



# Ground-based MAX-DOAS observations of tropospheric aerosols, NO<sub>2</sub>, SO<sub>2</sub> and HCHO in Wuxi, China, from 2011 to 2014

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**Abstract.** We characterize the temporal variation and vertical distribution of nitrogen dioxide (NO<sub>2</sub>), sulfur dioxide (SO<sub>2</sub>), formaldehyde (HCHO) and aerosol extinction based on long-term multi-axis differential optical absorption spectroscopy (MAX-DOAS) observations from May 2011 to November 2014 in Wuxi, China. A new inversion algorithm (PriAM) is implemented to retrieve profiles of the trace gases (TGs) and aerosol extinction (AE) from the UV spectra of scattered sunlight recorded by the MAX-DOAS instrument. We investigated two important aspects of the retrieval process. We found that the systematic seasonal variation of temperature and pressure (which is regularly observed in Wuxi) can lead to a systematic bias of the retrieved aerosol profiles (e.g. up to 20 % for the AOD) if it is not explicitly considered. In this study we take this effect into account for the first time. We also investigated in detail the reason for the differences of tropospheric vertical column densities derived from either the geometric approximation or by the integration of the retrieved profiles, which were reported by earlier studies. We found that these differences are almost entirely caused by the limitations of the geometric approximation (especially for high aerosol loads). The results retrieved from the MAX-DOAS observations are compared with independent techniques not only under cloud-free sky conditions, but also under various cloud scenarios. Under most cloudy conditions (except fog and optically thick clouds), the trace

gas results still show good agreements. In contrast, for the aerosol results, only near-surface AE could be still well retrieved under cloudy situations.

After applying a quality control procedure, the MAX-DOAS data are used to characterize the seasonal, diurnal and weekly variations of NO<sub>2</sub>, SO<sub>2</sub>, HCHO and aerosols. A regular seasonality of the three trace gases is found, but not for aerosols. Similar annual variations of the profiles of the trace gases appear in different years. Only NO<sub>2</sub> shows a significant seasonality of the diurnal variations. Considerable amplitudes of weekly cycles occur for NO<sub>2</sub> and SO<sub>2</sub>, but not for HCHO and aerosols. The TGs and aerosols show good correlations, especially for HCHO in winter. More pronounced wind direction dependencies, especially for the near-surface concentrations, are found for the trace gases than for the aerosols, which implies that the local emissions from nearby industrial areas (including traffic emissions) dominate the local pollution, while long-distance transport might also considerably contribute to the local aerosol levels.

## 1 Introduction

Nitrogen dioxide (NO<sub>2</sub>), sulfur dioxide (SO<sub>2</sub>), and formaldehyde (HCHO) are important atmospheric constituents which play crucial roles in tropospheric chemistry (Seinfeld and

Pandis, 1998).  $\text{NO}_2$  is involved in many chemical cycles such as the formation of tropospheric ozone.  $\text{NO}_2$  and  $\text{SO}_2$  can be converted to nitrate and sulfate, respectively, through the reaction with the OH radical. HCHO is formed mainly from the oxidation of volatile organic compounds (VOCs). Primary emissions of HCHO could also be important, especially in industrial regions (Chen et al., 2014). Due to the short lifetime of HCHO, it can be used as a measure of the level of the local VOC amount. The VOCs can then eventually be oxidized to form organic aerosols.  $\text{NO}_2$ ,  $\text{SO}_2$  and VOCs (marked by HCHO) are essential precursors of aerosols. During the industrialization and urbanization, anthropogenic emissions from traffic, heating, industry, and biomass burning have significantly increased the concentrations of these gases in the boundary layer in urban areas (Environmental Protection Agency, 1998; Seinfeld and Pandis, 1998). Nowadays, strong haze pollution events occur frequently around megacities and urban agglomerations, especially in newly industrializing countries like China, and have a significant impact on human health (G. Q. Fu et al., 2014). Recent studies found that in megacities in different regions of China most aerosol particles are secondary, e.g. formed through photochemistry of precursor gases during haze pollution events (Crippa et al., 2014; Huang et al., 2014). Understanding the temporal variation and spatial distribution of trace gases (TGs) and aerosols through long-term observations is thus helpful to identify the dominating pollution sources, distinguish the contribution of transport and local emissions as well as the relation between aerosols and their precursors. To accomplish this, a multi-axis differential optical absorption spectroscopy (MAX-DOAS) instrument was operated from 2011 to 2014 in Wuxi (China).

Since about 15 years ago, the MAX-DOAS technique has drawn lots of attention because of the potential to retrieve the vertical distribution of TGs and aerosols in the troposphere from the scattered sunlight recorded at multiple elevation angles (Hönninger and Platt, 2002; Bobrowski et al., 2003; Van Roozendaal et al., 2003; Hönninger et al., 2004; Wagner et al., 2004; Wittrock et al., 2004) using relatively simple and cheap ground-based instrumentation. Ground-based measurements of TG profiles are complementary to global satellite observations and allow for inter-comparisons and validation exercises (Irie et al., 2008; Roscoe et al., 2010; Ma et al., 2013; Kanaya et al., 2014; Vlemmix et al., 2015a). Using different inversion approaches, the column densities, vertical profiles and near-surface concentrations of the TGs and aerosols can be derived and provide additional information compared to in situ monitoring or satellite observations.

The tropospheric vertical column density (VCD) of TGs is either derived by the geometric approximation (e.g. Brinksma et al., 2008) or by integration of the retrieved concentration profiles (Vlemmix et al., 2015b). The near-surface concentration can be derived using simplified rapid methods (Sinreich et al., 2013; Y. Wang et al., 2014) or directly from the derived profile. The existing profile inver-

sion schemes developed by different groups can be subdivided into two groups: the “full profile inversion” based on optimal estimation (OE) theory (Rodgers, 2000; Frieß et al., 2006, 2011; Wittrock, 2006; Irie et al., 2008, 2011; Clémer et al., 2010; Yilmaz, 2012; Hartl and Wenig, 2013; Wang et al., 2013a, b) and the so-called parameterization approach using look-up tables (Li et al., 2010, 2013; Vlemmix et al., 2010, 2011; Wagner et al., 2011). In comparison with the look-up table methods, the OE-based inversion algorithms are in principle easily applied to different species, measurement locations and instruments, but they require radiative transfer simulations during the inversion and can therefore be computationally expensive for large data sets. Clémer et al. (2010), Frieß et al. (2011), Kanaya et al. (2014), Hendrick et al. (2014) and T. Wang et al. (2014) applied their OE approaches to long-term MAX-DOAS observations in different locations of the world. The stability and flexibility of the inversion algorithms depend on the choice of the inversion approach, the iteration scheme and the a priori constraints (Vlemmix et al., 2015b). Here, good stability means that an inversion approach is robust with respect to the effects of measurement noise. Good flexibility means that it can retrieve diverse profile shapes well. Designing an approach balancing stability and flexibility is quite important for long-term observations because of the occurrences of various atmospheric scenarios caused by natural variability and human activities.

In this study, we use the Levenberg–Marquardt modified Gauss–Newton numerical procedure (Yilmaz, 2012) with some modifications to optimally balance stability and flexibility, which will be referred to in the following as the “Profile inversion algorithm of aerosol extinction and trace gas concentration developed by the Anhui Institute of Optics and Fine Mechanics, Chinese Academy of Sciences (AIOFM, CAS), in cooperation with Max Planck Institute for Chemistry (MPIC)” (PriAM) (Wang et al., 2013a, b). The PriAM algorithm joined the intercomparison exercise of aerosol vertical profiles retrieved from MAX-DOAS observations, between five inversion algorithms during the Cabauw Intercomparison Campaign of Nitrogen Dioxide measuring Instruments (CINDI) in summer 2009 (Frieß et al., 2016). The intercomparison displayed good agreement of the aerosol extinction (AE) profiles, AODs and near-surface AEs retrieved by the PriAM algorithm with those by other algorithms and with a collocated ceilometer instrument, a sun photometer and a humidity-controlled nephelometer. In this work the PriAM is applied to the long-term MAX-DOAS observations in Wuxi, China. The retrieved results of  $\text{NO}_2$ ,  $\text{SO}_2$ , HCHO and aerosols are verified by comparisons with several independent data sets for a period longer than 1 year.

Under cloudy skies the retrieval algorithm could be subject to large errors because of the increased complexity of the atmospheric light paths inside clouds (e.g. Erle et al., 1995; Wagner et al., 1998, 2002, 2004; Winterrath et al., 1999), which are usually not considered in the forward model. Pre-

vious studies usually either ignored the effects of clouds or simply discarded cloud-contaminated measurements. However, depending on location and season, a large fraction of measurements might be affected by clouds, e.g. about 80 % of all MAX-DOAS measurements in Wuxi (Wang et al., 2015). We investigate the effect of clouds on the different MAX-DOAS retrieval results of aerosols and TGs, especially the near-surface concentrations by comparisons with results from independent techniques under various cloud scenarios. Information on different cloud scenarios is directly derived from the MAX-DOAS observations and can thus be assigned to each MAX-DOAS result without temporal interpolation. Tropospheric TG VCDs are also important for satellite validation. So far, most studies used the so-called geometric approximation to derive TG VCDs from MAX-DOAS measurements. However, considerable systematic discrepancies of tropospheric TG VCDs, derived by the geometric approximation and by integration of the TG profiles, are already reported in Hendrick et al. (2015); but which of the two values is closer to reality remains unclear. It is essential to answer this question in order to use a trustworthy method to determine the tropospheric TG VCDs. In this study we show evidence that the dominant error is associated with the geometric approximation; thus the TG VCDs by integration of the profiles are used for further studies here. After the series of verification exercises, the MAX-DOAS results are used to characterize temporal variations and vertical distributions of aerosols and TGs in Wuxi. The relation between aerosols and TGs is also discussed.

The paper is organized as follows: in Sect. 2 the observations and different steps of the data analysis are described and results are verified. Moreover, the cloud effect on the retrievals and the errors of the geometric approximation are discussed. In Sect. 3 we characterize seasonal variations and inter-annual trends, diurnal variations, weekly cycles and wind dependencies of the aerosols and TGs. The relation between aerosols and TGs is also discussed. In Sect. 4 the results are summarized and conclusions are given.

## 2 MAX-DOAS measurements

### 2.1 MAX-DOAS in Wuxi

A MAX-DOAS instrument developed by AIOFM, shown in Fig. 1a, is located on the roof of an 11-storey building in Wuxi City (Fig. 1b), China (31.57° N, 120.31° E, 50 m a.s.l.), at the transition between the urban and suburban area. The suburban area, with lots of farmlands, is located in the east, and Taihu Lake is located in the north. The heavily industrialized area and the urban centre (living and business area) are south-west and north-west of the MAX-DOAS station, respectively.

Wuxi city belongs to the Yangtze River delta industrial zone and is located about 130 km north-west of Shanghai

(Fig. 1c). Wuxi is an important industrial city and has about 6 million inhabitants. Because of the high population density and high industrial activity, relatively high abundances of NO<sub>2</sub>, SO<sub>2</sub> and VOCs are found (Fu et al., 2013). Figure 1d displays the mean distributions of NO<sub>2</sub> (Boersma et al., 2011), HCHO (De Smedt et al., 2010) and SO<sub>2</sub> (Theys et al., 2015) as derived from the Ozone Monitoring instrument (OMI) (Levelt et al., 2006). North-west of Wuxi city, the large industrial zone of the North China Plain is located, which has even higher pollution loads. The dominant wind is from the north-east and no significant seasonality is observed (see Fig. S2 in the Supplement). The meteorological conditions including temperature, relative humidity and wind field are introduced in Sect. 1 of the Supplement.

The MAX-DOAS instrument was operated by the Wuxi CAS Photonics Co. Ltd from May 2011 to December 2014. The instrument was pointed to the north and automatically recorded spectra of UV scattered sunlight at sequences consisting of five elevation angles (5, 10, 20, 30 and 90°). One elevation sequence scan took about 12 min depending on the received radiance. More details of the instrument can be found in Wang et al. (2015). During the whole observation period, the instrument stopped twice: 15 December 2012 to 29 February 2013 and 16 July to 12 August 2013.

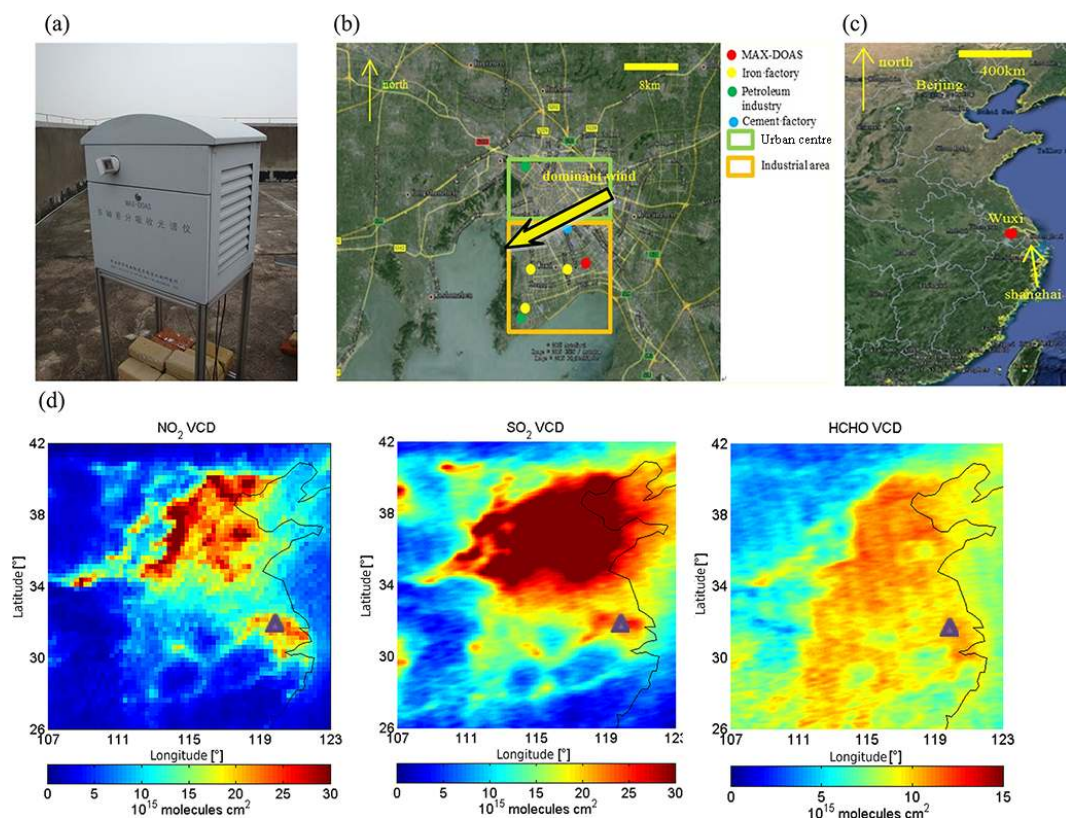
### 2.2 Retrievals of the tropospheric profiles of aerosol extinction, NO<sub>2</sub>, SO<sub>2</sub> and HCHO volume mixing ratios

#### 2.2.1 Retrieval of slant column densities

The slant column densities (SCDs) of the oxygen dimer (O<sub>4</sub>), NO<sub>2</sub>, SO<sub>2</sub> and HCHO are retrieved from scattered sunlight spectra measured by the MAX-DOAS instrument using the DOAS technique (Platt and Stutz, 2008) implemented by the WINDOAS software (Fayt and van Roozendael, 2001). SCD represents the TG concentrations integrated along the effective atmospheric light path. The TG cross sections, wavelength ranges and additional properties of the DOAS analyses are provided in Table 1. Figure 2 shows typical DOAS fit examples. We skip data for solar zenith angle (SZAs) larger than 75° because of stronger absorptions of stratospheric species and a low signal-to-noise ratio. We also skip the data with large root mean square (RMS) of the residuals and large relative intensity offset (RIO). All thresholds of the quantities used for filtering the results and the percentages of screened data of the total number of observations are listed in Table 2. Detailed discussions of the DOAS fit parameters for each species can be found in Sect. 2 of the Supplement.

