Global Chaos Synchronization of WINDMI and Coullet Chaotic Systems using Adaptive Backstepping Control Design

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ABSTRACT. In this paper, global chaos synchronization is investigated for WINDMI (J. C. Sprott, 2003) and Coullet (P. Coullet et al, 1979) chaotic systems using adaptive backstepping control design based on recursive feedback control. Our theorems on synchronization for WINDMI and Coullet chaotic systems are established using Lyapunov stability theory. The adaptive backstepping control links the choice of Lyapunov function with the design of a controller and guarantees global stability performance of strict-feedback chaotic systems. The adaptive backstepping control maintains the parameter vector at a predetermined desired value. The adaptive backstepping control method is effective and convenient to synchronize and estimate the parameters of the chaotic systems. Mainly, this technique gives the flexibility to construct a control law and estimate the parameter values. Numerical simulations are also given to illustrate and validate the synchronization results derived in this paper.

1. Introduction

Chaos refers to one type of complex dynamical behaviors that possess extreme sensitivity to tiny variations of initial conditions, bounded trajectories in phase space and fractional topological dimensions. In general, synchronization research has been focused on two areas. The first one works with the state observers, where the main applications pertain to the synchronization of nonlinear oscillators. The second one is the use of control laws, which allows to achieve the synchronization between nonlinear oscillators, with different structures and orders.

The synchronization of chaotic system was first researched by Yamada and Fujisaka [1] with subsequent work by Pecora and Carroll [2, 3]. The synchronization of chaos is one way of explaining sensitive dependence on initial conditions. It has

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been established that the synchronization of two chaotic systems, that identify the tendency of two or more systems are coupled together to undergo closely related motions. The problem of chaos synchronization is to design a coupling between the two systems such that the chaotic time evaluation becomes ideal. The output of the slave system asymptotically follows the output of the master system i.e. the output of the master system controls the output of the slave system.

The synchronization for chaotic systems has been widespread to the scope, such as generalized synchronization [6], phase synchronization [7], lag synchronization, projective synchronization [8], generalized projective synchronization [9, 10, 11, 12] and even anti-synchronization [13, 14]. A variety of schemes for ensuring the control and synchronization of such systems have been demonstrated based on their potential applications in various fields including chaos generator design, secure communication [15, 16], physical systems [17], and chemical reaction [18], ecological systems [19], information science [20], energy resource systems [21], ghostburster neurons [22], bi-axial magnet models [23], neuronal models [24, 25], SIR epidemic models with impulsive vaccination [26] and predicting the influence of solar wind to celestial bodies [27, 28, 29, 30], etc. So far a variety of impressive approaches have been proposed for the synchronization of the chaotic systems such as the OGY method[31], sampled feedback synchronization method, time delay feedback method [32], sliding mode control method [33, 34], active control method [35], backstepping control [37, 38, 39, 40, 41] etc.

Adaptive backstepping control design is a direct aggregation of a control methodology with some form of a recursive system identification and the system identification could be aimed to determining the system to be controlled is linear or nonlinear systems. The system identification is only the parameters of a fixed type of model that need to be determined and limiting the parametric system identification and parametric adaptive control [42, 43, 44, 45, 46, 47]. Adaptive backstepping control design is studied and analyzed in theory of unknown but fixed parameter systems.

In this paper, adaptive backstepping control design with feedback input approach has been proposed. This approach is a systematic design approach and guarantees global stability of the WINDMI (J. C. Sprott, [48]) and Coullet (P. Coullet et al, [49]) chaotic systems. Based on the Lyapunov function, the adaptive update control is determined to tune the controller gain based on the precalculated feedback control inputs. We organize this paper as follows. In Section 2, we present the methodology of chaos synchronization by adaptive backstepping control method. In Section 3, we give a description of the chaotic systems discussed in this paper. In Section 4, we demonstrate the chaos synchronization of identical WINDMI chaotic system using adaptive backstepping control. In Section 5, we demonstrate the chaos synchronization of WINDMI and Coullet chaotic system using adaptive backstepping control. In Section 7, we summarize the results obtained in this paper.

2. Problem Statement and Our Methodology

In general, the two dynamic systems in synchronization are called the master and slave system respectively. A well designed controller will make the trajectory of the slave system track the trajectory of the master system, that is, the two systems will be synchronous.

Consider the master system described by the dynamics

(2.1)
$$\begin{aligned}
\dot{x}_{1} &= F_{1}(x_{1}, x_{2}, \dots, x_{n}, \alpha_{i}), \\
\dot{x}_{2} &= F_{2}(x_{1}, x_{2}, \dots, x_{n}, \alpha_{i}), \\
\dot{x}_{3} &= F_{3}(x_{1}, x_{2}, \dots, x_{n}, \alpha_{i}), \\
\vdots &\vdots &\vdots \\
\dot{x}_{n} &= F_{n}(x_{1}, x_{2}, \dots, x_{n}, \alpha_{i}),
\end{aligned}$$

where $x(t) \in \mathbb{R}^n$ is a state vectors of the system and and α_i are positive unknown parameters, $\hat{\alpha}_i$ are estimates of the parameters α_i .

Consider the slave system with the controller u described by the dynamics

$$\begin{array}{rcl}
\dot{y}_{1} & = & G_{1}(y_{1}, y_{2}, \dots, y_{n}, \alpha_{i}), \\
\dot{y}_{2} & = & G_{2}(y_{1}, y_{2}, \dots, y_{n}, \alpha_{i}), \\
\dot{y}_{3} & = & G_{3}(y_{1}, y_{2}, \dots, y_{n}, \alpha_{i}), \\
\vdots & \vdots & \vdots \\
\dot{y}_{n} & = & G_{n}(y_{1}, y_{2}, \dots, y_{n}, \alpha_{i}) + u(t),
\end{array}$$

where u is the input to the system with parameter estimator $\hat{\alpha}_i, i = 1, 2, 3, ..., n$, $y \in \mathbb{R}^n$ is state of the system and F_i , $G_i (i = 1, 2, 3, ..., n)$ are linear and nonlinear functions with inputs from systems (2.1) and (2.2).

