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1 2 3 4 5 6 7	Global wildlife trade across the tree of life
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23 Abstract

24

- 25 Wildlife trade is a multi-billion dollar industry that is driving species towards extinction.
- 26 Eighteen percent of >31,500 terrestrial bird, mammal, amphibian and squamate reptiles species
- (N = 5,579) are traded globally. Trade is strongly phylogenetically conserved and the hotspots of
- 28 this trade are concentrated in the biologically diverse tropics. Using different assessment
- approaches, we predict future trade to impact up to 3,196 additional species based on their
- 30 phylogenetic replacement and trait similarity to currently traded species—all together totaling
- 31 8,775 species at risk of extinction from trade. Our assessment underscores the need for a
- 32 strategic plan to combat trade with policies that are proactive rather than reactive, which is
- 33 especially important since species can quickly transition from being safe to endangered as
- 34 humans continue to harvest and trade across the tree of life.
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42 INTRODUCTION

43 The tree of life is being pruned by human activities at an unprecedented rate (1). Yet, while we 44 understand the global footprint of land degradation and deforestation and how that manifests in species loss (2), we have limited understanding of the global extent and patterns of the wildlife 45 46 trade. So substantial is the trade of wildlife for pets, luxury foods, and medicinal parts that it now represents the most prominent driver of vertebrate extinction risk globally, joint with land-use 47 48 change (3). Each year, billions of wild plants and animals are traded to meet a rapidly expanding 49 global demand (4, 5), and so insatiable is this demand that globally US\$8-21 billion is reaped annually from the illegal trade, making it one of the world's largest illegitimate businesses (5, 6). 50

51 The high demand for wildlife products and pets has driven dramatic losses in enigmatic 52 species like tigers, elephants, rhinos, and poison dart frogs (7). Some subspecies are already 53 extinct (e.g. the last individual of the Javan rhino Rhinoceros sondaicus annamiticus was shot for 54 its horn in 2010 in Vietnam (8)) or on the cusp of extinction in the wild (e.g., Bali myna, 55 Leucopsar rothschildi)—all due to trade. There is an insidious aspect of this market force in that 56 these emblematic species only represent a tiny, yet well publicized, fraction of animal species 57 traded. Importantly, if cultural preferences change, wildlife trade can rapidly drive a species 58 towards extinction. For instance, the emergence of widespread demand in East Asia for pangolin 59 scales and meat has triggered major declines in some species (e.g. Sunda pangolin (Manis javanica)) in just two decades (9), while growing demand for the ivory-like casque of helmeted 60 61 hornbill (Rhinoplax vigil) resulted in tens of thousands of individuals traded annually since 62 around 2012 (10). Both species are now Critically Endangered (11). Moreover, wildlife trade 63 indirectly places significant pressure on biodiversity through the introduction of pathogens, including the globally lethal amphibian fungus Batrachochytrium dendrobatidis (12), and 64 65 invasive species, such as Burmese python (Python bivittatus) in Florida, USA (13).

The enormous trade in wildlife begs the question whether we can better protect species from human demand, which is a question at the forefront of the wildlife trade crisis. Combating wildlife trade first requires the identification of what species are being traded and second the identification of where traded species occur. Here, we searched the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) and the International Union for Conservation of Nature Red list of Threatened Species (IUCN Red List) databases to identify traded terrestrial vertebrate species (birds, mammals, amphibians, and squamate reptiles). Using our list, we provide an evaluation of the global extent of wildlife trade across the tree of life to

74 determine if trade targets unique evolutionary branches. We then used species range maps to

75 identify global hotspots of wildlife exploitation, and how those hotspots vary between trade for

- 76 pets or products (i.e. medicine, luxury foods, skins). While emerging gene- and web-based
- techniques can help to identify the precise sources of traded individuals, our approach allows us
- 78 to identify the likely global epicenters of diversity in traded animals.
- 79

80 What species are traded?

81 Trade in wildlife affects approximately 18% of all extant terrestrial vertebrate species on Earth.

82 Specifically, our assessment shows that 5,579 of the 31,745 vertebrate species have been

reported as traded, with a higher percentage of all birds (23% of 10,278 species) and mammals