#### 2.2.2 The PriAM algorithm

Tropospheric vertical profiles of AE and trace gas volume mixing ratios (VMRs) from the ground up to 4 km are retrieved from the SCDs by using the PriAM algorithm, which



**Figure 1.** The MAX-DOAS instrument (a) (also the long-path DOAS and the visibility meter) is operated at the location marked by the red dot in (b) in Wuxi city (c). In (b), the dots with different colours indicate the positions of different types of emission sources; the green and orange blocks indicate the urban centre and industrial area, respectively. The yellow arrow represents the dominant wind direction (north-easterly wind). The maps of mean tropospheric VCDs of  $\text{NO}_2$  (from DOMINO version 2),  $\text{SO}_2$  (from BIRA, Theys et al., 2015) and HCHO (from BIRA, De Smedt et al., 2015) derived from OMI observations over eastern China in the period from 2011 to 2014 are shown in (d), in which the triangles indicate the location of Wuxi.

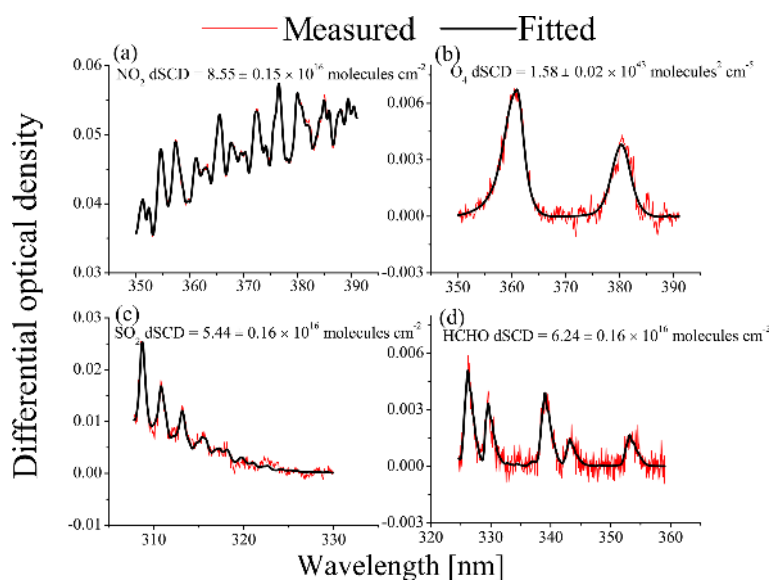
was originally introduced by Wang et al. (2013a, b). Below we summarize the basic concept of the PriAM algorithm and its specific settings for this study, while details can be found in Sect. 3 of the Supplement. Like for other algorithms, a two-step inversion procedure is used in PriAM. In the first step, profiles of AE are retrieved from the  $\text{O}_4$  differential SCDs (dSCDs). Afterwards, profiles of  $\text{NO}_2$ ,  $\text{SO}_2$  and HCHO VMRs are retrieved from the respective dSCDs in each MAX-DOAS elevation sequence. The retrieval problem is solved by the Levenberg–Marquardt modified Gauss–Newton numerical iteration procedure (Rodgers, 2000). Considering the frequent variation of aerosols and TGs, very little is known about the expected profiles. Thus a set of fixed a priori profiles is used for each species. A smoothed box-shaped a priori AE profile (Boltzmann distribution) (Yilmaz, 2012), exponential a priori profiles of  $\text{NO}_2$  and  $\text{SO}_2$  (similar to Yilmaz, 2012 and Hendrick et al., 2014), and a Boltzmann distribution a priori HCHO profile (based on the MAX-DOAS and aircraft measurements in Milan during the summer of 2003 reported in Wagner et al., 2011) are used in this study and denoted by the black curves in Fig. S8. Besides these stan-

dard a priori profiles, we tested the effect of changing the profile shapes and absolute values on the fit results. The description of these sensitivity tests is given in Sect. 3.1 of the Supplement. We conclude that the standard a priori profiles are well suited to application to the long-term MAX-DOAS measurements in Wuxi. We also find that improper a priori profiles can strongly impact the aerosol profile retrievals, but only slightly impact the TG results.

PriAM uses the radiative transfer model (RTM) SCIA-TRAN version 2.2 (Ročanov et al., 2005). Based on the wavelength intervals of the DOAS fits, the RTM simulations are done at 370 nm for the retrieval of aerosols and  $\text{NO}_2$ , at 339 nm for HCHO and at 313 nm for  $\text{SO}_2$ . The surface height and surface albedo are set as 50 m a.s.l. and 0.05, respectively. The fixed single scattering albedo of 0.9 and asymmetry factor (Henyey and Greenstein, 1941) of 0.72 are chosen according to average inversion results from the Taihu AERONET station (see Sect. 2.2.4) from 2011 to 2013 (the data in 2014 is unavailable). The retrieved AE at 370 nm is converted to those around 313 nm for the  $\text{SO}_2$  and 339 nm for

**Table 1.** Settings used for the O<sub>4</sub>, NO<sub>2</sub>, SO<sub>2</sub> and HCHO DOAS analyses.

Parameter	Sources	Species			
		O <sub>4</sub>	NO <sub>2</sub>	SO <sub>2</sub>	HCHO
Fitting interval		351–390 nm	351–390 nm	307.8–330 nm	324.6–359 nm
Cross section	NO <sub>2</sub> : Vandaele et al. (1998), 220, 294 K	X	X	X (only 294 K)	X (only 294 K), <i>I</i> <sub>0</sub> -corrected* (10 <sup>17</sup> molecules cm <sup>-2</sup> )
	O <sub>3</sub> : Bogumil et al. (2003), 223 and 243 K	X (only 223 K)	X (only 223 K)	X	X (only 223 K) <i>I</i> <sub>0</sub> -corrected* (10 <sup>18</sup> molecules cm <sup>-2</sup> )
	O <sub>4</sub> : Thalman and Volkamer (2013), 293 K	X	X	X	X
	SO <sub>2</sub> : Bogumil et al. (2003), 293 K			X	X
	HCHO: Meller and Moortgat (2000), 293 K	X	X	X	X
Ring	Two Ring spectra calculated with DOASIS (Kraus, 2006; Wagner et al., 2009)	X	X	X	X
Polynomial degree		3	3	5	5
Intensity offset		constant	constant	constant	constant

\* solar *I*<sub>0</sub> correction (Aliwell et al., 2002).**Figure 2.** Examples of typical DOAS fits of NO<sub>2</sub> (a), O<sub>4</sub> (b) and SO<sub>2</sub> (c) at 11:37 LT on 1 December 2011 as well as HCHO (d) at 11:34 LT on 12 July 2012. The fitted dSCDs of NO<sub>2</sub>, O<sub>4</sub>, SO<sub>2</sub> and HCHO are given in the corresponding subfigures. The black and red curves indicate the fitted absorption structures and the derived absorption structures from the measured spectra, respectively.



**Table 2.** Different filters and corresponding thresholds applied to the retrieved SCDs. Also shown are the corresponding fractions of removed data (SZA: solar zenith angle; RIO: relative intensity offset; RMS: root mean square of the spectral residual).

O <sub>4</sub> and NO <sub>2</sub>		SO <sub>2</sub>		HCHO	
Filter	Percentage	Filter	Percentage	Filter	Percentage
SZA < 75°	6.2 %	SZA < 75°	5.8 %	SZA < 75°	6.1 %
RIO < 0.01	5.6 %	RIO < 0.01	1.1 %	RIO < 0.01	7.1 %
RMS < 0.003	0.3 %	RMS < 0.01	0.2 %	RMS < 0.003	0.2 %

the HCHO retrieval using Ångström exponents also derived from the Taihu AERONET data sets.

In addition, here it should be noted that the Levenberg–Marquardt modified Gauss–Newton procedure is based on the assumption that the probability distribution function (PDF) of the atmospheric state ( $x$ ) can be described by a Gaussian PDF ( $P$ ) around the a priori state ( $x_a$ ) (Rodgers, 2000):

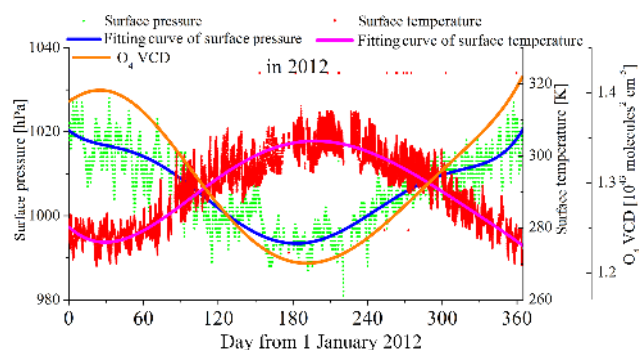
$$-2 \ln P(x) = (x - x_a)^T \mathbf{S}_a^{-1} (x - x_a) + c. \quad (1)$$

Here  $c$  is a constant value and  $\mathbf{S}_a$  is the covariance matrix of the a priori. Thus the solution can not reach the true state if the PDF of the atmospheric state ( $x$ ) is skewed or asymmetric (Rodgers, 2000). In this study the retrieval of the AE for extremely high aerosol loads (e.g. fog and haze) belongs to cases, which probably do not fulfil this assumption. In such cases the AE is underestimated by the inversion (see Sect. 2.2.5).

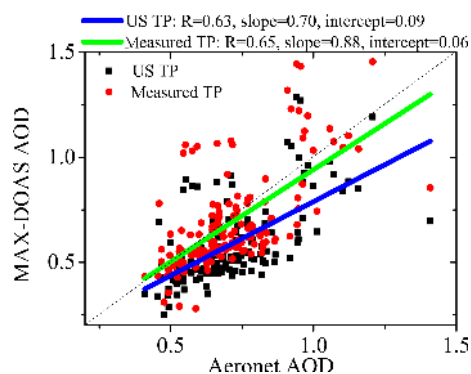
The totally averaged averaging kernels (AKs) for retrievals of AE and the NO<sub>2</sub> VMRs for favourable measurement conditions, namely cloud-free sky with relatively low aerosol loading (the sky condition is directly identified by MAX-DOAS observations as described in Sect. 2.2.5), are shown in Fig. 5. AKs for SO<sub>2</sub> and HCHO are similar to those of NO<sub>2</sub>. They indicate that the inversions are sensitive to the layers from the surface up to 1.5 km. The degrees of freedom (DoF) are about 1.5 for aerosols (similar to Frieß et al., 2006), 2 for NO<sub>2</sub> and 2.3 for SO<sub>2</sub> and HCHO. The detailed discussion of the performance of the profile retrievals is given in Sect. 3.2 of the Supplement by comparing the measured and modelled dSCDs for different elevation angles, and comparing profiles, AKs and retrieval errors in different seasons. In general the retrievals performed consistently for different elevation angles and seasons.

### 2.2.3 Correcting the effect of the variation of ambient temperature and pressure

In previous studies (Clémer et al., 2010; Hendrick et al., 2014; T. Wang et al., 2014), fixed temperature and pressure (TP) profiles are usually used (e.g. obtained from the US standard summer atmosphere for the measurements in China). However, for locations with a significant and systematic annual variation of TP, as in this study, this simplifica-

**Figure 3.** Annual variation of surface temperature and surface pressure as well as fitted 6th-order polynomials in 2012. Also shown are the O<sub>4</sub> VCDs calculated based on the fitted curves of the measured annual variations of surface temperature and pressure in 2012 (similar results are found for other years).

tion can affect the retrieved AODs and AE profiles (and thus also the TG profiles) systematically, yielding virtual seasonal variations. The time series of TP near the surface from the weather station near the MAX-DOAS instrument are shown in Fig. 3 for the year 2012 (similar patterns are found for other years, see Fig. S16). A regular annual variation of surface TP is obvious, with amplitudes between winter and summer of about 20 K and 30 hPa, respectively. The O<sub>4</sub> VCDs derived from the fitted curves of surface TP (the method is described in Sect. 3.3 of the Supplement) is also shown in Fig. 3. The O<sub>4</sub> VCD in summer is systematically lower than in winter by about 15 % of the yearly mean O<sub>4</sub> VCD. Ignoring this systematic seasonal variation can cause a 20–30 % bias of the AOD and near-surface AE (see Fig. S17). The error of the aerosol retrieval can further nonlinearly impact the TG profile retrievals. To account for this effect, the seasonal variation of TP and the O<sub>4</sub> VCD is parameterized and explicitly considered in the forward model during the MAX-DOAS retrievals by the PriAM algorithm. Figure 4 shows the AODs retrieved by PriAM using either explicit TP information or the TP profiles from the US summer standard atmosphere. The consistency of the AODs retrieved based on the explicit TP data with the simultaneous Taihu AERONET level 1.5 AOD data sets (see Sect. 2.2.4) is better than for TP profiles from the US standard summer atmosphere.



