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## Graphitic Nanocarbon with Engineered Defects for High-Performance Potassium-Ion Battery Anodes

Wenli Zhang, Jun Ming, Wenli Zhao, Xiaochen Dong, Mohamed N. Hedhili, Pedro M. F. J. Costa, and Husam N. Alshareef\*

12 The application of graphite anodes in potassium-ion battery (KIB) is limited 13 by the large variation in lattice volume and the low diffusion coefficient of 14 15 potassium ions during (de)potassiation. This study demonstrates nitrogen-16 doped, defect-rich graphitic nanocarbons (GNCs) as high-performance KIB 17 anodes. The GNCs with controllable defect densities are synthesized by 18 annealing an ethylenediaminetetraacetic acid nickel coordination compound. 19 The GNCs show better performance than previously reported thin-walled 20 21 graphitic carbonaceous materials such as carbon nanocages and nanotubes. 22 In particular, the GNC prepared at 600 °C shows a stabilized capacity of 23 280 mAh g<sup>-1</sup> at 50 mA g<sup>-1</sup>, robust rate capability, and long cycling life due to 24 its high-nitrogen-doping, short-range-ordered, defect-rich graphitic struc-25 ture. A high capacity of 189 mAh  $g^{-1}$  with a long cycle life over 200 cycles 26 27 is demonstrated at a current density of 200 mA g<sup>-1</sup>. Further, it is confirmed 28 that the potassium ion storage mechanism of GNCs is different from that 29 of graphite using multiple characterization methods. Specifically, the GNCs 30 with numerous defects provide more active sites for the potassiation process, 31 which results in a final discharge product with short-range order. This study 32 opens a new pathway for designing graphitic carbonaceous materials for 33 34 KIB anodes. 35

## <sup>37</sup><sub>38</sub> **1. Introduction**

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Lithium-ion batteries (LIBs) have dominated the rechargeable
battery market from the handheld devices to electric vehicles
since their commercialization in 1991.<sup>[1-3]</sup> However, the scarcity
and the increasing cost of lithium sources raise the concern

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about our heavy reliance on LIBs, because 12 the cost of per round-trip energy output 13 has become a critical consideration in 14 emerging energy storage applications.<sup>[4–6]</sup> 15 The need for low-cost energy storage 16 devices motivates the paradigm transition 17 from LIB to rechargeable batteries with 18 earth-abundant elements.<sup>[7–10]</sup> 19

Although sodium-ion battery (SIB) 20 has received great attention in the past 21 two decades due to its similar chemistry 22 with LIB, its commercialization is still 23 far away.<sup>[11–14]</sup> Alternatively, potassium-24 ion battery (KIB) has been less explored, 25 although KIBs possess several great 26 advantages such as: i) low cost of potas-27 sium sources (e.g., 1000 \$ per ton of 28 potassium carbonate versus 23 000 \$ per 29 ton of lithium carbonate);<sup>[15]</sup> ii) low cost 30 current collector, instead of copper, alu- 31 minum can be used as the anode cur-32 rent collector;<sup>[16]</sup> iii) K<sup>+</sup> has lower Lewis 33 acidity leading to a smaller Stokes radius 34 of  $K^+$ ;<sup>[17]</sup> iv) low potential of  $K/K^+$  redox 35 couple (-2.93 V) making it possible to 36

develop 4 V KIB.<sup>[18,19]</sup> Despite these positive attributes, the 37 development of high-performance KIB anode has been chal- 38 lenging.<sup>[20-25]</sup> The successful commercial LIB anode, graphite, 39 has a theoretical capacity of 376 mAh  $g^{-1}$  with the formation 40 of Li-saturated intercalation compound LiC<sub>6</sub>. When graphite 41 is used as the anode for SIB with carbonate-based electrolyte, 42 the capacity of graphite is limited to 35 mAh  $g^{-1}$ ,<sup>[26]</sup> which is a 43 major barrier for the commercialization of SIB. The failure of 44 graphite in SIBs has triggered the interest in the investigation 45 of KIBs. Fortunately, potassium ions can intercalate into the 46 graphite forming a stage I KC<sub>8</sub> compound (corresponding to 47 a theoretical capacity of 273 mAh g<sup>-1</sup>), which has been dem-48 onstrated in the pioneering work by Komaba et al., Luo et al., 49 and Jian et al.<sup>[22,23,27]</sup> Various graphitic carbon materials, such 50 as graphene, carbon nanotube, polynanocrystaline carbon, and 51 graphitic carbon nanocages, have been investigated as anodes 52 for KIB.<sup>[24,28-33]</sup> However, the formation of saturated stage I KC<sub>8</sub> 53 leads to an enlargement of the (002) interlayer spacing from 54 0.335 to 0.532 nm corresponding to a 58% lattice expansion 55 along the *c*-axis of graphite, much higher than in LIB (viz. 10% 56 expansion for  $LiC_6$ .<sup>[15,34]</sup> The huge expansion of graphite layers 57 makes graphite an unstable anode, which is responsible for its 58 low rate capability and inferior cycling stability. The pursuit of 59

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a stable, high-capacity graphitic anode for KIB has attracted the
 attention of battery scientists.