If F_i equals to G_i , then the systems states are identical synchronization otherwise that systems states are non identical chaotic synchronization of systems. The chaotic systems (2.1) and (2.2) depend not only on state variables but also on time t and the parameters.

We define the synchronization error as

$$e = y_i - x_i$$

then the error dynamics is obtained as

where u is the controller to the system with parameter estimator $\hat{\alpha}_i$. The parameter estimation error is defined as

$$e_{\alpha_i} = \alpha_i - \hat{\alpha_i}, i = 1, 2, 3, \dots, n.$$

The synchronization error system controls a controlled chaotic system with control input u with adaptive update law $\dot{\alpha}_i$ as a function of the parameter estimator error states $e_{\alpha_1}, e_{\alpha_2}, e_{\alpha_3}, \dots, e_{\alpha_n}$. That means the systematic adaptive feedbacks so as to stabilize the error dynamics (2.3), $e_1, e_2, e_3, \dots, e_n$ converge to zero as time t tends to infinity. This implies that the controller u and adaptive update law $\dot{\alpha}_i$ should be designed so that the two chaotic systems can be synchronized. In mathematically

$$\lim_{t \to \infty} ||e(t)|| = 0.$$

Adaptive backstepping control design is systematic and guarantees global stability performance of strict-feedback chaotic systems. By using the adaptive backstepping control design, the chaotic system is stabilized with respect to a Lyapunov function V, by the design of parameter estimator control $\hat{\alpha}_i$ and a control input function u_i with adaptive update law $\dot{\alpha}_i$. The Lyapunov stability approach consists in finding an update law. We use Lyapunov function technique as our methodology.

We consider the stability of the system

$$\dot{e}_1 = G_1(y_1, y_2, ..., y_n, \alpha_i) - F_1(x_1, x_2, ..., x_n, \alpha_i),$$

where the state variables $x(t) \in \mathbb{R}^n$, $y(t) \in \mathbb{R}^n$. As long as this feedback stabilize the system (2.4) converge to zero as the time t goes to infinity, where $e_2 = \beta_1(e_1)$ regarded as an virtual controller. For the design of $\beta_1(e_1)$ to stabilize the subsystem (2.4).

We consider the Lyapunov function defined by

(2.5)
$$V_1(e_1, e_{\alpha_i}) = e_1^T P_1 e_1 + \sum_{i=1}^{i=k} e_{\alpha_i}^T Q_1 e_{\alpha_i},$$

where P_1 and Q_1 are positive definite matrices.

Suppose the derivative of V_1 is

(2.6)
$$\dot{V}_1 = -e_1^T R_1 e_1 - \sum_{i=1}^{i=k} e_{\alpha_i}^T S_1 e_{\alpha_i},$$

where R_1 and S_1 are positive definite matrices.

Then V_1 is negative definite function.

Thus by Lyapunov stability theory [50] the error dynamics (2.4) is globally asymptotically stable.

The virtual control $e_2 = \beta_1(e_1)$ makes the system (2.4) asymptotically stable. The function $\beta_1(e_1)$ is estimative when e_2 considered as controller.

The error between e_2 and $\beta_1(e_1)$ is

$$(2.7) w_2 = e_2 - \beta_1(e_1),$$

Consider the (e_1, w_2) subsystem given by

$$\begin{array}{rcl}
\dot{e}_1 & = & G_1(y_1, y_2, \dots, y_n, e_{\alpha_i}) - F_1(x_1, x_2, \dots, x_n, e_{\alpha_i}), \\
\dot{w}_2 & = & G_2(y_1, y_2, \dots, y_n, e_{\alpha_i}) - F_2(x_1, x_2, \dots, x_n, e_{\alpha_i}) - \dot{\beta}_1(e_1).
\end{array}$$

Let e_3 be a virtual controller in system (2.8). Assume that when

$$(2.9) e_3 = \beta_2(e_1, w_2)$$

the system (2.8) made globally asymptotically stable.

Consider the Lyapunov function defined by

(2.10)
$$V_2(e_1, w_2) = V_1(e_1) + w_2^T P_2 w_2 + \sum_{i=k+1}^{i=m} e_{\alpha_i}^T Q_2 e_{\alpha_i},$$

where P_2 and S_2 are positive definite matrices.

Suppose the derivative of V_2 is

(2.11)
$$\dot{V}_2 = -e_1^T R_1 e_1 - w_2^T R_2 w_2 - \sum_{i=k+1}^{i=m} e_{\alpha_i}^T S_2 e_{\alpha_i}.$$

where R_1 , R_2 , S_2 are positive definite matrices.

Then V_2 is a negative definite function.

Thus by Lyapunov stability theory the error dynamics (2.10) is globally asymptotically stable.

The virtual control is $e_2 = \beta_2(e_1, w_2)$ and it make the system (2.10) globally asymptotically stable.

For the n^{th} state of the error dynamics, define the error variable w_n as

$$(2.12) w_n = e_n - \beta_{n-1}(e_1, w_2, w_3, \dots, w_n).$$

Consider the $(e_1, w_2, w_3, \ldots, w_n)$ subsystem given by

$$\begin{aligned}
\dot{e}_{1} &= G_{1}(y_{1}, y_{2}, \dots, y_{n}, e_{\alpha_{i}}) - F_{1}(x_{1}, x_{2}, \dots, x_{n}, e_{\alpha_{i}}), \\
\dot{w}_{2} &= G_{2}(y_{1}, y_{2}, \dots, y_{n}, e_{\alpha_{i}}) - F_{2}(x_{1}, x_{2}, \dots, x_{n}, e_{\alpha_{i}}) - \dot{\beta}_{1}(e_{1}), \\
\vdots &\vdots &\vdots \\
\dot{w}_{n} &= G_{n}(y_{1}, y_{2}, \dots, y_{n}, e_{\alpha_{i}}) - F_{n}(x_{1}, x_{2}, \dots, x_{n}, e_{\alpha_{i}}) \\
&- \dot{\beta}_{n-1}(e_{1}, w_{2}, w_{3}, \dots, w_{n}) + u_{t}.
\end{aligned}$$

