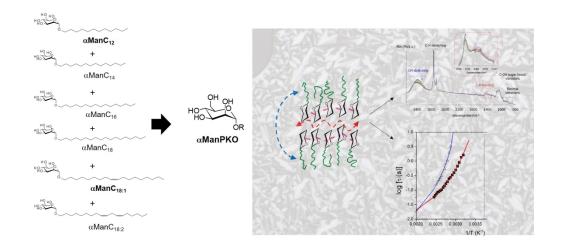


# Glycolipids from Natural Sources: Dry Liquid Crystal Properties, Hydrogen Bonding and Molecular Mobility of Palm Kernel Oil Mannosides

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Glycolipids from Natural Sources: Dry Liquid Crystal Properties, Hydrogen Bonding and Molecular Mobility of Palm Kernel Oil Mannosides

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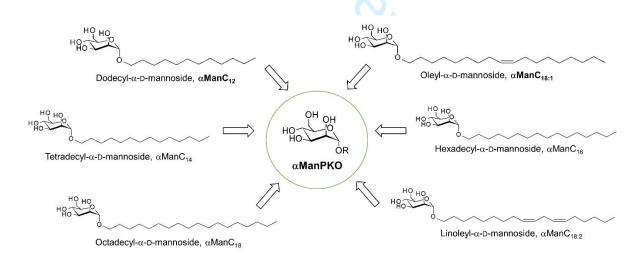
#### 1. Introduction

Interest in eco-friendly surfactants continues to increase as a way to prepare new formulations for cosmetic and biomedical applications. Glycolipids are very attractive candidates as non-ionic surfactants due to their amphiphilic character, resulting from their polar sugar head linked to the hydrophobic alkyl tail *via* a glycosidic bond. This enables the molecules to self-assemble by thermal and solvent effects, giving rise to thermotropic and lyotropic liquid crystalline behaviour that can be applied in a wide range of nano-and biotechnology areas [1, 2]. Glycolipids can be advantageous to conventional ionic surfactants due to their biodegradable and non-toxic character, and possess the additional quality of being derived from common and cheap natural resources [2, 3]. Glycolipids can be found, for example, in cell membranes, and are known to be involved in cellular functions [4], making them suitable for targeted drug delivery systems [5, 6]. Alkyl polyglycosides, APG, are already commercially available as non-toxic surfactants used as microemulsions, detergents and cleaners [7].

Interestingly, mixtures of alkyl glycosides derived from palm oil, PO, and palm kernel oil, PKO, have been shown to be more efficient carriers than alkyl polyglycosides since the former have high drug loading and storage stability, and can potentially carry hydrophobic drug into deeper layers of the skin [8, 9]. Palm oil is commonly used as a component in food and biological products due to its biocompatibility and superior emulsifying properties, and palm oil esters provide with excellent moisturizing capability and good skin compatibility, and are used in nanoemulsions of cosmeceutical products [10, 11]. Palm kernel oil, on the other hand, represents over 5.5% of fat from an oil palm (*Elaeis guineensis*) tree [12], and is readily available in tropical regions. Hydrogenated PKO, for example, is used in the formulation of lipid particles, *i.e.* nanostructured

lipid carriers [13, 14], contributing to stabilize the particle and minimise undesired drug expulsion [15].

Previous studies of glycoside surfactant systems employed common mono-/disaccharide such as glucose, galactose and maltose [16, 17, 18, 19, 20, 21, 22, 23, 24, 25]. As part of a systematic study to develop new glycosides , we are now employing mannose, another naturally abundant sugar with many known therapeutic properties such as treatment of urinary tract infections [26, 27]. Mannose is also an epimer of glucose at C2 position and etymologically it is also derived from the word *manna*, which is commonly used as an energy source inspired from the biblical stories [28]. Therefore, we have synthesised a new natural product-based glycoside, bearing a mannose polar sugar head and using palm kernel oil as the lipid hydrophobic component,  $\alpha$ ManPKO. Thus  $\alpha$ ManPKO is a mixture whose alkyl chains are those components of PKO, the major ones are shown in **Figure 1**.



**Figure 1**. Chemical structures of major components in  $\alpha$ ManPKO. The mannosides studied in the present work are  $\alpha$ ManPKO,  $\alpha$ ManC<sub>12</sub> and  $\alpha$ ManC<sub>18:1</sub> (bold text).

We have carried out a detailed characterisation of the thermotropic behaviour, molecular interactions and mobility of  $\alpha$ ManPKO. In addition, we have also prepared and characterised the two most abundant components in  $\alpha$ ManPKO: the dodecyl (48%) and oleyl, C18:1 (4%) mannosides,  $\alpha$ ManC<sub>12</sub>, and  $\alpha$ ManC<sub>18:1</sub>, respectively, for the sake of comparison.

The determination of the thermotropic properties of  $\alpha$ ManPKO (a glycoside of a PKO mixture) will unveil its potential as a cheap natural-based surfactant from palm oil source and help understand how the subtle stereochemistry of mannose affects the properties of different glycosides, which in turn can be tuned to control their phases and end-applications.

## 2. Experimental procedure

## 2.1. Materials preparation

All chemicals used in the synthesis of  $\alpha$ ManPKO, D(+)-mannose monohydrate and boron trifluoride, BF<sub>3</sub>, were purchased from Sigma Aldrich and used without further purification. Palm kernel oil, PKO, was obtained from Golden Jomalina Food Industries Sdn. Bhd. (Malaysia), and the main components were lauric (49%), myristic (16%) and oleic (8%) acids. Dodecanol and cis-9-octadecen-1-ol, used for the synthesis of the pristine mannosides, were purchased from Merck. Solvents for synthesis were analytical grade and used without further purification.

The mannosides,  $\alpha$ ManPKO,  $\alpha$ ManC<sub>12</sub>, and  $\alpha$ ManC<sub>18:1</sub>, were synthesised using a three-steps method consisting of peracetylation, glycosylation, and finally deacetylation, as shown in **Figure** 2. Fatty acids of PKO were converted to PKO alcohol by reduction using lithium aluminium

hydride, LiAlH<sub>4</sub> [29]. Detailed synthetic procedures are provided in the Electronic Supplementary Information, ESI.

Figure 2. Synthesis route overview

The chemical structures of  $\alpha$ ManPKO,  $\alpha$ ManC<sub>12</sub> and  $\alpha$ ManC<sub>18:1</sub>, were confirmed by <sup>1</sup>H-NMR spectroscopy, using a Bruker NMR Systems spectrometer at 400 MHz. The spectra of all mannosides were obtained in methanol-d<sub>4</sub> (CD<sub>3</sub>OD) at room temperature.

 $\alpha$ ManPKO:  $\delta$  = 5.35 (m, 0.1H, -CH=), 4.75 (d, αH-1, J = 3.6 Hz), 3.42-3.83 (m, bulk sugar signals), 2.03-2.06 (m, 0.25H, -CH<sub>2</sub>), 1.59-1.61 (m, 2H, -CH<sub>2</sub>-), 1.31 (m, 21H, -CH<sub>2</sub>-), 0.92 (t, 3H, CH<sub>3</sub>).

 $\alpha$ ManC<sub>12</sub>  $\delta$  = 4.75 (d,  $\alpha$ H-1, J = 3.6 Hz), 3.42-3.85 (m, bulk sugar signals), 1.59-1.61 (m, 2H, -CH<sub>2</sub>-), 1.32 (m, 20H, -CH<sub>2</sub>-), 0.92 (t, 3H, CH<sub>3</sub>).

 $\alpha$ ManC<sub>18:1</sub>  $\delta$  = 5.38 (m, 2H, -CH=), 4.75 (d,  $\alpha$ H-1, J = 3.6 Hz), 3.42-3.85 (m, bulk sugar signals), 1.99-2.06 (m, 4H, -CH<sub>2</sub>), 1.59-1.61 (m, 2H, -CH<sub>2</sub>-), 1.31 (m, 23H, -CH<sub>2</sub>-), 0.92 (t, 3H, CH<sub>3</sub>).

The compositions of PKO alcohol were determined using a 450 GC/220 MS (Varian Inc. USA) gas chromatography system equipped with a 5975C inert MSD with triple-axis detector (Agilent Technology, USA). Operating conditions are described in the ESI following previous reports by Oborn [30].

