can fix atmospheric nitrogen (N₂) (Flores and Herrero,
64 2005). These properties make many cyanobacteria able to grow in oligotrophic
65 environments. Nonetheless, the genomes of cyanobacteria encode numerous transporters
66 for more complex organic compounds including amino acids, which widens the trophic
67 options of these organisms and is consistent with the idea that microbes are prepared to
68 extensively take up substrates that become available (Hobbie and Hobbie, 2013).

69 The enzyme that catalyzes N₂ fixation, nitrogenase, is very sensitive to oxygen,
70 making protection of nitrogenase against oxygen an important physiological issue in
71 cyanobacteria (Gallon, 1981). Many strains separate nitrogen fixation and oxygenic
72 photosynthesis in time, restricting the former to the dark period in diel cycles, and many
73 filamentous cyanobacteria separate the two processes spatially restricting N₂ fixation to
74 specialized cells (Fay, 1992). This is particularly the case of heterocyst-forming strains,
75 in which N₂ fixation under oxic conditions is restricted to differentiated cells called
76 heterocysts. The heterocyst-forming cyanobacteria are a monophyletic group of
77 organisms (Giovannoni *et al.*, 1988; Shih *et al.*, 2013), implying that the heterocyst
78 evolved only once, likely over 2,000 million years ago (Tomitani *et al.*, 2006). There are
79 two major groups of heterocyst-forming cyanobacteria, those in which cell division
80 always takes place perpendicular to the long axis of the filament (Section IV
81 cyanobacteria or Nostocales) and those in which cell division can take place also in a
82 different angle producing branched filaments (Section V cyanobacteria or
83 Stigonematales) (Rippka *et al.*, 1979; Castenholz, 2001). The existence of these two

84 groups of heterocyst-forming cyanobacteria is supported by phylogenetic analysis (Shih
85 *et al.*, 2013; Schirromeister *et al.*, 2015; Mareš, 2017; Ponce-Toledo *et al.*, 2017). The
86 heterocyst-forming strains for which most physiological and molecular information is
87 available belong to the genera *Anabaena* and *Nostoc*, which are included in Section IV
88 and in some taxonomic classifications are distinguished by the ability to form hormogonia
89 (small motile filaments frequently made of small cells) in *Nostoc* spp. but not in
90 *Anabaena* spp. (Rippka *et al.*, 1979). Strains ascribed to these genera are however
91 interspersed in the phylogenetic tree of Section IV cyanobacteria (Shih *et al.*, 2013;
92 Schirromeister *et al.*, 2015). Studying a few model strains has permitted to advance in the
93 understanding of some basic aspects of this group of organisms, but it should be noted
94 that some traits may be strain-specific. The strain for which more molecular information
95 is available is *Anabaena* sp. PCC 7120 (hereafter, *Anabaena*). This strain is sometimes
96 referred to as *Nostoc*, but it does not form evident hormogonia and behaves as a
97 planktonic organism, which in the botanical literature is associated to *Anabaena* spp.
98 rather than to *Nostoc* spp.

99 Here we will review the genetic responses of Section IV cyanobacteria –mainly
100 *Anabaena*– to the availability of sources of the major bioelements carbon and nitrogen.
101 Whereas the most abundant source of C is atmospheric CO₂, the levels of CO₂ that reach
102 a cyanobacterial filament may vary depending on physicochemical parameters such as
103 temperature-dependent solubility of CO₂ in water or pH-dependent accumulation of
104 bicarbonate and carbonate. (Unfortunately, the regulatory effect of sugars that can be
105 assimilated by many Section IV cyanobacteria [Rippka *et al.*, 1979] has not been
106 generally investigated.) Nitrogen, on the other hand, can be assimilated in several
107 different chemical forms. Whereas N₂ will be generally available as a major component
108 of the atmosphere, its fixation is restricted to conditions in which no sufficient combined
109 N is available. Ammonium, nitrate, nitrite and urea are common N sources that may be
110 found in natural habitats in a range of concentrations up to micromolar, and the
111 cyanobacteria respond to changes in the concentrations of these nutrients through
112 mechanisms that will be described below. (Lab experiments frequently require the use of
113 millimolar concentrations of nutrients, but the uncovered regulatory mechanisms appear
114 to be relevant under environmental conditions.) Finally, as we shall discuss, the
115 assimilation of C and N are linked with each other, and the relative abundance or scarcity
116 of one element is perceived by the cells depending on the availability of the other element.
117

118 **Ammonium assimilation and NtcA-dependent N control**

119

120 Cyanobacteria readily utilize ammonium, which is a preferred N source. Thus, when
121 ammonium is available in sufficient amounts, it determines repression of genes encoding
122 proteins for the assimilation of alternative N sources, which are expressed upon
123 ammonium deprivation. Figure 1 presents a scheme of the pathways for assimilation of
124 combined N and inorganic C in *Anabaena*.

125

126 Assimilation of ammonium

127

128 Ammonium ions are easily assimilated because ammonium is directly incorporated into
129 carbon skeletons to produce organic N compounds. Solutions of ammonium always
130 contain ammonia (pK_a $[\text{NH}_4^+/\text{NH}_3] = 9.3$), and ammonia readily permeate biological
131 membranes. Nonetheless, ammonium translocators of the Amt family that act as
132 ammonium scavengers (Wacker *et al.* 2014) are widely distributed in bacteria, permitting
133 the incorporation into the cells of ammonium that may be found at submicromolar
134 concentrations in the environment. The Amt proteins form trimers (Khademi *et al.*, 2004),
135 and the *Anabaena* genome contains three *amt* genes clustered together raising the
136 possibility that their encoded products form heterotrimers (Paz-Yepes *et al.*, 2008).
137 However, although the transcription of the three genes is similarly increased in response
138 to ammonium withdrawal, they are expressed at disparate levels, with *amt1* being
139 expressed at the highest levels (ca. 10:100:1 for *amt4*, *amt1*, *amtB*, respectively; Flaherty
140 *et al.*, 2011). This is consistent with the known role of Amt1 as the main ammonium
141 transporter in cyanobacteria (Montesinos *et al.*, 1998). It is possible that the Amt trimer
142 of *Anabaena* is mainly composed of Amt1 with small amounts of Amt4 and AmtB
143 modulating its activity.

144

145 Ammonium is incorporated into organic compounds by the glutamine synthetase-
146 glutamate synthase pathway, whose operation was elegantly demonstrated by Wolk *et al.*
147 (1976) following the assimilation of ^{13}N -labeled N_2 in *Anabaena cylindrica*. In this
148 pathway, ammonium is incorporated into glutamate producing glutamine in an ATP-
149 dependent reaction catalyzed by glutamine synthetase (GS), and the amido group of
150 glutamine is then transferred to 2-oxoglutarate (2-OG) by glutamine:oxoglutarate amido
151 transferase (GOGAT) in a reaction that requires reducing power. Most cyanobacterial
GOGAT enzymes, including those from *Anabaena* strains, use reduced ferredoxin as an

152 electron donor (Martín-Figueroa *et al.*, 2000), thus linking the assimilation of ammonium
153 to photosynthesis.

154 In whole filaments of *Anabaena*, whereas the expression of the *glnA* gene
155 encoding GS is increased in response to ammonium withdrawal, expression of *glsF*
156 encoding GOGAT remains unaltered (Martín-Figueroa *et al.*, 2000). However, *glsF* is
157 repressed in the heterocysts, in which expression of *glnA* is increased as compared to that
158 in the vegetative cells. Whereas, to the best of our knowledge, the molecular basis of *glsF*
159 repression has not been investigated, expression of *glnA* in *Anabaena* has been shown to
160 take place from a complex promoter region (Tumer *et al.*, 1983), in which one promoter
161 activated by the transcription factor NtcA (see below) is specifically responsible for
162 expression in the heterocysts (Valladares *et al.*, 2004). Cyanobacterial GS is further
163 regulated at the post-transcriptional level by specific proteinaceous inactivating factors
164 (García-Domínguez *et al.*, 1999). In *Anabaena*, the 67-amino acid residue inactivating
165 factor IF7A is encoded by the *gifA* gene, which is repressed by NtcA under N-limiting
166 conditions and in the heterocysts, thus ensuring that GS is not inactivated under N
167 deficiency or in the N₂-fixing cells (Galmozzi *et al.*, 2010).