Consider the Lyapunov function defined by

$$V_n(e_1, w_2, w_3, \dots, w_n, e_{\alpha_i}) = V_{n-1}(e_1, w_2, w_3, \dots, w_{n-1}) + w_n^T P_n w_n + \sum_{i=m+1}^{i=n} e_{\alpha_i}^T Q_n e_{\alpha_i}$$

where P_n and Q_n are positive definite matrices. Suppose the derivative of V_n is

(2.15)
$$\dot{V}_n = -e_1^T R_1 e_1 - w_2^T R_2 w_2 - \dots - w_n^T R_n w_n - \sum_{i=m+1}^n e_{\alpha_i}^T S_n e_{\alpha_i},$$

where $R_1, R_2, R_3, \ldots, R_n$ and S_n are positive definite matrices.

Then V_n is a negative definite function on \mathbb{R}^n .

Thus by Lyapunov stability theory the error dynamics (2.13) is globally asymptotically stable.

The virtual control is $e_n = \beta_{n-1}(e_1, w_2, w_2, \dots, w_{n-1})$ and the state feedback input u makes the system (2.13) globally asymptotically stable.

Hence, the states of the master and slave systems are globally and asymptotically synchronized and the adaptive control law is given by

$$\dot{\hat{\alpha}}_i = G(e) + k_i e_{\alpha_i}$$

where k_i is positive constant, e = y - x is the error vector, and $G : \mathbb{R}^n \to \mathbb{R}^n$ is a continuous vector function with the error as its argument.

3. The System Description

Recently, theoretical design and hardware implementation of different kinds of chaotic oscillators have attracted increasing attention, aiming real world applications of many chaos based technologies and information systems.

3.1. The WINDMI system

The solar-wind driven magnetosphere-ionosphere is a complex driven-damped dynamical system. The system gives variety of dynamical properties such as low-level steady plasma conversion, quasiperiodic releases of geotail stored plasma energy into the ionosphere, states of continuous strong unloading provisionally identified as magnetic stromes.

When the prediction of whether modeling, errors in initial values of the input data, chaotic flucations in the forcing functions and internal chaotic dynamics from the nonlinearity and feedback loops in the deterministic systems are. The WINDMI model is well accepted mathematical model for solar-wind-driven magnetosphere-ionosphere system. WINDMI model is directly track energy through the global magnetosphere. The global energy properties of substrome using measured magnetosphere data is drive the WINDMI model.

The WINDMI (J. C. Sprott, [48]) system is a complex driven-damped dynamical system. The WINDMI system describes as the energy flow through the solar wind magnetosphere-ionosphere system. The dynamics of the chaotic WINDMI system

is described by

where x_1 , x_2 , x_3 are state variables and a, b are positive real constants. The WINDMI system (3.1.1) is chaotic when

$$a = 0.7, b = 1.5$$

Fig. 1 depicts the WINDMI chaotic attractor.

WINDMI model enable to estimate the dimensionless parameter values for the planets with magnetospheres. This model gives a useful perspective on the stome and substrom problem. In geotail, there existing micro instabilities of collisionless tearing modes and kinetic ballooning modes, WINDMI model could be used as an external frame work for that modes.

3.2. The Coullet system

The Coullet (P. Coullet et al, [49]) chaotic systems, proposed by Coullet and Arneodo. The Coullet chaotic system is one of the paradigms of chaotic system and it includes a simple cubic part and three positive parameters. The dynamics of the chaotic Coullet system is described by

where x_1 , x_2 , x_3 are state variables and a, b and c are positive real constants. The Coullet system (3.2.1) is chaotic when

$$a = 5.5, b = 3.5, and c = 1$$

Fig. 2 depicts the Coullet chaotic attractor.

4. Synchronization of Identical WINDMI Chaotic Systems using Adaptive Backstepping Control Design

In this section we apply the adaptive backstepping method for the synchronization of two identical WINDMI chaotic systems (J. C. Sprott, [48]) when the parameter values are unknown. Thus, the master system is described by the chaotic WINDMI dynamics

(4.1)
$$\begin{aligned}
\dot{x}_1 &= x_2, \\
\dot{x}_2 &= x_3, \\
\dot{x}_3 &= -ax_3 - x_2 + b - e^{x_1},
\end{aligned}$$

where x_1 , x_2 , x_3 are state variables and a, b are positive unknown parameters, \hat{a} and \hat{b} are estimates of the parameters a and b.

The slave system is also described by the chaotic WINDMI dynamics

where y_1, y_2, y_3 are state variables and u is the backstepping controller to be designed.

The synchronization error is defined by

$$e_1 = y_1 - x_1, \ e_2 = y_2 - x_2, \ e_3 = y_3 - x_3.$$

The error dynamics is obtained as

(4.3)
$$\begin{aligned}
\dot{e}_1 &= e_2, \\
\dot{e}_2 &= e_3, \\
\dot{e}_3 &= -ae_3 - e_2 - e^{y_1} + e^{x_1} + u.
\end{aligned}$$

The objective is to find the control law and adaptive update law, so that the system (4.3) is asymptotically stabilized at the origin and estimates the unknown parameters a and b. We introduce the backstepping procedure to design the controller u, where u is control feedback, as long as these feedback stabilize system (4.3) converge to zero as the time $t \to \infty$.

First we consider the stability of the system

$$\dot{e}_1 = e_2,$$

where e_2 is regarded as virtual controller.

We consider the Lyapunov function defined by

$$(4.5) V_1(e_1) = \frac{1}{2}e_1^2.$$

The derivative of V_1 is as following

$$\dot{V}_1 = e_1 e_2.$$

Assume the controller $e_2 = \beta_1(e_1)$.