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3 Nanocarbon with ultrathin carbon layer has been dem-4 onstrated to be an effective high-performance anode of 5 KIB. Ultrathin carbon layers enable both short K ion diffusion distances and structural integrity during (de)potassia-6 7 tion.<sup>[20,30,33,35,36]</sup> Nevertheless, the multiwall carbon nanotube 8 is not suitable as KIB anode, possibly because its closed cylin-9 drical walls are not accessible for potassium ions.<sup>[15]</sup> Creating defects in the carbon electrodes could facilitate the electro-10 chemical reactions at the defect site.<sup>[37]</sup> On the one hand, the 11 creation of C-C sp<sup>3</sup> defects in graphitic carbon could provide 12 pathways for efficient potassium ion diffusion. On the other 13 hand, nitrogen-doping-induced defect has been demonstrated 14 to be useful for breaking through the capacity limitation of 15 graphite.<sup>[20,38]</sup> Nitrogen, which has a higher electronegativity 16 17 than carbon, could provide extra active sites for the storage 18 of K ions other than the spacing between graphene layers. By 19 preparing graphitic carbon that combines high-level nitrogen 20 doping with a certain amount of C-C sp<sup>3</sup> defects, one could 21 achieve high capacity, good cycling stability, and excellent rate 22 capability.

23 In this work, we propose a new, facile approach for the syn-24 thesis of nitrogen-doped graphitic nanocarbons (GNCs). We 25 prepared GNCs with controllable nitrogen-doping-induced 26 and C-C sp<sup>3</sup> defect densities, and lengths of graphene layers 27 by tuning annealing temperature. The optimized GNC anode 28 exhibits excellent electrochemical performances. A high capacity of 189 mAh g<sup>-1</sup> with a long cycle life over 200 cycles is 29 30 demonstrated at a current density of 200 mA g<sup>-1</sup>. Mechanistic investigations of the K<sup>+</sup> uptake process reveal an interesting K<sup>+</sup> storage process in our GNCs.

### 2. Results and Discussion

GNCs were prepared through annealing the ethylenediami-7 netetraacetic acid (EDTA) nickel coordination compound 8 and sodium chloride mixture (the chemical structure of 9 EDTANi · 2NaCl is shown in Figure 1a). EDTANi · 2NaCl was 10 prepared through a coordination reaction, in which EDTA diso-11 dium salt and NiCl<sub>2</sub> react in aqueous solution. Na and Cl ions 12 form the NaCl ionic compound crystals upon drying (verified by 13 X-ray diffraction (XRD) in Figure S1, Supporting Information). 14 Figure 1 illustrates the synthesis processes of GNCs. NaCl in 15 EDTANi · 2NaCl does not change during the annealing pro-16 cess, while the EDTANi compound is carbonized, and finally, 17 the pyrolysis product contains nickel metal, carbon, and NaCl 18 (Figure S2a, Supporting Information). Further, GNCs were 19 obtained through a hydrochloric acid etching process. During 20 the annealing process, the nickel metal inside the carbon skel-21 eton serves as graphitization catalyst. Graphene layers grow 22 on nickel particles and the graphitic nanobubble morphology 23 forms (Figure 1b). Upon acid leaching, the nickel particles 24 inside the carbon skeleton are washed off, and a hollow bubble 25 structure forms (Figure 1c). The GNCs contain  $C-C \text{ sp}^3$  and 26 nitrogen-doping-induced defects (shown in Figure 1d,e). The 27 hollow bubble structure is beneficial for accommodating the 28 volume variation during (de)potassiation. The nitrogen-doped 29 defects contribute to the pseudocapacitance and the C-C sp<sup>3</sup> 30



Figure 1. Schematic illustration of the synthesis processes of GNCs. a) Chemical structure of EDTANi · 2NaCl, b) the GNC with Ni metal catalyst inside,
 c) the hollow structure of GNC (top: 2D view; bottom: 3D view); d) the atomic illustration of GNC with the sp<sup>3</sup> C-C induced and nitrogen-doping
 induced defects, and e) the 3D view of a GNC.

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defects provide pathways for efficient K ion diffusion. The 1 2 defects and graphitic structure can be tuned by changing the annealing temperature. 3

4 Annealing temperature significantly influences the mor-5 phology and structure of the pyrolysis product. With increasing temperature, the intensity of the (002) peak of graphite inten-6 7 sifies (Figure S2b, Supporting Information), which indicates 8 the formation of graphitic carbon with a higher degree of 9 crystallinity. The inner cores inside the annealing product are 10 demonstrated to be nickel with the help of energy dispersive 11 spectrometry (EDS) elemental mapping technique (Figure S3, Supporting Information). The sizes of nickel particles and GNC 12 increase with temperature (Figure S4, Supporting Information). 13

