

Open access • Posted Content • DOI:10.1101/599456

Genomic Prediction of Depression Risk and Resilience Under Stress — Source link 🗹

Yu Fang, Laura J. Scott, Peter X.-K. Song, Margit Burmeister ...+1 more authors

Institutions: Molecular and Behavioral Neuroscience Institute, University of Michigan

Published on: 04 Apr 2019 - bioRxiv (Cold Spring Harbor Laboratory)

Topics: Depression (differential diagnoses)

Related papers:

- · Genomic prediction of depression risk and resilience under stress
- Polygenic risk scores for major depressive disorder and neuroticism as predictors of antidepressant response: metaanalysis of three treatment cohorts.
- · Pharmacogenetics of antidepressant response: a polygenic approach
- Genome-wide association analyses identify 44 risk variants and refine the genetic architecture of major depressive disorder
- Genome-wide association analyses identify 44 risk variants and refine the genetic architecture of major depression



1 Genomic Prediction of Depression Risk and

2 Resilience Under Stress

- 3 Yu Fang¹, Laura Scott², Peter Song², Margit Burmeister¹, Srijan Sen¹
- 4 ¹ Molecular and Behavioral Neuroscience Institute, University of Michigan, Ann Arbor, MI, USA
- 5 ² School of Public Health, University of Michigan, Ann Arbor, MI, USA

6 Abstract

7 Advancing our ability to predict who is likely to develop depression in response to stress holds 8 great potential in reducing the burden of the disorder. Large-scale genome-wide association 9 studies (GWAS) of depression have, for the first time, provided a basis for meaningful 10 depression polygenic risk score construction (MDD-PRS). The Intern Health Study utilizes the 11 predictable and large increase in depression with physician training stress to identify predictors 12 of depression. Applying the MDD-PRS derived from the PGC2/23andMe GWAS to 5,227 13 training physicians, we found that MDD-PRS predicted depression under training stress 14 (beta=0.082, p=2.1x10⁻¹²) and that MDD-PRS was significantly more strongly associated with 15 depression under stress than at baseline (MDD-PRS x stress interaction - beta=0.029, p=0.02). 16 While known risk factors accounted for 85.6% of the association between MDD-PRS and 17 depression at baseline, they only accounted for 55.4% of the association between MDD-PRS 18 and depression under stress, suggesting that MDD-PRS can add unique predictive power to 19 existing models of depression under stress. Further, we found that low MDD-PRS may have 20 particular utility in identifying individuals with high resilience. Together, these findings suggest 21 that polygenic risk score holds promise in furthering our ability to predict vulnerability and 22 resilience under stress.

23 Introduction

According to the World Health Organization, depression is the leading cause of disease-

25 associated disability in the world ¹. As current treatments for depression only result in remission

in a minority of cases and new treatments have been slow to emerge, the burden of depression,

27 including suicide, has continued to grow.

28 In populations at high risk, prevention of depression may be an effective strategy. The U.S.

29 National Academy of Medicine has highlighted the need to develop, evaluate, and implement

30 prevention interventions for depression and other mental, emotional, and behavioral disorders ²

31 ³. However, our current ability to predict those most at risk for depression is limited.

32 Genetic variation accounts for 30-40% of the population variation in unipolar depression risk⁴. 33 In the past few years, genome-wide association studies have, for the first time, identified a 34 substantial number of variants associated with depression ⁵ ⁶. However, no individual variants of 35 moderate to large effect have emerged, with evidence indicating that risk for depression is 36 distributed widely across genome 7. Because the effect size of identified depression variants is 37 modest, any individual polymorphism has limited utility for risk prediction. Polygenic risk scores 38 (PRS) provide a mechanism for aggregating the cumulative impact of common polymorphisms 39 by summing the number of risk variant alleles in each individual weighted by the impact of each 40 allele on risk of disease. In other disease phenotypes, PRS has shown utility in predicting 41 disease. For instance, the PRS for cardiovascular disease substantially improves risk prediction 42 for disease beyond known risk factors 8.

Prospective cohort studies are critical to evaluating the predictive power of PRS ⁹. With life
stress accounting for 30-40% of the population variation in unipolar depression risk ¹⁰ and
approximately 80% of depressive episodes are preceded by a major stressor ¹¹, a promising
strategy is assess depression PRS (MDD-PRS) to predict the development of depression under

stress. However, the unpredictable nature of stress makes prospective studies of depression
difficult. The first year of professional physician training, medical internship, is an unusual
situation where the onset of stress can be reliably predicted. The prevalence of major
depression increases 5-6 fold during internship, with a series of psychological and demographic
factors predicting the development of depression during internship stress ¹² ¹³. Here, we utilize
internship to assess the predictive power of MDD-PRS for depression under stress.

53 Results

54 5,227 medical interns of European ancestry from the Intern Health Study were included for

analysis. This sample consisted of 50.3% women and a mean age of 27.6 years. We measured

56 depressive symptoms using the PHQ-9 questionnaire before internship year started (baseline)

57 and every three months during the stressful internship year. Included participants completed the

58 baseline survey and at least one quarterly survey (average number of follow up visits was 3.46,

59 SD = 0.90). The participants had a mean PHQ-9 score of 2.5 (SD = 2.9) at baseline and 5.6 (SD

60 = 3.8) during internship. 3.4% of the subjects met PHQ depression criteria (PHQ-9 score >= 10)

at baseline, with the percentage increasing to 33.2% during internship (Table 1).