If we choose

$$\beta_1(e_1) = -k_1 e_1,$$

then

$$\dot{V}_1 = -k_1 e_1^2,$$

which is negative definite.

Hence the system (4.4) asymptotically stable.

The function $\beta_1(e_1)$ is an estimative function when e_2 is considered as a controller.

The error between e_2 and $\beta_1(e_1)$ is

$$(4.9) w_2 = e_2 - \beta_1(e_1).$$

Consider the (e_1, w_2) subsystem given by

(4.10)
$$\begin{aligned}
\dot{e}_1 &= w_2 - k_1 e_1, \\
\dot{w}_2 &= e_3 + k_1 e_2.
\end{aligned}$$

Let e_3 be a virtual controller in system (4.10), assume when $e_3 = \beta_2(e_1, w_2)$, the system (4.10) is made globally asymptotically stable.

Consider the Lyapunov function defined by

(4.11)
$$V_2(e_1, w_2) = V_1(e_1) + \frac{1}{2}w_2^2.$$

The derivative of $V_2(e_3, w_2)$ is

(4.12)
$$\dot{V}_2 = -k_1 e_1^2 + w_2 (e_1 + e_3 + k_1 w_2 - k_1^2 e_1).$$

We choose

(4.13)
$$\beta_2(e_1, w_2) = -e_1 - k_1 w_2 + k_1^2 e_1 - k_2 w_2.$$

Then it follows that

$$\dot{V}_2 = -k_1 e_1^2 - k_2 w_2^2.$$

Thus, \dot{V}_2 is negative definite function and hence the system (4.10) is globally asymptotically stable.

The error between e_3 and $\beta_2(e_1, w_2)$ is

$$(4.15) w_3 = e_3 - \beta_2(e_1, w_2).$$

Consider the (e_1, w_2, w_3) subsystem given by

$$\begin{aligned}
\dot{e}_1 &= w_2 - k_1 e_1, \\
\dot{w}_2 &= w_3 - e_1 - k_2 w_2, \\
\dot{w}_3 &= -a w_3 + a e_1 + a k_1 w_2 - a k_1^2 e_1 - e^{y_1} + e^{x_1} - (k_1 + k_2) e_1 \\
&+ (k_1 + k_2) w_3 - (k_1^2 + k_2^2) w_2 + k_1^3 e_1 - k_1 k_2 w_2 + u.
\end{aligned}$$

Consider the Lyapunov function defined by

(4.17)
$$V_3(e_1, w_2, w_3, e_a, e_b) = V_2(e_1, w_2) + \frac{1}{2}w_3^2 + \frac{1}{2}e_a^2 + \frac{1}{2}e_b^2$$

Let us define the parameter estimation error as

$$(4.18) e_a = a - \hat{a}, \ e_b = b - \hat{b}$$

Differentiating equation (4.17) along the trajectories (4.18) and using

(4.19)
$$\dot{e_a} = -\dot{\hat{a}}, \ \dot{e_b} = -\dot{\hat{b}}.$$

The derivative of $V_3(e_1, w_2, w_3, e_a, e_b)$ is

$$\dot{V}_{3} = -k_{1}e_{1}^{2} - k_{2}w_{2}^{2} - w_{3}[-aw_{3} + ae_{1} + ak_{1}w_{2} - ak_{1}^{2}e_{1} + ak_{2}w_{2} - e^{y_{1}} + e^{x_{1}} - (k_{1} + k_{2})e_{1} + (k_{1} + k_{2})w_{3} - (k_{1}^{2} - k_{2}^{2})w_{2} + k_{1}^{3}e_{1} - k_{1}k_{2}w_{2} + u] + e_{a}(-\hat{a}) + e_{b}(-\hat{b}).$$
(4.20)

We choose the controller u as follows

$$(4.21) u = -w_2 + \hat{a}w_3 - \hat{a}e_1 - \hat{a}k_1w_2 + \hat{a}k_1^2e_1 - \hat{a}k_2w_2 + e^{y_1} - e^{x_1} - (k_1 + k_2)(w_3 - e_1) + (k_1^2 - k_2^2)w_2 - k_1^3 + k_1k_2w_2 - k_3w_3$$

and the parameters are updated by the update law

(4.22)
$$\dot{\hat{a}} = w_3[e_1 + k_1w_2 - k_1^2e_1 + k_2w_2 - w_3] + k_4e_a, \\ \dot{\hat{b}} = k_5e_b.$$

Then it follows that

$$\dot{V}_3 = -k_1 e_1^2 - k_2 w_2^2 - k_3 w^2 - k_5 e_a^2 - k_6 e_b^2.$$

Thus, V_3 is negative definite function.

Thus by Lyapunov stability theory [50], the error dynamics (4.3) is globally asymptotically stable for all initial conditions.

Hence, the states of the master and slave systems are globally asymptotically synchronized. $\hfill\Box$

Theorem 4.1. The identical WINDMI systems (4.1) and (4.2) are globally asymptotically synchronized with adaptive backstepping control

$$(4.24) u = -w_2 + \hat{a}w_3 - \hat{a}e_1 - \hat{a}k_1w_2 + \hat{a}k_1^2e_1 - \hat{a}k_2w_2 + e^{y_1} - e^{x_1} - (k_1 + k_2)(w_3 - e_1) + (k_1^2 - k_2^2)w_2 - k_1^3 + k_1k_2w_2 - k_3w_3$$

by using adaptive parameter update law

$$\begin{array}{rcl}
\dot{\hat{a}} & = & w_3[e_1 + k_1w_2 - k_1^2e_1 + k_2w_2 - w_3] + k_4e_a, \\
\dot{\hat{b}} & = & k_5e_b,
\end{array}$$

where k_i , $i = 1, 2, 3, \dots 8$ are positive constants.