The morphologies and structures of GNCs were ana-14 15 lyzed through scanning electron microscopy (SEM) and high-resolution transmission electron microscopy (HRTEM) 16 17 (Figure 2). With increasing temperature, the size of the nano-18 carbons increases. GNC600 has a size of dozens of nanometers 19 (Figure 2a), GNC700 has a size of about one hundred nanom-20 eters (Figure 2b), while GNC800 has some bubbles larger than 21 200 nm (Figure 2c). The thickness of the carbon shells of GNC 22 decreases (Figure 2d-f) with increasing annealing temperature. The thin carbon shells of GNCs are beneficial for fast K ion 23 24 diffusion. GNC600 shows a short-range ordered graphene laver ( $\approx$ 10 nm) with clear disordered C–C sp<sup>3</sup> defects (Figure 2d). The 25 26 well-aligned short-range graphene layers originate from the cat-27 alytic effect of nickel, which is demonstrated by the much more 28 disordered structure of the carbon obtained from annealing 29 the EDTA disodium salt without nickel catalyst (Figure S5, 30 Supporting Information). GNC700 has well-developed graphene 31

layers (several dozen nanometers long), and some disordered 1 regions are found in GNC700 (Figure 2e). Although GNC800 2 shows the best crystalline structure, some defects still exist 3 (Figure 2f). All the graphitic regions of GNCs show the (002) 4 interlayer spacing of 0.340 nm, which is close to the theoretical 5 interlayer spacing of graphite (0.335 nm). 6

Physicochemical methods, such as X-ray diffraction, Raman, 7 N2 adsorption/desorption, and X-ray photoelectron spectros- 8 copy (XPS) were carried out to further clarify the structural evo- 9 lution and surface chemistry of the GNCs. The XRD peaks at 10 26.5°, 42°, and 44° are indexed to the (002), (100), and (101) 11 crystal planes of graphite (Figure 3a). The intensified (002) 12 peak with increased annealing temperature demonstrates the 13 increased crystal size of GNCs. No peak shift is observed for 14 the (002) plane, which indicates an unchanged average inter- 15 layer spacing for the (002) planes. R-value, introduced by Dahn 16 and co-workers,<sup>[39]</sup> was calculated from XRD patterns to quan- 17 tify the defects inside the carbonaceous materials (calculation 18 method is shown in Figure S6, Supporting Information). The 19 R-values of GNC600, GNC700, and GNC800 are 2.04, 6.63, and 20 14.5, respectively, which demonstrates the increase of crystal 21 size and the decrease in the density of defects in accordance 22 with the HRTEM results. 23

The GNCs show coincident Raman peaks, with a D peak at 24 1350 cm<sup>-1</sup> induced by the defects in GNC, a G peak at 1580 cm<sup>-1</sup> 25 induced by graphitic structure, and a 2D peak at 2700 cm<sup>-1</sup> 26 originating from the second order zone-boundary phonons 27 (Figure 3b). The  $I_D/I_G$  ratio decreases with increasing annealing 28 temperature (Figure 3c), demonstrating an increased degree of 29 graphitization in agreement with the HRTEM and XRD results. 30

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58 Figure 2. The a-c) SEM and d-f) HRTEM images of a,d) GNC600, b,e) GNC700, and c,f) GNC 800. The insets of (d), (e), and (f) are selected areas 59 (blue squares) and their corresponding fast Fourier transform images.

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Figure 3. The physicochemical properties of GNCs. a) The XRD patterns, b) the Raman spectra with c) the  $I_D/I_G$  and  $I_G/I_{2D}$  ratios, d) the N<sub>2</sub> adsorption/desorption isotherms, e) the sp<sup>2</sup>/(sp<sup>2</sup> + sp<sup>3</sup>) ratios and f) the N 1s core level XPS spectra.

28 The crystal size along the *a* axis  $(L_a)$  (Experimental Section S1.1, 29 Supporting Information) increases with annealing temperature 30 (Figure S7, Supporting Information). N<sub>2</sub> adsorption/desorption 31 analysis was used to investigate the evolution of specific surface area and porous texture of GNCs (Figure 3d). The type IV 32 isotherms combined with H3 type hysteresis loop demonstrate 33 34 slit-shaped mesoporous textures of GNCs. The Brunauer-35 Emmett-Teller specific surface areas of GNC600, GNC700, and 36 GNC800 are 305.1, 196.1, and 122.7  $m^2 g^{-1}$  with total pore vol-37 umes of 0.616, 0.561, and 0.419  $\text{cm}^3 \text{g}^{-1}$ , respectively (Table S1, 38 Supporting Information). With increasing annealing tempera-39 ture, the amount of pores with size less than 40 nm decreases, while the amount of pores with the size larger than 40 nm 40 increases (Figure S8, Supporting Information). GNC600 shows 41 a nitrogen doping level of 4.8 at%, while GNC700 and GNC800 42 show nitrogen doping levels of 1.7 and 0.6 at%, respectively 43 (Figure S9, Supporting Information). Annealing temperature 44 45 is a critical factor for controlling the nitrogen doping level of GNCs. High annealing temperature leads to low nitrogen 46 doping, which agrees well with previous publications on other 47 48 forms of nitrogen-doped carbon.[36]

