

# **Growth, characterization and interfacial reaction of magnetron sputtered Pt/CeO<sub>2</sub> thin films on Si and Si<sub>3</sub>N<sub>4</sub> substrates**

**C. Anandan and Parthasarathi Bera\***

Surface Engineering Division, CSIR–National Aerospace Laboratories, Bangalore 560017, India

## **Abstract**

Pt/CeO<sub>2</sub> thin films were deposited on Si and Si<sub>3</sub>N<sub>4</sub> substrates by magnetron sputtering at room temperature. Growth of the films on Si and Si<sub>3</sub>N<sub>4</sub> were characterized by XRD, FESEM and AFM. Interaction of Pt/CeO<sub>2</sub> films with Si in Si and Si<sub>3</sub>N<sub>4</sub> substrates was extensively investigated by XPS. XRD studies show that films are oriented preferentially to (200) direction of CeO<sub>2</sub>. XPS results show that Pt is mainly present in +2 oxidation state in Pt/CeO<sub>2</sub>/Si film, whereas Pt<sup>4+</sup> predominates in Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> film. Ce is present as both +4 and +3 oxidation states in Pt/CeO<sub>2</sub> films deposited on both Si and Si<sub>3</sub>N<sub>4</sub> substrates, but concentration of Ce<sup>3+</sup> species is observed to be more in Pt/CeO<sub>2</sub>/Si film. Interfacial reaction between CeO<sub>2</sub> and Si substrate is controlled in presence of Pt. Pt/Ce concentration ratio decreases in Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> film upon successive sputtering, whereas this ratio decreases initially and then increases in Pt/CeO<sub>2</sub>/Si film. Pt is segregated at the interface in Pt/CeO<sub>2</sub>/Si film, whereas Pt is diffused outwards in Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> film as observed from depth profiling studies.

**Keywords:** Thin films; Pt/CeO<sub>2</sub>; Si and Si<sub>3</sub>N<sub>4</sub> substrates; Interfacial reaction; XPS

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\*Corresponding author

## 1. Introduction

Metal oxides are an important class of materials due to their potential applications in heterogeneous catalysis, photocatalysis, electrochemistry, sensors, solar cells and electronic devices [1–10]. Addition of a second metal into metal oxide matrix forming a mixed metal/metal oxide interface can exhibit interesting properties that are fundamentally different from those of respective metal oxides. In this sense, detailed understanding of metal/metal oxide interface is very crucial from fundamental view point.

CeO<sub>2</sub> has been extensively studied due to its various applications in last several years. It is an attractive material for various catalytic, electronic, optical, electrical, electrochemical, gas sensor and corrosion resistant applications [11–19]. In recent years, Pt/CeO<sub>2</sub> catalyst has widely been used in auto exhaust and fuel cell catalysis for its high activity [20,21]. Catalytic activity of Pt/CeO<sub>2</sub> is influenced by redox nature of CeO<sub>2</sub> as well as the synergistic effect between Pt and CeO<sub>2</sub>. Pt/CeO<sub>2</sub> thin films show significant catalytic activity towards methanol electrooxidation and high activity in polymer electrolyte membrane fuel cell (PEMFC) as anode material [22]. Matolín et al. have demonstrated the presence of Pt<sup>2+</sup> species in Pt/CeO<sub>2</sub> thin film grown on Si substrate, whereas both Pt<sup>2+</sup> and Pt<sup>4+</sup> species are observed in the same film grown on carbon nanotube [23]. This suggests that substrates influence the oxidation states and concentration of Pt species.

Pt/CeO<sub>2</sub> thin films have mainly been grown on Si, carbon nanotube, graphite foil, glassy carbon and glass substrates [22–28]. Significant works on the growth, structure and interfacial reaction between CeO<sub>2</sub> and different substrates have been in the literature [29–32]. Recently, we have investigated interfacial reactions between CeO<sub>2</sub> and substrates like Si, Al, Ti–6Al–4V alloy, Si<sub>3</sub>N<sub>4</sub> and glass using XPS [30,31]. However, detailed studies on the nature of interaction

between Pt/CeO<sub>2</sub> thin film and different substrates and its influence on the electronic structure are limited in the literature. Moreover, growth and structure of Pt/CeO<sub>2</sub> on Si<sub>3</sub>N<sub>4</sub> substrate and their interaction lack in the literature. In the present study, we report the growth of Pt/CeO<sub>2</sub> films on Si and Si<sub>3</sub>N<sub>4</sub> substrates by magnetron sputtering. Structure, morphology and roughness of Pt/CeO<sub>2</sub> films are investigated by X-ray diffraction (XRD), field emission scanning electron microscopy (FESEM) and atomic force microscopy (AFM), respectively. X-ray photoelectron spectroscopy (XPS) studies have been carried out in details to understand the interfacial reaction between Pt/CeO<sub>2</sub> thin films and substrates.

