

# Global Desertification: Building a Science for Dryland Development

James F. Reynolds,<sup>1\*</sup> D. Mark Stafford Smith,<sup>2</sup> Eric F. Lambin,<sup>3</sup> B. L. Turner II,<sup>4</sup> Michael Mortimore,<sup>5</sup> Simon P. J. Batterbury,<sup>6</sup> Thomas E. Downing,<sup>7</sup> Hadi Dowlatabadi,<sup>8</sup> Roberto J. Fernández,<sup>9</sup> Jeffrey E. Herrick,<sup>10</sup> Elisabeth Huber-Sannwald,<sup>11</sup> Hong Jiang,<sup>12</sup> Rik Leemans,<sup>13</sup> Tim Lynam,<sup>14</sup> Fernando T. Maestre,<sup>15</sup> Miguel Ayarza,<sup>16</sup> Brian Walker<sup>2</sup>

In this millennium, global drylands face a myriad of problems that present tough research, management, and policy challenges. Recent advances in dryland development, however, together with the integrative approaches of global change and sustainability science, suggest that concerns about land degradation, poverty, safeguarding biodiversity, and protecting the culture of 2.5 billion people can be confronted with renewed optimism. We review recent lessons about the functioning of dryland ecosystems and the livelihood systems of their human residents and introduce a new synthetic framework, the Drylands Development Paradigm (DDP). The DDP, supported by a growing and well-documented set of tools for policy and management action, helps navigate the inherent complexity of desertification and dryland development, identifying and synthesizing those factors important to research, management, and policy communities.

**D**rylands cover about 41% of Earth's land surface and are home to more than 38% of the total global population of 6.5 billion (1, 2). Some form of severe land degradation is present on 10 to 20% of these lands [medium-confidence conclusion of (2)] (3), the consequences of which are estimated to affect directly some 250 million people in the developing world,

an estimate likely to expand substantially in the face of climate change and population growth (4). The United Nations has periodically focused on desertification and drylands, notably adopting the Convention to Combat Desertification (CCD) in 1992 (3) and designating 2006 as the International Year of the Desert and Desertification.

One contribution of the CCD was to enshrine a definition of desertification as "land degradation in arid, semi-arid, and dry subhumid areas resulting from various factors, including climatic variations and human activities," that is, encompassing both biophysical and social factors (5). However, the CCD and related efforts receive comparatively little exposure in the popular and scientific media (6), in part because of the absence of a focused international science program (7). Advances in various aspects of science relevant to drylands and community development practices in recent years suggest a common framework for managing dryland systems.

The DDP presented here centers on the livelihoods of human populations in drylands, and their dependencies on these unique ecosystems, through the study of coupled human-environmental (H-E) systems (8). The DDP responds to recent research and policy trends (Fig. 1) that link ecosystem management with human livelihoods in order to best support the large, and rapidly expanding, populations of dryland dwellers (9). The DDP represents a convergence of insights and key advances drawn from a diverse array of research in desertification, vulnerability, poverty alleviation, and community development (Table 1).

Research and practice in these fields have increasingly converged on a set of five general lessons concerning the condition and dynamics of H-E systems as they apply to sustainable development in drylands. (i) Both researchers



**Fig. 1.** The focus on global drylands is shifting from an emphasis on negative images of desertification (upper: drought-stricken cattle on an eroded grassland in central Australia. Photo: M. Stafford Smith) to a more forward-looking perspective concerning human livelihoods, based on interactions between and among human activities and natural-world processes (lower: farmer spraying organic pesticide on domesticated quinoa in southern Bolivia. Photo: J. Reynolds). Either way, great challenges to the future security of some 250 million people remain (4).

and practitioners need to adopt an integrated approach: Ecological and social issues are fundamentally interwoven, and so are the options for livelihood support and ecological management. (ii) There needs to be a heightened awareness of slowly evolving conditions: Short-term measures tend to be superficial and do not resolve persistent, chronic problems nor deal with continual change. (iii) Nonlinear processes need to be recognized: Dryland systems are not in equilibrium, have multiple thresholds, and thus often exhibit multiple ecological and social states. (iv) Cross-scale interactions must be anticipated: Problems and solutions at one scale influence, and are influenced by, those at other scales. (v) A much greater value must be placed on local environmental knowledge (LEK): Its

<sup>1</sup>Nicholas School of the Environment and Earth Sciences and Department of Biology, Post Office Box 90328, Duke University, Durham, NC 27708, USA. <sup>2</sup>Commonwealth Scientific and Industrial Research Organisation (CSIRO) Sustainable Ecosystems, Post Office Box 284, Canberra, ACT 2602, Australia. <sup>3</sup>Université Catholique de Louvain, B-1348 Louvain-la-Neuve, Belgium. <sup>4</sup>Graduate School of Geography and George Perkins Marsh Institute, Clark University, Worcester, MA 01610, USA. <sup>5</sup>Drylands Research, Cutters' Cottage, Glovers' Close, Milborne Port, Sherborne DT9 5ER, UK. <sup>6</sup>School of Social and Environmental Enquiry, University of Melbourne, Melbourne, VIC 3010, Australia. <sup>7</sup>Stockholm Environmental Institute, Oxford Office, 266 Banbury Road, Oxford OX2 7DL, UK. <sup>8</sup>Institute for Resources, Environment and Sustainability, University of British Columbia, Vancouver, BC V6T 1Z4, Canada. <sup>9</sup>Facultad de Agronomía and Instituto de Investigaciones Fisiológicas y Ecológicas, Universidad de Buenos Aires/CONICET (Consejo Nacional de Investigación, Científicas y Técnicas), Buenos Aires C1417DSE, Argentina. <sup>10</sup>U.S. Department of Agriculture—Agricultural Research Service, Jornada Experimental Range MSC 3JER, Box 30003, New Mexico State University, Las Cruces, NM 88003–8003, USA. <sup>11</sup>Instituto Potosino de Investigación Científica y Tecnológica, Camino a la Presa San José 2055, San Luis Potosí, S.L.P. 78216, México. <sup>12</sup>Department of Geography, University of Hawaii at Manoa, Honolulu, HI 96822, USA. <sup>13</sup>Environmental Systems Analysis Group, Wageningen University, Post Office Box 47, 6700 AA Wageningen, the Netherlands. <sup>14</sup>CSIRO Sustainable Ecosystems, PMB PO, Aitkenvale, Qld 4814, Australia. <sup>15</sup>Universidad Rey Juan Carlos, Área de Biodiversidad y Conservación, Escuela Superior de Ciencias Experimentales y tecnológicas, C/ Tulipán s/n, Móstoles, 28933 Spain. <sup>16</sup>CIAT (International Center for Tropical Agriculture)—Honduras, Edificio de DICTA en la Secretaría de Agricultura y Ganadería, Tegucigalpa, Honduras.