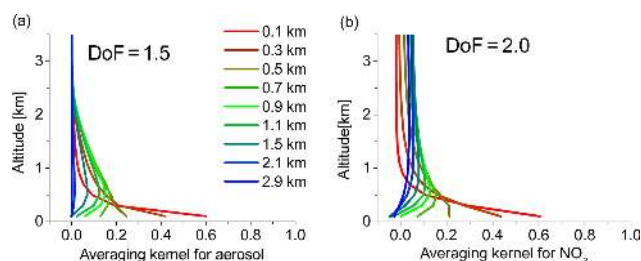
**Figure 4.** AODs retrieved from MAX-DOAS observations (using either the US standard summer TP profile or the explicit TP from measurements) plotted against those from the Taihu AERONET station for clear-sky conditions. The results of the linear regressions are shown on top of the diagram.

The systematic variation of TP could also be considerable in many other locations of the world. A seasonal variation of temperature occurs in many locations, especially outside the tropics. However, the temporal variation of the pressure is usually more complex and strongly depends on the location. The variation of pressure in Wuxi (and also many other parts of eastern China) is related to the East Asian Monsoon and shows a systematic seasonal pattern. The monsoon is a general phenomenon in eastern China. The pressure over the continent is systematically lower and higher than that over the ocean in summer and winter, respectively. Thus a similar seasonal variation of the  $O_4$  VCD is expected in general in eastern China.

#### 2.2.4 Comparisons with independent data sets under clear skies

To validate the results from MAX-DOAS observations, the column densities and averaged concentrations in the lowest layer from 0 to 200 m are compared to independent measurements:

- AODs at 380 nm (level 1.5) from the sun photometer at the AERONET (Holben et al., 1998, 2001) Taihu station. The data are downloaded from the website of <http://aeronet.gsfc.nasa.gov/>. The AERONET sun photometer is located 18 km south-west of the MAX-DOAS instrument. AERONET data in the period from May 2011 to October 2013 are included in the study. In the level 1.5 data, a cloud screening scheme is used to filter most of the cloud-contaminated data (Smirnov et al., 2000). Here it should be noted that AERONET Taihu station is located in a more remote area in Wuxi city than the MAX-DOAS instrument. This could contribute to a systematic bias between both data sets. However, the long residence time of up to several days (Ahmed et al., 2004) and the relatively homogeneous horizontal



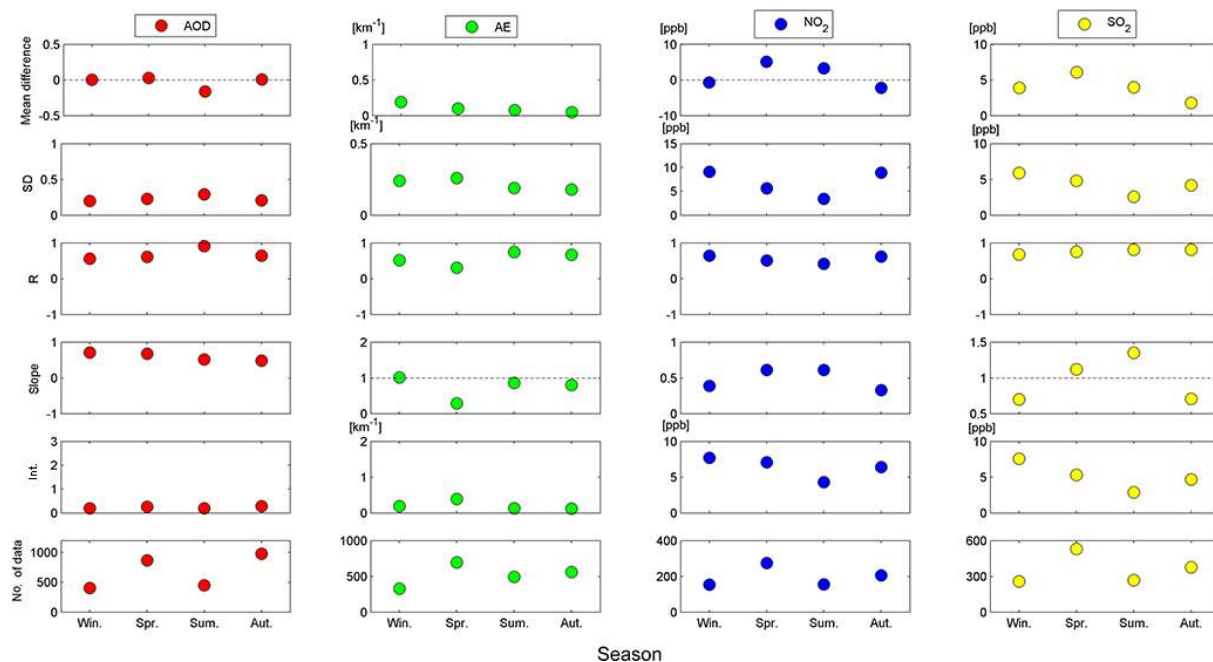
**Figure 5.** A totally averaged averaging kernel of aerosol (a) and  $NO_2$  (b) retrievals for all the MAX-DOAS measurements for clear-sky conditions with low aerosol loading. DoF is the degrees of freedom related to the averaging kernel.

distribution of aerosols (illustrated by the weak dependence of AOD on wind direction; see Sect. 3.4.2) implies that the differences between both measurements should be small.

- Visibilities near the ground from a forward-scattering visibility meter (manufacturer: Anhui Landun Photoelectron Co. Ltd. Model: DNQ2 forward-scattering visibility meter) (Wang et al., 2015), which is located at the same site as the MAX-DOAS instrument. The data from May 2011 to December 2013 are available.
- $NO_2$  and  $SO_2$  VMRs (no HCHO data are available) near the ground from a long-path DOAS (LP-DOAS) instrument (Qin et al., 2006) located at the same site as the MAX-DOAS instrument. The LP-DOAS is directed to the east with a total light path length of about 2 km. The data from May 2011 to April 2012 are available.

MAX-DOAS results are compared to the available independent measurements within 15 min time difference. In this section only the data recorded during clear-sky conditions with low aerosol load (the sky condition is identified by MAX-DOAS observations as described in Sect. 2.2.5) are compared (comparisons for different cloud conditions are shown in Sect. 2.2.5). For the comparisons of AODs, near-surface AE,  $NO_2$  and  $SO_2$  VMRs, the averaged absolute differences, standard deviations, correlation coefficients ( $R$ ) (Pearson's product moment correlation coefficient is applied in this paper) and the slopes and intercepts derived from the linear regressions are shown in Fig. 6 for the different seasons. The histograms of the absolute differences in different seasons are shown in Fig. S18.

For the comparisons of AODs from MAX-DOAS and the AERONET sun photometer, almost symmetrical Gaussian-shape histograms of the absolute difference are found for different seasons, except for summer (see Fig. S18a). The mean differences of AODs are smaller than 0.16 (about 20 % of the average value). The correlation coefficients are within 0.56 to 0.91 (see Fig. 6). The highest  $R$  of 0.91 is found in summer, probably related to the wider range of AODs covered, but in that season the largest absolute



**Figure 6.** Mean absolute differences and standard deviations as well as correlation coefficients ( $R$ ), slopes and intercepts derived from linear regressions of the AODs, and near-surface AE, NO<sub>2</sub> and SO<sub>2</sub> VMRs between MAX-DOAS and independent techniques for different seasons for clear-sky conditions with low aerosol loads. The corresponding number of data points is shown in the bottom panel. Different colours denote AOD (compared with the Taihu AERONET level 1.5 data sets), AE (compared with the nearby visibility meter) and NO<sub>2</sub> and SO<sub>2</sub> (compared with the nearby long-path DOAS instrument). For calculations of mean absolute differences, the data derived from independent techniques are subtracted from those derived from the MAX-DOAS instrument. The data derived from the MAX-DOAS instrument are plotted against those derived from independent techniques for linear regressions.

difference of  $-0.16$  is also found, probably due to the stronger aerosol load than in other seasons. Underestimation of high aerosol amounts by MAX-DOAS will be discussed in Sect. 2.2.5. Several previous studies applied a correction factor to measured O<sub>4</sub> dSCDs to improve the consistency between the AODs derived from MAX-DOAS and those from AERONET (e.g. Wagner et al., 2009; Cl mer et al., 2010; Frie  et al., 2016). So far there is no good explanation for this correction factor. In this study we do not apply any correction factor, because we achieve reasonable consistency between MAX-DOAS and AERONET results without the application of a correction factor.

The averaged AE in the lowest layer derived from the MAX-DOAS are compared with those from the visibility meter. Here it has to be noted that both instruments do not probe exactly the same air masses: the visibility meter is sensitive to air masses at the measurement location while the MAX-DOAS is sensitive to the air masses along the line of sight for up to several kilometres away from the instrument and up to a few hundred metres above the ground. Figure S18b shows almost symmetrical Gaussian-shape histograms of the absolute differences of the AE between the two techniques. The mean differences are  $< 0.18 \text{ km}^{-1}$  (about 33 % of the average value), as shown in Fig. 6. The highest  $R$  of 0.74 is found in summer (see Fig. 6), probably

related to the wider range of values and the stronger vertical convection, which causes a higher boundary layer and possibly a smoother vertical distribution of aerosols than in other seasons. The worst correlation is found in spring, which might be related to the occurrence of long-distance transport of dust with elevated aerosol layers (see Sect. 3.1).

The VMRs of NO<sub>2</sub> and SO<sub>2</sub> in the lowest layer derived from MAX-DOAS are compared with the values from LP-DOAS measurements for the individual seasons. Like for the AE, it has to be noted that both instruments do not probe exactly the same air masses, as the LP-DOAS yields the mean TG concentration for the light path defined by the set-up of instrument and reflector. In general the mean absolute differences are smaller than 5 ppb (about 50 % of the average value) for NO<sub>2</sub> and 6 ppb (about 60 % of the average value) for SO<sub>2</sub> (see Fig. 6). Almost symmetrical Gaussian-shape histograms of the absolute differences are also found for NO<sub>2</sub> and SO<sub>2</sub> in different seasons (Fig. S18c and d). The range of  $R$  is from 0.4 to 0.7 for NO<sub>2</sub> and from 0.7 to 0.8 for SO<sub>2</sub> in all seasons (see Fig. 6). The higher  $R$  value for SO<sub>2</sub> than for NO<sub>2</sub> is probably related to the longer lifetime and thus more homogeneous vertical and horizontal distribution of SO<sub>2</sub> compared to NO<sub>2</sub>, especially in the layer from 0 to 200 m. The worst correlation of NO<sub>2</sub>, especially in the afternoon (see Fig. S19), is found in summer probably because



of the low NO<sub>2</sub> VMR near the surface, the small value range and the steep vertical gradient in the layer from 0 to 200 m (see below). The generally positive absolute differences of NO<sub>2</sub> and SO<sub>2</sub> shown in Fig. 6 could be attributed to strong gradients in the layer from 0 to 200 m as, e.g., found from tower measurements in Beijing (Meng et al., 2008): they concluded that the largest values of the NO<sub>2</sub> and SO<sub>2</sub> concentrations are not directly located at the surface, but at an altitude of about 100 m, especially in summer. However, it should be noted that the vertical gradients around Wuxi might be different from those in Beijing and thus other reasons might also contribute to the observed differences.

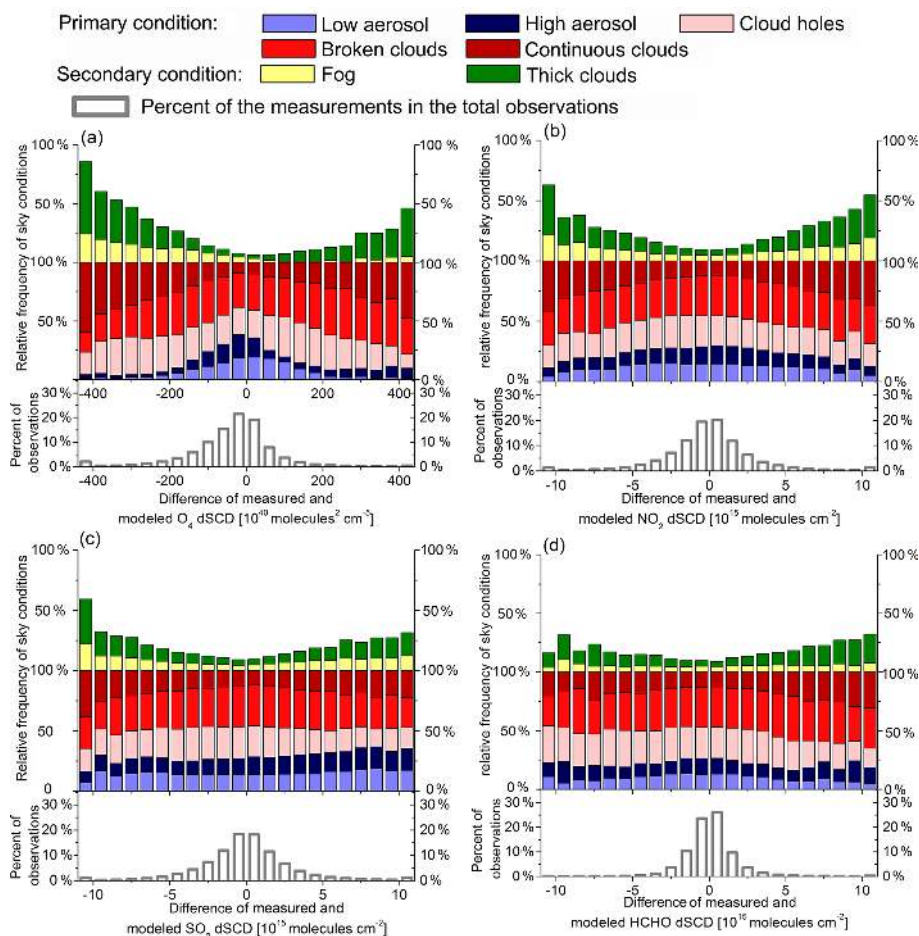
### 2.2.5 Evaluations of retrievals under cloudy and strong aerosol conditions

The retrieval of AE by PriAM from O<sub>4</sub> absorptions is based on a RTM, which does not include the effects of clouds. In principle it should be possible to also include cloud effects in the RTM (at least for horizontally homogenous clouds), but in the current version of our retrieval this is not yet accomplished. In this section, we investigate how strongly different types of clouds affect the MAX-DOAS retrieval results of aerosols and TGs. For that purpose we compare the MAX-DOAS results with independent data sets for different cloud types. For the characterization of the cloud conditions we use the cloud classification scheme described in Wang et al. (2015) (based on the concept of Wagner et al., 2014) to classify the sky conditions from the MAX-DOAS observations, i.e. radiance, colour index and O<sub>4</sub> absorption. The scheme differentiates between eight primary sky conditions (varying between clear skies with low aerosol load to continuous cloud cover) and two secondary sky conditions of fog and optically thick clouds. In this study we condense the eight primary sky conditions to five primary conditions by merging two types of cloud holes and two types of continuous clouds and ignoring the rare condition of “extremely high midday CI” (colour index) (Wang et al., 2015). The remaining five primary conditions are clear sky with low aerosol load (“low aerosol”), clear sky with high aerosol load (“high aerosol”), “cloud holes”, “broken clouds”, and “continuous clouds”. Please note that “low” and “high” in the marks of sky condition categories describe the aerosol load but not the aerosol height. Each MAX-DOAS measurement scan is assigned to one of the five primary sky conditions. In addition, they can be assigned to the two secondary sky conditions of “fog” and “optically thick clouds”. Here it should be clarified that the “fog” sky condition does not exactly belong to the meteorological definition, but represents a sky condition derived from MAX-DOAS observations with a low visibility. It should also be noted that the distinction between “low aerosol” and “high aerosol” conditions is based on the colour index measured by the MAX-DOAS instrument, but not on explicit AOD threshold values. The studies of Wang et al. (2015), however, demonstrated that the AODs observed

by the Taihu AERONET sun photometer are mostly smaller and larger than 0.6 for the “low aerosol” and “high aerosol”, respectively. In addition to the cloud effect, the effect of high aerosol loads is also evaluated (due to the unrealistic assumption of the PDF of the atmospheric state in the OE algorithm for high aerosol loads (see Eq. 1)).