4.1. Numerical simulation

For the numerical simulations, the fourth order Runge-Kutta method is used to solve the system of differential equations (4.1) and (4.2) with the feedback controls u given by (4.24). The parameters of the systems (4.1) and (4.2) are taken in the case of chaotic case as

$$a = 0.7, b = 2.5$$

The initial value of the master system (4.1) are chosen as

$$x_1(0) = 0.984, \ x_2(0) = 0.345, \ x_3(0) = 0.789$$

and slave system (4.2) are chosen as

$$y_1(0) = 0.456, \ y_2(0) = 0.812, \ y_3(0) = 0.124$$

The initial values of the estimated parameters are:

$$\hat{a}(0) = 10, \ \hat{b} = 5.6.$$

We take the parameters $k_i = 0.2, i = 1, 2, 3...., 8$.

Figure 3 depict the synchronization of identical WINDMI chaotic systems (4.1) and (4.2).

Figure 4 depict the synchronization error between identical WINDMI chaotic systems (4.1) and (4.2).

Figure 5 shows the estimated values of the parameters \hat{a} and \hat{b} converges to system parameters a=0.7 and b=2.5

5. Synchronization of Identical Coullet Chaotic Systems using Adaptive Backstepping Control

In this section we apply the adaptive backstepping method for the synchronization of two identical Coullet (P. Coullet et al, [49]) chaotic systems when the parameter values are unknown. Thus, the master system is described by the chaotic Coullet dynamics

(5.1)
$$\begin{aligned}
\dot{x}_1 &= x_2, \\
\dot{x}_2 &= x_3, \\
\dot{x}_3 &= ax_1 - bx_2 - cx_3 - x_1^3,
\end{aligned}$$

where x_1 , x_2 , x_3 are state variables and a, b, c are positive unknown parameters, \hat{a} , \hat{b} and \hat{c} are estimates of the parameters a, b and c.

The slave system is also described by the chaotic Coullet dynamics

where y_1, y_2, y_3 are state variables and u is the backstepping controller to be designed.

The synchronization error is defined by

$$(5.3) e_1 = y_1 - x_1, \ e_2 = y_2 - x_2, \ e_3 = y_3 - x_3.$$

Then the error dynamics is obtained as

(5.4)
$$\begin{aligned}
\dot{e}_1 &= e_2, \\
\dot{e}_2 &= e_3, \\
\dot{e}_3 &= ae_1 - be_2 - ce_3 - y_1^3 + x_1^3 + u.
\end{aligned}$$

The objective is to find the control law and adaptive update law, so that the system (5.4) is asymptotically stabilized at the origin and estimates the unknown parameters a, b and c. We introduce the backstepping procedure to design the controller u, where u is control feedback, as long as these feedback stabilize system (5.3) converge to zero as the time $t \to \infty$.

First we consider the stability of the system

(5.5)
$$\dot{e}_1 = e_2,$$

where e_2 is regarded as virtual controller.

We consider the Lyapunov function defined by

$$(5.6) V_1(e_1) = \frac{1}{2}e_1^2.$$

The derivative of V_1 is as following

$$\dot{V}_1 = e_1 e_2$$

Assume the controller $e_2 = \beta_1(e_1)$.

If we choose

$$\beta_1(e_1) = -k_1 e_1,$$

then

$$\dot{V}_1 = -k_1 e_1^2,$$

which is negative definite function.

Hence the system (5.5) asymptotically stable.

Function $\beta_1(e_1)$ is an estimative function when e_2 is considered as a controller.

The error between e_2 and $\beta_1(e_1)$ is

$$(5.10) w_2 = e_2 - \beta_1(e_1)$$

Consider the (e_1, w_2) subsystem given by

(5.11)
$$\begin{aligned}
\dot{e}_1 &= w_2 - k_1 e_1, \\
\dot{w}_2 &= e_3 + k_1 e_2.
\end{aligned}$$

Let e_3 be a virtual controller in system (5.11).

Assume when $e_3 = \beta_2(e_1, w_2)$, the system (5.11) made globally asymptotically stable.

Consider the Lyapunov function defined by

(5.12)
$$V_2(e_1, w_2) = V_1(e_1) + \frac{1}{2}w_2^2.$$

The derivative of $V_2(e_3, w_2)$ is

(5.13)
$$\dot{V}_2 = -k_1 e_1^2 + w_2 (e_1 + e_3 + k_1 w_2 - k_1^2 e_1).$$

We choose

(5.14)
$$\beta_2(e_1) = -e_1 - k_1 w_2 + k_1^2 e_1 - k_2 w_2.$$

Then it follows that

$$\dot{V}_2 = -k_1 e_1^2 - k_2 w_2^2.$$

Thus \dot{V}_2 is negative definite function.

Hence the system (5.11) is globally asymptotically stable.

Define the error between e_3 and $\beta_2(e_1, w_2)$ is

$$(5.16) w_3 = e_3 - \beta_2(e_1, w_2).$$

Consider the (e_1, w_2, w_3) subsystem given by

(5.17)
$$\dot{e}_{1} = w_{2} - k_{1}e_{1},
\dot{w}_{2} = w_{3} - e_{1} - k_{2}w_{2},
\dot{w}_{3} = ae_{1} - be_{2} - ce_{3} - y_{1}^{3} + x_{1}^{3} + w_{2} - 2k_{1}e_{1} + (k_{1} + k_{2})w_{3}
-k_{1}k_{2}w_{2} + k_{1}^{3}e_{1} - k_{2}e_{1} - (k_{1}^{2} + k_{2}^{2})w_{2}.$$

Consider the Lyapunov function defined by

$$(5.18) V_3(e_1, w_2, w_3, e_a, e_b, e_c) = V_2(e_3, w_2) + \frac{1}{2}w_3^2 + \frac{1}{2}e_a^2 + \frac{1}{2}e_b^2 + \frac{1}{2}e_c^2$$

Let us define the parameter estimation error as

(5.19)
$$e_a = a - \hat{a}, \ e_b = b - \hat{b}, \ e_a = c - \hat{c}$$

Differentiating equation (5.18) along the trajectories (5.19) and using

(5.20)
$$\dot{e_a} = -\dot{\hat{a}}, \ \dot{e_b} = -\dot{\hat{b}}, \ \dot{e_c} = -\dot{\hat{c}}.$$