62 Association of MDD-PRS with PHQ-9 depressive symptom score

63 We used the summary statistics derived from the most recent Major Depressive Disorder (MDD)

64 GWAS, a meta-analysis of the Psychiatric Genomics Consortium (PGC) MDD phase 2 and

65 23andMe, Inc., a personal genetics company ⁷, to calculate the MDD polygenic risk score

66 (MDD-PRS) in our sample, including all genotyped common SNPs in the MDD-PRS calculation.

67 The standardized MDD-PRS in intern subjects had a near-normal distribution (Figure 1).

68 To compare the predictive power of MDD-PRS on depression at baseline and during internship

69 stress, we assessed the association between MDD-PRS and inverse-normalized PHQ-9 score

under both conditions. After adjustment for age, sex and top 10 genotype-based PCs, one SD increase of MDD-PRS was associated with 0.052 higher PHQ-9 score at baseline ($p = 1.7 \times 10^{-4}$) and 0.082 higher PHQ-9 score during internship ($p = 2.1 \times 10^{-12}$) (Table 2, Figure 2a,b left plots). With both baseline and internship PHQ-9 scores included in the model, we found a significant interaction between MDD-PRS and internship stress status on PHQ-9 depressive symptom score (beta = 0.029, p = 0.023), indicating that the effect of MDD-PRS on PHQ-9 score was greater under internship stress than at baseline (Table 2).

To test the robustness of our findings to the set of variants used to calculate MDD-PRS, we also calculated MDD-PRS using LD pruned-imputed common SNPs. We observed slightly attenuated but significant associations between MDD-PRS and PHQ-9, both at baseline (beta = 0.042, p = 3.0×10^{-3}) and during internship (beta = 0.070, p = 4.3×10^{-9}) (Supplementary Table 1).

In addition to the quantitative PHQ score, we also utilized PHQ depression diagnosis as an outcome measure. In a logistic regression, we found no significant association between MDD-PRS and depression diagnosis at baseline (OR = 0.99, p = 0.88). In contrast, MDD-PRS was significantly associated with depression diagnosis during internship (OR = 1.17, p = 2.0×10^{-7}). Parallel to the findings with PHQ-9 score, there was a significant interaction between MDD-PRS and internship status on PHQ depression diagnosis (OR = 1.29, p = 0.019) indicating the effect of MDD-PRS on depression prevalence was greater during internship than baseline.

Because the PGC/23andMe GWAS meta-analysis results were generated using European
ancestry individuals, we restricted our main MDD-PRS analysis to the European ancestry
subjects from our sample ¹⁴. To explore the predictive ability of European ancestry-based MDDPRS in individuals of other ancestries, we assessed the association between MDD-PRS and
PHQ-9 score in Intern Health Study participants of East Asian ancestry (n = 816) and South

Asian ancestry (n = 595). We did not find and association between PGC/23andMe derived MDD-PRS and PHQ-9 scores in either the East Asian group (baseline beta = -0.011, p = 0.77; during internship beta = 0.012, p = 0.69) or the South Asian group (baseline beta = 0.028, p = 0.50; internship beta = 4.1×10^{-4} , p = 0.99).

98 Mediation of the association between MDD-PRS and PHQ-9 depressive

99 symptom score by known risk factors

100 We conducted mediation analysis to quantify the proportion of the association mediated by 101 three risk factors - neuroticism, personal history of depression and a stress early family 102 environment - previously demonstrated to predict depression in both the general population, and 103 training physicians, specifically ¹². To capture the joint contributions of the three known 104 depression risk, we performed principal component analysis and used the first principal 105 component in our analysis (known risk factor-based PC). The contributions of neuroticism, 106 personal history of depression, and early family environment in the first principal component 107 were 39%, 36% and 24% respectively (Figure 2c). The known risk factor-based PC explained 108 85.64% of the association between MDD-PRS and PHQ-9 score at baseline but only 55.40% 109 during internship (Table 3, Figure 2a,b right plots). The indirect effects of the known risk factor-110 based PC on PHQ-9 score were essentially the same at baseline $(0.13 \times 0.35 = 0.046)$ and 111 during internship $(0.13 \times 0.34 = 0.044)$ (Figure 2a,b right plots), suggesting that the additional 112 variance of PHQ-9 explained by MDD-PRS during internship was not mediated by the known 113 risk factors but through other factors not included in the model.

114 Differentiation of high risk/high resilience subjects

Khera and colleagues identified that individuals in the extreme high-tail of CVD-PRS distribution
have several-fold higher risk of disease compared to other individuals ⁸. In order to assess if

117 either extreme tail of the PRS distribution in our sample more effectively differentiated resilience versus susceptibility to depression during internship stress, we followed the approach of Khera 118 119 and colleagues and divided our subjects into 40 quantiles from low to high MDD-PRS, each with 120 2.5% of the sample (n=131). Figure 3 displays baseline and internship PHQ depression proportion of each of the 40 MDD-PRS groups. The proportions of depressed subjects in the 121 122 lowest MDD-PRS quantiles were below the trend line for association of MDD-PRS and 123 depression, suggesting potential evidence for excess protective effects in the low tail of the 124 MDD-PRS distribution. In contrast, subjects in the highest MDD-PRS guantiles were not above 125 the trend line, providing little evidence of increased risk effect in the high tail of the distribution.

