

# Gonadal Steroids and Immunity\*

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## I. Introduction

THE remarkable female predominance of such diverse autoimmune diseases as systemic lupus erythematosus (SLE), Hashimoto's thyroiditis, rheumatoid arthritis, and primary biliary cirrhosis has suggested to many clinicians that hormonal differences between the sexes must confer some protective effect on males or enhance the susceptibility of females to these diseases. This review will examine the evidence in support of such an hypothesis. We will examine the effects of gonadal steroids on the function of the normal

immune system as well as their impact on autoimmune processes. We will explore current knowledge of the cellular and molecular mechanisms by which gonadal steroids might modulate both normal and disordered function of the immune system.

## II. Physiology and Pathophysiology of Gonadal Steroid Effects on Immunity

### A. Normal immune responses are sexually dimorphic

A significant body of data establishes that a number of aspects of immune responsiveness normally differ between males and females. These observations hold true in many species, including humans, and apply to both humoral and cellular responses. Women have higher plasma IgM levels than men (1); this difference becomes most significant at the time of puberty and is demonstrable in both African-American and white populations (Fig. 1) (2, 3). Levels of serum IgG have been found to be higher in black American women than in black American men, but no such gender difference in IgG levels are observed in the white population (2). In animal studies, females show more vigorous antibody responses to exogenous antigens (4). It has been suggested that this gender difference also holds in humans (5) although much of the data are derived from studies of infants, when gonadal hormonal effects seem less likely to be operative (6). Studies of several clinically useful vaccines, including those for hepatitis B, tetanus, and pneumococcus, have not revealed higher antibody responses in females compared with males (7–9). One possible explanation for the apparent discrepancy between animal and human data might be differences in the antibody classes that are produced. In general, vaccinations are designed to elevate levels of neutralizing or protective antibodies of the IgG class, while, as noted above, IgM levels show the most significant sexual dimorphism. Immunoglobulin class-specific responses were not measured in any of the vaccine studies cited; therefore, this possibility cannot be verified from the available data.

Cell-mediated immune responses are also sexually dimorphic. Thymocytes and lymphocytes from normal female mice respond more vigorously to exogenous and allogeneic antigens than do cells from male mice (10). Parallel studies of T cell function in humans are lacking; however, quantitative differences in relative numbers of functional T cells have been related to gender (11–14). Higher CD4:CD8 ratios are generally seen in females and hypogonadal males (15) due to relatively lower numbers of circulating CD8 T cells.

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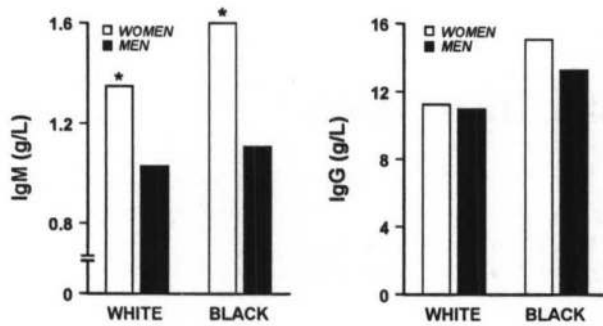


FIG. 1. Immunoglobulin levels are sexually dimorphic in humans, with higher levels observed in females. Serum levels of IgM (left panel) and IgG (right panel) in women (open bars) and men (solid bars) are shown for both white and black Americans. [Derived from (2).] Statistically significant differences between women and the corresponding group of men are noted by (\*).

### B. Autoimmune diseases are more common in females

A variety of disease processes believed to be autoimmune in origin are much more likely to occur in females than in males; this is true in both humans and experimental animal models. In humans the incidence of most autoimmune diseases is recognized to be greatly increased in women (Table 1)(16–19). SLE is up to 9 times more common in women than in men. Rheumatoid arthritis, Hashimoto's thyroiditis, and Graves' disease exhibit similar patterns of predominantly female incidence.

In mice, spontaneous autoimmune syndromes are also more prevalent and show greater severity in females compared with males. The best-studied example is the NZB/NZW F1 hybrid mouse, which develops a generalized autoimmune disorder similar to SLE in humans (20). Female NZB/W mice generally die within the first year of life due to glomerulonephritis while male mortality rates are 10% or less (20, 21). Other mouse strains that develop spontaneous lupus-like autoimmune syndromes also generally show a female predominance, including the MRL *lpr/lpr* (22) and the recently described SWRxSJL F1 hybrid (23). MRL *lpr/lpr* mice develop a lupus-like syndrome characterized by autoantibody production, lymphadenopathy, and proteinuria. The disease that develops in this strain is also sexually dimorphic, although not nearly so strikingly as in the NZB/W F<sub>1</sub> strain; at 7 months of age all females are dead of disease while 20–30% of males survive (22). Female SWRxSJL F<sub>1</sub> mice develop evidence of autoimmunity at a rate more than 7 times that of males (23).

The nonobese diabetic (NOD) mouse is an animal model of human type I diabetes. The diabetes in this mouse results from autoimmune destruction of the pancreatic islet  $\beta$ -cells. While pancreatic insulinitis is observed in both sexes, progression to overt diabetes occurs predominantly in females. In most laboratories, including those establishing colonies under specific pathogen-free conditions, diabetes develops in 70–80% of females by 30 weeks of age (24). The male incidence has been noted to be more variable, from as low as 0% to as high as 39%, but is virtually always lower than the female incidence in the same colony.

Animal models of human rheumatoid arthritis also show a female predominance. These model syndromes generally

TABLE 1. Prevalence of various autoimmune diseases in females and males

Disease	Females:males
Rheumatoid arthritis	2:1
Systemic lupus erythematosus	9:1
Primary biliary cirrhosis	9:1
Grave's disease	5:1
Hashimoto's thyroiditis	40:1

do not develop spontaneously but are induced in susceptible animals by parenteral administration of bacterial or mycobacterial products. One such model is streptococcal cell wall-induced polyarthritis in Lewis rats, which develops with a greater incidence and severity in females than in males (25). It is clear that the Lewis genes conferring disease susceptibility are transmitted in autosomal fashion, excluding the possibility that the sexual dimorphism results from the transmission of X or Y chromosomal genes (26).

### C. Autoimmune diseases can be modulated by changes in levels of gonadal steroids

The ability of gonadal steroids to alter the course of autoimmune diseases has been suspected in the clinical setting for more than 100 yr, but the evidence for such hormonal modulation of autoimmune disease activity in humans is either circumstantial or anecdotal. Hypogonadal males may have a higher prevalence of autoimmune diseases including SLE (15). Women with systemic lupus have also been reported to have lower androgen levels than age-matched healthy female controls. In one study, 22 women with active SLE had mean serum testosterone concentrations of  $18 \pm 6.5$  ng/dl compared with a control mean of  $40 \pm 22$  ng/dl (27). This difference does not achieve statistical significance, but a trend was noted toward lower testosterone levels with more active disease (27). Similar results were obtained in another study of 13 women (28). Increased levels of active estrogen metabolites have also been reported in women with SLE (29–35). Administration of androgens has been reported to suppress the activity of SLE in individual cases of men with hypogonadism due to Klinefelter's syndrome (15, 36).