The derivative of $V_3(e_1, w_2, w_3, e_a, e_b, e_c)$ is (5.21)

$$\dot{V}_3 = -k_1 e_1^2 - k_2 w_2^2 - w_3 (ae_1 - be_2 - ce_3 - y_1^3 + x_1^3 + 2w_2 - (2k_1 + k_2)e_1 + (k_1 + k_2)w_3 - k_1 k_2 w_2 + k_1^3 e_1 - (k_1^2 + k_2^2)w_2 + u_3) + e_a(-\dot{a}) + e_b(-\dot{b}) + e_c(-\dot{c}).$$

We choose the controller

$$(5.22) u = -\hat{a}e_1 + \hat{b}e_2 + \hat{c}e_3 + y_1^3 - x_1^3 - 2w_2 + (2k_1 + k_2)e_1 -(k_1 + k_2)w_3 + k_1k_2w_2 - k_1^3 + (k_1^2 + k_2^2)w_2 - k_3w_3$$

and the parameters are updated by the update law

$$\begin{array}{rcl} \dot{\hat{a}} & = & w_3e_1 + k_4e_a, \\ \dot{\hat{b}} & = & -w_3e_2 + k_5e_b \text{ and} \\ \dot{\hat{c}} & = & -w_3e_3 + k_6e_c. \end{array}$$

Then we obtain

$$\dot{V}_3 = -k_1 e_1^2 - k_2 w_2^2 - k_3 w_3^2 - k_4 e_a^2 - k_5 e_b^2 - k_6 e_c^2.$$

Thus \dot{V}_3 is negative definite function.

Thus by a Lyapunov stability theory [50], the error dynamics (5.3) is globally asymptotically stable for all initial conditions.

Hence, the states of the master and slave systems are globally and asymptotically synchronized. $\hfill\Box$

Theorem 5.1. The Identical Coullet chaotic systems (5.1) and (5.2) are globally and asymptotically synchronized with adaptive backstepping control

$$(5.25) u = -\hat{a}e_1 + \hat{b}e_2 + \hat{c}e_3 + y_1^3 - x_1^3 - 2w_2 + (2k_1 + k_2)e_1 -(k_1 + k_2)w_3 + k_1k_2w_2 - k_1^3 + (k_1^2 + k_2^2)w_2 - k_3w_3,$$

by using adaptive parameter update law

where k_i , i = 1, 2, 3, ..., 9 are positive constants.

5.1. Numerical simulation

For the numerical simulations, the fourth order Runge-Kutta method is used to solve the differential equations (5.1) and (5.2) with the feedback controls u.

The parameters of the systems (5.1) and (5.2) are taken in the case of chaotic case as

$$a = 5.5, b = 3.5, c = 1.$$

The initial value of the drive system (5.1) are chosen as

$$x_1(0) = 0.125, \ x_2(0) = 0.625, \ x_3(0) = 0.825$$

and response system (5.2) are chosen as

$$y_1(0) = 0.924, \ y_2(0) = 0.498, \ y_3(0) = 0.032$$

The initial values of the parameter estimates are taken as:

$$\hat{a}(0) = 10, \ \hat{b}(0) = 34.4, \ \hat{c}(0) = 25.$$

We take the parameters $k_i = 2, i = 1, 2, 3, \dots, 8$

Figure.6 depict the synchronization of identical Coullet chaotic systems (5.1) and (5.2).

Figure. 7 depict the synchronization error between identical Coullet chaotic systems (5.1) and (5.2).

Figure. 8 shows the estimated values of the parameters \hat{a} , \hat{b} and \hat{c} converges to system parameters $a=5.5,\ b=3.5$ and c=1.

6. Synchronization of WINDMI and Coullet Chaotic Systems using Adaptive Backstepping Control Design

In this section, the adaptive backstepping control design is applied for the synchronization of two different chaotic systems described by WINDMI (J. C. Sprott, [48]) system as the master system and Coullet (P. Coullet et al, [49]) system as the slave system. The dynamics of the WINDMI system, taken as master system is described by

(6.1)
$$\begin{aligned}
\dot{x}_1 &= x_2, \\
\dot{x}_2 &= x_3, \\
\dot{x}_3 &= -ax_3 - x_2 + b - e^{x_1},
\end{aligned}$$

where x_1 , x_2 , x_3 are state variables, a, b are positive unknown parameters, \hat{a} and \hat{b} are estimates of the parameters a and b.

The dynamics of the Coullet system, taken as the slave system, is described by

where α , β , γ are positive unknown parameters, $\hat{\alpha}$, $\hat{\beta}$ and $\hat{\gamma}$ are estimates of the parameters α , β and γ . u is the backstepping controller to be designed so as to synchronize the states of the different chaotic systems (6.1) and (6.2).

The synchronization error is defined by

$$(6.3) e_1 = y_1 - x_1, \ e_2 = y_2 - x_2, \ e_3 = y_3 - x_3.$$

The error dynamics is obtained as

(6.4)
$$\begin{aligned}
\dot{e}_1 &= e_2, \\
\dot{e}_2 &= e_3, \\
\dot{e}_3 &= \alpha y_1 - \beta y_2 - \gamma y_3 - y_1^3 + ax_3 + x_2 - b + e^{x_1} + u.
\end{aligned}$$

The objective is to find the control law and adaptive update law, so that the system (6.4) is asymptotically stabilized at the origin and estimates the unknown parameters a, b, c, α, β and γ We introduce the backstepping procedure to design the controller u, where u is control feedback, as long as these feedback stabilize system (6.4) converge to zero as the time $t \to \infty$.

First we consider the stability of the system

$$\dot{e}_1 = e_2,$$

where e_2 is regarded as virtual controller.

We consider the Lyapunov function defined by

(6.6)
$$V_1(e_1) = \frac{1}{2}e_1^2.$$

The derivative of V_1 is as following

(6.7)
$$\dot{V}_1 = e_1 e_2.$$

Assume the virtual controller $e_2 = \alpha_1(e_1)$.

