1	Running title: Bacillus probiotics in aquaculture
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3	Genus Bacillus, promising probiotics in aquaculture: Aquatic animal
4	origin, bioactive components, bioremediation in fish and shellfish
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# 29 Abstract

30 Bacillus are Gram-positive spore-forming bacteria that are resistant to aggressive physical and chemical conditions with various species existing unusual physiological features enabling them 31 32 to survive in various environmental conditions including fresh waters, marine sediments, desert sands, hot springs, Arctic soils and the gastrointestinal (GI) tract of finfish and shellfish. They 33 are able to rapidly replicate, tolerate a multitude of environmental conditions giving a wide 34 35 range of beneficial effects in aquaculture sector. Application of Bacillus as probiotics in feed or as bioremediatory in the aquaculture rearing water is of one the pleasant issues in a 36 37 sustainable aquaculture sector. Bacillus bacteria can play a desirable role in removal of waste products from aquaculture environments, maintaining optimum water quality and reducing 38 stress condition, that can lead to an optimum immuno-physiological balance, better growth and 39 survival in the target aquatic animal. The available data show that application of probiotic 40 Bacillus can directly or indirectly enhance the growth and immune status of aquatic organisms. 41 42 Probiotic Bacillus species can also assist in maintaining a higher density of beneficial bacteria and a lower load of pathogenic agents in the aquaculture ponds. However, effects of a particular 43 Bacillus species or strain on different finfish/shellfish species, age, growth condition, water 44 quality and diet types can identify the conditions in which the probiotics could work well. This 45 46 review addressed the presence of Bacillus in the GI tract of finfish and shellfish, their ability to produce enzymes and antibacterial compounds, pathogenic bacilli and their efficacy and 47 48 potency as probiotics in aquaculture.

49 Key words: Bacillus, bioremediation, growth, immunity, probiotic

## 50 **1. Introduction**

In the 1870ties, Cohn (1876), Koch (1876) and Tyndall (1877) independently discovered that certain species of bacteria spend at least part of their lives as dormant cellular structures, known as endospores. These bacteria were later classified as *Bacillus* and are now classified in phylum Firmicutes, class Bacilli, and order Bacillales. They are Gram-positive, endosporing, aerobic or facultative anaerobic, characterized by their rod-shaped morphology (between 2.5-10 μm), and are catalase positive. Genus *Bacillus* comprise of approximately 200 bacterial species and are

almost ubiquitous in nature; in soil, in compost (Bacillus composti and Bacillus thermophilus; 57 Yang et al. 2013), in extreme environments such as high pH (Bacillus firmus OF4; Sturr et al. 58 1994), high temperature (Bacillus thermophilus; Yang et al. 2013), high salt (Bacillus 59 halodurans; Annamalai et al. 2013), aquatic environment (Motta et al. 2004; Ichimatsu et al. 60 2000) as well as in the gastrointestinal (GI) tract of aquatic animals (Ray et al. 2012). They 61 exhibit quite diverse physiological properties such as the ability to produce cellulase, phytase, 62 tannase, chitinase, xylanase, protease and lipase (Ray et al. 2012, Ghosh et al. 2018) as well as 63 degradation of palm (Elaeis guineensis) biodiesel (Lutz et al. 2006). Another favourable trait 64 of *Bacillus* is; they produce antimicrobial substances such as peptide and lipopeptide antibiotics 65 and bacteriocins (Stein 2005; Abriouel et al. 2011). The sporulation capacity and the production 66 67 of antimicrobial substances and enzymes confer the capacity of genus Bacillus to colonise different habitats and to contribute to nutrition of the host. Moreover, adding selected strains of 68 Bacillus as probiotics to control Vibrio species in penaeid aquaculture ponds (Moriarty, 1998) 69 and as dietary probiotics (Hong et al. 2005) are widely used. 70 Optimal GI functionality is essential for sustainable animal production, and effective 71 functionality of the GI tract and its gut microbiota play and important role in host health (e.g. 72 Clemente et al. 2012; Xiong et al. 2017; Ringø et al. 2018), and several complex mechanisms 73 74 are involved. The microbial community of fish gut are influenced by the anatomy; with or 75 without stomach, with pyloric caeca (up to 1000) or without, and short and long intestine 76 (relative intestinal length). In the absence of intestinal microbiota, normal immune development and function are impaired, protection against infections, gut health as well as contributors to 77 nutrition (e.g. Rawls et al. 2004, 2006; Gómez & Balcázar 2008; Ray et al. 2012; Wang et al., 78 2018; Li et al. 2018a). Therefore it is crucial to increase our knowledge on beneficial gut 79 80 bacteria, in the context of improved growth performance and health. 81 As alteration of the GI tract microbiota (dysbiosis) may enhance the risk for allergies and other conditions (Mazmanian et al. 2008; Sokol et al. 2008), it is of importance to investigate the 82 intestinal microbiota of endothermic animals as well as aquatic organisms. The presence of 83 84 beneficial bacteria such as genus Bacillus in the GI tract of aquatic organisms merits investigations, as autochthonous bacteria, adherent to mucosa, rapidly colonise the digestive 85 tract at early developmental larval stages (Ringø et al. 1996; Hansen & Olafsen 1999; Vadstein 86

87 *et al.* 2018).

During the last 20 years, numerous studies have been published on *Bacillus* in the intestine of
finfish and shellfish, their potential as probiotics, pathogenicity and their effect on the immune
system (e.g. Abriouel *et al.* 2011; Cha *et al.* 2013; Kim *et al.* 2014; Mukherjee & Ghosh 2016;
Wu *et al.*, 2016; Sreenivasulu *et al.*, 2016; Dash *et al.*, 2018; Bachruddin *et al.* 2018).
Therefore, the current review aimed to present an overview of published data on *Bacillus* in the
GI tract of finfish and shellfish, antagonistic ability, health benefits as probiotics, pathogenicity
and their effect on immunostimulation.

# 95 **2.** *Bacillus* in the gastrointestinal (GI) tract of finfish and shellfish

Optimal GI functionality is of importance for sustainable animal production, and three 96 97 components namely diet, mucosa and commensal microbiota are essential for gut health. 98 Evaluation of the gut microbiota of finfish dates back to late 1920's and early 1930's (Reed & Spence 1929; Gibbons 1933) and sine then numerous studies have been published. The GI tract 99 microbiota in fish is divided into; the GI lumen microbiota (the allochthonous), and those that 100 101 adhere to the mucosal surface (the autochthonous microbiota), however, several factors can modulate the gut microbiota (Ringø et al. 2016). The intestinal microbiota contributes to several 102 103 essential factors such as pathogen displacement, nutrient- and receptor competition, production 104 of antimicrobial factors, structural factors (induction of IgA, tightening of tight junction and immune system development) and several metabolic functions. Of the commensal gut 105 microbiota of aquatic animals, phylum Firmicutes which include genus Bacillus is essential, 106 and the contribution of Sugita and colleges (Japanese scientists) and Indian scientists (Ghosh 107 and colleges, and Ray and colleges) can not be underestimated. 108

109 Since the first studies were published on salmonids by Trust & Sparrow (1974) and Trust (1975),

numerous investigations on the isolations of *Bacillus* in the GI tract of finfish and shellfish have been carried out. In several *Bacillus* studies showed in Table 1 have, characterized autochthonous (adherent) *Bacillus* isolated from the GI tract, and this is of importance as they may contribute to nutrition, inhibit pathogen adherence and colonization, may affect the immune system and may have potential as probiotics. In Table 1, features of isolated bacilli are indicated, however, in numerous studies, the intestinal microbiota were only described, and this is indicated by no information available.

117 **2.1.** *Bacillus* in finfish

In numerous studies, counts of presumptive Bacillus has been revealed, but without going into 118 further identification (Table 1). These studies are not discussed in the present review, even 119 though they investigate dietary effects (e.g. de Paula Silva et al. 2011; Green et al. 2013; Barreto-120 Curriel et al. 2018; Nymann et al. 2017), effects of antibiotic supplementation (Austin & Al-121 Zahrani 1988; Sugita et al. 1988a; 1989), production of enzymes (e.g. Sugita et al. 1997; Bairagi 122 et al. 2002; Ray et al. 2010; Askarian et al. 2012), putative probionts (e.g. Geraylou et al. 2014; 123 Nandi et al. 2017), effect of prebiotics (Ringø et al. 2006), antimicrobial potential (Sugita et al. 124 1989, 1998), seasonal variations (Hovda et al. 2012), effect of stress (Olsen et al. 2008) and wild 125 vs. farmed fish (Strøm & Olafsen 1990; Ramirez & Romero 2017). Readers with special interest 126 in these studies and other studies only identified Bacillus without going into detailed 127 128 identification are recommended to have a closer look at the original papers. In the following, bacteria identified as Bacillus aerius, Bacillus aerophilus, Bacillus amyloliquefaciens, Bacillus 129 altitudinis, Bacillus aryabhattai, Bacillus atrophaeus, Bacillus cereus, Bacillus circulans, 130 Bacillus clausii, Bacillus coagulans, Bacillus flexus, Bacillus licheniformis, Bacillus 131 megaterium, Bacillus methylotrophicus, Bacillus pumilus, Bacillus sonorensis, Bacillus 132 subtilis, Bacillus tequilensis, Bacillus thermoamylovorans, Bacillus thuringiensis, Bacillus 133 134 stratosphericus and Solibacillus silvestris isolated from the finfish GI tract are discussed.

*B. aerius*. To our knowledge, only one study has isolated this bacterium from the GI tract of
finfish. Dutta *et al.* (2015) reported autochthonous *B. aerius* in the distal intestine (DI) catla
(*Catla catla*) in a study where probiotic characterization of exoenzyme-producing bacteria were
investigated.

B. aerophilus. In a study evaluation the autochthonous microbiota of Indian major carp
(*Cirrhinus mrigala*) by cultivation, Mukherjee *et al.* (2016) reported *B. aerophilus*, a bacterium
with probiotic potential based on good growth in intestinal mucus, resistant to diluted bile juice
(2–20%), safe for the target fish, and production of bacteriocin.

B. amyloliquefaciens. This bacterium has been isolated in eight finfish studies of eight fish
species, and culture-based methods were used in seven of them (Table 1). The featues of most
strains were; probiotic potential, enzyme-production and antagonism, and bacteriocin
production. More recently this bacillar species has been isolated from the intestines of flounder
(Chen et al, 2016a), southern flounder (Paralichthys lethostigma) (Chen et al., 2016b), rohu

(*Labeo rohita*) (Mukherjee *et al.*, 2017) and Inidan major carp (Kavitha *et al.* (2018) using
bculture-based methods, and these studies revealed promising features.

Bacillus altitudinis, Bacillus aryabhattai and Bacillus atrophaeus. These bacteria, all
autochthonous, have been detected by cultivation in the DI of mrigal (Banerjee *et al.* 2016),
proximal intestine (PI) of walking catfish (*Clarias batrachus*) (Dey *et al.* 2016) and stinging
catfish (*Heteropneustes fossilis*) (Khan & Ghosh 2012). The major feature of these bacilli were
they produce enzymes, and one species, *B. altitudinis*, revealed antibacterial potential.

155 B. cereus. This bacterium capable by proudcing amylase, cellulase and protease has been isolated from the PI and DI of mrigal by Ray et al. (2010). In a study evaluated the microbial 156 157 diversity in the gut of wild and farmed olive flounder (Paralichthys olivaceus), Kim & Kim (2013) revealed culturable autochthonous B. cereus in the DI of wild fish. When investigating 158 the bacterial community in DI of Atlantic salmon (Salmo salar) by cultivation, Askarian et al. 159 (2012) revealed that B. cereus was a part of the bacterial community. In a study using culture-160 161 based method by Kavitha et al. (2018), B. cereus was recovered from intestine of Indian major 162 carp.

*B. circulans*. Four studies using cultivation have revealed *B. circulans* in the GI tract of rainbow
trout fed different antibiotics (Austin & Al-Zahrani 1988), and studies evaluating the the
intestinal microbiota of rohu (Ghosh *et al.* 2002), common carp (*Cyprinus carpio*) (Ray *et al.*2007) and Mossambicus tilapia (Saha *et al.* 2006) (Table 1). In the studies with rohu, common
carp and tilapia, the strains revealed enzyme-producing activities.

Bacillus clausii. Ma et al. (2010) recovered this Bacillus species from the gut of orange spotted
grouper (*Epinephelus coioides*) demonstrated a remarkable tolerance to mimic GI environment
and antagonistic activities to some potential pathogenic bacteria.

B. coagulans. Ray et al. (2010) isolated amylase, cellulase and protease-producing
autochthonous bacteria in the PI and DI of three species of Indian major carps, catla, mrigal and
rohu, by cultivation and one of the strains from PI of catla showed high similarity to *B*. *coagulans*.

B. flexus. To our knowledge, only two studies have revealed this bacterial species in the GI 175 tract of mrigal (Banerjee et al. 2015b) and walking catfish (Clarias batrachus) (Dey et al. 176 2016). In the study of mrigal, optimization of strain CMF2 was investigated by various 177 178 fermentation parameters, pH, incubation temperature, incubation time, carbon sources, colloidal chitin etc. to insure optimal chitinase production. In contrast to this study, Dey et al. 179 (2016) investigated the extracellular activities, amylase, protease, cellulase and lipase of several 180 autochthonous strains isolated from PI and DI, and revealed that strain FG43 showed high 181 similarity to *B. flexus*. 182

B. licheniformis. B. licheniformis has been isolated from several speices of cold water and 183 warm water finfish species with various degree of pathogen inhibition and enzyme production 184 (Table 1). Ghosh et al. (2010) and Mondal et al. (2010) isolated this bacilli species from the PI 185 186 of rohu and bata (Labeo bata) by cultured-based methods. In an investgation by Askarian et al. 187 (2012), B. licheniforms was identified in PI and DI of Atlantic salmon by culture method, but the strain did not display promising exo-enzyme activities or in vitro growth inhibition towards 188 the four pathogens tested. Later, Banerjee et al. (2013) revealed that B. licheniformis was a 189 member of the autochthonous enzyme-producing bacteria isolated from PI and DI of two 190 species of Indian air-breathing fish, murrel (Channa punctatus) and stinging catfish using 191 conventional culture technique. (Kim & Kim (2013) displayed culturable autochthonous exo-192 193 enzyme-producing B. licheniformis in the DI of farmed olive flounder. Later on, a strain of B. licheniformis was isolated from the mid-instetine (MI) of long whiskers catfish (Mystus gulio) 194 by Das et al. (2014), and dispalyed exo-enzyme activities. Three studies, isolated B. 195 licheniformis from the gut of mrigal (Mukherjee et al., 2016), rohu intestine (Mukherjee et al., 196 2017) and PI of Nile tilapia (Oreochromis niloticus) (Ghosh et al., 2017) and revealed pathogen 197 inhibition- and potential probiotic characteristics. 198

B. megaterium. To our knowledge, several studies have reported *B. megaterium* with some
enzyme producing and antagonistic features in the intestine of fishfish. However, Austin & AlZahrani (1988) in rainbow trout, Green *et al.* (2013) in Atlantic salmon and Kim & Kim (2013)
in the DI of wild olive flounder reported no major features as the authors only focused on gut
microbiota evaluations. In contrast to Saha *et al.* (2006) and (Banerjee et al. (2016) who
displayed exo-enzymes producing and exo-producing, and antagonistic *B. megaterium* in the
intestines of Mossambicus tilapia and PI of grass carp (*Cetenopharyngodon idellus*).