49 The graphitization and the nitrogen bonding were further 50 studied through C 1s (Figure S10, Supporting Information) 51 and N 1s (Figure 3f) core level XPS spectra. With increasing 52 annealing temperature, the sp<sup>2</sup> C=C contents of GNCs 53 increase from 48.2 to 63.8 at%, while the sp<sup>3</sup> C-C contents 54 decrease from 27.3 to 12.7 at% (Table S2, Supporting Infor-55 mation). The  $sp^2/(sp^2 + sp^3)$  ratios for GNC600, GNC700, 56 and GNC800 were calculated to be 63.7%, 78.0%, and 83.4%, 57 respectively (Figure 3e). Furthermore, the GNCs possess four kinds of nitrogen bonding (Table S3, Supporting Information), 58 including pyridinic (398.4 eV), pyrrolic (400.2 eV), graphitic 59

(401.2 eV), and oxidized nitrogen (403.3 eV). Pyridinic and 28 pyrrolic nitrogen are dangling edge-nitrogen, and they pos-29 sess high electrochemical activity. GNC600 shows a high-level 30 of edge-nitrogen doping reaching up to 72.2% (Table S3, Sup-31 porting Information). The edge-nitrogen dominated doping 32 could provide extra defect active sites for enhancing charge 33 storage capacity by reversibly binding potassium ions with dan-34 gling nitrogen bonds.<sup>[40]</sup> 35

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Next, the electrochemical performances of GNCs were 36 investigated by cyclic voltammetry (CV). The cyclic voltam-37 mograms of GNCs show different shapes, suggesting that the 38 storage mechanisms of K<sup>+</sup> in GNCs depend on their structure 39 (Figure 4a; Figure S11, Supporting Information). GNC800 40 shows two pairs of peaks (C1, A1 and C2, A2) (Figure 4a), 41 which are associated with diffusion-controlled Faradaic pro-42 cesses. The phenomenon of two pairs of peaks has only been 43 observed in carbon nanotubes and graphitic carbon nanoc-44 ages,<sup>[30,33,41]</sup> which suggests that thin-layered graphitic mate-45 rials have unique potassiation process. The origin of these two 46 pairs of peaks is discussed later. The cathodic peak at 0.7 V 47 appeared only in the initial cycle, which is associated with the 48 formation of solid electrolyte interface. After the first cycle, the 49 cyclic voltammograms become overlapped, indicating the excel-50 lent reversibility in GNCs. With increasing scan rates from 51 0.1 to 1.0 mV s<sup>-1</sup>, the positions of A1 and A2 shift to higher 52 potentials and the positions of C2 and C1 shift to lower poten-53 tials (Figure 4b), which indicates that these two peaks are from 54 55 diffusion-limited Faradaic reactions. We further plotted the relation between logarithms of peak currents and scan rates 56 to calculate the *b* values (Experimental Section S1.2, Sup-57 porting Information). The analysis shows that the A2 and C2 58 peaks have higher *b* values of 0.826 and 0.885, indicative of fast 59





Figure 4. a) The cyclic voltammograms of GNC800 at 0.1 mV s<sup>-1</sup>, b) the cyclic voltammograms of GNC800 at different scan rates, c) the logarithm relationship between the scan rates and the peak current densities, the capacitive contributions of d) GNC600, e) GNC700, and f) GNC800 at 0.2 mV s<sup>-1</sup>. 28

29 Faradaic processes. On the other hand, C1 and A1 show b 30 values of 0.503 and 0.526, respectively (Figure 4c), indicative of 31 diffusion-controlled Faradaic behavior. The diffusion-controlled 32 characteristic of C1/A1 indicates that the potassium ion storage 33 mechanism is intercalation in low-potential range. However, 34 comparing the cyclic voltammograms of GNC600 and GNC700 35 (Figure S11, Supporting Information), these two pairs of redox 36 peaks of GNC800 become more clear with the formation of 37 better graphitic structure, which further confirms that these two pairs of redox peaks originate from the potassiation in the 38 39 graphite layers. The current responses of GNCs in the poten-40 tial range from 0.8 to 2.0 V decrease with increasing annealing temperature, which could result from the decreased pseu-41 docapacitive contribution originating from nitrogen doping. 42 The Dunn method (Experimental Section S1.2, Supporting 43 Information) was further employed to explore the potential-44 45 dependent capacitive contribution in the whole potential range 46 from 0 to 2.0 V (Figure 4d-f). The capacitive contribution of GNC600 is 53.3%, while they are 41.6% and 35.3% for GNC700 47 48 and GNC800, respectively. The capacitive contribution in the potential range from 0.8 to 2.0 V is higher than that in the 49 50 lower potential range, which demonstrates that the capacity in 51 this range (0.8-2.0 V) is mainly due to the pseudocapacitance. 52 Most oxygen-containing functional groups could contribute capacity at a much higher potential ( $\approx 1.7$  V vs K/K<sup>+</sup>),<sup>[42]</sup> and 53 GNC700 has high oxygen content but shows little capacity 54 55 contribution in this range compared with GNC600. It is rea-56 sonable to conclude that the capacity contributions of GNCs 57 in this range are mainly from the nitrogen-induced surface 58 pseudocapacitive reactions. Judging from the cyclic voltammo-59 grams and the capacitive contribution, we could conclude that

the capacity of GNC600 mainly originates from the capacitive 29 contribution, while the capacity of GNC800 mainly originates 30 from the potassiation in the graphite layers. The C2 peak shows a 31 higher capacitive contribution than C1, which demonstrates 32 that the first potassiation process could be the preceding reac-33 tion for the second potassiation process. Both A2 and A1 show 34 relatively high capacitive contribution, which indicates that the 35 depotassiation process is faster than the potassiation process. 36