If we choose

$$(6.8) \alpha_1(e_1) = -k_1 e_1,$$

then

$$\dot{V}_1 = -k_1 e_1^2,$$

which is a negative definite function.

Hence the system (6.4) asymptotically stable.

Function $\beta_1(e_1)$ is an estimative function when e_2 is considered as a controller.

The error between e_2 and $\beta_1(e_1)$ is

$$(6.10) w_2 = e_2 - \beta_1(e_1).$$

Consider (e_1, w_2) subsystem given by

(6.11)
$$\begin{aligned}
\dot{e}_1 &= w_2 - k_1 e_1, \\
\dot{w}_2 &= e_3 + k_1 w_2 - k_1^2 e_1.
\end{aligned}$$

Let e_3 be a virtual controller in system (6.11).

Assume when $e_3 = \beta_2(e_1, w_2)$, the system (6.11) is made globally asymptotically stable.

Consider the Lyapunov function defined by

(6.12)
$$V_2(e_1, w_2) = V_1(e_1) + \frac{1}{2}w_2^2.$$

The derivative of $V_2(e_3, w_2)$ is

(6.13)
$$\dot{V}_2 = -k_1 e_1^2 + w_2 (e_1 + e_3 + k_1 w_2 - k_1^2 e_1).$$

If we choose

(6.14)
$$\beta_2(e_1) = -e_1 - k_1 w_2 + k_1^2 e_1 - k_2 w_2.$$

Then it follow that

(6.15)
$$\dot{V}_2 = -k_1 e_1^2 - k_2 w_2^2.$$

Thus, \dot{V}_2 is a negative definite function.

Hence the system (6.11) is globally asymptotically stable.

The error between e_3 and $\beta_2(e_1, w_2)$ is

$$(6.16) w_3 = e_3 - \beta_2(e_1, w_2).$$

Consider (e_1, w_2, w_3) subsystem given by

$$\begin{array}{rcl} \dot{e}_1 & = & w_2 - k_1 e_1, \\ \dot{w}_2 & = & w_3 - e_1 - k_2 w_2, \\ \dot{w}_3 & = & \alpha y_1 - \beta y_2 - \gamma y_3 - y_1^3 + a x_3 + x_2 - b + e^{x_1} + w_2 - (2k_1 + k_2) e_1 \\ & & + (k_1 + k_2) w_3 - k_1 k_2 w_2 - (k_1^2 + k_2^2) w_2 + k_1^3 e_1 + u. \end{array}$$

Consider the Lyapunov function defined by (6.18)

$$V_3(e_1, w_2, w_3, e_{\alpha}, e_{\beta}, e_{\gamma}, e_a, e_b) = V_2(e_3, w_2) + \frac{1}{2}w_3^2 + \frac{1}{2}e_{\alpha}^2 + \frac{1}{2}e_{\beta}^2 + \frac{1}{2}e_{\gamma}^2 + + \frac{1}{2}e_{\alpha}^2 + \frac{1}{2}e_{\beta}^2$$

Let us define the parameter estimation error as

(6.19)
$$e_{\alpha} = \alpha - \hat{\alpha}, \ e_{\beta} = \beta - \hat{\beta}, \ e_{\gamma} = \gamma - \hat{\gamma}$$
$$e_{a} = a - \hat{a}, \ e_{b} = b - \hat{b}.$$

Differentiating equation (6.18) along the trajectories (6.19) and using

(6.20)
$$\begin{aligned}
\dot{e}_{\alpha} &= -\dot{\hat{\alpha}}, \ \dot{e}_{\beta} = -\dot{\hat{\beta}}, \\
\dot{e}_{\gamma} &= -\dot{\hat{\gamma}}, \ \dot{e}_{a} = -\dot{\hat{a}}, \dot{e}_{b} = -\dot{\hat{b}}.
\end{aligned}$$

The derivative of $V_3(e_1, w_2, w_3, e_a, e_b)$ is

$$\dot{V}_3 = -k_1 e_1^2 - k_2 w_2^2 - w_3 [ae_1 - be_2 - ce_3 - y_1^3 + x_1^3 + 2w_2 - (2k_1 + k_2)e_1 + (k_1 + k_2)w_3 - k_1 k_2 w_2 + k_1^3 e_1 - (k_1^2 + k_2^2)w_2 + u]$$

$$+ e_{\alpha}(-\dot{\hat{\alpha}}) + e_{\beta}(-\dot{\hat{\beta}}) + e_{\gamma}(-\dot{\hat{\gamma}}) + e_a(-\dot{\hat{\alpha}}) + e_b(-\dot{\hat{b}}).$$

We choose the controller (6.22)

$$u_{3} = -2w_{2} - \alpha x_{1} - \hat{\alpha}e_{1} + \beta x_{2} + \hat{\beta}e_{2} + \gamma x_{3} + \hat{\gamma}e_{3} + y_{1}^{3} - ay_{3} + \hat{a}e_{3} - x_{2} + \hat{b} - e^{x_{1}} + (2k_{1} + k_{2})e_{1} - (k_{1} + k_{2})w_{3} + k_{1}k_{2}w_{2} + (k_{1}^{2} + k_{2}^{2})w_{2} - k_{1}^{3}e_{1} - k_{3}w_{3},$$

and the parameters are updated by the update law

Then we obtain

(6.24)
$$\dot{V}_3 = -k_1 e_1^2 - k_2 w_2^2 - k_3 w_3^2 - k_4 e_\alpha^2 - k_5 e_\beta^2 - k_6 e_\gamma^2 - k_7 e_a^2 - k_8 e_b^2.$$

Thus \dot{V}_3 is negative definite function.

Thus by a Lyapunov stability theory [50], the error dynamics (6.4) is globally exponentially stable and satisfied for all initial conditions.

Hence, the states of the master and slave systems are globally and asymptotically synchronized. Hence, we obtain the following result.