168

169 NtcA-dependent N control

170

171 The negative regulation of pathways for the assimilation of alternative N sources exerted
172 by ammonium takes place mainly at the level of gene expression. In *Anabaena*, studied
173 pathways that are subjected to repression by ammonium include the nitrate assimilation
174 system (nitrate and nitrite transport and intracellular reduction to ammonium), urea
175 uptake, ammonium translocation and incorporation into glutamine by GS, and N₂ fixation
176 by nitrogenase (including heterocyst differentiation; see below). At the molecular level,
177 pathway regulation is mainly exerted through transcriptional regulation exerted by the
178 NtcA protein.

179 NtcA is a transcriptional regulator of universal distribution in the cyanobacterial
180 phylum that orchestrates the circuits of N control. It belongs to the CRP (cAMP receptor
181 protein) family of transcriptional regulators and, similar to other members of this family,
182 consists of an effector-binding N-terminal domain with a β -roll fold, a long dimerization
183 helix, and a C-terminal helix-turn-helix motif for interaction with DNA (Herrero *et al.*,
184 2001; Zhao *et al.*, 2010). NtcA binds as a dimer to palindromic DNA sites including the
185 sequence signature GTAN₈TAC (Luque *et al.*, 1994; Picossi *et al.*, 2014). NtcA binding

186 to the promoter region of multiple genes related to N assimilation has indeed been
187 detected (e.g., Vázquez-Bermúdez *et al.*, 2002; Valladares *et al.*, 2008). Besides detailed
188 studies of the regulation by NtcA of a number of genes involved in N assimilation and
189 heterocyst differentiation, a global study of NtcA targets by chromatin
190 immunoprecipitation in *Anabaena* three hours after N step-down revealed more than
191 2,000 direct targets ascribed to 2,153 genes. The NtcA targets were located in the
192 chromosome and the six plasmids of *Anabaena*, and included genes related to N
193 scavenging and assimilation, but also genes ascribed to other diverse functional
194 categories, among them genes with a predicted regulatory function, suggesting cascades
195 of NtcA-dependent regulation (Picossi *et al.*, 2014). Thus, NtcA is indeed a global
196 regulator of cyanobacterial physiology.

197 NtcA can act as a transcriptional activator or repressor (Luque and Forchammer,
198 2008). In the most commonly found NtcA-activated promoter, an NtcA-binding site is
199 found upstream from, separated by ca. 22 nucleotides, a -10 promoter determinant, thus
200 conforming to the Class II bacterial-activated promoter. NtcA senses the cellular C-to-N
201 balance by binding 2-OG (Vázquez-Bermúdez *et al.*, 2002; Zhao *et al.*, 2010). Binding
202 of 2-OG to NtcA has a positive, although variable, effect on NtcA binding to DNA.
203 However, at least *in vitro*, NtcA binding to DNA in the absence of 2-OG can take place.
204 (This contrasts with the requirement of the effector, cAMP, for CRP interaction with
205 DNA at bacterial CRP-regulated promoters.) Beyond this effect on binding to DNA, NtcA
206 and 2-OG are stringently required for the isomerization to the transcriptional Open
207 Complex and, thus, for transcript production at Class II NtcA-activated promoters as
208 studied *in vitro* using the cloned *Anabaena* RNA polymerase (Valladares *et al.*, 2008).

209 Additionally, other types of NtcA-activated promoters have been identified,
210 including Class I promoters, in which an NtcA-binding site is located further upstream
211 from the -10 determinant, and promoters with degenerated binding sites that involve NtcA
212 co-activators. The relative affinity of NtcA for the different promoters, together with the
213 availability of co-activators, are important determinants of the order of promoter
214 activation upon ammonium withdrawal, conceivably playing an important role in the
215 hierarchy of assimilation of alternative nitrogen sources.

216

217 **Assimilation of combined N sources alternative to ammonium**

218

219 Other than ammonium, cyanobacteria preferentially utilize inorganic N sources, being
220 nitrate and nitrite excellent nitrogenous nutrients, although some amino acids, including
221 arginine and glutamine, and, especially, urea can also be efficiently assimilated by some
222 cyanobacteria such as *Anabaena*.

223

224 Nitrate assimilation

225

226 Nitrate can be used as a N source by most heterocyst-forming cyanobacteria. Nitrate is
227 typically taken up by a high-affinity transporter that concentrates nitrate inside the cells
228 to a level that makes it usable by nitrate reductase, which catalyzes the 2-electron
229 reduction of nitrate producing nitrite. Nitrite is then used by nitrite reductase that
230 catalyzes a 6-electron reduction producing ammonium. Cyanobacterial nitrate and nitrite
231 reductases are metalloenzymes that use reduced ferredoxin as electron donor, thus linking
232 nitrate assimilation to photosynthesis (Flores *et al.*, 2005).

233 As in many other microorganisms, the genes encoding the nitrate assimilation
234 system are clustered together in the genome of *Anabaena* (Cai and Wolk, 1997). The
235 nitrate assimilation structural genes form an operon in which the genes are arranged in a
236 very conserved order (5' to 3'): *nirA* (nitrite reductase), transporter genes, *narB* (nitrate
237 reductase) (Fig. 2). In *Anabaena*, the nitrate uptake system (that can also take up nitrite)
238 is an ABC transporter encoded by genes determining a periplasmic solute-binding protein
239 (*nrtA*) that is distinctly anchored to the cytoplasmic membrane (Maeda *et al.*, 2000), a
240 transmembrane domain protein (*nrtB*), a nucleotide-binding domain protein that has a C-
241 terminal extension homologous to NrtA (*nrtC*), and a conventional nucleotide-binding
242 domain protein (*nrtD*) (Frías *et al.*, 1997). To conform the known structure of ABC
243 transporters, NrtB should form a dimer, and one unit of each NrtC and NrtD constitute
244 the nucleotide-binding module. The NrtC protein may have, in addition to its role as an
245 ATPase, a regulatory role mediated by its NrtA-like domain (see below). Interestingly,
246 some heterocyst-forming cyanobacteria such as *Nostoc punctiforme* express a Major
247 Facilitator Superfamily (MFS) nitrate/nitrite transporter instead of the ABC transporter
248 (Aichi *et al.*, 2006).

249 Nitrate assimilation is regulated at the transcriptional and post-transcriptional
250 levels. In the latter, nitrate uptake is inhibited by ammonium in a process that affects the
251 nitrate (nitrite) transporter. As has been shown for some unicellular cyanobacteria
252 (Kobayashi *et al.*, 2005), the NrtA-like domain of NrtC appears to be required for

253 ammonium-promoted inhibition of nitrate uptake. Additionally, the P_{II} protein (*glnB* gene
254 product –see below) is required for this inhibitory effect to take place (Paz-Yepes *et al.*,
255 2009). An appealing hypothesis is that the non-phosphorylated P_{II} protein (which is the
256 form that accumulates in the presence of ammonium) inhibits the transporter but, to the
257 best of our knowledge, a physical interaction between NrtC and P_{II} has not yet been
258 demonstrated.