\*To whom correspondence should be addressed. E-mail: james.f.reynolds@duke.edu

practice is central to the management of most drylands but is often undervalued.

**Template for a New Science**

Building on earlier efforts (10), we synthesize and formalize these lessons more explicitly in the DDP. The issues that the DDP principles highlight arise from a suite of biophysical and socioeconomic features that together constitute a “drylands syndrome,” such that dryland populations are among the most ecologically, socially, and politically marginalized populations on Earth (11). Sustainable development in drylands is determined by five key features of the drylands syndrome (noted as ds-1 to ds-5 below), which dominate the dynamics of H-E systems.

*Dryland syndrome.* Drylands—which include arid, semi-arid, and dry subhumid areas—

are by definition (12) areas where precipitation is scarce and typically more-or-less unpredictable (ds-1: high variability). High air temperatures, low humidity, and abundant solar radiation result in high potential evapotranspiration. Many dryland soils contain small amounts of organic matter and have low aggregate strength (ds-2: low fertility). Both tillage and grazing by domesticated animals can quickly have major impacts, so drylands are sensitive to degradation (1, 2). These and other biophysical features have profound social and economic implications.

Compared to mesic areas, and a few major desert cities notwithstanding, the human populations of drylands are usually sparser (ds-3: sparse populations), more mobile, more remote from markets (ds-4: remoteness), and distant from the centers (and priorities) of decision-makers (ds-5:

distant voice). It is also harder to deliver services efficiently, and institutional arrangements devised in other regions may be dysfunctional when imposed on drylands. As a result, dryland populations tend to lag behind populations in other parts of the world on a variety of economic and health indices, even controlling for “ruralness” (2), with higher infant mortality, severe shortages of drinking water, and much lower per capita gross national product.

*Principles of the DDP.* The DDP consists of five principles (Table 2), which are based on the aforementioned lessons but that are also consistent with the dryland syndrome.

Principle 1. Dryland H-E systems are coupled, dynamic, and coadapting, with no single target equilibrium point (13). They are the co-evolved product of complex interactions between biophysical (e.g., climate, soil, biota) and

**Table 1.** Selected fields of activity relevant to dryland development, showing some key advances in research and lessons for management and policy over the past two decades, and which provide the basis for the new synthesis presented in Table 2. P1 to P5 indicate how the specific advances and lessons foreshadow principles 1 to 5 and their implications as given in Table 2.

Fields of activity	Some key advances in drylands research	Some key lessons learned for drylands practitioners
<b>Desertification and rangelands ecology:</b> Understanding the biophysical (59, 60) and socioeconomic (61) drivers of dryland degradation, as part of global environmental change research	Many case studies of chronic dryland degradation—caused by interactions between biophysical and social drivers—have been documented [e.g., land uses that exhaust available water resources or are unsuited to highly variable precipitation regimes (62)]. The debate about drylands being disequilibrium systems has been resolved in favor of a more dynamic, nonequilibrium view (13). [→P1, P2, P3]	Desertification is the emergent outcome of a suite of social and biophysical causal factors, with pathways of change that are specific in time and place (23). Poor resource management is compounded by weak institutions, poorly implemented technologies, or exploitative economic and political systems [thus emphasizing links between coupled H-E systems (63)]. [→P1, P2]
<b>Vulnerability:</b> Understanding the integrated environmental, social, economic, and political exposure of human welfare to a range of potentially harmful perturbations (64)	Vulnerability involves multiple stressors across multiple temporal and spatial scales, and emerges from the interactions of social actors, the environment, and institutions (65). Thresholds of critical risks are dynamic in space and time and are rooted in historical structural causes [e.g., construction of wells during a severe drought in the Sahel interrupted herd movements, creating new vulnerabilities (22)]. [→P1, P3, P4]	Expansion of cropping into rangelands during wet periods changes system thresholds and often results in crises and environmental collapse when dry conditions return, e.g., the 1930s U.S. Dust Bowl (66) and “sandification” in China’s Ordos Plateau (31). With adequate preparedness, early-warning systems can reduce the human toll of natural hazards and livelihood-based measures can reduce longer-term vulnerability [e.g., community adaptation to drought in Kordofan, Sudan (67)]. [→P2, P3]
<b>Poverty alleviation:</b> Elucidates human welfare—land degradation relationships (68)	“Poverty trap” thresholds exist (69) from which it is difficult for individuals and households to extract themselves without outside intervention. Livelihood diversification, which is increasingly promoted in drylands, reduces dependence on highly variable natural resources (2). [→P2, P3]	Development schemes in drylands justified as alleviating poverty have often been driven by divergent, higher-level political or economic objectives [e.g., forced relocation of Ethiopian Highlands peoples after the 1980s famine (70)]. Low productivity often means that interregional flows of labor, capital, and skills (e.g., by migration to urban or more humid areas) are needed to sustain poverty reduction in drylands. [→P3, P4]
<b>Community-driven development:</b> Seeks to enlarge the role of local communities in policy and to strengthen local autonomy in governance (71)	“Top-down” development policies often contradict local practices and undermine sustainable development [e.g., conflicts between state and local perspectives on burning in Mali (72)]. Community-driven management, though more sensitive to local conditions and knowledge, is not a universal solution (73). [→P4, P5]	An increased role for local communities and land users is needed for win-win (environment-development) outcomes (74) requiring rights to participate and capacity-building initiatives. Proper engagement of local people (and local environmental knowledge) with scientists (and scientific knowledge) can contribute to sustainable management (75, 76). [→P5]

Downloaded from www.sciencemag.org on May 12, 2007

human (e.g., demographic, economic, institutional) subsystems (14), complete with a history and geography, and are constantly changing in response to both external (e.g., climate, prices) and internal (e.g., feedbacks between soil nutrients and plant growth, a farmer's economic decisions regarding land use) drivers. An example of the coevolution of H-E systems is provided by Mortimore and Harris (15) for the Kano Close-Settled Zone in Nigeria, covering the period 1962 to 1996 (16). Given this scenario, approaches to development must simultaneously consider both biophysical and socioeconomic dimensions of the dryland system in question [key implication 1 (ki-1) in Table 2] (17). Trends in soil fertility or biodiversity, for example, must be linked to factors such as labor, settlement patterns, and livelihood system dynamics, and vice versa, with appropriate temporal and spatial definitions (18).