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The potassium ion storage capabilities of GNCs were inves-37 tigated with galvanostatic charge-discharge (GCD) at a current 38 density of 50 mA g<sup>-1</sup>. The initial cycles of GCD curves were 39 plotted in Figure S12 (Supporting Information). GNCs show 40 decreased initial Coulombic efficiencies (ICE) for GNCs pre- 41 pared at higher annealing temperature, which could be due 42 to the decreased specific surface area and defect densities. 43 Achieving a high ICE is necessary for the assembly of a KIB 44 full battery. The ICEs of GNCs can be enhanced by prepotas-45 siation and surface modification. The GNC600 displays an ini- 46 tial charge capacity of 369 mAh  $g^{-1}$ , which could be due to the 47 high nitrogen doping, agreeing with the cyclic voltammograms. 48 GNC800 shows a high initial charge capacity of 319 mAh g<sup>-1</sup>, 49 which could be ascribed to the well-developed graphitic struc-50 ture inside the carbon skeleton. GNC700 shows the smallest 51 charge capacity among all GNCs, which originates from the 52 relatively low nitrogen doping compared with GNC600 and the 53 poorly developed graphitic structure compared with GNC800. 54 With the clear inflection points in the GCD curves of GNC800, 55 we divided the GCD curves of GNCs into three stages, GCD 56 curve in the potential from 2.0 to 0.36 V (stage a), GCD curve in 57 the potential range from 0.36 to 0.12 V (stage b), and GCD curve 58 in the potential range from 0.12 to 0.001 V (stage c) (Figure 5a). 59



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Figure 5. The electrochemical performances of GNCs. a) The discharge profiles of GNCs, b) the capacity contribution at each stage, c) the rate performances of GNCs, and d) the cycling stabilities of GNC600 and GNC800 at 200 mA  $g^{-1}$ .

30 These three stages show the different potential/capacity rela-31 tionships (slopes), which could be due to different potassiation process. The capacities related to different stages have a clear 32 tendency. The stage a capacity of GNCs decreases from 138 to 33 34 71 and finally to 49 mAh g<sup>-1</sup>; the stage c capacity increases from 35 96 to 102 and finally to 203 mAh g<sup>-1</sup> with increasing annealing 36 temperature (Figure 5b), which further proves our conclusion 37 that the capacity of GNC600 mainly originates from capacitive 38 contribution, while the capacity of GNC800 mainly originates 39 from the intercalation potassiation process. The capacitive pro-40 cess is fast, while the Faradaic process is slow as it is diffusion-41 controlled. Hence, the rate capabilities of GNCs are different. The rate capabilities of GNCs are compared at different current 42 densities from 50 to 5000 mA  $g^{-1}$  (Figure 5c). The GCD curves 43 of GNCs at different charge-discharge current densities are 44 45 plotted in Figure S13 (Supporting Information). It is clear that GNC600 shows a remarkable capacity of 369 mAh g<sup>-1</sup>, which 46 decreases to 280 mAh g<sup>-1</sup> at the 11th cycle at a current den-47 48 sity of 50 mA g<sup>-1</sup>, while the GNC 800 displays a first charge 49 capacity of 319 mAh g<sup>-1</sup> which decreases to 288 mAh g<sup>-1</sup> at the 50 11th cycle. GNC700 shows the lowest capacity of 222 mAh g<sup>-1</sup> 51 at a current density of 50 mA  $g^{-1}$  at the 11th cycle (Figure S14a, 52 Supporting Information). GNC600 even shows higher revers-53 ible capacity than the delicately designed graphitic carbon nanocage,[33] porous carbon microspheres,[31] nitrogen-doped 54 55 carbon fibers,<sup>[36]</sup> and nitrogen/sulfur-doped carbon (S/N@C)<sup>[43]</sup> 56 (Figure S14b of the Supporting Information; more detailed 57 comparisons are shown in Table S5, Supporting Information), 58 which proves our strategy to be an efficient and easy strategy for synthesis of graphitic carbonaceous anodes for KIBs. 59

The GNC600 shows the best rate capability due to its sur-30 face-dominated (capacitive) potassium ion storage mechanism. 31 GNC700 and GNC800 show inferior rate capability compared 32 with GNC600, since the capacities of GNC700 and GNC800 33 mainly originate from the potassiation in graphite layers. 34 GNC600 displays a high capacity at 152 mAh g<sup>-1</sup> at a current 35 density of 1000 mA g<sup>-1</sup>, while a high capacity of 56.6 mAh g<sup>-1</sup> 36 is still maintained when the current increases to 5000 mA  $\mathrm{g}^{-1}$ 37 38 (Figure 5C). On the contrary, at such high a current density, GNC700 and GNC800 show almost no capacity. The long-term 39 cycling stabilities of GNC600 and GNC800 are compared at 40 a GCD current of 200 mA g<sup>-1</sup>. A preliminary activation with 41 a low GCD current density of 50 mA g<sup>-1</sup> was used to acti-42 vate GNCs. The coulombic efficiencies of both GNC600 and 43 GNC800 increased to above 90% in 6 GCD cycles (Figure S15, 44 Supporting Information). GNC600 shows superior capacity 45 retention due to a more disordered structure inside GNC600 46 (Figure 5d). A high capacity of 189 mAh  $g^{-1}$  is achieved at a cur-47 rent density of 200 mA g<sup>-1</sup> after GCD 200 cycles. SEM image 48 of GNC600 obtained after cycling test shows a robust struc-49 ture of GNC600 with bubble-like assembly (Figure S16, Sup-50 porting Information), which demonstrates the structural sta-51 bility during (de)potassiation. GNC800 shows inferior cycling 52 stability, which could be due to the large volume expansion/ 53 54 shrinkage during (de)potassiation.