259 The expression of the *nirA* operon is subjected to regulation exerted by the global
260 N-control system, and is transcribed from a Class II NtcA-activated promoter (Frías *et*
261 *al.*, 1997, 2000). Additionally, in *Anabaena*, high expression of the *nirA* operon and
262 production of full nitrate and nitrite reductase activities require a number of genes that
263 are clustered with those of the *nirA* operon. Both NtcB (a LysR family transcriptional
264 regulator [LTTR]) and CnaT (a putative glycosyl transferase) boost expression (Frías *et*
265 *al.*, 2000; Frías *et al.*, 2003). Whereas NtcB binds to the *nirA* promoter region upstream
266 of the NtcA-binding site, CnaT acts through an unknown mechanism. The *ntcB* gene is
267 expressed also from a Class II NtcA-activated promoter (Frías *et al.*, 2000). Further, the
268 products of two genes, *nirB* and *narM*, are needed to attain high levels of activity of nitrite
269 reductase and nitrate reductase, respectively, and NirA-NirB and NarB-NarM protein-
270 protein interactions have been found to take place (Frías and Flores, 2010, 2015). High
271 levels of expression of the operon are observed only if nitrate or nitrite (which can be
272 considered physiological inducers) is available. This requirement for nitrate (nitrite) is
273 however not observed in *nirA* or *nirB* mutants, which express the operon at high levels in
274 the absence of the inducer (Frías and Flores, 2010), suggesting a role of the NirA/NirB
275 complex as a direct or indirect repressor of the operon (Fig. 2). Whatever the mechanism,
276 the requirement for nitrate (nitrite) ensures that the *nirA* operon will not be expressed
277 under conditions of severe N deficiency that permit heterocyst differentiation and N₂
278 fixation to proceed (see below).

279

280 Assimilation of organic N

281

282 The genome of *Anabaena* encodes numerous transporters that can mediate the uptake of
283 organic nitrogenous compounds including amino acids and urea. These are mainly ABC
284 transporters that exhibit K_s values in the range of the environmental concentrations of
285 their substrates, from 1 to 50 μ M for most amino acids except acidic amino acids for
286 which the K_s values are substantially higher (Montesinos *et al.*, 1995; Pernil *et al.*, 2015),

287 and about 0.1 μM for urea (Valladares *et al.*, 2002). Thus, assimilation of organic N is
288 likely important in the physiology of *Anabaena*, and the ability of this cyanobacterium to
289 use arginine, glutamine and urea as N sources has been demonstrated with mutants unable
290 to fix N_2 under oxic conditions (Herrero and Flores, 1990; Valladares *et al.*, 2002; Burnat
291 *et al.*, 2014). Although the mechanisms of assimilation of organic N have not been
292 investigated in detail, some relevant pathways can be predicted or have been approached
293 experimentally. Thus, (i) glutamine utilization likely involves GOGAT (described
294 above); (ii) arginine utilization appears to take place through two pathways, including an
295 arginine decarboxylase pathway that synthesizes polyamines (Burnat *et al.*, 2018) and an
296 arginine \rightarrow proline \rightarrow glutamate pathway (Burnat and Flores, 2014); and (iii) urea
297 utilization involves urease that hydrolyzes urea to CO_2 and two molecules of ammonium
298 (Valladares *et al.*, 2002).

299 Bacterial urease is a Ni^{2+} -containing enzyme composed of three subunits, α
300 (UreC, which bears the catalytic site), β (UreB) and γ (UreA), and maturation of urease
301 requires four additional proteins, UreD, UreE, UreF and UreG (Boer *et al.*, 2014). In
302 *Anabaena*, these proteins are encoded in two gene clusters: (i) *alr3666 (ureD)-alr3667*
303 *(ureA)-alr3668 (ureB)-alr3670 (ureC)* and (ii) *alr0733 (ureE)-alr0734 (ureF)-alr0735*
304 *(ureG)* (Kaneko *et al.*, 2001), and a mutant lacking urease activity has been isolated that
305 maps at *ureG* (Valladares *et al.*, 2002). Whereas the urease activity has been found to be
306 expressed constitutively, urea uptake and the *urtABCDE* genes encoding the ABC
307 transporter for urea are subjected to N control by NtcA ensuring that the ability to take
308 up urea actively is expressed only under N deficiency (Valladares *et al.*, 2002).

309

310 **N_2 fixation and the heterocyst**

311

312 When no source of combined N is available, *Anabaena*, *Nostoc* and phylogenetically-
313 related cyanobacteria enter into a developmental process in which some vegetative cells
314 of the filament differentiate into heterocysts, which are cells specialized for the fixation
315 of N_2 .

316

317 Nitrogenase

318

319 The enzymatic complex that catalyzes N_2 fixation is the product the three structural genes,
320 *nifD* and *nifK* encoding nitrogenase, which holds the Fe-S cluster known as the P cluster

321 and the Fe-Mo cofactor in which the nitrogen fixation reaction takes place, and *nifH*
322 encoding nitrogenase reductase, which holds an Fe-S cluster, binds Mg²⁺-ATP and
323 transfers electrons to nitrogenase (Rubio and Ludden, 2008). In turn, electrons are
324 received from an electron carrier such as ferredoxin or flavodoxin. Notably, alternative
325 nitrogenases that use an Fe-V cofactor or an Fe-Fe cofactor are known (Mus *et al.*, 2018).
326 As in most N₂-fixing bacteria, in *Anabaena* the *nifHDK* genes form an operon and are
327 clustered with other genes encoding nitrogenase maturation proteins and electron donors
328 including ferredoxins (Flores *et al.*, 2015). Whereas most heterocyst-forming
329 cyanobacteria contain only the nitrogenase that is confined to heterocysts (Fe-Mo
330 nitrogenase), *Anabaena variabilis* strain ATCC 29413 is unique among well-
331 characterized cyanobacteria in that it expresses three nitrogenases (Thiel and Pratte,
332 2014). In addition to the Fe-Mo nitrogenase expressed in heterocysts, this cyanobacterium
333 expresses an Fe-Mo nitrogenase in vegetative cells under anoxic conditions and an Fe-
334 V nitrogenase in heterocysts under conditions of Mo deficiency.

335

336 Heterocyst differentiation and function

337

338 *Cell envelope*

339

340 Heterocyst formation is first noticed by the production of an extra cell wall envelope that
341 is deposited outside of the pre-existing outer membrane. A polysaccharide layer (HEP) is
342 first produced that consists of repeating units of an oligosaccharide that has a
343 tetrasaccharide backbone ($\rightarrow 3$ mannose $1 \rightarrow 3$ glucose $1 \rightarrow 3$ glucose $1 \rightarrow 3$ glucose 1
344 \rightarrow) with some of its glucosyl residues substituted by some saccharides (Cardemil and
345 Wolk, 1981). A cluster of *hep* genes encoding proteins involved in the production of this
346 polysaccharide, as well as some non-clustered *hep* genes have been identified (Huang *et*
347 *al.*, 2005; Wang *et al.*, 2007). Although the mechanism of production is unknown, the
348 presence in *Anabaena* of several *hep* genes encoding proteins homologous to
349 lipopolysaccharide (LPS) biosynthesis proteins suggests that the heterocyst-envelope
350 polysaccharide may be a modified form of LPS or its production may follow a path
351 similar to that of LPS (Huang *et al.*, 2005). Then, heterocyst-specific glycolipids (HGL),
352 which are long-chain polyhydroxy alcohols with a hexose head group, are produced and
353 deposited beneath the polysaccharide layer (Awai *et al.*, 2009). A cluster of *hgl* genes and
354 some non-clustered genes required for the production of the HGL layer have been

355 identified (Fan *et al.*, 2005; Awai and Wolk, 2007; Fiedler *et al.*, 1998). HGL are
356 synthesized by polyketide synthases and at least one glycosyl transferase, and exported
357 by an ATP-driven secretion system formed by DevA (ABC exporter NBD), DevC (ABC
358 transporter TMD), DevB (fusion membrane protein) and HgdD (TolC-like outer-
359 membrane protein) (Staron *et al.*, 2011). It is thought that the HGL layer restricts
360 diffusion of gases, thus representing a barrier to entry of oxygen, and that the HEP layer
361 protects HGL from damage (Awai *et al.*, 2009). Some air has nonetheless to enter the
362 heterocyst to provide the substrate (N₂) for nitrogenase, and hence the simultaneous entry
363 of some O₂ is unavoidable.