Firstly, measured and modelled dSCDs (results of the forward model) are compared under various sky conditions. In Fig. 7 (grey columns), the histograms of the differences between the measured and modelled dSCDs are shown for the four species (note that Fig. 7 represents the differences for all non-zenith elevation angles). The histograms are symmetric and the maximum probabilities occur around zero for all four species, i.e., overall, there is no indication for a significant systematic retrieval bias. In the same figure, the relative frequencies for the different sky conditions are shown in different colours. In general, for cloudy-sky conditions, especially for continuous clouds and optically thick clouds, larger discrepancies are found compared to cloud-free sky conditions. The effect of clouds on the inversion is stronger for aerosols than for TGs. For the aerosol inversion, more negative differences are found for “fog”, which indicates that the strong extinction in “fog” is not well represented by the forward model (the phenomenon is also found in Fig. 9 and discussed below). To skip those inverted profiles, which probably differ largely from the real profiles, we only keep the profiles, for which the differences between measured and modelled dSCDs are smaller than  $2 \times 10^{42}$  molecules<sup>2</sup> cm<sup>-5</sup> for the O<sub>4</sub> dSCDs (90.6% of the total observations) and  $5 \times 10^{15}$  molecules cm<sup>-2</sup> for NO<sub>2</sub> (89.8%), SO<sub>2</sub> (90.4%), and HCHO dSCDs (97.9%) for each elevation angle in one elevation sequence.

After this screening of potentially bad profiles, the mean profiles of AE and TG mixing ratios as well as the corresponding total AKs (which represent the sum of all AKs at the individual altitudes) are shown in Fig. 8 for different sky conditions. While the total AKs differ only slightly, the resulting profiles are quite different for different sky conditions. There are two interesting findings for the retrieved profiles: first, for all cloudy scenarios (including fog), the maximum AE is not found at the surface, but at higher altitudes, as observed also by Nasse et al. (2015). This can be explained by the fact that clouds act as a diffusing screen. The effect on MAX-DOAS observations is that the light paths, especially for low-elevation angles, become longer than for cloud-free conditions. Consequently, also increased O<sub>4</sub> absorptions are measured for such conditions. A similar effect can also be caused by elevated aerosol layers. Since the forward model does not explicitly include clouds, elevated “cloud-induced” aerosol layers are usually derived in the profile inversion under cloudy conditions. The diffusing screen effect depends on the cloud optical thickness. The most pronounced cloud-induced elevated aerosol layers are retrieved for optically thick clouds.

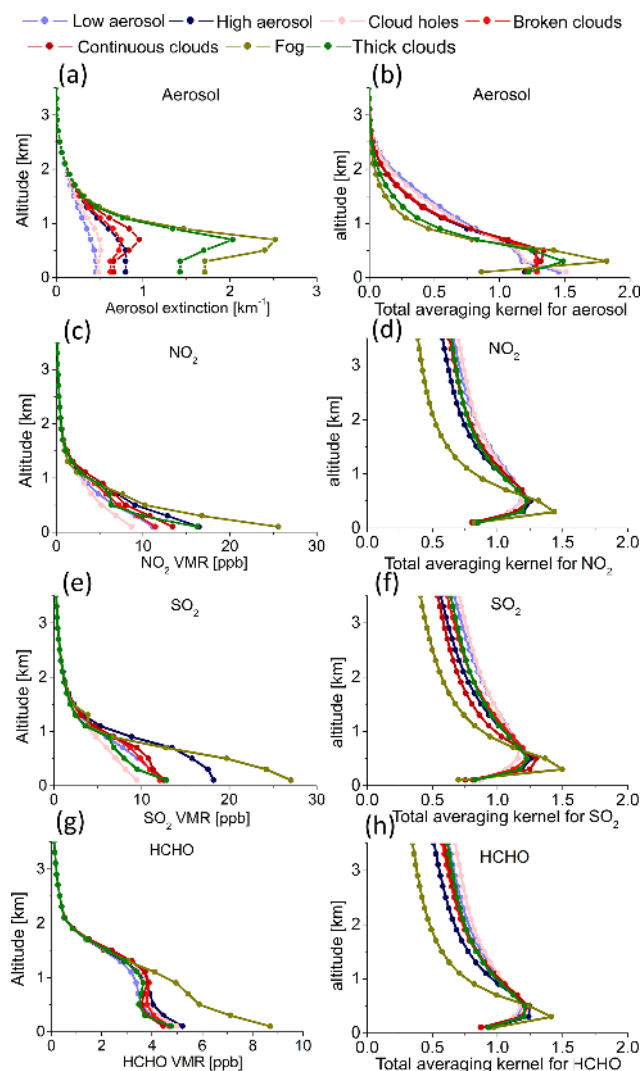


**Figure 7.** Histograms of the differences between the measured and modelled dSCDs of  $O_4$  (a),  $NO_2$  (b),  $SO_2$  (c) and  $HCHO$  (d) for all elevation angles. The colour bars show the relative frequencies of the different sky conditions for each bin (top panels). The grey hollow bars (bottom panels) represent the relative frequencies of the number of measurements compared to the total number of observations.

Interestingly, for measurements under “fog” conditions, elevated aerosol layers are also obtained from the MAX-DOAS inversion. This is at first sight surprising, but can be explained by two aspects: first, for most measurements classified as “fog”, a weak systematic dependence of the  $O_4$  dSCDs on elevation angles is still found, indicating that during most “fog” events the visibility is still not close to zero. Second, for most of the measurements classified as “fog” the presence of clouds (including thick and broken clouds) was also detected (Wang et al., 2015). This finding indicates that, for most observations classified as “fog”, increased aerosol scattering close to the surface did indeed occur, but at higher altitudes, even larger extinction was present. We also found a generally larger value of the cost function under cloudy conditions (consistent with Fig. 7) and a systematic variation of the TG VCDs and near-surface VMRs for the different cloud scenarios. Besides measurement errors, these variations are probably also due to different photolysis rates and atmospheric dynamics (see Fig. S20).

In the following we compare the results from MAX-DOAS and other techniques under different sky conditions. Since the frequencies of different cloud conditions depend on season (Wang et al., 2015), and the agreement between MAX-DOAS and other techniques was also found to be different for different seasons (see Sect. 2.2.4), the comparisons are done for individual seasons. In Fig. 9 the comparison results in autumn are shown (similar conclusions are found for other seasons and the relevant figures are shown in Figs. S21–S24). Based on the comparisons of the retrieved profiles under different sky conditions (Fig. 8) and the comparison results with independent data sets (Fig. 9), we have developed recommendations: under which sky conditions a given data product might still be useful or should not be used. These recommendations, as summarized in Table 3, should not be seen as binding, but rather as a general indication of the usefulness of a given observation, and might change for improved inversion algorithms in the future.

In general we find that the aerosol results are more strongly affected by the presence of clouds than the trace gas results.



**Figure 8.** Mean profiles of aerosol extinctions (a),  $\text{NO}_2$  VMRs (c),  $\text{SO}_2$  VMRs (e) and HCHO VMRs (g) from all MAX-DOAS observations under individual sky conditions; (b, d, f, h) show the total averaging kernels of the four species under individual sky conditions.

This is especially true for the retrieved AOD for which large mean difference and worse correlation are found under “continuous clouds” (see Fig. 9). The impact of clouds on the retrieved AE profiles is also significant, as illustrated in Fig. 8a. Thus we recommend that retrieved AOD and AE profiles (except those close to the surface) should not be used for cloudy conditions. However, AE close to the surface can still be well retrieved under most cloudy conditions, except for “thick clouds” and especially for “fog”, because a significant increase of the mean difference and decrease of the linear correlation are found for “fog” (Fig. 9). The TG results are less affected by clouds. No significant effects of clouds on the profiles of TGs are found, as can be seen in Fig. 8c, e, and g. Only larger mean differences and worse linear corre-

lations of the surface mixing ratios are obtained for “thick clouds” and “fog” (Fig. 9). Thus not only surface mixing ratios, but also TG profiles and tropospheric VCDs, can still be well retrieved for most cloudy situations (except for thick clouds and fog). The MAX-DOAS data used in Sect. 3 are filtered by the recommendations listed in Table 3.

In comparison with other sky conditions (except for “fog” and “thick clouds”), outlier values of the mean differences and slopes under “high aerosol” conditions are found for both aerosols and TGs, as shown in Fig. 9. This phenomenon could be attributed to two factors: a degraded performance of aerosol profile retrieval and a reality of vertical distributions of aerosols and TGs. On the one hand, aerosol profiles with high aerosol loadings could hardly be well reproduced by the retrieval algorithm due to the constraint of the a priori profile and the assumption of Eq. (1). This is indicated by the systematic overestimation of the modelled  $\text{O}_4$  dSCDs compared to the measured  $\text{O}_4$  dSCDs as shown in Fig. 7 for “high aerosol” conditions. On the other hand, a “high aerosol” condition usually indicates a polluted period; therefore it is reasonable to expect a very different inhomogeneity of the horizontal and vertical distributions of aerosols and TGs in the lowest layer (0–200 m) of MAX-DOAS profile retrievals compared to other relatively clean conditions. Thus different air mass observed by a MAX-DOAS instrument and other techniques could play a different role under “high aerosol” conditions. Further studies on the evaluation and improvement of profile retrievals of MAX-DOAS under heavy aerosol pollution conditions need to be carried out in the future. In this study, we decide to keep the MAX-DOAS retrievals under “high aerosol” condition because, despite their lower reliability, they can still provide useful information.

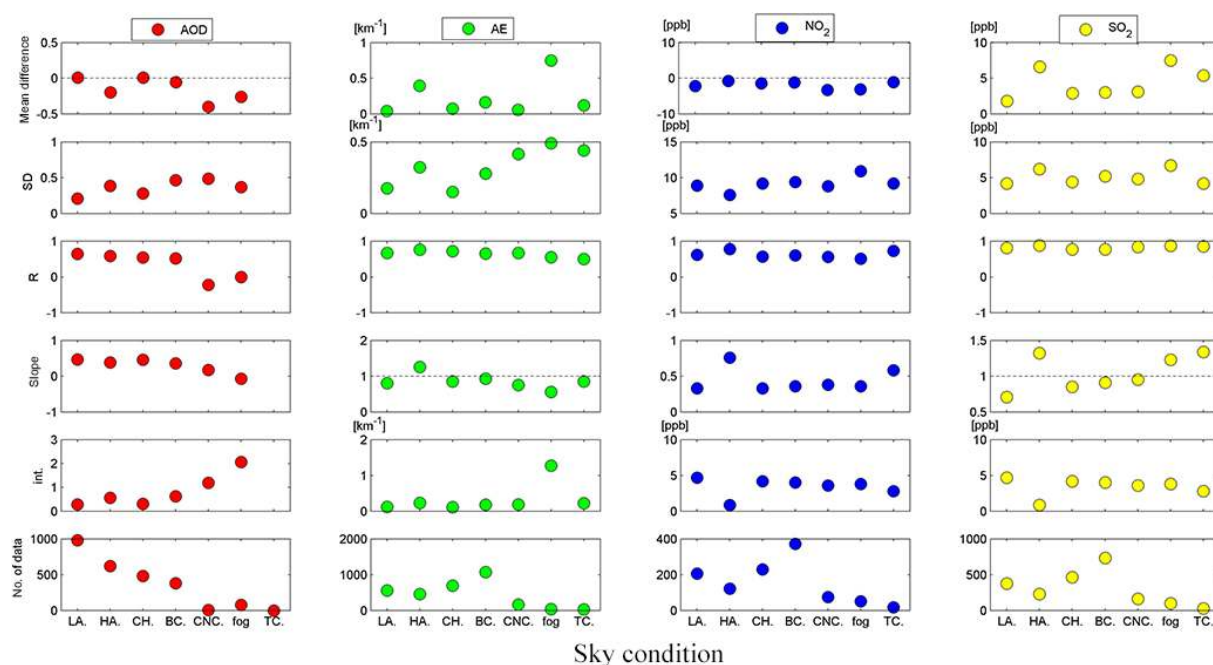
### 2.2.6 Error budgets

For the MAX-DOAS results, we derive the error estimates from different sources. First we estimate the error budgets for the near-surface values and column densities of the TGs and aerosols, which are summarized in Table 4. The following error sources are considered:

- Smoothing and noise errors (fitting error of DOAS fits) on the near-surface values and column densities are derived from the averaged error of profiles from the retrievals (shown in Figs. S11b–S14b), and amount on average to 10 and 6 % for aerosols, 12 and 17 % for  $\text{NO}_2$ , 19 and 25 % for  $\text{SO}_2$  and 50 and 50 % for HCHO, respectively.
- Algorithm errors related to an imperfect minimum of the cost function, namely the discrepancy between the measured and modelled dSCDs. Based on the fact that measurements for 5 and 30° elevation angles are sensitive to low and high air layers, respectively, we estimate the algorithm errors on the near-surface values and the

**Table 3.** Filter scheme of aerosol and trace gas results derived from MAX-DOAS observations. Filled circles (●): use of measurement is recommended; Open circles (○): use of measurement is not recommended.