126 To quantitatively assess for a difference in depression risk prediction power between the lowest 127 and highest tail, we serially dichotomized the sample using different MDD-PRS percentile 128 cutpoints and compared the proportion of individuals with depression in the subjects above and 129 below each of the cutpoints. For instance, subjects in the lowest 5% MDD-PRS distribution (low 130 tail; n = 262) had lower rates of PHQ depression during internship (21.8%) compared to the 131 remaining sample (33.8%) (OR = 0.54, 95%Cl = 0.40 - 0.74, p = 3.7×10^{-5}). In contrast, 132 depression proportion of subjects with MDD-PRS scores in the top 5% (high tail; 36.8%) did not 133 differ significantly from depression proportion of the remaining sample (33.0%; OR = 1.2, p =134 0.18). Using a permutation test, we assessed if the odds ratio (OR) of the depressed subject for 135 one tail was greater than the OR for the other tail (the reference sample for each OR being the 136 subset of participants with lower MDD-PRS). For the 5% cutpoint, we found the low tail had a 137 significantly larger OR than the high tail (p = 0.024, Table 4a). Similarly, using the PHQ-9 138 depressive symptom score, we found the test statistic for the low tail was significantly larger 139 than that for the high tail (p = 0.038, Table 4b). These results indicate the lower 5% of the MDD-140 PRS distribution better differentiates depression risk and resilience compared to the upper 5% 141 of the distribution.

142 When we tested more inclusive upper and lower tail cutpoints, we found that the differences in 143 the proportion depression between the low MDD-PRS group and the remaining sample 144 remained significant (for lower tail cutpoints at 10% ($p = 1.6 \times 10^{-5}$) and 25% (p = .003)) (Tables 145 4a). The differences in the depression proportion between the high MDD-PRS group and the 146 remaining sample were also significant (for upper tail cutpoints at 10% (p = .003) and 25% (p = .003) 147 3.7×10^{-4}) (Table 4a). The bottom OR and top OR were not significantly different for the 10% or 148 the 25% cutpoint. We saw a similar pattern for PHQ-9 score (Table 4b), indicating no 149 differences from what we would expect by chance for more inclusive cutpoints.

150 Discussion

Building on the success of recent large-scale GWAS for MDD, this investigation utilizes a prospective cohort design to demonstrate that MDD-PRS is a significant predictor of future depression. Further, we find evidence that the association between MDD-PRS and depression is stronger in the presence of stress and that the additional predictive power of MDD-PRS under stress is largely independent of known risk factors for depression. Finally, we find that low MDD-PRS scores may have particular utility to identify individuals highly resilient to stress.

157 Our finding that MDD-PRS associates with depression during internship stress provides empiric 158 evidence that the cumulative impact of common polymorphisms can produce meaningful risk 159 prediction for depression. We find that individuals in lowest 2.5% of the PRS distribution in our 160 sample have half the risk of developing depression compared to the rest of the sample. The 161 predictive power of MDD-PRS will likely continue to improve with increasingly larger discovery 162 GWAS studies. Based on the improving prediction profiles, individuals could be stratified into 163 different strata of risk for transition to depression ¹⁵, with intensive prevention strategies targeted 164 to high-risk individuals. For instance, in the population of training physicians, web-based CBT

has been shown to be effective in the prevention of depression and suicidal ideation and could
be targeted for prevention ¹⁶.

167 Under baseline, low stress conditions, the link between MDD-PRS and depression is largely 168 explained by established risk factors measured in the study. In contrast, the established, 169 measured factors only explained about half the association between MDD-PRS and depression 170 under stress conditions. Understanding the outstanding mechanisms through which genomic 171 predisposition leads to depression under stress could help to better elucidate how stress gets 172 "under the skin" and exerts pathogenic effects. Further, effective risk predictors for depression 173 will ultimately incorporate genetic variables with other established predictors. The finding that 174 only about half the predictive power of MDD-PRS is mediated by established predictors of 175 depression under internship stress suggests that genomics can add meaningful explanatory 176 power to risk prediction from established factors.

177 We also find preliminary evidence that the overall association between MDD-PRS and 178 depression under stress is driven disproportionately by the lower end of the PRS distribution. 179 Specifically, while individuals with very low PRS scores are substantially less likely to become 180 depressed relative to the rest of the sample, individuals with very high PRS scores do not show 181 an analogously higher relative risk of depression. As a result, MDD-PRS may be better at 182 identifying resilient individuals than at identifying those that are most at risk for depression under 183 stress. With the relatively small number of subjects (N=131) in each of the PRS subgroups, 184 these findings should be assessed in other prospective stress samples before drawing definitive 185 conclusions. Resilience is a dynamic and active neurophysiological and psychological response 186 to stress that is not merely the absence of vulnerability ¹⁷. Delineating the genomic factors that 187 are protective against disease have informed genomically anchored medicines that assist in 188 maintaining health ¹⁸. Identifying specific genes within the broad PRS that are driving resilience 189 has the potential to drive new therapies for depression.

190 There are a number of limitations to this study. First, this study focused on physician training as 191 a specific stressor. While the established predictors of depression are similar to predictors of 192 depression in the general population, the predictive power of MDD-PRS should be explored in 193 other prospective stress samples. Second, as the MDD-PRS incorporates input from across the 194 genome, drawing definitive conclusions about underlying mechanism is not possible. Third, the 195 polygenic scores described here were derived and tested in individuals of European ancestry 196 only. Building up large enough samples to establish meaningful PRS for other ancestries is 197 imperative to ensure that any benefits that follow from genomic medicine are not restricted to 198 European ancestry populations. Lastly, the potential utility of genetic risk disclosure should be balanced against potential harm. Specifically, as PRS improves, protections should be put in 199 200 place to ensure that prediction cannot be used to discriminate against at risk individuals. 201 Reassuringly, our findings suggest that the MDD-PRS is better at identifying resilient individuals

than individuals at most risk.

