DANIEL O'CONNOR AND PHYLLIS VAN ORDEN

Getting into Print

Editors of thirty-three national library periodicals were surveyed to identify the number of unsolicited manuscripts received and published annually. The review process to select manuscripts for publication was also examined. Our findings reveal a high manuscript rejection rate (77.3 percent) with little reliance on external judges to review potential articles. Journal editors are encouraged to publish the purpose and scope of their periodical, as well as the method and criteria used to review unsolicited manuscripts.

Rampant criticism on the quality of library literature is so pervasive that we could be fearful that Katz's Best of 1978 might contain only one or two articles. This old question of quality has extensive roots, and it generated a renewed concern during the 1950s through the activities of the ALA Library Periodicals Round Table. At one meeting, Bell commented that reputable authors, "plus the maintenance of a standard of selectivity in articles printed, are the basic ingredients for attaining the much needed respect that a publication requires in order to flourish."

A sustained and growing concern about quality is evident in articles selected from the past twenty years: "Dullness in Library Journals" (1953);² "Standards for Library Periodicals" (1955);³ "A Look at Library Literature" (1961);⁴ "Popular or Scholarly" (1962);⁵ and "The Library Press" (1969).⁶ Moon makes a typical observation, "The dearth, the paucity of quality, is most noticeable if you examine only one element among the features of the library press: the articles." The topic has been important enough to merit attention at workshops and

conferences. Examples include a conference held at Brandeis University in 1975⁸ and a program of the Library Research Round Table held during the 1972 Annual Conference of ALA.⁹

These activities, however, address neither the question of the opportunity to publish nor the treatment that unsolicited manuscripts receive. If prospective authors are unaware of publication policies and criteria for selection, such ignorance may perpetuate the submission of manuscripts that will continue to generate criticism. Though "quality" is bandied about, it cannot come from situations where authors do not know criteria or where editors face copy deadlines with questionable manuscripts on hand. Sharing of criteria with prospective authors as well as with those involved in the reviewing process might serve as one concrete means of raising quality.

FOCUSING IN ON TWO ASPECTS

Because of these basic issues, selected national library journals were polled during fall 1976 to identify an author's chances of having an unsolicited manuscript published. An equally important aspect of the investigation was describing the way in which unsolicited manuscripts are presently reviewed to determine how articles are selected for publication.

Librarians publish, ideally, from their desire to communicate ideas with colleagues. There are growing pressures facing library school faculty and academic librarians to advance professionally through this activity.

Such individuals need to have available to

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them statements of the scope, policies, and criteria used by journals in selection of articles. The opportunities of individuals to share their research is also contingent upon the number of unsolicited manuscripts that can be accepted for publication each year. Besides knowing the appropriate journal for their manuscript, writers need to know the manuscript reviewing process and how much time this takes. These factors are of great significance to any potential author, especially one who is under pressure to establish publishing credit.

Thirty-three English language journals published in the United States and Canada were selected, as ones that accept contributions from members of the field, are indexed in Library Literature, and attract national audiences of librarians. Excluded were publications that are internal newsletters, agency or association publications that focus on regional or state activities, publications used as a vehicle for informal exchange of ideas, and national journals that consist solely of solicited articles.

Forty journals originally met our criteria for inclusion in the study. However, three journals were excluded after we realized that one changed its scope (to a newsletter), another ceased publication, and the third was only infrequently indexed in Library Literature. Two journals, Drexel Library Quarterly and Library Trends, consist solely of solicited articles. In addition, we were not able to reach two journal editors by either mail or telephone.

Based on these criteria, several excellent journals were excluded from the study. However, the importance of investigating practices in nationally based publications outweighed the advantages of a more thorough examination of all library periodicals. The journals selected vary widely in their subject matter and in the audiences that they attract; they range from subsidized association publications to commercial endeavors published by profit-making organizations.

IDENTIFYING THE OPPORTUNITY TO PUBLISH

The opportunity to publish depends on three basic considerations: (1) the author's interest in matching the subject and scope of a journal, (2) the approximate rate of ac-

ceptance of unsolicited manuscripts, and (3) the ratio of unsolicited to solicited articles published by the journal. Another important factor is the total number of articles published annually.

The responses to these considerations are provided in table 1. As an example, the journal American Archivist reports receiving forty unsolicited manuscripts each year, of which 50 percent are accepted for publication. These accepted manuscripts represent approximately 75 percent of the total number of articles published in the journal

each vear.