84 (27% of 5,420 species) globally traded than reptiles (12% of 9,563 species) and amphibians (9%

of 6,484 species) (Fig. 1, Table S1). Our assessment across both CITES and IUCN yields a total
that is 40-60% higher than prior recorded estimates (e.g., (3, 14, 15)). Importantly, traded species

are in higher categories of threat compared to non-traded species (especially among mammals
and birds; Fig.1, Table S2), confirming wildlife trade as a driver of extinction risk.

89 We found trade occurs in 65% of all terrestrial vertebrate families (312 of 482 families; 90 Table S1). This pattern is evident across all terrestrial vertebrate groups considered, with 91 mammals and reptiles showing the highest percentage of families traded (mammals=81%, N= 92 110; reptiles=73%, N=53), followed by amphibians (55%, N=41) and birds (55%, N=108). 93 Despite this broad exploitation, humans are targeting specific components of the tree of life (Fig. 94 2 and S1), as indicated by a significant phylogenetic signal in wildlife trade for all taxa (Fig. S2). Mammals and birds showed a signal as strong as expected under a Brownian motion model of 95 96 evolution (Fig. S2), indicating higher levels of phylogenetic clustering relative to reptiles and 97 amphibians (16). Highly traded families—those with more than 50% of their species traded— 98 comprise more than one quarter (27%; 128 of 482 families) of the total families, which breaks down to 51% of mammal (N=69), 32% of reptile (N=23), 16% of bird (N=32), and 5% of 99 100 amphibian (N=4) families (Tables S1 and S3).

101 Non-randomness in trade across the tree of life implies high susceptibility for select
102 clades likely based on similar traits (such as voice quality, folklore, ivory, etc). In exploring this,
103 we found that large-bodied species are more traded than small-bodied species, a pattern that

holds regardless of IUCN threat category (Fig. S3 and Table S4), and that the probability of
being traded is positively related to body size (Fig. S4). Over millennia, primitive human
societies impacted large-bodied species through hunting for subsistence, which changed
contemporary biogeographical patterns of animal body size (17, 18). Our analysis shows that this
pattern continues in modern humans through the wildlife trade.

109 Trade also targets species that are unique and/or distinctive in traits. In our assessment of 110 evolutionary distinctiveness (a measure of phylogenetic isolation) (19), which may yield species 111 with unique traits (19, 20), our results suggest that, for birds, traded species are more 112 evolutionary distinctive than non-traded species (Fig. S5), but not for mammals, amphibians or 113 reptiles. Furthermore, mean family-wide evolutionary distinctiveness predicts the proportion of 114 traded birds (Fig. S6; linear model: standardized coefficient = 0.18, P-value = 0.01), but again 115 not for mammals, amphibians or reptiles. Humans have long admired birds' aesthetic attributes, 116 including song and plumage complexity, and perhaps a consequence of this long-standing 117 admiration is reflected in the bird trade.

118 Because we show that trade non-randomly targets species within specific clades and with 119 specific traits, we were able to predict the species not yet (or not yet known to be) traded but at 120 high risk of future trade as congeneric species become rare or go extinct, or as their ranges 121 become accessible to hunters. Based on identified correlates of current trade, we provide 122 meaningful estimates of future trade based on >95% and >90% probabilities (Fig. 3, Table S5). 123 First, based on species in highly traded families, we predict between 5 to 48 species (i.e., 95 and 124 90% probability, respectively) that are not yet traded but of high risk of being traded in the 125 future. Second, for all non-traded species with available phylogenetic information (N=29,132), 126 we identified between 303 to 3,152 species at risk of future trade based on their high 127 phylogenetic similarity with conspecifics known to be traded. Third, we used a phylogenetic 128 logistic regression framework to identify which species are at high risk of future trade based 129 solely on their body size. Here, we found between 11 to 35 species (all mammals) at risk of 130 future trade. Our fourth approach used evolutionary distinctiveness, which did not predict any 131 species at risk of future trade.