Theorem 6.1. The WINDMI chaotic system (6.1) and Coullet chaotic system (6.2) are globally and asymptotically synchronized with adaptive backstepping conrol

$$u_3 = -2w_2 - \alpha x_1 - \hat{\alpha}e_1 + \beta x_2 + \hat{\beta}e_2 + \gamma x_3 + \hat{\gamma}e_3 + y_1^3 - ay_3 + \hat{a}e_3 - x_2 + \hat{b} - e^{x_1} + (2k_1 + k_2)e_1 - (k_1 + k_2)w_3 + k_1k_2w_2 + (k_1^2 + k_2^2)w_2 - k_1^3e_1 - k_3w_3,$$

 $by\ using\ adaptive\ parameter\ update\ law$

where k_i , i = 1, 2, 3, ..., 11. are positive constants.

6.1. Numerical simulation

For the numerical simulations, the fourth order Runge-Kutta method is used to solve the differential equations (6.1) and (6.2) with the feedback controls u.

The parameters of the systems (6.1) and (6.2) are taken in the case of chaotic case as

$$a = 0.7, b = 2.5$$

and

$$\alpha = 5.5, \ \beta = 3.5, \ \gamma = 1.$$

The initial value of the drive system (6.1) are chosen as

$$x_1(0) = 0.341, \ x_2(0) = 0.598, \ x_3(0) = 0.928$$

and response system (6.2) are chosen as

$$y_1(0) = 792, \ y_2(0) = 0.734, \ y_3(0) = 0.253.$$

The initial values of the parameter estimates are taken as:

$$\hat{\alpha}(0) = 1.2, \ \hat{\beta}(0) = 1.5, \ \hat{\gamma}(0) = 3, \ \hat{a}(0) = 8, \ \hat{b}(0) = 4.$$

We take the parameters $k_i = 2, i = 1, 2, 3, ..., 11$.

Figure 9 depict the synchronization of WINDMI and Coullet chaotic systems (6.1) and (6.2).

Figure 10 depict the synchronization error between WINDMI and Coullet chaotic systems (6.1) and (6.2).

Figure. 11 shows the estimated values of the parameters \hat{a} , \hat{b} and \hat{c} converges to system parameters $\alpha = 5.5$, $\beta = 3.5$, $\gamma = 1$, a = 0.7, and b = 2.5.

7. Conclusion

In this paper, adaptive backstepping control method has been applied to estimate the fixed but unknown parameter and achieve global chaos synchronization for WINDMI and Coullet chaotic systems. Since the Lyapunov exponents are not required for these calculations, the adaptive backstepping control design is very effective and convenient to achieve global chaos synchronization. Numerical simulations have been given to illustrate and validate the effectiveness of the adaptive backstepping control based synchronization schemes of the WINDMI and Coullet chaotic systems.

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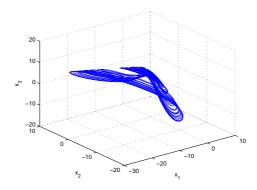


Figure 1: Phase orbit of WINDMI chaotic system

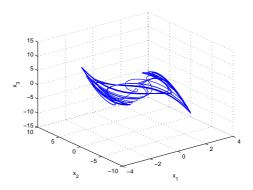


Figure 2: Phase orbit of Coullet chaotic system

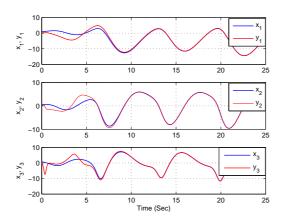


Figure 3: Synchronization of Identical WINDMI Chaotic Systems $\,$

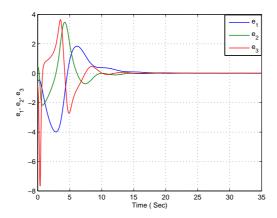


Figure 4: Error portrait of Identical WINDMI Chaotic Systems

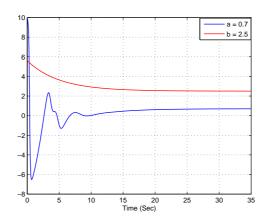


Figure 5: Parameter estimates of \hat{a} and \hat{b}

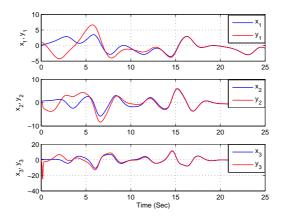


Figure 6: Synchronization of Identical Coullet Chaotic Systems

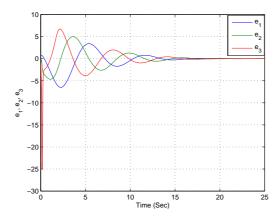


Figure 7: Error portrait of Identical Coullet Chaotic Systems

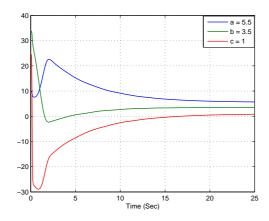


Figure 8: Parameter estimates of $\hat{a},\,\hat{b}$ and \hat{c}

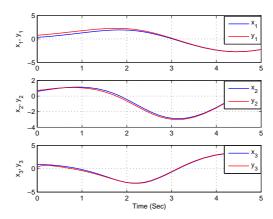


Figure 9: Synchronization of WINDMI and Coullet Chaotic Systems

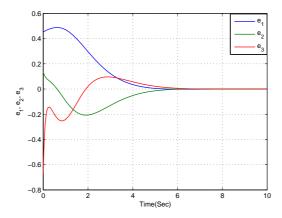


Figure 10: Error portrait of WINDMI and Coullet Chaotic Systems

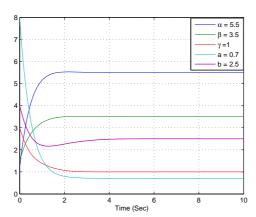


Figure 11: Parameter estimates of $\hat{\alpha},\,\hat{\beta},\,\hat{\gamma},\,\hat{a}$ and \hat{b}