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Although hard carbon has been demonstrated to be an 55 efficient anode for SIB and KIB, the storage mechanism has 56 been difficult to understand because of the complex arrangements of short-ranged graphene layers inside hard carbon. As a 58 result, the storage mechanism of sodium in hard carbon is still 59

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under debate.<sup>[44–48]</sup> For KIBs, we used the defect-rich graphitic carbons for a mechanistic study. Since GNCs both contain disordered structures and graphitic regions, we could elaborate on the different potassiation process in both amorphous and graphitic structures. In this work, we chose GNC800 as a model electrode to study the potassiation and depotassiation process. The potassiation process and depossiation process were studied by ex situ XRD, Raman, XPS, and transmission electron microscopy (TEM) techniques. First, ex situ XRD was used to prove the different potassiation stages (Figure 6a). The (002) peak decreases when GNC800 is discharged to 0.4 V, but no graphite potassium intercalation compound was detected, which demonstrates that most potassium ions are chemically adsorbed at the nitrogen-induced and sp3 defect sites of GNC800. KC<sub>24</sub> starts to appear when GNC800 is discharged to 0.3 V. The peaks of  $KC_{24}$  become more intense when GNC800 is discharged to 0.2 V, which indicates the further formation of KC<sub>24</sub>. When GNC800 is discharged to 0.1 V, the peaks of KC<sub>24</sub> start to decrease and the peaks of stage I KC8 start to appear. After GNC800 is discharged to 0 V, the pure  $KC_8$  is detected. Meanwhile, a small graphitic (002) peak can be observed, which indicates that there are still some ordered graphitic structures inside the GNC800 sample, which is due to the slow kinetics of potassiation in graphite. The formations of KC<sub>8</sub> and KC<sub>24</sub> are potential-dependent as compared with the potassiation of bulk graphite.<sup>[27]</sup> Combined with the discharge curve, we could conclude that  $KC_{24}$  is fully formed at a potential of  $\approx 0.12$  V. On the other hand, The stage I KC8 forms at potentials from 0.12 to 0 V. When GNC800 is charged to 0.3 V, the peaks of KC<sub>8</sub>

quickly disappear, and only the  $KC_{24}$  peaks can be observed up 1 to a high voltage of 0.7 V. After we charged the battery to a high 2 voltage of 2.0 V, the peaks of  $KC_{24}$  could still be detected, which 3 indicates that some dilute potassium ions remain inside the 4 graphite layers in the form of  $KC_{24}$ . The remaining potassium 5 ions in the carbon skeleton in the form of  $KC_{24}$  act as pillars 6 and help retain an enlarged interlayer spacing for the subsequent potassiation (discharge) process. 8

Raman spectra were simultaneously carried out to detect 9 the structural evolution of GNC800 during (de)potassiation 10 (Figure 6b). When discharged to a lower potential, GNC800 11 shows decreased  $I_{\rm D}/I_{\rm C}$  ratios, indicative of the increased dis-12 order and decreased graphene size. A shift of the G peak to 13 high values was observed in the discharge process, in agree-14 ment with previous reports.<sup>[28]</sup> The  $I_D/I_G$  ratio increases from 15 0.3 for the pristine material to eventually a high value of 16 0.9 for the GNC800 discharged to 0.001 V (Figure 6c), which is 17 a common value for amorphous carbonaceous materials.<sup>[49,50]</sup> 18 When GNC800 is charged to a 2.0 V, the  $I_D/I_G$  ratio is recovered 19 to 0.5, a value still higher than pristine GNC, which suggests 20 that the long-range-ordered structure of GNC800 is irrevers- 21 ibly transformed into a short-range-ordered graphitic structure 22 (Figure S17, Supporting Information). Ex situ XPS was fur-23 ther carried out to detect the potassium ion inside the carbon 24 skeleton at different charge-discharge states (Figure 6d). Dis-25 charge makes the C1 s peak broader indicating that more sp<sup>3</sup> 26 C-C defects are created during the discharge process, which is 27 in agreement with the ex situ XRD and Raman studies. When 28 GNC800 is charged from 0.001 to 2.0 V, the C 1s peak becomes 29



58 **Figure 6.** The ex situ investigations of GNC800. a) The XRD patterns, b) the Raman spectra with corresponding c)  $I_G/I_D$  ratios, d) the C 1s, and K 2p 59 XPS spectra, e) the dark-filed TEM image, and the EDS elemental mapping of fully potassiated GNC800. 59