203 In summary, we find that MDD-PRS is a meaningful predictor of depression risk under stress. 204 Future work should extend this work in multiple directions. First, the predictive power of MDD-205 PRS should be assessed in other prospective stress models, such as military stress and 206 pregnancy. The similarities and differences in the genomic risk profiles across different types of 207 stress would inform the extent to which future risk prediction work could be generalized across 208 stressors or should be restricted to specific subtypes. Second, the finding that the percentage of 209 the relationship between MDD-PRS and depression explained by established depression 210 predictors decreased from 86% at baseline to 55% under internship stress suggests that there 211 are important behavioral and psychological pathways from genomic risk to depression that are 212 not fully explicated. Further elucidating those pathways could enhance our understanding of the 213 pathologic effects of stress. Similarly, the finding that individuals with extremely low MDD-PRS 214 scores are particularly resilient to depression under stress suggests that prospective study of

- 215 the behavioral and biological responses to stress among individuals with low MDD-PRS scores
- 216 could identify strategies to prevent depression and inform the incorporation of precision
- 217 medicine into psychiatry.

Methods 218

Participants 219

220 The Intern Health Study is a multi-institutional prospective cohort study that follows training 221 physicians through the first year of residency training (internship). Interns entering residency 222 programs across specialties in the academic years from 2007 to 2017 were sent an email 2-3 223 months prior to commencing internship and invited to participate in the study. Subjects 224 consented to participate in the study were given a \$25 gift certificate after completing the baseline survey and another \$25 gift certificate after completing the follow-up survey ^{12 16}. 225 226 The Institutional Review Board at the University of Michigan and the participating hospitals 227

approved the study.

Data Collection 228

229 The primary outcome of the study was depressive symptoms measured through the PHQ-9 (the 230 9-item Patient Health Questionnaire), a self-report component of the Primary Care Evaluation of 231 Mental Disorders inventory. For each of the 9 depressive symptoms included in Diagnostic and 232 Statistical Manual of Mental Disorders (DSM-5)¹⁹, interns indicated whether, during the 233 previous 2 weeks, the symptom had bothered them "not at all," "several days," "more than half 234 the days," or "nearly every day." Each item yields a score of 0 to 3, so that the total score 235 ranges from 0 to 27. A score of 10 or greater on the PHQ-9 was defined as PHQ depression,

which has a sensitivity of 93% and a specificity of 88% for the diagnosis of major depressive
 disorder ²⁰. Diagnostic validity of the PHQ-9 is comparable with clinician-administered
 assessments ²¹.

239 One to two months prior to internship, subjects completed an online baseline survey, assessing 240 PHQ-9 depressive symptoms, neuroticism (NEO-Five Factor Inventory ²²), personal history of 241 depression (self-reported yes/no), and early family environment (Risky Families Questionnaire 242 ²³), along with demographic information. We then contacted the participants via email at months 243 3, 6, 9 and 12 of their internship year and asked them to complete online quarterly surveys 244 assessing PHQ-9 depressive symptoms and additional information about their internship 245 experience. All surveys were conducted through a secure online website designed to maintain 246 confidentiality, with subjects identified only by numeric IDs. No links between the identification 247 number and the subjects' identities were maintained. Subjects who completed baseline survey 248 and at least one quarterly survey were included in the analysis, accounting for 86.08% of the 249 total enrolled subjects.

250 Genotyping and imputation

251 We collected DNA from subjects using DNA Genotek Oragene Mailable Tube (OGR-500)²⁴

through the mail. DNA (n = 9,611) was extracted and genotyped on Illumina Infinium

253 CoreExome-24 v1.0 or v1.1 Chip, containing 571,054 and 588,628 SNPs, respectively.

Samples with call rate < 99% (n = 179) or with a sex mismatch between genotype data and
reported data (n = 129) were excluded. For 539 duplicated samples, the sample with higher call
rate was selected. SNPs were excluded if: on non-autosomal chromosomes, call rate < 0.98
(after sample removal), or MAF < 0.005. Genotype data from v1.0 Chips and v1.1 Chips were
then merged and subject duplication was again checked and 11 more duplicates removed.
325,855 SNPs and 8753 samples were considered for further analysis.

260 We performed linkage disequilibrium (LD)-based pruning (window size 100kb, step size 25 261 variants, pairwise r² threshold 0.5) which yielded 202,235 SNPs for principal components 262 analysis (PCA) of genotype data using all samples (PLINK version1.9²⁵). We defined European 263 ancestry samples using the following steps. We plotted the first two PC's for self-reported European ancestry samples. Based on the plot, to reduce genetic heterogeneity, we included 264 265 samples that fell within mean PC1+3SD and and mean PC2+6SD. We additionally included 266 subjects who did not report ethnicity but whose PC1 and PC2 values were within the range 267 defined above. From this set of European ancestry samples (n = 5,710), we excluded SNPs 268 with Hardy-Weinberg equilibrium $P < 10^{-6}$, leaving 325,249 genotyped SNPs. We defined East 269 Asian ancestry (n = 816) and South Asian ancestry (n = 595) samples with the same procedure, 270 except for East Asian, the sample inclusion range was mean PC1 \pm 3SD and and mean 271 PC2±4.5SD, and for South Asian, it was mean PC1±2.5SD and and mean PC2±3.5SD 272 (Supplementary Figure 1).

We performed genotype imputation on the Michigan Imputation Server using Minimac3 to phase
samples, and the 1000 Genomes Phase 3 data (Version 5, phased by Eagle v2.3) as reference
panel.