## 2.2. Phase diagram sample.

Binary mixtures of  $\alpha$ ManC<sub>12</sub> and  $\alpha$ ManC<sub>18:1</sub> were prepared by dissolving preweighed mannosides in methanol and the solvent slowly evaporated at 65°C. The mixtures were further dried under vacuum at 65°C overnight.

## 2.3. Characterisation techniques.

The phase behaviour of the mannosides was determined by polarised optical microscopy, POM, and differential scanning calorimetry, DSC. The textural analysis was carried out by using an Olympus BX51 microscope equipped with cross-polarising filters coupled to a Mettler Toledo FP82HT hot stage. Samples were sandwiched between two glass slides, heated to their respective isotropic phases, and then cooled down to room temperature, at a rate of 10°C/ min. Samples for DSC measurements were previously dried in a vacuum oven at 50°C for at least 3 hours over phosphorus pentoxide. Around 6 mg of the samples were then placed in 40 μl-sized aluminium pans, and the heat flow measured using a Mettler Toledo differential scanning calorimeter 822e equipped with a Haake EK90/MT intercooler. Experiments were taken in subsequent heating and

cooling cycles, ranging from -40°C to above their respective clearing temperatures, at rates of  $\pm$  5°C/min.

The phase structures were analysed by Small/ Wide Angle X-Ray Scattering, SWAXS. Small angle X-ray scattering experiments were conducted using a SAXess, Anton Parr, equipped with a DX-Cu 12x0.45 SERFERT X-ray tube generating CuK $\alpha$  radiation at  $\lambda = 1.542$  Å, attached to a TCS 150 temperature controller. Samples were placed inside a paste cell sample holder, which prior to measurements, was dried in a vacuum oven at least for 48 h at 30°C. SWAXS scatterings were obtained on heating from -10°C to 120°C after cooling from their respective clearing temperatures. All samples were thermally equilibrated for 5 minutes at each temperature before measurements. Data were analysed using the SAXSquant software package, and the liquid crystal phase was assigned using SGI software (Anton Paar).

Temperature-dependent Fourier-transform infrared spectroscopy, FT-IR, was carried out in order to study the intermolecular environment of the functional groups of  $\alpha$ ManPKO. A Thermo Nicolet NEXUS 470 main bench (Thermo Scientific) was used, with the sample placed in a Linkam TMS93 hot stage unit for temperature control ( $\pm$  0.1K). The IR data were collected in transmittance mode and analysed with OMNIC (Thermo Scientific). The sample consisted of a dispersion of  $\alpha$ ManPKO into dry KBr ( $\sim$ 1% by wt. of  $\alpha$ ManPKO) and was prepared by grinding both components into fine powder and further compression at 200 MPa for at least 10 minutes, yielding a homogeneous disc of 10 mm diameter and around 1.5 mm thickness. A pristine KBr disc was also prepared and measured as the background, immediately prior to measure the sample. The  $\alpha$ ManPKO disc was heated into its isotropic phase (above 150°C), and the IR spectra were

collected in isothermal steps, at 5°C intervals, when cooling to room temperature. Each measurement was taken after the temperature was stabilised for at least five minutes, to allow for thermal equilibration. Spectra were collected in the frequency range 4000 - 400 cm<sup>-1</sup>, with a 4 cm<sup>-1</sup> resolution, and recorded as an average of 64 scans.

The molecular motions of  $\alpha$ ManPKO were studied by broadband dielectric spectroscopy, BDS. A few milligrams of the sample in the isotropic phase were injected into empty liquid crystal cells by capillary action. The standard cells used were purchased from Instec, and consisted of two plane-parallel glass substrates with electrodes located on their inner sides prepared from indium tin oxide which had a surface area  $S \sim 10 \times 10$  mm, with low resistance  $\sim 30 \Omega$  and a gap of (9.0 + 0.2)  $\mu$ m. The electrode surfaces were treated in homeotropic (vertical) and planar alignment structures. The POM images of both alignments are shown in ESI (Figure ESI5). The dielectric measurement for both planar and homeotropic surface treated samples qualitatively show similar trend (refer to Figure ESI6). Thus, the dielectric measurement for the homeotropically aligned samples will be discussed. The complex dielectric measurements were carried out using an in home-developed dielectric spectrometer consisting of a charge amplifier and a digital data-acquisition system. The dielectric response was measured in frequency sweeps from 10 mHz to 100 kHz, obtained at isothermal steps, on cooling from 140 to 25°C. The results are expressed in terms of the complex permittivity,  $\epsilon^*$ :

$$\varepsilon^* = \varepsilon' - j\varepsilon''. \tag{Eq. 1}$$

where j is the imaginary unit, and  $\varepsilon'$  and  $\varepsilon''$  the real and imaginary components of the permittivity, respectively.

#### 3. Results and discussion.

The three mannosides ( $\alpha$ ManPKO,  $\alpha$ ManC<sub>12</sub>,  $\alpha$ ManC<sub>18:1</sub>) were synthesised using a common synthetic procedure for glycosides [16, 31, 32], with a yield of  $\sim$ 80% for all the mannosides. During the glycosylation stage of a mannoside, only the  $\alpha$ -anomer is produced since the neighbouring group at the axial position sterically hinders the formation of the  $\beta$ -anomer [33]. The anomeric purity of  $\sim$ 80% was assessed by  $^{1}$ H-NMR.

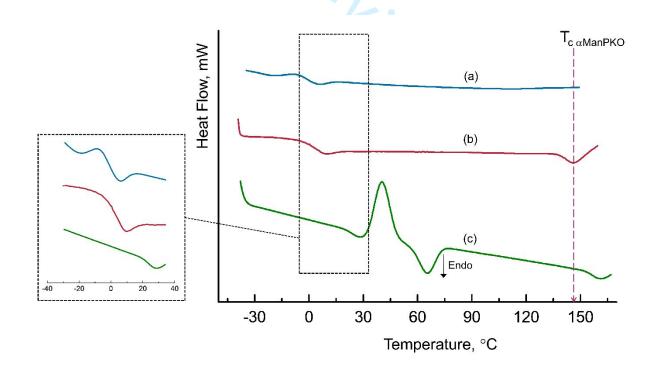
For comparison,  $\alpha ManC_{12}$  and  $\alpha ManC_{18:1}$  were synthesised since dodecyl and oleyl fatty acids are major components in PKO and hence in  $\alpha ManPKO$ . However, other mannoside components may influence the thermotropic properties of  $\alpha ManPKO$ , but this effect is assumed minor from the following argument. The trend of increasing  $T_c$  is observed as the glycosides have longer alkyl chains, but, in case of monosaccharides, the maximum increment is reached between 12 to 14 carbons [17, 34]. By considering that  $\alpha ManC_{12}$  has the shortest chain in  $\alpha ManPKO$ , we assume the effect of other saturated mannosides would be minimum. On the other hand it is worth investigating the effect of a double bond as in  $\alpha ManC_{18:1}$ . The presence of a *cis* double bond significantly reduces the  $T_c$ , because the bent alkyl chain prevents van der Waals interactions and consequently reduce the packing efficiency [18]. Polyunsaturated components are also present in  $\alpha ManPKO$ , however, it appears that the unsaturation effect on the  $T_c$  becomes insignificant with additional double bonds as in the case of phospholipids [35].

#### 3.2. Phase behaviour and structure.

The transitional properties of  $\alpha$ ManPKO,  $\alpha$ ManC<sub>12</sub> and  $\alpha$ ManC<sub>18:1</sub> are listed in **Table 1**, together with some values obtained by other authors.

On cooling  $\alpha$ ManPKO from the isotropic melt under the polarised microscope, battonêtes appear and further coalesce into a focal conic fan texture below ca. 147°C, which flashes upon pressure,

characteristic of smectic A phases. The sample remains fluid over a broad temperature range and the birefringence persists on cooling to room temperature, when thermal fluctuations cease. This suggests that the liquid crystal phase vitrifies at sufficiently low temperatures, similarly to other monoalkylated glycosides [21, 36, 37]. On heating,  $\alpha$ ManPKO remains non-fluid below its clearing point,  $T_c$ =147 °C, indicating the monotropic character of the liquid crystal phase. **Figure 3**, on the other hand, shows the DSC thermograms of  $\alpha$ ManPKO, obtained on heating. There are different thermal events in excellent agreement with our microscope observations, and the corresponding parameters are also summarised in **Table 1**. A broad first order transition is visible with a peak maximum at  $T_c$ =146°C, associated to the smectic to isotropic phase transition, together with a glass transition at slightly above T~0°C. These results are reproducible after subsequent heating and cooling cycles, and it is worth noting that during these thermal programmes we did not observed signs of decomposition originating from double bonds oxidation [38, 39].