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B. methylotrophicus. In a study by Kim & Kim (2013), the authors revealed that B. 206 methylotrophicus was a part of microbial diversity in the DI of wild and farmed olive flounder. 207 B. methylotrophicus was also isolated from the EI of channel catfish (Ran et al. (2012) and from 208 PI of Indian major carp (Catla catla) (Mukherjee & Ghosh (2016). Recently, this bacilli was 209 isolated as a part of autochthonous gut microbiota of rohu intestine with a various antagonistic 210 effect towards pathogenic Aeromonas spp. More recently, Mukherjee et al. (2017) and 211 Ramirez-Torrez et al. (2018) isolated B. methylotrophicus with potential probiotic features from 212 the PI of rohu and EI content of rainbow trout, respectively. 213

B. nealsonii. To our knowledge, only one study has revealed *B. nealsonii* in the intestine of
finfish, rainbow trout, in a study investigated commonly used antibiotics against nonpathogenic and important fish pathogens (Yilmaz *et al.* 2018).

**B.** pumilus. The first study, showing *B.* pumilus in the intestine of fish, rohu, was carried out 217 by Ghosh et al. (2002). The isolate produced extracellular protease, amylase and cellulase, and 218 219 the authors suggested that the bacterial strain might play an important role in rohu fingerlings nutrition. Ma et al. (2010) recovered B. pumilus from the gut of orange spotted grouper. An 220 allochthonous B. pumilus with potential as probiotic was isolated from the EI of channel catfish 221 by Ran et al. (2012). The autochthonous gut microbiota of brown trout (Salmo trutta) was 222 223 studied by Al-Hisnawi et al. (2015) and B. pumilus was displayed a member of the identified 224 bacterial community isolated from the fish distal intestine (DI) based on cultivation. In addition, this bacilli species, autochthonous, with a chitinae- producing character was recovered from the 225 DI of silver carp (Hypophthalmichthys molitrix) by Banerjee et al. (2015b). In their review 226 devoted to modulation of gut microbiota by dietary manipulatons, Ringø et al. (2016) revealed 227 autochthonous B. pumilus in the (DI) of rainbow trout (Onchorhynchus mykiss) fed linseed oil. 228 However, the bacterium was not detected in the DI of fish fed sunflower-, rapeseed- or marine 229 230 oil. Strains of enzyme-producing *B. pumilus* showing an antagonist characteristic were isolated from the PI mrigal and rohu (Banerjee et al. 2016). Rencenly, Ramirez-Torrez et al. (2018) 231 successfully recovered this bacilli species from the EI rainbow trout with a good adhesion 232 feature. 233

**B.** sonorensis. Strains of autochthonous enzme-producing *B.* sonorensis with antagonistic feaure were recovered from the DI of mrigal by Dutta & Ghosh (2015), and based on their

results, the author suggested that *in vivo* studies merits investigations to clarify their effect ongrowth performance and health.

238 B. subtilis. This species has been revealed in the GI tract of several finfish studies (Table 1). 239 Askarian et al. (2012) reported it as autochthonous in PI and DI of Atlantic salmon, and similar findings were later reported by Green et al. (2013). He et al. (2013) investigated the microbial 240 241 communities in the intestinal content of black carp (Mylopharyngodon piceus), gibel carp (Carassius gibelio) and bluntnose black bream (Megalobrama amblycephala) and revealed B. 242 subtilis. A B. subtilis strain with a remarkable antimicrobial activity against Edwardsiella. 243 ictaluri was isolated from the catfish intestine (Ran et al. 2012). Banerjee et al. (2013) 244 recoveered B. subtilis from the PI and DI of Indian air-breathing fish, murrel (Channa 245 punctatus) and stinging catfish using base-culture method. Furthermore, based on culture 246 247 methods this species has been recovered from DI-content cyprinid species (Li et al., 2015), the 248 intestines of southern flounder (Chen et al., 2016a, 2016b), PI and DI of Nile tilapia (Talukdar et al., 2016) and Inidan major carp (Kavitha et al. (2018). When discussing the presence of B. 249 subtilis it worth to mention isolation of autochthonous B. subtilis subsp. subtilis from MI of 250 mrigal (Das & Ghosh 2013) revealing high phytase activity, which later was used in a solif-251 252 state fermention study (Das & Ghosh 2015). A potential probiotic B. subtilis subsp. spizizenii, autochthonous, was isolated from DI Indian major carp (Mukherjee & Ghosh 2016), and the 253 254 authors suggested the bacterium to be a bio-control agent, but in vivo studies merits 255 investigations.

B. tequilensis. This species is seldomly isolated from finfish, as only one recent study has
revealed its presence in the PI of silver carp (Banerje et al. 2016). The isolate, HMF6X, revealed
high cellulase ans xylanase activities vs. the other isolates isolated from the fish species. In
addition, strain HMF6X revealed antagonistic activity towards *Aeromonas salmonicida*.

B. thermoamylovorans. To our knowledge, B. thermoamylovorans has only been detected in
one finfish study, by Nyman et al. (2017) investigating the bacterial community in the intestinal
content of Arctic charr (Salvelinus alpinus). However, no further evaluation of the extracellular
enzymes-production and the probiotic potential was carried out; topics that merits further
investigations.

*B. thuringiensis*. In a study evaluating the culturable autochthonous gut bacteria in Atlantic
salmon fed diets with or without chitin, Askarian *et al.* (2012) revealed that *B. thuringiensis*isolated from DI produced enzymes and inhibited *in vitro* growth of four fish pathogens tested.
Later, Yilmaz *et al.* (2018) displayed *B. thuringiensis* in the intestine of rainbow trout.

B. stratosphericus. Two cultured based studies, revealed cellulase activity by a strain isolated
from mid intestine (MI) of Piau-com-pinta (*Leporinus friderici*) (Peixoto *et al.* 2010). Later
Mukherjee *et al.* (2016) demonstrated the probiotic potential of an autochthonous bacteria
isolated from PI of Indian major carp.

*S. silvestris*. The first study isolating *Bacillus silvestris* was carried out by Rheims *et al.* (1999)
isolating the bacterium from forest soil. In 2009, Krishnamurthi and co-authors re-classified the
bacterium to *S. silvestris*. To our knowledge, only one study has revealed *S. silvestris* in the GI
tract of fish; Indian major carp (Mukherjee *et al.* 2016).

## 277 **2.2.** *Bacillus* in shellfish

The GI tract of shellfish consist of three main segments; foregut (stomach), and mid- and 278 hindgut. During the last 20 years, several studies have been published on the gut microbiota of 279 shellfish (e.g. Daniels et al. 2010; Zhu et al. 2016; Li et al. 2018b; Mongkol et al. 2018). 280 However, less information is available on Bacillus in shellfish intestine (Table 2), compared to 281 that reported for fish fish. In shellfish studies, B. cereus isolated to tiger shrimp (Penaeus 282 monodon) revealed growth and immune enhancer features (Chandran et al. 2014), while one 283 study by Vargas-Albores et al. (2017) evaluating the gut microbiota of Pacific white shrimp 284 (Litopenaeus vannamei) by culture-independent method revealed B. cereus, B. thuringiensis 285 and Bacillus weihenstephanensis (Vargas-Albores et al. 2017). 286

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# Table 1 here

## 288 4. Products by Bacillus: exo-enzymes

Genus *Bacillus* is ubiquitous in the environment and, is one of the most commonly used
probiotics in aquaculture (Hong *et al.* 2005; Zokaeifar *et al.* 2012b; Zokaeifar *et al.* 2014).

291 Bacillus spp. are heterogenous, both phenotypically and genotypically (Slepecky & Hemphill

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2006). In consequence, they exhibit quite diverse physiological properties, e.g., the ability to
degrade different substrates derived from plant or animal sources that include cellulose, starch,
proteins, hydrocarbons (Lutz *et al.* 2006), and diverse anti-nutritional factors (Ghosh *et al.*2018). Furthermore, some *Bacillus* species are heterotrophic nitrifiers, denitrifiers, nitrogenfixers, iron precipitators, selenium oxidizers, oxidizers and reducers of manganese, facultative
chemolithotrophs, acidophiles, alkalophiles, psychrophiles, thermophiles and others (Priest
1993; Slepecky & Hemphill 2006; Abriouel *et al.* 2011).

Being metabolically active, genus Bacillus produce a wide arsenal of useful enzymes and 299 numerous antimicrobial compounds that may include antibacterial, antiviral or antifungal 300 301 activity (Prieto et al. 2012). The probiotic attributes of the bacilli often lie with their metabolites that encompass an extensive range of substances with diverse biological functions. Generally, 302 Bacillus spp. is well known as major producers of proteinaceous substances (Zokaeifer et al. 303 2012a), which includes both enzymes and bacteriocins or bacteriocin like inhibitory substances 304 305 (BLIS). This section provides an overview on the exo-enzymes and bacteriocins produced by bacilli and their beneficial attributes, with notes on present status of knowledge and prospects 306 307 in aquaculture. Although enzyme-producing ability of bacilli from diverse sources has been 308 widely known, this section focus on the autochthonous enzyme-producing bacilli recorded from 309 fish gut. Autochthonous gut-adherent bacteria seemed to be ideal for aquaculture application, since microorganisms from the fish source might ensure their colonization and enzyme 310 supplementation within the intestine, and otherwise, would likely to eliminate the risk of 311 harmful effects by the microorganisms or their metabolites (Ghosh et al. 2018). 312

### 313 4.1. Gut associated bacilli and their exo-enzymes

314 Unlike the ruminants and higher vertebrates, probable contribution of the endosymbionts in the 315 nutritional physiology of fish has been recognized of late (Ray et al. 2012). Apart from the endogenous digestive enzymes, exogenous enzymes produced and supplemented by the 316 autochthonous microbiota could be considered as one of the important secondary factors that 317 affects nutrition and feed utilization in fish. In fact, a wide range of enzymes, viz., 318 carbohydrases, phosphatases, esterases, lipases and peptidases produced by gut bacteria might 319 contribute to the digestive processes in fish (Ghosh et al. 2018). Extensive studies on Indian 320 major carps (e.g., Ray et al. 2012; Mandal & Ghosh 2013; Das & Ghosh 2014; Dutta et al. 321 2015; Dutta and Ghosh, 2015; Banerjee et al. 2016; Mukherjee & Ghosh 2016; Mukherjee et 322

al. 2016; Mukherjee et al. 2017) and other teleosts (e.g., Cahil 1990; Ringø et al. 1995, 2010, 323 2016; Llewellyn et al. 2014; Al-Hisnawi et al. 2014; Hosseinifar et al. 2016; Ringø & Song 324 2016) have indicated the presence of autochthonous gut-associated microorganisms in fish and 325 their beneficial attributes in nutrition. The enzymes of nutritional importance produced by the 326 gut bacteria may be categorized into (1) digestive enzymes, e.g., protease, amylase, lipase etc., 327 and (2) degradation enzymes, e.g., non-starch polysaccharide (NSP) - degrading enzymes, 328 phytase, tannase and chitinase. The review of Ray et al. (2012) illustrated contribution of the 329 diverse exo-enzyme producing gut bacteria in the nutrition and well being of the host fish, 330 331 where gut-associated bacilli were recognized as one of the major groups of bacteria within fish gut. Later, ability of the fish gut-microbiota in enzymatic degradation of plant-derived anti-332 333 nutritional factors was displayed by Ghosh et al. (2018), in which numerous strains of Bacillus were promising. Therefore, the following sub-section will present an extract and update of the 334 research endeavours depicting the occurrence and importance of exo-enzyme producing gut 335 associated bacilli in fish (Table 2). 336

## 337 4.2. Exo-enzyme producing bacilli: digestive enzymes

Endogenous digestive enzymes in fish hydrolyze organic macromolecules (mainly, 338 carbohydrate, protein and lipid) into simpler compounds. In addition, as established for higher 339 vertebrates, supplementation of digestive enzymes (viz., amylase, protease, lipase) from 340 341 microbial source could be of importance to improve nutrient utilization in fish. Although preliminary studies on microbial amylase activity within fish gut noticed the presence of 342 bacterial amylase, characterization and identification of the specific amylase-producing strains 343 were mostly not carried out (Lesel et al. 1986; Das & Tripathi 1991; Bairagi et al. 2002). On 344 the other hand, microorganisms with efficient proteolytic activity are widespread in nature 345 because of their rapid growth and *Bacillus* spp. by far the most common among them (Ray et 346 al. 2012). To the authors' knowledge, occurrence of proteolytic and amylolytic bacilli (B. 347 348 circulans, B. cereus and B. pumilus) was first reported in the gut of an Indian major carp (IMC), 349 rohu by Ghosh et al. (2002) and correlated it with the feeding habit. However, they didnt quantify enzyme activities. Later, Esakkiraj et al. (2009) documented extracellular protease 350 production by B. cereus isolated from the gut of flathead grey mullet (Mugil cephalus) and 351 indicated that bacterial protease was efficient in utilizing different preparations of tuna-352 353 processing wastes, e.g., raw fish meat, defatted fish meat and alkali or acid hydrolysate as

nitrogen sources. Mondal et al. (2010) detected both protease- and amylase-producing ability 354 of B. licheniformis and B. subtilis in the gut of bata. Similarly, Ray et al. (2010) isolated various 355 strains of bacilli in the gut of three Indian major carps, viz. catla (B. coagulans, B. cereus); 356 357 mrigal (Bacillus sp., B. cereus) and rohu (Bacillus sp.), and protein or starch hydrolyzing abilities were demonstrated. Subsequently, presence of amylase- and protease-producing bacilli 358 (B. thuringiensis, B. cereus, B. subtilis, Bacillus sp.) in the gut of a marine teleost (Atlantic 359 salmon) were recorded by Askarian et al. (2012). Later, Brevibacillus parabrevis and B. 360 licheniformis isolated from two brackish water fish species, crescent perch (Terapon jarbua ) 361 362 and long whiskers catfish (Mystus gulio), respectively, were noticed with considerable amylase and protease activities in vitro (Das et al. 2014). Since then, diverse Bacillus spp. capable of 363 364 producing amylolytic and proteolytic enzymes were documented from several fish species that included IMCs (Dutta & Ghosh 2015; Dutta et al. 2015; Mukherjee & Ghosh 2016; Mukherjee 365 et al. 2016; Mukherjee et al. 2017); climbing perch (Anabas testudineus) (Banerjee et al. 366 2015a); walking catfish (Clarias batrachus) (Banerjee et al. 2015a; Dey et al. 2016) grass carp 367 and rohu (Guo et al. 2016; Banerjee et al. 2017), striped dwarf catfish (Mystus vittatus) (Nandi 368 et al. 2017a) and Inidian major carp (Kavitha et al., 2018), a brief description of which are 369 presented in Table 2. 370

371 Gut bacteria might induce lipolysis either by enzymatic breakdown of triglyceride through direct bacterial action, or by altering pancreatic lipase activity with bacterial proteases (Ringø 372 373 et al. 1995). Although reports on specific lipase-producing bacilli from fish gut were scarce, 374 some of the studies describing amylase, protease or cellulase-producing bacilli within fish gut also addressed lipolytic activity, if any. Thus, lipase-producing bacilli were detected in the guts 375 376 of IMCs (Dutta & Ghosh 2015; Dutta et al. 2015; Mukherjee & Ghosh 2016; Mukherjee et al. 2016, Mukherjee et al. 2017); Atlantic salmon (Askarian et al. 2012); brackish water fishes, T. 377 jarbua and M. gulio (Das et al. 2014) catfishes (Dey et al. 2016; Nandi et al. 2017a) and Nile 378 379 tilapia (Ghosh et al. 2017).