276 Major Depressive Disorder polygenic risk score (MDD-PRS) calculation

To calculate an MDD polygenic risk score of MDD, we used the most recent MDD GWAS summary statistics from a meta-analysis of the Psychiatric Genomics Consortium (PGC) MDD phase 2 and 23andMe containing 135,458 cases and 344,901 controls ^{7 26} (not including Intern Health Study samples). For the MDD-PRS calculation we used 249,588 variants genotyped in our sample (with MAF>=0.1 and outside the MHC region), without applying a PGC/23andMe meta-analysis p-value cutoff. This strategy followed the recommendation from a recent review on PRS calculation ²⁷. For comparison, we also calculated MDD-PRS using 115,326 common

- SNPs (MAF>=0.1) imputed in our sample (imputation quality > 0.9) pruned using LD clumping
- 285 (500kb window and r²<0.1). PRSice V2 ²⁸ was used to implement the calculation of MDD-PRS
- for our intern subjects.
- An additive model was used to calculate the polygenic risk score with the formula:
- 288 PRS = $\sum S \times G$
- 289 Where S is the PGC2/23andMe GWAS summary statistic effect size for the effect allele, and G
- 290 = 0,1,2, is the number of effect alleles observed. The MDD-PRS was then mean centered and
- scaled to a standard deviation of 1.

292 Statistical Analysis

- 293 Statistical analyses were conducted using R 3.4.4 (The R Foundation, Vienna, AUT) and SAS
- software Version 9.4 for windows (SAS Institute Inc). We used 5,227 European ancestry
- samples with completed survey responses and genotype data for the main statistical analyses.

296 Association of MDD-PRS with PHQ-9 depressive symptom score

297 Internship PHQ-9 depressive symptom score was calculated by averaging PHQ-9 score across

all available internship assessment. Subjects who reported a PHQ-9 score greater than or equal

- to 10 in at least one internship assessment were classified as meeting criteria for PHQ
- 300 depression during internship. We used linear regression to test for association of MDD-PRS
- 301 with inverse normalized baseline PHQ-9 or internship PHQ-9, adjusting for age, sex and the top
- 302 10 principal components of genotype data. We also used logistic regression to assess the
- 303 association of MDD-PRS with baseline and internship PHQ depression.

304 To assess whether the effect size of MDD-PRS associating with PHQ-9 or PHQ depression was

305 significantly different at baseline and during internship, we used a linear mixed model or a

306 logistic mixed model to assess the interaction effect between MDD-PRS and internship status,

- 307 adjusting for main effect of MDD-PRS, internship status, age, sex and the top 10 principal
- 308 components of genotype data. We included a random intercept term to account for correlation
- 309 between the repeated measurements within subjects.
- 310 Relationship between MDD-PRS and known risk factors of depression
- 311 In a separate analysis, we jointly included the three know risk factors (personal depression
- history, neuroticism score and early family environment)¹² as covariates in the models above.
- 313 Continuous variables including neuroticism score and early family environment were scaled to

have a SD of 1.

315 Mediation analysis was conducted to quantify the proportion of the MDD-PRS and PHQ9

316 association mediated by known risk factors. R package 'mediation' ²⁹ was used to fit our data in

317 the following structural equation model:

318 $Y = \alpha_1 + \beta_1 P + \varepsilon_1$

- 319 $M = \alpha_2 + \beta_2 P + \epsilon_2$
- 320 $Y = \alpha_3 + \beta_3 P + \gamma M + \varepsilon_3$

321 Where Y is the outcome (inverse normalized baseline or internship PHQ-9) and P is the 322 predictor (MDD-PRS). M is the mediator, either one of the known risk factors or the first principal 323 component from a principal component analysis of the three known risk factors. α_i (i=1,2,3) and 324 ϵ_i (i=1,2,3) represent the intercepts and errors, respectively. Coefficient β_1 represents the total 325 effect of the MDD-PRS on PHQ-9, β_2 represents the effect of MDD-PRS on a known risk factor, 326 β_3 represents the direct effect of MDD-PRS on PHQ-9 (defined the effect of MDD-PRS that is 327 not accounted for by a known risk factor). y represents the effect of a known risk factor on PHQ-328 9. β_2 y represents the indirect effect of MDD-PRS on PHQ-9 that is mediated by the known risk

factor. The total effect of MDD-PRS on PHQ-9 is $\beta_3 + \beta_2 \gamma = \beta_1$. The mediated proportion $\beta_2 \gamma / \beta_1$ is the proportion of the total MDR-PRS effect that is mediated by the known risk factor. To assess the significance of the mediated proportion, we performed 100,000 non-parametric bootstraps to estimate 95% confidence interval and p-value of the mediated proportion test for significant mediation, tested under the null hypothesis of the mediated proportion being zero.