## 2. Experimental methods

Pt/CeO<sub>2</sub> thin films were deposited on Si and Si<sub>3</sub>N<sub>4</sub> substrates using a CeO<sub>2</sub> target (Allvac, 99.9%) and Pt foil (Arora Matthey, 99.9%) employing magnetron sputtering assisted by inductively coupled plasma generated with 50 W radio frequency (RF) power at 13.56 MHz. Pt foil was kept on the middle of the CeO<sub>2</sub> target. The substrates were cleaned with acetone/isopropyl alcohol by sonication prior to loading into the vacuum chamber. The chamber was pumped down to a base pressure of  $3 \times 10^{-6}$  mbar. The substrates were etched with H<sub>2</sub> plasma prior to deposition of thin films. Sputter deposition was carried out at room temperature with Ar atmosphere at a pressure of 8  $\mu$ bar. The substrate was biased to a constant negative voltage of 150 V, whereas the target was biased with bipolar pulses of 300 V using a pulse generator. Thickness of obtained Pt/CeO<sub>2</sub> thin films is  $25 \pm 1$  nm.

The structure of Pt/CeO<sub>2</sub> films was determined by XRD employing a PANalytical X'Pert PRO X-Ray diffractometer operated with CuK $\alpha$  radiation of 1.5418 Å wavelength at 40 kV and 30 mA in the 2 $\theta$  range 20–80° in bulk mode. The surface morphology of thin films were examined by FESEM using a Carl Zeiss Supra 40. Detailed surface roughness was investigated

by AFM from CSEM Instruments (Model SSI) operated in non-contact mode. XPS of Pt/CeO<sub>2</sub> thin films were recorded with a SPECS spectrometer using non-monochromatic AlK $\alpha$  radiation (1486.6 eV) as an X-ray source operated at 150 W (12.5 kV and 12 mA). The binding energies reported here were calculated with reference to C1s peak at 284.6 eV. All the spectra were obtained with pass energy of 25 eV and step increment of 0.05 eV. Successive sputtering was carried out by defocused Ar<sup>+</sup> ion beam using QE11/35 ion gun by applying energy of 1 keV with Ar gas pressure of  $2 \times 10^{-6}$  Torr for 3, 5, 5, 5, 5 and 5 min. Depth profile spectra were recorded with pass energy of 40 eV and step increment of 0.05 eV. The experimental data were curve fitted into several components with Gaussian–Lorentzian peaks after Shirley background subtraction employing CasaXPS program.

### **3. Results and discussion**

#### **Structural and morphological studies**

XRD patterns of Pt/CeO<sub>2</sub> films deposited on Si and Si<sub>3</sub>N<sub>4</sub> are shown in the range of 20–60° in Fig. 1. A broad peak at 33.2° noticed in both the films is indexed into CeO<sub>2</sub>(200) reflection indicating the nanocrystalline nature of the films [33,34]. Presence of only (200) diffraction peak in Pt/CeO<sub>2</sub>/Si film indicates that the film structure on Si substrate is orientated preferentially to (200) plane of CeO<sub>2</sub>. On the other hand, in Pt/CeO<sub>2</sub> film deposited on Si<sub>3</sub>N<sub>4</sub>, low intense peaks at 28.5°, 47.5° and 56.3° associated with reflections from (111), (220) and (311) planes of CeO<sub>2</sub> are also observed along with intense peak at 33.2°. It is to be noted that peaks related to Pt metal, PtO or PtO<sub>2</sub> cannot be detected in both the films indicating that Pt is incorporated as ions into CeO<sub>2</sub> lattice which agrees well with works on Pt/CeO<sub>2</sub> catalysts done by Bera et al [20]. Grain sizes calculated from Debye-Scherrer method are 4.5 and 4.3 nm for Pt/CeO<sub>2</sub>/Si and Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub>, respectively.

Fig. 2 displays FESEM images of as-deposited Pt/CeO<sub>2</sub> films on Si and Si<sub>3</sub>N<sub>4</sub> substrates. Micrographs show that obtained films have good adherence to the substrates. The surface of Pt/CeO<sub>2</sub> film deposited on Si substrate is very smooth and composed of very fine grains, whereas film coated on Si<sub>3</sub>N<sub>4</sub> shows uniform morphology with large grain size. This can be due to the difference in roughness of the substrates.

Surface roughness of Pt/CeO<sub>2</sub> films has been obtained by AFM. The 3D AFM images of as-deposited Pt/CeO<sub>2</sub> thin films deposited on Si and Si<sub>3</sub>N<sub>4</sub> substrates are shown in Fig. 3. Average roughness (R<sub>a</sub>) and root mean square roughness (R<sub>rms</sub>) values for Pt/CeO<sub>2</sub>/Si are 0.35 and 0.48 nm, whereas those for Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> are 3.53 and 4.47 nm, respectively. It can be seen that roughness of Pt/CeO<sub>2</sub> film on Si<sub>3</sub>N<sub>4</sub> is more in comparison with that on Si substrate in as-deposited condition that can be due to the higher roughness of Si<sub>3</sub>N<sub>4</sub> substrate.

### **XPS studies**

Detailed XPS studies of Pt/CeO<sub>2</sub> thin films deposited on Si and Si<sub>3</sub>N<sub>4</sub> substrates have been carried out to understand the interfacial reaction between Pt/CeO<sub>2</sub> films and these substrates. XPS of Pt/CeO<sub>2</sub>/Si and Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> films with Pt concentrations of 7 and 9 at.%, respectively are discussed here.