Principle 2. The critical dynamics of dryland systems are determined by "slow" variables, both biophysical and socioeconomic [as exem-

plified by the coevolution of the coupled H-E systems of Maradi, Niger (Box S1, supporting online text)]. Slow variables (e.g., soil fertility, household capital wealth) have lengthy turnover times and are thus useful for gaining insights into long-term H-E changes, resource collapses, potential surprises, and new opportunities (19). The vagaries of precipitation, pest outbreaks, and other strongly fluctuating variables characteristic of drylands tend to generate noise, making such "fast" variables with relatively rapid turnover times (e.g., crop yield, household disposable cash) poor indicators of land degradation or the need for intervention (17). Nevertheless, both research and human exploitation of resources are often based on relatively fast variables (19), which for drylands has confused the debate about strategic development needs (20, 21).

Given the complex, multivariate structure of H-E systems, it is important to recognize that not all variables carry equal weight (17). It is often possible to identify combinations of inter-

related variables that can be grouped together as syndromes of degradation (22), thus simplifying analysis and intervention (ki-2, Table 2) (23).

Principle 3. Slow variables possess thresholds that, if crossed, cause the system to move into a new state or condition. The importance of thresholds is widely recognized in both the ecological and socioeconomic literature (24) and, although this usually focuses on one, dominant "shift," Kinzig *et al.* (25) show that most regional-scale systems have a number of actual or potential regime shifts, in different domains (ecological, social, economic) and at different scales, such that one shift may trigger or preclude others. Thresholds may vary as a function of internal dynamics at other scales, and in some instances can be deliberately altered. For example, the provision of piped water or solar cookers in remote villages can dramatically alter the income threshold at which women have spare time to invest in small business or education by reducing the time taken to collect water or fuel (26).

**Table 2.** Principles of the Drylands Development Paradigm, with a brief overview of their importance vis-à-vis the five main components of the dryland syndrome (ds-1 to ds-5, see text) and their implications for research, management, and policy. [Based on Stafford Smith and Reynolds (77)] H-E, human-environmental systems; LEK, local environmental knowledge.

Principles	Why important in drylands	Links to dryland syndrome (ds-1 to ds-5)	Key implications (ki) for research, management, and policy
P1: H-E systems are coupled, dynamic, and coadapting, so that their structure, function, and interrelationships change over time.	The close dependency of most drylands livelihoods on the environment imposes a greater cost if the coupling becomes dysfunctional; variability caused by biophysical factors as well as markets and policy processes, which are generally beyond local control, means that tracking the evolving changes and their functionality is relatively harder and more important in drylands.	ds-1: variability; ds-4: remoteness	ki-1: Understanding dryland desertification and development issues always requires the simultaneous consideration of both human and ecological drivers, and the recognition that there is no static equilibrium "to aim for."
P2: A limited suite of "slow" variables are critical determinants of H-E system dynamics.	Identifying and monitoring the key slow H and E variables is particularly important in drylands because high variability in "fast" variables masks fundamental change indicated by slow variables.	ds-1: variability	ki-2: A limited suite of critical processes and variables at any scale makes a complex problem tractable.
P3: Thresholds in key slow variables define different states of H-E systems, often with different controlling processes; thresholds may change over time.	Thresholds particularly matter in drylands because the capacity to invest in recovering from the impacts of crossing undesirable thresholds is usually lower per unit (area of land, person, etc); and, where outside agencies must be called upon, the transaction costs of doing so to distant policy centers are usually higher.	ds-1: variability; ds-2: low productivity; ds-4: remoteness; ds-5: distant voice	ki-3: The costs of intervention rise nonlinearly with increasing land degradation or the degree of socioeconomic dysfunction; yet high variability means great uncertainty in detecting thresholds, implying that managers should invoke the precautionary principle.
P4: Coupled H-E systems are hierarchical, nested, and networked across multiple scales.	Drylands are often more distant from economic and policy centers, with weak linkages; additionally, regions with sparse populations may have qualitatively different hierarchical relationships between levels.	ds-3: sparse population ds-4: remoteness; ds-5: distant voice;	ki-4: H-E systems must be managed at the appropriate scale; cross-scale linkages are important in this, but are often remote and weak in drylands, requiring special institutional attention.
P5: The maintenance of a body of up-to-date LEK is key to functional coadaptation of H-E systems.	Support for LEK is critical in drylands because experiential learning is slower where monitoring feedback is harder to obtain (owing to more variable systems, larger management units, in sparsely populated areas) and, secondarily, where there is relatively less research.	ds-1: variability; ds-3: sparse population	ki-5: The development of appropriate hybrid scientific and LEK must be accelerated both for local management and regional policy.



As an H-E system moves further from some desirable condition or state, the cost of intervention to “return” the system to that condition also increases. Sudden changes or nonlinearities associated with thresholds (e.g., run-off from overland flow to gullies; labor withdrawn due to war or out-migration) tend to amplify the costs of intervention (ki-3, Table 2). In impoverished drylands, these costs are further exacerbated by economic limits to local investment capacity, thus triggering the call for external resources that further increase transaction costs in remote areas [examples in (17, 27)] (28).

Principle 4. The involvement of multiple stakeholders, with highly differing objectives and perspectives, illustrates the need to pay attention to the multilevel, nested, and networked nature of H-E systems. Operating hierarchically and across scales, linkages between stakeholders embed the system in question within others (10, 29). Such scale issues are especially important in drylands because so many of them are sparsely occupied and remote, e.g., from city-based agencies or company headquarters, which weakens political and economic empowerment (30). In addition, slow variables at one scale of interest are affected by slow and fast variables operating at other scales, such that interventions at one scale generally alter the system at the next [e.g., (31)].