In total, based on those species with a probability >95% and >90% in any one of the four
assessment schemes described above, we predict future trade to impact between 317 to 3,196
additional species (Fig. 3, Table S5) amounting to between 101 and 826 bird, 121 and 241

mammal, 9 and 268 amphibian, and 86 and 1,861 reptile species with a >95% and >90%

136 probability of future trade, respectively. As a precaution, we recommend conservation attention

to not just be given to currently traded species, but also those species with the highest

138 probabilities of being targeted by trade in the future (see Table S5 for the complete list of species

- 139 and their probability of future trade).
- 140

141 Where are the hotspots of traded species?

Although the footprint of trade spans all of Earth's habitable continents, we uncovered a pantropical dominance in the trade for vertebrates (Fig. 4 and S7). Importantly, biogeographical
patterns in trade richness closely match patterns in species richness (Fig. 4, Table S6). South
America, central to southeast Africa, Himalayas, Southeast Asia and Australia are the main
epicenters of the wildlife trade, containing areas with the highest numbers of traded species (i.e.,
top 5 and 25% richest cells in trade; Fig. 4 and S7).

148 Regional differences exist across taxa (Fig. 4 and S7 and Table S7). For example, in South America, the Andes, Atlantic forest and eastern Amazon contain a high diversity of traded 149 150 birds, whereas the western and central Amazon contains a high diversity of traded amphibians. 151 Although many mammals are traded in South America (as revealed by a large area containing 152 the top 25% of trade richness), the main hotspots for mammal trade are in Africa and Southeast 153 Asia (Fig. 4). The African tropical savanna-woodland belt consists of hotspots for all taxonomic 154 groups (Fig. S7). In Asia, Indonesia and Malaysia, as well as the Himalayas, are hotspots for 155 trade (Fig. S7), especially amphibians and mammals. Australia and Madagascar stand out as the 156 main trade hotspots for reptiles. Perhaps surprisingly, Indonesia, which is considered an 157 epicenter of bird trade (21), was not identified as a hotspot. Although Indonesia contains a lower 158 diversity of traded bird species relative to some other areas (e.g., the Andes and Atlantic coast of 159 South America), birds in Indonesia are traded in very high abundance (21). Thus, across 160 vertebrates, some species may only be collected for trade in small pockets of their entire 161 distribution range, with higher trade volumes within certain countries, outside protected areas, or 162 closer to human settlements (21–23). However, absent of such fine-scale data for the majority of 163 species and regions, our global maps reveal the spatial idiosyncrasies in hotspots of trade 164 diversity among taxa.

165 Focusing on specific kinds of trade reveals that amphibians and reptiles are most 166 commonly traded as pets (including species traded as household pets, for expositions, circus, or 167 zoological gardens), birds are traded both as pets and products (those used for commercial meat, 168 trophy hunting, clothing, medicine, or religion proposes), whereas mammals are predominately 169 traded as products (Fig. 5, Table S8). The pet trade occurs across the tropics, whereas species 170 traded as products are concentrated in tropical Africa and Southeast Asia, including the 171 Himalayas. Although birds and mammals show a strong association between the richness of 172 species traded as pets and as products, there are important geographical differences in these trade 173 types for all vertebrate groups (Fig. 5). For instance, the pet trade of reptiles occurs mostly in 174 Australia and Madagascar, whereas most amphibians are collected from the Amazon for pets and 175 collected from Africa and Southeast Asia for products.