The range of subjects dealt with by the journals in this study is diverse. Many journal titles suggest the key interests of their readers. Although an analysis by subject was outside the focus of this study, we did request copies of the information sent to prospective authors. Unfortunately, most of this information directs authors in matters of bibliographic format rather than identifying the scope of the journal. A noticeable exception is Information Processing and Management, which specifies its purpose, scope, typical key words, and related journals.

The overall findings of the opportunity to publish include:

1. An unsolicited manuscript stands about one chance in four of being published.

2. After an article is submitted, authors should anticipate a two-month delay before receiving an acceptance or rejection notification.

3. If the manuscript is accepted, an additional five months pass before the arti-

cle is published.

4. The number of individuals who participate in the evaluation of a manuscript can vary from a single editor to a consensus from a group of individuals who review manuscripts without knowing the identity of the author. In fact, the most common pattern is that two to four readers will review a manuscript to determine if it is suitable for publication.

5. Unsolicited manuscripts account for more than two-thirds of the total articles published by the thirty-three journals iden-

tified in this study.

Nevertheless, manuscripts are not mailed to average journals. Instead, they are sent to a specific periodical, and its practices determine the opportunity of authors to see

their ideas in print.

Table 1 identifies for each journal the number of unsolicited manuscripts received annually, their acceptance rate, and the percent of total articles generated from unsolicited manuscripts. It is common knowledge that many rejected manuscripts eventually appear as news items or as articles in other journals. 10 The average acceptance rate for unsolicited manuscripts is 33.8 percent. This is a misleading figure given the extremes in the number of articles selected by different journals. For example, Argus reports a 100 percent acceptance rate, but only three manuscripts are involved. Library Journal, which receives 650 unsolicited manuscripts, reports an acceptance rate of 10 percent-or sixty-five articles. By computing the actual number of accepted manuscripts for all thirty-three journals, there was a total of 746 published articles from a pool of 3,292 unsolicited submissions, which represents an overall acceptance rate of 22.7 percent.

This unexpectedly high rejection rate of 77.3 percent surprised us when compared to the average rejection rates in other fields. In a review of the refereeing process, Meadows states that, "Only about a quarter of the papers submitted to U.S. science journals are rejected, though in some 'fringe' areas—such as mathematics and anthropology—the rejection rates rise to 50 percent. In arts subjects, on the other hand, over three-quarters of submitted arti-

cles may be refused."11

This raises the old question of where our field stands in relation to the disciplines. At the present time we obviously reflect the pattern of the arts. If we accept Meadows' analysis, then our more quantitative journals would be expected to have lower rejection rates than less quantitative ones.

This point is borne out in table 1 when looking at the acceptance rates of such journals as Bulletin of the Medical Library Association (50 percent), Information Processing and Management (60 percent), Journal of the American Society for Information Science (50 percent), and Special Libraries (49 percent). The reasons for this may not be obvious, unless one realizes that scien-

tific journals often accept articles that contribute minor or esoteric advances in the field.

The implications of this raise a provocative question: Should librarians who publish for their own professional advancement in journals with high acceptance rates be required to produce *more* publications than those who publish in journals with low acceptance rates?

Another important consideration is the ratio of unsolicited to solicited manuscripts, which comprise the published articles in a library periodical volume. Column 4 of table 1 lists this publication rate for unsolicited manuscripts. These figures represent great variations in practice, and there are no discernible patterns to account for this in terms of subject area, manuscript reviewing process, or type of journal (i.e., association or commercial).

Questionnaire items relating to table 1 asked for the editor's best estimate; as such, publication rate is highly suspect. Ideally, the number of articles published annually by each journal could be obtained from table 1 by mutiplying column 2 times column 3 and then dividing the result by column 4. For some journals, this process yielded highly inaccurate numbers when compared to actual counts of articles published. We surmised that this was due to erroneous publication rate figures.

From actual counts and several estimates, the total number of articles published by these thirty-three journals during 1975 was 1,095. Of these, 746, or 68.1 percent, came from unsolicited manuscripts, and the remaining 349 originated from solicited manuscripts. Thus it is safe to say that about two-thirds of the articles in our national journals come from unsolicited manuscripts. Given the severe rejection rate mentioned earlier, this publication rate constitutes an important commentary on the composition of our national journals: Both the editors of these publications and their respective audiences are dependent upon unsolicited manuscripts for communicating research among librarians.