## 380 **4.3.**Exo-enzyme producing bacilli: degradation enzymes

Cellulose and hemicelluloses (e.g., xylans) are the major NSPs in plant feedstuffs commonly encountered by the fish under culture condition, either through natural food (algae, phytoplankton, detritus, aquatic macrophytes) or formulated diets as there is a thrust to replace animal sources in fish feed with plant ingredients (rice bran, wheat husks, different oil cakes).

The principal endogenous polysaccharide digesting enzymes in fish specifically hydrolyze the 385  $\alpha$ -glycosic linkages of starch and yield glucose. However, cellulose remains mostly indigestible 386 in monogastric animals due to the presence of  $\beta$ -(1 $\rightarrow$ 4) glycosidic linkages and lack of the 387 388 endogenous cellulase. Likewise,  $\beta$ -glucanases and  $\beta$ -xylanases capable of digesting other NSPs are also either rare or not present in fish (Kuźmina 1996). Symbiotic gut microorganisms are 389 likely to be involved in the fermentative degradation of cellulosic material for the host fish 390 (Clements 1997). Thus, among the degradation enzymes, emphasis has been given on the ability 391 of the gut-microbiota to produce cellulase as the major NSP-degrading enzyme (for review, see 392 393 Ray et al. 2012). Although presence of microbial cellulase within the fish gut was first indicated in the common carp (Shcherbina & Kazlauskiene 1971), involvement of gut-associated bacilli 394 395 in cellulase production was detected much later (Ghosh et al. 2002). Protease- and amylaseproducing bacilli (B. circulans, B. cereus and B. pumilus) isolated from rohu were also efficient 396 in producing cellulase, although none of the enzymes-producing ability was quantified (Ghosh 397 et al. 2002). Importantly, presence of diverse exo-enzyme producing bacilli was correlated it 398 with the omnivorous feeding aptitude of the concerned carp species. Afterwards, a large number 399 of cellulose-degrading bacilli have been recorded in the guts of grass carp and tilapia (Saha et 400 al. 2006); rohu, catla and mrigal (Ray et al. 2010); bata (Mondal et al. 2010); pacu (Piaractus 401 402 esoiptamicus) and piaucom-piñata (Leporinus friderici) (Peixoto et al. 2011); walking catfish (Dey et al. 2016) and striped dwarf catfish (Nandi et al. 2017a). While considering in vitro 403 404 cellulase-producing ability of the gut bacilli, Ray et al. (2007) noticed that cellulase production by B. subtilis CY5 and B. circulans TP3, isolated from the gut of common carp and 405 Mozambique tilapia, Oreochromis mossambicus, respectively was enhanced under optimized 406 condition through solid-state fermentation (SSF). Further, Peixoto et al. (2011) noticed 407 408 cellulolytic potential of B. subtilis P6 and Bacillus velesensis P11, and pH 7.0-9.0 was recorded 409 for the maximum residual cellulase activity. These observations were instrumental in view of future utilization of the cellulolytic bacilli in bio-processing of plant feedstuffs in vitro. 410

On the contrary, reports on xylanase-producing gut microorganisms in fish are meager (German & Bittong 2009; Banerjee & Ghosh 2014; Banerjee *et al.* 2016). Banerjee *et al.* (2016) carried
out screening of cellulose and xylan degrading autochthonous gut bacteria from six freshwater
carps. In their study, the strains *Bacillus pumilus* LRF1X, *B. pumilus* CMF1C, *B. tequilensis*HMF6X, *B. megaterium* CtIF1C and *B. altitudinis* CMH8X revealed both xylan- and cellulose-

416 degrading ability. Following which, degradation of cellulose and xylan under SSF by B.

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*pumilus* and *B. tequilensis* isolated from the proximal intestines of rohu and silver carp,
respectively, has also been documented (Banerjee & Ghosh 2016). Furthermore, cellulase and
xylanase-producing ability of autochthonous bacilli isolated from the gut of rohu, catla and
mrigal have been reported (Dutta *et al.* 2015; Dutta & Ghosh 2015; Mukherjee *et al.* 2016;
Mukherjee & Ghosh 2016; Mukherjee *et al.* 2017), which are summarized in Table 2.

422 Phytase is another important degradation enzyme, supplementation of which might improve the availability of phosphorus and other minerals bound to phytic acid by hydrolysis of the phytate 423 compounds (Oatway et al. 2001). Protein rich oil cakes used in aquafeed formulation are the 424 425 major source of phytate compounds. Only a few reports have considered exogenous phytase 426 activity represented by gut bacteria in fish. The first study, indicating phytase-producing bacilli within fish gut was accomplished by Roy et al. (2009), who depicted two phytase-producing 427 strains of B. licheniformis from rohu. Afterward, Askarian et al. (2012) demonstrated phytase 428 activity by autochthonous B. subtilis, B. thuringiensis, B. cereus and Bacillus sp. isolated from 429 430 the gut of Atlantic salmon fed with or without chitin supplemented diet, although phytaseproducing ability was not quantified. In a comprehensive investigation of phytase-producing 431 432 bacteria in freshwater teleosts, Khan & Ghosh (2012) documented B. subtilis LB1.4 and B. 433 atrophaeus GC1.2 isolated from the digestive tracts of a minor carp, bata and Indian river shad, Gudusia chapra, respectively as efficient phytase-producing strains. Further, phytase-434 producing capacity of B. subtilis was evaluated in vitro in a later study under SSF and phytate-435 degrading ability of the strain was established (Khan & Ghosh 2012). In addition, phytase-436 producing ability of autochthonous exo-enzyme producing bacilli isolated from the IMCs have 437 been reported in subsequent studies (Dutta et al. 2015; Dutta & Ghosh, 2015; Mukherjee et al. 438 439 2016; Mukherjee & Ghosh 2016; Mukherjee et al. 2017).

Tannins are widespread in nature and are considered as the most common among the plant-440 derived anti-nutritional factors. Tannin-degrading ability of Bacillus and some other genera 441 have been recorded by Deschamps et al. (1980). Presence of tannase-producing microbiota has 442 443 been detected in the digestive tract of ruminants feeding on tannin rich forage (Goel et al. 2005). 444 However, information on tannase-producing bacteria from fish gut is scanty as studies carried out on this topic are inadequate. To the authors' knowledge, only one study illustrated tannase-445 producing bacilli in fish gut, where B. subtilis KP765736 and Brevibacillus agri KP765734 446 447 isolated from Nile tilapia represented tannase activity (Talukdar et al. 2016). The authors

hypothesized that the tannase-producing bacteria established a symbiotic relation with the host 448 fish and adapted to the neutral or alkaline pH of the fish gut (Talukdar et al. 2016). 449 Chitin is considered as the second most abundant biomass in the world after cellulose that forms 450 451 a major constituent in many fish food organisms, viz., protozoans, coelenterates, crustaceans, molluscs, fungi and green algae (Ray et al. 2012). Although the first report of bacterial chitin 452 destruction involved Bacillus chitinovorus isolated from an aquatic source (Benecke 1905), 453 chytinolytic bacilli from fish gut was documented much later. Askarian et al. (2012) recorded 454 chitinase-producing ability of bacilli in the digestive tract of Atlantic salmon fed with (B. 455 456 subtilis) or without (B. thuringiensis, B. cereus and Bacillus sp.) chitin supplemented diets. Further, Banerjee et al. (2015b) detected potent chitinolytic activity of B. pumilus KF454036 457 458 and B. flexus KF454035 isolated from the digestive tracts of silver carp, and mrigal, respectively and opined that there is possibility of using chitinolytic bacilli from fish gut for chitinase 459 production or as probiotics to improve feed efficiency in fish. 460 4.4. Application of gut associated bacilli in feed-biotechnology 461

Apart from the use of bacilli as probiotic feed supplements, the recent review of Ghosh et al. 462 (2018) proposed to utilize the exo-enzyme producing microorganisms judiciously for bio-463 processing of the plant feedstuffs through SSF. Exo-enzyme producing bacilli isolated from 464 465 fish gut have been shown to reduce the contents of anti-nutritional factors, viz., fibre, tannin, phytic acid and trypsin inhibitor in the plant ingredients through SSF (Bairagi et al. 2004; 466 467 Ramachandran et al. 2005; Ramachandran & Ray 2007; Khan & Ghosh 2013). Apart from elimination of the anti-nutritional factors, increase in nutrient level through microbial synthesis 468 of essential bio-molecules (viz., amino acids, fatty acids and vitamins) is expected during the 469 bio-processing through SSF (Banerjee & Ghosh 2016). Thus, SSF has been suggested to 470 471 improve digestibility of feedstuffs by augmenting bioavailability of nutrients, reducing 472 antinutrients and synthesizing bio-molecules (Ghosh et al., 2018). However, in view of application of the SSF-processed substrate as fish feed ingredient, microbial symbionts 473 474 originally isolated from fish gut are preferred in this process so as to avoid likely inclusion of

harmful metabolites in the fermentation-product (Khan & Ghosh 2013).

476 Bacterial cellulase supplementation in the formulated diet (as probiotics) or processing of plant

- 477 feedstuffs with cellulase-producing bacilli have been used in a number of instances (Bairagi *et*
- 478 al. 2002; Ghosh et al. 2004). Ramachandran & Ray (2007) conducted fermentation of black
- 479 gram seed meal with a *Bacillus* sp. that was effective in reducing crude fibre, tannin and phytic

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acid. Further, in an attempt to use phytase-producing bacilli in processing of plant ingredient, 480 B. licheniformis LF1 and B. licheniformis LH1 isolated from rohu were used for fermentation 481 of sesame oilseed meal (Roy et al. 2014). Diets incorporated with fermented oilseed meal 482 significantly (P<0.05) improved the apparent digestibility of macro-molecules (protein, lipid) 483 and minerals (phosphorus, calcium, copper, iron and manganese). Another study reporting 484 processing of sesame oil cake by the phytase-producing fish gut bacterium, B. subtilis subsp. 485 subtilis through SSF resulted in significant (P<0.05) reduction of the anti-nutritients (e.g. phytic 486 acid, tannins, trypsin inhibitor and crude fibre), while levels of free amino acids, fatty acids and 487 488 different minerals were noticed to be enhanced (Das & Ghosh 2015). Subsequently, fermentation (SSF) of linseed oil-cake with B. pumilus LRF1X and B. tequilensis HMF6X 489 490 revealed minor enhancement in the contents of macro-molecules, total free amino acids and fatty acids as compared to the raw substrate (Banerjee & Ghosh 2016). In addition, anti-491 nutrients (cellulose, hemicelluloses, crude fibre, tannins, phytic acid and trypsin inhibitor) were 492 significantly (P<0.05) reduced and more interestingly, amino acid composition indicated 493 significant (P<0.05) boost in the levels of numerous amino acids (arginine, cystine, histidine, 494 isoleusine, methyonine, phenylalanine, threonine, tryptophan and valine) in the fermented oil-495 496 cake, although, lysine and leucine contents were decreased (Banerjee & Ghosh 2016). 497 Therefore, it seems that fermentation by autochthonus exo-enzyme producing bacilli could be effective in improving the feed value of the plant feedstuffs, which would have a great 498 499 contribution in aquafeed-technology in the upcoming era.

## 500 5. Bacteriocins produced by *Bacillus* and their antibacterial activity

The antimicrobial compounds produced by bacteria are usually divided into two major groups; 501 (1) non-ribosomal secondary metabolites, such as peptide or lipopeptide antibiotics and (2) 502 503 ribosomally synthesized proteins/peptides, such as bacteriocins (Abriouel et al. 2011; Lee & 504 Kim, 2011). Bacteriocins are ribosomally synthesized antimicrobial peptides produced by bacteria that often present bactericidal effects against other closely related species (Cotter et al. 505 2005). Bacteriocins are the most abundant and diverse of the bacterially produced 506 507 antimicrobials (Riley, 2009). These heterogeneous substances portray variable biochemical properties, inhibitory spectra, molecular weights and mechanisms of action (OSullivan et al. 508 2002). Antibiotics have been used in the fish health manager's for the treatment of bacterial 509 510 diseases in fish since years. However, massive use of broad spectrum antibiotics and 511 antimicrobial drugs increases the selective pressure by developing emergence of bacterial

resistance (Verschuere *et al.* 2000). The development of antibiotic resistance among the microorganisms associated with fish diseases has become a global concern during the past years (Kolndadacha *et al.* 2011). Thus, much interest has been paid on the search for novel antibacterial compounds, preferably proteins with prophylactic and/or curative potential, for which the pathogens may not develop resistance (Patil *et al.* 2001). In this regard, bacteriocins from natural sources have been suggested to be an alternative to control the bacterial diseases in aquaculture (Kim *et al.* 2014; Sahoo *et al.* 2016).

Bacteriocins produced by lactic acid bacteria (LAB) are the most widely studied (Nes et al. 519 2007; Ringø et al. 2018), while, the Bacillus spp. have been less studied in this respect (Abriouel 520 521 et al. 2011). The genus Bacillus includes an assortment of industrially important species and has a history of safe use in both food and industry (Paik et al. 1997). Moreover, investigations 522 of the antimicrobial potential of genus Bacillus is of interest to investigate, as bacilli produce 523 several bacteriocins or bacteriocin-like inhibitory substances (BLIS) representing different 524 chemical structures (Von Döhren, 1995; Abriouel et al. 2011). The production of bacteriocins 525 or BLIS has been described for some Bacillus species including B. thuringiensis (Paik et al. 526 527 1997), B. subtilis (Zheng et al. 1999), B. cereus (Bizani & Brandelli 2002) and B. 528 amyloliquefaciens (An et al. 2015). Like the LAB, the genus Bacillus also includes representatives that are generally recognized as safe (GRAS), such as B. subtilis and B. 529 licheniformis (Smitha & Bhat 2013), and hence can be used in the aquaculture as probiotics for 530 prevention of some bacterial diseases such motile Aeromonas septicemia and vibriosis. Bacillus 531 bacteriocins are increasingly becoming imperative owing to their broader spectra of inhibition 532 533 that may include some Gram-negative bacteria and Gram-positive species belonging to genera 534 of Aeromonas, Edwardsiella, Streptococcus, Pesudomonas and Vibrio (Dutta & Ghosh 2015; Chen et al. 2016b; Chen et al. 2016b; Sumathi et al. 2017) 535

The gut microbiota in some finfish species such as Indian carp has been reported to be fairly
dominated by the genus *Bacillus* (Class I of the phylum Firmicutes i.e. the bacilli), that has
become evident through both culture dependent and culture in-dependent methods (Ringø *et al.*2006; Ghosh *et al.* 2010; Ray *et al.* 2010; Sarkar & Ghosh 2014; Li *et al.* 2015; Das & Ghosh
2015; Mukherjee *et al.* 2016; Yilmaz *et al.* 2018). Further, fish gut associated bacilli are known
to play an important role for prevention of infections in aquaculture by production of
antibacterial substances (e.g. Dimitroglou *et al.* 2011; Mukherjee *et al.* 2017; Nandi *et al.*2017a;

