

## REVIEW ARTICLE

## Genome-editing technologies and their potential application in horticultural crop breeding

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Plant breeding, one of the oldest agricultural activities, parallels human civilization. Many crops have been domesticated to satisfy human's food and aesthetical needs, including numerous specialty horticultural crops such as fruits, vegetables, ornamental flowers, shrubs, and trees. Crop varieties originated through selection during early human civilization. Other technologies, such as various forms of hybridization, mutation, and transgenics, have also been invented and applied to crop breeding over the past centuries. The progress made in these breeding technologies, especially the modern biotechnology-based breeding technologies, has had a great impact on crop breeding as well as on our lives. Here, we first review the developmental process and applications of these technologies in horticultural crop breeding. Then, we mainly describe the principles of the latest genome-editing technologies and discuss their potential applications in the genetic improvement of horticultural crops. The advantages and challenges of genome-editing technologies in horticultural crop breeding are also discussed.

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## INTRODUCTION

Plant breeding is one of the oldest agricultural activities, and it parallels human civilization. Human society started to progress from hunting and gathering to agriculture approximately 11 000 years ago,<sup>1</sup> which represents a shift from mobile collection to settled production. Since then, efforts to produce more food for survival have never stopped. By the end of the 18th century, more than 1000 species of plants had been domesticated around the world, of which approximately 100–200 now constitute the major components of the human diet, such as rice, wheat, maize, potato, yam, coconut, banana, etc.<sup>1</sup> Interestingly, the majority of these domesticated crops by numbers are horticultural crops, which have been domesticated for satisfying human's special diet, medical needs, and aesthetic purposes. To obtain higher yield and better quality varieties, many approaches have been used in crop breeding, such as various forms of hybridization breeding, mutation breeding, and transgenic breeding. Through the application of these newer technologies, many new crop varieties with novel traits have been generated. Here, we briefly summarize the development of breeding technologies in horticultural crops, we then introduce the latest genome-editing technologies, and, finally, we discuss their potential applications and challenges in breeding horticultural crops.

## PRINCIPLES AND APPLICATIONS OF TRADITIONAL BREEDING TECHNOLOGIES IN HORTICULTURAL CROP BREEDING

## Hybridization breeding technology

Hybridization breeding has long been used by humankind. In early days, naturally hybridized individuals with desirable traits such as larger fruit/nut, better taste, or higher yield were intentionally selected and preserved. Later, people observed the differences between the male and female reproductive organs of plants and learned that new offspring with superior traits could be generated by artificial mating,

or crosspollination. This marked the emergence of plant hybridization breeding, a hallmark of modern agriculture/horticulture. Through intentional hybridization, breeders could combine useful traits from two or more sources in one individual plant in one or more generations. One of the most successful applications of hybridization breeding is the utilization of heterosis, a phenomenon in which a hybrid ( $F_1$ ) progeny is typically superior with respect to size, growth characteristics, and yield compared with either parents.<sup>2</sup> Many fruit and vegetable crops are generated by hybridization and selection, such as garden strawberry (*Fragaria* × *ananassa*), apple (*Malus* × *domestica*), sweet orange (*Citrus sinensis*), tomato (*Solanum lycopersicum*), and squash (*Cucurbita maxima*). Another application of hybridization breeding is the generation of seedless horticultural crops, such as watermelon, by employing diploid and tetraploid parents. However, crop hybridization breeding has limitations that are difficult to overcome. First, hybridization can only be successfully conducted between two compatible plants in the same or closely related species/genus. Second, when plants are hybridized, many favorable traits of interest are transferred along with undesirable traits such as poor quality or low yield potential.<sup>3</sup> Due to the tight linkage of these genes, it may take several generations to segregate the undesired genes by back-crossing. Third, but not least, the breeding of many woody horticultural crops such as apple and walnut can take as many as 20–30 years to assort several favorable traits together in a single individual. This requires enormous amounts of labor and land resources, although fast track breeding techniques<sup>4</sup> and molecular marker-assisted selection<sup>5</sup> may accelerate breeding and selection processes.

## Mutation breeding technology

During the process of crop evolution, spontaneous variations with new characteristics sometimes occurred and these have been preserved. Utilization of these variations in crop breeding, such as the

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semi-dwarf variation of cereal crops, has greatly improved grain yield; this is known as the “green revolution.”<sup>6</sup> New cultivars selected from spontaneous mutations are particularly productive in perennial horticultural crops, such as the new red-skinned Fuji apple,<sup>7</sup> large-berry tetraploid Kyoho grape,<sup>8</sup> and many unique-looking ornamentals. This method of taking advantage of natural gifts is still widely utilized today. However, this method has at least three shortcomings. First, many of these types of mutations are not inheritable and may frequently reverse back to the original phenotypes. Second, many of these mutations give rise to chimeras and require “purification,” frequently through the use of successive grafting. Third, there is a low frequency of these chance mutations in nature.<sup>9</sup>

The low frequency of natural mutation can be overcome by artificially exposing various plant materials, such as seeds, cuttings, pollen, or tissue cultured calli, to either physical or chemical mutagens. This method was first discovered in the early 20th century when plant biologists found that exposing seeds to certain chemical compounds or radioactive rays could increase the frequency of genetic variations. This discovery later brought about plant mutation breeding. Though little was known about the molecular or genetic basis at that time, mutagens were rapidly applied to plant breeding, and a wide variety of genetic variations have been induced in most of the economically important crop species, including agricultural crops, fruit crops, and ornamental flowers, etc.<sup>10</sup> However, despite this considerable increase in the frequency of mutations, mutation is a random, non-specific process, and the majority of mutations are deleterious and chimeric. Thus, obtaining varieties with a desirable trait requires a large population, efficient screening, and frequent follow-up purification of chimeras.