	AOD	Aerosol extinction near surface	Profile of aerosol extinction	VCD	VMR near surface	Profile of VMRs
Low aerosol	●	●	●	●	●	●
High aerosol	●	●	●	●	●	●
Cloud holes	○	●	○	●	●	●
Broken clouds	○	●	○	●	●	●
Continuous clouds	○	●	○	●	●	●
Fog	○	○	○	○	○	○
Thick clouds	○	○	○	○	○	○



**Figure 9.** Mean absolute differences and standard deviations as well as correlation coefficients ( $R$ ), slopes and intercepts derived from linear regressions of the AODs, and near-surface AE,  $\text{NO}_2$  and  $\text{SO}_2$  VMRs between MAX-DOAS and independent techniques for different sky conditions in autumn. The corresponding number of data points is shown in the bottom panel. Different colours denote AOD (compared with the Taihu AERONET level 1.5 data sets), AE (compared with the nearby visibility meter) and  $\text{NO}_2$  and  $\text{SO}_2$  (compared with the nearby long-path DOAS instrument). For calculations of mean absolute differences, the data derived from independent techniques are subtracted from those derived from the MAX-DOAS instrument. The data derived from the MAX-DOAS instrument are plotted against those derived from independent techniques for linear regressions.

column densities using the averaged relative differences between measured and modelled dSCDs for a 5 and 30° elevation angle, respectively. These errors on the near-surface values and the column densities are on average estimated at 4 and 8 % for aerosols, 3 and 11 % for  $\text{NO}_2$ , 4 and 10 % for  $\text{SO}_2$ , and 4 and 11 % for HCHO, respectively.

c. Cross section errors of  $\text{O}_4$  (aerosols),  $\text{NO}_2$ ,  $\text{SO}_2$ , and HCHO are 5, 3, 5 and 9 %, respectively, according to

Thalman and Volkamer (2013), Vandaele et al. (1998), Bogumil et al. (2003) and Meller and Moortgat (2000).

d. The errors related to the temperature dependence of the cross sections are estimated in the following way. We first calculate the amplitude changes of the cross sections per kelvin using two cross sections at two temperatures from the same data sets. Then the amplitude changes per kelvin are multiplied by the variation magnitude of the ambient temperature (45 K during



**Table 4.** Averaged error budget (in %) of the retrieved TG VCDs and AOD, and near-surface (0–200 m) TG VMRs and AE. The total uncertainty is calculated by adding the different error terms in Gaussian error propagation.

	0–200 m				VCD or AOD			
	AE	NO <sub>2</sub>	SO <sub>2</sub>	HCHO	AOD	NO <sub>2</sub>	SO <sub>2</sub>	HCHO
Smoothing and noise error	10	12	19	50	6	17	25	50
Algorithm error	4	3	4	4	8	11	10	11
Cross section error	5	3	5	9	5	3	5	9
Related to temperature dependence of cross section	10	2	3	6	10	2	3	6
Related to the aerosol retrieval (only for trace gases)	–	16	16	16	–	15	15	15
Total	16	21	26	54	15	25	31	54

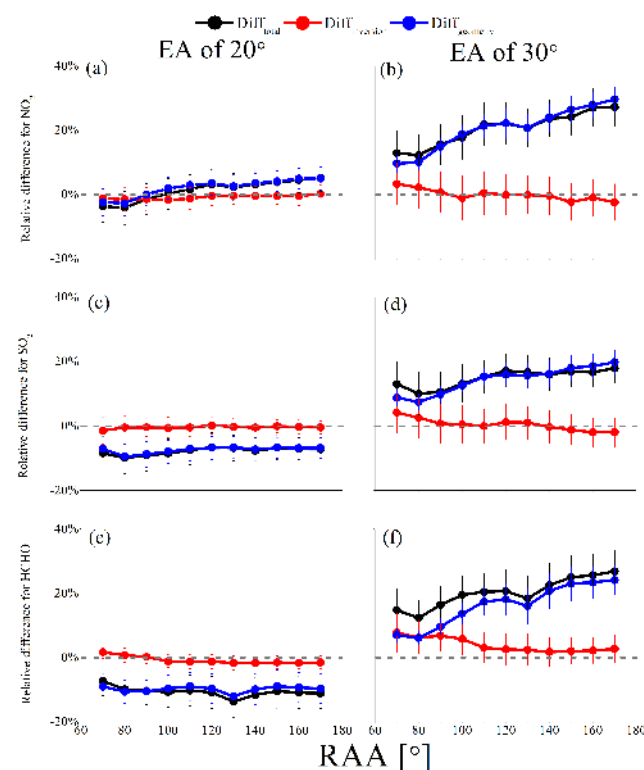
the whole measurement period; see Fig. 3). The corresponding systematic error of O<sub>4</sub> (aerosols), NO<sub>2</sub>, SO<sub>2</sub> and HCHO are estimated to up to 10, 2, 3 and 6 %, respectively.

- e. The errors of TGs related to the errors of aerosols are estimated at 16 % for VCDs and 15 % for near-surface VMRs for the three TGs according to the total error budgets of aerosol retrievals. These estimations are based on a linear propagation of the aerosol errors on the TG retrievals, which is a rough assumption. Additional sensitivity tests considering uncertainties on aerosol properties and profiles should be performed for the different viewing geometries in order to derive a more realistic error estimate.

The total error budgets on the TGs and aerosols are given by combining all the above error sources in the bottom row of Table 4. In general the sum of the smoothing and noise error is the dominant error source in the total error budget. The error budgets of the profiles also consist of the five (four for aerosol profiles) error sources. The error (a) depends on the height, has much larger (relative) error at high altitudes and is shown in Figs. S11b–S14b. The error (b) can not be realistically estimated because of the difficulty of assigning discrepancies between measured and modelled dSCDs to each altitude of the profiles. The errors (c) and (d) have identical values at all altitudes and are the same as the estimations for the near-surface values and column densities above. The error (e) of TG profiles can be estimated as the total error budgets of aerosol profiles. However, because error (b) is unknown, the error (e) can not be quantified at the moment.

### 2.3 Comparisons between the geometric VCD and the VCD derived from the profile inversion

The geometric approximation (e.g. Brinksma et al., 2008) is often used to convert the dSCD for an elevation angle of  $\alpha$  ( $dSCD_\alpha$ ) to the tropospheric VCD<sub>geo</sub>, as in Eq. (2):



**Figure 10.** Relative differences of the tropospheric NO<sub>2</sub> (top row panels), SO<sub>2</sub> (middle row panels) and HCHO (bottom row panels) VCDs derived by the geometric approximation and from the profile inversion ( $Diff_{total}$ , black dots) as a function of the relative azimuth angle for elevation angles of 20° (left panels) and 30° (right panels). Also shown are the differences caused by the errors of the profile retrieval ( $Diff_{inversion}$ , red dots) and of the geometric approximation ( $Diff_{geometry}$ , blue dots).  $Diff_{total}$ ,  $Diff_{inversion}$ , and  $Diff_{geometry}$  are calculated by Eqs. (3)–(5) in the text.

$$VCD_{geo} = \frac{dSCD_\alpha}{\frac{1}{\sin(\alpha)} - 1}. \quad (2)$$

Elevation angles between about 20 and 30° are usually used for the application of the geometric approximation



(e.g. Shaiganfar et al., 2011; Ma et al., 2013). The tropospheric VCD ( $VCD_{\text{pro}}$ ) can also be derived by the vertical integration of the retrieved profiles. The relative differences ( $\text{Diff}_{\text{total}}$ ) between  $VCD_{\text{pro}}$  and  $VCD_{\text{geo}}$  for  $\text{NO}_2$ ,  $\text{SO}_2$  and HCHO are calculated by Eq. (3):

$$\text{Diff}_{\text{total}} = \frac{VCD_{\text{geo}} - VCD_{\text{pro}}}{VCD_{\text{pro}}}. \quad (3)$$

In Fig. 10, the average relative differences for elevation angles of 20 and 30° are shown as a function of the relative azimuth angle (RAA), i.e. the difference between the azimuth angles of the sun and the viewing direction of the telescope. In general, the discrepancy is larger for an elevation angle of 30° than for 20°. In addition, an increase of the difference with increasing relative azimuth angle is also found. Both findings have different magnitudes for the different TGs. The observed dependencies could be attributed to two reasons: first, the validity of the geometric approximation is limited, especially if the last scattering event occurs in the TG layer of interest. The respective probability depends on the layer height, wavelength, aerosol load and viewing geometry. A second reason for the observed differences is the uncertainty of the profile inversion. Some studies already reported systematic errors of the geometric approximation:

1. Ma et al. (2013) showed that the systematic error of the  $\text{NO}_2$  VCDs calculated by the geometric approximation for an elevation angle of 30° is about 20 % on average, which is quite similar with the values shown in Fig. 10b. Also, the error is larger for larger elevation angles and larger RAA, which is also consistent with the results shown in Fig. 10a and b.
2. The simulation studies for an elevation angle of 22° in Shaiganfar et al. (2011) show that the error of the geometric approximation depends on the layer height of the TGs and aerosols. They found that a higher layer of TGs leads to a larger negative error. This finding is consistent with the results shown in Fig. 10e, where the largest biases are found for HCHO, which has a higher layer height than  $\text{NO}_2$  and  $\text{SO}_2$  (see Fig. 13).

To identify the dominant error source, we split the total difference ( $\text{Diff}_{\text{total}}$ ) between  $VCD_{\text{geo}}$  and  $VCD_{\text{pro}}$  into two parts: the first part is the difference between  $VCD_{\text{geo}}$  and  $VCD_{\text{geo}}^{\text{m}}$ . Here  $VCD_{\text{geo}}^{\text{m}}$  is calculated by applying the geometric approximation to the modelled dSCD (from the forward model of the profile inversion) for the same elevation angle. This difference describes the error from the profile inversion and is referred to as  $\text{Diff}_{\text{inversion}}$ :

$$\text{Diff}_{\text{inversion}} = \frac{VCD_{\text{geo}} - VCD_{\text{geo}}^{\text{m}}}{VCD_{\text{pro}}}. \quad (4)$$

The second part is the difference ( $\text{Diff}_{\text{geometry}}$ ) between  $VCD_{\text{geo}}^{\text{m}}$  and  $VCD_{\text{pro}}$ :

$$\text{Diff}_{\text{geometry}} = \frac{VCD_{\text{geo}}^{\text{m}} - VCD_{\text{pro}}}{VCD_{\text{pro}}}. \quad (5)$$

$\text{Diff}_{\text{geometry}}$  describes the error due to the limitation of the geometric approximation.  $\text{Diff}_{\text{inversion}}$  and  $\text{Diff}_{\text{geometry}}$  are also shown in Fig. 10 with red and blue colours, respectively. It is found that  $\text{Diff}_{\text{inversion}}$  is mostly smaller than 4 % for the 30° elevation angle of and smaller than 2 % for the 20° elevation angle. Moreover, the variation of  $\text{Diff}_{\text{total}}$  along RAA is similar with  $\text{Diff}_{\text{geometry}}$ . Both findings clearly indicate that the error due to the limitation of the geometric approximation is the dominant error contributing to  $\text{Diff}_{\text{total}}$ . Moreover, the systematic errors of the geometric approximation become significant when the aerosol load is large (see Sect. 4 of the Supplement). Thus in the following, we integrate the retrieved profiles to extract the respective tropospheric VCD. One point that needs to be clarified is that the discrepancy of retrieved profile from the reality does not impact  $\text{Diff}_{\text{geometry}}$ , although both  $VCD_{\text{pro}}$  and  $VCD_{\text{geo}}^{\text{m}}$  for the calculation of  $\text{Diff}_{\text{geometry}}$  are as a function of the retrieved profile. Meanwhile  $\text{Diff}_{\text{inversion}}$  can present the discrepancy well.