**Figure 3**. DSC traces of (a)  $\alpha$ ManC<sub>18:1</sub>, (b)  $\alpha$ ManPKO, and (c)  $\alpha$ ManC<sub>12</sub> corresponding to the second heating scan. The inset highlights the T<sub>g</sub> of the mannosides. In these graphs, curves have been rescaled and shifted along the y-axis. The dotted arrow corresponds to the clearing temperature (T<sub>c</sub>=146°C) for  $\alpha$ ManPKO.

Both  $\alpha ManC_{12}$  and  $\alpha ManC_{18:1}$  also exhibit monotropic smectic A phases, according to our POM observations, and the corresponding DSC thermograms in **Figure 3** are also consistent with such assignments. The values of the associated thermal events are included in **Table 1**. The smectic to isotropic transition observed by POM and DSC for  $\alpha ManC_{12}$ ,  $T_c \sim 162$ °C, is in excellent agreement with the values reported by Vill [16], Brown [40] and Zhang [34]. By DSC, however, we also observe for  $\alpha ManC_{12}$ , a large melting peak at around  $T_m=65$ °C, together with a glass transition at  $T_g \sim 25$ °C (see inset in **Figure 3** and **Table 1**).

**Table 1.** Transition temperatures of  $\alpha$ ManPKO,  $\alpha$ ManC<sub>12</sub> and  $\alpha$ ManC<sub>18:1</sub> obtained by polarised optical microscopy, POM and differential scanning calorimetry, DSC.  $T_g$  denotes glass transition temperature,  $T_m$ , and  $T_c$ , are the melting and clearing temperatures, respectively;  $\Delta H_m$  is the crystal to smectic enthalpy and  $\Delta H_c$ , smectic to isotropic enthalpy. In bold, values obtained as part of the present study.

	POM		DSC					
Compound	T <sub>m</sub> ,	T <sub>c</sub> ,	T <sub>g</sub> ,	T <sub>m</sub> ,	$\Delta H_c$ ,	T <sub>c</sub> ,	$\Delta H_c$ ,	Ref.
	(°C)	(°C)	(°C)	(°C)	$(kJ \cdot mol^{-1})$	(°C)	(kJ·mol <sup>-1</sup> )	
αManPKO	-	147	~0	-	-	146	1.4	Current
								work
$\alpha$ ManC <sub>12</sub>	-	162	25	65	31.5	161	2.3	Current
								work
	74	162						[16]
	73-75	164-165						[40]
	67	157						[41]

				74	37.0	160	2.2	[34]
$\alpha$ ManC <sub>18:1</sub>	-	140	~0	-	-	-	-	Current
								work
$\alpha$ ManOC <sub>6</sub> C <sub>2</sub>	-	145	-13	-	-	143	2.5	[32]
αManOC <sub>8</sub> C <sub>4</sub>	-	120	-25	-	-	120	-	[32]
$\alpha$ ManOC <sub>10</sub> C <sub>6</sub>	-	51	-1	20	2.2	48	0.3	[32]
$\alpha$ ManOC <sub>12</sub> C <sub>8</sub>	-	40	-2	17	3.0	35	0.3	[32]
$\alpha GluC_{12}{}^a$	82	148	-	80	55.2	147	2.2	[19]
	77	151	-	-	-	-	-	[17]
βGluC <sub>12</sub> <sup>b</sup>	81	146	-	78	17.9	149	2.3	[19]
	-		12	77	20.8	144	1.3	[21]
$\beta GluC_{18:1}^{c}$	-	126		-	-	-	-	[17]
$\alpha GalC_{12}{}^d$	77	140		_	-	-	-	[23]
$\beta GalC_{12}^{e}$	99	166	-	7	-	-	-	[17]
βGalC <sub>18:1</sub> <sup>f</sup>	81	145	-	(-//	-	-	-	[17]

 $<sup>^{</sup>a} Dodecyl-\alpha-D-glucoside, \quad ^{b} Dodecyl-\beta-D-glucoside \quad ^{c} Oleyl-\beta-D-glucoside, \quad ^{d} Dodecyl-\alpha-D-galactoside, \quad ^{e} Dodecyl-\beta-D-galactoside, \quad ^{f} Oleyl-\beta-D-galactoside$ 

The DSC thermogram obtained on cooling  $\alpha ManC_{18:1}$ , on the other hand, displays a broad first order transition at high temperatures, together with a prominent glass transition at  $T_g \sim 0^{\circ}C$ . To the best of our knowledge, this is the first report of this compound, and the results evidence the effect of unsaturation on the phase behaviour of glycosides. The presence of long alkyl chains in C18:1 lowers its  $T_c$  compared to that of  $\alpha ManC_{12}$ , due to a back-folding effect of the chain that ultimately narrows the smectic phase [42, 43]. In addition, the kink in the alkyl chain at the unsaturation in C18:1 must reduce their packing efficiency and prevent crystallisation, and its presence in PKO may ultimately promote vitrification of the smectic phase of  $\alpha ManPKO$ . To verify this finding, we have constructed a binary phase diagram of  $\alpha ManC_{18:1}$ , results in lowering (Figure 4). From the phase diagram, increasing the amount of  $\alpha ManC_{18:1}$ , results in lowering

the glass transition  $T_g$  of the binary mixture to about  $T_g \sim 0^{\circ} C$ , which is similar to that of  $\alpha ManC_{18:1}$ . The  $T_c$  of the mixture also decreases slightly as the unsaturated  $\alpha ManC_{18:1}$  is added. Furthermore,  $\alpha ManC_{12}$  crystallisation  $(T_m)$  is suppressed when the content of  $\alpha ManC_{18:1}$  exceeds 4%, which supports our results on  $\alpha ManPKO$  (Figure 3(b)). All these results are in excellent agreement with similar findings for glucosides and galactosides, which are also gathered in Table 1 [16, 17, 19]. The transitions temperatures of Guerbet branched chain mannosides [32] are also listed in Table 1, and their glass transitions  $(T_g$ 's) are similar to that of  $\alpha ManC_{18:1}$  and lower compared to that of  $\alpha ManC_{12}$ . This shows that branching of the alkyl chain has comparable effect as the presence of unsaturation.

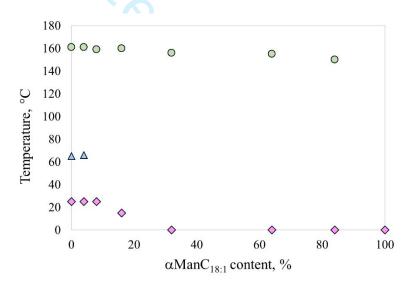


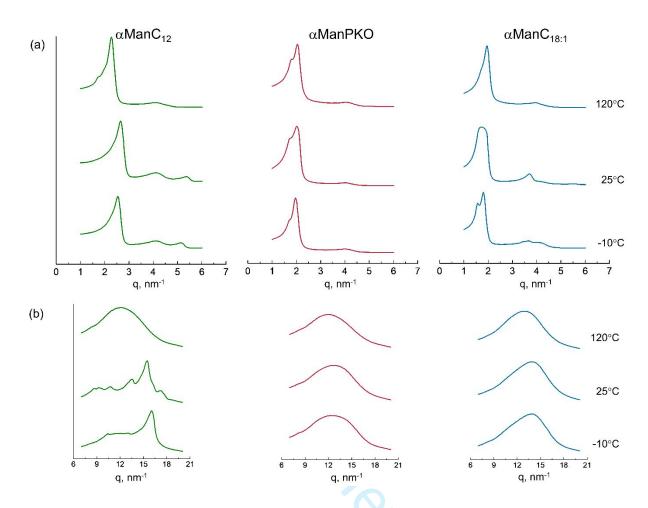
Figure 4. Phase diagram constructed for binary mixtures of  $\alpha ManC_{12}$  and  $\alpha ManC_{18:1}$ . The diamonds represent  $T_g$ , triangles represent  $T_m$  and circles,  $T_c$ .