Animal models of autoimmune diseases have provided more durable evidence of the immunomodulatory effects of gonadal steroids. The first rigorous demonstration of such an immunomodulatory effect in an animal model of autoimmunity was accomplished more than 20 yr ago in the NZB/W F1 hybrid strain of mice (20, 21, 37). Female NZB/W mice develop anti-DNA antibodies by 4 months of age and by 10 months mortality approaches 100%. Males have less than 10% mortality over this same period of time. Prepubertal castration of NZB/W males results in an acceleration of the disease course, with 100% mortality by 11 months of age (21), a disease course indistinguishable from that of untreated females. Ovariectomy itself does not alter the disease course in females (20), but the administration of androgens to ovariectomized females largely prevents the appearance of the disease (20, 21, 37) (Fig. 2, left panel). Administration of estradiol to castrate females may even enhance disease progression compared with intact females (Fig. 2, left panel),

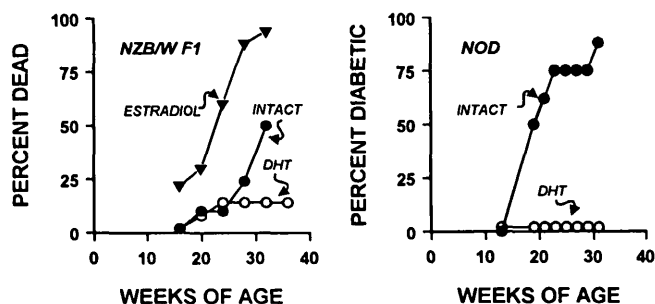


FIG. 2. Androgens suppress autoimmune disease activity. Hormonal effects on the course of two animal models of autoimmune diseases are shown. *Left panel*, Mortality in NZB/W F<sub>1</sub> mice. Female mice were sham operated (closed circles) or castrated prepubertally and treated with estradiol (closed triangles) or dihydrotestosterone (open circles). *Right panel*, Cumulative incidence of diabetes in intact NOD female mice treated with implanted dihydrotestosterone pellets (open circles) or carrier/binder pellets as control (closed circles). [Derived from (20) and (43).]

although the levels of estrogen achieved in these experiments were not reported.

The locus and mechanism of androgen action in the NZB/W lupus model have been explored in some detail. The disease-suppressing effect of androgens has been believed to be exerted at the level of the thymus, since thymectomy abrogates the protective effect of endogenous androgens in intact male NZB/W mice (38). Evidence for involvement of specific androgen receptors in the protective effect has come from experiments using the androgen receptor antagonist flutamide. This antagonist causes acceleration of disease appearance and progression in both males and females. The effect is, as expected, more pronounced in males but is also discernible in females (39). Less compelling, but also suggestive, is the failure of danazol, a weak androgen agonist, to alter the course of autoimmunity in NZB/W mice (40).

The MRL *lpr/lpr* mouse also develops a lupus-like syndrome that can be ameliorated by androgens, much like disease in the NZB/W (22). The lacrimal gland inflammation observed in these mice, which serves as a potentially useful model for Sjogren's syndrome, is significantly reduced by administration of testosterone (41).

Gonadal steroids modulate the autoimmune processes leading to diabetes in the nonobese diabetic (NOD) mouse. Castration accelerates the time of onset of diabetes in male mice. The prevalence of diabetes at 30 weeks of age was increased from 0% to 31% in one study (42). Administration of androgens to female NOD mice prevents development of overt diabetes (43) (Fig. 2, *right panel*). The findings in these animals are very similar to those previously described in the NZB/W model.

Disease transfer experiments have also yielded data supportive of a role for gonadal steroids in the modulation of islet-specific immunity in the NOD mouse. Neonatal NOD mice, in which no prior immunoablative procedures have been carried out, are susceptible to transfer of diabetes by splenocytes from overtly hyperglycemic animals (44). Diabetes develops in the males for the first 6 weeks at a rate similar to (or even faster than) that observed in female mice given the same donor cells neonatally. By 6 weeks of age more than 40% of males and 20% of females have overt

diabetes. However, a remarkable arrest in the incidence of new cases of diabetes among the males occurs at the time of sexual maturation, while the appearance of new cases of diabetes among the females continues apace, up to a prevalence of 80% by 12 weeks of age. Occurrence of diabetes in the males after 5 weeks is rare, so that the overall prevalence in males after 20 weeks is still 40%. The protective effect of androgens against diabetes development in the NOD mouse appears to be exerted at the level of generation of the immune responsiveness, and not at the level of the pancreas, since androgen administration does not diminish susceptibility of irradiated (*i.e.* lymphoid-ablated) males to transfer of diabetes (43).

### III. Cell Biology of Gonadal/Immune System Interactions

#### A. The T cell compartment—gonadal steroids exert effects on thymus (Fig. 3A)

1. *Androgens*. It has been recognized for more than 100 yr that sex steroid hormones modulate thymus size in adult animals. In 1904, Henderson reported that castrate cattle (oxen) had significantly larger thymus glands than their intact male counterparts (45). These early studies have been confirmed in rats (46) and mice (47), and the reversibility of castration-induced thymic enlargement in rodents by androgen replacement has been documented in a number of studies (47, 48). The testicular feminization (Tfm) mouse, which expresses a mutant androgen receptor, rendering the animal insensitive to the effects of this hormone, also shows significant thymus enlargement (49).

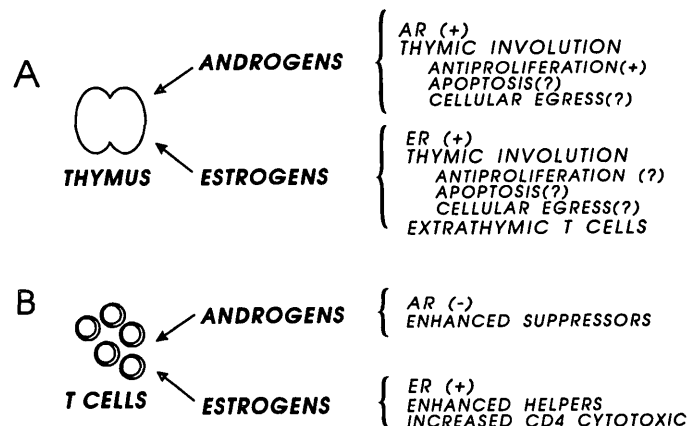


FIG. 3. Summary of known gonadal steroid interactions in the development and expression of cell-mediated immunity. A, Androgens and estrogens both appear to act at the level of the thymus via specific receptors (AR<sup>+</sup>; ER<sup>+</sup>). Both classes of hormones induce thymic involution, but mechanisms are largely unexplored; only an antiproliferative effect of androgens has been demonstrated. Estrogens have been found to induce the development of T cells in the liver, a known site of extrathymic T cell development under some conditions. B, Androgens and estrogens may also exert direct effects on peripheral T cells. No clear documentation of AR expression in peripheral T cells has been published, but the net effect of androgen action (direct or indirect) appears to be enhanced "suppressor" tone. Estrogens more likely exert direct effects on peripheral T cells to enhance helper activity. Under experimental conditions estrogens have been shown to increase the activity of an unusual class of cytotoxic CD4<sup>+</sup> cells.

The enlarged thymus in the castrate male mouse appears to have normal architecture with intact cortical and medullary areas. However, the relative distribution of thymocyte phenotypes is altered when androgens are removed by castration. The CD4 and CD8 markers define four major subpopulations of thymocytes (Fig. 4). "Double negative" CD4<sup>-</sup>CD8<sup>-</sup> cells are the most immature thymocytes. The vast majority of thymocytes are CD4<sup>+</sup>CD8<sup>+</sup> and are destined to undergo programmed cell death during the process of intrathymic selection. The CD4<sup>+</sup>CD8<sup>-</sup> phenotype defines the mature helper cell, while the CD4<sup>-</sup>CD8<sup>+</sup> phenotype comprises mature suppressor/cytotoxic cells as well as an immature population that includes precursors to CD4<sup>+</sup>CD8<sup>+</sup> cells. The most consistent change after androgen deprivation is in the CD4<sup>-</sup>CD8<sup>+</sup> subpopulation, which shows a small but significant decrease in castrate mice of several strains compared with their age-matched intact controls (Table 2) (47, 50). The CD4<sup>-</sup>CD8<sup>+</sup> subset of thymocytes is heterogeneous (at least in the C57 Bl/6 mouse) in that it includes immature cells that have not yet acquired expression of CD4<sup>+</sup> to make them become double positive, as well as mature single positive CD8<sup>+</sup> cells. For this reason, it is difficult to draw inferences regarding functional or maturational differences effected by castration in these thymuses. The picture is somewhat clearer when the more mature cells are identified by T cell receptor expression. For example, cells expressing the CD3 T cell receptor component represented 17% of thymocytes from intact thymuses, but only 11% of cells from castrates ( $P = 0.045$ ) (51). Androgen replacement reverses the balance toward more mature cell types, due, at least in part, to depletion of the double-positive cortical thymocytes (Table 2) (47, 52).