#### Transgenic technology in horticultural crop breeding

The gene is the basis of plant breeding. During early stages, breeders selected new phenotypes with meritorious traits without knowing the genotype. The advent of molecular genetics has paved a wide road for modern biotechnological breeding. By knowing the details how desirable/undesirable traits are inherited and genetically controlled, molecular biologists can precisely manipulate the gene encoding a trait to create novel phenotypes through DNA recombinant technologies. Known as transgenic technology, the beauty of this breeding technology is that it can transfer the cloned gene regardless of the source or recipient of the genes. The key step in transgenic technology is the integration of desired foreign genes into the host plant genome. At present, there are three main plant transformation methods, i.e., the *Agrobacterium*-mediated method, particle bombardment method, and protoplast transformation method. The *Agrobacterium*-mediated method is the easiest and most convenient method. However, many horticulture crops are not susceptible to *Agrobacterium* and therefore cannot be transformed using this method. The bombardment and protoplast methods can overcome the host-dependent shortage of the *Agrobacterium*-mediated method. However, these methods also have shortcomings, e.g., the bombardment method requires specific facilities and the protoplast method is dependent on handling skills.<sup>11</sup> Nevertheless, protoplast transformation and the following regeneration of a whole plant from a single cell is an alternative strategy for many horticulture crops. Through transgenic approaches, breeders can introduce genes encoding for new traits into plants, such as resistance to certain pests or diseases, even with the genes from viral, bacterial, or distant plant species. The primary advantages are its precision and the improvement of a trait without altering the genetic constitution of an elite genotype. This is particularly useful for many perennial horticultural crops because they are highly heterozygous.

The first field trials of genetically engineered plants were performed in France and the USA in 1986.<sup>12</sup> FlavrSavr tomato was

the first transgenic food that was approved for sale in the USA in 1994.<sup>13</sup> A glycoside hydrolase gene that encodes polygalacturonase, which can dissolve cell-wall pectin in the plant cell wall, is silenced in the FlavrSavr tomato, resulting in slower softening and decay. This characteristic permits the transgenic tomato to be picked at a later stage of maturity with a greater development of flavor compounds and therefore better taste.<sup>14</sup> Different from FlavrSavr tomato, another successful transgenic story in horticultural crops is the viral disease resistant papaya.<sup>15</sup> In addition to tomato and papaya, many horticultural crop varieties have been generated using transgenic technology and have been released (Table 1). Although transgenic technology has achieved great success in supplementing crop breeding and has considerable commercial value, this technology faces some technical challenges. For instance, there are many economically important plant species, or elite varieties of particular species, that remain highly recalcitrant to genetic transformation and regeneration.<sup>3</sup> In addition to the technical obstacles, transgenic technology has faced increasing opposition in recent years because of the likely unpredictable risks to the environment and food safety, even though many of these claims are baseless. However, more useful technologies have been developed to address these concerns.<sup>16,17</sup>

#### GENOME-EDITING TECHNOLOGIES

In the past decade, new technologies commonly referred to as genome-editing technologies have emerged. These technologies rely on engineered endonucleases (EENs) that cleave DNA in a sequence-specific manner because of the presence of a sequence-specific DNA-binding domain or RNA sequence.<sup>18,19</sup> Through recognition of the specific DNA sequence, these nucleases can efficiently and precisely cleave the targeted genes. The double-strand breaks (DSBs) of DNA consequently result in cellular DNA repair mechanisms, including homology-directed repair (HDR) and error-prone non-homologous end joining breaks (NHEJ),<sup>20</sup> leading to gene modification at the target sites.

#### Zinc finger nucleases (ZFNs) in gene modification

ZFNs are the first generation EENs that were developed following the discovery of the functional principles of the Cys2-His2 zinc finger (ZF) domains.<sup>21</sup> Each Cys2-His2 ZF domain consists of 30 amino-acid residues that fold into a  $\beta\beta\alpha$  configuration.<sup>21,22</sup> Crystal structure analysis indicates that Cys2-His2 ZF proteins bind to DNA by inserting the  $\alpha$ -helix into the major groove of the double helix.<sup>23</sup> Each ZF protein is able to recognize 3 contiguous nucleotide bases within the DNA substrate. As shown in Figure 1, a generic ZFN monomer is fused by two functional distinct domains: an artificially prepared Cys2-His2 ZF domain at the N-terminal and a nonspecific DNA cleavage domain of the *Fok I* DNA restriction enzyme at the C-terminal. The dimerization of the *Fok I* domain is crucial for its enzymatic activity.<sup>24</sup> Therefore, a ZFN dimer composed of two 3- or 4-ZF domains will recognize an 18- or 24-base target sequence that, statistically, forms a unique site in the genomes of most organisms. Since the first report in 1996, ZFNs have been successfully applied to gene modification mainly in animals such as human cells,<sup>25</sup> zebrafish,<sup>26,27</sup> and plants such as *Arabidopsis*,<sup>28</sup> tobacco,<sup>29</sup> and maize.<sup>30</sup> However, obtaining functional ZFNs requires an extensive and time-consuming screening process.<sup>31</sup> Further, ZFNs have other limitations, such as off-target effect<sup>22</sup> or even toxic to the host cells. These shortcomings limit the application of ZFNs in plant genome editing. Until now, there have been no reports on ZFN applications in horticultural crops.