364

365 *Intracellular membrane systems – Photosynthesis and respiration*

366

367 Importantly, photosystem II-associated photolysis of water is dismantled in the
368 heterocysts avoiding the intracellular production of O₂ (reviewed by Magnuson and
369 Cardona, 2016). Indeed, heterocyst formation involves a large reorganization of
370 intracellular membranes, which in vegetative cells are found peripherally and in the
371 heterocysts are largely located around the cell poles constituting the so-called *honeycomb*
372 membranes (Lang and Fay, 1971). These membranes are sites of respiration that
373 contribute to reduce the O₂ that enters the heterocyst, and terminal respiratory oxidases
374 exclusively expressed in the heterocysts are known in *Anabaena* (Valladares *et al.*, 2003,
375 2007). O₂ is further reduced in the heterocyst's cytoplasm by flavodiiron proteins
376 specifically expressed in these differentiated cells (Ermakova *et al.*, 2013, 2014), and
377 possibly by L-lactate oxidase (Hackenberg *et al.*, 2011). Respiration in the heterocysts
378 requires a source of reductant that is received from the adjacent vegetative cells. In
379 *Anabaena*, sucrose appears to be the main source of intercellularly transferred reductant,
380 since inactivation of an invertase, InvB, expressed specifically in the heterocysts blocks
381 diazotrophic growth (López-Igual *et al.*, 2010; Vargas *et al.*, 2011; reviewed in Kolman
382 *et al.*, 2015). Invertases irreversibly cleave sucrose into glucose and fructose, and the
383 oxidative pentose phosphate pathway mediates sugar catabolism in the heterocysts
384 (Summers *et al.*, 1995). Reductant is made available to nitrogenase by a heterocyst-
385 specific ferredoxin (FdxH), which is reduced by ferredoxin-NADPH oxidoreductase
386 (FNR) or photosystem I (see discussion in Magnuson and Cardona, 2016). Photosystem
387 I-dependent reduction of FdxH requires donation of electrons by NAD(P)H into an
388 electron transport chain involving NAD(P)H dehydrogenase and cytochrome *b_{6-f}*

389 complexes. Energy conservation associated with this electron transport chain and with
390 the operation of respiratory oxidases results in production of ATP that is also needed for
391 nitrogenase function (Magnuson and Cardona, 2016; Valladares *et al.*, 2007; Stal, 2017).

392

393 *Ammonium assimilation – Intercellular metabolite exchange*

394

395 In heterocysts, ammonium produced by nitrogenase is incorporated into glutamate by GS
396 producing glutamine (Wolk *et al.*, 1976). The heterocysts, however, lack (or have low
397 levels of) GOGAT, which is present at high levels only in vegetative cells (Thomas *et al.*,
398 1977; Martín-Figueroa *et al.*, 2000). Hence, glutamate for the operation of GS has to be
399 transferred from vegetative cells to heterocysts, which in turn donate glutamine to
400 vegetative cells (Wolk *et al.*, 1976). The heterocysts also synthesize other amino acids
401 including aspartate and arginine (Wolk *et al.*, 1976), which are used to produce
402 cyanophycin (multi-L-arginyl-poly [L-aspartic acid]), a dynamic polymeric N reserve
403 that accumulates conspicuously at the heterocyst poles (Lang *et al.*, 1972). Cyanophycin
404 is synthesized non-ribosomally by cyanophycin synthetase and degraded sequentially by
405 cyanophycinase, which releases β -aspartyl-arginine, and isoaspartyl dipeptidase, which
406 splits the dipeptide making aspartate and arginine available for metabolism. The
407 dipeptidase is present in vegetative cells at higher levels than in heterocysts, implying
408 that β -aspartyl-arginine is transferred (in addition to glutamine) as a N vehicle from
409 heterocysts to vegetative cells (Burnat *et al.*, 2014). Thus, the reduced C/fixed N
410 exchange that takes place between vegetative cells and heterocysts in the diazotrophic
411 filament appears to include sucrose and glutamate moving from vegetative cells to
412 heterocysts and glutamine and β -aspartyl-arginine moving from heterocysts to vegetative
413 cells (Fig. 3). Nonetheless, other (perhaps many) metabolites may also be translocated
414 from vegetative cells to heterocysts, including alanine, which may serve as a source of
415 reductant in the heterocysts (Jüttner, 1983; Pernil *et al.*, 2010), and some reduced forms
416 of sulfur (Giddings *et al.*, 1981). Metabolite exchange between vegetative cells and
417 heterocysts appears to take place by diffusion through proteinaceous structures known as
418 septal junctions that are located in the intercellular septa and join the adjacent cells in the
419 filament (Nürnberg *et al.*, 2015; Flores *et al.*, 2016; Nieves-Mori3n *et al.*, 2017).

420

421 *Regulation of heterocyst distribution in the filament*

422

423 On a different physiological level, heterocysts transfer regulators to the vegetative cells.
424 Indeed, heterocyst distribution along the filament is not random, and in *Anabaena*
425 growing under N₂-fixing conditions, the heterocysts are semi-regularly spaced, separated
426 by stretches of ca. 10-15 vegetative cells. For establishing this heterocyst distribution
427 pattern, the intercellular movement of heterocyst differentiation inhibitors plays an
428 important role. Among these inhibitors, the PatS morphogen is produced at early stages
429 of differentiation in the specific cells undertaking the process and exported to neighboring
430 cells, thus preventing their differentiation (Yoon and Golden, 1998; Corrales-Guerrero *et*
431 *al.*, 2014). At advanced stages of differentiation, other factors, such as HetN may promote
432 lateral inhibition, thus contributing to maintain the specific heterocyst distribution pattern
433 (Callahan and Buikema, 2001).

434

435 Transcriptional regulation of heterocyst differentiation

436

437 Heterocyst differentiation requires the action of the products of multiple structural and
438 regulatory genes, which have been identified through the isolation of mutants impaired
439 in the differentiation process and, more recently, by global gene expression studies. Many
440 of the genes needed for heterocyst differentiation are activated at specific stages during
441 the process, only in the differentiating cells (see Herrero *et al.*, 2013; Flores *et al.*, 2018).

442 Two transcription factors, NtcA and HetR, are essential for heterocyst formation,
443 so that strains bearing inactivated *ntcA* or *hetR* genes do not show any hint of
444 differentiation upon N step-down. As NtcA, HetR is a DNA-binding factor, and the
445 structure of a HetR dimer conforming a DNA-binding domain that includes the N-
446 terminal helix-turn-helix motifs of both subunits has been published (Kim *et al.*, 2011).
447 More recently, HetR tetramers have been detected to occur *in vivo* (Valladares *et al.*,
448 2016). The HetR tetramers are more abundant at intermediate times of heterocyst
449 differentiation, coincident with the period of maximum HetR expression and HetR-
450 dependent activation of transcription, and they are undetected in a mutant that does not
451 form heterocysts. When differentiation is completed, HetR tetramers are detected in
452 heterocysts but not in vegetative cells. Moreover, HetR co-purifies with RNA polymerase
453 from *Anabaena* predominantly in the tetrameric form. These observations support the
454 idea that during heterocyst differentiation the tetrameric HetR can represent an active

455 form of the regulator (Valladares *et al.*, 2016). Indeed, besides the dimer, complexes of
456 HetR tetramers with DNA have also been detected *in vitro* (Kim *et al.*, 2013).