The SWAXS scattering patterns of  $\alpha$ ManPKO,  $\alpha$ ManC<sub>12</sub> and  $\alpha$ ManC<sub>18:1</sub>, collected at T = 120°C are shown in **Figure 5**, after cooling from their isotropic phases to -10°C then subsequent heating to 25°C and 120°C. These patterns are consistent with the formation of smectic phases. The scatterings of  $\alpha$ ManPKO contains reflections at  $d_1$ =30.8 Å and  $d_2$ =15.4 Å, which can be associated

to first and second order diffractions of the smectic layer periodicities, see **Table 2**. A broad diffuse reflection is also visible at the wide-angle region, corresponding to the alkyl chain distances, with  $d_2$ =5.2 Å.

We have estimated an averaged molecular length of  $l \sim 23$  Å for  $\alpha$ ManPKO, considering that  $\alpha$ ManC<sub>12</sub> is its major component and the alkyl chains are found in all-*trans* configuration [44]. This suggests that  $\alpha$ ManPKO forms smectic bilayers with certain degree of interdigitation of the alkyl chains since  $l < d_l < 2l$ . However, the scattering pattern of  $\alpha$ ManC<sub>12</sub>, as well as the corresponding reflections,  $d_l$ ,  $d_2$  and  $d_3$ , are much lower than those of  $\alpha$ ManPKO (**Figure 4**). At three temperatures (-10, 25 and 120°C), d- $\alpha$ ManPKO lies in between those values of  $\alpha$ ManC<sub>12</sub> and  $\alpha$ ManC<sub>18:1</sub>. Surprisingly, d- $\alpha$ ManPKO appears to be closer to d- $\alpha$ ManC<sub>18:1</sub>, even though  $\alpha$ ManC<sub>12</sub> is the major component of  $\alpha$ ManPKO.

Unsaturation also promotes the extension of the smectic phase in  $\alpha$ ManPKO in a broad temperature range above  $T_g$ , unlike  $\alpha$ ManC<sub>12</sub>, whose smectic phase is only observed above  $T_m$ . Another distinct phase is observed below  $T_m$ . This phase is non-crystalline since its scattering pattern lacks sharp reflections in the wide-angle region, and instead several diffused peaks are observed, with the main one at 4.1 Å (T = 25°C). This prominent peak is well-defined compared to the broad peak observed at 5.2 Å (T = 120°C) suggesting the formation of ordered lamellar phase. This result concurs with that of Zhang et al. obtained at 25°C for dodecyl mannoside [34]. Ordered lamellar phases have also been reported for dodecyl maltoside by Ericsson *et al.* [36].



**Figure 5.** Small/wide angle x-ray scattering patterns, SWAXS, obtained for  $\alpha$ ManPKO,  $\alpha$ ManC<sub>12</sub> and  $\alpha$ ManC<sub>18:1</sub> on heating, T= -10, 25, and 120°C. The small angle x-ray scatterings are shown in (a) while the wide-angle regions are shown in (b). Curves are arbitrarily shifted along the y-axis.

Interestingly, when heating  $\alpha$ ManPKO from -10 to 120°C, a shoulder to the primary peak was consistently observed and by deconvolution, a lower q is obtained at 1.8 nm<sup>-1</sup>. This secondary peak suggests the co-existence of smectic phases in  $\alpha$ ManPKO. Since this signal is present in  $\alpha$ ManC<sub>18:1</sub>, but is not visible in  $\alpha$ ManC<sub>12</sub>, our results further evidence the strong influence of the unsaturated components on the liquid crystalline structure of  $\alpha$ ManPKO. **Table 2** shows the *d*-spacings of the three mannosides.

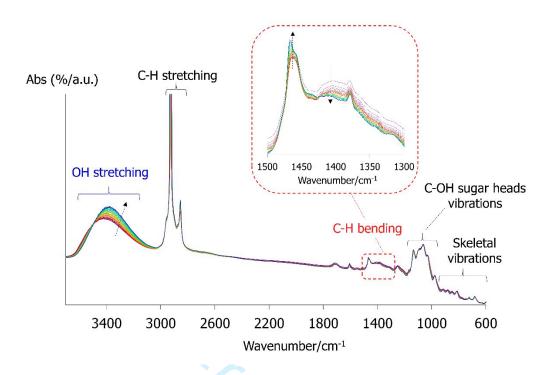
**Table 2** Structural properties (*d*-spacings, *d*) of  $\alpha$ ManPKO,  $\alpha$ ManC<sub>12</sub> and  $\alpha$ ManC<sub>18:1</sub> as obtained by SWAXS techniques.

Compounds	d, Å					
	-10 °C	25 °C	120 °C			
αManPKO	32.0	31.1	30.8			
$\alpha$ Man $C_{12}$	24.8	23.8	27.6			
$\alpha$ Man $C_{18:1}$	34.8	35.8*	32.0			

# 3.3. Study of intermolecular interactions and hydrogen bonding.

We now investigate the intermolecular interactions in  $\alpha$ ManPKO by Fourier-transform infrared spectroscopy, FT-IR. **Figure 6** shows the FT-IR spectra of  $\alpha$ ManPKO, obtained at different temperatures on cooling from the isotropic phase, which contain bands associated to different functional groups [42]. There are some IR regions that undergo notable variations with temperature, which are reversible and reproducible on subsequent heating and cooling cycles.

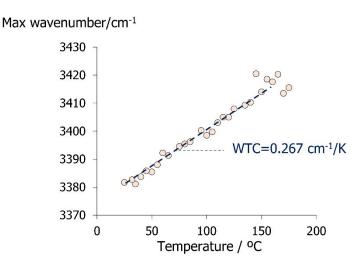
More particularly, the increase of the higher frequency end of the C-H bending region,  $v(\delta) \sim 1467$  cm<sup>-1</sup>, and the simultaneous decrease of the lower frequency end,  $v(\delta) \sim 1440$ -1400 cm<sup>-1</sup>, denotes the formation of stronger van der Waals interactions on cooling through the liquid crystal range. These may result from a more efficient packing between alkyl chains of molecules located at the same layer when interdigitation decreases on cooling, see again **Table 2** [28].



**Figure 6.** Temperature dependence of the FT-IR spectra of  $\alpha$ ManPKO, highlighting the O-H stretching, st., and C-H bending,  $\delta$ . regions. Arrows indicate direction on cooling.

If we now turn our attention to the IR vibrations associated to the polar heads of  $\alpha$ ManPKO, the O-H stretching band in **Figure 6** extends towards relatively low wavenumbers, in a broad range of frequencies,  $v(st.)\sim3600-3000$  cm<sup>-1</sup>, denoting the formation of hydrogen bonding in this sample [45, 46, 47, 48]. The shift of this band towards lower frequencies on cooling, moreover, indicates the tendency to form stronger interactions within the hydrophilic domains of  $\alpha$ ManPKO, due to the reconstruction of hydrogen bonds at lower temperatures. The maxima of the O-H st. bands can be obtained from **Figure 6**, and the frequency values,  $v_{OH-max}$ , are plotted as a function of the temperature in **Figure 7**. We have then calculated the wavenumber-temperature coefficient, WTC [49], as the slope in this graph. Our current value, WTC=0.267 cm<sup>-1</sup>/K, falls within the range of

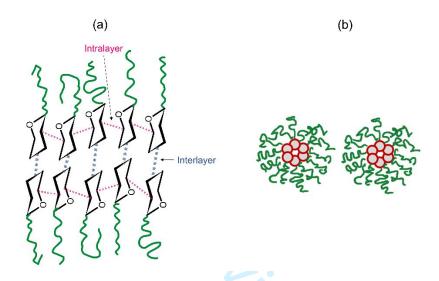
other sugars [49] and glycosides [42, 50] measured in their glassy states, but it is smaller than values typically obtained in liquid crystal phases. This indicates that the hydrogen bond network of αManPKO has low thermal sensitivity, and, in terms of Angell's strength and fragility [51, 52, 53], is consistent with the lack of crystallisation.