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sharp, which means that some sp<sup>3</sup> disordered structure returns 1 2 to the sp<sup>2</sup> ordered state. At 0.2 V, the NGCB shows a C/K ratio 3 of 11 which is lower than KC<sub>24</sub>, suggesting that more K ions are 4 intercalated into the graphite structure. When GNC800 is dis-5 charged to 0.001 V, the C/K ratio becomes 6.2, which is lower 6 than KC8. The even distribution of potassium ions in GNC800 7 is demonstrated by TEM-EDS mapping (Figure 6e). When 8 GNC800 is charged to 2.0 V, the C/K ratio becomes 9.8, which implies that a significant amount of potassium ions remains 9 inside the carbon matrix.<sup>[32]</sup> Considering the lowest possible 10 C/K ratio is 8 for graphite corresponding to the formation of 11 stage I KC8, the much lower C/K ratio of GNC800 discharged 12 to 0.001 V could originate from the irreversible intercalation of 13 potassium ions, which could be the reason why almost all the 14 carbonaceous anodes face low Coulombic efficiencies within 15 tens of cycles.<sup>[51-58]</sup> The EDS mappings of carbon and potas-16 17 sium elements demonstrate that there is no phase separation 18 indicating the intercalation mechanism.

19 Ex situ TEM was further carried out to explore the struc-20 tural evolution during potassiation and depotassiation process. 21 Pristine GNC800 shows a (002) crystal lattice of 0.340 (Figure 7a). When potassiated to 0.2 V, GNC800 electrode displays mixed 22 23 lattices of 0.340 and 0.386 nm (Figure 7b), which can be indexed to the lattices of graphite and KC<sub>24</sub>, respectively. With 24 25 further potassiated to 0.001 V, GNC800 shows a lattice of 26 0.362 nm, which is indexed to KC8. The interlayer spacing of

the discharge product is smaller than that of KC<sub>8</sub> formed with a 1 pure graphite precursor, which results in a lower expansion and 2 further high rate capability.<sup>[34]</sup> It should be noted that the fully 3 potassiated GNC800 shows a distorted, turbostratic, and short-4 range ordered structure (Figure 7c), which implies that the 5 potassium intercalation in GNC is an omnidirectional potassia-6 tion process. When depotassiated to 2.0 V, GNC800 shows an 7 interlayer spacing of 0.340 nm, which is the same with the pris-8 9 tine GNC800. The slightly distorted structure (Figure 7d) indicates that there are some sp<sup>3</sup> defects that cannot be recovered, 10 which is the same situation with XRD and Raman study. Based 11 on the above results, the potassium ion storage in defect-rich 12 GNCs is schematically drawn in Figure 7e. The discharge pro-13 cess from 2.0 to 0.36 V corresponds to the dilute potassiation in 14 the defect sites. The discharge from 0.36 to 0.12 V corresponds 15 to the formation of Stage II KC24 compound in the graphite 16 layers, and the discharge from 0.12 to 0.001 V is ascribed to 17 the formation of KC<sub>8</sub> compound in the graphite layers. The 18 discharge process makes the GNC800 distorted, which creates 19 more active sites for the potassiation process. 20

Galvanostatic intermittent titration technique and electrochemical impedance spectroscopy (EIS) were further used to investigate the evolution of diffusion coefficient during (de)potassiation process. During both the potassiation and depotassiation processes, GNC600 has a higher K<sup>+</sup> diffusion coefficient than GNC700 and GNC800 (Figure S18, Supporting Information), 26 27



59 and the structural evolution of GNC.



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which is because GNC600 contains more defective structures 1 2 compared with GNC700 and GNC800. In the potassiation 3 process, the diffusion coefficients of GNCs decrease with the 4 discharge process, but slightly increase of diffusion coefficient at low potentials,<sup>[59,60]</sup> which may be caused by the disordered 5 6 structures formed in the potassiation process. In the depotas-7 siation process, a higher diffusion coefficient is observed at low 8 potential for both GNCs, which could be the reason that the 9 disordered structures formed at the potassiation process could 10 be beneficial for the deintercalation of potassium ions.

11 EIS was performed at different charge/discharge potentials to study the evolution of the diffusion coefficient. The EIS 12 spectra were recorded while holding the battery at each poten-13 14 tial for 2 h before the measurement (Figure S19a, Supporting 15 Information); this step ensures reaction completion at a certain potential. The EIS results were used to calculate the time 16 17 constant and further simulated (the equivalent circuit is shown 18 in Figure S19b of the Supporting Information, and the simu-19 lated results are shown in Table S4, Supporting Information) 20 to calculate the diffusion coefficient (method shown in Experi-21 mental Section S1.4, Supporting Information). In the potassia-22 tion process, the charge transfer resistance decreases, and the 23 Warburg diffusion impedance decreases, and finally disappears 24 (Figure S20a, Supporting Information). In the depotassiation 25 process, the Warburg impedance increases and the diffusion 26 coefficient decreases (Figure S20b, Supporting Information). 27 The decreased charge transfer resistance in the potassiation 28 process is due to the disordered structure formation during 29 the potassiation process. The disordered structure provides more active sites for potassium (de)intercalating into the lavers 30 31 of graphite. We calculated the diffusion coefficient based on the EIS results. The diffusion coefficient increases during the 32 33 potassiation process, and decreases in the depotassiation pro-34 cess (Figure S20c, Supporting Information). The evolution of 35 diffusion coefficient correlates well with the increased degree 36 of disorder  $(I_D/I_G)$ . Thus, we conclude that the defects in the 37 GNCs help build more pathways for the efficient potassiation 38 and the disordered structure formed during the potassiation 39 process, which could enable fast diffusion of potassium ions. 40 The fast diffusion of potassium ions enables a fast potassiation 41 electrode kinetics at low potential range (0-0.2 V), which results in a low time constant (Figure S20d, Supporting Information). 42