457 Regarding the sequence of gene regulation events promoting heterocyst
458 differentiation upon N step-down, a rise in the cellular levels of 2-OG effects activation
459 of the low levels of NtcA already present in the filament, triggering activation of the
460 expression of the genes *hetR* and *ntcA* in a mutually-dependent and autoregulatory
461 manner (Muro-Pastor *et al.*, 2002). Activation of *hetR* by NtcA appears to take place
462 through NrrA (Ehira and Ohmori, 2006), a response regulator that is expressed from a
463 canonical NtcA-dependent Class II promoter (Muro-Pastor *et al.*, 2006). The resulting
464 increased levels of HetR and NtcA are required for the expression of subsequent genes,
465 including those for additional regulators of specific pathways, culminating in expression
466 of nitrogenase activity in the mature heterocyst. As a secondary regulator, NrrA also
467 activates the expression of glycogen catabolism genes and cooperates with NtcA for
468 induction of *sigE* encoding the sigma factor SigE that is involved in the expression of
469 genes operating in the mature heterocyst, including *nifH* and genes of the pentose
470 phosphate pathway (Mella-Herrera *et al.*, 2011; Ehira and Ohmori, 2011).

471 Mechanistically, a relevant feature of many genes involved in heterocyst
472 differentiation is that they bear complex promoter regions with several successive
473 promoters (see Herrero *et al.*, 2013, and references therein), each with a specific
474 spatiotemporal pattern of expression –with regard to the stage of differentiation and the
475 degree of confinement to the differentiating cells. *In vivo*, promoters of heterocyst-
476 differentiation genes that are activated early upon N step-down and at significant levels
477 in all the cells of the filament conform to Class II NtcA-activated promoters. On the other
478 hand, promoters activated principally in the differentiating cells require both NtcA and
479 HetR. Although purified HetR has been shown to bind alone to the promoter region of
480 several heterocyst-differentiation genes (Huang *et al.*, 2004; Videau *et al.*, 2014), it is
481 not known whether HetR alone suffices for transcript production. In the complex
482 promoter region of the *devBCA* operon, involved in the deposition of HGL, besides a
483 distal Class II NtcA-dependent promoter, a proximal promoter is found that, in spite of
484 depending on NtcA and HetR *in vivo*, presents only a very degenerated NtcA-binding
485 sequence. However, *in vitro*, NtcA alone binds to this promoter and leads to production
486 of transcripts in the presence of RNA polymerase and 2-OG. Binding of HetR alone could
487 not be detected, but it enhanced the interaction of NtcA with the promoter DNA and

488 transcript production. Thus, in this promoter HetR acts as an NtcA co-activator (Camargo
489 *et al.*, 2012).

490 A global determination by chromatin immunoprecipitation of the HetR targets at
491 an intermediate time during heterocyst differentiation has identified 59 binding sites
492 ascribed to 25 genomic regions, of which only 10 % included recognized heterocyst
493 differentiation genes (Flaherty *et al.*, 2014). Because the number of genes involved in
494 heterocyst differentiation that *in vivo* show dependence on HetR is much higher, their
495 requirement may respond to an indirect effect of HetR (e.g., a requirement for increased
496 levels of NtcA or of a secondary, HetR-dependent regulator). In addition, the fact that
497 HetR was detected bound at some vegetative-cell activated promoters implies a function
498 of HetR in vegetative cells, likely as a repressor, as also suggested by the study of *hetR*
499 mutants (see, e.g., López-Igual *et al.*, 2010).

500 In *Anabaena*, another regulatory factor, PipX, is maximally expressed in the cells
501 differentiating into heterocysts, at advanced stages of differentiation, dependent on NtcA
502 and HetR, and is required for full activation of late heterocyst-specific genes (Valladares
503 *et al.*, 2011). At some NtcA-dependent promoters, PipX has been shown to increase NtcA
504 binding and transcription. Thus, PipX represents another NtcA co-activator (Camargo *et*
505 *al.*, 2014).

506 In summary, NtcA alone activates promoters induced early after N step-down in
507 all the cells of the filament. Later, HetR participates in the activation of promoters that
508 exhibit specificity for the differentiating cells, and still later, PipX co-operates with NtcA
509 for transcription activation in near-mature heterocysts. Mechanistically, HetR could
510 promote transcription activation in different ways: cooperating with NtcA, acting alone,
511 or indirectly, by promoting increased levels of NtcA that may be required for activation
512 of late NtcA-activated genes or of secondary regulators. Notably, a sequence of NtcA co-
513 activators, HetR and PipX, appears to play an important role in the establishment of the
514 hierarchical series of transcription activation effecting heterocyst differentiation (Fig 4).

515 Recently, it has been shown that purified HetR is the subject of phosphorylation
516 *in vitro* (Valladares *et al.*, 2016). Phosphorylation interferes with the formation of HetR
517 tetramers and with HetR binding to DNA. Thus, phosphorylation would represent a
518 mechanism for HetR inactivation (Valladares *et al.*, 2016). On the other hand, the
519 morphogen PatS is a negative effector of HetR. HetR binds PatS *in vitro* (Feldman *et al.*,
520 2011) and PatS hampers HetR tetramerization (Valladares *et al.*, 2016) and inhibits HetR-
521 binding to DNA (Huang *et al.*, 2004), whereas *in vivo* HetR tetramers are more

522 represented in a mutant that does not produce PatS (and HetN) (Valladares *et al.*, 2016).
523 Thus, the PatS morphogen and phosphorylation could represent two different
524 mechanisms for negative regulation of the activity of HetR, both acting by interfering
525 with HetR tetramerization. During the first stages of heterocyst differentiation, PatS
526 appears to limit the activity of HetR in the vegetative cells that are neighbors to the
527 differentiating cells, thus limiting heterocyst differentiation. Indeed, coupling of
528 fluctuations of *hetR* expression, influenced by PatS and auto-regulation, along the
529 filament appears to play an important role in the generation of the heterocyst distribution
530 pattern (Corrales-Guerrero *et al.*, 2014). In turn, phosphorylation would contribute to
531 inactivation of HetR in the cells that are at advanced stages of differentiation (Valladares
532 *et al.*, 2016).

533

534 **Ci assimilation**

535

536 Although certain sugars, especially glucose, fructose and sucrose (Rippka *et al.*, 1979),
537 can be assimilated by some heterocyst-forming cyanobacteria, the bulk of carbon is
538 obtained from CO₂/CO₃H⁻ (generically inorganic carbon, Ci). Inorganic carbon enters the
539 cyanobacterial cell with the aid of active mechanisms and is incorporated into organic
540 material mainly by ribulose 1,5-bisphosphate carboxylase/oxygenase (RubisCo), a
541 doorway for the Calvin-Benson-Bassham (CBB) reductive pentose phosphate pathway.
542 A distinct cyanobacterial feature is the expression of a so-called Carbon Concentrating
543 Mechanism (CCM) that enhances the CO₂ concentration in the vicinity of RubisCo, thus
544 increasing the efficiency of C assimilation. The mechanism of Ci assimilation has been
545 less investigated in the Nostocales than in unicellular cyanobacteria such as
546 *Synechococcus* sp. PCC 7942 or *Synechocystis* sp. PCC 6803. Therefore, in this section,
547 we shall first briefly introduce the topic for cyanobacteria in general and then describe
548 what is known for *Anabaena*.

549

550 Ribulose bisphosphate carboxylase

551

552 RubisCo is considered as one of the most abundant enzymes on Earth, perhaps to
553 compensate for a general low activity that results from low catalytic turnover, low affinity
554 for CO₂ and the concomitant utilization of O₂ as a substrate alternative to CO₂. The
555 carboxylase reaction involves the incorporation of one molecule of CO₂ into one molecule

556 of ribulose 1,5-bisphosphate (RuBP) to produce two molecules of 3-phosphoglycerate,
557 whereas the oxygenase reaction involves the incorporation of one molecule of O₂ into
558 one molecule of RuBP to produce one molecule of 3-phosphoglycerate and one of 2-
559 phosphoglycolate, which enters photorespiratory pathways resulting in a loss of fixed C
560 (Kaplan *et al.*, 2008; Allahverdiyeva *et al.*, 2011; Hagemann *et al.*, 2013). The type of
561 RubisCo found in cyanobacteria, as in plants and most algae and phototrophic bacteria,
562 is form 1 RubisCo, which is a hexadecameric enzyme composed of eight catalytic large
563 (ca. 55 kDa) and eight small (ca. 14 kDa) subunits (see Tabita *et al.*, 2007), although
564 variants of this general theme have been found (see below).