However, not every problem need be viewed as encompassing all scales of concern. Berkes and Jolly (32), for example, argue that short-term coping mechanisms are displayed at the household and individual scales, whereas long-term adaptive strategies, such as change in cultural values, are expressed at broader scales. In general, intervention on, and management of, a particular process must occur at the appropriate scale (ki-4, Table 2). For example, inasmuch as management is affected by institutions (rules of governance), the two should be scale-matched (33).

Principle 5. The key to maintaining functional coadaptation of coupled H-E systems is an up-to-date body of “hybrid” environmental knowledge that integrates local management and policy experience with science-based knowledge, all of which must be mediated through an effective institutional framework. Local environmental knowledge, which encompasses a wide range of activities, may develop rapidly or over generations (34) and has served long-persisting groups well [e.g., native Americans (35)].

In the modern world, however, the traditional role of LEK is threatened by rapid changes in both biophysical (e.g., exotic-species introductions, shifts in climate) and socioeconomic (e.g., population growth, changing technologies, new economic demands) drivers. Furthermore, in the variable environments of drylands, especially those subject to climate change, acquiring new LEK through learning from experience is particularly slow, so identifying new alliances of local and science-based knowledge systems to

speed up this acquisition is particularly important (27) (ki-5, Table 2). Examples of the products of such alliances include local climate forecasts (36) and soil classifications (37).

### Application of the DDP

The DDP serves two purposes: One is conceptual, providing a holistic synthesis of the disparate lessons drawn from previous work on desertification and development (Table 1) in the setting of the unique features of drylands (the dryland syndrome); the other is practical, providing a template whereby each of the five principles (Table 2) can be thoroughly examined and tested in case studies.

Other complex, integrated approaches to environment and development issues that have been entertained in the past, such as farming systems research [e.g., (38, 39)], have faced the genuine difficulties that researchers, managers, and policy-makers have with tackling complexity. To address the global problem of desertification realistically, an integrative approach is required, not only because of synergy between elements of coupled H-E systems (14), but also because programmatic and policy concerns about each have implications that often conflict if treated individually (21). The real challenge that the DDP aims to satisfy is to develop efficient and effective approaches to understanding complex H-E interactions in drylands, while respecting and recognizing the capacity of local communities and policy-makers to deal with their complexity.

The DDP is being tested by the ARIDnet network (40) with interdisciplinary workshops of 15 to 25 participants. To date, ARIDnet workshops have addressed local questions of land degradation in rural, dryland H-E systems in Bolivia, Mexico (41) (Box S3), and Honduras (42). The DDP is most effective when conceptualizing and framing local issues, and their potential solutions, because it is open to the many different lenses through which dryland use and development are viewed by multiple stakeholders. In these workshops, the implementation phase was found to be most challenging, requiring that all stakeholders jointly work through the DDP principles, agreeing on the specific implications of each. The Mexican and Honduran case studies revealed that it is necessary to allow people to explore problems in their own words and gradually work specific issues into the DDP framework.

Dryland development issues occur also in more developed countries. In Australia, for example, the Desert Knowledge initiative (43) seeks sustainable livelihoods and viable desert settlements, and in the United States, the Central Arizona–Phoenix Long Term Ecological Research project (44) seeks to understand the relation between land-use decisions and ecological consequences. These projects share the long-term goal of improving dryland ecosystems and regional economies and, building on DDP-like

analyses, seek economic livelihoods that may emerge from sustainable use of dryland environments yet reach out successfully to markets beyond these regions.

The DDP does not purport to represent an exhaustive set of programs, tools, and approaches for dryland development. In fact, in recent years there has been substantial improvement in the suite of toolsets available to the policy, management, and research communities concerned with dryland development [e.g., (2, 45–49)]. Rather, the DDP serves as an analytical framework through which specific problems may be identified and opportunities implemented with greater insight. We are confident that further application and testing of the DDP through case studies will lead to continued refinement of a parsimonious set of theoretical, systems-oriented principles for analyzing dryland development issues in any particular region of the world, to the betterment of the 2.5 billion people who live in drylands globally.

### References and Notes

1. GLP, Global Land Project—Science Plan and Implementation Strategy” [IGBP (International Geosphere Biosphere Program) Report No. 53/International Human Dimensions Programme Report No. 19, IGBP Secretariat, Stockholm, 2005]; [www.globallandproject.org/documents.shtml](http://www.globallandproject.org/documents.shtml).
2. MEA, *Millennium Ecosystem Assessment—Ecosystems and Human Well-Being: Desertification Synthesis* (World Resources Institute, Washington, DC, 2005).
3. UNCCD, United Nations Convention to Combat Desertification, Elaboration of an International Convention to Combat Desertification in Countries Experiencing Serious Drought and/or Desertification, Particularly in Africa” (U.N. Doc. A/AC.241/27, 33 I.L.M. 1328, United Nations, 1994).
4. The precise numbers of the dryland population affected by desertification is contentious (50), but 250 million is a widely cited approximation (2, 3). For comparison, the Global Fund estimates annually 1 million deaths and 300 to 500 million new infections for malaria, 3 million deaths and 40 million new infections for AIDS/HIV, and 2 million deaths and 8 million new infections for tuberculosis ([www.theglobalfund.org](http://www.theglobalfund.org)).
5. There has been extensive debate concerning definitions of desertification and degradation (51). Although the CCD definition is now formalized internationally, a summary of the debate is given in (10, 52).
6. In a search of *Science* for July 1996 through June 2006, “climat\* change” appears in 634 titles/abstracts, and “biodiversity” (or “biological diversity”) appears in 211, whereas “desertification” (and biophysical forms of “degradation”) appears in only 4. Meanwhile, the Convention on Climate Change occurs in 7 abstracts, the Convention on Biological Diversity occurs in 4, and the CCD appears in 1. Similar findings are true for *Nature*.
7. We suggest that in the past this has been exacerbated by a polarization of the research and practitioner communities over the phenomena and processes of study, including tensions between environmental and development agendas and conceptual differences between top-down, expert-driven managerial solutions and bottom-up, local knowledge and capacity-building approaches (53). Such polarizations and differences are now ameliorating.
8. We use H-E interactions to encompass a broad interpretation of the mutual interactions between and among human activities and natural-world processes—an emerging field of science that has evolved in response to the need to elucidate complex relationships between sustainable resource use by humans and their environment (54). Also termed “social-ecological