Nandi et al. 2017b; Ghosh et al. 2017). Although several studies on bacteriocins from Bacillus 543 have exhibited their important aspects of food safety (Gautam & Sharma 2009; Abriouel et al. 544 2011; Nath et al. 2015), very few have addressed the potential application of these antimicrobial 545 substances to be used against bacterial diseases in aquaculture (Ran et al. 2012; Kim et al. 2014; 546 Luo et al. 2014; Guo et al. 2016; Mukherjee et al. 2017). Moreover, studies on fish gut 547 associated bacilli with regard to likely bacteriocinogenic potential have been rarely addressed 548 (Sirtori et al. 2006; Giri et al. 2011). Therefore, it needs extensive study for screening and 549 characterization of the bacteriocinogenic bacilli from the fish gut and their antibacterial 550 compounds to obtain more scientific understanding and knowledge to prevent diseases in 551 aquaculture. This section will present an overview of the diverse classes of bacteriocins 552 553 produced by bacilli, and an update on the efficacy of fish gut associated Bacillus spp. against fish pathogens, and their prospective future applications. 554

## 555 5.1. Classes of bacteriocins produced by Bacillus

556 Genus Bacillus are known produce wide variety of to a antimicrobial substances that include peptide or lipopeptide antibiotics, bacteriocins and 557 558 bacteriocin-like inhibitors (Stein 2005, Sumi et al. 2015). Antimicrobial peptides produced by 559 bacteria through ribosomal synthesis are generally referred to as bacteriocins, which are a heterologous group of proteinaceous antimicrobial substances and known to produce by 560 bacteria from every major group (Riley & Wertz 2002a,b). Many other antimicrobial substances 561 that are not well characterized or the peptide nature of the compound has not been confirmed 562 and they are referred to as bacteriocin-like inhibitory substances (BLIS) (Abriouel et al. 2011). 563 The Bacillus group of bacteria often produce lipopeptide antibiotics by non-ribosomal synthesis 564 565 (e.g. iturins). This section will present an overview on the classification of bacteriocins or BLIS produced by the bacilli excluding the non-ribosomally synthesized peptides. 566

To date, most of the classification efforts are made with the bacteriocins from LAB. The classification scheme of LAB bacteriocins was primarily developed by Klaenhammer (1993), who grouped bacteriocins into four distinct classes with sub-classes: Class I or lantibiotics (post-translationally modified, thermostable peptides, containing lanthionine or derivatives, <5 kDa); Class II (unmodified small heat-stable peptides, <10 kDa); Class III (unmodified large heat-labile peptides, >30 kDa) and Class IV (large complex proteins, containing carbohydrates or lipid moieties). This grouping has formed the basis of all subsequent classification schemes

for bacteriocins produced by the Gram-positive bacteria. Readers with special interest are 574 referred to the reviews of Mokoena (2017) and Ringø et al. (2018) where updated classification 575 schemes of bacteriocins produced by the LAB have been presented. Genus Bacillus may be 576 577 considered as the second most important group for the production of bacteriocins and BLIS after the LAB. It was evident that the bacteriocins produced by Bacillus spp. display broad 578 range of molecular mass; e.g. the smallest bacteriocin-like compound (800 Da) is produced by 579 B. licheniformis (Teixeira et al. 2009), whereas B. thuringiensis produced the largest 580 bacteriocin (950 kDa) such as thuricin (Cherif et al. 2001). Many Bacillus bacteriocins belong 581 582 to the lantibiotics, post-translationally modified peptides commonly dispersed among different bacterial groups. Lantibiotics are the best-described antimicrobial peptides with regard to 583 584 biosynthesis mechanisms, genetic determinants and peptide structure. Members of the genus Bacillus also produce many other unmodified bacteriocins within Class II of LAB bacteriocins 585 (Klaenhammer 1993; Drider et al. 2006; Nes et al. 2007), which includes the pediocin-like 586 bacteriocins (Class IIa) and the two-peptide bacteriocins (Class IIb), while others show 587 completely novel peptide sequences (Abriouel et al. 2011). Some of the well described 588 bacteriocins produced by Bacillus spp. are, subtilin by B. subtilis (Banerjee & Hansen 1988), 589 cerein by B. cereus (Oscariz et al. 1999), bacillocin 490 by B. licheniformis (Martirani et al. 590 591 2002), haloduracin by B. halodurans (Lawton et al. 2007), thuricin by B. thuringiensis (Gray et al. 2006), subtilosin by B. amyloliquifaciens (Sutyak et al. 2008), and megacin by B. 592 593 megaterium (Kiss et al., 2008), and they are mostly active against Gram-positive organisms such as Listeria monocytogenes, Gardnerella vaginalis, Streptococcus agalactiae, 594 Staphylococcus aureus and Leuconostoc mesenteroides In view of the increasing number of 595 596 bacteriocins described within different bacterial groups such as lactic acid bacteria, it is very difficult to ascertain a combined classification scheme of bacteriocins (Nes et al. 2007). 597 598 Therefore, the *Bacillus* bacteriocin classification system may be adopted independently even though some compounds produced by both Bacillus and LAB have very similar characteristics. 599 Consequently, a simple classification scheme for the bacteriocins / BLIS produced by the 600 601 Bacillus spp. was proposed by Abriouel et al. (2011) that holds three classes of bacteriocins (Table 3): Class I (antimicrobial peptides that undergo post-translational modifications); Class 602 II (small nonmodified and linear peptides, heat and pH stable, 0.77-10 kDa) and Class III (large 603 proteins with phospholipase activity, >30 kDa). 604

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## 5.2. Pathogen inhibition by bacilli and their bacteriocinogenic activity

Bacterial antagonism is a regular incident in nature that might play a vital role in maintaining 607 608 the balance between potentially beneficial and pathogenic microorganisms associated with fish (Balcázar et al. 2006; Pandiyan et al. 2013). Growth inhibition of pathogenic bacteria by the 609 beneficial bacteria could be due to the individual or combined production of antibacterial 610 611 metabolites (e.g., bacteriocins, siderophores, lysozymes, proteases), competition for essential nutrients, alteration of pH by organic acid production and competitive exclusion (Verschuere 612 et al. 2000; De Vrese & Schrezenmeir 2008; Lalloo et al. 2010; Mukherjee & Ghosh 2016). 613 614 Among these, antimicrobial peptides or bacteriocins have received major attention as an 615 alternative bio-control agent limiting colonization of pathogenic bacteria in GI fish (Ghanbari et al. 2013). Consequently, Bacillus spp. isolated from different environments presented a huge 616 variety of species producing bacteriocins or BLIS, which displayed antimicrobial activity 617 against other bacteria including fish pathogens (Abriouel et al. 2011; Sahoo et al. 2016). In 618 619 general, the majority of aquatic origin bacteriocins with antagonistic activities against bacterial pathogens have been reported from the marine fish with a lesser extent from freshwater fish 620 621 speceis (Sahoo et al. 2016). Moreover, bacteriocinogenic bacilli directly recovered from fish 622 and/or characterizations of the bacteriocins are sparse (Sirtori 2006; Bhaskar et al. 2007; An et al. 2015). Compare to allochthonous microbiota, the use of autochthonous microbiota and their 623 metabolites might be preferred to induce disease resistance e.g. inhibiting the pathogens and 624 avoid their likely harmful effects on the host fish and the normal microbiota (Ringø et al. 2018). 625 Numerous studies have revealed that genus Bacillus is a part of the autochthonous bacterial 626 community in fish (Ray et al. 2012; Ghosh et al. 2018). In some aquaculture species such as 627 628 Indian carp, the justification of using bacilli or bacteriocinogenic bacilli of autochthonous nature might be associated with the bacterial strains, target pathogens and the hosts, all 629 essentially share the same ecological niche (Mukherjee et al. 2016; 2017). In view of 630 application of bacteriocinogenic bacilli, research endeavours towards disease resistance or 631 pathogen inhibition in aquaculture may be grouped into three categories: (1) bacteriocin 632 633 production has not been confirmed, but assumed to be the reason behind pathogen inhibition, (2) proteinaceous nature of the BLIS has been confirmed with partial characterization, and (3) 634 specific bacteriocins produced by bacilli has been characterized and identified. The most reports 635 are associated with the first two categories. In contrast, studies on bacteriocinogenic bacilli 636

from aquatic sources and identification of bacteriocins produced by 637 aquatic 638 animals/environmets are scarce (Table 4). 639 During the last two decades, information on antagonism by fish gut associated bacilli against diverse pathogenic bacteria has become available, and this section presents an overview on the 640 pathogen inhibitory bacilli isolated from different fish species (Table 5). Antimicrobial 641 642 substances produced by bacilli isolated from GI tracts of Japanese costal fish (Sugita et al. 1998) and an Indian major carp (IMC), rohu (Giri et al. 2011) have been reported. Antibacterial 643 compound produced by B. licheniformis P40 isolated from an Amazon basin fish Leporinus sp. 644 645 was bactericidal and bacteriolytic to Listeria monocytogenes (Cladera-Olivera et al. 2004). In 646 addition, B. subtilis SG4 (Ghosh et al. 2007), B. aerius CCH1A and B. sonorensis CCH1Ph (Dutta et al. 2015) isolated from mrigal, C. mrigala; B. methylotrophicus isolated from channel 647 catfish, Ictalurus punctatus (Ran et al. 2012) and catla, Catla catla, (Mukherjee & Ghosh 648 2016); and B. cereus and B. circulans obtained from the GI tract of some other fish species 649 (Lalloo et al. 2010; Geraylou et al. 2014) were established as antagonistic against different 650 strains of Aeromonas hydrophila pathogenic to fish. Strains of B. methylotrophicus isolated 651 652 from soil or channel catfish intestine inhibited fish pathogens causing enteric septicaemia (E. 653 ictaluri) and motile aeromonad septicaemia (A. hydrophila) (Ran et al. 2012). Another strain, B. subtilis BHI344, isolated from the GI tract of channel catfish was shown to depressed growth 654 of pathogenic A. hydrophila, A. sobria, and A. caviae, in vitro (Luo et al. 2014); while strain 655 B. sonorensis CM2H3L isolated from the gut of mrigal inhibited in vitro growth of A. 656 salmonicida (Dutta & Ghosh 2015). Mukherjee et al. (2016) revealed that B. stratosphericus 657 KM277362, B. aerophilus KM277363, B. licheniformis KM277364 and S. silvestris 658 659 KM277365 isolated from the GI tract of mrigal inhibited in vitro growth of A. hydrophila, A. salmonicida, P. fluorescens and P. putida. Inhibition of pathogenic aeromonads was aslo 660 revealed by B. methylotrophicus (NR116240), B. amyloliquefaciens (NR117946) and B. 661 licheniformis (NR118996) isolated from rohu (Mukherjee et al. 2017). However, 662 characterization and identification of the antibacterial compounds produced by these gut 663 664 bacteria was not evaluated in the above mention studies, and inhibition was assumed to be due to bacteriocins or BLIS. Some of these observations made an attempt for partial purification 665 and characterization of the inhibitory substances, and the proteinaceous nature of the BLIS were 666 confirmed (Giri et al. 2011; Mukherjee et al. 2017). Among three bacilli species; B. subtilis, B. 667 cereus and B. amyloliquefaciens recovered from the gut of IMC, only B. amyloliquefaciens 668

demonstrated antagonistic activity against three fish pathogens A. 669 hydrophila, Acinetobacter sp. and Acinetobacter tandoii (Kavitha et al., 2018). B. subtilis 670 (ATCC 6633) inhibited in vitro growth of A. hydrophila and P. fluorescens (Aly et al. 2008), 671 672 while B. subtilis strains isolated from grass carp intestine exhibited inhibitory activities against fish pathogenic bacteria, including A. hydrophila, A. punctata, E. ictaluri, A. punctata f. 673 intestinali, Vibrio flurialis, and Str. agalactiae, but the inhibitory effect varied with highest 674 effect against A. hydrophila and A. punctata (Guo et al., 2016). In a study by Banerjee et al. 675 (2017), B. subtilis LR1 isolated from the GI tract of rohu exhibited a bacteriocin (~50 kDa) 676 677 with inhibitory activity against four fish pathogens; B. mycoides, A. salmonicida, P. fluorescens and A. hydrophila. B. subtilis and B. amyloliquefaciens recovered from GI tract of marine fish 678 679 (Paralichthys lethostigma) and cultured pond of sea cucumber exhibited antagonistic activity against V. anguillarum, V. harveyi, V. vulnificus, Streptococcus sp. and Staphylococcus aureus 680 (Chen et al., 2016a). Among the eight Bacillus strains isolated from the intestine of the fish 681 species; Scophthalmus maximus, Paralichthys olivacues, Epinephelus coioides and 682 Clupanodon punctatus, revealed B. amyloliquefaciens M001 more antagonistic activity against 683 multiple aquatic bacterial pathogens including V. anguillarum, V. campbellii, V. vulnificus, V. 684 685 parahamolyticus, Streptococcus sp. and Edwardsiella tarda (Chen et al. 2016b). It is worth to 686 mention that very few of the well-characterized bacteriocins produced by fish gut associated Bacillus spp., e.g., Bacillus sp. P45 isolated from Piaractus mesopotamicus, Amazon basin 687 688 fish (Sirtori et al., 2006) and B. amyloliquefaciens isolated from the marine fish Epinephelus areolatus (An et al., 2015) have been investigated. However, it has become evident that several 689 reports suggested that fish gut associated bacilli displayed inhibitory activity against the major 690 fish pathogens, which could be due to bacteriocins. However, purification and characterization 691 692 of the specific bio-active compounds and mechanism behind the pathogen inhibition are still less understood in most of the cases. 693

The use of purified bacteriocins in aquaculture is a controversial issue, as the main concern would be application of these compounds to the farmed fish that are aquatic (Rather *et al.*, 2017). Instead, application of the bacteriocinogenic strains as probiotics have been suggested (Gatesoupe 2008; Karthikeyan & Santhosh, 2009; Issazadeh *et al.* 2012). In reality, this could be a more rational and economically feasible approach than application of purified bacteriocins considering the fact that the ability of the bacteriocinogenic bacilli to sporulate would enable them to establish within the Gi tract of the hosts and in the aquatic environments (Rather *et al.* 23

2017). Another benefit by using live Bacillus spp. is that they are not generally involved in the 701 processes of horizontal gene transfer with Gram-negative bacteria (e.g. Vibrio and Aeromonas 702 703 spp.), and thus are unlikely to acquire genes of antibiotic resistance or virulence from these species (Moriarity, 1999). The production of antimicrobial substances and sporulation capacity 704 confer Bacillus strains with a double advantage in terms of their survival in different habitats. 705 706 Furthermore, the proteinaceous nature of the bacteriocins or BLIS implies putative degradation of the anti-microbial substance within the GI tract of fish, suggesting their use as prophylactic 707 or therapeutic feed supplements (Ringø et al. 2018). However, subsequent studies on 708 purification, characterization and identification of the bacteriocins from bacilli are necessary to 709 realize effectiveness of the vast array of compounds produced by diverse species and also to 710 711 develop a sustainable strategy to explore the potential bacteriocinogenic bacilli for disease resistance and pathogen prevention in aquaculture. 712

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## Tables 2 to 5 here

## 714 6. *Bacillus* as bioremediation of water quality

715 The aquatic ecosystems including aquaculture sector is the first ecosystem that greatly affected by different sources of pollutions, and bioremediation by probiotic bacteria is a process in which 716 beneficial bacteria are used to clean up contaminated water and soil. Generally a successful 717 bioremediation of rearing water by probiotics can occur via several ways including (i) 718 719 optimizing nitrification rates to maintain a low ammonia concentration, (ii) optimizing 720 denitrification rates to remove the excess nitrogen from the ponds as nitrogen gas, (iii) 721 maximizing sulphide oxidation to decrease the accumulated hydrogen sulphide, (iv) maximizing carbon mineralization to carbon dioxide to minimize the sludge accumulation, (v) 722 maximizing primary pond productivity to enhance the production of the growing target aquatic 723 animal as well as the secondary crops and (vi) maintaining a diverse and stable pond community 724 725 to avoid from the dominancy of the undesirable species in the ponds. Probiotics like Bacillus 726 can modulate the microbial communities in water and sediment leading to a reduction and/or elimination of some pathogenic agents as well as improving the growth and survival of the 727 target animal. However, the efficacy of probiotics is associated with a good understanding the 728 729 nature of bacterial competition between species or strains.