565

566 The carbon concentrating mechanism of cyanobacteria

567

568 Cyanobacteria have evolved a remarkable physiological system, CCM, whose operation
569 increases the efficiency of CO₂ fixation (Kaplan *et al.*, 2008; Price, 2011). Cyanobacterial
570 CCM systems are integrated by CO₂ and HCO₃⁻ uptake systems, carbonic anhydrases,
571 and a dedicated bacterial micro-compartment, the carboxysome (Kerfeld and Erbilgin,
572 2015), in which a good part of the cellular RubisCo is confined (Fig. 1). The operation of
573 CCM systems results in a large increase of CO₂ concentration in the proximity of RubisCo
574 within the carboxysome, thus enhancing carboxylase activity. Two classes of
575 cyanobacteria have been distinguished depending on the type of carboxysome they bear
576 (α -cyanobacteria and β -cyanobacteria). Remarkably, the complement of Ci uptake
577 systems and the type of carboxysomes found across cyanobacteria respond to the
578 characteristics of the natural environment in which they have evolved (see below).

579

580 *CO₂/HCO₃⁻ uptake systems*

581

582 Regarding HCO₃⁻ transport, two secondary transporters (SbtA and BicA) and one ABC
583 transporter (Cmp, a.k.a. BCT1) have been characterized in cyanobacteria. The *cmpABCD*
584 operon encodes the elements of an ABC transporter for HCO₃⁻, with CmpA representing
585 a periplasmic substrate-binding protein for HCO₃⁻ that, notably, is anchored to the
586 cytoplasmic membrane (Maeda *et al.*, 2000). Most orthologues of the *cmpABCD* cluster
587 are found in freshwater unicellular and filamentous cyanobacteria (Price *et al.*, 2008).

588 The *sbtA* gene encodes a Na⁺-dependent high-affinity HCO₃⁻ transporter. In the
589 unicellular cyanobacterium *Synechocystis* sp. PCC 6803, SbtA-mediated transport

590 requires the product of a nearby gene encoding a Na⁺/K⁺-translocating protein, leading to
591 the proposal that SbtA is energized by $\Delta\mu\text{Na}^+$ across the cytoplasmic membrane (Shibata
592 *et al.*, 2002). SbtA homologs are present in different unicellular and filamentous β -
593 cyanobacteria (Price *et al.*, 2008). The *bicA* gene encodes a Na⁺-dependent, low-affinity
594 HCO₃⁻ transporter of the SulP family (Price *et al.*, 2004). Homologs of *bicA* are present
595 in many fresh-water and oceanic cyanobacteria, including the widespread marine
596 *Synechococcus* and *Prochlorococcus* strains. Thus, BicA may represent an important path
597 for carbon acquisition at a global scale (Price *et al.*, 2008).

598 Regarding CO₂ uptake, the NDH-1₃ and NDH-1₄ systems, which are specialized
599 NAD(P)H dehydrogenase (NDH-1) membrane complexes, have been characterized (e.g.,
600 Shibata *et al.*, 2001). NDH-1₃, comprised of the proteins NdhD3 and NdhF3 (the NDH-
601 1 subunits) and CupA (a.k.a. ChpY), is a low CO₂-inducible CO₂ uptake system with
602 higher maximal rate and affinity than the NDH-1₄ system, which is comprised of
603 NdhD4/NdhF4/CupB (a.k.a. ChpX). At least the NDH-1₃ complex appears restricted to
604 thylakoid membranes (Ohkawa *et al.*, 2001). The model for the operation of these CO₂
605 uptake systems proposes a passive, aquaporine-mediated entrance of CO₂ down a
606 concentration gradient that is maintained by the intracellular hydration of CO₂ to HCO₃⁻
607 catalyzed by the Cup proteins, which is linked to $\Delta\mu\text{H}^+$ generated by proton translocation
608 across membranes associated to electron transport by the NdhDF subunits (Tchernov *et*
609 *al.*, 2001; Badger and Price, 2003). Both CO₂ uptake systems, NDH-1₃ and NDH-1₄, can
610 be detected in β -cyanobacteria, whereas picoplanktonic marine strains show only NDH-
611 1₄ (*Synechococcus* strains) or none of them (*Prochlorococcus* strains) (Price *et al.*, 2008).
612 Notwithstanding the above brief description of the distribution of CO₂/HCO₃⁻ uptake
613 systems in the cyanobacterial phylum, it should be noted that, as far as we know, the
614 search has not been updated with the current wide collection of available cyanobacterial
615 genomes.

616

617 *The carboxysome*

618

619 The uptake of Ci species, either through HCO₃⁻ transporters or by CO₂ translocation, leads
620 to the accumulation of HCO₃⁻ in the cytoplasm. Free HCO₃⁻ enters the carboxysome,
621 which includes RubisCo. All the cyanobacteria so far studied, as well as many
622 chemoautotrophic and a few purple-sulphur phototrophic bacteria possess carboxysomes.

623 Carboxysomes are polyhedral bodies constituted by a central core made of RubisCo and
624 carbonic anhydrase, which converts HCO_3^- into CO_2 , encapsulated into a proteinaceous
625 shell that represents a diffusion barrier for CO_2 exit and O_2 entrance, although allowing
626 the movement of HCO_3^- , the RubisCo substrate RuBP, and the product 3-
627 phosphoglycerate. Detailed structural studies of the carboxysome have been performed
628 in recent years revealing a highly-ordered shell structure made of icosahedral facets of
629 hexameric proteins (Kerfeld *et al.*, 2005) connected at the vertices by pentameric proteins
630 (Tanaka *et al.*, 2008; Sutter *et al.*, 2013).

631 All cyanobacterial carboxysomes share the same overall architecture and way of
632 operation. However, two types of carboxysomes have been distinguished, which differ in
633 the type of RubisCo and carbonic anhydrase included, in the components of the
634 carboxysome shell, the lumen organization, and the process of particle assembly. These
635 two carboxysome types have deep phylogenetic divergence in the cyanobacterial phylum.
636 The so-called β -carboxysomes contain form 1-B RubisCo and the CcmM and/or CcaA
637 carbonic anhydrases, with the shell made of the products of *ccm* genes. The *rbcL* and *rbcS*
638 genes (encoding the large and small RubisCo subunits, respectively) are arranged in the
639 *rbc* operon that also includes *rbcX*, encoding a putative RubisCo chaperonin. The *ccm*
640 genes can be spread in the genome, although the core genes including *ccmM* are
641 frequently arranged in one operon, which can be linked to the *rbc* operon and the *ccaA*
642 gene. β -Carboxysomes are widely distributed among the cyanobacterial lineages, being
643 present in fresh-water and marine coastal cyanobacteria (the so-called β -cyanobacteria).
644 In contrast, α -carboxysomes contain form 1-A RubisCo and the CsoSCA carbonic
645 anhydrase, and the shell is made of the products of *cso* genes. The *cso* genes are generally
646 arranged in a single operon that may include also some genes encoding CCM elements
647 and form 1-A RubisCo (*cbbL cbbS*). α -Carboxysomes are found in a group of
648 phylogenetically-related oceanic cyanobacteria (the so-called α -cyanobacteria), which
649 may have acquired the *cso* genes by lateral gene transfer from non-cyanobacterial
650 organisms (for further details see Rae *et al.*, 2013; Kerfeld and Melnicki, 2016). In the
651 cyanobacteria studied to date, the elements of the CCM system, as well as RubisCo, are
652 expressed at higher levels under conditions of Ci-limitation.

653

654 CCM of *Anabaena*

655

656 *Anabaena* belongs to the group of β -cyanobacteria (Price *et al.*, 2008; Kaplan *et al.*,
657 2008), and as such it presents an *rbcLXS* operon. In addition, it bears a gene, *rca*, encoding
658 RubisCo activase that is present only in a few cyanobacteria (Li *et al.*, 1999).