#### TALENs in gene modification

Recently, a new EEN, i.e., transcription activator-like effector nucleases (TALENs), has rapidly emerged as an alternative to ZFNs for genome editing.<sup>32</sup> The broad applications of TALENs were

**Table 1. List of commercialized transgenic horticultural plants**

| Crop   | GM events | Event name (Trade name)  | Commercial trait  | Developer   | Approval country, year, and type (a, b, c) <sup>a</sup>   |
|--|-----------|--|---|---|---|
| Apple ( <i>Malus × Domestrica</i> )                | 2         | GD734 (Arctic "Golden Delicious" Apple)  | Modified product quality  | Okanagan Specialty Fruits Incorporated  | USA (2015, a, b, c); Canada (2015, a, b, c)   |
| Carnation ( <i>Dianthus caryophyllus</i> )         | 19        | GD784 (Arctic "Granny Smith" Apple)<br>4, 11, 15, 16 (Moon dust)   | Non-browning phenotype<br>Modified product quality  | Florigene Pty Ltd. (Australia)  | Australia (1995, c); Japan (2004, c); Norway (1997, c)  |
|  |           | 11363 (Moonshadow)   | Herbicide tolerance + modified product quality  | Suntory Limited (Japan)   | Australia (2007, c); Japan (2004, c); Norway (1998, c); EU (1998, c)  |
|  |           | 1226A, 123.2.2, 1351A, 1400A, 959A, 988A (Moonshade)<br>123.2.38 (Moonlite)  |   |   | Colombia (2000, c); Norway (1998, c); EU (1998, c); Australia (2007, c); Japan (2004, c); Malaysia (2012, c)<br>Australia (2007, c); EU (2007, c); Japan (2004, c); Malaysia (2012, c)  |
| Chicory ( <i>Cichorium intybus</i> )               | 3         | 123.8.12 (Moon aqua)<br>123.8.8 (Moon vista)<br>66 (NA)<br>19907 (Moonique); 25947 (Moon pearl);<br>25958 (Moon berry); 26407 (Moon velvet)<br>RM3-3, RM3-4, RM3-5 (Seed Link)   | Herbicide tolerance and pollination control system<br>Herbicide tolerance   | Bejo Zaden BV (the Netherlands)   | USA (1997, a, b, c)   |
| Creeping Bentgrass ( <i>Agrostis stolonifera</i> ) | 1         | ASR368 (Roundup Ready Creeping Bentgrass)  | Herbicide tolerance   | Monsanto Company and Scotts Seeds   | USA (2003, b)   |
| Eggplant ( <i>Solanum melongena</i> )              | 1         | Bt Brinjal Event EE1 (BARI Bt Begun-1, -2, -3 and -4)  | Insect resistance   | Maharashtra Hybrid Seed Company   | Bangladesh (2013, a, c)   |
| Melon ( <i>Cucumis melo</i> )                      | 2         | Melon A (NA); Melon B (NA)   | Modified product quality  | AgriPro Inc. (USA)  | USA (1999, a)   |
| Papaya ( <i>Carica papaya</i> )                    | 4         | 55-1 (Rainbow, SunUp)<br>63-1 (NA)   | Disease resistance  | Cornell University and University of Hawaii   | USA (1996, c; 1997, a, b); Canada (2003, a); Japan (2011, a, c)   |
| Petunia ( <i>Petunia hybrida</i> )                 | 1         | Huanong No. 1 (Huanong No. 1)<br>X17-2 (NA)  | Modified product quality  | Cornell University and University of Hawaii   | USA (1996, c)   |
| Plum ( <i>Prunus domestica</i> )                   | 1         | Petunia-CHS (NA)<br>C-5 (NA)   | Modified product quality<br>Disease resistance  | South China Agricultural University<br>University of Florida<br>Beijing University<br>United States Department of Agriculture - Agricultural Research Service   | China (2006, c)<br>USA (2008, a, b; 2009, c)<br>China (1998, c)<br>USA (2007, c; 2009, a, b)  |
| Potato ( <i>Solanum tuberosum</i> L.)              | 42        | 1210 amk (Lugovskoi plus); 2904/1 kgs (Elizaveta plus)<br>AM04-1020 (Starch Potato); EH92-527-1, (Amiflora <sup>®</sup> )<br>E12, E24 (Inmate <sup>®</sup> Russet Burbank Potato)<br>F10, F37 (Inmate <sup>®</sup> Ranger Russet Potato)<br>G11 (Inmate <sup>®</sup> G Potato)<br>H37, H50 (Inmate <sup>®</sup> H Potato)<br>J3, J55, J78 (Inmate <sup>®</sup> Atlantic Potato)<br>ATBT04-27, -30, -31, -6, -36 (Atlantic NewLeaf <sup>®</sup> potato)<br>BT06, BT10, BT12, BT16, BT17, BT18, BT23 (New Leaf <sup>®</sup> Russet Burbank potato) | Insect resistance<br>Modified product quality<br>Modified product quality<br>Modified product quality<br>Modified product quality<br>Modified product quality<br>Insect resistance<br>Insect resistance | Centre Bioengineering, Russian Academy of Sciences<br>BASF<br>J.R. Simplot Co.<br>J.R. Simplot Co.<br>J.R. Simplot Co.<br>J.R. Simplot Co.<br>J.R. Simplot Co.<br>Monsanto Company (including fully and partly owned companies) | Russian Federation (2007, a); Russian Federation (2005, a)<br>USA (2014, a, b); EU (2010, a, b, c)<br>USA (2014, a, b, c)<br>USA (2014, a, b, c)<br>USA (2014, a, b, c)<br>USA (2014, a, b, c)<br>USA (2014, a, b, c)<br>Canada (1996, a; 1997, b, c); Mexico (1996, a); USA (1996, a, b; 1995, c); Australia (2001, a); New Zealand (2001, a)<br>Australia (2001, a); Canada (1995, a, c; 1996, b); Japan (2001, a); New Zealand (2001, a); Philippines (2003, a, b); South Korea (2004, a); USA (1995, a, b; 1994, c) |