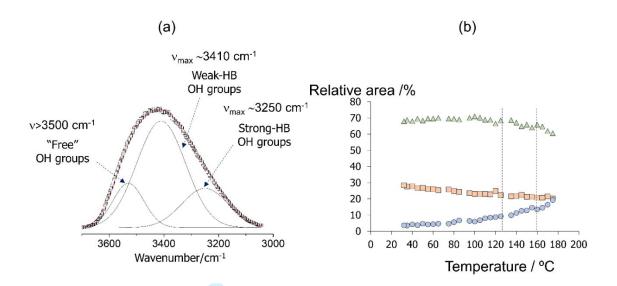
**Figure 7.** Temperature dependence of the O-H stretching band maximum,  $v_{OH-max}$  obtained on cooling from the isotropic melt, showing the calculation of the wavenumber-temperature coefficient, WTC.

The broad profiles of the OH *st.* bands in **Figure 6** are typical of sugars and glycosides, which have a distribution of hydroxyl groups found in different intermolecular environments [54]. Spectroscopic assignation to particular hydroxyl groups within the polar heads is complicated in glycosides, due to the large number of interactions occurring in the polar domains of their smectic layers [55, 56, 57], which may comprise intramolecular, and intermolecular inter- and intra-layer interactions, see **Figure 8** [41, 58]. Hence, as an attempt to further discriminate the nature and strength of the different hydrogen bonds in αManPKO, we have fit the 3600-3000 cm<sup>-1</sup> region to

three Gaussian peaks that account for different hydroxyl groups: free, at high frequencies, v > 3500 cm<sup>-1</sup>, and weakly and strongly hydrogen bonded,  $v_{max} \sim 3410$  cm<sup>-1</sup> and  $v_{max} \sim 3250$  cm<sup>-1</sup>, respectively, see **Figure 9(a)**. We calculated the areas of these individual bands and normalised them respect to the overall IR region, and we show the results as a function of the temperature in **Figure 9(b)**.



**Figure 8. (a)** Schematic model of smectic A phase for αManPKO, showing intermolecular, interlayer and intralayer hydrogen bonds; **(b)** model of isotropic micellar phase.



**Figure 9.** Deconvolution of the OH stretching region to three Gaussian peaks: **(a)** Example of the fitting at T=160°C; **(b)** relative peak areas for the different individual, including  $\bigcirc$  free OH groups ( $\nu_{max} \sim 3500 \text{ cm}^{-1}$ ), and OH groups forming:  $\triangle$  weak hydrogen bonds ( $\nu_{max} \sim 3410 \text{ cm}^{-1}$ ), and  $\square$  strong hydrogen bonds, HB ( $\nu_{max} \sim 3250 \text{ cm}^{-1}$ ).

As expected, the relative contribution from free hydroxyl groups, band at  $v_{max}$ ~ 3530 cm<sup>-1</sup>, decreases on cooling, indicating the establishment of hydrogen bonds. On the other hand, we discard the presence of intramolecular hydrogen bonds in  $\alpha$ ManPKO, according to calculations performed using the atoms in molecules theory, AIM [59]. The IR peaks below 3500 cm<sup>-1</sup> must then correspond to OH groups forming intermolecular hydrogen bonds within (intra) and between (inter) smectic layers of  $\alpha$ ManPKO [60]. Interestingly, hydrogen bondings are still extensive in the isotropic melt, suggesting the formation of aggregates that persist at high temperatures, like micellar phases **Figure 8(b)** [42]. Weak hydrogen bonds,  $v_{max}$ ~3410 cm<sup>-1</sup>, are predominant in the whole temperature range, and are further favoured when entering the liquid crystal range of  $\alpha$ ManPKO, below  $T_c$ . This fact can be explained by the presence of less interlayer interactions in mannose derivatives than expected, and is consistent with the model proposed by Chong et al.

[58]. Whilst weak hydrogen bonds seem to contribute to form the bilayer structure and promote liquid crystallinity of  $\alpha$ ManPKO, the strong hydrogen bonds may restrict motions needed for crystallisation, contributing to vitrify the smectic phase at low temperatures, which again is consistent with our DSC observations.

## 3.4. Molecular mobility: dielectric studies

We have just seen how the presence of polarisable OH groups makes  $\alpha$ ManPKO highly sensitive to the intermolecular environment, and ultimately determines its phase behaviour. We now investigate the molecular mobility (dynamics) by determining the dielectric response of  $\alpha$ ManPKO at different temperatures, which may provide with information of structural effects from intermolecular forces. Thus, the isothermal real,  $\epsilon$ , and imaginary,  $\epsilon$ , permittivity spectra of  $\alpha$ ManPKO, were obtained in the frequency domain above its glass transition,  $T_g$ , and the results are presented in **Figure 10(a)** and **10(b)**, respectively. The sharp increase in the  $\epsilon$ , values at the low frequency end in **Figure 10(b)** denotes dc conductivity, due to the translational motions of the sum of ionic impurities and intermolecular proton hopping.

At higher frequencies, several relaxations are visible, which are more evident from the tano response of  $\alpha$ ManPKO depicted at 1 kHz in **Figure 11**. The process at higher frequencies (and lower temperatures) is assigned to the so-called  $\alpha$ -relaxation, associated to the cooperative motion of the glycoside molecules, which is well defined in many saccharides (pentopyranoses, hexopyranoses, glucose, maltose, etc.). Generally, for carbohydrates, the peak in tano observed at a temperature typically 20°C above the  $T_g$  is attributed to the dielectric  $\alpha$ -relaxation. The peak maximum for this relaxation in  $\alpha$ ManPKO is obtained at  $\partial \tan \delta/\partial T = 0$ , giving a value of  $T_{\alpha} \sim$ 

29°C. Since  $T_g$  =0.75 °C, according to our DSC measurement, the  $T_g$  /  $T_\alpha$  ratio is calculated as ~ 1.11. Interestingly, this value is much higher than that reported for dry D-mannose, which is only~ 0.93 [61]. This is probably because the  $T_g$  of D-mannose (glassy phase sugar) is distinct to that of  $\alpha$ ManPKO, since this latter forms a lamellar glass.

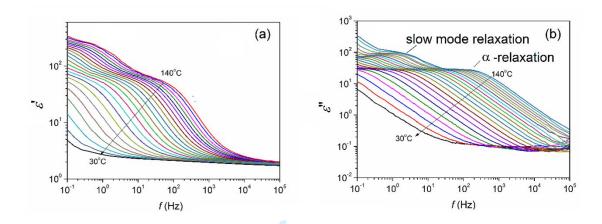
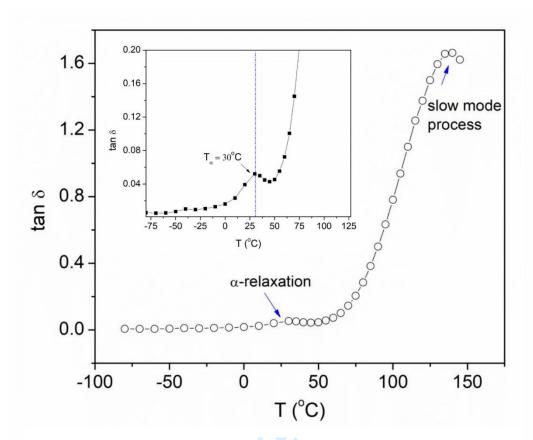


Figure 10. The temperature and frequency dependence of the (a) real and (b) imaginary permittivity of  $\alpha$ ManPKO.



**Figure 11.** The plot of tan  $\delta$  against temperature at 1 kHz. The inset focusses on the  $\alpha$ -relaxation in the temperature ranging from -100 to 150°C.

Another relaxation process appears at lower frequencies than the structural relaxation and is designated as the slow mode process. However, this relaxation is only visible in a very narrow temperature range and moved out from our measurement frequency window below 75°C. Similar slow modes were previously detected for other carbohydrates but their origin remains unclear [62, 63]. Kaminski et al., for example, attributed this process to the long-range correlation of density fluctuation (LRCDF), *i.e.*, from the diffusion of clusters [62]. However, LRCDF can be usually detected in a limited range of temperature around  $T_g + 100 \text{ K}$  [63], and the dielectric strength of the cluster relaxation decreases rapidly at higher temperatures, particularly at the liquid state. The

fact that this relaxation is observed in  $\alpha$ ManPKO at higher temperatures, 140°C, questions this assignation.