659 Regarding Ci transporters, genes encoding homologues of all five systems
660 described above for Ci uptake are detected in the genome of *Anabaena* (Kaneko *et al.*,
661 2001; Price *et al.*, 2008; Kaplan *et al.*, 2008). The encoded products are schematically
662 represented in Fig. 1. Experimental evidence is however only available for a Cmp
663 bicarbonate transport system. ORFs *alr2877-alr2880*, which are homologous to
664 *cmpABCD* of the unicellular cyanobacteria *Synechococcus* sp. PCC 7942 and
665 *Synechocystis* sp. PCC 6803, are expressed together as an operon, at negligible levels in
666 filaments incubated with CO₂-enriched air and induced upon incubation in air (López-
667 Igual *et al.*, 2012). Inactivation of *alr2877* specifically impairs the active transport of
668 HCO₃⁻ under Ci limitation (López-Igual *et al.*, 2012). Thus, *alr2877-alr2880* correspond
669 to the *Anabaena cmp* operon. However, the *alr2877* mutant still exhibits considerable
670 activity of HCO₃⁻ transport, which suggests the presence of additional HCO₃⁻ transporters
671 in *Anabaena*, in accordance to the results of protein homology searching. A putative SbtA
672 orthologue (82 % identity to SbtA from *Synechocystis* sp. PCC 6803) is encoded by
673 *all2134*, and a putative BicA orthologue (65 % identity to BicA from *Synechococcus* sp.
674 PCC 7002) is encoded by *all1304*. Regarding CO₂ uptake systems, gene clusters *alr4156-*
675 *alr4157-alr4158* and *alr0869-alr0870-alr0871* putatively encode orthologues of the
676 constituents of NDH-1₃ and NDH-1₄ systems, respectively.

677 In *Anabaena*, *all0862* encodes a LTTR factor and is induced under conditions of
678 Ci limitation. Inactivation of *all0862* abolishes induction of the *cmp* operon upon Ci step-
679 down, and decreases HCO₃⁻ transport activity in a way similar to that of the *cmp* mutant.
680 Thus, All0862 represents a CmpR factor of *Anabaena*, being the *cmpR* gene positively
681 autoregulated in response to Ci limitation (López-Igual *et al.*, 2012).

682 In contrast to *cmpR* of *Synechocystis*, which is located upstream, in the opposite
683 orientation of *cmpA*, in the *Anabaena* genome *cmpR* is located downstream, in the same
684 orientation, of a gene cluster putatively encoding carboxysome *ccm* genes (*all0868* to
685 *all0863*). This gene cluster is expressed as an operon also activated by Ci deprivation, at
686 higher levels in the *cmpR* mutant than in the wild type (López-Igual *et al.*, 2012). In
687 addition, CmpR may negatively influence expression of the *rbcL* operon (López-Igual *et*
688 *al.*, 2012). Upregulation of the *rbcL* operon by Ci limitation is also negatively affected
689 by CmpR in *Synechococcus* sp. PCC 7942 but unaffected in *Synechocystis* sp. PCC 6803

690 (Omata *et al.*, 2001). In summary, in *Anabaena* CmpR activates the *cmpA* operon and the
691 *cmpR* gene itself, and represses the *ccm* and *rbc* operons (López-Igual *et al.*, 2012).
692 Finally, although in *Synechococcus* (Nishimura *et al.*, 2008) and *Synechocystis* (Daley *et al.*
693 *et al.*, 2012) RuBP and 2-phosphoglycolate have been proposed as effectors of CmpR, no
694 evidence for metabolic effectors is yet available for *Anabaena*.

695

696 **Regulation by PacR**

697

698 In *Synechocystis* sp. PCC 6803 and *Synechococcus* sp. PCC 7002, the genes for multiple
699 components of CCM, mainly Ci transporters, are regulated by repression mediated by the
700 LTTR factor NdhR (a.k.a. CcmR) (see Price *et al.*, 2008). NdhR binds the effectors 2-OG
701 and 2-phosphoglycolate. Whereas 2-OG increases binding to DNA, thus acting as a NdhR
702 co-repressor, 2-phosphoglycolate (whose cellular levels are high under Ci limitation)
703 decreases binding, thus acting as an inducer of NdhR-repressed genes (Daley *et al.*, 2012;
704 Jiang *et al.*, 2018). In contrast, in *Anabaena*, multiple genes encoding CCM elements and
705 the *rbcL* operon are positively regulated under low Ci conditions. Besides the regulation
706 mediated by CmpR described above, a different LTTR factor, PacR (All3953) is involved
707 in regulation of CCM elements (Picossi *et al.*, 2015). The *all3953* gene is constitutively
708 expressed with regard to the N and Ci regimes, and its inactivation abolishes *rbcL*
709 induction upon transference from a high- to a low-carbon regime, but leads to increased
710 *rbcL* expression under high carbon. Hence, it has been proposed that regulation by PacR
711 implies binding to repressor and activator sites in the *rbcL* promoter region (Picossi *et al.*,
712 2015).

713 A global search for PacR targets by chromatin immunoprecipitation at 3 h after
714 Ci step-down has identified 142 bound regions ascribed to genes of different functional
715 categories, including other regulatory genes, which could expand the physiological range
716 of influence of PacR. Besides *rbcL*, targets of PacR include genes for putative CCM
717 elements: Ci transporter homologs (BicA, NdhF3 and NdhF4) and carboxysome shell
718 proteins. Notably, 2-phosphoglycolate metabolism-related genes and genes encoding
719 some components of the photosystem cores and the electronic transport chains are also
720 regulated by PacR (Picossi *et al.*, 2015). Some of these photosynthetic targets, including
721 those encoding the flavodiiron proteins Flv1A and Flv4 (Ermakova *et al.*, 2013), appear
722 to be involved in avoiding over-reduction of photosynthetic components, which is
723 enhanced under conditions of low Ci availability and could lead to oxidative damage.

724 Hence, PacR coordinates protection against photodamage and, consistently, growth of a
725 *pacR* mutant is compromised when subjected to high-light intensity (Picossi *et al.*, 2015).
726 This observation, together with regulation of CCM elements by PacR, identifies this
727 factor as a transcriptional regulator especially suited for coordination of Ci acquisition in
728 the context of oxygenic phototrophy.

729

730 **Coordinated regulation of C and N assimilation in *Anabaena***

731

732 In *Anabaena*, a number of regulatory circuits have been found to depend on both the C
733 and N regimes. As described above, NtcA (CRP family) orchestrates N-control in
734 response to the 2-OG levels, which are influenced by the metabolic flow of CO₂
735 assimilation through the CBB cycle and NH₄⁺ assimilation through the GS/GOGAT
736 cycle, and NtcA is a global regulator.

737 CmpR (LTTR) is a pathway-specific regulator of Ci assimilation that activates
738 the expression of the *cmpA* operon (bicarbonate uptake) in response to low Ci availability.
739 The *cmpR* gene is itself positively autoregulated under Ci limitation and, in addition, it is
740 expressed at lower levels in the presence of ammonium than in the absence of combined
741 nitrogen, as well as in an *ntcA* mutant than in the wild type. Indeed, NtcA-binding
742 upstream *cmpR* has been shown *in vitro*. Thus, CmpR is an N- and Ci-responsive
743 regulator (López-Igual *et al.*, 2012). Besides that, NtcA also binds to the *cmpA* operon
744 promoter, likely with a repressive effect. On the other hand, when *Anabaena* grows under
745 diazotrophic conditions, the *rbcL* operon is not expressed in the heterocysts (Elhai and
746 Wolk, 1990), and NtcA binding to a putative site overlapping the translation start of *rbcL*
747 could be responsible for this repression (Ramasubramanian *et al.*, 1994; Picossi *et al.*,
748 2014). Thus, the *cmpA* and *rbcL* operons and the *cmpR* gene are coordinately regulated
749 by the CmpR and NtcA transcription factors.