Mechanisms responsible for thymus enlargement after castration and thymic involution during androgen replacement are not completely understood but might involve one or more of three possible pathways: increased cell proliferation, decreased cell death, or diminished trafficking of thymocytes to the periphery. Recent studies have suggested that at least the first of these three possibilities does occur after castration in normal male mice (51). Under normal condi-

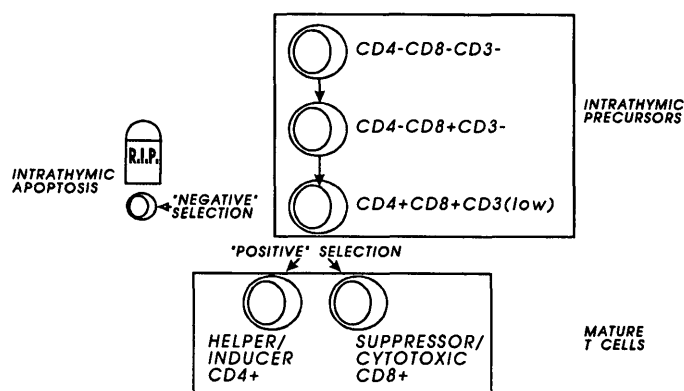


FIG. 4. T cells develop in the thymus by processes of both positive and negative selection. A simplified schema of T cell development within the thymus is shown. "Double-negative" precursors give rise to single positive CD8<sup>+</sup> and double-positive intermediates, the bulk of which are destined to die intrathymically. Positive selection processes give rise to clones of CD4<sup>+</sup> helper/inducer and CD8<sup>+</sup> suppressor/cytotoxic cells that express T cell receptors and exit to the periphery.

TABLE 2. Thymocyte phenotypes in normal male mice examining effects of castration and testosterone replacement

Phenotype	Experiment series 1		Experiment series 2	
	Control	Castrate	Oil	Test
CD4 <sup>-</sup> CD8 <sup>+</sup>	3.6 ± 0.6	2.7 ± 0.3 <sup>a</sup>	3.2 ± 0.5 <sup>b</sup>	12.4 ± 2.8 <sup>b</sup>
CD4 <sup>+</sup> CD8 <sup>-</sup>	9.2 ± 1.0	9.2 ± 1.2	7.1 ± 0.6	11.6 ± 2.9
CD4 <sup>+</sup> CD8 <sup>+</sup>	81.0 ± 1.7	82.5 ± 1.5	85.4 ± 1.0 <sup>b</sup>	59.3 ± 2.0 <sup>b</sup>
CD4 <sup>-</sup> CD8 <sup>-</sup>	5.7 ± 0.7	5.3 ± 0.6	4.4 ± 0.8 <sup>b</sup>	16.7 ± 3.4 <sup>b</sup>

Values represent mean percent of total thymocytes. In Experiment series 1, castrate animals were compared to intact controls. In Experiment series 2, oil-treated castrates were compared to castrates undergoing testosterone replacement. [Derived from (47).]

<sup>a</sup>  $P = 0.005$  comparing control and castrate groups.

<sup>b</sup>  $P \leq 0.005$  comparing oil- and testosterone-treated groups.

tions in intact adult males, androgens apparently restrain active cell cycling of immature thymocytes. Androgen withdrawal triggers a wave of cellular proliferation. During the first week after castration, before significant thymus enlargement occurs, a wave of thymocyte proliferation is observed, peaking at day 4 after surgery (Fig. 5). As this wave subsides to baseline rates of proliferation, thymus enlargement becomes apparent by day 7, reaching a plateau level by day 10. Immunohistochemical examination of these thymuses indicates that the thymocyte proliferation occurs in the outer cortex and in areas of the medulla, locations where thymocytes normally proliferate. Phenotypic examination of the cell types undergoing proliferation indicates that the largest increase occurs in relatively immature cell types. For example, only thymocytes with low or absent levels of the CD3 component of the T cell receptor proliferate at significantly increased rates in the castrate animals; relatively mature thymocytes expressing high levels of CD3 show very low proliferation indices. Intrathymic proliferation of thymocytes therefore contributes, in large part, to the development of thymus enlargement after castration. Once the thymus has reached 150–200% of precastration size about 10 days after castration, thymocyte proliferation slows to baseline rates while thymic size is maintained.

If androgens exert direct effects on the thymus, then spe-

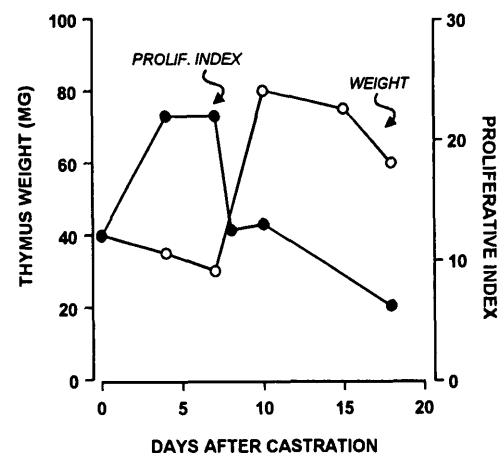


FIG. 5. A wave of thymocyte proliferation accounts in part for the subsequent thymic enlargement seen after androgen deprivation. Thymic weight (open circles) and thymocyte Proliferative Index (solid circles, the percentage of cells in S or G<sub>2</sub>/M phase of the cell cycle as determined by flow cytometric determination of DNA content) are shown as functions of time after castration. [Derived from (51).]

cific receptors for these hormones would be expected to be expressed in the thymic target cells. Reports from diverse laboratories over more than a decade have indicated that such androgen receptors (AR) are detectable by radioligand-binding assays performed on homogenates of whole thymus tissue or by steroid autoradiography (53–62). Quantification of AR content of murine thymocytes by radioligand-binding assay shows that AR content (100 fmol/mg protein) is quite comparable to that of AR target tissues in the reproductive tract or in cultured AR-positive cell lines (63). The androgen binding activity detected satisfies the usual criteria of high affinity, saturability, and specificity that define the AR.

The specific cellular localization of thymic ARs has been addressed by a number of laboratories. Using thymocytes isolated from human and murine thymus (and documented to be pure populations on the basis of surface marker staining), AR expression in thymocytes has been demonstrated by radioligand-binding assay (55, 63), as well as western blot and multicolor flow cytometry (63). In the latter technique cells are permeabilized with lysolecithin to permit anti-AR antibody access to the interior. Surface markers are stained with antibodies directed against CD4, CD8, and the CD3 component of the T cell receptor. While all of the thymocytes defined by the markers CD4 and CD8 express AR, the level of expression of the receptor appears to be about 2-fold higher in the more immature CD4<sup>-</sup>CD8<sup>-</sup> and CD4<sup>-</sup>CD8<sup>+</sup> cells (63).

Expression of AR in thymic stromal or epithelial cells has long been suspected but less rigorously proven. Thymocyte selection processes central to the development of the immune repertoire are dependent on thymic epithelial cells, so that these cells are important potential targets of gonadal steroid action. The earliest descriptions of "reticulo-epithelial" localization of AR were based on fractionation procedures involving only mincing of the tissue with or without straining through cheesecloth (54, 60). The widely quoted interpretation of these data as defining AR restriction to thymic epithelial cells has been justifiably questioned (55–58) since the actual cellular composition of the "reticulo-epithelial" tissue fragments was not examined, and almost certainly was comprised of mostly thymocytes. The availability of primary cultures of human thymic epithelium, as well as cell lines established from rat and murine thymic epithelium and stromal cells has permitted reexamination of the question. Several cell lines with fibroblastic morphology established from normal human thymus have been found to express AR at low levels (~1/10 those seen in genital fibroblasts). The IT45R1 rat thymic epithelial cell line (64) does not express detectable AR by ligand-binding assays. However, the thymic microenvironment is extremely complex, and the limited experimental evidence available cannot be taken to exclude the possibility that subpopulations of thymic stromal or epithelial cells in specific areas of the thymus express high levels of AR.