- systems" by The Resilience Alliance ([www.resalliance.org/1.php](http://www.resalliance.org/1.php)), H-E systems are more complex than the binary nature of these terms implies (25). Hence, developing a predictive understanding of them is a major challenge for modern science (55), a challenge that is particularly accentuated in drylands.
9. This represents a shift in attitude, from an emphasis on mainly negative aspects of desertification (drought, famines, poverty), to a more positive focus on alternative livelihoods and sustainability (56, 57).
  10. J. F. Reynolds, D. M. Stafford Smith, Eds., *Global Desertification: Do Humans Cause Deserts?* (Dahlem Workshop Report 88, Dahlem Univ. Press, Berlin, 2002).
  11. S. Khagram, W. C. Clark, D. F. Raad, *J. Hum. Dev.* **4**, 289 (2003).
  12. N. J. Middleton, D. S. G. Thomas, Eds., *World Atlas of Desertification* (U.N. Environment Programme, Edward Arnold, New York, ed. 2, 1997).
  13. L. Gillson, M. T. Hoffman, *Science* **315**, 53 (2007).
  14. K. A. Galvin, P. K. Thornton, J. R. de Pinho, J. Sunderland, R. B. Boone, *Hum. Ecol.* **34**, 155 (2006).
  15. M. Mortimore, F. Harris, *Land Use Policy* **22**, 43 (2005).
  16. In a sandy dryland area of ~2500 km<sup>2</sup> around Kano, Nigeria, changes in a H-E system from the 1960s to the 1990s were driven by a 25% decline in average annual rainfall and by an increase in rural population densities from 140 to >220 km<sup>2</sup>.
  17. R. Fernández *et al.*, in *Global Desertification: Do Humans Cause Deserts?*, J. F. Reynolds, D. M. Stafford Smith, Eds. (Dahlem Univ. Press, Berlin, 2002), pp. 297–323.
  18. A. Warren, S. Batterbury, H. Osbahr, *Global Environ. Change* **11**, 79 (2001).
  19. S. R. Carpenter, M. G. Turner, *Ecosystems* **3**, 495 (2000).
  20. D. Schroter *et al.*, *Science* **310**, 1333 (2005).
  21. B. L. Turner II, *Geogr. J.* **163**, 133 (1997).
  22. T. E. Downing, M. Lüdeke, in *Global Desertification: Do Humans Cause Deserts?*, J. F. Reynolds, D. M. Stafford Smith, Eds. (Dahlem Univ. Press, Berlin, 2002), pp. 233–252.
  23. H. J. Geist, E. F. Lambin, *Bioscience* **54**, 817 (2004).
  24. B. Walker, J. A. Meyers, *Ecol. Soc.* **9**, 3 (2004); [www.ecologyandsociety.org/vol9/iss2/art3](http://www.ecologyandsociety.org/vol9/iss2/art3).
  25. A. Kinzig *et al.*, *Ecol. Soc.* **11**, 20 (2006); [www.ecologyandsociety.org/vol11/iss1/art20/](http://www.ecologyandsociety.org/vol11/iss1/art20/).
  26. C. A. Sullivan, *World Dev.* **30**, 1195 (2002).
  27. P. F. Robbins *et al.*, in *Global Desertification: Do Humans Cause Deserts?*, J. F. Reynolds, D. M. Stafford Smith, Eds. (Dahlem Univ. Press, Berlin, 2002), pp. 325–355.
  28. In wealthy economies, there are enough resources for technological-managerial intervention to compensate for declines in critical variables of the H-E system (e.g., through large-scale water transport) or to shift the entire dryland-use system (e.g., suburban expansion of the American Sunbelt) (58).
  29. L. H. Gunderson, C. S. Holling, Eds., *Panarchy: Understanding Transformations in Human and Natural Systems* (Island Press, Washington, DC, 2002).
  30. S. Batterbury *et al.*, in *Global Desertification: Do Humans Cause Deserts?*, J. F. Reynolds, D. M. Stafford Smith, Eds. (Dahlem Univ. Press, Berlin, 2002), pp. 357–385.
  31. H. Jiang, in *Global Desertification: Do Humans Cause Deserts?*, J. F. Reynolds, D. M. Stafford Smith, Eds. (Dahlem Univ. Press, Berlin, 2002), pp. 181–196.
  32. F. Berkes, D. Jolly, *Conserv. Ecol.* **5**, 18 (2001); [www.consecol.org/vol5/iss2/art18](http://www.consecol.org/vol5/iss2/art18).
  33. E. Ostrom, J. Burger, C. B. Field, R. B. Norgaard, D. Policansky, *Science* **284**, 278 (1999).
  34. N. J. Turner, M. B. Ignace, R. Ignace, *Ecol. Appl.* **10**, 1275 (2000).
  35. G. P. Nabhan, *Ecol. Appl.* **10**, 1288 (2000).
  36. C. J. Stigter, Z. Dawei, L. O. Z. Onyewotu, M. Xurong, *Clim. Change* **70**, 255 (2005).
  37. E. Barrios *et al.*, *Geoderma* **135**, 248 (2006).
  38. M. Tengo, K. Belfrage, *Ecol. Soc.* **9**, 4 (2004); [www.ecologyandsociety.org/vol9/iss3/art4](http://www.ecologyandsociety.org/vol9/iss3/art4).
  39. A. M. Ridley, P. M. Mele, C. R. Beverly, *Soil Biol. Biochem.* **36**, 1213 (2004).
  40. ARIDnet is an international network (Box S2) established to conduct case studies of dryland H-E systems, the goal of which is to facilitate field-level interactions between researchers, local stakeholders (farmers, landowners, developers), and decision-makers.
  41. E. Huber-Sannwald, F. T. Maestre, J. E. Herrick, J. F. Reynolds, *Hydrol. Process.* **20**, 3395 (2006).
  42. Millions of rural poor in the subhumid and semi-arid regions of Guatemala, Honduras, Nicaragua, and El Salvador face severe food deficits and poor opportunities for generating income to improve their livelihoods. The Quesungual Slash and Mulch Agroforestry System (QAS) was developed as a development strategy to improve rural livelihoods in the Lempira Department, Honduras, and has now been adopted by more than 6000 farmer households. This alternative to slash-and-burn agriculture builds strongly on local knowledge to deliver a doubling in crop yields and cattle-stocking rates and considerable reduction in costs associated with agrochemicals and labor, as well as much improved resilience to droughts and cyclones thanks to enhanced landscape water-holding characteristics. To examine the QAS in the context of the DDP framework, an ARIDnet workshop (13 to 20 November 2005)—involving 20 natural and social scientists working in conjunction with local communities and decision-makers—conducted a systematic analysis of long-term sustainability in the Candelaria region of Lempira. An analysis of findings showed that increased rates of soil erosion associated with inappropriate management practices in southern Honduras and northern Nicaragua can push these hillside agroecosystems across hydrologic thresholds (principle 3 in Table 2, i.e., P3; P1 to P5 and ki-1 to ki-5 refer to principles 1 to 5 and key implications 1 to 5, respectively, in Table 2) when coarse-textured surface horizons are lost. Intervention costs rise nonlinearly (ki-3) for both biophysical (soil profile development) and socioeconomic reasons (more-motivated farmers emigrate in early stages of yield decline) (P1, ki-1). The QAS, based on local environmental knowledge (P5), effectively addresses the key slow biophysical variables (soil depth and forest cover) by increasing the stability over time of the fast biophysical (soil moisture availability) and socioeconomic variables (income is diversified with fuelwood and tree-crop production) (P2). The system is supported by an extensive set of government and nongovernment relationships at multiple levels (P4, ki-4). The DDP analysis, and the development of related conceptual models, helped workshop participants identify the key factors and processes addressed by the QAS (P5). For another example, from Mexico, see Box S3.