Paradoxically, it is extremely difficult to have an unsolicited manuscript accepted for publication in a national library periodical, yet these journals are mostly comprised of

TABLE 1 OPPORTUNITY TO PUBLISH UNSOLICITED MANUSCRIPTS

| Journal | Number Received Number of unso- licited manu- scripts received annually | Acceptance Rate Percent of unsolicited manuscripts ac- cepted for pub- lication | Publication Rate Of total articles published annually, percent which come from unsolicited manuscripts |
|---|---|---|--|
| American Archivist | 40 | 50 | 75 |
| American Libraries | 200 | 5 | 60 |
| Argus | 3 | 100 | 5 |
| Audiovisual Instruction | 250 | 50 | 99 |
| Bulletin of the American Society for Information Science | 10 | 20 | 5 |
| Bulletin of the Medical Library Association | 95 | 50 | 50 |
| Canadian Library Journal | 45 | 50 | 50 |
| Catholic Library World | 30 | 20 | 25 |
| College & Research Libraries | 135 | 25 | 95 |
| Film Library Quarterly | 25 | 20 | 15 |
| Horn Book | 100 | 10 | 25 |
| Information: Reports/Bibliography | 10 | 10 | 6 |
| Information Processing and Management | 70 | 60 | 80 |
| ournal of Academic Librarianship | 150 | 24 | 85 |
| ournal of Education for Librarianship | 150 | 10 | 88 |
| ournal of the American Society for Information Science | 75 | 50 | 80 |
| ournal of Library Automation | 50 | 40 | 95 |
| ournal of Library History, Philosophy and Comparative Librarianship | 55 | 30 | 100 |
| ournal of Micrographics | 15 | 95 | 40 |
| aw Library Journal | 15 | 33 | 33 |
| earning Today | 50 | 20 | 20 |
| Library Journal | 650 | 10 | 50 |
| Library Resources & Technical Services | 40 | 50 | 85 |
| Library Quarterly | 85 | 15 | 70 |
| Aicroform Review | 10 | 80 | 50 |
| Music Library Association Notes | 14 | 40 | 40 |
| Quarterly Journal of the Library of Congress | 30 | 50 | 50 |
| io , | 100 | 20 | 95 |
| chool Library Journal | 300 | 3 | 5 |
| chool Media Quarterly | 20 | 10 | 10 |
| pecial Libraries | 170 | 49 | 100 |
| Cop of the News | 50 | 13 | 50 |
| Vilson Library Bulletin | 250 | 5 | 30 |
| | Total 3292 | Average 33.8%* | Average 53.5% |

^{*}Actual acceptance rate is 22.7%. See article for explanation. †Actual publication rate is 68.1%. See article for explanation.

articles that originate from this source. Furthermore, editors still complain about the quality of manuscripts they receive. The following examination of the reviewing and refereeing process sheds some light on this dilemma.

REVEALING THE REVIEWING AND REFEREEING PROCESS

A rejection rate of 77.3 percent provides evidence that the article selection process is a tough and vigorous one. Previous treatments of this topic tend to concentrate on the quality of manuscripts submitted to journal editors and not on the closely related editorial review and selection process. In these days of performance objectives, accountability, and evaluation, it seems only fair that editors identify for prospective authors the complex process by which unsolicited manuscripts are reviewed and selected. Confronted here are two fundamental issues: objectivity in reviewing manuscripts and the criteria used in selecting articles to be published.

The concept of objectivity in reviewing unsolicited manuscripts addresses the degree of a reviewer's impartiality in the selection process. Theoretically, objectivity in selecting manuscripts for publication exists on a continuum that can range from no evaluation (i.e., anything received is automatically published) to evaluation of a manuscript where an independent reviewer and a prospective author do not know each other's identity (frequently described as

"double-blind refereeing").

From an a priori listing of nine discrete possibilities within this reviewing continuum, six emerged as patterns of practice in selecting manuscripts for publication. There were no journals that automatically publish anything received, and thus all manuscripts receive some degree of review. For most of the journals, this review is usually performed by members of an editorial or advisory board who know the identities of prospective authors.

Table 2 lists by journal the six reviewing practices used by nationally based library periodicals; also included are the number of individuals involved in unsolicited manuscript review as well as the time it takes to perform this activity. As a journal moves

from high internal control by an editor to double-blind refereeing, there should be a corresponding increase in the objectivity with which manuscripts are selected for publication.