176

177 Tackling global wildlife trade

178 Species possessing rare phenotypes, such as conspicuous plumage color, body shape and size, 179 behavior, and/or (perceived) medicinal application tend to bring high market price. Trade follows 180 a rarity-value feedback model, whereby increasing rarity drives both higher demand and prices 181 of a species (22, 24), with this positive feedback loop shown in both legal and illegal wildlife 182 trade. For example, in Europe, CITES-listed pets command a higher price than non-CITES-listed 183 species (24). Trade also quickly shifts to conspecifics as the availability of a targeted species 184 declines, which likely explains why we uncovered a strong phylogenetic signal in the trade of all 185 vertebrate groups (Fig. S2). For instance, as Asian pangolin species decline, they are increasingly 186 replaced by African pangolins in trade, with strength of demand for African pangolin meat and 187 scales in Asia now high despite a relative price increase of 211%, versus 4.6% baseline inflation 188 (25). Based on identified morphological and phylogenetic correlates of trade, we predict an 189 increase between 5% and 57% (probabilities >95% and >90%, respectively) in the total number 190 of traded vertebrate species (Fig. 3, Table S5), which amounts to as many as 8,775 species at risk 191 of current and future trade.

That trade tracks cultural (e.g. the Harry Potter-inspired trade of owls in Asia; (26)) and economic vogue suggests that abundant species may not be safe. Often, species are flagged for conservation only after a severe decline is documented (e.g., pangolins, (25)). Our study offers two possible rectifications of this issue.

196 Firstly, with the strong predictive strength of phylogeny revealed in our analysis, we can 197 circumvent cryptic, yet-to-come declines by flagging species that are currently of little concern 198 but have a high likelihood of being traded in the future based on their evolutionary proximity to 199 traded species (Fig. 3, Table S5). For instances, some highly colorful bird groups with high risk 200 of future trade include Tangara tanagers (n=46), Serinus finches (n=35), and Ploceus weavers 201 (n=37), while Rhinella beaked toads (n=55) and Rhinolophus horseshoe bats (n=55) were the 202 highest risk amphibian and mammal genera, respectively. Reptiles yielded the largest number of 203 species at risk of future trade. Here, Liolaemus iguanian lizards (n=229), Atractus (n=135) and 204 Tantilla (n=61) colubrid ground snakes, Bothrops (n=43) pitvipers, and Lycodon wolf snakes 205 (n=48) are all genera at high risk of future trade. We caution, however, that our identification of 206 a species as potentially traded in the future does not reveal the potential trade volume of this 207 species.

Secondly, the IUCN Red list, the largest assessor of species threat for conservation, needs to ensure that any evidence of trade is recorded in species threat accounts, regardless of current IUCN status. For example, we found that IUCN indicates 1,641 traded species omitted by CITES, while CITES indicates an additional 2,029 traded species omitted by IUCN (Fig. S8). In turn, future IUCN assessments would benefit from new analytical approaches that incorporate extinction risk from trade (e.g. (21, 27)), as well as increased communication among all conservation groups that document and monitor trade (27).

215 More broadly, our global assessment of wildlife trade underscores the need for a strategic 216 plan to combat trade. That trade is predictable by evolutionary history suggests that policies may 217 be proactive rather than reactive in approach. First, online black markets and mainstream online 218 stores, such as eBay or Facebook (28), facilitate a large volume of transactions with few 219 regulations to stifle trade activity. Novel machine-learning computer systems can be used by 220 vendors to monitor and stem this activity (29, 30). Stricter penalties to merchants of trade, as 221 well as consumer pressure for more sustainable and cheaper alternatives (e.g., humanely 222 harvested horn from the least rare rhino species (31)), may hasten the adoption of these 223 techniques. Importantly, our comprehensive list of traded and at risk species can inform these 224 computerized search systems.

Our global maps of trade hotspots are an important first step in prioritization. In
 identifying many tropical regions as epicenters of traded species diversity, combating the surge

227 of illegal wildlife trade will likely require action at the local community level (32), combined 228 with targeting key countries that import and export wildlife (33), especially those countries 229 within hotspot areas that share continuous borders (34). In many areas, hunting for wildlife trade 230 occurs out of sheer necessity—occurring in impoverished areas where harvesting wildlife to sell 231 to middlemen represents the only source of cash income (32). Borrowing from other programs to 232 halt criminal trading of humans, arms, and drugs, wildlife trade policies would gain strength if 233 they were linked to transnational agreements such as the United Nations Programme on 234 Reducing Emissions from Deforestation and Degradation (REDD). This may also offer 235 economic incentives for protection rather than exploitation within local communities. For 236 instance, carbon-trading schemes could increase the value of carbon in areas that are combating 237 wildlife trade - with the ecological co-benefit of areas that maintain large-bodied vertebrates 238 yielding higher carbon stocks over the long-term (35).