  43. P. Wand, M. Stafford Smith, in Proceedings of the Australian Rangelands Society 13th Biennial Conference, Alice Springs, 5 to 8 July 2004 (Australian Rangelands Society, Adelaide, 2004), pp. 15–22.
  44. N. B. Grimm, C. L. Redman, *Urban Ecosyst.* **7**, 199 (2005).
  45. G. Mansuri, V. Rao, *World Bank Res. Obs.* **19**, 1 (2004).
  46. B. Campbell, J. Hagmann, A. Stroud, R. Thomas, E. Wollenberg, *Navigating Amidst Complexity: Guide to Manage R&D Interventions for Improving Livelihoods and the Environment* (Center for International Forestry Research, Bogor, Indonesia, 2006).
  47. S. Gillespie, "Scaling Up Community-Driven Development: A Synthesis of Experience" (Discussion Paper No. 181, International Food Policy Research Institute, Washington, DC, 2004).
  48. M. Winslow, B. I. Shapiro, R. Thomas, S. V. R. Shetty, "Desertification, Drought, Poverty and Agriculture: Research Lessons and Opportunities" [joint publication: International Center for Agricultural Research in the Dry Areas (ICARDA), the International Crops Research Institute for the Semi-Arid Tropics (ICRISAT), and the United Nations Convention to Combat Desertification's (UNCCD) Global Mechanism, Rome, Italy, 2004].
  49. R. Thomas *et al.*, in *Combating Desertification: Sustainable Management of Marginal Drylands (SUMAMAD)* (UNESCO, Paris, Shiraz, Iran, 2004), pp. 80–93.
  50. P. Dobie, "Poverty and the Drylands," The United Nations Development Programme, Office to Combat Desertification and Drought (2001).
  51. D. S. G. Thomas, *J. Arid Environ.* **37**, 599 (1997).
  52. J. F. Reynolds, in *Encyclopedia of Biodiversity*, S. Levin, Ed. (Academic Press, San Diego, CA, 2001), vol. 2, pp. 61–78.
  53. S. M. Herrmann, C. F. Hutchinson, *J. Arid Environ.* **63**, 538 (2005).
  54. T. Dietz, E. Ostrom, P. C. Stern, *Science* **302**, 1907 (2003).
  55. V. Grimm *et al.*, *Science* **310**, 987 (2005).
  56. U.N. Environment Programme, "Deserts and Drylands," *Our Planet* **17**, no. 1 (2006); [www.ourplanet.com/](http://www.ourplanet.com/).
  57. Niamey Declaration, "From Desert to Oasis" Workshop, Niamey, Niger (September 2006); [www.oasisglobal.net/symposium\\_files/declaration.html](http://www.oasisglobal.net/symposium_files/declaration.html).
  58. J. X. Kasperson, R. E. Kasperson, B. L. Turner II, *Regions at Risk: Comparisons of Threatened Environments*, UNU Studies on Critical Environmental Regions (United Nations Univ. Press, Tokyo, 1995).
  59. W. H. Schlesinger *et al.*, *Science* **247**, 1043 (1990).
  60. A. Warren, *Trans. Inst. Br. Geogr.* **20**, 193 (1995).
  61. P. Hiernaux, M. D. Turner, in *Global Desertification: Do Humans Cause Deserts?*, J. F. Reynolds, D. M. Stafford Smith, Eds. (Dahlem Univ. Press, Berlin, 2002), pp. 135–148.
  62. J. Puigdefábregas, *Land Degrad. Dev.* **9**, 393 (1998).
  63. F. T. Maestre, J. F. Reynolds, E. Huber-Sannwald, J. Herrick, D. M. Stafford Smith, in *Dryland Ecohydrology*, P. D'Odorico, A. Porporato, Eds. (Kluwer, Dordrecht, Netherlands, 2006), pp. 315–332.
  64. H. G. Bohle, T. E. Downing, M. J. Watts, *Global Environ. Change* **4**, 37 (1994).
  65. B. L. Turner II *et al.*, *Proc. Natl. Acad. Sci. U.S.A.* **100**, 8074 (2003).
  66. M. H. Glantz, N. S. Orlovsky, *Desertificat. Control Bull.* **9**, 15 (1983).
  67. T. E. Downing, *die Erde* **133**, 363 (2002).
  68. M. Leach, R. Mearns, Eds., *The Lie of the Land: Challenging Received Wisdom on the African Environment* (Heinemann, Portsmouth, NH, 1996).
  69. J. D. Sachs *et al.*, in *Brookings Papers on Economic Activity* **1**, W. C. Brainard, G. L. Perry, Eds. (Brookings Institution, Washington, DC, 2004), pp. 117–240.
  70. H. Kloos, A. Aynalem, *GeoJournal* **19**, 113 (1989).
  71. S. Alkire *et al.*, in *PRSP (Poverty Reduction Strategy Papers) Source Book*, J. Klugman, Ed. (World Bank, Washington, DC, 2002), pp. 301–332.
  72. P. Laris, *Hum. Ecol.* **30**, 155 (2002).
  73. M. B. Lane, G. McDonald, *J. Environ. Plan. Manage.* **48**, 709 (2005).
  74. C. Reij, I. Scoones, C. Toulmin, Eds., *Sustaining the Soil: Indigenous Soil and Water Conservation in Africa* (Earthscan Publications, London, 1996).
  75. G. Gilchrist, M. Mallory, F. Merkel, *Ecol. Soc.* **10**, 20 (2005); [www.ecologyandsociety.org/vol10/iss1/art20](http://www.ecologyandsociety.org/vol10/iss1/art20).
  76. M. D. Turner, P. Hiernaux, *Landscape Ecol.* **17**, 367 (2002).
  77. D. M. Stafford Smith, J. F. Reynolds, in *Global Desertification: Do Humans Cause Deserts?*, J. F. Reynolds, D. M. Stafford Smith, Eds. (Dahlem Workshop Report 88, Dahlem Univ. Press, Berlin, 2002), pp. 403–424.
  78. We acknowledge the participants and support staff of the 88th Dahlem workshop (Berlin, 2001), especially the contributions of N. Abel, P. Hiernaux, and C. Vogel to the concepts presented here. We thank L. Hein, I. M. Rao, D. Walker, R. Virginia, C. Vogel, and R. Thomas for critical reviews. D.M.S.S. is supported by the Australian Government Cooperative Research Centres Programme through the Desert Knowledge CRC and by CSIRO. J.F.R. is supported by research award Humboldt-Forschungspreis (Humboldt Foundation, Bonn) and the Department of Plant Ecology (University of Bayreuth). ARIDnet is funded by the U.S. NSF, Research Coordination Networks (grant 0234186).