2. *Estrogens.* Estrogens also induce thymic atrophy. A single 1 mg injection of estrogen induces profound thymic atrophy 10 days later (65). During pregnancy, when both estrogen and progesterone levels are high, thymic involution is accelerated (66, 67) and, at least in mice, thymic size gradually returns to normal in the first month postpartum (67). The thymic atrophy

induced by estrogens in female mice is associated with a decrease in cortical double-positive thymocytes and an increase in more mature phenotypes (65, 68). Treatment of male animals with 17 $\beta$ -estradiol (E<sub>2</sub>) also results in relative increases in mature thymocytes with a range of T cell receptor specificities identified by subtypes of the  $\beta$ -chain (V $\beta$ 's) (68). However, after estrogen administration, it is the CD4<sup>+</sup>CD8<sup>-</sup> subset that is increased relative to the CD4<sup>-</sup>CD8<sup>+</sup> subset (69), while the opposite occurs after androgen administration (47). Thus, it appears that while male and female gonadal hormones have similar effects on gross thymic size and on total thymocyte numbers, differential effects on specific thymocyte subsets might be responsible for shifting the balance of functional mature T cell subsets. Estrogen treatment also activates the process of extrathymic T cell maturation, especially in the liver (65). Cells that mature at this site express specificities that are normally forbidden, due to the lack of normal mechanisms of negative selection. Circulation of such potentially autoreactive cells might be postulated to accelerate the tendency toward autoreactivity (65).

Expression of the estrogen receptor (ER) in the thymus has been demonstrated by ligand-binding assays (70–85), immunohistochemistry (86), and northern blot (87). Not all studies agree on the cellular localization of ER within the thymus, but evidence has been reported for ER expression in both thymocytes (73, 75, 81, 87–89) and thymic epithelial cells (52, 74, 83, 86, 90, 91). Many of these studies predate the availability of reagents and techniques that permit unequivocal identification of thymic cell populations, and virtually all of the reported work suffers from the lack of such definitive typing of the cells under study. Nevertheless, it seems most likely that both developing thymocytes and thymic epithelial cells express ER.

3. *Progesterins.* Since pregnancy must be accompanied by some form of "immunosuppression" for the mother to tolerate what is, in effect, a fetal hemigraft, a number of investigators have proposed that progesterone might serve to transmit an immunosuppressive signal in the pregnant state (92). A remarkable degree of thymic involution occurs during pregnancy and reverses in the postpartum period (67). Whether this involution is mediated during pregnancy by progesterone, and whether this thymic involution is at all related to immunological tolerance of the fetus is unknown, since alternative explanations for maternal tolerance of the fetal graft have been proposed (93). The thymus is, however, known to express specific high affinity progesterin-binding activity (86, 92, 94–99). The specific cellular localization of these receptors is largely unexplored by currently available techniques, but immunohistochemical studies using progesterone-horseradish peroxidase conjugate (97) and specific anti-progesterone receptor antibodies (86) have localized progesterone receptor expression to cells of nonlymphoid morphology that are presumed to be thymic epithelial cells.

*B. The T cell compartment—gonadal steroid effects on peripheral T cells may be direct or indirect (Fig. 3B)*

1. *Androgens.* Androgens generally enhance the net functional capacity of CD8<sup>+</sup> cytotoxic/suppressor T cells in the

periphery. One crude measurement of the activity of these cells is their ability to suppress mitogen-induced proliferation of syngeneic target splenocytes. Unseparated spleen cells from castrated male mice are not as effective at inhibiting proliferation of other spleen cells as are cells from intact males; when the castrated male animals are treated with testosterone, the inhibitory capacity of spleen cells is increased (100). Phenotypic analyses and quantification of various subsets within the spleen were not carried out in the initial experiments, and therefore it was not possible to determine whether the observed functional differences were in fact due to quantitative differences in T cell subpopulations. More recent studies have confirmed these findings (47) and have suggested that at least some of the observed effects may be due to the presence of fewer mature T cells in the spleen of castrate male mice (101).

The demonstration of effects of *in vivo* manipulation of androgen levels on cells of the peripheral immune system does not necessarily imply that androgens act directly on these terminally differentiated cells. A number of laboratories have failed to demonstrate AR expression in circulating peripheral T cells by radioligand-binding assays (55, 102), and the spleen has generally been considered to be AR-negative by both radioligand-binding assays and western blot (103, 104). Few observations have been reported of direct effects of androgens on T cells *in vitro*. In some studies, supraphysiological levels of androgens (*i.e.*  $\geq 10^{-6}$  M) have been required to show effects (105). At the present, the weight of evidence seems to indicate that androgens alter peripheral immune system function by effects exerted during process of thymocyte maturation.

**2. Estrogens.** *In vivo* administration of estrogens alters total peripheral T cell activity in a number of model systems in ways that suggest either enhancement of helper/inducer or reduction in suppressor/cytotoxic cellular activity. Disease activity in male NZB/W F<sub>1</sub> and MRL *lpr/lpr* mice is accelerated after castration and estrogen administration (21, 106). In the MRL *lpr/lpr* mouse there is evidence that at least part of the estrogen effect is to depress antigen-specific as well as mitogen-induced T cell responses measured *in vitro* (106).

The best evidence to date for a direct effect of estrogens on a specific T cell subset comes from experiments using the  $\beta_2$ -microglobulin-deficient mouse (107). These mice exhibit a defect in class I MHC expression and, as a consequence, have very low levels of functional CD8<sup>+</sup> cytotoxic T lymphocytes (CTL). Viral infection in these deficient mice is associated with induction of an unusual set of CTL that are class II-restricted and within the CD4<sup>+</sup> T cell population. The immune response mediated by these cells increases mortality in response to infection with lymphocyte choriomeningitis virus (LCMV). While no sexual dimorphism is observed in LCMV infections of normal mice, mortality from this infection is significantly decreased in male  $\beta_2$ -microglobulin-deficient mice compared with corresponding females. Estrogen treatment of castrated males results in a mortality curve that is similar to that of females, suggesting an active role for estrogen in modulating the immune response to the virus. Studies *in vitro* confirm that CD4<sup>+</sup> CTL activity is enhanced by addition of estrogen to the cultures, consistent with the *in*

*in vivo* observations. Mechanisms responsible for the estrogen-induced changes remain undetermined. In human cells *in vitro*, physiological concentrations of estradiol block the negative regulatory effects of a subset of T lymphocytes that suppress immunoglobulin production by B lymphocytes (108).

Direct exertion of estrogenic effects on T cells would be expected to require expression of ER in these putative targets. In peripheral T cells ER expression has been documented by specific [<sup>3</sup>H]estradiol binding to be restricted to the CD8<sup>+</sup> subset (102, 109, 110). In synovial tissues from patients with rheumatoid arthritis, ER expression is found in CD8<sup>+</sup> T cells with phenotypic characteristics of immunological memory as well as in macrophage-like synovial lining cells (110). These observations of restriction of ER expression to CD8<sup>+</sup> cells are discordant with the physiological observations in the  $\beta_2$ -microglobulin "knockout" mouse and with the finding that estrogen amelioration of collagen-induced arthritis in rats does not require CD8<sup>+</sup> cells (111) and are currently unexplained. Uncharacterized tonsillar T cells also have detectable ER mRNA, although no autoregulation of the message by estrogen is demonstrable as it is in whole tonsil mRNA preparations (112).