Although refereeing does not guarantee the production of quality manuscripts, it does inject independence and impartiality into the selection process. Ideally, a referee is an outside expert who judges anonymous manuscripts for their intrinsic worth; the referee also provides substantive suggestions to potential authors to help them improve the quality of their contributions. Though there appears to be movement toward refereeing, only six journals employed a full refereeing system, while the remaining twenty-seven periodicals relied primarily on editors, advisory staffs, and editorial boards to review and select manuscripts for publication.

(Several editors indicated that multiple reviewing practices were used. The assignment of a journal to a level in table 2 was based on the first category that an editor checked.)

For the ten level one journals, where the editor makes the selection, the sheer volume of this work is staggering: seventeen editors evaluate 784 unsolicited manuscripts annually, which amounts to an average of forty-six manuscripts per editor. This is in addition to preparing, coordinating, or evaluating features, editorials, theme issues, regular columns, and solicited manuscripts. Furthermore, many of the association publications have unpaid editors who perform these activities in addition to their regular jobs.

Refereeing is no immediate panacea that ensures the production of quality articles. For science journals, where this practice is widely used, the more prevalent negative aspects of refereeing include: time increases between receipt of manuscript and publication decision; use of different evaluation standards by different referees; difficulties in masking the identities of authors; and an occasional robbing of an author's idea by an unscrupulous referee. ¹² Nonetheless, the important consideration here is how these disadvantages of refereeing compare to the present systems of editorial control.

The major difference when adopting a

TABLE 2 LEVEL OF REVIEWING, NUMBER OF INDIVIDUALS INVOLVED, AND TIME TO PROCESS UNSOLICITED MANUSCRIPTS

| Level of reviewing: Selection of unsolicited | Number of individuals | Average tin | Average time in weeks from receipt of manuscript to: | | |
|---|-----------------------|----------------------|--|--|--|
| manuscripts for publication | involved§ | Publication Decision | Actual Publication | | |
| . Editor decides what is published | | | | | |
| Audiovisual Instruction | 1 | 6–8 | 52 | | |
| Catholic Library World | î | 2 | 8 | | |
| Journal of Micrographics | 2 | 1 | 10 | | |
| Law Library Journal | ĩ | 1 | 12-36 | | |
| Learning Today | i | 1 | 50 | | |
| Microform Review | 2 | | 52 | | |
| | 2 | 4-8 | 48 | | |
| Music Library Association Notes | 2 | 1-12 | 12-24 | | |
| RQ | 1 | | | | |
| Top of the News | 2 | 26 | 52 | | |
| Wilson Library Bulletin | 4 | 12 | 20 | | |
| . Editor decides with assistance of editorial staff | | | | | |
| American Libraries | 5 | 10 | 18 | | |
| Argus | 6 | 12 | ‡ | | |
| *Canadian Library Journal | 2 2 | 8–10 | 8–16 | | |
| *Film Library Quarterly | 2 | 3 | 25 | | |
| Horn Book | 2 | 8 | # | | |
| Information: Reports/Bibliography | 3 | 3-4 | 24 | | |
| *Irl of Lib. Hist., Phil. & Comp. Librarianship | 3 | 4-6 | 24 8–12 | | |
| Quarterly Journal of the Library of Congress | 4 | 4 | 25 | | |
| School Library Journal | 4 | 20 | ± | | |
| *School Media Quarterly | 4 | 6 | 24-30 | | |
| Editor decides with assistance of advisory staff | | | 2.00 | | |
| *American Archivist | 8 | 15 | 26 | | |
| Bulletin of the American Soc. for Info. Science | 3-4 | 3-4 | | | |
| †Journal of Academic Librarianship | 12 | 2–9 | 12-52 | | |
| Library Resources & Technical Services | 6-7 | 15 | 52 | | |
| *Library Quarterly | 13 | 1-10 | 30+ | | |
| Editorial Board decides | 13 | 1-10 | 30+ | | |
| | - | 8 | 34 | | |
| *Journal of Library Automation | 3–5 | 4–12 | 6-24 | | |
| Library Journal | 3–5 | 4-12 | 6-24 | | |
| Referees decide; know author's name | | | 20 | | |
| Bulletin of the Medical Library Association | 3 | 8 | 20 | | |
| Information Processing & Management | 3 | 6 | 18 | | |
| Journal of the American Soc. for Info. Science | 2 | 8–12 | 30 | | |
| Referees decide; do not know author's name—double-blind | | | | | |
| College & Research Libraries | 3 | 6 | 40 | | |
| Journal of Education for Librarianship | 3 | 8 | 36 | | |
| Special Libraries | 2–3 | 8 | 16 | | |