750 Heterocyst differentiation is regulated by NtcA and HetR in response to a deficit
751 of combined nitrogen, triggered by high 2-OG levels, and the differentiation process
752 includes a drastic reorganization of C metabolism, which becomes basically
753 heterotrophic. The NrrA transcription factor that participates in induction of *hetR* during
754 differentiation, after *nrrA* induction by NtcA, is also involved in the activation of genes
755 for glycogen catabolism, glycolysis and the pentose phosphate pathway, with a positive
756 effect on N₂ fixation. Finally, according to Ci availability and illumination conditions,
757 PacR (LTTR) coordinates regulation of CCM and RubisCo, thus influencing 2-OG levels,

758 as well as of photosynthetic elements, some of which participate in protection against
759 oxidative damage. Thus, the *Anabaena* metabolism is coordinately regulated by the
760 availability of C and N, which determines the C-to-N balance, at a global level (Fig. 5).

761

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767

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1100

1101

1102 **Figure legends:**

1103

1104 Fig. 1. Schematic of the pathways of assimilation of the main sources of combined
1105 nitrogen and of inorganic carbon in cells of an undifferentiated filament of *Anabaena*.
1106 ABC transporters for nitrate and nitrite (Nrt) and urea (Urt) and an ammonium
1107 translocator (Amt) mediate uptake of nitrogenous nutrients. The nitrate reduction system
1108 consists of reduced ferredoxin (Fd_{red})-dependent nitrate reductase (NarB) and nitrite
1109 reductase (NirA), and the Ni²⁺-containing urease consists of several subunits (UreABC).
1110 Ammonium is incorporated into glutamate by ATP-dependent glutamine synthetase (GS)
1111 producing glutamine, whose amido group is transferred to 2-oxoglutarate (2-OG) by
1112 reduced ferredoxin-dependent glutamate synthase (GOGAT). 2-OG is a product of
1113 carbon assimilation. *Anabaena* is predicted to express the inorganic carbon transport
1114 systems common in cyanobacteria: NDH-1₃ (localized in the thylakoid) and NDH-1₄
1115 (which may also be localized in the thylakoid) participate in CO₂ uptake with high and
1116 low affinity, respectively, producing bicarbonate; secondary, sodium-dependent SbtA
1117 and BicA permeases transport bicarbonate into the cells with high and low affinity,
1118 respectively; and the ABC transporter Cmp concentrates bicarbonate in the cells
1119 dependent on ATP. Bicarbonate diffuses into the carboxysome where it is dehydrated by
1120 carbonic anhydrase (CA), and where CO₂ is combined with ribulose 1,5-bisphosphate
1121 (produced by the Calvin-Benson-Bassham cycle –not shown) by RubisCo to produce 3-
1122 phosphoglycerate (3-PG) that follows metabolism down to 2-OG. The prevalence of
1123 some specific transporters and pathways depends on environmental conditions as
1124 explained in the text. Stoichiometries are not depicted.

1125

1126 Fig. 2. Schematic of the nitrate assimilation gene cluster and its regulation in *Anabaena*.
1127 The nitrate assimilation structural genes (*nirA* [nitrite reductase], *nrtABCD* [ABC
1128 transporter], *narB* [nitrate reductase]) form an operon, whose expression is activated by
1129 NtcA bound to 2-oxoglutarate (2-OG) and the LTTR factor NtcB, both of which bind to
1130 the *nirA* promoter. Expression of *ntcB* is itself activated by NtcA+2-OG. Full expression
1131 of the *nirA* operon also requires the glycosyl transferase-like protein CnaT acting through
1132 an unknown mechanism. NirB and NarM are required for *Anabaena* to show full nitrite
1133 reductase (NirA) or nitrate reductase (NarB) activity, respectively. In the absence of a
1134 substrate (nitrate or nitrite), the NirA/NirB complex appears to negatively affect
1135 expression of the *nirA* operon.

1136

1137 Fig. 3. The dissimilar filaments of *Anabaena*. (A) Micrographs showing fragments of
1138 filaments of *Anabaena* grown with nitrate or N₂ as nitrogen source. Note the presence of
1139 heterocysts only in the latter (white arrowhead, intercalary heterocyst; black arrowhead,
1140 terminal heterocyst) (micrographs courtesy of Sergio Camargo, CSIC, Seville). (B)
1141 Schematic of filaments of *Anabaena* grown with combined nitrogen or N₂. The
1142 homogeneous metabolism in the cells of filaments grown with combined nitrogen ([N],
1143 upper filament) and the metabolic specialization in cells of diazotrophic filaments (lower
1144 filament; the central cell is a N₂-fixing heterocyst) is highlighted. In the left-hand cell of
1145 the upper filament, the role of transcription factors NtcA (with effector 2-oxoglutarate [2-
1146 OG]) and PacR in the regulation of nitrogen and carbon assimilation, respectively, is
1147 highlighted. In the diazotrophic filament, intercellular exchange of metabolites (sucrose
1148 and glutamate in exchange for glutamine and b-aspartyl-arginine) and transfer of
1149 regulators (PatS- and HetN-derived morphogens) from heterocysts or prospective
1150 heterocysts to adjacent vegetative cells are indicated. HetR plays a role in heterocyst
1151 differentiation, and NtcA (with 2-OG) plays a role in heterocyst differentiation and in
1152 the mature heterocyst. Note that septal junctions (blue lines) that mediate intercellular
1153 molecular exchange are present in all types of cells.

1154

1155 Fig. 4. Upon nitrogen deficiency, the low amount of NtcA present in the cells of filaments
1156 growing with combined nitrogen (depicted in black) is activated in response to an increase
1157 in the 2-oxoglutarate (2-OG) levels (activated NtcA depicted in red). This should suffice
1158 to activate early genes involved in heterocyst differentiation, including the *nrrA* gene.
1159 Then, NrrA and NtcA promote activation of the *hetR* gene, initially in clusters of cells.
1160 Self and mutual activation of HetR and NtcA results in high levels of both regulators,
1161 with an increased single cell specificity promoted by lateral inhibition of the PatS
1162 morphogen (not depicted). Then, HetR-dependent genes are activated in specific cells,
1163 including the *pipX* gene that is activated at late stages. Activity of HetR will thereafter
1164 decay (with a possible role of HetR phosphorylation) and transcription dependent on both
1165 NtcA and PipX will predominate in the mature heterocyst. The width of the horizontal
1166 bars at the bottom represent cellular levels of NtcA (red), HetR (blue) and PipX (purple)
1167 during differentiation.

1168

1169 Fig. 5. Schematic representation of specific and cross regulatory interactions in the
1170 assimilation of nitrogen and carbon and in photosynthesis/high-light stress in *Anabaena*.
1171 NtcA is a global transcriptional regulator that coordinates N assimilation in response to
1172 the 2-oxoglutarate levels, which are largely determined through the activity of the Calvin-
1173 Benson-Bassham (CBB) and GS/GOGAT cycles. NtcB is a pathway-specific regulator
1174 of nitrate assimilation that enhances activation by NtcA of the *nir* operon, and the *ntcB*
1175 gene is activated by NtcA. CmpR is a pathway-specific regulator of Ci assimilation that
1176 activates the expression of the *cmp* operon (bicarbonate uptake) and represses RubisCo
1177 and carboxysome genes in response to low Ci availability; the *cmpR* gene is activated by
1178 NtcA. PacR coordinates regulation of elements of the carbon concentrating mechanism,
1179 RubisCo and photosynthetic elements including some for protection against oxidative
1180 damage, according to Ci availability and illumination conditions. In addition, NtcA
1181 participates in the regulation of the *rbcL* operon during heterocyst differentiation. Thus,
1182 the metabolism of *Anabaena* is globally regulated by the C-to-N balance. CA, carbonic
1183 anhydrase; 2-OG, 2-oxoglutarate; 3-PG, 3-phosphoglycerate.
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