### C. The B cell compartment—gonadal steroids exert effects on bursa and bone marrow (Fig. 6)

**1. Androgens.** Only a few studies have examined effects of androgens on B lymphocyte-producing organs. In birds the B lymphocytes develop in a lymphoid organ called the bursa of Fabricius. Androgens induce bursal involution. In Japanese quail the normal age-related bursal involution is slowed by castration and accelerated by testosterone implants (113). In mammals B lymphopoiesis is carried out in the bone marrow. Castration of male mice results in expansion of the pre-B cell population in the bone marrow (114); this pre-B cell population can be depleted by treatment with testosterone or

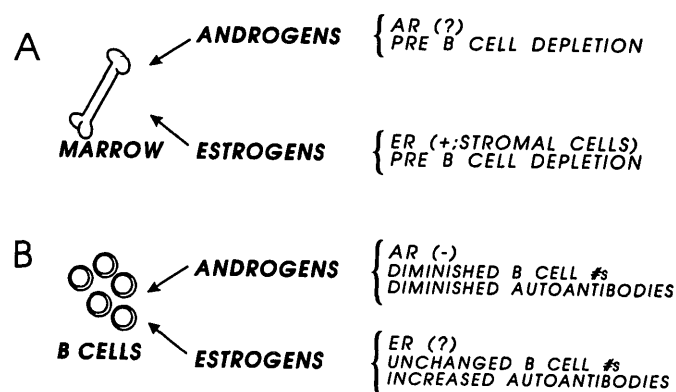


FIG. 6. Summary of known gonadal steroid interactions in the development and expression of humoral immunity. A, Androgens and estrogens both appear to act at the level of the bone marrow to cause pre-B cell depletion but to date only receptors for estrogens have been demonstrated in bone marrow (AR?; ER<sup>+</sup>). B, Androgens and estrogens may also exert direct effects on peripheral B cells. No clear documentation of AR or ER expression in peripheral B cells has been published, but the net effect of androgen action (direct or indirect) appears to be a diminution of B cell numbers and autoantibody production. Estrogens do not affect peripheral B cell numbers, but they do enhance autoantibody production.



dihydrotestosterone (115, 116). Whether the effects are direct or indirect is unknown. Expression of AR in bone marrow B cell precursors has not been documented, but the Raji pre-B cell line does express AR (117).

**2. Estrogens.** The effects of estrogens on B lymphopoiesis have been examined more extensively and are best considered within the context of the recognized steps in B cell development (118). A schematic of the putative pathway of B cell maturation is shown in Fig. 7. The earliest committed lymphoid precursors in the bone marrow are characterized by the expression of terminal deoxynucleotidyl transferases. These cells subsequently acquire expression of B220 (CD45R) and leukosialin (CD43) defining the pro-B cell stage. With further progression, CD43 expression is lost and cytoplasmic  $\mu$ -chains (which define pre-B cells), are acquired. Subsequently, surface IgM is expressed on virgin or immature B cells followed by coexpression with IgD on the mature B cell.

Pregnancy in normal mice does not alter numbers of early pro-B cells. However, all of the subpopulations developing after this stage, including cells responsive to IL-7, are reduced relative to other bone marrow cells (119). A number of observations support the notion that the alteration in B lymphopoiesis during pregnancy is the result of hormonal action. These include the finding that ovariectomy in non-pregnant female mice results in expansion of the numbers of bone marrow B cells; these changes are reversed by estrogen replacement (120). The hypogonadal (hpg) mouse (with partial deletion of the GnRH gene) also has greatly increased numbers of pre-B cells in bone marrow and exhibits a dose-dependent decrease in the numbers of these pre-B cells in response to estrogen replacement (121). A compelling argument for a role of estrogen in B cell development is derived from experiments in which nonpregnant female mice were implanted with pellets containing  $17\beta$ -estradiol ( $E_2$ ) sufficient to produce serum estrogen levels similar to those attained in pregnant mice. Changes in relative numbers of developing B cells in these estrogen-treated animals are generally parallel to those observed in pregnant mice (122). The

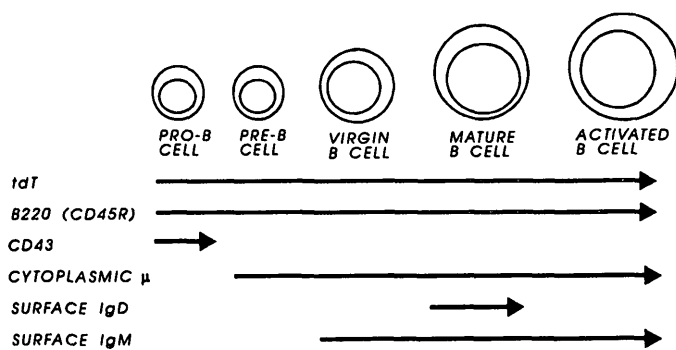


FIG. 7. B cells develop in the bone marrow compartment by orderly, cytokine-driven processes. The earliest committed lymphoid precursors are identifiable by expression of the enzyme terminal deoxynucleotidyl transferase (TdT). Pro-B cells are the first to express B220 (CD45R); subsequently pre-B cells express  $\mu$  immunoglobulin heavy chains in cytoplasm, and eventually display whole IgM on the cell surface. Progression through latter stages of development is believed to be dependent on a number of factors, including interleukin 7 (IL-7) produced by marrow stromal cells and by T-cell derived interleukin 4 (IL-4). [Derived from (118).]

diminution in numbers of bone marrow B lymphocyte precursors is seen within 48 h of a single intraperitoneal dose (1 mg) of  $E_2$  (Fig. 8, top panel). Progesterone administered alone has no effect on B cell precursors but, when combined with  $E_2$ , synergism is observed so that lower doses of  $E_2$  were effective in reducing pre-B cell numbers. These effects of  $E_2$  on bone marrow B cells do not require the presence of the thymus. Bone marrow stromal cells, which have been shown to contain ER mRNA (123) as well as functional ER protein (124), appear to be required for estrogen to exert effects on developing B cells (Fig. 8, bottom panel) (120). Direct contact between the stromal cells and lymphocytes is not required for estrogen to suppress B lymphopoiesis, suggesting that the hormonal effects are mediated by soluble factors (123). Furthermore, estrogen-mediated effects occur in the presence of IL-7, suggesting the involvement of other stromal-derived molecules.

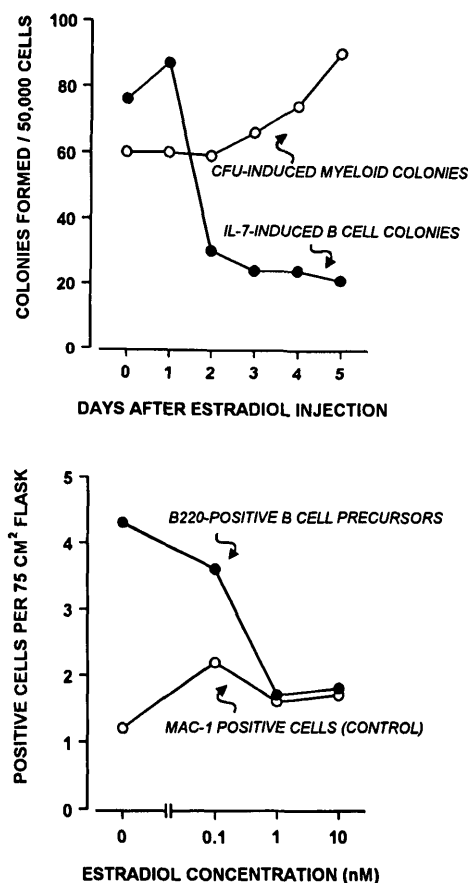


FIG. 8. Estrogens exert suppressive effects on B lymphopoiesis *in vivo* and *in vitro*. Top panel, Estradiol administered as a single 1 mg injection to adult female mice results in depletion of bone marrow B-lymphocyte precursors capable of forming colonies in agar under IL-7 stimulation. Solid circles, IL-7-dependent colony formation; open circles, control CFU-dependent colony formation. [Redrawn from Medina *et al.*: *J Exp Med* 178:1507-1515, 1993 (119).] Bottom panel, Estradiol prevents generation of B220-positive lymphocytes when bone marrow cells are cocultured with ST2 marrow-derived stromal cells. Solid circles show numbers of B220-positive cells; open circles show control Mac-1 positive cells. [Derived from (119) and (120).]

*D. The B cell compartment—gonadal steroid effects on peripheral B cells (Fig. 6B)*

Just as normal immunoglobulin production is quantitatively different between the sexes, the production of autoreactive antibodies by peripheral B cells (at least in mice) is sexually dimorphic. Female mice of several strains have been shown to produce higher levels of autoreactive antibodies directed against bromelain-treated erythrocytes, double-stranded DNA, and cardiolipin than age-matched males (125–127). Differences between males and females are most marked for the NZW strain, which has a normal phenotype but carries susceptibility genes that contribute to the development of autoimmune disease in the NZB/W F<sub>1</sub> hybrid (125). The hormonal differences between the sexes appear to exert modulating effects on the genetic factors that predispose toward development of autoimmunity.

