# Genus, Treewidth, and Local Crossing Number 

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## Planar graphs have many nice properties

- They have nice drawings (no crossings, etc.)
- They are sparse (\# edges $\leq 3 n-6$ )
- They have small separators, or equivalently low treewidth (both $O(\sqrt{n})$, important for many algorithms)



## But many real-world graphs are non-planar

Even road networks, defined on 2d surfaces, typically have many crossings [Eppstein and Goodrich 2008]


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## Almost-planarity

Find broader classes of graphs defined by having nice drawings (bounded genus, few crossings/edge, right angle crossings, etc.)

Prove that these graphs still
have nice properties
(sparse, low treewidth, etc.)


RAC drawings of $K_{5}$ and $K_{3,4}$

## $k$-planar graph properties

$k$-planar: $\leq k$ crossings/edge

$$
\begin{aligned}
& \text { \# edges }=O(n \sqrt{k}) \\
& {[\text { Pach and Tóth 1997] }} \\
& \Rightarrow O\left(n k^{3 / 2}\right) \text { crossings }
\end{aligned}
$$

Planarize and apply planar separator theorem
$\Rightarrow$ treewidth is $O\left(n^{1 / 2} k^{3 / 4}\right)$
[Grigoriev and Bodlaender 2007]
Is this tight?


1-planar drawing of the Heawood graph

## Lower bound for k-planar treewidth

$$
\sqrt{\frac{n}{k}} \times \sqrt{\frac{n}{k}} \times k \text { grids are always } k \text {-planar }
$$



Treewidth $=\Omega\left(\sqrt{\frac{n}{k}} \cdot k\right)=\Omega(\sqrt{k n})$ when $k=O\left(n^{1 / 3}\right)$ Subdivided 3 -regular expanders give same bound for $k=O(n)$

## Key ingredient: layered treewidth

Partition vertices into layers such that, for each edge, endpoints are at most one layer apart

Combine with a tree decomposition
(tree of bags of vertices, each vertex in contiguous subtree of bags, each edge has both endpoints in some bag)


Layered width $=$ maximum intersection of a bag with a layer

## Upper bound for $k$-planar treewidth

- Planarize the given $k$-planar graph $G$

- Planarization's layered treewidth is $\leq 3$ [Dujmović et al. 2013]
- Replace each crossing-vertex in the tree-decomposition by two endpoints of the crossing edges
- Collapse groups of $(k+1)$ consecutive layers in the layering
- The result is a layered tree-decomposition of $G$ with layered treewidth $\leq 6(k+1)$
- Treewidth $=O(\sqrt{n \cdot \operatorname{ltw}})$ [Dujmović et al. 2013] $=O(\sqrt{k n})$.


## k-Nonplanar upper bound

Suppose we combine $k$-planar and bounded genus by allowing embeddings on a genus- $g$ surface that have $\leq k$ crossings/edge?

- Replace crossings by vertices (genus- $g$-ize)


- Genus-g layered treewidth is $\leq 2 g+3$ [Dujmović et al. 2013]
- Replace each crossing-vertex in the tree-decomposition by two endpoints of the crossing edges
- Collapse groups of $(k+1)$ consecutive layers in the layering
- The result is a layered tree-decomposition of $G$ with layered treewidth $O(g k)$
- Treewidth $=O(\sqrt{n \cdot \mid \mathrm{tw}})=O(\sqrt{g k n})$.


## k-Nonplanar lower bound

Find a 4-regular expander graph with $O(g)$ vertices
Embed it onto a genus-g surface
Replace each expander vertex by $\sqrt{\frac{n}{g k}} \times \sqrt{\frac{n}{g k}} \times k$ grid


When $n=\Omega\left(g k^{3}\right)$ (so expander edge $\leftrightarrow$ small side of grid) the resulting graph has treewidth $\Omega(\sqrt{g k n})$

## Can sparseness alone imply nice embeddings?

Suppose we have a graph with $n$ vertices and $m$ edges
Then avoiding crossings may require genus $\Omega(m)$ and embedding in the plane may require $\Omega(m)$ crossings/edge

But maybe by combining genus and crossings/edge we can make both smaller?


## Lower bound on sparse embeddings

For $g$ sufficiently small w.r.t. $m$, embedding an $m$-edge graph on a genus- $g$ surface

$$
\begin{aligned}
& \text { may require } \Omega\left(\frac{m^{2}}{g}\right) \text { crossings } \\
& \quad \quad \text { [Shahrokhi et al. 1996] } \\
& \Rightarrow \Omega\left(\frac{m}{g}\right) \text { crossings per edge }
\end{aligned}
$$

There exist embeddings that get within an $O\left(\log ^{2} g\right)$ factor of this total number of crossings [Shahrokhi et al. 1996]

But what about crossings per edge?

## Surfaces from graph embeddings (overview)

Embed the given graph $G$ onto another graph $H$, with:

- Vertex of $G \rightarrow$ vertex of $H$
- Edge of $G \rightarrow$ path in $H$
- Paths are short
- Paths don't cross endpoints of other edges
- Each vertex of $H$ crossed by few paths
- $H$ has small genus edges - vertices +1

Replace each vertex of $H$ by a sphere and each edge by a cylinder $\Rightarrow$ surface embedding with few crossings/edge


## Surfaces from graph embeddings (details)

We build the smaller graph $H$ in two parts:

## Load balancing gadget

Connects $n$ vertices of $G$ to $O(g)$ vertices in rest of $H$
Adds $\leq g / 2$ to total genus
Groups path endpoints into evenly balanced sets of size $\Theta(\mathrm{m} / \mathrm{g})$


Expander graph
Adds $\leq g / 2$ to total genus
Allows paths to be routed with length $O(\log g)$ and with $O(m \log g / g)$ paths crossing at each vertex [Leighton and Rao 1999]

## Conclusions

$n$-vertex $k$-planar graphs have treewidth $\Theta(\sqrt{k n})$
$n$-vertex graphs embedded on genus- $g$ surfaces with $k$ crossings/edge have treewidth $\Theta(\sqrt{g k n})$
$m$-edge graphs can always be embedded onto genus- $g$ surfaces

$$
\text { with } O\left(\frac{m \log ^{2} g}{g}\right) \text { crossings/edge (nearly tight) }
$$

Open: tighter bounds, other properties (e.g. pagenumber), other classes of almost-planar graph, approximation algorithms for finding embeddings with fewer crossings when they exist

## References

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