Continued

Table 1. (Continued)

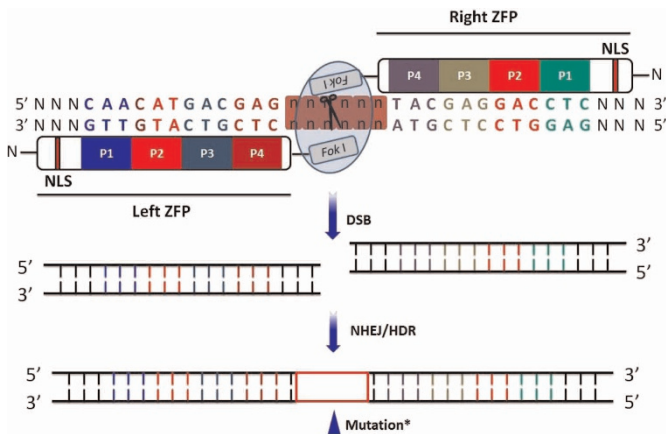
| Crop                                      | GM events | Event name (Trade name)   | Commercial trait   | Developer   | Approval country, year, and type (a, b, c) <sup>a</sup>   |
|---|-----------|---|--|---|---|
|   |           | HLMT15-15, -3, -46 (Hi-Lite NewLeaf <sup>®</sup> Y potato)                                      | Insect + disease resistance  |   | USA (1998, a, b)  |
|   |           | RBMT15-101 (New Leaf <sup>®</sup> Y Russet Burbank potato)                                      | Insect + disease resistance  |   | Australia (2001, a); Canada (1999, a, b; 2001, c); Japan (2003, a); Mexico (2001, a); New Zealand (2001, a); Philippines (2003, a, b); South Korea (2004, a); USA (1998, a, b; 1997, c)                         |
|   |           | RBMT21-129, -350, -152 (New Leaf <sup>®</sup> Plus Russet Burbank potato)                       | Insect + disease resistance  |   | Australia (2001, a); Canada (1999, a, b; 2001, c); Japan (2001, a); Mexico (2001, a); New Zealand (2001, a); Philippines (2004, a, b); South Korea (2004, a); USA (1998, a, b; 1997, c); USA (1998, a, b)       |
|   |           | RBMT22-082, -186, -238, -262 (New Leaf <sup>®</sup> Plus Russet Burbank potato)                 | Herbicide tolerance + insect + disease resistance  |   | Australia (2001, a); Canada (1999, a, b; 2001, c); Japan (2001, a); Mexico (2001, a); New Zealand (2001, a); Philippines (2004, a, b); South Korea (2004, a); USA (1998, a, b; 1997, c); USA (1998, a, b)       |
|   |           | SEMT15-02, -07, -15 (Shepody NewLeaf <sup>®</sup> Y potato)                                     | Insect and disease resistance  |   | Australia (2001, a); Canada (1999, a, b; 2001, c); Japan (2003, a); Mexico (2001, a); New Zealand (2001, a); Philippines (2003, a, b); South Korea (2004, a); USA (1998, a, b; 1997, c); USA (1998, a, b)       |
|   |           | SPBT02-5, SPBT02-7 (Superior NewLeaf <sup>®</sup> potato)                                       | Insect resistance  |   | Australia (2001, a); Canada (1995, a); Japan (2001, a); Mexico (1996, a); New Zealand (2001, a); Philippines (2003, a, b); South Korea (2004, a); USA (1996, a, b; 1995, c); Canada (1995, a); Mexico (1996, a) |
| Rose ( <i>Rosa hybrida</i> )              | 2         | WKS82/130-4-1 (NA)  | Modified product quality   | Suntory Limited (Japan)   | Australia (2009 c), Colombia (2010 c), Japan (2008 c), USA (2011 c)   |
| Squash ( <i>Cucurbita pepo</i> )          | 2         | WKS92/130-9-1 (NA)<br>CZW3 (NA)   | Disease resistance   | Seminis Vegetable Seeds (Canada) and Monsanto Company (Asgrow)  | Colombia (2010 c), Japan (2008 c), USA (2011 c)<br>Canada (1998, a); USA (1994, a, b; 1996, c)  |
| Sweet pepper ( <i>Capsicum annuum</i> )   | 1         | ZW20 (NA)<br>PK-SP01 (NA)   | Disease resistance   | Beijing University  | USA (1997, a, b; 1994, c)<br>China (1998, a, c)   |
| Tomato ( <i>Lycopersicon esculentum</i> ) | 11        | 1345-4 (NA)<br>35-1-N (NA)<br>B (NA); Da (NA)<br>F (NA)   | Modified product quality<br>Modified product quality<br>Modified product quality<br>Modified product quality | DNA Plant Technology Corporation (USA)<br>Agritope Inc. (USA)<br>Zeneca Plant Science and Petoseed Company<br>Zeneca Plant Science and Petoseed Company | Canada (1995, a); USA (1995, a, b, c); Mexico (1998, a)<br>USA (1996, a, b, c)<br>USA (1994, a, b; 1995, c); Mexico (1996, a)   |
|   |           | Da Dong No 9 (NA)<br>HuaFan No 1 (NA)   | Modified product quality<br>Modified product quality   | Institute of Microbiology, CAS (China)<br>Huazhong Agricultural University (China)  | China (1999, a, b, c)<br>China (1997, a, b, c)  |
|   |           | 8338 (NA)<br>FLAVR SAVR <sup>®</sup> (FLAVR SAVR <sup>®</sup> )<br>5345 (NA)<br>PK-TM8805R (NA) | Modified product quality<br>Modified product quality<br>Insect resistance<br>Disease resistance              | Monsanto Company<br>Monsanto Company<br>Monsanto Company<br>Beijing University  | USA (1994, a, b; 1995, c)<br>Canada (1995, a); USA (1994, a, b; 1992, c); Mexico (1995, a)<br>Canada (2000, a); USA (1998, a, b, c);<br>China (1999, a, b, c)   |