**1. Androgens.** The effects of androgen removal on peripheral B cells have been examined in normal male mice (101). A 40% increase in spleen weight is observed after castration (101, 128). This splenic enlargement is accounted for, at least in part, by a relative expansion of the B cell population. In intact males, B cells constitute 45% of splenic lymphocytes compared with 56% for castrated animals; the major subpopulation of B cells, which do not express CD5, represents only 37% of control spleens but 51% of castrate spleens ( $P = 0.015$ ) (101). In NOD mice, castration also results in an increase in spleen cell yield, which is largely accounted for by a relative expansion of the B cell population (42).

The functional significance of the expansion of peripheral B cell populations under androgen-deficient conditions is not clear, particularly with respect to the appearance of autoimmunity. The CD5<sup>+</sup> B cell subset, which has been implicated as an important source of autoantibodies (125), is not expanded in spleens of castrate males (101, 125). Nevertheless, spleen cells from castrate animals show a small, but statistically significant, increase in autoantibody production *in vitro* that cannot be attributed to polyclonal stimulation (101). These increases are observed only with the addition of pokeweed mitogen, but not lipopolysaccharide (LPS), to the cultures, suggesting T cell dependence of the enhanced response. However, alterations of androgen status in C57Bl/6 mice do not affect the spontaneous appearance in serum of autoantibodies directed against cardiolipin or DNA (126, 127), and spontaneous antibody production as measured by counts of plaque-forming cells is not increased by androgen deprivation in the NOD mouse (42), possibly due to defective T cell-mediated helper function.

There is little evidence to support direct actions of andro-

gens on peripheral B cells. Examination of immortalized peripheral human B cells (blood or tonsillar B cells) does not reveal any lines expressing AR (117). Neoplastic B cells are generally AR-negative; one exception is the Raji pre-B cell line (117).

**2. Estrogens.** Estrogen treatment of normal male or female mice results in a large increase in numbers of autoantibody-producing cells without changes in total numbers of B cells (127). Autoantibodies to cardiolipin (126) and to double-stranded DNA (127) are increased in the estrogen-treated animals. These results suggest that estrogen enhances production of antibodies by B cells. Total antibody production was not reported in either of these studies, however, and therefore the relative specificity of the estrogen effect for autoreactive species could not be determined.

Overall, addition of estrogens or removal of androgens enhances B cell responses with a tendency toward production of autoreactive antibodies, even in normal animals. Mechanisms responsible for these hormonal effects on B cells have not been defined, but other data suggest that T cells are an important component of the response to hormonal effects (108, 129).

#### IV. Molecular Biology of Gonadal Steroid/Immune System Interactions: Cytokines as Mediators of the Effects of Gonadal Steroids in the Immune System

Soluble mediators produced by cells of the immune system contribute to both general and specific, as well as local and systemic, expressions of immune function. These mediators, generally termed cytokines, control innate, nonspecific “natural” immune responses that do not depend on prior foreign molecule exposure as well as highly specific, antigen-dependent responses of “acquired” immunity (Table 3). Autoimmune responses are known to be mediated by some of these cytokines. Understanding how gonadal steroids modulate immune responsiveness will obviously involve defining whether these hormones influence the development of cells that express specific cytokines, and whether gonadal steroids directly regulate the expression of specific cytokine genes in the differentiated cells of the immune system. Such explorations have only begun.

##### A. Mononuclear cell products that drive “natural” immunity (Table 3)

**1. Interleukin-1 (IL-1).** This polypeptide molecule produced predominantly by cells of the monocyte/macrophage

TABLE 3. Some cytokines experimentally implicated in hormonal effects on immune function: cytokines that drive “natural” (not antigen-specific) immunity

Cytokine	Cell source	Known targets	Known actions	Hormonal effect
IL-1	Monocytes	Thymocytes, T cells, endothelial cells, hypothalamus	Costimulation, induction of fever and acute phase proteins	Increased by estrogens (126–127)
IL-6	T cells	Thymocytes, B cells, hepatocytes	Activation, proliferation, acute phase response	Increased in PBMC's by estrogens (130)
IL-6	Bone marrow stromal cells	Thymocytes, B cells, hepatocytes	Activation, proliferation, acute phase response	Inhibited by estrogen and by androgens (132–135)



lineage was originally defined by its costimulatory influence on T lymphocytes, increasing their proliferation in response to polyclonal activators such as Concanavalin A (Con A) or phytohemagglutinin (130). Its major function is, however, now believed to be as a mediator of "natural" immunity, *i.e.* inflammatory responses not based on specific recognition of antigens by differentiated T cells.

Sexually dimorphic levels of expression of IL-1 have been demonstrated in normal humans and in normal mouse strains. In mice, plasma levels of IL-1 measured 4 h after injection of LPS are 4-fold greater in female mice than in males (131). Similarly, peritoneal adherent cells from adult female rats show greater spontaneous IL-1 secretion than cells from males. Ovariectomy leads to decreased levels of IL-1 synthesis, and this effect is reversed by estrogen replacement (132). In contrast, levels of TNF $\alpha$ , a related cytokine that is also produced by monocytes and has similar biological functions, does not show sexually dimorphic levels of expression (131, 132). Mononuclear cells isolated from peripheral blood from normal women and cultured under nonstimulating conditions secrete more IL-1 than cells from men (133). The highest levels of IL-1 secretion from women's cells are observed during the follicular phase of the menstrual cycle (133). The differences in cellular secretion of IL-1 correlate with differences in the urinary excretion of IL-1, suggesting that the *in vitro* measurements reflect *in vivo* levels of production. Under conditions of stimulation with LPS (bacterial endotoxin) *in vitro*, mononuclear cells from men and women produce similar amounts of IL-1, suggesting that while the capacity to produce IL-1 is not different in males and females, *in vivo* stimulators that are present in females cause higher levels of IL-1 to be actively produced.

The potential importance of gender differences in IL-1 levels as applied to arthritis is shown by observations made in an *in vivo* animal model of cartilage damage (134). Subcutaneous implantation of cartilage in normal mice results in formation of granulomatous tissue and degradation of the implant as measured by loss of glycosaminoglycans. Female mice show more marked cartilage loss than males, and castration of males results in degradation levels similar to those seen in intact females. These changes in cartilage content parallel the measured IL-1 levels in the granulomatous tissues surrounding the implant with higher IL-1 levels in castrated males than in their intact counterparts. Androgen replacement in castrates reverses these changes.

Gender-specific differences in IL-1 secretion may relate to the function of this cytokine in the reproductive system, where it exerts effects on development of the ovarian follicle. Thus, although the primary reason for elevated IL-1 production in females may lie outside of the immune system, a

secondary result may be that female "natural" immunity is in a higher state of activation, at least during reproductive years. IL-1 has not been implicated to date as a mediator in sexually dimorphic models of autoimmunity. However, cytokines induced by IL-1, such as interleukin-2 (IL-2) (see below), are important factors in inflammatory and immune responses that relate directly to autoimmunity. Whether gender-related differences in IL-1 expression exert effects leading to autoimmune responses remains unknown.

2. *Interleukin-6 (IL-6)*. This monocyte-derived cytokine can also be derived from vascular endothelial cells or fibroblasts in response to IL-1 and has a range of activities that overlap with those attributed to IL-1. All of the elements of the acute phase response can be induced by IL-6, which transcriptionally activates acute phase protein production by hepatocytes. Important actions of IL-6 also include induction of lymphocyte proliferation and B cell differentiation with IgG secretion. Elevated levels of IL-6 have been linked to human autoimmune diseases including rheumatoid arthritis and SLE (135, 136).

Estradiol (E<sub>2</sub>) stimulates IL-6 secretion by LPS-activated human peripheral blood mononuclear cells, but supraphysiological concentrations of hormone ( $\geq 10^{-6}$  M) are required to achieve the effect (137). Progesterone and testosterone have no effect on IL-6 production in peripheral blood mononuclear cells, even at high concentrations (137). Studies of the effects of hormones on skeletal homeostasis have revealed that bone marrow stromal cells produce IL-6, which promotes osteoclastogenesis. Estrogens, at physiological concentrations, inhibit this stromal cell production of IL-6 (138, 139). Testosterone and progesterone are also generally suppressive in this system, but 100-fold higher concentrations are required (139). The findings in marrow stromal cells suggest that gonadal steroids could influence IL-6 expression in the immune system since both androgens and estrogens exert negative regulatory effects on the IL-6 promoter through specific, receptor-mediated mechanisms (140, 141). The ER-mediated inhibition at the IL-6 promoter involves direct interaction of ER with NF $\kappa$ B and C/EBP- $\beta$  (142).