334 Differences in PHQ-9 depressive symptoms or PHQ depression proportion by MDD-

335 PRS percentile cutpoint

336 To test for the ability of higher or lower MDD-PRS to predict different levels of risk of PHQ 337 depression (raw PHQ-9 score >= 10) or PHQ-9, we divided the sample based on an MDD-PRS 338 percentile cut point, including 2.5, 5, 10, 25, 75, 90, 95 and 97.5 percentile cutpoints. At each 339 MDD-PRS percentile cutpoint, we separate the subjects into two subgroups, one is the tail 340 subgroup whose MDD-PRS percentile is lower (for cutpoints<50) or higher (for cutpoints>50) 341 than the cutpoint, the other is the remaining of the subjects. Then we compared the proportion 342 of individuals with PHQ depression in the tail subgroup and the remaining subgroup using a 343 Fisher's exact test. We also compared the PHQ-9 score in the tail subgroup and the remaining 344 subgroup using a two sample t-test. For pairs of cut points that defined the same size of the 345 sample at the low and high tails of the distribution (for example 5% and 95%), we used a 346 permutation test to assess if the magnitude of the difference between the higher and lower 347 MDD-PRS subgroups differed between the two cutpoints. Specifically, in each of 10,000 348 permutations, we permuted the MDD-PRS scores for all subjects, reran the Fisher's exact tests 349 and t-tests for a given pair of cutpoints, and calculated the ratio of the odds ratios ((1/low tail OR) 350 / high tail OR) and the difference of two t-tests statistics (- t-statistic low tail MDD-PRS- remaining MDD-PRS) -351 t-statistic high tail MDD-PRS - remaining MDD-PRS). For each observed ratio of the odd ratios, we estimated 352 the two-sided p-value as the number of permutations with a ratio of odds ratios more extreme 353 than either the observed ratio of the odds ratios or 1/(the observed ratio of odds ratios), divided

354 by the number of permutations. For each difference in two t-tests, we calculated the two-sided

- 355 p-value as the number of permutations with an abs(difference in two t-tests) > abs(observed
- 356 difference in the two t-tests), divided by the number of permutations.

357 Reference

- Friedrich, M. J. Depression Is the Leading Cause of Disability Around the World. *JAMA* 317, 1517 (2017).
- Committee on Prevention of Mental Disorders & Institute of Medicine. *Reducing Risks for Mental Disorders:: Frontiers for Preventive Intervention Research*. (National Academies
 Press, 1994).
- 363 3. Institute of Medicine, National Research Council, Division of Behavioral and Social
- 364 Sciences and Education, Board on Children, Youth, and Families & Committee on the
- 365 Prevention of Mental Disorders and Substance Abuse Among Children, Youth and Young
- 366 Adults: Research Advances and Promising Interventions. *Preventing Mental, Emotional,*
- 367 and Behavioral Disorders Among Young People: Progress and Possibilities. (National
- 368 Academies Press, 2009).
- Sullivan, P. F., Neale, M. C. & Kendler, K. S. Genetic epidemiology of major depression:
 review and meta-analysis. *Am. J. Psychiatry* **157**, 1552–1562 (2000).

371 5. Okbay, A. et al. Genetic variants associated with subjective well-being, depressive

- 372 symptoms, and neuroticism identified through genome-wide analyses. *Nat. Genet.* 48, 624–
 373 633 (2016).
- 374 6. CONVERGE consortium. Sparse whole-genome sequencing identifies two loci for major
 375 depressive disorder. *Nature* **523**, 588–591 (2015).
- 376 7. Wray, N. R. *et al.* Genome-wide association analyses identify 44 risk variants and refine the
 377 genetic architecture of major depression. *Nat. Genet.* **50**, 668–681 (2018).

- 378 8. Khera, A. V. *et al.* Genome-wide polygenic scores for common diseases identify individuals
 379 with risk equivalent to monogenic mutations. *Nat. Genet.* **50**, 1219–1224 (2018).
- 380 9. Chatterjee, N., Shi, J. & García-Closas, M. Developing and evaluating polygenic risk
- 381 prediction models for stratified disease prevention. *Nat. Rev. Genet.* **17**, 392–406 (2016).
- 382 10. Kessler, R. C. The effects of stressful life events on depression. *Annu. Rev. Psychol.* 48,
 383 191–214 (1997).
- 384 11. Maciejewski, P. K. & Mazure, C. M. Stressful life events and depression. *Am. J. Psychiatry*385 **157**, 1344–1345 (2000).
- Sen, S. *et al.* A prospective cohort study investigating factors associated with depression
 during medical internship. *Arch. Gen. Psychiatry* **67**, 557–565 (2010).
- Mata, D. A. *et al.* Prevalence of Depression and Depressive Symptoms Among Resident
 Physicians: A Systematic Review and Meta-analysis. *JAMA* **314**, 2373–2383 (2015).
- Martin, A. R. *et al.* Human Demographic History Impacts Genetic Risk Prediction across
 Diverse Populations. *Am. J. Hum. Genet.* **100**, 635–649 (2017).
- 392 15. Middeldorp, C. M. & Wray, N. R. The value of polygenic analyses in psychiatry. *World*393 *Psychiatry* 17, 26–28 (2018).
- 394 16. Guille, C. *et al.* Web-Based Cognitive Behavioral Therapy Intervention for the Prevention of
 395 Suicidal Ideation in Medical Interns: A Randomized Clinical Trial. *JAMA Psychiatry* 72,
 396 1192–1198 (2015).
- 397 17. Southwick, S. M. & Charney, D. S. The science of resilience: implications for the prevention
 398 and treatment of depression. *Science* 338, 79–82 (2012).
- Harper, A. R., Nayee, S. & Topol, E. J. Protective alleles and modifier variants in human
 health and disease. *Nat. Rev. Genet.* 16, 689–701 (2015).
- 401 19. American Psychiatric Association. *Diagnostic and Statistical Manual of Mental Disorders:*
- 402 *5th Edition: DSM-5*. (ManMag, 2003).
- 403 20. Kroenke, K., Spitzer, R. L. & Williams, J. B. The PHQ-9: validity of a brief depression