Source: <http://www.isaaa.org/gmapprovaldatabase/cropslist/default.asp>.

NA, information not available.

<sup>a</sup> Approval type: a, food, direct use, or processing; b, feed, direct use, or processing; c, cultivation, domestic, or non-domestic use.





**Figure 1.** Schematic illustration of the ZFN structure and the principle of ZFN-mediated genomic modifications. The target site of the ZFN is recognized by the “left” and “right” half monomer that each consist of a tandem array of engineered ZFPs, and each engineered ZFP can recognize a nucleotide triplet (shown in different colors). The ZFN monomer is comprised of an N-terminal domain containing a NLS (red), a recognition domain that usually comprises tandem ZFPs (in different colors) and a C-terminal function domain that comprises the *Fok I* endonuclease. Recognition of the target sequence by the left and right ZFPs results in dimerization of the *Fok I* endonuclease, which is critical for the activity of the ZFNs. DNA cleavage takes place between the two ZFP recognition sites that contain a spacer sequence that is usually 6 bp long. Induced DSB of the target DNA are repaired either by NHEJ or HDR, resulting in gene mutation around the cleavage sites. NLS, nuclear localization signal; ZFP, zinc finger proteins; DSB, double-strand breaks; NHEJ, non-homologous end joining; HDR, homology-directed repair. Mutation\*, the red color box region contains nucleotide deletion, insertion or substitution. Figure modified from Gaj *et al.* (2013), Figure 1<sup>19</sup> and Moore *et al.* (2012), Figure 1.<sup>100</sup>

based on the recognition of the functional principles of the type III transcription activator-like (TAL) effectors that are secreted by the plant pathogenic bacteria *Xanthomonas*.<sup>33</sup> After being pumped into host cells, the TAL effectors enter the nucleus and bind to effector-specific sequences in the host gene promoters and activate transcription.<sup>34</sup> AvrBs3 was the first investigated TAL effector protein found in 1989.<sup>35</sup> However, the recognition mechanism of the TAL effectors was not deciphered until 2009 by two independent research groups.<sup>36,37</sup> The DNA recognition property of the TAL effectors is mediated by tandem amino acid repeats (34 residues in length). Two hypervariable amino acids known as repeat-variable di-residues (RVDs) located at the 12th and 13th position in each repeat determine the binding specificity of the TAL effectors.<sup>38,39</sup> HD, NG, NI, and NN are the four most common RVDs, accounting for each of the four nucleotides C, T, A, G, respectively. Similar to ZFN, the TALEN monomer is also fused by two independent domains: a customizable DNA-binding domain at the N-terminal and a nonspecific *Fok I* nuclease domain at the C-terminal (Figure 2). Due to easier manipulation, the genes modified by TALENs have been successfully used in both animal and plant species within three years of deciphering their function. These species include zebrafish,<sup>40,41</sup> rat,<sup>42</sup> human cells,<sup>43,44</sup> rice,<sup>45</sup> wheat,<sup>46</sup> *Arabidopsis*,<sup>47–49</sup> and horticultural crops such as potato<sup>50</sup> and tomato.<sup>51</sup>