#### Supporting Online Material

[www.sciencemag.org/cgi/content/full/316/5826/847/DC1](http://www.sciencemag.org/cgi/content/full/316/5826/847/DC1)  
Boxes S1 to S3

10.1126/science.1131634



## Supporting Online Material for

### Global Desertification: Building a Science for Dryland Development

James F. Reynolds,<sup>\*</sup> D. Mark Stafford Smith, Eric F. Lambin, B. L. Turner II, Michael Mortimore, Simon P. J. Batterbury, Thomas E. Downing, Hadi Dowlatabadi, Roberto J. Fernández, Jeffrey E. Herrick, Elisabeth Huber-Sannwald, Hong Jiang, Rik Leemans, Tim Lynam, Fernando Maestre, Miguel Ayarza, Brian Walker

<sup>\*</sup>To whom correspondence should be addressed. E-mail: james.f.reynolds@duke.edu

Published 11 May 2007, *Science* **316**, 847 (2007)  
DOI: 10.1126/science.1131634

#### **This PDF file includes:**

Boxes S1 to S3  
References

**Box S1: Slow and fast variables in the evolution of the coupled human-environment system of Maradi, Niger (West Africa), 1960-2000** (based on *S1, S2, S3*).

This dryland area of the West African Sahel, monitored for over 40 years, exhibits a complex interaction of slow and fast variables as illustrated in the following variables: **(a)**

**Demographic** – a growth in the population of Maradi Department from 0.56 million in 1960 to 1.39 million in 1988, rising to 3.5%/yr (a slow variable), accompanied by opportunistic farmer-migration into northern areas, attracted by cultivable land free for the taking (slow).

**(b) Rainfall** – high short-term variability (with a coefficient of variation higher than 25% – a fast variable) superimposed on a falling trend in average annual rainfall from >600 mm in the 1960s to <400 mm/yr in the 1980s at Maradi (a slow variable). **(c) Economic policy swings**

(an intermittent faster variable) – the colonial government promoted cotton and groundnut production for export until independence in 1960, whereafter farmers adjusted to three

succeeding policy regimes: first, export promotion ('modernisation', 1960-76); second, self-sufficiency (1976-86); and, finally, structural adjustment (1986-2000) with deregulation and price liberalisation. The first put at risk the production of food grains while falling victim to

unfavourable world prices; consequently when incentives were improved, food grain output increased by means of expansion of farming into new areas, an expansion which was not

sustained until structural adjustment encouraged a renewed expansion in areas planted with food grains for domestic markets. **(d) Land use change** – market- and demographically-

driven demand for cultivable land drove the clearance of woodland and its conversion to agriculture (a slow variable). Analysis of air photographic and earth satellite data shows that,

in typical villages ("terroirs"), land that was >90% cultivated increased from <5% of the surface area in 1957 to >90% in 1999. **(e) Soil fertility** – under the shifting cultivation

system, prevalent until recently in the north, faster cyclical movements (5-10 years) occurred as land was taken out of cultivation and put under recuperative fallows, but as fallows were superseded by annual cultivation, slower but longer term decline could only be held in check by fertilisation. **(f) Crop production** – notwithstanding the constraints imposed by fertility, fast fluctuations on an annual cycle characterised yields and total output, reflecting the rainfall. **(g) Technological change** – innovations such as new crops and animal traction have spread slowly though of course for an individual user, this is a fast variable. These variables and their interactions delineate the challenge facing farmers.