^{*}Also uses outside referees who know author's name †Also uses double-blind refereeing system ‡Did not respond \$The questionnaire did not distinguish between number of individuals who serve as referees and the actual number involved in reviewing each manuscript.

refereeing system would be the decisionmaking environment within our journals. Instead of a relatively small number of individuals making many decisions on wide ranges of topics, there would be many individuals each making a few decisions in their particular areas of expertise. The time delay between receipt of manuscript and publication decision now averages two months; with a refereeing system this is likely to increase. However, the average five-month time span from publication decision to actual publication should not be affected.

The most serious problems with refereeing are the criteria used to evaluate manuscripts and the consistency with which they are applied. Fortunately, several editors from levels 5 and 6 sent us the evaluation forms used by their referees, and these were most enlightening. The best conglomerate form would include specific evaluation criteria followed by a scale so manuscripts can be judged according to originality of ideas, importance to the journals' audience, clarity, appropriateness of method, and similar standards. 13 In addition, an open-ended section for comments would allow referees to state specific criticisms of rejected manuscripts. Because this form is so important, editors should publish it regularly in their journals so prospective authors will know in advance the criteria used and how it is applied in the evaluation of their manuscript.

The other problems with refereeing—masking authors' identities and robbing of ideas—are rare but cannot be easily overcome. These merit close monitoring by journal editors.

Finally, it is our opinion that if journals lack a detailed purpose statement, do not provide for an objective review of manuscripts, and hide their evaluation criteria from prospective authors, then two things might happen: (1) There will be a high rejection rate for unsolicited manuscripts; and (2) there will be widespread complaints by editors that the quality of manuscripts received is very low.

QUESTIONING THE QUESTION

Previous writers on this topic have concentrated on vague notions of "quality" that should emanate from library periodicals. This study does not pretend to address this issue in any direct manner. Instead, our investigation is a very specific one aimed at examining the method by which unsolicited manuscripts become published articles. An examination of thirty-three national journals, whose editors responded to a six-item questionnaire is, admittedly, a limited study. But we feel it is an important beginning in describing an influential segment of the library literature, whose combined circulation exceeds 335,000 subscriptions.

The treatment of unsolicited manuscripts reported here indicates a clear need to reassess the policies and levels of decision making within our national journals. We view a librarian's opportunity to publish as severely limited by the following factors: (1) high manuscript rejection rate; (2) lack of objectivity in the manuscript reviewing process; (3) uncertainty about the journal's purpose; and (4) ignorance of reviewers' evaluative criteria.

These issues deserve an open hearing to resolve the problems facing librarians who would like to communicate their ideas with colleagues. It is not enough to continue the current trend of workshops, where editors and researchers identify for librarians the ideal, publishable manuscript. Instead, journal staffs must reexamine and publicize their policies. This should also benefit the journals in providing them with external measures of stability when editors and editorial boards change.

Of course, we intend to end this on a positive, constructive note. A few journals have already accomplished some of the recommendations suggested in this study. The experiences of these editors should be shared with others to identify reasonable modifications of current practice as journals move toward the development of national guidelines.

The American Library Association can exercise leadership in this area by examining its periodicals to determine the pattern appropriate to each journal's purpose and audience. In addition, a conference of librarians and editors to discuss specific issues would go far in advancing the state of our journal literature.

To keep this in focus, we suggest that the initial considerations address three basic

points: (1) Journals should regularly publish specific statements on their purpose, scope, and audience; (2) journals should publish the criteria used to evaluate manuscripts; and (3) journals should adopt a double-blind refereeing system.

These proposed changes should provide vehicles for the improvement of our literature to the benefit of editors, authors, and readers. Once these issues are resolved, we may look forward to Katz's *Best of 1980* as a two-volume work.

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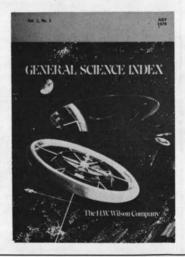
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