239

240 METHODS

241 We compiled information on traded birds, mammals, amphibians, and squamate reptiles using 242 the CITES list and IUCN Red list. We identified species traded through the IUCN API platform 243 and classified each species as being traded as pets and/or products (see SM for details). We 244 superimposed range maps of all species in a 110 x 110 km global grid and recorded species 245 presence/absence within each cell. We determined total, pet and product trade richness as the 246 number of traded species within each cell. We defined hotpots as the upper 25% and upper 5% 247 richest cells for traded species and assessed the correlation between spatial patterns in total, 248 traded, and threatened species richness.

249 We used updated time-calibrated species-level phylogenetic trees for each vertebrate 250 group from which we obtained one maximum clade credibility tree, and used these trees in 251 downstream analyses. We tested whether closely related species are traded more than random 252 using the D-statistic. We used phylogenetic ANOVA to test whether traded and non-traded 253 species differ in body size and evolutionary distinctiveness, and phylogenetic logistic regression 254 to test whether these traits influence the probability of a species being traded. We determined 255 risk of future trade by 1) identifying for each non-traded species the proportion of all species 256 traded in their respective family and 2) for each non-traded species, averaging its phylogenetic 257 distance with the ten closest related species that are traded.

258

259 Figure Legends

260

261 Fig. 1. Wildlife trade in terrestrial vertebrates (birds, mammals, amphibians and reptiles)

262 impacts 18% of species globally. Numbers in brackets are the total number of traded species.

- 263 IUCN threat codes: DD=Data Deficient; LC=Least Concern; NT=Near Threatened;
- 264 VU=Vulnerable; EN=Endangered; CR=Critically Endangered.
- 265

Fig. 2. Wildlife trade occurs across the tree of life, but some clades are more heavily

267 targeted than others. Phylogeny branches for birds (a), mammals (b), amphibians (c) and

reptiles (d) are colored to represent the impact of wildlife trade up-to each node (i.e., clade).

Warmer colors (red) represent heavily traded branches (i.e., high percent of traded species). The

- 270 20 highest traded families are labelled (high richness, bold or both high richness and proportion
- of total, not bold). The first outer band indicates threatened (VU, EN, and CR; orange) and nonthreatened species (LC and NT; yellow). The second outer band indicates traded (red) and non-
- traded (pink) species. Gray concentric circles scale a 20 million year period.
- 274

Fig. 3. Predicted future traded species. Probability of a species being traded in the future based
on body size (a), phylogenetic relatedness (b), and the proportion of species traded in respective
families (c). Upper panels show the probability of trade across all currently non-traded species,
lower panels reflect the probability distribution of trade around the 0.9 and 0.95 confidence
intervals.

280

Fig. 4. The geography of wildlife trade in terrestrial vertebrates. Wildlife trade richness
increases with the number of species in a cell for birds (a), mammals (b), amphibians (c) and
reptiles (d). Wildlife trade richness and hotspots of wildlife trade (b,d,f,h) are concentrated in
tropical regions. Top 5% and 25% indicate areas with the largest number of traded species per
cell globally. Color ramp in hexagon scatter plots (a,c,e,g) represent the number of observations
per grid-cell, with warmer colors indicating more observations and colder colors less
observations. Black line in hexagon scatter plots indicates a LOESS fit.

288

Fig. 5. Geographical patterns in wildlife trade type across birds, mammals, amphibians
 and reptiles. Pet trade includes species traded as household pets, for expositions, circus, or
 zoological gardens. Species traded for products include those used for bush meat, trophy hunting,
 clothing, medicine, or religion proposes. Points are color coded by the geographic realm. Points
 occurring above the 1:1 equivalency line indicate higher levels of trade as products than pets.

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- 296

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