In order to further understand the nature of the previous processes, the experimental complex dielectric permittivity,  $\varepsilon^*(\omega)$ , was fit to a sum of Havriliak-Negami functions in the frequency domain [64]:

$$\varepsilon^*(\omega) = i\omega \sum_{k} \frac{\Delta \varepsilon_k}{((1 + (i\omega \tau_k)^{\beta})^{\delta}} + \varepsilon_{\infty} + i \frac{\sigma_{dc}}{\omega^{\gamma}} , \qquad (Eq. 2)$$

where,  $\Delta \varepsilon$ ,  $\tau$  and  $\varepsilon_{\infty}$  are the dielectric strength, relaxation time and instantaneous permittivity, respectively, and  $\beta$ ,  $\delta$  and  $\gamma$  are shape parameters describing the distribution of relaxation time. The subscript k represents one individual dielectric relaxation processes. We found a very good agreement between observed and fitted spectra. The  $\Delta \varepsilon$  and  $\tau$  values of both processes are presented in **Figure 12**. We can observe how the dielectric strength of the slow mode decreases significantly with decreasing temperature, while for the structural relaxation the reduction on cooling is comparatively slower. Again, the dielectric strength of the slow mode did not follow the LRCDF trend, where the  $\Delta \varepsilon$  supposed to increase with increasing temperature. Thus, this slow mode may not be identified as the cluster relaxation.

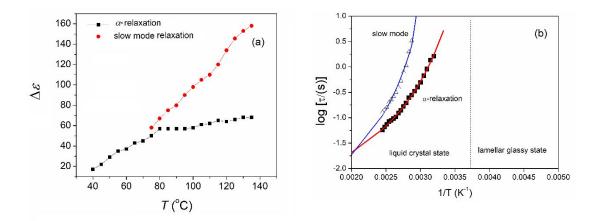


Figure 12. Temperature dependence of (a) dielectric strength and (b) relaxation time, corresponding the structural and slow mode relaxations of αManPKO.

On the other hand, the  $\alpha$ -relaxation, which is associated to the glass transition, has a non-Arrhenius temperature dependence that can be describe by the Vogel–Fulcher–Tamman (VFT) equation [65]:

$$\tau_{\alpha} = \tau_{VFT} \exp\left(\frac{D_T T_0}{T - T_0}\right), \quad (T_0 < T)$$
 (Eq. 3)

where  $T_o$  is the Vogel or ideal glass transition temperature. The activation energy increases on cooling, following a super-Arrhenius temperature dependence, and the relaxation time becomes infinite at  $T_o$ . The  $\tau_{VFT}$  is the relaxation time at the high temperature limit, which usually falls within the  $10^{-14}$  to  $10^{-13}$  range of typical molecular vibration times, while  $D_T$  is the fragility index or parameter which determines the deviation from Arrhenius behaviour [66]. **Figure 12(b)** shows the relaxation map of the structural and slow-mode relaxations that we observed for  $\alpha$ ManPKO. Both relaxations were fitted to VFT behaviour (equation 3) and the parameters are displayed in **Table 3**. The glass transition,  $T_g$ , and the freezing,  $T_f$ , temperatures were estimated for the

structural and slow mode relaxations, respectively. Both  $T_f$  and  $T_g$  are defined as temperature at which relaxation time of slow and structural modes are equal to  $\tau = 100$ s, respectively. However, the  $T_f$  nomenclature was used for slow mode to distinguish the  $T_g$  for which is generally linked to the structural relaxation.  $T_f$  is much higher than  $T_g$  and such behaviour is reasonable because the structural relaxation involves cooperatively rearranging regions of about ~4 Å (see Table 3) while for the slow mode relaxation these are estimated around ~40 Å (will be further discussed later).

**Table 3.** Summary of parameters for the structural and slow mode relaxation for the  $\alpha$ ManPKO.

	1 [ /.]	$T_o$	D	T	λ
	log [τ <sub>VFT</sub> /s]	(K)	$D_{ m T}$	(K)	(Å)
Structural	7.40	120	21	$T_g$	4.01 ±
relaxation	-7.40	139	21	274	0.05
Slow mode	1 01	200	0.69	$T_f$	20.4 ± 0.1
relaxation	-1.81	309	0.68	309	$39.4 \pm 0.1$

The microscopic features of the ionic motion can be then modelled by the random walk scheme with step length  $\lambda$  and step time  $\tau_0$ . The diffusion coefficient, D is obtained using the Einstein-Smoluchowski equation:

$$D = \frac{\lambda^2}{6\tau_o}$$
 (Eq. 4)

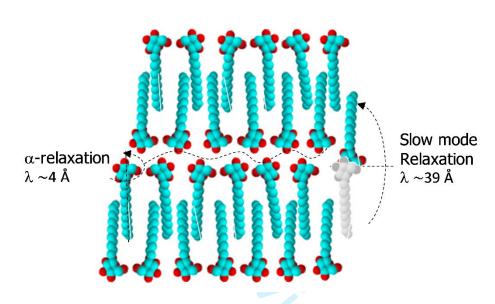
For a system containing N molecules of  $\alpha$ ManPKO with charge q, the dc conductivity,  $\sigma_{dc}$  and the diffusion coefficient, D are related by:

$$\sigma_{dc} = \frac{Nq^2}{kT}D \tag{Eq. 5}$$

where k is the Boltzmann constant and T is the absolute temperature. Considering that  $\tau_0 = 1/2\pi f_m$ , where  $f_m$  is the relaxation frequency of the process, we have measured the hopping length,  $\lambda$ , of the charge carriers from bilayers and confined regions in the previous relaxations, by using equations (4) and (5) [67, 68]. The results are displayed in **Table 3**. The  $\alpha$ -relaxation process has a hopping length of  $\lambda \sim 4$  Å, in the range of the intermolecular hydrogen bond distances. This relaxation involves segmental motions of the glycoside molecules, which clearly depend on their intermolecular interactions. Our results pinpoint the role of hydrogen bonding between the lipids head groups in  $\alpha$ ManPKO on the activation of the segmental motions, which we ascribed to strong hydrogen bonds by FT-IR. More specifically, the rotation of the sugar groups must onset the motion of free charge carriers to hop between different molecules, see **Figure 13**.

On the other hand, the slow mode process involves a longer hopping length of  $\lambda \sim 39$  Å, closer to the bilayer length that we obtained by SWAXS. Charge carriers can be trapped at the bilayers, and thermal motions allow the carriers to overcome the energy barrier and start diffusing, but at a much slower rate ( $D_T$ =0.68 is over thirty times smaller than for the  $\alpha$ -relaxation, see **Table 3**). We believe that the process is associated to disruptions of the smectic phase by translational movements of the sugar head groups, activated at high temperatures and low frequencies, **Figure 13**. If we assume that the so-called weak hydrogen bonds are responsible of the smectic phase stability, see our FT-IR results, the clearance of some of these may facilitate movements of the  $\alpha$ ManPKO molecules around their long axis, which ultimately leads to the destruction of mesomorphic order. A comparable molecular phenomenon is observed in methacrylate-based side-chain liquid crystal copolymers, SCLCP, known as  $\delta$  relaxation [69, 70, 71, 72], and ascribed to rotations of the side-chains groups around the polymer backbone. The increase of free volume

around the polymer backbone by flip-flop motions of the ester groups near the main chain in the SCLCP, ultimately allows for the long-range mobility of the side groups. This effect may be equivalent to a weakening of the hydrogen bonds between glycosides, which activates the slow mode relaxation, and ultimately disrupts the smectic structure in  $\alpha$ ManPKO.