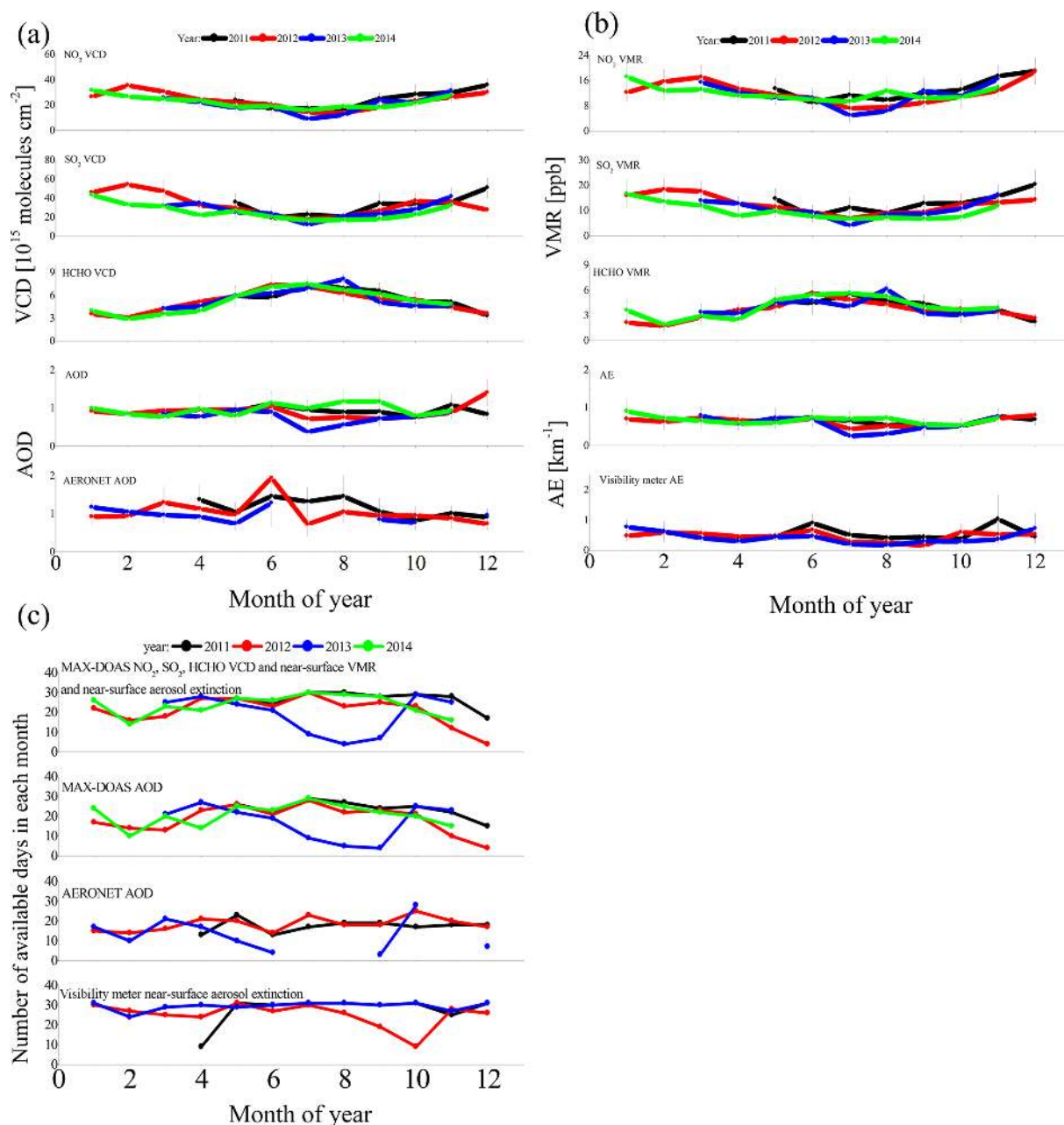
### 3 Results and discussion

In this section, MAX-DOAS results of column densities, near-surface concentrations and vertical profiles of aerosols and TGs are shown and discussed for (a) seasonal variations and inter-annual trends, (b) diurnal variations and (c) weekly cycles as well as wind dependencies.

#### 3.1 Seasonal variations and inter-annual trends of daytime $\text{NO}_2$ , $\text{SO}_2$ , HCHO and aerosols

The time series of monthly-averaged (after daily averaging) TG VCDs and near-surface VMRs as well as AODs and near-surface AE (all the data are filtered by the recommended scheme in Table 3) derived from MAX-DOAS observations are presented in Fig. 11. Also shown are AODs and AE obtained from AERONET and the visibility meter, respectively.

Similar annual variations are found for TG VCDs and near-surface VMRs. The seasonal cycles of  $\text{NO}_2$  and  $\text{SO}_2$  show minimum values ( $\text{NO}_2$  and  $\text{SO}_2$  VCD of  $9\text{--}17 \times 10^{15}$  and  $12\text{--}23 \times 10^{15}$  molecules  $\text{cm}^{-2}$ , respectively;  $\text{NO}_2$  and  $\text{SO}_2$  VMR of 5–11 and 4–11 ppb, respectively) in summer and maximum values ( $\text{NO}_2$  and  $\text{SO}_2$  VCD of  $27\text{--}35 \times 10^{15}$  and  $33\text{--}54 \times 10^{15}$  molecules  $\text{cm}^{-2}$ , respectively;  $\text{NO}_2$  and  $\text{SO}_2$  VMR of 12–16 and 14–18 ppb) in winter. These characteristics are already well known over urban areas in the eastern China region (Richter et al., 2005; Qi et al., 2012; Ma et al., 2013; Hendrick et al., 2014; T. Wang et al., 2014). In contrast, HCHO shows an opposite seasonality compared to  $\text{NO}_2$  and  $\text{SO}_2$ . The HCHO VCD and near-surface VMR are  $16\text{--}20 \times 10^{15}$  molecules  $\text{cm}^{-2}$  and 4–6 ppb in summer, respectively, and  $7\text{--}10 \times 10^{15}$  molecules  $\text{cm}^{-2}$  and 2–4 ppb



**Figure 11.** Seasonal cycle of monthly mean MAX-DOAS results: VCD and AOD (a) and near-surface VMR of  $\text{NO}_2$ ,  $\text{SO}_2$  and HCHO and AE (b) for May 2011 to November 2014. The error bars represent the standard deviations. In addition to the MAX-DOAS data, AOD and AE from AERONET and the visibility meter are also shown, respectively. The numbers of available days in each month for MAX-DOAS measurements, AERONET and the visibility meter are shown in (c). The different numbers of available AOD and trace gas data derived from MAX-DOAS are caused by the filtering scheme (see Table 3).

in winter, respectively. A similar seasonality of HCHO in the eastern China region was already reported by De Smedt et al. (2010, 2015).

For AOD and AE no pronounced seasonal cycle is found. The MAX-DOAS results mostly reveal similar levels to the other two techniques. Note that the data in 2014 are neither available from the AERONET Taihu station nor from the vis-

ibility meter. The AOD is typically larger than 0.7 and the AE typically larger than  $0.5 \text{ km}^{-1}$ . Note that the extremely low values in July and August of 2013 are unrepresentative because of poor statistics caused by the temporal shutdown of the instrument (see Fig. 11c).

The observed seasonal variations of the different species are related to various processes: the seasonal variation of

source emissions, chemical (trans-) formation and destruction, dry and wet deposition, and atmospheric transport (Wang et al., 2010; Lin et al., 2011). Different from the column densities, the near-surface concentrations of all species can be systematically affected by the seasonality of the boundary layer (BL) height (Baars et al., 2008). The compression effect of the lower BL height in winter than in summer systematically increases the near-surface concentrations.

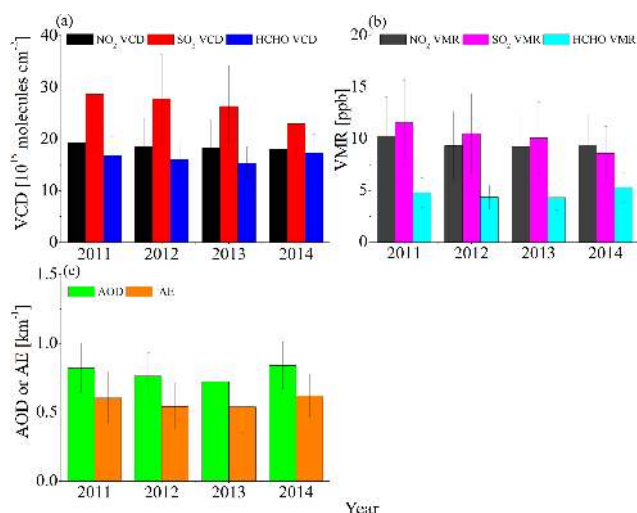
The details for the different species are discussed as follows:

1.  $\text{NO}_2$  and  $\text{SO}_2$ :  $\text{NO}_2$  (rapidly formed from  $\text{NO}_x$  after its emission) and  $\text{SO}_2$  originate mostly from direct emissions. It is assumed that about 94 % of total  $\text{NO}_x$  emissions in the Wuxi region are from power plants, industrial fuel combustion, and vehicles (Huang et al., 2011), which emit similar amounts in different seasons. The contribution of boilers for the seasonal use of domestic heating to  $\text{NO}_x$  is only about 5 % (Huang et al., 2011). Thus the seasonal variation of the MAX-DOAS results cannot be explained by the variation of the  $\text{NO}_x$  emissions. However, the  $\text{SO}_2$  emissions might vary by about 20 % due to the significant contribution of boilers (Huang et al., 2011). Because of the short lifetime of  $\text{NO}_x$  under urban pollution (usually a few hours, e.g. Beirle et al., 2011; Liu et al., 2016), most  $\text{NO}_x$  should originate from local emissions (Liu et al., 2016), and  $\text{NO}_x$  long-range transport could be negligible in Wuxi. It needs to be noted that because of the longer lifetime of  $\text{NO}_x$  in winter (Schaub et al., 2007) than in summer, transport of  $\text{NO}_2$  from a nearby pollution area in winter might play a role on the seasonality of  $\text{NO}_2$ . Due to the large range of  $\text{SO}_2$  residence time (from less than 1 h to 2 weeks and longer in winter than in summer, e.g. Von Glasow et al., 2009; Lee et al., 2011; Beirle et al., 2014), transport from the highly polluted regions in the east and north likely plays a role, especially in winter. Here it is interesting to note that indications for long-range transport of  $\text{SO}_2$  are also found in the elevated  $\text{SO}_2$  profiles in winter, as shown in Fig. 13b. Because of the strong seasonal variation of the  $\text{SO}_2$  emissions due to domestic heating in the north (T. Wang et al., 2014), long-range transport from these regions could strongly impact the  $\text{SO}_2$  amount in Wuxi in winter, thus contributing to the seasonality. In conclusion, the seasonality of  $\text{NO}_2$  can be mostly attributed to the removal mechanisms due to the OH radical, which has a minimum in winter and maximum in summer (Stavrakou et al., 2013). The same removal mechanism could be partly responsible for  $\text{SO}_2$  seasonality (Lee et al., 2011). Additional heterogeneous reactions (Oppenheimer et al., 1998) might also play a role. Since we find a high correlation between the  $\text{NO}_2$  and  $\text{SO}_2$  VCDs and near-surface VMRs (see Fig. S26), we conclude that for  $\text{SO}_2$  the seasonality of the removal mechanism is also

the most important factor controlling the seasonality of the  $\text{SO}_2$  VCDs and near-surface VMRs.

2. HCHO: HCHO originates mainly from the oxidation degradation of many VOCs by the OH radical. But because the OH radical also plays a role in the removal mechanism of HCHO, the seasonal variation of the OH radical level contributes to the seasonality of HCHO in a complex way. Apart from the ubiquitous background levels of HCHO from the methane oxidation, emissions of non-methane VOCs (NMVOCs) (including HCHO) from biogenic sources, biomass burning and anthropogenic sources control local HCHO concentrations. Therefore, in addition to the seasonality of OH, the seasonal variations of the VOC emissions should also be important factors for the HCHO seasonality. Firstly, stronger biogenic emissions are expected in the growing period, namely from spring to autumn. Based on a study in Beijing (Xie et al., 2008), a relative contribution of biogenic emissions to the total VOC levels is estimated at about 13 %. Secondly, biomass burning events frequently occur in May and June (Cheng et al., 2014) in the Wuxi region. Thirdly, anthropogenic emissions contribute a lot to the VOC amounts. However, the dominating sources, such as non-combustion industrial processes and vehicles (Huang et al., 2011), do not show an obvious seasonality. Thus, their effect on the HCHO seasonality can be probably ignored. Fourth, biogenic primary emissions of HCHO could be another factor contributing to the HCHO seasonality due to its significant differences between summer and winter measurements (Chen et al., 2014).
3. Aerosols: the local aerosol sources, including primary aerosol emissions and secondary aerosol formations, and transport of aerosols can in principle both contribute to the local aerosol amount. The contribution of transported aerosols has an obvious seasonality: in May and June, the transport from biomass burning might contribute to up to 37 % of the  $\text{PM}_{2.5}$  amount based on a case study in summer 2011 (Cheng et al., 2014). In spring and autumn, dust storms from Mongolia can reach Wuxi (Liu et al., 2012; X. Fu et al., 2014; Li et al., 2014). The polluted air from the eastern area (for example, Shanghai) and northern area (for example Jing–Jin–Ji region) (Jiang et al., 2015) could also move to Wuxi under appropriate meteorological conditions (Liu et al., 2012). Haze events frequently occur in autumn and winter (G. Q. Fu et al., 2014).

The inter-annual trends of TGs and aerosols are presented in Fig. 12. Because of missing observations in some months and inner-annual variations of abundances of the species, only data from May to November are used.  $\text{SO}_2$  shows a clear decreasing trend from 2011 to 2014. However,  $\text{NO}_2$ , HCHO and aerosols almost maintain constant amounts.



**Figure 12.** Mean (May to November) VCDs (a) and near-surface VMRs (b) of NO<sub>2</sub>, SO<sub>2</sub>, HCHO as well as AODs and near-surface aerosol extinctions (c) for each year.

The monthly mean profiles of NO<sub>2</sub>, SO<sub>2</sub> and HCHO (under clear- and cloudy-sky conditions, except for thick clouds and fog) and aerosols (only under clear-sky conditions) (screened by the scheme in Table 3) are presented in Fig. 13. The monthly mean TG profiles under clear-sky conditions (see Fig. S27) are almost identical to those under various sky conditions except for fog and thick clouds. During all seasons, NO<sub>2</sub> shows an exponentially decreasing profile (see Fig. 13a). On average the NO<sub>2</sub> VMR at 0.5 km is about half of the near-surface VMR and it rapidly decreases above 0.5 km to about 2 ppb at 1.5 km. Aircraft measurements of NO<sub>x</sub> in October 2007 in the Yangtze River Delta region by Geng et al. (2009) presented similar vertical profiles. The profile shape of NO<sub>2</sub> can be mostly attributed to its near-surface emission sources and short lifetime.

The SO<sub>2</sub> layer is found at a higher altitude compared to NO<sub>2</sub> (see Fig. 13b). A more box-like shape up to the altitude of about 0.7 to 1 km is found in autumn and winter when the SO<sub>2</sub> load is large, and long-range transport might also effectively contribute to the SO<sub>2</sub> amounts in Wuxi. In contrast, for the rather small SO<sub>2</sub> loads in summer, an exponential profile shape is found. Similar profile shapes are also obtained from aircraft measurements during September to October of 2007 over Wuxi (Xue et al., 2010). One interesting finding is the lofted SO<sub>2</sub> layer at around 0.7 km in February and March 2012, which is probably related to long-distance transport from a heavily polluted region. This interpretation is supported by the dominating wind direction (coming from the nearby polluted area around Shanghai) in March 2012 (see Fig. S28) compared to other years.