Among probiotics bacteria, are Bacillus sp. especially associated with improved water quality. 730 The rationale is that Gram-positive bacteria are efficient in converting organic matter into CO<sub>2</sub> 731 compared to Gram-negative bacteria (Kumar et al., 2016). The buildup of dissolved and 732 particulate organic matter is very common phenomenon observed during shrimp production 733 cycles, however high levels of Gram-positive bacteria (e.g., probiotic Bacillus) can be used to 734 minimize the levels of organic carbon in the system. Bacterial species belongs to the Bacillus 735 genera are also known to be a potential bio-remediators for organic wastes (Thomas & Ward, 736 1992). These probiotic bacteria regulate the population of beneficial microbiota in aquatic 737 738 environment and control the pathogenic microorganism and subsequently enhances the decomposition of undesirable organic substances in the water and sediments (Chávez-Crooker 739 & Obreque-Contreras, 2010). 740

741 When certain Bacillus strains are added to the water in a sufficient density, they may cause a significant impact, by competing with the bacterial microbiota naturally exist for the available 742 organic matter e.g. uneaten feed and aquatic animal feces. Such biologically interaction activity 743 is a reflecting of not only the strain capacity for the enzyme production, but also some 744 environmental conditions such as water temperature, dissolved oxygen, pH, organic and 745 inorganic substances. For instance, Yu et al. (2012) demonstrated that among nine strains of 746 747 ammonia nitrogen degradation bacteria of wastewater origin, B. amyloliquefaciens revealed highest degrading activity than the other strains at 35°C, pH 7.0 and rotation 200 r/min 748 749 (aeration). At high temperature (>35°C) and pH (>7) was degradation activity gradually reduced. In an early study, Focht & Verstraete (1977) suggested that temperature is critical by 750 influencing growth, absorption and utilization of substances via changing the enzyme 751 activities. In addition, environmental alkaline/acidic condition influence the degradation of 752 753 ammonia nitrogen by some Bacillus bacteria. The degradation rate also declined with an 754 increase in carbon source (glucose) level, probably due to glucose overloaded that inhibited the degrading level of ammonia nitrogen, indicating that ammonia nitrogen consumed in 755 medium is utilized to proliferate the new bacterial cells. Further, the optimal shaking speed (as 756 757 source of oxygen) to reach a maximum ammonia nitrogen degradation was obtained at 200 r/min and decreased gradually thereafter indicating that some Bacillus species can perform a 758 better activity for removal of ammonia from the water column under aeration condition than 759 760 anaerobic one.

Studies demonstrated the efficacy of some commercial and indigenous Bacillus as probiotics 761 and suggested that bacilli improved the water quality parameters in cultured ponds or 762 recirculation systems. Members with this trait, including B. subtilis, B. licheniformis, B. cereus, 763 B. coagulans are suggested as suitable for bioremediation of organic detritus (Divya et al., 764 2015), although they do not seems to be present in sufficient population level in the water 765 column or sediment. B. subtilus and B. lichiniformis are two suitable candidates for 766 bioremediation of aquaculture rearing water (Singh, 2002), and some details of efficacy of 767 Bacillus probiotics in aquaculture rearing water is presented in Table 6. 768

### 769 **6.1.** *Bacillus* probiotic efficacy on rearing water of fish

Chen & Chen (2001) revealed that a combination application of B. subtilis and B. megaterium 770 (supplemented twice a week) into rearing recirculating-water systems of red-parrot fish (male 771 midas cichlid Cichlasoma citrinellum × female redhead cichlid (C. synspilum) not only 772 773 significantly maintained total ammonia nitrogen (TAN), chemical oxygen demands (COD) and water transparency values to the lower levels than the control, but also reduced the high 774 775 mortality of fish reared at high-density cultured environment. The low values of TAN and 776 COD and the higher water transparency in the water by Bacillus-treatment could be attributed to the presence of *Bacillus* spp. that were counted as the dominant bacterial species in the water 777 778 of the treated group. In a study by Lalloo et al. (2007), a combined supplementation of B. subtilis, B. mycoides and B. licheniformisa originally recovered from mud sediment and 779 common carp intestinal tract at 7-day intervals was able to significantly reduce ammonia, 780 nitrite, nitrate and phosphate ions in the rearing water of common carp held in recirculation 781 782 tanks at 20°C. B. subtilis secretes two enzymes of nitrate and nitrite reductases that under nitrogen limitation condition, they reduce nitrate to ammonia (via nitrite), as B. subtilis is able 783 784 to use nitrate or nitrite as sole source of nitrogen and also as the electron acceptors for anaerobic respiration (Lalloo et al., 2007). Heterotrophic nitrification by Bacillus has also been reported 785 by Mevel & Prieur (2000). Such biological functions by B. subtilis and B. cereus grown under 786 787 aerobic, facultative aerobic and anaerobic conditions make them able to change nitrogen metabolism that facilitate both nitrification and denitrification processes. Naderi-Samani et al. 788 789 (2016) demonstrated that inclusion of Bacillus sp. in the rearing tank water of common carp significantly decreased TAN compared to control, but nitrate increased. When a combination 790 of B. pumilus and Lb. delbrueckii probiotics applied to the rearing water of common carp in a 791

biofloc culture system with molasses as carbon source, no change was displayed in 792 temperature, pH and dissolved oxygen compared vs. the control, whereas a sudden decline in 793 TAN was observed in the water of the probiotic treated groups after the 7th week and onwards 794 795 following 20% water exchange (Dash et al., 2018). In addition, an increase in total suspended solids (TSS) and total dissolved solid (TDS), and a fluctuation in nitrate level was observed. 796 Use of *B. pumilus* as a known nitrogen removal bacterium along with periphytic algae available 797 in such biofloc system could efficiently reduce total ammonia and nitrite in an aquaculture 798 system as demonstrated by Banerjee et al. (2010) who used B. pumilus in rearing water of P. 799 800 monodon. Furthermore, no significant change was seen in pH, salinity, dissolved oxygen and temperature values. In a previous study, Ghosh et al. (2008) evaluated the supplementation 801 802 effect of an indigenous isolate of B. subtilis strain recovered from the intestine of mrigal into the rearing water of four ornamental fish species; Poecilia reticulata, P. sphenops, Xiphophorus 803 helleri and X. maculatus at four different concentrations every 15 days interval significantly 804 reduced the levels of dissolved organic matter, TAN as well as total counts of coliforms and 805 motile Aeromonas compared to control ones suggesting a well inhibitory activity by B. subtilis 806 towards some Gram-negative bacteria. More recently, Zhang et al. (2013) demonstrated that 807 808 supplementation of a indigenous B. subtilis strain isolated from grass carp to a fish pond for 809 7 days significantly reduced ammonia, nitrite and total nitrogen levels in water over an extended period compared with the control group, but nitrate did not show significant difference. Also, 810 811 the microbial diversity increased in the probiotic treated groups, and could be due to secretion of some antimicrobial substances such as coagulin, amicoumacin and subtilisin by B. subtilis, 812 findings that Cutting (2011) suggested to suppress the growth of competing microbes and 813 enteric pathogens. The interesting finding that the probiotic treated group was dominated by 814 815 Proteobacteria, Bacteroidetes and Actinobacteria may be interest as Proteobacteria, has 816 previously been shown to play a role in nitrogen removal (Labbe<sup>´</sup> et al. 2007; Shapleigh, 2011). Prophylactic efficacy of B. subtilis in rearing water of Tilapia nilotica previously infected with 817 Flavobacterium columnare improved some water quality parameters (TAN, dissolved oxygen 818 and pH) and survival of fish (Mohamed et al., 2011) suggesting the production of an 819 antagonistic capacity by B. subtilis to columnaris disease. Despite an adequate data reported on 820 the positive effects of bacilli probiotics as b ioremediation of water quality of aqucualture 821 822 species, scarece data are available on their negative effects as water quality bioremediators. 823 Gupta et al (2016) reported that an eight-week application of Paenibacillus polymyxa (103-105 27

cfu/ml) as probiotic in common carp rearing water demonstrated no significant effect on somewater quality parameters including pH, total ammonia, nitrite and dissolved oxygen.

### 826 6.2. Bacillus probiotic efficacy in rearing water of shrimp

Dalmin et al (2001) in a study demonstrated that application of an indigenous Bacillus spp in 827 828 rearing water of P. monodon culture was able to maintain the optimum transparency and low organic carbon of the pond waters combined with a decrease in Vibrio count in the water 829 column. Matias et al (2002) compared the efficacy of two commercial probiotic products on 830 831 the water quality parameters of commercial P. monodon grow-out ponds, and relatively a lower 832 concentration in TAN, nitrate, COD and biological oxygen demand was seen in the early culture phase in ponds treated with mixture of Bacillus sp and Sacchromyces sp than treated ponds with 833 mixture of Bacillus sp., Nitrosomonas sp. and Nitrobacter sp. Additionally, no significant 834 change was recorded in values of salinity, ammonia, hydrogen sulphide, while dissolved 835 oxygen and transparency values were slightly increased, while pH value was almost constant. 836 837 Use of commercial probiotics (a mixture of Bacillus sp., Saccharomyces cerevisiae, 838 Nitrosomonas sp. and Nitrobacter sp in the rearing water of L. vannamei ponds improved density of beneficial bacteria, dissolved oxygen and water transparency, but reduced 839 concentrations of total inorganic nitrogen, phosphate and COD (Wang et al., 2005). Also, no 840 significant variation was seen in pH value of probiotic treated group, while pH level in control 841 one was significantly increased after a remarkable decrease during first 40 days of the trail. The 842 average counts of Bacillus sp., ammonifying bacteria, and protein mineralizing bacteria were 843 also significantly higher in probiotic treated ponds compared to control ones. Further, 844 845 application of the probiotics significantly improved dissolved oxygen, while COD was decreased. A higher counts of protein mineralizing bacteria and ammonifying bacteria in the 846 847 probiotic treated ponds indicating an efficient mineralization and relation with a decrease in values of COD, dissolved inorganic nitrogen and phosphorus. Also, a more stable in pH value 848 (7.8-8.6) was seen in the water of probiotic treated ponds than control ones (7.23-9.26). Such a 849 850 lower fluctuation in the pH might be due to a lower source of carbon dioxide and total alkalinity in the water column providing a better environmental condition for the shrimp growth. 851 852 Additionally, higher values of dissolved oxygen and transparency in water column of probiotic treated ponds could be due to a higher density of phytoplankton in the treated ponds as was 853 confirmed by the workers. A reduction in COD was also seen by Porubcan (1991) who used a 854

Bacillus sp. probiotic into pond aerators of shrimp with an increase in final production. Use of 855 a mixture of some species of commercial Bacillus strains including B. subtilis and B. 856 licheniformis (Sanolife MIC) in hatcheries of P. monodion and L. vannamei were able to 857 858 improve the water quality and reduced the density of Vibrio bacteria in water column (Decamp et al., 2008). However, the exact water quality parameters were not described in their works. In 859 a study by Rahiman et al (2010) a lower value in ammonia, nitrite and pH was seen in the 860 Bacillus sp treated water of M. rosenbergii culture during 60 days cultivation period, while no 861 change was observed in dissolved oxygen value compared to control groups. In vitro 862 863 bioremediation assessment of three indigenous Bacillus species; B. pumilus, B. licheniformis and B. subtilis isolated from marine water and soil sample showed that these bacilli bacteria 864 865 were able to reduce TAN with an optimum growth occurred at occurred at 30 °C, pH 7.5, and 1.5% NaCl (Devaraja et al., 2013). Also, under in vivo condition, B. pumilus reduced TAN 866 concentration in the rearing water of P. monodon. de Paiva Maia et al. (2013) appraised the 867 efficacy of commercial Bacillus probiotics on the bacterial population and phytoplankton 868 concentration in intensive L. vannamei culture with recirculation system. The results indicated 869 that probiotics bacteria improve total heterotrophic bacteria count in the sediment and caused 870 871 marked change in the percentage value of Pyrrophyta concentration, environmental quality of 872 water and sediment in ponds with recirculation system. The change in heterophilic bacteria was 873 probably due to addition of molasses as a source of carbohydrate that is important for the 874 survival and growth of heterogenic bacteria in water column. It is also worth to say that under such a closed recirculation system; an aeration is important to provide sufficient dissolved 875 oxygen in the production system to prevent the limitation of the Bacillus probiotic efficiency. 876 Application of an indigenous probiotic B. subtilis once a week started 2 days after rearing 877 878 beginning on the water quality and bacterial community of L. vannamei culture enhanced the 879 water quality values by a decreasing in pH, nitrite, water transparency and soluble reactive phosphorus and an increasing in COD and *Chlorophyl* a density (Wu et al., 2016). The probiotic 880 also affected the bacterial community of culturing water at different culture stages with a more 881 882 impact was seen on the early and middle phases of shrimp culture than in the late phase, probably due attribution of a better initial colonization and multiplication of probiotic by 883 peptone addition in the water column, similar to Lara-Anguiano et al. (2013) who demonstrated 884 885 that use of molasses as a fertilizer increased *Bacillus* spp. density in water column. However, 886 probiotic could not directly maintain this effect in the late culture stage probably because of a 29

high organic load in the water and an increasing of indigenous microorganism observed at this 887 stage. It seems application of encapsulated probiotics in water column prefers the beneficial 888 bacteria in shrimp and culture water and enhanced water quality for the levels of pH, ammonia 889 and nitrite of culture water. This was supported by Nimrat et al. (2012) who revealed lower 890 level in pH, ammonia and nitrite in the treated shrimp compared to the controls, when two 891 mixtures of Bacillus species (first mixture-B. thuringiensis, B. megaterium, B. polymyxa, B. 892 licheniformis, B. subtilis and second mixture-B. subtilis, B. polymyxa, B. megaterium, B. 893 circulans, B. pumilus) in the form of microencapsulated probiotic using Artemia in the rearing 894 895 water of L. vannamei were used. Also, higher densities of total heterotrophic bacteria and Bacillus count were obtained in shrimp and water of the treated groups than in controls. 896

897 Zokaeifar et al. (2014) reported that application of Bacillus mixture in culture water of L. vannamei resulted in significant improvement in water quality parameters, i.e., reduction in 898 ammonia, nitrite and nitrate ions and confers beneficial effect on growth performance, digestive 899 enzyme activity, immune response and disease resistance against V. harveyi. Furthermore, 900 assessment of some water quality parameters including salinity, carbonates, bicarbonates, total 901 alkalinity, Mg hardness, Ca hardness, total hard ness, ammonia and pH in rearing water of L. 902 903 vannamei treated with B. pumilus resulted in a slight increase in pH, total alkalinity and 904 hardness, but with a fluctuation in other parameters (Sreenivasulu et al., 2016).