**Figure 13.** Schematic representation of the dielectric relaxation modes found for  $\alpha$ ManPKO.

#### **Conclusions**

The new glycoside synthesised,  $\alpha$ ManPKO, exhibits monotropic smectic A behaviour on cooling in a broad temperature range, and vitrifies below room temperature.  $\alpha$ ManPKO forms bilayers with some degree of interdigitation between alkyl chains of different layers, and the stability of the microphase separated structure is controlled by a combination of weak hydrogen bonds between the mannose heads and long-range motions above certain temperature. The smectic layer distance of  $\alpha$ ManPKO is mainly determined by the major unsaturated component,  $\alpha$ ManC<sub>18:1</sub>,

whilst the clearance of strong hydrogen bonds seems to onset short-range motions associated with the glass transition of  $\alpha$ ManPKO. Interestingly, however, our results also suggest that the unsaturated character of the alkyl chains of  $\alpha$ ManC<sub>18:1</sub> may have a stronger effect on vitrification of  $\alpha$ ManPKO than some previous findings in other glycosides [21, 37]. Octyl-maltosides, for example, show glass transitions that are absent in the glucoside analogues [37], and dodecyl-glucosides vitrify and show cold crystallisation effects before melting to smectic ranges [21, 73]. All these results highlight the potential of natural-based, non-ionic and non-polymeric, glycosides, based on their thermotropic liquid crystal properties, and the possibility to control their microstructure *via* molecular design and the stability of the intermolecular interactions.

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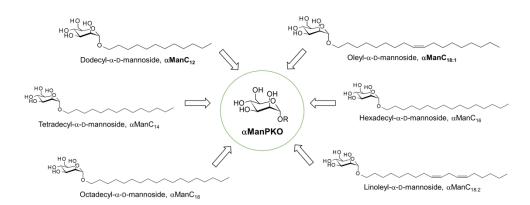


Figure 1. Chemical structures of major components in  $\alpha$ ManPKO. The mannosides studied in the present work are  $\alpha$ ManPKO,  $\alpha$ ManC<sub>12</sub> and  $\alpha$ ManC<sub>18:1</sub> (bold text).

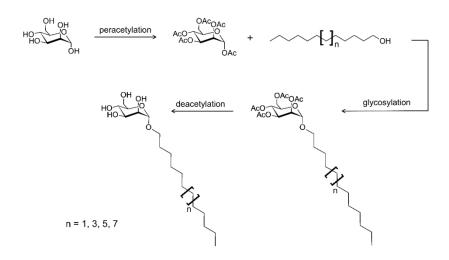


Figure 2. Synthesis route overview 160x90mm (220 x 220 DPI)

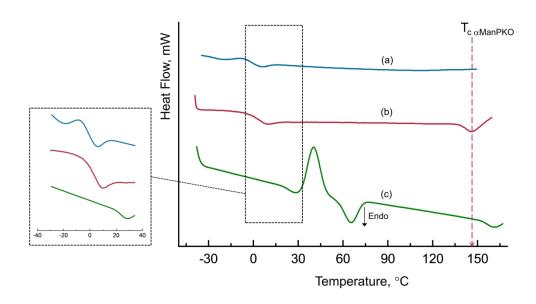


Figure 3. DSC traces of (a)  $\alpha$ ManC<sub>18:1</sub>, (b)  $\alpha$ ManPKO, and (c)  $\alpha$ ManC<sub>12</sub> corresponding to the second heating scan. The inset highlights the T<sub>g</sub> of the mannosides. In these graphs, curves have been rescaled and shifted along the y-axis. The dotted arrow corresponds to the clearing temperature (T<sub>C</sub>=146°C) for  $\alpha$ ManPKO.

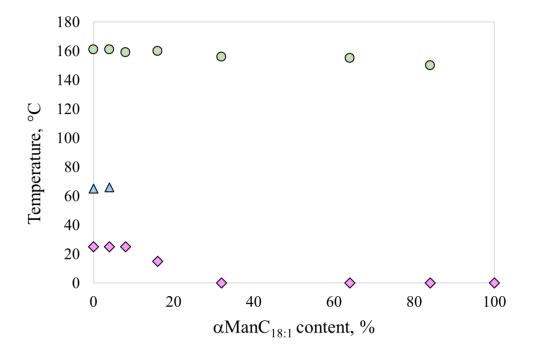


Figure 4. Phase diagram constructed for binary mixtures of aManC12 and aManC18:1. The diamonds represent  $T_g$ , triangles represent  $T_m$  and circles,  $T_c$ .

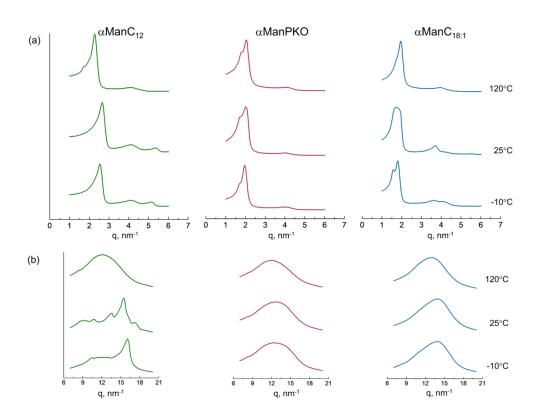


Figure 5. Small/wide angle x-ray scattering patterns, SWAXS, obtained for  $\alpha$ ManPKO,  $\alpha$ ManC<sub>12</sub> and  $\alpha$ ManC<sub>18:1</sub> on heating, T= -10, 25, and 120°C. The small angle x-ray scatterings are shown in (a) while the wide-angle regions are shown in (b). Curves are arbitrarily shifted along the y-axis.

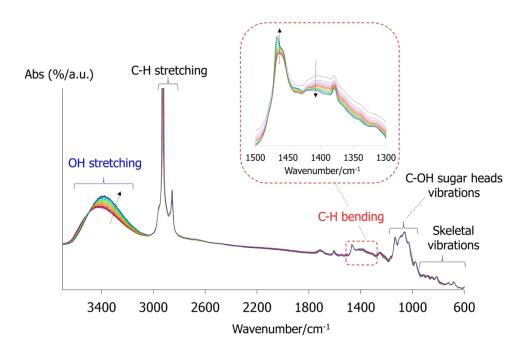
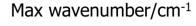


Figure 6. Temperature dependence of the FT-IR spectra of  $\alpha$ ManPKO, highlighting the O-H stretching, st., and C-H bending,  $\delta$ . regions. Arrows indicate direction on cooling.



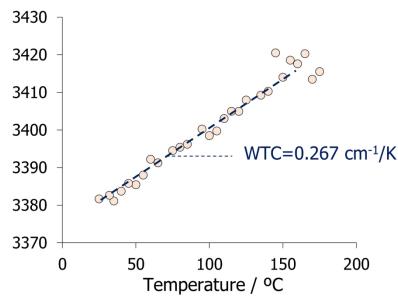


Figure 7. Temperature dependence of the O-H stretching band maximum,  $v_{OH-max}$  obtained on cooling from the isotropic melt, showing the calculation of the wavenumber-temperature coefficient, WTC.

98x76mm (600 x 600 DPI)

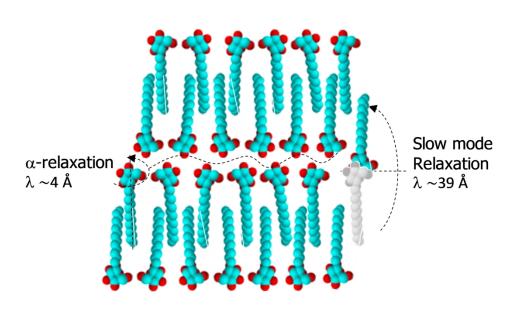


Figure 13. Schematic representation of the dielectric relaxation modes found for aManPKO. 128x71mm~(600~x~600~DPI)

Glycolipids from Natural Sources: Dry Liquid Crystal Properties, Hydrogen Bonding and Molecular Mobility of Palm Kernel Oil Mannosides

**Electronic Supplementary Information, ESI.** 

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### 1. Details on the synthetic procedure

The synthetic procedure to produce the mannosides consists of three steps.

### Peracetylation:

D(+)-mannose monohydrate (111 mmol) was stirred with 100 ml of acetic anhydride in a round bottom flask immersed in an ice bath for 15 minutes before a few drops of concentrated sulfuric acid were added. The ice bath was removed after 25 minutes and the solution was stirred for 45 minutes at room temperature. Several ice water – ethyl acetate extractions were performed to recover the mannose pentaacetate, once the reaction was completed. Saturated sodium hydrogen carbonate solution was added to neutralise the organic solution and anhydrous magnesium sulphate was used to remove remaining water from the solution prior to ethyl acetate evaporation. The product was confirmed by <sup>1</sup>H-NMR.