## **Box S2: The ARIDnet Initiative**

ARIDnet (Assessment, Research, and Integration of Desertification Research network) is a initiative supported by the Research Coordination Networks in Biological Sciences program of the National Science Foundation

([http://www.nsf.gov/funding/pgm\\_summ.jsp?pims\\_id=11691&org=BIO](http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=11691&org=BIO)). ARIDnet, which emphasizes the interdependencies of natural and human systems as mechanisms of desertification (*S4*), is organized into three nodes (America, Asia/Australia, and Europe/Africa), each with a steering committee and independent funding (see *S4*, *S5*). In general, the following tasks are priorities: **Paradigm-building** – The Drylands Development Paradigm (DDP) is being presented to the international community of desertification researchers, stakeholders, and policy-makers for critical evaluation and critique, which ultimately will lead to its refinement. **Case studies** – Working groups, consisting of 15-25 interdisciplinary participants, are formed to examine case studies across a wide range of biophysical and socioeconomic conditions, with the goal of rigorously testing the DDP in a well-stratified, comparative manner. Case studies rely upon existing data and input from local researchers, stakeholders, and policy-makers. To date, in the Mexican (Box S3) and Honduran (Box 3) case studies, the implementation phase was found to be most challenging, requiring that all stakeholders jointly work through the DDP principles, with the aim to reach a consensus on the specific implications of each. This process revealed the importance of allowing individuals to first identify local problems in their own words, and with familiar conceptual models, before translating these into the DDP framework. **Synthesis** – The ultimate goal is to make a quantitative assessment of case studies conducted throughout drylands of the globe in order to ascertain what factors and variables (biophysical,

socioeconomic) really matter in dryland development, when and where they matter, and why.

**Network-building** – Another important goal is to recruit into, and foster the participation of, a diversity of young researchers into the activities of *ARIDnet*. **Communication** – The *ARIDnet* website (<http://www.biology.duke.edu/ARIDnet/>) supports the other tasks.

**Box S3: Applying the Dryland Development Paradigm in La Amapola, Mexico** (Based on S6).

Mexico is one of the most severely degraded countries of Latin America, especially in the northern dryland region where rain-fed agriculture and livestock production are key economic drivers. Land degradation, including over-cultivation, overgrazing, deforestation, and urbanization has led to severe shortages of water for agriculture, livestock and human consumption. Water is crucial to agrarian livelihoods, such as those of La Amapola, a small community in the Central Plateau of Mexico. Each household in La Amapola represents a complex interplay between multiple variables, bounded by the partitioning of ‘shared water’ between society and nature ( S7, S8).

During 1-6 June 2004, ARIDnet (Box S2) workshop participants, working alongside long-time residents of La Amapola, used the DDP framework to analyze key hydrological linkages between the villagers and the adjacent agro- and natural ecosystems. The structural heterogeneity characterizing the local landscape is the product of 150 years of human activity and climatic change, and historic legacies remain important (principle 3, key implication 1 in Table 2, i.e., **P3, ki-1**)<sup>‡</sup>. Shrub-grass balances, soil stability and depth, soil texture and fertility and soil water holding capacity, as well as demographic trends and migration, cultural traditions and customs, and cultivation practices were deemed to be key slow variables affecting hydrologic couplings of the H-E system (**P2, P5**). An imbalance in stocking density and carrying capacity may be one critical threshold (**P3**) leading to destructive feedbacks involving reductions in grass cover and increase in bare soil, decreases in infiltration and increases in run-off. Numerous biophysical and socioeconomic thresholds have been crossed in reaching the current condition of La Amapola, and restoring this complex landscape and its rural livelihoods to a hydrologically-functional condition may

require costly outside intervention (*ki-3*), which must consider complex community leadership hierarchies and patch-landscape interactions and feedbacks, as well as poverty and federal self-help programs (**P4**, *ki-4*). Based on the DDP analysis, a conceptual model was developed to highlight the fundamental role of hydrology in the livelihood of rural communities in semi-arid Mexico.

---

‡Boldface **P1-P5** and *ki-1- ki-5* refer to principles 1-5 and key implications 1-5, respectively, in Table 2



## References

- S1. A. Mahamane, “Usages des terres et évolutions végétales dans le département de Maradi” (Working Paper 27, Drylands Research, Crewkerne, U.K., 2001).
- S2. S. Hamadou, “Évolution à long terme des productions agricoles, du système de commercialisation et des prix des produits dans la zone de Maradi” (Working Paper 32, Drylands Research, Crewkerne, U.K., 2000).
- S3. M. Issaka, “Évolution à long terme de la fertilité de la sol dans la région de Maradi” (Working Paper 30, Drylands Research, Crewkerne, U.K., 2001).
- S4. J. F. Reynolds, D. M. Stafford Smith, E. F. Lambin, *IGBP Global Change Newsletter* **54**, 5 (2003).
- S5. F. T. Maestre, J. F. Reynolds, E. Huber-Sannwald, J. Herrick, D. M. Stafford Smith, in *Dryland Ecohydrology*, P. D’Odorico, A. Porporato, Eds. (Kluwer Academic Publishers B.V., Dordrecht, The Netherlands, 2006), pp. 315-332.
- S6. E. Huber-Sannwald, F. T. Maestre, J. E. Herrick, J. F. Reynolds, *Hydrol. Processes* **20**, 3395 (2006).
- S7. M. Falkenmark, *Philos. Trans. R. Soc. Lond., Ser. B: Biol. Sci.* **358**, 2037 (2003).
- S8. J. S. Wallace, M. C. Acreman, C. A. Sullivan, *Philos. Trans. R. Soc. Lond., Ser. B: Biol. Sci.* **358**, 2011 (2003).



**Global Desertification: Building a Science for  
Dryland Development**

James F. Reynolds, *et al.*  
*Science* **316**, 847 (2007);  
DOI: 10.1126/science.1131634

***The following resources related to this article are available online at  
[www.sciencemag.org](http://www.sciencemag.org) (this information is current as of May 12, 2007):***

**Updated information and services**, including high-resolution figures, can be found in the online version of this article at:

<http://www.sciencemag.org/cgi/content/full/316/5826/847>

**Supporting Online Material** can be found at:

<http://www.sciencemag.org/cgi/content/full/316/5826/847/DC1>

This article **cites 35 articles**, 7 of which can be accessed for free:

<http://www.sciencemag.org/cgi/content/full/316/5826/847#otherarticles>

This article appears in the following **subject collections**:

Atmospheric Science

<http://www.sciencemag.org/cgi/collection/atmos>

Information about obtaining **reprints** of this article or about obtaining **permission to reproduce this article** in whole or in part can be found at:

<http://www.sciencemag.org/about/permissions.dtl>