#### *B. T cell products that regulate lymphocyte activation and differentiation (Table 4)*

1. *Interleukin-2 (IL-2)*. IL-2 is a small polypeptide mediator produced by the Th<sub>1</sub> subset of helper T cells; it acts in an autocrine and paracrine fashion to stimulate other T cells to proliferate, thus amplifying local immune responses (143). Receptors for IL-2 are up-regulated by IL-2 itself and are located on many cells within the immune system, including

TABLE 4. Some cytokines experimentally implicated in hormonal effects on immune function: cytokines that regulate lymphocytes

Cytokine	Cell source	Known targets	Known actions	Hormonal effect
IL-2	Th <sub>1</sub> cells	T cells, B cells, NK cells	Costimulation, IL-2 and IL-2R induction	Decreased by androgens (99)
IL-4	Th <sub>2</sub> cells	T cells, B cells	Costimulation, induction of differentiation, IgE production	Increased by estrogens (137, 139)
TGF $\beta$	Thymocytes	T cells, monocytes	Inhibition of growth and activation, angiogenesis	Increased by androgens (142)

NK, Natural killer.

T cells, B cells, and macrophages. Processes that depend on T cell mediation can therefore be accelerated by IL-2.

The influence of hormonal status on IL-2 expression by T cells has been examined in only a few studies, but little evidence has been adduced for any physiologically significant effect. Studies in normal male C57 Bl/6 mice show that IL-2 production by Con A-stimulated mixed populations of spleen cells is roughly 50% higher for cells from castrates than for intact males (101). The result does not appear to be due to increased number of T cells, since the castrate spleens actually contain fewer T cells than spleens from intact animals but must be attributed to increased rates of cellular production. However, no sexual dimorphism of IL-2 production can be demonstrated in anti-CD3-activated spleen cells from males and females of the normal C3H mouse strain (144). These two studies differ in that anti-CD3 is a more generalized activator than Con A, which targets only a subset of T cells, and dimorphic responses of discrete subsets could be masked. Available evidence does not indicate that androgens directly regulate IL-2 production in T cells. Dihydrotestosterone, added *in vitro*, has no effect on production of bioactive IL-2 from T cell hybridomas (144). Whether these cells express ARs is unknown.

**2. Interleukin-4 (IL-4).** Originally defined as a growth factor for B lymphocytes, IL-4 has been shown to have a wide range of functions (145). In addition to stimulating B and T cell growth, this cytokine induces class II MHC expression on a variety of cells and acts as a switch factor for turning on B cell production of the IgG and IgE classes of immunoglobulins. IL-4 is a defining cytokine for the Th<sub>2</sub> subset of helper T cells and acts on target cells over short distances, which suggests an important local role directed at sites of inflammation (145).

IL-4 production by activated spleen cells has been reported to be higher in normal female mice than in males of the same strain [C3H/HeN] (144). The difference is small (332 U/ml in females *vs.* 189 U/ml in males), but statistically significant ( $P = 0.012$ ). Furthermore, treatment of male mice with a 5 $\alpha$ -reductase inhibitor to prevent conversion of testosterone to dihydrotestosterone results in a cytokine profile that approximates that of females. Hormone levels after treatment with the inhibitor were not measured, so effects on the relative levels of estrogens and androgens could only be inferred. Levels of IL-4 produced by splenocytes are increased during pregnancy in normal mice, while IL-2 levels do not change (146). These findings are consistent with enhanced antibody production and decreased cell-mediated cytotoxic responses that occur during pregnancy. Spleen cells from normal mice of the C57Bl/6 strain do not produce detectable levels of IL-4; effects of male castration on this cytokine could not be demonstrated (101). High levels of IL-4 production are

present in the androgen-resistant *Tfm* (testicular feminization) mouse, but are not due to the androgen-receptor defect in these animals, since normal Tabby male littermates of these mice also produce high levels of IL-4 (147). Transcriptional regulation of IL-4 by steroid hormones has not been reported.

**3. Transforming growth factor- $\beta$  (TGF $\beta$ ).** This homodimeric molecule was originally described as a regulator of neoplastic cell growth, as implied by its name. In the past decade, interest in TGF $\beta$  has resulted in generation of a long list of actions and effects within the immune system (148). Most, but not all, of these effects are negative or down-regulatory. TGF $\beta$  has antiproliferative effects on T cells and thymocytes, interferes with the activities of other cytokines such as IL-2, and interferes with induction of the IL-2 receptor.

Levels of TGF $\beta$ <sub>1</sub> mRNA and the corresponding bioactive protein are increased in the thymus after androgen replacement in castrate male mice (149). At least one source of this thymic TGF $\beta$  is the thymocytes themselves (149). This up-regulation of TGF $\beta$  within the thymus gland could contribute to androgen-induced thymic involution. Whether thymic production of TGF $\beta$  contributes to or mediates the apparent effects of androgens on systemic immune function is more speculative. Both androgens and TGF $\beta$  have ameliorating effects in at least one model of immune activation, streptococcal cell wall-induced arthritis in rats (26, 150).

#### C. T cell products that regulate immune-mediated inflammation (Table 5)

**1. Interleukin-5 (IL-5).** Originally identified as an eosinophil-differentiating factor, IL-5 was subsequently found to be a relatively large 45-kDa molecule that was distinct from other known stem cell-stimulatory factors. This product of activated Th<sub>2</sub> cells is now known to have B cell growth factor activity as well as the ability to induce the B cell switch to IgA synthesis. Receptors for IL-5 have been detected on B cells and eosinophils but not on T cells.

Reports of the effects of gonadal steroids on IL-5 gene expression appear to be contradictory. Some activated murine T cell hybridomas have been found to down-regulate bioactive IL-5 production in the presence of 10<sup>-7</sup> M dihydrotestosterone (144). In the murine T cell hybridoma NIMP-TH1 and the T cell lymphoma EL-4, androgens induce IL-5 mRNA expression, apparently by a transcriptional effect (151). Progesterone treatment of antigen-specific CD4<sup>+</sup> T cell clones has been shown to stimulate production of IL-5 (152), although the effect required supraphysiological hormone concentrations (10<sup>-5</sup> M). Expression of specific gonadal ste-

TABLE 5. Some cytokines experimentally implicated in hormonal effects on immune function: cytokines that modulate immune-mediated inflammation

Cytokine	Cell source	Known targets	Known actions	Hormonal effect
IL-5	T cell hybridoma, T cell lymphoma	Eosinophils, B cells	Activation, proliferation, IgA production	Increased (144) or decreased by androgens (137)
IFN $\gamma$	Th <sub>1</sub> cells	Monocytes, endothelial cells, other	Activation, MHC I and II induction	Increased by estrogens (150), decreased by androgens (99, 137)

roid receptors in the respective cell lines was not demonstrated in these reports.

2. *Interferon- $\gamma$*  (*IFN $\gamma$* ). *IFN $\gamma$*  is a defining cytokine for the  $Th_1$  subset of helper T cells but is also produced by  $CD8^+$  cells. This cytokine has pleiotropic effects, generally augmenting cell-mediated responses by up-regulating expression of class I and class II MHC molecules in target cells both within and outside of the immune system (153). Up-regulation of these MHC molecules enhances antigen presentation to differentiated effector lymphocytes. In addition, *IFN $\gamma$*  activates mononuclear and polymorphonuclear phagocytic cells and promotes the differentiation of  $Th_1$  cells and the maturation of  $CD8^+$  cells while inhibiting the proliferation of  $Th_2$  cells.