#### CRISPR/Cas in gene modification

More recently, a new class of genome-editing technology, i.e., the CRISPR (clustered regularly interspaced short palindromic repeats)/Cas (CRISPR-associated) system, has been developed. The principle of the CRISPR/Cas system was derived from a type II prokaryotic

organism adaptive immune system.<sup>52</sup> CRISPRs were firstly identified in the *Escherichia coli* genome in 1987 as an unusual sequence element consisting of a series of 29-nucleotide repeats separated by unique 32-nucleotide “spacer” sequences.<sup>53,54</sup> Later, repetitive sequences with a similar repeat-spacer-repeat pattern were identified in other bacterial and archaeal genomes, but the functions of these repeats remained obscure until 2005 when three independent research groups found the spacer sequence was identical to some part of the viral and plasmid sequence.<sup>55–57</sup> Further investigations indicated that CRISPRs function through an RNA interference-like mechanism to recognize and cleave foreign DNA.<sup>58</sup> As shown in Figure 3, the type II CRISPR/Cas from *Streptococcus pyogenes*, a short CRISPR RNA (crRNA), is able to recognize a complementary stretch of nucleotides in alien DNA and determines the sequence specificity. In addition, a transactivating crRNA (tracrRNA) is required to form a ribonucleoprotein complex with Cas9 nuclease to generate site-specific DSBs.<sup>52,59</sup> Later, investigators found that the components of crRNA and tracrRNA could be combined into a single RNA chimera, which was termed as guide RNA (gRNA).<sup>60</sup> Efficient cleavage also requires the presence of the protospacer adjacent motif (PAM) in the complementary strand following the recognition sequence.<sup>52</sup>

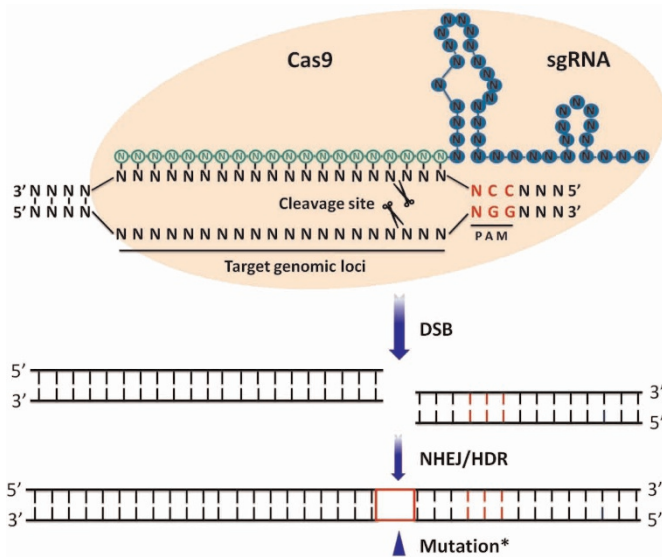
In some cases, the CRISPR/Cas method may introduce unwanted off-target mutations.<sup>61–63</sup> To eliminate the potential off-target effect of the CRISPR/Cas system, different efforts have been attempted. Hou *et al.* reported that a Cas9 protein from *Neisseria meningitidis* could recognize a longer target sequence than the one from *S. pyogenes*, which consequently improved the target specificity of the CRISPR/Cas system.<sup>64</sup> In addition to changing the Cas9 protein from different bacteria, other strategies have also been applied to reduce the off-target effects, such as utilization of Cas9 nickase<sup>65</sup> and manipulation of the length of the recognition sequence in gRNA.<sup>66</sup> These strategies dramatically reduced the off-target effects of the CRISPR/Cas system and will greatly improve the specificity of this system.

Since the first report in early 2013, this technology has been widely applied in gene modification in both animals and plants, such as zebrafish,<sup>67,68</sup> mice,<sup>69</sup> human cells,<sup>60,70,71</sup> *Arabidopsis*,<sup>72,73</sup> tobacco,<sup>72</sup> rice,<sup>74–76</sup> wheat,<sup>74</sup> and sweet orange.<sup>77</sup> In plants, the modified traits include changing plant architecture, e.g., Miao *et al.* changed the tiller angle by modifying the *LAZY1* gene in rice,<sup>75</sup> and Shan *et al.* changed the plant color to white by modifying the *OsSPD* gene in rice.<sup>74</sup>