- 404 severity measure. J. Gen. Intern. Med. 16, 606–613 (2001).
- 405 21. Spitzer RL, Kroenke K, Williams JBW, and the Patient Health Questionnaire Primary Care
- 406 Study Group. Validation and Utility of a Self-report Version of PRIME-MD: the PHQ Primary
- 407 Care Study. *JAMA* **282**, 1737 (1999).
- 408 22. Costa, P. T., Jr & McCrae, R. R. Stability and change in personality assessment: the
- 409 revised NEO Personality Inventory in the year 2000. J. Pers. Assess. 68, 86–94 (1997).
- 410 23. Taylor, S. E. *et al.* Early family environment, current adversity, the serotonin transporter
- 411 promoter polymorphism, and depressive symptomatology. *Biol. Psychiatry* **60**, 671–676
- 412 (2006).
- 413 24. Rogers, N. L., Cole, S. A., Lan, H.-C., Crossa, A. & Demerath, E. W. New saliva DNA
- 414 collection method compared to buccal cell collection techniques for epidemiological studies.
- 415 *Am. J. Hum. Biol.* **19**, 319–326 (2007).
- 416 25. Chang, C. C. *et al.* Second-generation PLINK: rising to the challenge of larger and richer
 417 datasets. *Gigascience* 4, 7 (2015).
- 418 26. Hyde, C. L. et al. Identification of 15 genetic loci associated with risk of major depression in
- 419 individuals of European descent. *Nat. Genet.* **48**, 1031–1036 (2016).
- 420 27. Ware, E. B. *et al.* Heterogeneity in polygenic scores for common human traits. (2017).
- 421 doi:10.1101/106062
- 422 28. Euesden, J., Lewis, C. M. & O'Reilly, P. F. PRSice: Polygenic Risk Score software.
- 423 *Bioinformatics* **31**, 1466–1468 (2015).
- 424 29. Tingley, D., Yamamoto, T., Hirose, K., Keele, L. & Imai, K. mediation: R Package for Causal
 425 Mediation Analysis. *J. Stat. Softw.* 59, (2014).

426 URLs

427 PLINK 1.9: <u>www.cog-genomics.org/plink/1.9/</u> (Authors : Shaun Purcell, Christopher Chang)

428 Data Availability

- 429 The de-identified data from this study is available through the Psychiatric Genomics Consortium
- 430 (PGC): https://www.med.unc.edu/pgc/shared-methods.

431 Acknowledgement

- 432 We would like to thank the training physicians for taking part in this study. We would also like to
- 433 thank the research participants and employees of 23andMe, and the participants and
- 434 researchers of PGC for making this work possible. This project was funded by the National
- 435 Institute of Mental Health (R01MH101459, PI: Sen).

436

437 Table 1. Sample Characteristics (N = 5,227)

Years age, mean (SD)	27.6 (2.7)
Female Sex, N (%)	2627 (50.3%)
Personal History of Depression, N (%)	2434 (46.6%)
Neuroticism Score, mean (SD)	21.2 (8.8)
Early Family Environment Score, mean (SD)	11.7 (8.8)
Baseline Depression Symptom Score (PHQ-9), mean (SD)	2.5 (2.9)
Baseline PHQ depression, N (%)	176 (3.4%)

438

439 Table 2. MDD Polygenic Risk Score Associations with PHQ-9 Depressive Symptom Scores

Inclusion of known baseline risk factors* as covariates		Beta	(SE)	р		
	Time Point of PHQ-9 score	MDD-PRS	MDD-PRS x internship stress Interaction**	MDD-PRS	MDD-PRS x internship stress Interaction**	
Not included	baseline	0.052 (0.014)		1.74 x 10 ⁻⁴		
	Internship	0.082 (0.012)		2.11 x 10 ⁻¹²		
	baseline and internship	0.051 (0.012)	0.029 (0.013)	3.38 x 10⁻⁵	0.023	
Included	baseline	0.008 (0.012)		0.51		
	Internship	0.040 (0.010)		7.52 x 10 ⁻⁵		
	baseline and internship	0.009 (0.011)	0.029 (0.013)	0.41	0.023	

440 * Neuroticism, Personal History of Depression, Early Family Environment

441 ** MDD-PRS x internship stress Interaction term is only available in the model including both

442 baseline and internship data.

443 Table 3. Mediation Test of Baseline Predictors on MDD Genetic Score Predicting PHQ-9 at

444 Baseline and During Internship

Baseline Risk Factor	PHQ-9	score at bas	seline	Average PHQ-9 score during internship		
	% Mediation	95% CI	p**	% Mediation	95% CI	p**
Neuroticism	62.98%	(39.25%, 93.70%)	5.7 x 10 ⁻⁵	38.87%	(26.15%, 53.80%)	<1x10 ⁻⁵
Personal History of Depression	41.32%	(25.00%, 83.63%)	2.0 x 10 ⁻⁴	29.28%	(19.76%, 42.50%)	<1x10 ⁻⁵
Early Family Environment	23.44%	(12.45%, 49.24%)	1.8 x 10 ⁻⁴	14.92%	(8.53%, 23.45%)	<1x10 ⁻⁵
First Principal Component of Three Risk Factors*	85.64%	(57.66%, 98.56%)	1.7 x 10 ⁻⁴	55.40%	(41.64%, 74.60%)	<1x10 ⁻⁵

445 * Neuroticism, Personal History of Depression, Early Family Environment

446 *** P-value was obtained by 100,000 non-parametric bootstraps*

- 447 Table 4. Comparison of Internship Depression in High and Low MDD-PRS Groups with the
- 448 Remaining Sample across PRS Cutoffs
- 449 Table 4a. Internship PHQ Depression in MDD-PRS Groups