In all seasons, the HCHO profile shape consists of three parts (see Fig. 13c): a decrease from the surface to about 0.3 km, an almost constant value from about 0.3 km to about

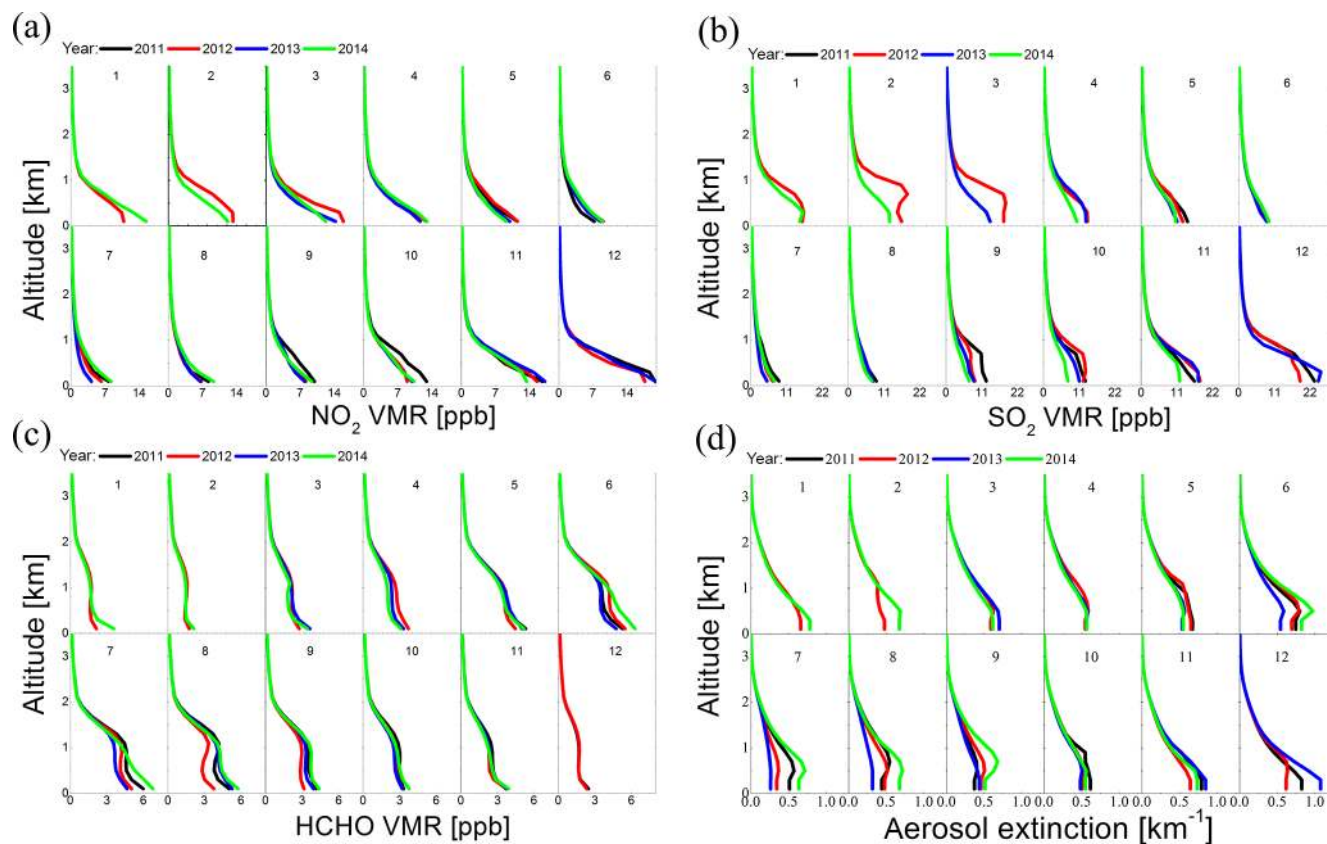
1.1 km, and a steep decrease above. The high values at the surface are probably caused by primary emissions and rapid formation from particular VOCs near the surface. Transport of longer-lived VOCs to higher altitudes and subsequent destruction probably contributes to the increased values at up to about 1 km. While other measurements of tropospheric profiles of HCHO are not available around Wuxi, it is still reasonable to compare our results with the aircraft measurements of HCHO over Bresso near Milan during the summer of 2003 (Junkermann, 2009; Wagner et al., 2011) because both of the measurements took place in polluted urban regions. They found a layer height with high HCHO concentration values of up to 1 km and the highest values were normally found close to the ground. This feature is consistent with our results in Wuxi. However, it should be noted that VMRs of HCHO at high altitudes are strongly constrained by the a priori profiles because of the low sensitivity of MAX-DOAS retrievals at these altitudes. More comparison studies with aircraft measurements need to be done in the future to further quantify the retrieval sensitivities for elevated layers. Nevertheless we still have confidence in the extended vertical distribution of HCHO retrieved by MAX-DOAS, for two reasons: (1) Fig. S9 indicates the higher vertical extension can be partly represented even for using an exponential a priori profile; (2) a large variability of HCHO VMRs at the altitude around 1 km is retrieved from MAX-DOAS observations. This indicates that the sensitivity of MAX-DOAS retrievals to the elevated layers is still large enough.

Figure 13d shows the aerosol profiles representing a box-like shape near the surface and an exponential decrease above 0.5 to 1 km. The box-like part in winter is systematically lower than in other seasons, probably due to the lower BL in winter. Baars et al. (2008) reported such a seasonal dependence of the top height of the BL obtained from lidar observations in Germany over a 1-year period. A similar seasonal dependence of the BL can be expected in Wuxi. From May to October the highest AE is found at an elevated altitude of up to 0.7 km, especially in 2014. This feature could indicate long-distance transports of aerosols, probably from biomass burning events.

### 3.2 Diurnal variations of NO<sub>2</sub>, SO<sub>2</sub>, HCHO and aerosols

Figure 14 shows the seasonally averaged diurnal variations of TG VCDs and near-surface VMRs, as well as AODs and near-surface AE from 2011 to 2014. The morning and afternoon averaged profiles of aerosols and TGs are also shown in winter and summer, respectively, in Fig. S29. The diurnal variations can probably be attributed to the complex interaction of the primary and secondary sources, deposition and atmospheric transport processes in the BL. The diurnal variation of the BL height (Baars et al., 2008) can systematically affect the diurnal patterns of near-surface VMRs and AE, but has almost no impact on the TG VCDs and AOD.





**Figure 13.** Monthly mean profiles of NO<sub>2</sub> (a), SO<sub>2</sub> (b), HCHO (c), VMRs (under clear- and cloudy-sky conditions except for thick clouds and fog) and aerosol extinction (under clear-sky conditions) (d) for the period from May 2011 to November 2014.

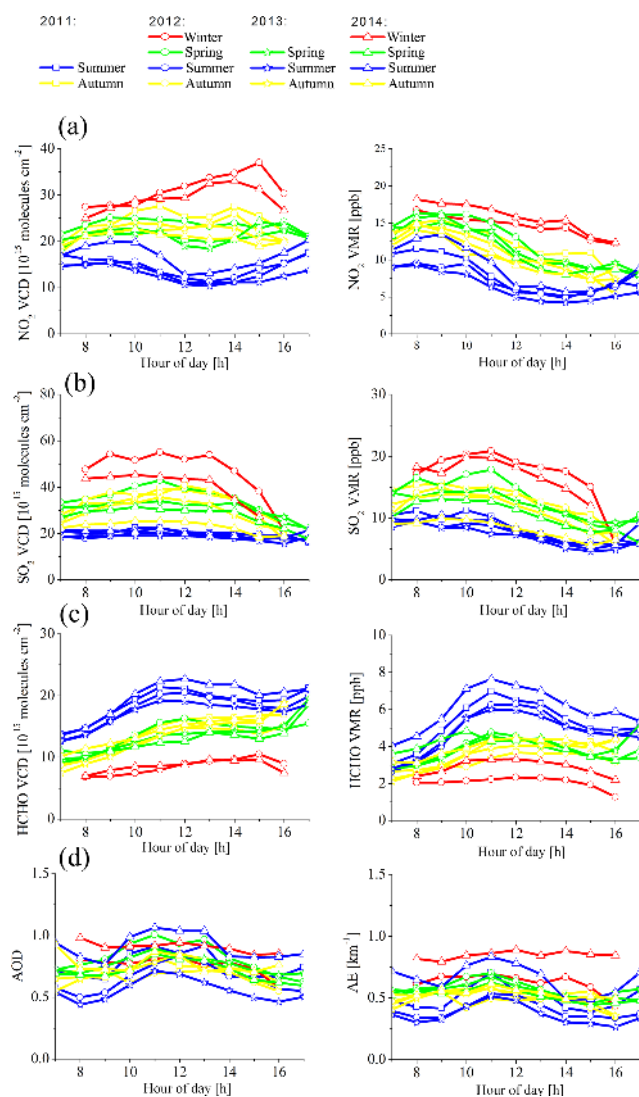
As seen in Fig. 14a, the seasonality of the diurnal variation of the NO<sub>2</sub> VCDs is quite similar to the MAX-DOAS observations in Beijing (Ma et al., 2013). They conclude that the phenomenon is probably caused by the complex interplay of the emission, chemistry and transport, with generally higher emission rates and a longer NO<sub>2</sub> lifetime in winter. In Fig. 14b, the SO<sub>2</sub> VCD shows almost constant values during the whole day in summer (with a slight decrease in the afternoon). In winter high values persist until 13:00 LT and then rapidly decrease. In autumn and spring the highest values occur around noon. The SO<sub>2</sub> variation mostly happens in the layer below 0.5 km (see Fig. S29). The variation features are different from the observations in Beijing (T. Wang et al., 2014), probably caused by different sources, transport and lifetime at the two locations. In Fig. 14c it is shown that the HCHO VCDs increase rapidly after sunrise, with a faster increase in summer. HCHO has a stronger variation at the layer from 0.5 to 1 km. This diurnal pattern is probably mainly related to the photochemical formation of HCHO and the VOCs emitted by vehicles and biogenic emissions (Kesselmeier and Staudt, 1999). In Fig. 14d, similar relative diurnal variations of AODs and AE are found for the different seasons. Their diurnal variations can be affected by various

factors, e.g. the diurnal variation of the emission sources, as well as secondary formation, deposition and dispersion.

### 3.3 Weekly cycles of NO<sub>2</sub>, SO<sub>2</sub>, HCHO and aerosol extinction

In urban areas, anthropogenic sources often control the amounts of pollutants. Because human activities are usually strongest during the working days, weekly cycles of NO<sub>2</sub>, SO<sub>2</sub>, HCHO and aerosols can provide information on the contributions from natural and anthropogenic sources (Beirle et al., 2003; Ma et al., 2013). Weekly cycles are found for NO<sub>2</sub> and SO<sub>2</sub> as shown in Fig. 15. The relative differences of the VCDs and near-surface VMRs between the average working-day level (from Monday to Friday) and the value on Sunday are 11 and 18 % for NO<sub>2</sub> and 13 and 11 % for SO<sub>2</sub>, respectively. For HCHO, smaller weekly cycles (7 % of VCD and 12 % of near-surface VMR) are found. In contrast, no clear weekend reduction is found for aerosols. The negligible weekly cycle of aerosols is probably caused by the rather long lifetime of aerosols and the effect of long-range transport, e.g. from biomass burning and dust. Figure S30 shows that the diurnal variations of the three TGs are almost





**Figure 14.** Seasonally averaged diurnal variations of trace gas VCDs and AOD (left panels) and near-surface values (right panels) of  $\text{NO}_2$  (a),  $\text{SO}_2$  (b), HCHO (c) and aerosols (d) from 2011 to 2014.

the same on different days of the week, indicating similar sources during the working days and weekends.

### 3.4 Source analysis of the pollutants

#### 3.4.1 Relation between the precursors and aerosols

Huang et al. (2014) showed that secondary aerosols including organic and inorganic aerosols (nitrates and sulfates) contribute to about 74% of the  $\text{PM}_{2.5}$  mass collected during high-pollution events in January 2013 at the urban site of Shanghai. The aerosols in Wuxi (which is close to Shanghai) are expected to be similarly dominated by secondary aerosol.  $\text{NO}_x$  and  $\text{SO}_2$  are the precursors of secondary inorganic aerosols through their conversion into nitrates and

sulfates, respectively. HCHO can be used as a proxy for the local amount of VOCs, which are precursors of secondary organic aerosols (Claeys et al., 2004). To identify the dominant precursor, we investigated the relationship between aerosols and their precursors through a correlation study as in Lu et al. (2010), Veeffkind et al. (2011) and T. Wang et al. (2014). Table 5 lists the correlation coefficients between the TG VCDs and AODs as well as the TG VMRs and AE near the surface. The correlations of near-surface values are always higher than those of the column densities. This finding could be probably explained by the effect of long-range transport, which typically occurs at elevated layers. For long-range transport, the effect of different atmospheric lifetimes is especially large, probably leading to weaker correlations between the aerosol and its precursors. In contrast, close to the surface, local emissions dominate the concentrations of TG and aerosols, and the effect of different lifetimes is negligible.

In general, correlations in spring are the lowest, probably due to the transport of dust and biomass burning aerosols. The correlations between aerosols and HCHO are higher in winter than in summer. This finding may be explained by the fact that anthropogenic emissions dominate the (primary and secondary) sources of HCHO and aerosols simultaneously in winter. Meanwhile the correlations between aerosols and HCHO are higher than those between aerosols and  $\text{NO}_2$  or  $\text{SO}_2$  in winter and autumn. This finding can be possibly explained by the fact that both HCHO and aerosols are dominated by secondary sources, while  $\text{NO}_2$  and  $\text{SO}_2$  are mostly from primary emissions in this region.