#### *Glycosylation:*

15 mmol of mannose pentaacetate and 20 mmol of alcohol (dodecanol, oleyl alcohol or reduced PKO) were dissolved in 60 ml dichloromethane in a round bottom flask. BF<sub>3</sub> (18 mmol) was injected into the solution and the solution was stirred for 24 hours. Glycosylation reaction was stopped by adding saturated sodium hydrogen bicarbonate solution. Following the liquid-liquid extractions, the organic layer was collected, and the solvent was then removed by evaporation. The second extraction was performed using hexane and acetonitrile to completely remove the excess alcohol. In the cases of  $\alpha$ ManC<sub>12</sub> and  $\alpha$ ManC<sub>18:1</sub>, additional purification using column chromatography was carried out.

## Deacetylation:

A methanolic solution of acetylated mannoside was stirred for at least 12 hours with a catalytic amount of sodium methoxide. Methanol was evaporated after neutralisation with diluted sulfuric acid. The final product was purified by butanol-water extractions which were then allowed to dry in vacuum at 50°C for 48 hours, prior to the <sup>1</sup>H-NMR assessment.



# 2. NMR spectra

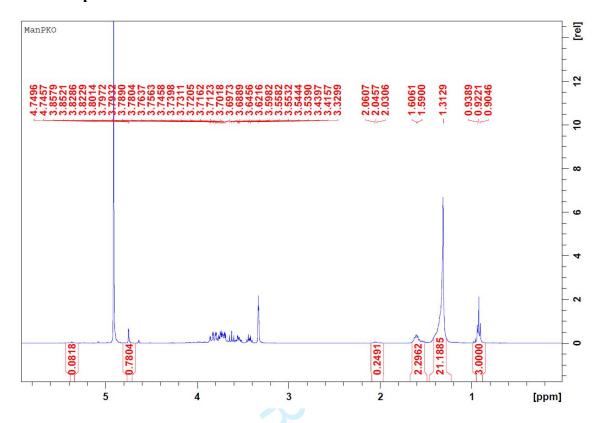


Figure ESI1. <sup>1</sup>H-NMR spectra of αManPKO in methanol-d<sub>4</sub>.

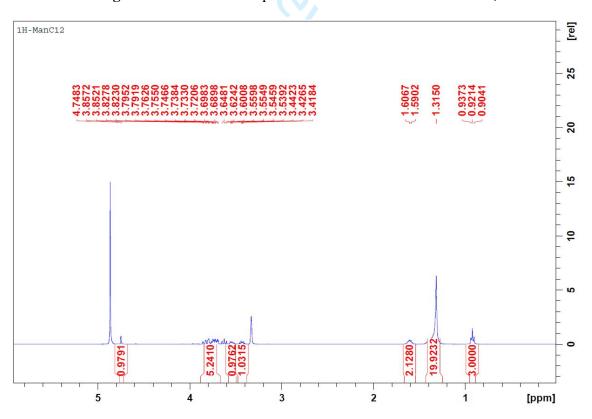
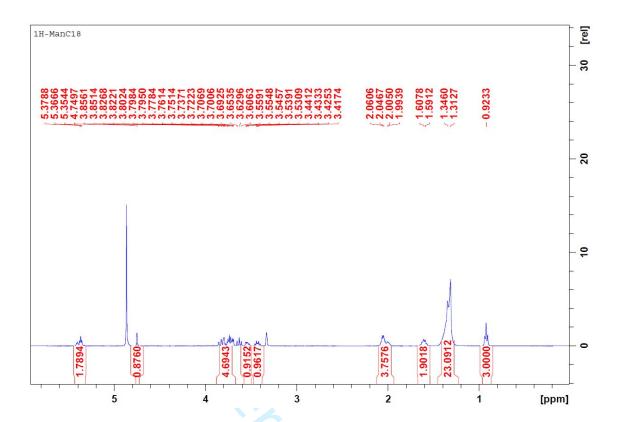
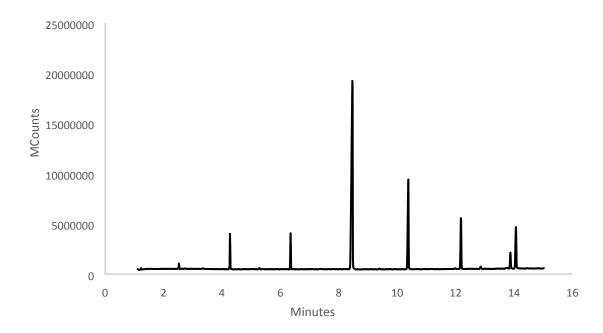


Figure ESI2.  $^{1}$ H-NMR spectra of  $\alpha ManC_{12}$  in methanol-d<sub>4</sub>.



**Figure ESI3**. <sup>1</sup>H-NMR spectra of αManC<sub>18:1</sub> in methanol-d<sub>4</sub>.

# 3. Gas chromatography-mass spectroscopy, GC-MS.



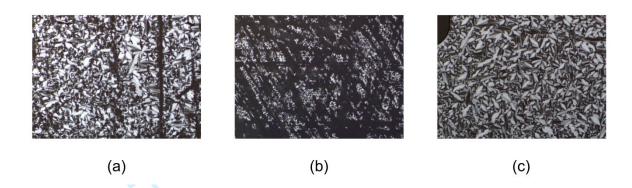
**Figure ESI4**. GC-MS chromatogram of PKO after reduction showing composition of fatty alcohols.

The PKO sample was measured using a 30 m x 0.250 mm ID x 0.25 µm column, programmed from 75°C to 300°C at 10°C/min, and then the final temperature was held for five minutes. The temperatures of the injector and detector were set at 280°C and 360°C, respectively. Helium was used as carrier gas.

Table ESI1 Palm kernel oil fatty alcohol composition

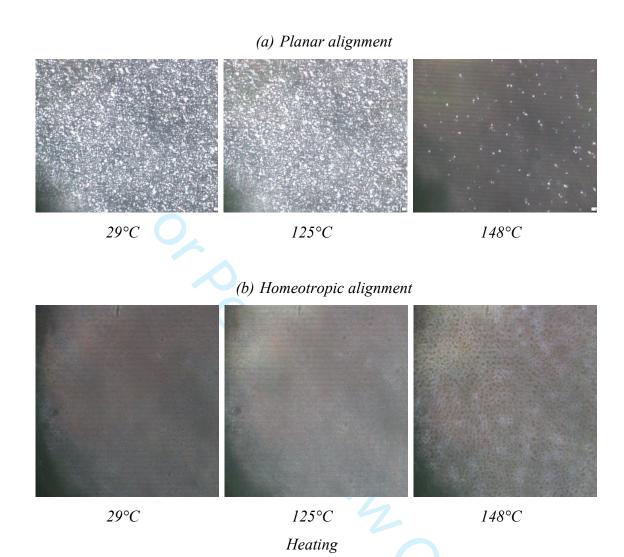
Peak, min	Fatty alcohol	Composition, %
4.28	C8	4.6
6.35	C10	4.5
8.47	C12	49.6
10.38	C14	16.1
12.18	C16	8.4
13.87	C18	2.5
14.06	C18:1	7.6

### 4. Polarised Optical Microscopy images, POM.



ges obtained τε
°C and (c) αMans Figure ESI4. POM images obtained for (a)  $\alpha$ ManC<sub>12</sub>, T=110°C, (b)  $\alpha$ ManPKO, T=80

# 5. POM images for the samples in ITO cell



**Figure ESI5.** POM images obtained for ManPKO samples in the ITO cell with surface-treated substrates for planar and homeotropic alignment on heating.

### 6. Static permittivity for planar and homeotropic alignments

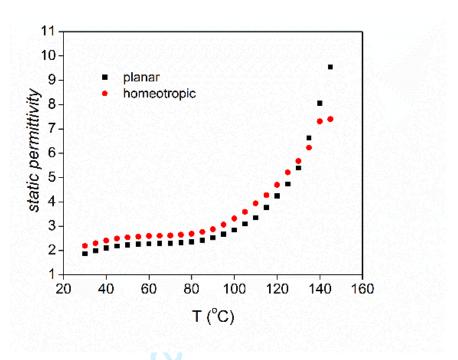


Figure ESI6. Static permittivity versus temperature for planar and homeotropic alignments of ManPKO.