905 In a consequence, biological methods to treat water in aquaculture systems is regarded as the 906 most promising treatment technology and is being widely used to minimize toxic nitrogenous compounds in aquaculture systems, and application of some bacteria such as Bacillus have been 907 recognized as beneficial in converting hazardous organic wastes into environmentally safe 908 compounds via ammonification and nitrification processes (Roa et al. 1997; Mevel & Prieur, 909 910 2000). Improving of the rearing water quality parameters by *Bacillus* probiotics will enhance 911 the health status of aquatic animals via providing an optimum environmental condition that can result in an approach in an optimum physiological condition as well. Also, Bacillus probiotics 912 913 suppress the density of potential pathogenic microorganisms via bacterial competition causing 914 a reduction stress culture condition and improving the animal immune-physiological balance. Under such optimum animal physiological and environmental conditions, the cultured animal 915 can reflect a better growth performance and survival. However, it is worth to say that Bacillus 916 917 species are not a highly ammonia cleaner, and no single Bacillus strain been so far reported to 918 remove above 90% of ammonia (Meng et al. 2009; Xie et al. 2013). Thus, to simultaneously

remove the ammonia and nitrite from aquaculture rearing waters, a combination of a high 919 cleaner ammonia bacteria plus a Bacillus species as nitrifying probiotic could be recommended 920 921 as bioremediation purposes in the rearing water of cultured aquatic animals as Jawahar Abraham et al. (2004) showed that a mixture of Nitrosomonas sp. and Bacillus sp. was the most 922 efficient in removing 96% TAN in microcosm experiments. Alghough, most of reserchers who 923 924 used bacilli probiotics as a supplementary diet did not measaure the water quality parameters, it seems oral application may improve the water quality conditions as a 21-day use of B. 925 licheniformis and B. flexus in diet of L. vannamei illustrated a significant reduction in total 926 ammonia nitrogen and chemical oxygen demand of the shrimp rearing water (Cai et al., 2019). 927

928

# Table 6 here

929 7. Bacillus as probiotics

## 930 7.1. Bacillus as probiotics in fish

931 Over the past decade there were increasing attempts to evaluate different types of bacteria 932 as probiotic in aquaculture (e.g. Ringø et al., 2018; Hoseinifar et al., 2018). Among promising bacterial strains, Bacillus sp. showed beneficial effects on growth performance 933 and disease resistance of different fish species (Dawood et al., 2017; Fečkaninová et al., 934 2017; Dawood & Koshio, 2016; Li et al., 2018; Hoseinifar et al., 2016). Regarding the 935 studies on Bacillus sp. it seems that the main focus was on B. subtilis (Zhang et al., 2014). 936 The present section summarized and discuss the effects of different species of Bacillus on 937 938 growth performance, immune parameters and resistance against diseases.

939

## 940 7.1.1. Bacillus probiotics as growth promoter

It is now well accepted that the costs of diet comproses a large portion of farmers costs; reported 941 to be around 50-60% (Amiri et al., 2017). Therefore, several researchers are working on 942 different approaches to decrease the costs, especially by using different types of growth 943 944 poromoters (Ng & Koh, 2016; Hoseinifar et al., 2017b). Growth promotion by dietary 945 adminitsration of probiotics has been reported in different fish species (Hai, 2015), and the mode of actions proposed for growth enhancement is producing exogenous enzymes by 946 probitoics as well as improving the intetsinal physiology (Hoseinifar et al., 2017a). Regarding 947 different Bacillus species there were contradictory reports (Table 7). Even, in case of identical 948 ΔT

probitoic and fish species, different authors reported different results. This can be attributed to 949 difference in intestinal microbiota, life stages and culture condition of target aquatic organisms. 950 To the best of our knowledge, the first study using B. subtilis as fish growth promoters was 951 done by Kumar et al. (2006), by feedind rohu different levels (0.5, 1.0 and 1.5 ×107 CFU g<sup>-1</sup>) 952 of B. subtilis for 15 days and observed significant increase in weight gain. Later, Bagheri et al. 953 (2008) supplemented rainbow trout fry diet with varying levels  $(4.8 \times 10^8, 1.2 \times 10^9, 2.01 \times 10^9, 1.02 \times$ 954 3.8×10<sup>9</sup>, 6.1×10<sup>9</sup> CFU g<sup>-1</sup>) of commercial *B. subtilis*. After a short administration duration 955 period (13 days), probiotic fed fish showed noticeable increase in weight gain, specific growth 956 957 rate (SGR) and feed conversion ratio (FCR). The best growth promotion was obtained when fish fed with 3.8×10<sup>9</sup> CFU g<sup>-1</sup> probiotic. Moreover, in a 28-days feeding trial, Liu et al. (2012) 958 investigated the effects of oral administration of B. subtilis E20 (10<sup>4</sup>, 10<sup>6</sup> and 10<sup>8</sup> CFU g<sup>-1</sup>) on 959 gorwth performacne parameters of orange-spotted grouper, and revealed that dietary probiotic 960 significantly increased feeding efficiency and weight gain. The authors suggested that this 961 improvement can be attributed to provision of nutrient and exogenous enzymes e.g. protease 962 and lipase by B. subtilis. It has been reported that Bacillus sp. can supply essential nutrients, 963 such as amino acid, and vitamins K and B12 for host organism which can have beneficial effects 964 on growth performance (Sun et al., 2012; Liu et al., 2012). Wu et al. (2012) used another strain 965 (B. subtilis Ch9) in grass carp diet. Fish were fed with different levels  $(1.0 \times 10^9, 3.0 \times 10^9)$  and 966 5.0×10<sup>9</sup> CFU kg<sup>-1</sup>) of probitoic for 56 days, and at the end of feeding trial, probitoic fed fish 967 had significantly higher weight gain, SGR and FCR. The best results achieved when fish fed 968 with 3.0×109 CFU kg-1 of B. subtilis. Besides, the authors noticed significant increase of 969 digestive enztmes activity and improved intestinal morphology in probitoic fed fish. Similarly, 970 dietary administration of B. Subtilis (1×10<sup>10</sup> CFU g<sup>-1</sup>) significantly increased final weight, FCR 971 972 and protein efficiency ratio of olive flounder compared to those fish fed basal diet (Cha et al., 2013). Furthermore, supplementation of Nile tilapia diet with  $5 \times 10^6$  CFU g<sup>-1</sup> level of *B. subtilis* 973

significantly improved growth performance paameters (Telli et al., 2014). The survival rate and 974 975 weight gain of Nile tilapia (65 5 g) were significantly increased when fish were fed with B. subtilis at  $1 \times 10^7$  /g for two months (Aly *et al.* 2008). Recently, Liu *et al.*, (2017) revealed in 976 a 8-week experiment that dietary administration of B. subtilis HAINUP40 (108 cfu/g-1) 977 effectively enhances growth performance, intestinal probiotic recovery, digestive enzyme 978 979 activities in Nile tilapia (95 ± 8 g). Dietary administration of B. subtilis (0.42 -1.35×  $10^7$  cfu 980  $g^{-1}$ ) in juvenile large yellow croaker (*Larimichthys crocea*) (7.82 g) in floating sea cages for 10 weeks provided a better growth performance at the higher dosage of probiotic than lower 981 dosage, suggesting a significant effect by probiotic dosage optimization (Ai et al., 2011) as 982 similar results were revealed when Nile tilapia was orally fed h high dosage of B. 983 amyloliquefaciens for two months (Reda et al., 2015). Reda et al. (2015) reveald that high 984 985 dosage of the bacilli resulted in a increase of intestinal villi heights, higher numbers of goblet 986 cells and intraepithelial lymphocytes in fish intestine vs. control and/or low supplemented levels. Further, higher levels of protein and lipid contents were measured in fish carcass fed 987 988 higher level of the bacilli. However, Gobi et al. (2016) in a study with catfish (Pangasius hypophthalmus) reveald that application of B. licheniformis Dahb1 (10<sup>5</sup> cfu mL<sup>-1</sup>) was more 989 990 effective on growth than higher dosage indicating of host and probiotic specific species. 991 Further, juvnile Asian sea bass (Lates calcalifier) fed diets supplemented with different dosages 992 of the combination of B. licheniformis and B. subtilis showed significantly a better growth at 1  $\times 10^{6}$  CFU g<sup>-1</sup> than higher or lower levels of the probiotics after being fed for 2 months (Adorian 993 994 et al., 2018). Also, a better performance in term of body composition, total protein and digestive enzymes (protease, lipase, and amylase) were seen in this dosage than others suggesting the 995 996 dosage optimization could play a significant role on the fish grwoth status.

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In addition to B. subtilis, some attempts have been also carried out to demonstrate the possible 998 effects of other Bacillus species as probiotic on the growth of fish. Bandyopadhyay and 999 Mohapatra (2009) studied the effects of B. circulans PB7 isolated from the intestine of Catla 1000 1001 catla as feed additive in C. catla fingerlings diet. Fish were fed on various levels of probiotic including 2×10<sup>4</sup>, 2×10<sup>5</sup>, 2×10<sup>6</sup> CFU 100 g<sup>-1</sup> for 60 days. At the end of feeding trial, the authors 1002 observed positive effects on growth performance parameters. The best results were obtained 1003 when fish were fed on 2×10<sup>5</sup> CFU g<sup>-1</sup>. Also, in a 30 days study, Sun et al. (2010) administered 1004 a single dose of two species of Bacilli in orange spotted grouper diet. The authors supplied 1005 1006 probiotic strain from the gut of orange spotted grouper. However, unlike previous studies with Bacilli probiotics, these probionts were unable to affect growth performance parameters. 1007 1008 Similarly, the effects of *B. amyloliquifaciens* was evaluated in in a 90-days feeding trial with Nile tilapia (Silva et al., 2015). Fish were fed 1×10<sup>6</sup> CFU g<sup>-1</sup>, 5×10<sup>6</sup> CFU g<sup>-1</sup>, and 1×10<sup>7</sup> CFU 1009  $g^{-1}$  levels of probiotic. The result revealed that this probiotic had no significant effect on Nile 1010 tilapia. A six-week dietary administration of B. amyloliquefaciens in turbot demonstrated a 1011 marginal enhancement in the fish growth performance, but digestive enzyme activities i.e. 1012 protease, amylase in hepatopancreas, protease activity in intestine and lipase activity in stomach 1013 1014 of treated fish significantly showed an increase compared to the control fish (Chen et al. 2016b). 1015 When silver carp larvae were fed with B. latrospores and B. licheniformis via rotifers (Brachionus plicatilis) as live probiotic vehicle, a better growth performance was seen in treated 1016 1017 fish than control one (Sahandi et al., 2012). However, the water quality including water temperature used is not stated. A 62-day oral application of B. velezensis previously isolated 1018 from rearing water of salmon improved the growth and antioxidant capability of juvenile 1019 Atlantic salmon reared in a recirculating aquaculture (Wang et al., 2019). They reported that a 1020 1021 combined administration of B. velezensis and R. mucilaginosa demonstrated a better effect on growth performance, feed utilization, immune response, and antioxidant capability and 1022 mortality, suggesting a more beneficial effect of multi-species probiotic than single one. Such 1023 beneficial effect was also found by Giri et al., 2014) when B. subtilis in combination with P. 1024 1025 aeruginosa + L. plantarum were orally used in Labeo rohita with a better result in improvement of weight gain, SGR and FCR than fish received B. subtilis alone. However, in a study by Doan 1026 et al. (2018) no significant difference was seen on the growth of tilapia fed either B. velezensis 1027 1028 in single form or in a combination with L. plantarum for 30 days.

Beside the oral administration of Bacillus, a few studies attemped to assess the effect of bath 1029 application of Bacillius on fish growth. For instance, in a study with Nile tilapia, Zhou et al. 1030 (2010) used B. coagulans as water probiotic. The culture water was treated with a single dose 1031 (10<sup>7</sup> CFU ml<sup>-1</sup>) of probiont for 40 days, the authors stated that fish in probiotic treated group 1032 had significantly higher final weight and SGR compared to the control one. Also, 56-days 1033 application of *B. subtilis* (10<sup>7</sup> CFU ml<sup>-1</sup> with 2 days interval) as water additive caused a 1034 remarkable increase in final weight and specific growth weight in the probiotic treated fish 1035 (Zhou et al., 2010). However, the mode of action of water probiotic on fish grwoth perfomance 1036 1037 warranted further research works.

### 1038 7.1.2. Bacillus probiotics as enhancer of immune status and disease resistance

Among different probiotic strains, there are some reports on beneficial effects of Bacilus sp. on 1039 1040 the immune functions of fish. The first study on using of B. subtilis as immunostimulant was 1041 performed by (Newaj-Fyzul et al., 2007). The authors isolated B. subtilis AB1 from the digestive tract of rainbow trout and supplemented rainbow trout diet with a single dose (107 g 1042 kg-1) for 14 days. Interestingly, probiotic fed fish showed significant increase of immune 1043 parameters including respiratory burst, serum and gut lysozyme, peroxidase, phagocytic killing, 1044 total and a1-antiprotease activities. Furthermore, probiotic fed fish demonstrated higher 1045 resistance against Aeromonas sp. Also, Liu et al. (2012) studied protective effects of B. subtilis 1046 1047 E20 isolated from fermented boiled soybeans, and reveald a significant increase in some immune parameters including lysozyme, phagocytosis, respiratory burst and complement 1048 activities. When treated fish weere chanllenge with Streptococcus sp an increase resistance was 1049 seen indicating a positive correlation between immune responses and survival. Also, the most 1050 beneficial effects was noticed in fish fed with higher levels of the probiotic. Similarly, dietary 1051 1052 B. subtilis protected olive flounder against Streptococcus iniae (Cha et al., 2013). This was attributed to positived effects of B. subtilis on immune system, as the authors observed 1053 1054 significantly higher responses of respiratory burst, lysozyme, superoxide dismutase and myeloperoxidase activities in probiotic fed fish. In two seperate studies with Nile tilapia, Zhou 1055 et al. (2010) and Telli et al. (2014) studied possible effects of B. subtilis on some immune 1056 parameters. However, the studies were different both in terms of administration dose (10<sup>7</sup> CFU 1057  $ml^{-1}$  or  $5 \times 10^6$  CFU g<sup>-1</sup>) and rout (oral or bath). Interestingly, both studies showed that this 1058 1059 probiont significantly increased the fish immune responses including lysozyme, phagocytosis,

catalase and\_myeloperoxidase activities. Nile tilapia fed B. subtilits demonstrated an 1060 enhancement in some immune parameters including nitroblue tetrazoliume assay, neutrophil 1061 adherence and lysozyme activity compared with the untreated control group (Aly et al. 2008). 1062 1063 When yellow croaker was fed with B. subtilis, a significant enhancement was seen in fish immune responses and survival after challenging with V. harveyi. It was interesting that the 1064 addition of fructooligosaccharide as a feed source of probiotic in fish diet did not significantly 1065 1066 affect immune responses, disease resistance and growth performance of treated fish (Ai et al., 2011). B. subtilis LR1 isolated from the intestine of rohu could effectively reduce bacterial 1067 pathogenicity in Indian major carp (Banerjee et al., 2017). In a study by Liu et al. (2017) oral 1068 use of B. subtilis HAINUP40 enhanced some immune responses and disease resistance of Nile 1069 1070 tilapia challenged with Str. agalactiae (Table 7).