PRS Tail percentile (%)	Tail (N)	Remaining (N)	Tail	Depression Percentage (Tail)	Depression Percentage (Remaining)	OR (95%CI)	<i>p</i> , Fisher's exact test, OR	<i>p</i> , test for difference in magnitude of the high and low tail OR*
2.5 131	404	5096	Low	19.8%	33.6%	0.49 (0.30 – 0.76)	9.30 x 10 ⁻⁴	0.010
	2.5 131		High	34.4%	33.2%	1.05 (0.71 – 1.54)	0.78	0.013
-	000	4005	Low	21.8%	33.8%	0.54 (0.40 – 0.74)	3.72 x 10 ⁻⁵	0.004
5 262	202 4905	4965	High	36.8%	33.0%	1.19 (0.91 – 1.55)	0.18	0.024
10 523	500	4704	Low	24.9%	34.1%	0.64 (0.51 – 0.79)	1.58 x 10⁻⁵	0.40
	525		High	39.0%	32.6%	1.32 (1.09 – 1.60)	0.003	0.19
25 1307		307 3920	Low	29.8%	34.3%	0.81 (0.71 – 0.93)	0.003	0.00
	1307		High	37.3%	31.9%	1.27 (1.11 – 1.45)	3.69 x10 ⁻⁴	0.69
50	2613	2614	Low	31.2%	35.3%	0.83 (0.74 – 0.93)	0.002	-

450 * test statistic = (1/low tail OR)/ high tail OR, evaluated by permutation test (see methods).

451

452 Table 4b. Average Internship PHQ-9 Scores in MDD-PRS Groups

PRS Tail percentile (%)	Tail (N)	Remaining (N)	Tail	PHQ-9 (Tail)	PHQ-9 (Remaining)	ΔΡΗQ, Tail - Remaining (95%Cl)	<i>p</i> , two sample t- test, ΔPHQ	p, test of difference between high and low tail ΔΡΗQ*
	101	5000	Low	4.40	5.61	-1.21 (-1.79 ~ -0.62)	7.42 x 10 ⁻⁵	0.040
2.5	131	5096	High	5.95	5.57	0.39 (-0.28 ~ 1.05)	0.26	0.040
5 262	4965	Low	4.62	5.63	-1.01 (-1.43 ~ -0.58)	5.03 x 10 ⁻⁶	0.038	
		1000	High	6.01	5.55	0.46 (-0.06 ~ 0.97)	0.080	0.000
10 523	500	4704	Low	4.83	5.66	-0.83 (-1.16 ~ -0.51)	7.18 x 10 ⁻⁷	0.05
	523		High	6.16	5.51	0.65 (0.29 ~1.02)	5.41 x 10 ⁻⁴	0.25
25 1307	307 3920	Low	5.16	5.72	-0.55 (-0.78 ~ -0.32)	2.45 x 10 ⁻⁶	0.74	
		High	5.99	5.44	0.55 (0.30 ~0.79)	1.56 x 10 ⁻⁵	0.74	
50	2613	2614	Low	5.35	5.80	-0.45 (-0.66 ~ -0.24)	1.92 x 10⁻⁵	-

453 * test statistic = (- t-statistic low tail PHQ-9 - remaining PHQ-9) - (t-statistic high tail PHQ-9 - remaining PHQ-9), evaluated
454 by permutation test (see methods).



456 Figure 1. MDD-PRS Distribution. MDD-PRS has a near-normal distribution in Intern Health
457 Study samples (n = 5,227). Represented on the x-axis, MDD-PRS was mean-centered and
458 scaled to a standard deviation of 1.

459

455

460

a. Before Internship





469

470 Figure 3. PHQ Depression Proportion by MDD-PRS Group. 5,227 Subjects from Intern 471 Health Study were binned into 40 groups of 2.5% of subjects (n=131 per group) from low to high 472 MDD-PRS (left to right). The 40 x-axis groups are defined by group-wise average standardized 473 MDD-PRS. The proportion of subjects meeting criteria for PHQ depression at baseline (cyan 474 dots) and during internship (orange dots) are plotted with 95% CI error bar. LOESS fitting line 475 (dash line) shadowed by 95% CI and logistic regression fitting line (solid line) were applied to 476 both baseline and internship plots. Optimal span parameter for LOESS regression was selected 477 by generalized cross-validation method.

478 Supplementary Materials

- 479 Supplementary Table 1. MDD Polygenic Risk Score (Generated with Imputed rather than Raw
- 480 Data) Associations with PHQ-9 Depressive Symptom Scores

Inclusion of known baseline risk factors* as covariates	Time Point of PHQ-9 score	Beta	(SE)	р		
		MDD-PRS	MDD-PRS x internship stress Interaction**	MDD-PRS	MDD-PRS x internship stress Interaction**	
Not included	Baseline	0.042 (0.014)		2.96 x 10 ⁻³		
	Internship	0.070 (0.012)		4.26 x 10 ⁻⁹		
	Baseline and internship	0.041 (0.012)	0.026 (0.013)	8.91 x 10 ⁻⁴	0.03	
Included	Baseline	-0.005 (0.013)		0.70		
	Internship	0.025 (0.010)		0.01		
	Baseline and internship	-0.003 (0.011)	0.026 (0.013)	0.76	0.03	

481 * Neuroticism, Personal History of Depression, Early Family Environment

482 ** MDD-PRS x internship stress Interaction term is only available in the model including both

483 baseline and internship data.



484

485



487 **Component (PC) Analysis of the Intern Health Study.** Blue, red and green boxes depicted

488 the analysis inclusion range of European, South Asian and East Asian.





498