#### COMPARISON OF ZFNs, TALENS, AND CRISPR/CAS

All three genome-editing technologies—ZFNs, TALENs, and CRISPR/Cas—are able to induce DSBs at specific sites in the genome, which might be repaired by NHEJ or HDR that results in gene mutations at the target site. Compared with ZFNs and TALENs, CRISPR/Cas offers a few advantages as shown in Table 2. First and foremost, the CRISPR/Cas system is based on simple RNA/DNA hybrids that confer sequence specificity.<sup>59</sup> Investigators can easily target a different gene by replacing a 20-bp complementary nucleotide sequence that will modify the new target gene. In contrast, ZFNs and TALENs are based on the protein-guided recognition mechanism, in which the targeting of a specific DNA sequence requires the modular assembly of pairs of recognition proteins units while the vector system is being constructed, which is time-consuming and tedious work. Therefore, ZFNs and TALENs are much more expensive than the CRISPR/Cas method and have not been widely adopted by the plant research community until now. Second, CRISPR/Cas can simultaneously introduce multiple gene disruptions,<sup>78</sup> which allows researchers to edit multiple genes in one plant line through a single transformation without the time-consuming post-transgenic hybridization and screening processes. In summary, the CRISPR/Cas method is considered to be the most





**Figure 3.** Schematic illustration of the CRISPR/Cas9 system structure and principle of CRISPR/Cas9-mediated genomic modifications. The synthetic guide RNA (sgRNA) contains a region (usually 20 bp in length) complementary to the target site on the genomic loci and stem loops that mediate the binding of the Cas9 protein. The protospacer adjacent motif (PAM, NGG) required for cleavage is indicated in red, the Cas9 protein is shown by the brown circle, and the cleavage sites located 3 bp from the PAM motif are indicated by scissors. Induced DSBs of the target DNA are repaired either by NHEJ or HDR resulting in gene mutations that include nucleotide insertion, deletion or substitution around the cleavage sites. sgRNA, synthetic guide RNA; DSB, double-strand breaks; NHEJ, non-homologous end joining; HDR, homology-directed repair. Mutation\*, red color box region contains nucleotide deletion, insertion or substitution. Figure modified from Xie and Yang (2013), Figure 1.<sup>76</sup>

ive outgrowth of axillary buds,<sup>88,89</sup> respectively. Thus, new horticultural crops with semi-dwarf phenotypes or more branches could be generated by the disruption of the functions of these gene homologs using genome-editing technologies.

Shelf life is one of the key traits that determines the quality of fruit, flowers and vegetables. Research indicates that the plant hormone ethylene plays a very important role in the process of flower wilting and fruit ripening.<sup>90</sup> Inhibition of ethylene biosynthesis and blocking ethylene signal transduction can delay flower senescence in carnation<sup>91</sup> and petunia,<sup>92</sup> respectively. Thus, new horticultural crops with a longer shelf life could be generated by disrupting the key genes involved in the ethylene biosynthetic or signaling transduction pathway through genome-editing technologies.

Plant disease caused by microorganisms is another major factor that reduces shelf life and the quality and yield of horticultural crops. Powdery mildew, which is one of the most common plant diseases, is caused by different *Erysiphales* fungal species.<sup>93</sup> Studies in barley showed that the gene *MILDEW-RESISTANCE LOCUS (MLO)* encodes a protein that represses defenses against powdery mildew disease.<sup>94</sup> Phylogenetic studies indicated that the *MLO* gene family is conserved in the plant kingdom.<sup>95</sup> Loss-of-function *mlo* alleles in barley, *Arabidopsis*, tomato, and pea lead to broad-spectrum and durable resistance to the powdery mildew pathogens in these species.<sup>46,96</sup> In 2013, Jiwan *et al.* reported that antisense expression of the peach *MLO* gene in strawberry (*Fragaria × ananassa*) conferred cross-species resistance to *Fragaria*-specific powdery mildew.<sup>97</sup> These studies indicate the conserved biological function of this gene family. Very recently, Wang *et al.* used TALEN technology to modify the three homoeo-alleles of *MLO* in hexaploid bread wheat.<sup>46</sup> Gain-of-function mutants exhibit heritable resistance to powdery mildew, and this highlights the potential application of genome-editing technologies in the modification of the *MLO* alleles in horticultural crops to generate disease resistant varieties.

The essential prerequisite of genome editing is the availability of precise genomic information and gene functions. Most of the traits mentioned above are based on genetic functional studies in model plants. Therefore, information from model plants can only serve as a reference. The lack of molecular information on horticultural crops has greatly restricted breeding efficiency, but this is drastically improving. Many horticultural crops have been whole-genome sequenced,<sup>98</sup> including grapevine, papaya, strawberry, sweet orange, etc. In addition, a considerable higher number of various types of transcriptomes of horticultural crops are now available.<sup>99</sup> These vast genomic data will surely facilitate elucidating the molecular control of important traits in horticultural crops and as a result, identify the precise target gene sequences for genome editing. The reference genomes, along with transcriptomes and resequencing data in many horticultural crops, may also offer unlimited targets for genome editing for characterizing the potential functions of these genes, which in turn, can help to design better gene/genome-editing strategies, especially by employing CRISPR/Cas technology. Currently, in addition to the lack of well-characterized target gene information, another major limiting factor that restricts the broad application of gene/genome-editing technologies to horticultural crops is the same challenge faced in transgenic breeding technology: Many horticultural crops remain highly recalcitrant to transformation and regeneration process, and this has also restricted molecular characterization of horticultural traits.

## CONCLUSIONS AND PERSPECTIVES

Compared with traditional breeding methods, genome-editing technologies provide obvious advantages, as shown in Table 3.