Apart from B. subtilis, the immune responses of fish treated with other bacilli have been also 1071 1072 demonstrated by some researchers. Sun et al. (2010) fed orange spotted grouper with previously isolated *B. clausii* and *B. pumilus* from this fish gut at a single dose (10<sup>8</sup> CFU g<sup>-1</sup>) for 60 days. 1073 The results revealed that both *Bacillus* bacteria were able to significantly increase phagocytosis, 1074 and complement C3, but no significant effect on lysozyme and superoxide dismutase activities. 1075 Such results suggest a beneficial effect of endigenous Bacilli on fish immune responses. 1076 1077 However, more details works are requeited to judge such resluts. For example, a correlcation of efficacy of the probiotic on immue status with the survival of target animal after being 1078 challenged with a virulent pathogen is essential to approve the efficacy of the probiotic. The 1079 1080 immunomodulatory and disease controling effects of B. amyloliquefaciens have been studied in two seprate studies on C. catla (Das et al., 2013) and Nile tilapia (Selim & Reda, 2015). In 1081 1082 both trials the probiotics increased immune parameters including serum and mucus lysozyme, 1083 serum and mucus myeloperoxidase, respiratory burst, nitric oxide, serum bactericidal activity and some immune genes expression. Intrestigly, B. amyloliquefaciens increased mocusal 1084 immunity of catla as well as resistance against E. tarda (Das et al., 2013). Further, the possible 1085 1086 effects of two Bacillus species (B. coagulans MTCC 9872, and B. licheniformis MTCC 6824) 36

on non-specific immunity of common carp and fish protection against A. hydrophila infection 1087 1088 was evaluated by Gupta et al. (2014). After 80-days application of a single dose (109 CFU g<sup>-1</sup>), 1089 both probionts significantly increased lysozyme, myeloperoxidase and respiratory burst activities. This increase in immune parameters also resulted in noticably protection against A. 1090 1091 *hydrophila*. A 14-days oral application of *B. amyloliquefaciens* G1 ( $3 \times 10^{9}$  and  $3 \times 10^{9}$  feed) in 1092 eel (Anguilla anguilla) resulted in cumulative mortalities of 69.24% and 30.76% lower than in the high 1093 and low probiotic-cell-density groups, respectively compared to control groups, when fish were 1094 challenged with A. hydrophila at  $1 \times 10^9$  cfu/cells (Lu et al., 2011). Use of B. amyloliquefaciens in diet of tilapia increased the erythrocyte and leukocyte counts, hemoglobin content and hematocrit, but higher 1095 1096 level of bacilli provided a better effect on serum total protein and globulin levels (Reda et al., 2015). 1097 When turbot was orally subjected to B. amyloliquefaciens, significantly a higher activity of sera superoxide dismutase and total protein content as well as a higher relative per cent of survival (62.7%) 1098 1099 were obtained after challenging fish with V. anguillarim (Chen et al., 2016b). Again, oral administration of trout with 1:1 mixture of B. subtilis and B. licheniformis spores (BioPlus2B) could improve the 1100 survival and immune responses (antibody titer, lymphocyte and total protein) of fish against Yersinia 1101 1102 ruckeri challenge (Raida et al., 2003), suggesting that Bacillus and Yersinia may share antigens with 1103 some similarity to expand certain B- or T-lymphocyte clones induced by the Bacillus that could confer 1104 some protection against yersiniosis. Asian sea bass fed combined bacilli probiotics (B. 1105 licheniformis and B. subtilis) demonstrated an enhancement in immunocompetent cell populations (leukocytes) (Adorian et al., 2018). 1106

1107 Use of a lower dosage  $(10^5 \text{ cfu/ml})$  of *B. licheniformis* provided a better efficacy on catfish 1108 immune parameters and antioxidant than higher dosage  $(10^7 \text{ cfu/ml})$  (Table 7) (Gobi *et al.*, 1109 2016). Again, a better protective effect was seen when fish were challenged with *V*. 1110 *parahaemolyticus*. Such a promising result via bath administration of bacilli raised various 1111 issues including effectiveness of different administration methods, dosage of bacilli and 1112 duration of its application as well as the species of target fish. Innate immunological variables

including lysozyme activity, respiratory burst assay, myeloperoxidase content, catalase and 1113 superoxidase dismutase activities were improved when common carp were treated by P. 1114 polymyxa as water additive probiotic for 8 weeks at 29.5°C (Table 7) (Gupta et al., 2016). Such 1115 1116 enhancement in immune variables was confirmed by an increase resistance in the treated fish challenged with A. hydrophia. Atlantic salmon fed either with single B. velezensis or in 1117 combination with R. mucilaginosa demonstrated an enhancement in immune responses (Table 1118 7) and disease resistance after fish being challenged with A. salmonicida (Wang et al., 2018). 1119 Further, application of B. velezensis either in a single form or in combination with L. plantarum 1120 1121 significantly enhanced various innate immune responses and resistance against S. agalactiae infection in Nile tilapia compared to control fish (Doan et al., 2018). Giri et al. (2014) reveald 1122 1123 that application of B. subtilis as single probiotic or in combination with P. aeruginosa + L. plantarum enhanced some immune responses and diseases resistance against A. hydrophial in 1124 of rohu compared to control one. 1125

1126 Recently, the specific strains probiotics in specific host has been an attractive subject for the researchers, but minimum data is available related to aquaculture species. In a study by 1127 Galagarza et al. (2018) some strains of B. subtilis stimulated immune responses both locally 1128 and systemically in tilapia. More recently, it has been shown that Bacillus spores can be used 1129 1130 as a prefect oral vaccine delivery system for their forceful specialty, gene operability, safety and adjuvant property. Jiang et al. (2019) demonstrated that higher survival and specific Ig M 1131 1132 and IgZ as well as an up-regulation of some immune-related genes in grass carp orally 1133 vaccinated with recombinant B. subtilis spores carrying grass carp reovirus VP4 protein after the immunized fish being challenged with the virus. 1134

1135

# Table 7 here

# 1136 7.2. Bacillus as probiotics in shellfish culture

Among the several probiotic candidates in shrimp aquaculture, *Bacillus* has brought encouraging results (Zokaeifar *et al.*, 2012b). *Bacillus* have been used to improve the growth performance, enhance immune response and disease management (e.g. Shen *et al.*, 2010; Keysami *et al.*, 2012). In addition, it is also well documented that *Bacillus* species are able to secrete a wide range of extracellular substances and antimicrobial peptides, which improves the water quality, feed digestion and absorption, boost shrimp health and immunity, promotes growth and reproduction and survival against pathogenic microorganism (Zokaeifar *et al.*, 38

2012b; NavinChandran *et al.*, 2014; Chai *et al.*, 2016; Laranja *et al.*, 2017). Detail of effects of *Bacillus* as probiotics on growth performance, immune responses and disease resistances in
shrimp culture are presented in Table 8.

# 1147 7.2.1. Bacillus probiotics as growth promoter

1148 In shrimp aquaculture, manipulation of microbiota using probiotics have been reported as a worthy practice to control or inhibits the pathogenic bacteria, improves digestive enzyme 1149 activity and growth performance and enhance immune response of host against pathogenic 1150 infection or physical stress (Balcázar et al., 2006; Pérez et al., 2010). One of the expected 1151 advantage of Bacillus as probiotics is a direct growth-promoting effect by induction of digestive 1152 1153 enzymes, including protease and amylase, which consequently stimulates the natural digestive 1154 enzyme activity of the host (Liu et al., 2009; Wang, 2007). In shrimps, it has been reported that 1155 *Bacillus* sp. have contributes to host nutrition, especially by supplying fatty acids and vitamins and improves growth and survival in P. monodon postlarvae without water exchange (Devaraja 1156 et al., 2013; NavinChandran et al., 2014; Kumar et al., 2016). Zokaeifar et al. (2012b, 2014) 1157 demonstrated that Bacillus probiotic enhances the digestive enzyme activity, growth 1158 1159 performance in shrimps. The results further revealed that administration of B. subtilis to L. vannamei increased the activity of protease and amylase digestive enzyme and subsequently 1160 improves the growth of shrimp juveniles i.e., final weight and weight gain(Zokaeifar et al., 1161 2012b; Zokaeifar et al., 2014). Nimrat et al. (2012) and Sadat Hoseini Madani et al. (2018) 1162 assessed the effect of commercial *Bacillus* probiotics application in growth performance, 1163 bacterial number, feed efficiency, body composition during rearing of L. vannamei. The study 1164 pointed out that L. vannamei with Bacillus probiotics added to their experimental tanks had 1165 1166 significantly higher effect on their length gain %, weight gain %, average daily gain, SGR % and FCR than with the control. The probiotic bacteria also improves feed utilization of L. 1167 vannamei postlarvae. Bachruddin et al. (2018) reported that probiotics treatment which includes 1168 Bacillus into the culture water white shrimp, L. vannamei significantly improves weight gain, 1169 total length, FCR of shrimp species. Kongnum & Hongpattarakere (2012) and Chai et al. (2016) 1170 investigated the effects of Bacillus probiotic bacteria isolated from intestine of a healthy, wild 1171 shrimps on the growth rate in L. vannamei. The results of these studies show that Bacillus 1172 probiotics reduced shrimp culture risks becuase of stressful conditons or facotors and improved 1173 1174 the growth performance, i.e., total weight, relative growth rate %, FCR, digestion and nutrient

absorption of shrimp. In a study by Olmos *et al.* (2011) best growth performance in term of
weight gain and food-conversion ratio was observed in juvenile *L. vannamei* orally fed with *B. subtilis* compare to both control and the fish fed with *B. megaterium* suggesting probiotic
species selection is an important factor. Dietary application of *B. licheniformis* either LS-1 or *B. flexus* LD-1, or in a combined form demonstrated an enhancement in the weight gain and
SGR of *L. vannamei* and improve water quality suggesting of dual beneficial effects of *Bacillus*,
both to the host and to the rearing water (Cai *et al.*, 2019).

Apart from benificial role of probiotics bacteria in shrimp growth, there are also few reports 1182 which suggests that application of poly-\beta-hydroxybutyrate (PHB), a bacterial storage 1183 compound deposited intracellularly in amorphous state in inclusion in the cytoplasm 1184 accumulated as a cellular energy and carbon reserve by large variety of bacterial species, have 1185 been reported as a biocontrol agent for crustacean culture and found promising to control 1186 vibriosis (Jiang et al., 2008; Rebah et al., 2009; Borah et al., 2002; Defoirdt et al., 2007; Wang 1187 1188 et al., 2012; Sui et al., 2012). Bacillus are commonly found in the intestine of shrimp species 1189 like B. megaterium and B. pasteurii have been shown to accumulate PHB in the range 11 % up 1190 to as high as 79 % on cell dry weight under optimized conditions (Singh et al., 2009; Kaynar & Beyatli, 2009). Laranja et al. (2014) assessed the curative action of PHB accumulating 1191 1192 Bacillus species on growth and abiotic stress. The study revealed that PHB accumulating Bacillus bacteria isolated from Philippine shrimp culture ponds, imroves the growth 1193 1194 performance, i.e., average body weight, average body length P. monodon postlarvae and ammonia stress (Laranja et al., 2014). 1195

# 1196 7.2.2. Bacillus probiotics as an enhancer of immune status and disease resistance

Products which can enhance host immunity and disease resistance of shrimps have probiotics
gathered much interest during the last decade (Tseng *et al.*, 2009; Kumar *et al.*, 2016). Among
probiotic bacteria, *Bacillus* species have been demonstrated as harmless bacteria that promotes
the health of the host animal by stimulating the innate immune response and improve resistance
towards pathogenic microbial infection (Rengpipat *et al.*, 2000; Sánchez-Ortiz *et al.*, 2016;
Laranja *et al.*, 2017).

Earlier studies with *Bacillus* suggested that the probiotic bacteria were able to enhance provide
resistance of shrimp species (e.g. *P. monodon*) when challenged with *Vibrio harveyi* (Rengpipat)

et al., 1998a; Rengpipat et al., 1998b). However, the mode of action was only understood after 1205 few authors started describing the underlying mechanism including immune response, whether 1206 invertebrates including shrimps are able to mount an immune response with some of the 1207 1208 attributes of the vertebrate's immune system. Rengpipat et al (2000) observed that Bacillus probiotics (e.g. Bacillus S11) stimulates the immune response by activating phenoloxidase, 1209 phagocytosis and antimicrobial activity in hemolymph of P. monodon and improves survival 1210 and disease resistance against V. harveyi (Rengpipat et al., 2000). It has been also shown that 1211 feeding Bacillus probiotics can increase the survival of shrimp species against bacterial and 1212 1213 viral pathogens through immune modification, e.g., B. subtilis E20, isolated from fermented soyabean have been reported to increase resistance to white shrimp (L. vannamei) against V. 1214 1215 alginolyticus through increase in phenoloxidase, and phagocytic activity, whereas, feeding Bacillus OJ, to white leg shrimp enhance the immune response (phenoloxidase, phagocytosis, 1216 etc.) and provide protection against white spot syndrome virus (WSSV) (Tseng et al., 2009; Li 1217 et al., 2009). Feeding of L. vannamei with Bacillus probiotics as a supplemented diet deceased 1218 the total viable counts of bacteria and the Vibrio count in the shrimp intestine (Li et al. 2009). 1219 Bath administration of *B. fusiformis* (10<sup>5</sup> cfu mL<sup>-1</sup>) added either as daily or as interval day 1220 1221 increased survival in both treatments in the larvi-culture system of L. vannamei (Guo et al., 1222 2009).

B. cereus enhanced various immunological variables including phenoloxidase, lysozyme,
respiratory burst, bactericidal activity in *P. monodon* (Chandran *et al.*, 2014). Such
enhancement of immune status was confirmed by higher a survival seen in the treated shrimp
after being challenged with *V. harveyi*.

1227 Moreover, *B. subtilis* E20, isolated from natto (fermented soyabean human health food), has 1228 also been reported to improve some immune responses variables such as lysozyme and 1229 prophenoloxidase I and II, survival and stress tolerance including water temperature, salinity 1230 and nitrite-N in white shrimp (Liu *et al.*, 2010).

1231 A higher survival level together with a better stress tolerance to ammonia oxygen deficiency was 1232 seen in *L. vannamei* orally fed with *B. subtilis* than control group (Olmos *et al.* 2011) that could be 1233 due to ammonia conversion-transformation by the *B. subtilis* probiotic, less content-production of 1234 ammonia by the functional feed and ammonia less oxygen consumption by the functional feed 1235 which can result in extensive physiological and biochemical functions.