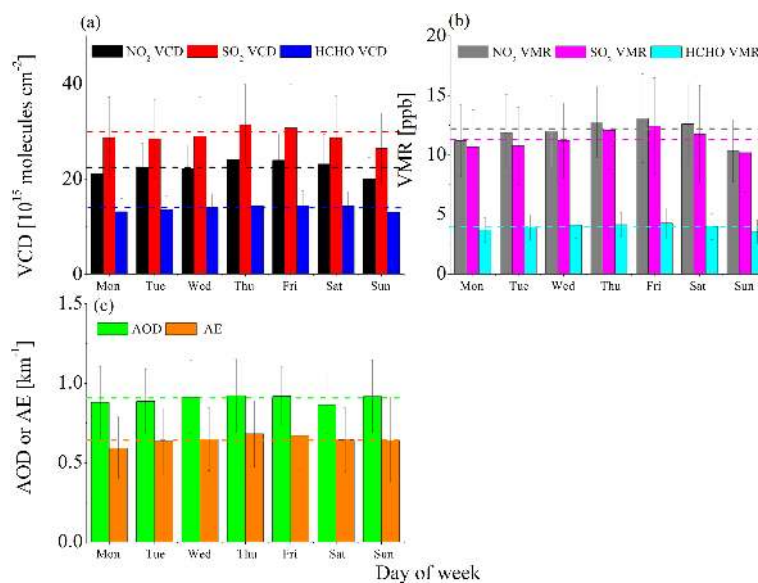
#### 3.4.2 Wind dependence of the pollutants

The MAX-DOAS station is located on the boundary of urban and suburban areas as shown in Fig. 1b. Several iron factories, cement factories and petroleum industries are operated in the south-west industrial area. The industrial activities and vehicle operations in the industrial area lead to significant emissions of  $\text{NO}_2$ ,  $\text{SO}_2$  and VOCs as well as aerosols (Huang et al., 2011). In the urban centre area, traffic, construction sites and other anthropogenic emissions emit significant amounts of  $\text{NO}_2$  and VOCs as well as particles. Some factories, such as an oil refinery, are located in the north-west of the urban centre, emitting pollutants including  $\text{SO}_2$  and VOCs. In addition, one power plant located at about 50 km in the north and the Suzhou city in the south-east direction of the MAX-DOAS station might contribute to the observed pollutants in Wuxi depending on the meteorological condition.

We analysed the distributions of column densities and near-surface values of the TGs and aerosols for different wind directions in Fig. 16. In principle, the near-surface pollutants are expected to be dominated by nearby emission sources, while the column densities can be additionally affected by transport of pollutants from remote sources. Long-

**Table 5.** Correlation coefficients between hourly averaged trace gas VCDs and AODs (for clear-sky conditions) as well as between VMRs and aerosol extinction near the surface (for clear and cloudy conditions except for thick clouds and fog). The numbers of the data used for the analyses are given for each season.

	Winter		Spring		Summer		Autumn	
	Column	Surface	Column	Surface	Column	Surface	Column	Surface
Number of observations	375	525	1339	1739	1308	1830	1142	1676
NO <sub>2</sub>	0.51	0.69	0.37	0.58	0.48	0.63	0.44	0.65
SO <sub>2</sub>	0.52	0.69	0.45	0.62	0.45	0.62	0.44	0.66
HCHO	0.77	0.81	0.51	0.62	0.35	0.62	0.57	0.69

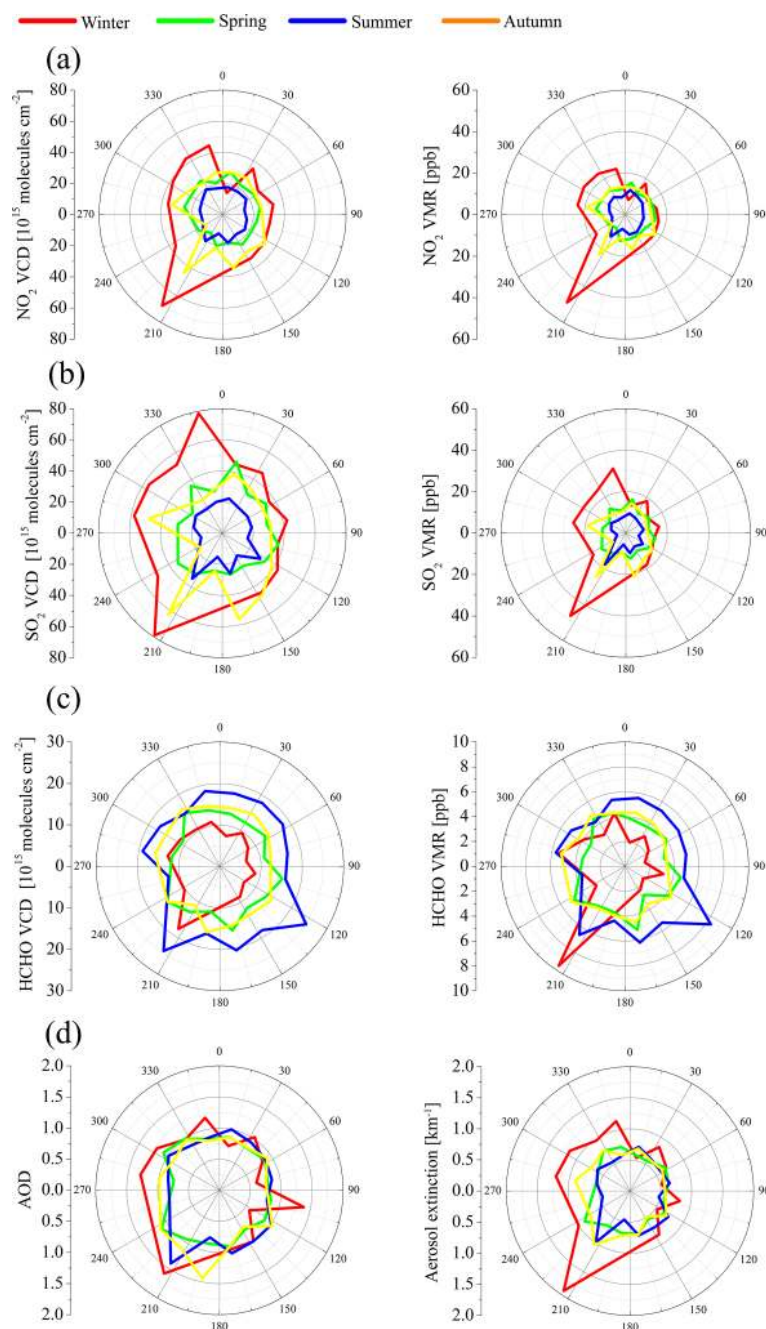


**Figure 15.** Mean weekly cycles of VCDs (a) and near-surface VMRs (b) of NO<sub>2</sub>, SO<sub>2</sub> and HCHO as well as the AODs and near-surface AE (c) for all MAX-DOAS observations from 2011 to 2014. The dashed lines denote the mean values during the working days from Monday to Friday (same colours as for the bars).

range transport can weaken the dependence of the column densities on the wind direction because of the complex trajectories the air masses might have followed. For all four species, the highest values are observed for south-westerly winds, especially for the near-surface pollutants. This finding implies that the industrial area emits large amounts of NO<sub>x</sub>, SO<sub>2</sub>, VOCs and aerosols. Figure 16c shows that the HCHO south-west peak is only present in winter. This finding is probably caused by the fact that, in winter, anthropogenic sources (of precursors and direct HCHO emissions) dominate the HCHO amounts, while in other seasons natural sources dominate the HCHO amounts. Another peak of NO<sub>2</sub> and SO<sub>2</sub> is found in the north-west, obviously in winter, indicating considerable emissions in the urban centre. Figure 16d shows a weaker dependence of AODs on the wind direction than the VCDs of the TGs, which probably indicates the stronger contribution of long-range transport to the local aerosol levels compared to the TGs. In addition, for

daily-averaged wind speed of smaller than  $1 \text{ m s}^{-1}$ , the averaged TG VCDs and near-surface VMRs are higher than those for larger wind speeds (shown in Fig. S31a and b), indicating that dispersion of local emissions is more important than the transport from distant sources. For aerosols, a wind-speed dependency is only observed for near-surface AE, but not for AODs (see Fig. S31c), indicating the higher importance of transport for aerosols than for TGs.

Although local, this study shows several general important features: (1) the dependence of the measured TG VMRs on the wind direction indicates that the dominating sources of the pollution are local, and not from long-range transport. Also, a strong horizontal gradient appears. Because of the expected similar lifetime, meteorological conditions and emission sources, the conclusion could apply to the whole YRD region. (2) The study provides an example on how to use ground-based MAX-DOAS observations to find strong emission sources in an urban-sized area. (3) The seasonality of the



**Figure 16.** Dependencies of VCDs and AODs (left panels) and near-surface VMRs and AE (right panels) of NO<sub>2</sub> (a), SO<sub>2</sub> (b), HCHO (c) and aerosols (d) on wind direction for individual seasons (different colours).

wind dependence of the trace gases, especially for HCHO, indicates the different sources in different seasons.

#### 4 Conclusions

The long-term characteristics of the spatial and temporal variation of NO<sub>2</sub>, SO<sub>2</sub>, HCHO and aerosols in Wuxi (part of the Yangtze River delta region) are characterized by au-

tomatic MAX-DOAS observations from May 2011 to December 2014. The PriAM OE-based algorithm was applied to the MAX-DOAS observations to acquire vertical profiles, VCDs (AODs) and near-surface VMRs (AE) of TGs (aerosols) in the layer from the surface to an altitude of about 4 km.

The AODs and near-surface AE and the VMRs of NO<sub>2</sub> and SO<sub>2</sub> from MAX-DOAS are compared with coincident data sets (for 1 year) obtained by a sun photometer at the

AERONET Taihu station, a nearby visibility meter and a LP-DOAS, respectively. In general good agreement was found: under clear-sky conditions, correlation coefficients of 0.56–0.91 for AODs, 0.31–0.71 for AE, 0.42–0.64 for NO<sub>2</sub> VMRs and 0.68–0.81 for SO<sub>2</sub> VMRs as well as the low systematic bias of  $-0.16$ – $0.029$  ( $< 20\%$ ) for AODs,  $0.05$ – $0.19$  km<sup>-1</sup> ( $< 33\%$ ) for AE,  $-2.23$ – $5.11$  ppb ( $< 50\%$ ) for NO<sub>2</sub> VMRs and  $1.8$ – $6.1$  ppb ( $< 60\%$ ) for SO<sub>2</sub> VMRs are found in different seasons.

Further comparisons were performed for different cloud conditions identified by the MAX-DOAS cloud classification scheme. For most cloud conditions (except for optically thick clouds and fog), similar agreement as for clear-sky conditions is found for the results of near-surface TG VMRs and AE. However, the AOD results are more strongly affected by clouds and we recommend only retrieving near-surface AE for cloudy observations. In the presence of fog and optically thick clouds, no meaningful profile inversions for TGs and aerosols are possible. Thus for further interpretations, we considered TG results and near-surface AE for clear- and cloudy-sky conditions (except for fog and optically thick clouds), but AOD only for clear-sky conditions.

In this study we also investigated two important aspects of the MAX-DOAS data analysis: for the first time the effect of the seasonality of temperature and pressure on the MAX-DOAS retrievals of aerosols was investigated. Such an effect is especially important for the measurements in Wuxi, because strong and systematic variations of temperature and pressure are regularly found. Accordingly the O<sub>4</sub> VCD changes systematically with seasons, which was in our study for the first time explicitly taken into account for the aerosol profile retrieval. It was shown that without this correction, deviations of the AOD of up to 20% can occur.

Moreover, we systematically compared trace gas VCDs derived either by the so-called geometric approximation with those derived by integration of the derived vertical profiles. Such discrepancies were reported in previous studies. We could show that the difference between both methods can be clearly assigned to limitations of the geometric approximation. This error becomes especially significant when the aerosol load is strong, which is the situation in most industrialized regions. Thus we conclude that in general the integration of the retrieved profiles is the more exact way to extract the tropospheric TG VCDs, and we used this method in this study.

A prominent seasonality of all TGs is found in agreement with many previous studies based on satellite and ground-based observations. NO<sub>2</sub> and SO<sub>2</sub> have maxima and minima in winter and summer, respectively, while HCHO has an opposite seasonality. No pronounced seasonality of aerosols is found. From 2011 to 2014, only SO<sub>2</sub> shows a clear decreasing trend, while NO<sub>2</sub>, HCHO and aerosol levels stay almost constant.

Different profile shapes are found for the different species: for NO<sub>2</sub>, exponentially decreasing profiles with a scale

height of about 0.6 km are observed in different seasons. SO<sub>2</sub> profiles extend to slightly higher altitudes than NO<sub>2</sub>, probably due to the longer lifetime of SO<sub>2</sub>. Especially in winter, elevated layers of enhanced SO<sub>2</sub> are often found between about 0.7 and 1 km (especially in early 2012), probably indicating the importance of long-range transport of SO<sub>2</sub>. HCHO reaches up to even higher altitudes (up to  $> 1$  km) than NO<sub>2</sub> and SO<sub>2</sub>, probably indicating the effect of the secondary formation from VOCs. However, the largest HCHO VMRs are still typically found near the surface (like for NO<sub>2</sub> and SO<sub>2</sub>). The aerosol profiles typically show constant values close to the surface (below about 0.5 km), but decrease exponentially above that layer. Especially in winter, elevated layers (between 0.5 and 0.7 km) are often observed.

Different diurnal variations are found for the different species: for the NO<sub>2</sub> VCDs, depending on season, a decrease or increase is found during the day. For the NO<sub>2</sub> VMRs and SO<sub>2</sub> VCDs and VMRs, typically a slight decrease during the day is observed. The diurnal variations of HCHO and aerosols are more complex and show a pronounced maximum around noon in summer indicating photochemical production. Systematic weekly cycles occur for NO<sub>2</sub> and SO<sub>2</sub> with the maximum values on Thursday or Friday and minimum values on Sunday, indicating a large contribution of anthropogenic emissions. In contrast, the amplitudes of the weekly cycles for HCHO and aerosols are rather small.

We performed correlation analyses between the different TG results versus the aerosol results for individual seasons. For all TGs and seasons, positive correlations (correlation coefficient between 0.12 and 0.65) were found, with the highest correlations in winter. In general, the highest correlation is found for HCHO in winter, probably indicating a similar secondary formation process for both species. In general, higher correlations are found for the near-surface products (VMRs versus AE) compared to the column products (VCDs versus AOD).

We found a clear wind direction dependence of TG and aerosols results, especially for the near-surface concentrations. The dependencies indicate that the largest sources of the observed pollutants in Wuxi are anthropogenic emissions from the nearby industrial area (including traffic emissions). In addition, the obvious lower TG results for high wind speed than for low wind speed indicate that the dispersion of local emissions is more important than the transport from distant sources. Interestingly, for HCHO, a considerable dependence on the wind direction is only observed in winter, probably indicating significant VOC emissions from natural sources in the growing seasons.

The data sets of the TGs and aerosols are also valuable for validating tropospheric products from satellite observations and chemical transport models. This study is in progress.

## 5 Data availability

The data used for this study are available from the authors upon request.

**The Supplement related to this article is available online at doi:10.5194/acp-17-2189-2017-supplement.**

*Competing interests.* The authors declare that they have no conflict of interest.

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