**Table 2.** Comparison between ZFNs, TALENs, and CRISPR/Cas systems for genome editing

|                        | ZFNs   | TALENs   | CRISPR/Cas   |
|------------------------|--|--|--|
| Target DNA recognition | Protein–DNA  | Protein–DNA  | RNA–DNA  |
| Key components         | ZF- <i>Fok I</i> fusion protein  | TALE- <i>Fok I</i> fusion protein  | Guide RNA and Cas9 protein   |
| Function mode          | ZF proteins recognize target DNA sequences → dimerization of <i>Fok I</i> nucleases induces DSBs of DNA → DSBs are repaired by NHEJ or HDR | TALE proteins recognize target DNA sequences → dimerization of <i>Fok I</i> nucleases induces DSBs of DNA → DSBs are repaired by NHEJ or HDR | Guide RNA recognizes target DNA sequence next to a NGG motif → Cas9 induces DSBs of DNA → DSBs are repaired by NHEJ or HDR |
| Advantages             | Highly efficient and specific  | Highly efficient and specific  | Highly efficient, easy to be constructed, and capable of editing multiple sites simultaneously                             |
| Disadvantages          | Large-scale screening, time-consuming and expensive to be constructed  | Tedious and time-consuming to be constructed   | PAM motif next to target sequence required   |

DSB, double strand break; NHEJ, non-homologous end joining; HDR, homology-directed repair.



**Table 3.** Comparison between traditional and modern breeding technologies

| Mutagen                              | Chemical (e.g., EMS)  | Physical (e.g., gamma, X-ray or fast neutron radiation)   | Biological – ZFNs, TALENs or CRISPR/Cas   | Biological – Transgenics (e.g., Agro or gene gun)  |
|--------------------------------------|---|---|---|--|
| Characteristics of genetic variation | –Substitutions and deletions<br>–Loss of function mutations mainly  | –Deletions and chromosomal mutations<br>–Loss of function mutations mainly  | –Substitutions, deletions and insertions<br>–Loss of function and gain of function mutations  | –Insertions<br>–Loss of function and gain of function mutations  |
| Advantages                           | –Recessive traits mainly<br>–Unnecessary of knowing gene functions or sequences<br>–Easy production of random mutations | –Recessive traits mainly<br>–Unnecessary of knowing gene functions or sequences<br>–Easy production of random mutations | –Recessive and dominant traits<br>–Gene specific mutations<br>–Efficient production of desirable mutations                                | –Dominant traits<br>–Insertion of genes of known functions into host plant genome<br>–Efficient creation of plants with desirable traits<br>–Unlimited application potential |
| Disadvantages                        | –Inefficient screening of desirable traits<br>–Non-specific mutations<br>–Limited application potential                 | –Inefficient screening of desirable traits<br>–Non-specific mutations<br>–Limited application potential                 | –Necessity of knowing gene functions and sequences<br>–Prerequisite of efficient genetic transformation<br>–Limited application potential | –Necessity of knowing gene functions and sequences<br>–Prerequisite of efficient genetic transformation  |
| Other features                       | –Non-transgenic process and traits  | –Non-transgenic process and traits  | –Transgenic process but non-transgenic traits   | –Transgenic process and traits   |

Traditional breeding technologies have allowed breeding and the selection of hundreds and thousands of unique horticultural crops with improved traits, from better qualities and extended shelf life, to novel color- and shaped fruits, vegetables, and ornamental flowers and trees. However, the long breeding cycles, high heterozygosity, lack of various degrees of preciseness in hybridization, and low frequencies of desirable mutations have made new varietal development highly resource-demanding. Transgenic technology, to some degree, is a versatile technology with unlimited application potential. This type of technology can overcome the incompatibility barriers between species by integrating foreign genes into target plant genomes, or even introducing synthetic artificial genes to generate new varieties with desired traits. However, in recent years, breeding new varieties, especially the main dietary crops, using transgenic technology has faced increasing opposition from the public. In addition, before release or commercialization, a transgenic crop variety requires years of risk assessments that result in considerable increases in time and cost.

The latest genome-editing technologies, particularly CRISPR/Cas, promise to be more efficient and precise to edit genes when the genome sequences for target genes are known. These technologies could generate new varieties through mutation breeding. However, these technologies could be as direct and efficient as transgenic methods and could be used to generate new varieties without introducing foreign genes into the plant genome in many cases. Therefore, new crop varieties generated using these methods could be considered as non-transgenic crops that might be more acceptable in countries where transgenic plants are rejected by the public.

Although there are many challenges that need to be resolved, we are optimistic that these hurdles will be removed and the site-specific, time-saving, and high-efficient genome-editing technologies, especially the CRISPR/Cas technology, will undoubtedly be incorporated into horticultural plant breeding. The last, but most important point that should be taken into consideration is the understanding and acceptance aspects of the public to new horticultural crop varieties generated using genome-editing technology. It is necessary to establish a policy for this new biotechnology and to distinct the boundaries between traditional genetically modified organisms and genome-edited organisms. Ultimately, genome-editing technology, in combination with other breeding technologies, will result in more nutritious, colorful, tasteful, and esthetic fruits, vegetables, and ornamental flowers and trees and make our lives more healthy, beautiful and enjoyable.

### COMPETING INTERESTS

The authors declare no conflict of interest

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