Dong et al. (2014) evaluated the role of Bacillus as protective agent and immunomodulator in 1236 Marsupenaeus japonicus juveniles against temperature stress. The study revealed that Bacillus 1237 improved growth, minimize damage caused by free radicals generated from insufficient oxygen 1238 1239 metabolism due to high temperature and to enhance immune response in *M. japonicus* during the high temperature farming period. In another study, administration of Bacillus (e.g., Bacillus 1240 subtilis, B. licheniformis) in L. vannamei culture water, have been shown to enhance immune 1241 response, i.e., prophenoloxidase (ProPO), peroxinectin (PE), lipopolysaccahride and  $\beta$ -1,3-1242 glucan binding protein (LGBP), lysozyme and serine protein (Zokaeifar et al., 2012b; Zokaeifar 1243 et al., 2014; Sadat Hoseini Madani et al., 2018). Moreover, the probiotic bacteria also enhances 1244 disease resistance in white shrimp juveniles against pathogenic V. harveyi (Zokaeifar et al., 1245 1246 2012b; Zokaeifar et al., 2014)

Alternatively, some studies revealed that Bacillus probiotics bacteria, isolated from same 1247 environmental condition or system where they will be applied, can boost the cellular and 1248 humoral component of innate immunity in shrimp species. B. cereus enhanced various 1249 immunological variables including phenoloxidase, lysozyme, respiratory burst, bactericidal 1250 activity in P. monodon (Chandran et al., 2014). Such enhancement of immune status was 1251 1252 confirmed by higher a survival seen in the treated shrimp after being challenged with V. harveyi. 1253 (Chandran et al., 2014). Later in 2016, Chai et al shows that Bacillus probiotic PC465 strain, isolated from gut of Fenneropenaeus chinensis, enahnces immunity including ProPO, 1254 1255 peroxinectin, penaeidin, thioredoxin, lectins, haemocyanin and crustin and provide protection against white spot syndrome virus infection in L. vannamei (Chai et al., 2016). Another 1256 interesting observation was noted by some authors that Bacillus species (like B. thuringiensis) 1257 have shown to carry poly-beta-hydroxybutyrate (PHB), a well-known bacterial storage 1258 1259 compound and a polymer of the short chain fatty acids  $\beta$ -hydroxybutyrate, that exert its benificial effect by stimulating both the specific and non-specific immune mechanism in 1260 aquatic animals (Suguna et al., 2014; Defoirdt et al., 2007). Further, few authors have 1261 highlighted the benificial role of PHB-accumulating Bacillus on immunity and survival of 1262 shrimp species against pathogenic microorganism (Laranja et al., 2014). Laranja et al. (2017) 1263 reported that Bacillus strain with PHB can able to stimulates innate immune response in P. 1264 mondon postlarvae. The results explained that, P. mondon postlarvae fed with PHB containing 1265 1266 Bacillus sp. JL47 enriched Artemia larvae, increase the relative expression of innate immune genes, i.e., ProPO, transglutaminase (Tgase) and heat shock protein 70 (Hsp70) after V. 1267 42

*campbellii* challenge. More recently, innate immune enzyme activities, digestive enzyme
activities, stress tolerance and disease resistance to *V. harveyi* have been reported in *L. vannamei* after shrimp being fed *B. licheniformis* and *B. flexus* either in single or in a combined
form for 21 days at 28°C (Cai *et al.*, 2019).

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# Table 8 here

1274 8. Pathogenic Bacillus

Few reports describing pathogenensis of Bacillus affecting fish and shellfish. Futhermore, no 1275 1276 report has been published on the experimental pathogenicity of these Bacillus spore formers in aquatic animals so far. Goodwin et al. (1994) reported B. mycoides as the causative agent of a 1277 1278 superficial epizootic disease in commercial channel catfish in Alabama, USA. The affected fish had pale areas or ulcers on the dorsal area and focal necrosis of epaxial muscle with chains of 1279 Gram-positive bacilli identified as B. mycoides. When healthy catfish were subjected to the 1280 isolated bacterium either intramuscularly or subcutaneously at  $1.6 \times 10^4$  cfu/fish, development 1281 of lesions resembled those in natural epizootic were seen. A possible explanation for the 1282 congestion and lack of bleeding seen in the affected fish could be due to toxin products by these 1283 bacilli bacteria e.g. B. cereus that are able to cause a disseminated intravascular coagulation. 1284

1285 Wang et al (2000) reported a new bacterial white spot syndrome caused by B. subtilis in cultured tiger shrimp in Malaysian shrimp farming. The affected shrimp showed white spots 1286 1287 similar to symptoms caused by white spot viral disease (WSVD), but the affected shrimp were active and grew normally with no significant morbidity and mortality. The appeared white spots 1288 were lichen-like with the puncture centers unlike the melanized dots in the white spots caused 1289 by WSVD. Microscopy evaluations revealed degeneration and discoloration of the cuticle of 1290 1291 the epicuticle and underlying cuticular layers were detected. It was suggested that such disease 1292 may be associated with the regular use of *B. subtilis* probiotic in shrimp ponds. *B. subtilis* can excrete enzymes of protease, amylase, glucanase and lipase (Shady, 1997) suggesting that the 1293 bacterium ability to lyse the shrimp cuticle composition i.e. chitin, calcium carbonate and lipid 1294 1295 (Branson, 1993).

1296 In an experimental study by Sineva *et al.* (2009) expression of *B. cereus* hemolysin II in *B.* 1297 *subtilis* rendered the bacterium being pathogenic for the crustacean *Daphnia magna* when was 1298 challenged with the expressed *B. subtilis* at 0<sup>4</sup>-10<sup>6</sup> cfu L<sup>-1</sup> at water tmeprature  $20 \pm 5^{\circ}$ C. The

1299	lethal concentrations 50% (LC <sub>50</sub> ) on the fifth day of the experiment for the expressed <i>B. subtilis</i>
1300	and <i>B. cereus</i> were $5.4 \times 10^5$ and $4.5 \times 10^5$ cfu mL <sup>-1</sup> , respectively.
1301	More recently, a new bacterial white patch disease caused by <i>B. cereus</i> has been reported from
1302	different L. vannamei aquaculture farms industry in India in 2015 (Velmurugan et al., 2015).
1303	The disease caused a continuous morbidity and mortality, and the affected shrimps showed
1304	white opaque patches in the carapace, necrosis, whitish blue coloration, loss of appetite and
1305	pale white muscles. A mortality up to 70% was observed within 3-5 days at the acute stage of
1306	the disease outbreak. The isolated B. cereus strains represented high virulence factors including
1307	hemolytic and lipase activities, and mortality up 100% occurred in L. vannamei and Artemia
1308	franciscana after challenging them with the bacterium intraperitoneally at 10 <sup>6</sup> cfu/shrimp or via
1309	bath at 10 <sup>8</sup> cfu/ml in both shrimp and Artemia at unknown water temperature. B. cereus is
1310	capable of proliferating in a wide range of environments including soils, clays, sediment, dust,
1311	mineral water, processed foods, and is able to secrete protease, amylase, glucanase and lipase
1312	(Hendriksen et al., 2006), thus it may be able to invade the suppressed aquatic animals under
1313	an adverse environmental condition in which is suitable for expressing of the virulence genes
1314	of the bacterium (Andreeva et al., 2007).

# 1315 9. Safety of Bacillus

The possibile use of Bacillus as probiotics in aquatic animal feed or supplementation to the 1316 1317 rearing water entering the human food chain should be considered as an important public health issue. However, there is no data available related to the risk of human food contamination with 1318 1319 Bacillus used in aquatic animals. Transfer of an antibiotic resistance via the presence of transmissible antibiotic resistance genes in some probiotic bacteria is one of the critical risk 1320 1321 issues. Also, infections from the probiotics and existing of enterotoxins and emetic toxins in probiotic bacteria is another important risk requires serious attention in aquaculture industry. 1322 However, Almost all published data relating to Bacillus probiotics in aquaculture are 1323 associated with their efficacy rather than the safety. It is also worth to say that safety evaluation 1324 and data on a specific Bacillus strain probiotic must not be considered common to similar 1325 probiotic strain because the safety and risk assessment of each probiotic should be concerned 1326 based on a case-by-case basis. The severity of a negative effect by a particular probiotic is 1327 1328 associated with the level of susceptibility of immune-physiological conditions of the target

aquatic animal e.g. early hatched fish larvae. Therefore, it is possible that a Bacillus probiotic 1329 strain is considered as a safe under particular conditions but it is unsafe in other conditions. 1330 Like antibiotics, it is feasible to say that there is no specific probiotic to be regarded as 100% 1331 1332 safe. Also, the existence of unwanted/contaminated bacteria or their toxic substances in the form of a probiotic can be also considered as another important safety and quality issue. 1333 Sometimes such contaminants may be a more significant issue than the specific quality of the 1334 probiotics. At the present time probiotics such as Bacillus species used in aquatic animal feed 1335 or in their water cultures are generally considered as safe. However, some of the bacterial 1336 1337 probiotic species potentiate some risks of transmission of antibiotic resistance to some pathogenic bacteria or production of some their enterotoxins (Anadón et al., 2006). 1338

# 1339 9.1. Risks related to the use of *Bacillus* as probiotics

Despite, Bacillus used as probiotics in water or as feed supplement to aquatic animals are 1340 relatively safe, it is worth to say that protection of human, animals and the environment from 1341 1342 the potentially unsafe probiotic bacteria is an essential issue. Generally, the risks associated with the use of Bacillus as probiotics in aquaculture sector can be summarized as suggested by 1343 Marteau (2001), FAO/WHO (2002), Doron & Snydman (2015); (a) transfer of antibiotic 1344 resistance from the probiotics to other pathogenic bacteria, (b) GI or systemic infection of the 1345 target animal fed with the probiotic, (c) GI or systemic infection of the consumers of the animal 1346 products produced by animals fed with the probiotics, (d) GI or systemic infection of the 1347 handlers of animal or aquatic animal feed, (e) release of infectious bacteria or their toxic 1348 substances to the environment from the animal production system, (f) sensitization of the 1349 1350 external tissues such as skin, eye and mucus membrane in the handlers of the probiotics, (g) toxic effects in the host due to the production of toxins by the bacteria contaminated in the 1351 probiotics and (h) hypersensitivity of the immune system reactions in susceptible hosts. 1352

### 1353 9.2. Risk assessment

Assessment of *Bacillus* probiotics in aquatic animal diets or in their rearing water against the potential risks is a significant issue The *Bacillus* used as probiotics need to be recognized to strain level with any infection in humans and aquatic animals. Also, such probiotic must not carrier the transferable antibiotic resistance genes. *Bacillus* able to produce toxins or cause hypersensitivity reactions in the target host are not suitable for probiotics. Since 2007 the European Food Safety Authority (EFSA) has been using a concept [Qualified Presumption of

Safety, QPS), European approach for the assessment of the safety of probiotics] as a generic 1360 risk assessment tool to assess the safety of a microorganism such as Bacillus (EFSA, 2007). 1361 Bacillus as spore-forming bacteria are becoming popular as the promising probiotics for use in 1362 1363 aquatic animal feed or in their rearing water because of their tolerance to fluctuations in the water temperatures that make them easier to handle during manufacture, storage and 1364 transportation of feed. A number of 13 Bacillus species including B. subtilis, B. 1365 amyloliquefaciens, B. licheniformis, B. coagulans and B. megaterium have been recognized by 1366 EFSA (2013) to be used as probiotics for animal feed including fish and shellfish. The safety 1367 1368 of these Bacillus species was detected based on the absence of enterotoxins and emetic toxins. However, it is important to note that some Bacillus bacteria such as B. anthracis, B. cereus, B. 1369 1370 thuringiensis are serious pathogens in humans and animals (e.g. Damgaard et al., 1997; Hernandez et al., 1998; Little & Ivins, 1999; Kotiranta et al., 2000.; Raymond et al., 2010). 1371 Despite a good information available about the pathogenesis of B. anthracis and B. cereus, no 1372 evidence of pathogenic effects for other endospore-forming bacteria is available. The emetic 1373 toxin (cereulide), enterotoxins haemolysin, non-haemolytic enterotoxin and cytotoxin products 1374 by B. cereus are well known toxins that can affect humans (e.g. Granum & Lund, 1997; Schoeni 1375 & Lee Wong, 2005). In a study by From et al. (2005) from 333 strains of different Bacillus 1376 1377 species, eight strains of B. subtilis, B. mojavensis, B. pumilus and B. fusiformis were able to 1378 produce emetic toxins and cytotoxins. Also, some disorders such as cattle mastitis (Parkinson 1379 et al., 1999) and cattle abortion (Agerholm et al., 1997) have been reported by B. cereus and B. licheniformis, but no data available regarding aquatic animals. There are also some antibiotic 1380 resistance reports in Bacillus subtilis showing this bacterium carriers conjugative transposons 1381 e.g. Tn5397, which is able to transfer resistance to tetracycline encoded by the tet(M) gene 1382 1383 (Mullany et al., 1990; Roberts et al., 1999) and tet(L) gene (Phelan et al., 2011) as well as macrolide-lincosamide-streptogramin B resistance determinants on the plasmid (Monod et al., 1384 1986). 1385

# 1386 10. Conclusions

Several reports exist in finfish and shellfish regarding the presence of *Bacillus* in the GI tract. However, when investigating *Bacillus* in the GI tract, one major concern popped up; several studies have focus to characterize the GI lumen communities (the allochthonous microbiota) and the gut microbiota from faecal samples, while fewer studies have focus on bacteria that adhere to the mucosal surface (the autochthonous microbiota) which may be important in

specialized physiological functions. We therefore recommend in future studies, more focus onthe autochthonous gut microbiota.

Most previous studies evaluating Bacillus in the GI tract of finfish and shellfish were based on 1394 1395 culture-based approaches, but this approach may be question. Although there is a discussion among scientists about the value and need of using culture-based techniques vs. culture-1396 independent approaches, it is apparent that viable cells are valuable to culture collections, in 1397 1398 vaccine production, as well as their use in probiotics and synbiotic studies. During the last decades, the denaturing gradient gel electrophoresis (DGGE) method has been commonly used, 1399 but the method only detect 1-2% of the microbial diversity. Therefore, we recommend; next-1400 generation sequencing when evaluating the gut microbiome, including the presence of Bacillus 1401 species in the GI tract of finfish and shellfish species. 1402

1403 Use of *Bacillus* bacteria as probiotics in feed or as biodegrading bacteria in the ponds rearing water and soil is now a sustainable motion to reduce the environmental impact induced by 1404 aquaculture industry. There are at least ten species of Bacillus used as the main components of 1405 commercial probiotic (bioremediation) products for improvement of water quality of aquatic 1406 1407 animals. Bioremediation by some Bacillus strains in finfish and shellfish pond waters have 1408 revealed not only an increase in the bioremediation efficacy, but also improved survival of the cultured animals. These probiotic Bacillus species are a well tool by maintaining a higher 1409 density of beneficial bacteria and a lower load of pathogenic agent in the ponds. As probiotics, 1410 *Bacillus* play a significant role in maintaining optimum water quality parameters particularly 1411 toxic gases including ammonia, nitrite, nitrate, hydrogen sulphide and carbon dioxide 1412 throughout the growing period with a significant reduction of stress level. Elimination of 1413 1414 stressors, thus lead to an optimum immuno-physiological balance in the target animal with a 1415 better growth performance and survival rate as the final consequence of the aquaculture activity. Therefore, the application of *Bacillus* as probiotics in aquaculture is growing rapidly, 1416 particularly in the regions where intensive aquaculture systems have been developed. Bacillus 1417 probiotics as components of biocontrol products either in feed or in water column and sediment 1418 are often used as a mixture of different species to provide a range of beneficial effects on 1419 aquaculture systems. Bacillus as the ubiquitous bacteria in sediments are also naturally ingested 1420 by aquatic animals. Also, study about the effects of a particular Bacillus species or strains on 1421 1422 different fish and shellfish species, age, growth condition, water quality condition and diet types

can identify the condition in which the probiotics could work well. Bacillus spp. are not 1423 generally involved in horizontal gene transfer processes with Gram-negative bacteria e.g. 1424 Vibrio and Aeromonas. Thus, obtaining antibiotic resistance genes from these Bacillus 1425 1426 probiotics is doubtful. Bacillus probiotics are able to rapidly replicate, tolerate a multitude of environmental conditions giving a wide range of beneficial effects in aquaculture sector. Also, 1427 the sporulation process by Bacillus probiotics enables them for the simple process and 1428 formulation as well shelf-stable probiotic spore products. However, some Bacillus species used 1429 as probiotics e.g. B. subtilis produce cytotoxic and emetic toxins. Therefore, detailed safety 1430

1431 studies are recommended for these bacterial strains before to be used as the safe probiotics.

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