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## $\frac{45}{46}$ **3. Conclusion**

We have demonstrated a new type of nitrogen-doped, defect-rich 47 48 GNCs with thin graphitic carbon layers as anodes of potassium 49 ion batteries. Detailed analysis of the potassiation storage mech-50 anism in GNCs shows a clear difference from bulk graphite 51 materials. Specifically, we observe the potential-dependent 52 potassiation in defect-rich GNCs from various directions, which results in the short-range ordered structure of the final discharge 53 54 product, hence increasing the number of active sites for potas-55 siation and pathways for fast potassium ion diffusion. The opti-56 mized GNC600 has high-level nitrogen doping which enables 57 high pseudocapactive contribution and defect-rich short-range-58 ordered structure which enables high diffusion coefficient and high rate capability. GNC600 shows better performance than 59

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previously reported thin-walled graphitic carbonaceous materials such as carbon nanocages and nanotubes. These results 2 demonstrate that our strategy is generally effective and can be 3 used in the design of high-performance anodes for KIB. 4

### 4. Experimental Section

Preparation of GNCs: GNCs were prepared from EDTA nickel 9 coordination compound and sodium chloride mixture (denoted as 10 EDTANi · 2NaCl). The EDTANi · 2NaCl was prepared from a coordination 11 reaction. In a typical synthesis, nickel chloride hexahydrate (23.7 g) was 12 put into deionized water (400 mL) with magnetic stirring to evaporate 13 water and form a grass-green solution, and then EDTA disodium dehydrate (37.2 g) was put into the above solution to form a sky-14 blue solution. The above solution was further stirred for 10 h at room 15 temperature. Afterward, the sky-blue solution was dried in an 80 °C air-16 flow oven to form white-blue powders. The white-blue powder, transferred 17 to a porcelain boat, was heat-treated at different temperatures (carbon 18 sample prepared at the temperature of X is denoted as GNCX) in an 19 argon-flow (100 sccm) tube furnace with a ramping rate of 5 °C min<sup>-1</sup> for 1 h. The pyrolysis product was washed with superfluous 10 wt% HCl 20 aqueous solution at 120 °C for 24 h with circulation reflux of cold water. 21 The as-prepared carbon samples were then filtered with deionized water 22 to a pH value close to 7 and dried overnight at 80 °C. 23

Physical Characterization: The specific surface areas and pore size 24 distributions of GNCs were evaluated by a N2 adsorption/desorption 25 analyzer (ASAP 2420, Micrometrics, USA). SEM images were taken on 26 a scanning electron microscope (Merlin, ZEISS, Germany). TEM images were taken using transmission electron microscope (Titan 80-300 CT, 27 FEI, Thermo Fisher Scientific). XRD patterns were collected on an X-ray 28 diffractometer (D8 Advance, Bruker, Germany) with Cu K $\alpha$  radiation 29  $(\lambda = 1.5406 \text{ Å})$ . Raman spectra were collected on a micro-Raman 30 spectrometer (LabRAM ARAMIS, Horiba-Jobin Yvon, Germany) using a 31 cobalt laser (473 nm) with a 10% filter. XPS analysis was conducted on 32 photoelectron spectrometer (Kratos Axis Supra, Shimadzu, Japan).

Electrochemical Characterization: GNC electrode was prepared by 33 slurry (containing 80 wt% GNC, 10 wt% acetylene black, and 10% 34 sodium carboxymethyl cellulose) casting on copper foil with blade 35 coating technique and dried in a 70 °C vacuum oven for at least 24 h. The 36 electrochemical performance of GNC was evaluated in 2032 coin half-37 cell, in which GNC and potassium foil were used as working electrode 38 and counter (reference) electrode, respectively. 0.8 mol  $L^{-1}$  KPF<sub>6</sub> 39 in ethylene carbonate:diethyl carbonate (1:1 by volume) was used as electrolyte. Glass fiber mat (Whatman CAT. No. 1825-047) was used as 40 separator. CV, GCD, and EIS tests were conducted on an electrochemical 41 workstation (VMP3, Biologic, France). Electrochemical impedance 42 spectroscopy was measured in the frequency range from 200 kHz to 43 10 mHz with a sinusoidal voltage amplitude of 10 mV. All measurements 44 were conducted in ambient condition. 45

### **Supporting Information**

Supporting Information is available from the Wiley Online Library or 49 from the author. 50

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Conflict of Interest

The authors declare no conflict of interest.

### Keywords

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