Female mice produce higher levels of *IFN $\gamma$*  than male mice in response to stimulation by infectious agents such as mycobacteria and viruses (154–156). The sexual dimorphism appears to be hormonally mediated since estrogen treatment of Con A-stimulated spleen cells increases steady state levels of *IFN $\gamma$*  mRNA (157), castration of normal male mice leads to elevated production of *IFN $\gamma$*  by activated spleen cells (101), and dihydrotestosterone inhibits *IFN $\gamma$*  production by anti- $CD3$ -activated murine T cells *in vitro* (144). Moreover, estrogens directly regulate the transcriptional activity of the *IFN $\gamma$*  promoter, through a *cis*-acting element (or elements) in the DNA between 0.5 and 3.2 kb upstream of the transcriptional start site (Fig. 9) (157). Transcriptional enhancement through the putative estrogen response elements in this promoter is dependent on ER expression (157).

### V. Therapeutic Implications for Autoimmune Diseases

It is not surprising that studies of hormonal modulation in animal models have triggered interest in the use of such agents in the treatment of human autoimmune disease (158). Testosterone treatment in hypogonadal males with rheumatoid arthritis results in significant decreases in joint tenderness scores and in levels of the rheumatoid factor autoanti-

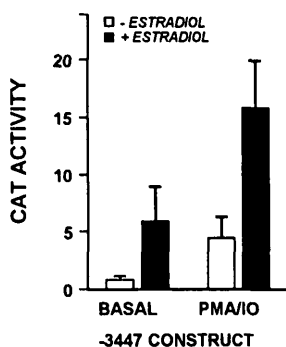


FIG. 9. Estrogens regulate the *IFN $\gamma$*  promoter. The human T cell line Jurkatt was transfected with human ER expression vector and with reporter plasmids expressing chloramphenicol acetyl transferase (CAT) under control of the proximal –3447 bases of the murine *IFN $\gamma$*  promoter. Cells were cultured in the absence (*open bars*) or presence (*solid bars*) of estrogen under basal conditions or under simultaneous stimulation with the T cell activators phorbol ester and calcium ionophore. Relative CAT activity compared with untreated cells is shown. [Derived from (157).]

body (159). Relative numbers of peripheral  $CD8^+$  T cells also show increases after 3 to 6 months of testosterone. No significant side effects were associated with this treatment. On the other hand, treatment of women with androgens involves the obviously undesirable side effect of masculinization and, therefore, initial trials were performed using weaker androgens such as danazol or 19-nortestosterone (160, 161). In one series of seven female patients with lupus, treatment with danazol was reported to show some improvement in disease parameters with few side effects (160). However, this study was small and the patient population was too heterogeneous to form major conclusions. Data interpretation was complicated by the fact that some of the women were postmenopausal, and relatively high concomitant doses of prednisone (20 mg/day) were allowed. In studies with 19-nortestosterone, female patients showed some clinical improvement, but serological parameters were not significantly changed (161). Male patients in this study actually had worsening of disease, possibly due to *in vivo* conversion of the administered androgen to compounds with estrogenic activity. A total of three cases have been reported of hypogonadal males with Klinefelter's syndrome in whom normalization of the serum testosterone concentration was associated with correction of serological abnormalities (including elevated anti-double-stranded DNA titers in one case) and low complement levels (15, 36). These findings suggest that androgens can modulate disease status in patients with autoimmune disease, but that compounds with significant androgenic activity are required.

Recent interest in the potential therapeutic effects of the adrenal androgen dehydroepiandrosterone (DHEA) was raised by a study of women with SLE (162). Clinical improvement was observed in this small, open-label trial, although many of the changes did not attain statistical significance. Serum levels of testosterone in the treated patients were elevated above the normal range for females, and some individuals may have had levels approaching the normal male range. Mechanisms underlying the observed clinical improvement were not determined, and the issue of whether this therapeutic effect was actually due to the elevated levels of testosterone or to an independent and direct effect of DHEA on cells of the immune system remains unresolved.

A therapeutically effective androgen that would produce acceptably low levels of masculinizing side effects would be a desirable goal for drug development. Such an agent would be possible if ARs or post-receptor signaling pathways in cells of the immune system differed from those in other target tissues. However, to date, no structurally or biologically different AR molecules have been described in nonmalignant tissues, and postreceptor signaling pathways have not been characterized fully in any cell. Identification of gene products that mediate androgen actions in the immune system would have obvious therapeutic implications for autoimmunity.

### VI. Future Directions

It is difficult to propose any detailed model for the cellular and molecular mechanisms by which gonadal steroids mod-

ulate immune function. The observations on which such a model would be based are simply too fragmentary. However, it is clear that the era of phenomenology is about to close and that tools are now available for mechanistic studies of the nature of gonadal steroid/immune system interactions.

Existing data do provide some general outline that could guide consideration of how gonadal steroids interact with the immune system (Fig. 10). First, it is a reasonable assumption that gonadal steroid effects in the immune system are exerted through specific receptors for the respective hormones. These receptor systems have been well characterized, and their expression in specific target cells is likely necessary. The target cell types need not be restricted to lymphoid cells but may include any cell type that interacts with immune effector cells, such as thymic epithelial cells or bone marrow stromal cells. Second, the majority of well characterized receptor-mediated effects of gonadal steroids are exerted by modulating specific gene expression. Steroid hormone receptors function as ligand-activated transcription factors, and mechanisms of immune system modulation are likely to involve effects on gene transcription. Third, it is of importance to remember that gonadal steroids function as regulators of development, as well as regulators of gene expression in differentiated cells. For example, testosterone initiates a program of differentiation on components of the urogenital tract that would otherwise follow a default pathway of involution (163). These developmental effects may involve processes and mediators distinct from those involved in the action of gonadal steroids on differentiated cells. Finally, consideration must be given to the possibility that some hormonally regulated cytokines have important local actions within the reproductive system with "spill-over" effects on the immune system.

What experimental approaches are likely to yield mechanisms of potential importance for understanding the female predominance of many autoimmune diseases? Two broad areas of inquiry suggest themselves. First, developmental

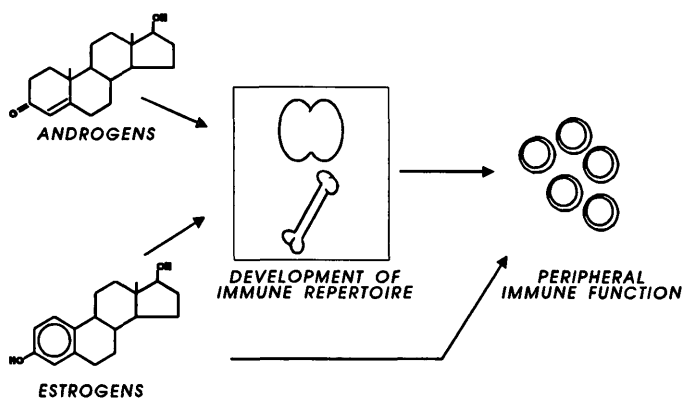


FIG. 10. Gonadal steroids likely modulate immune function by effects on developmental processes as well as effects on differentiated cells. A rudimentary working model of the sites of action of gonadal steroids on the immune system incorporates hormone actions exerted at the level of immune repertoire development (in thymus and bone marrow) as well as effects on mature peripheral T and B cell function. Available data indicate that both androgens and estrogens exert effects "centrally" on thymus and bone marrow. To date, estrogens, but not androgens, have been found to exert effects on mature peripheral immune cells.

studies in animals with specific defects in hormonal signaling targeted to the immune system will be of considerable interest. These studies may use antisense techniques, dominant negative receptor forms, or homologous recombination techniques to target disruption of gonadal steroid receptor expression or function in immune target cells. Naturally occurring human and animal mutations in AR or ER may also permit analysis of immune system development and function in affected subjects. Second, the design and implementation of *in vitro* systems for study of T and B cell maturation will permit the analysis of cellular interactions involved in hormonal effects. The identification of relevant cell-cell interactions that may mediate gonadal steroid effects on immunity will likely be achieved by use of such *in vitro* systems.

It is extremely unlikely that hormone-mediated differences in immune reactivity are of etiological importance in the development of autoimmune diseases. However, the weight of clinical, epidemiological, and experimental evidence implicates gonadal steroids as powerful modulators of immune system function and autoimmune disease activity. The exploration of these modulatory effects holds promise not only for the explanation of the observed phenomena but also for the application of new therapies to help those afflicted by these diverse diseases.

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