#### *Pt4f core level spectra*

XPS of Pt 4f core level in Pt/CeO<sub>2</sub> thin films deposited on Si and Si<sub>3</sub>N<sub>4</sub> substrates are observed to be broad in nature indicating the presence of multiple oxidation states of Pt. In both Pt/CeO<sub>2</sub>/Si and Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> films, Pt4f spectra are resolved into sets of spin-orbit doublets and their curve fitted spectra are shown in Fig. 4. Accordingly, Pt4f<sub>7/2,5/2</sub> peaks at 72.2, 75.5 and 74.4, 77.7 eV observed in Pt/CeO<sub>2</sub>/Si thin film are assigned to Pt<sup>2+</sup> and Pt<sup>4+</sup>, respectively [20,23]. Peaks at similar binding energies are also observed in Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> film. However,

concentration of  $\text{Pt}^{2+}$  is observed to be more in the film deposited on Si substrate in relation to  $\text{Si}_3\text{N}_4$  substrate. In Pt/CeO<sub>2</sub>/Si film, concentration of  $\text{Pt}^{2+}$  is 88%, whereas it is 47% in case of Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> film. It is important to note that Pt metal peak is not observed in both the films indicating the Pt–CeO<sub>2</sub> interaction. This interaction results in the incorporation of Pt into Ce<sup>4+</sup> sites in CeO<sub>2</sub> lattice as ions that agrees well the XRD studies demonstrating the absence of Pt metal peaks. Incorporation of Pt, Ru and In into CeO<sub>2</sub> has been reported in the literature [20,34–36]. Matolín et al. have shown the presence of different amount of  $\text{Pt}^{2+}$  and  $\text{Pt}^{4+}$  in Pt/CeO<sub>2</sub> thin films deposited on different substrates [23–25,27].

#### *Ce3d core level spectra*

Spectral nature of the Pt/CeO<sub>2</sub> film deposited on Si and Si<sub>3</sub>N<sub>4</sub> substrates indicate that Ce is in both +4 and +3 oxidation states and it can be resolved into several Ce3d<sub>5/2,3/2</sub> spin-orbit doublet peaks. In Fig. 5, curve fitted Ce3d core level spectra of Pt/CeO<sub>2</sub> thin films coated on Si and Si<sub>3</sub>N<sub>4</sub> substrates are presented. In these figures, peaks labeled as v correspond to 3d<sub>5/2</sub> photoemissions, whereas u peaks are related to 3d<sub>3/2</sub> photoemissions. In Ce3d spectrum of Pt/CeO<sub>2</sub>/Si film of Fig. 5, v''' and u''' spin-orbit peaks at 897.9 and 916.4 eV, respectively with 18.5 eV separation are assigned for the primary photoionization from Ce<sup>4+</sup> with Ce3d<sup>9</sup>4f<sup>0</sup>O2p<sup>6</sup> final state. Lower binding energy states of v''–u'' (888.5 and 907.0 eV) and v–u (882.5 and 900.9 eV) have been assigned to the shake-down satellite features of Ce3d<sup>9</sup>4f<sup>1</sup>O2p<sup>5</sup> and Ce3d<sup>9</sup>4f<sup>2</sup>O2p<sup>4</sup> final states, respectively [37–39]. Satellite peaks are associated with the charge transfer from ligand (O2p) to metal (Ce4f) during primary photoionization processes. Peaks labeled as v<sub>0</sub>, u<sub>0</sub> and v', u' at 881.7, 899.1 and 885.3, 903.4 eV, respectively are associated with Ce<sup>3+</sup> final states. It is to be noted that v'–u' spin orbit doublet peaks correspond to main photoionization from Ce3d<sup>9</sup>4f<sup>1</sup>O2p<sup>6</sup> final state, whereas lower binding energy v<sub>0</sub>–u<sub>0</sub> peaks are attributed to

characteristic shake-down satellites of  $Ce3d^{9/2}4f^{2}O2p^5$  final state [38–40]. It is clear from Fig. 5 that the intensities of  $Ce^{4+}$  and  $Ce^{3+}$  peaks for Pt/CeO<sub>2</sub> film deposited on Si<sub>3</sub>N<sub>4</sub> substrate are different from Pt/CeO<sub>2</sub>/Si film. Binding energies and relative integrated peak areas of  $Ce3d_{5/2,3/2}$  spin-orbit doublets in Pt/CeO<sub>2</sub> films deposited on Si and Si<sub>3</sub>N<sub>4</sub> substrates are summarized in Tables 1 and 2, respectively. Peak areas of  $Ce^{4+}$  and  $Ce^{3+}$  components are commonly used to estimate their relative concentrations in the films. Concentration of  $Ce^{4+}$  in Pt/CeO<sub>2</sub> deposited on Si is estimated to be 77% with respect to the total amount of Ce species indicating the presence of significant amount of  $Ce^{3+}$  species. On the other hand, concentration of  $Ce^{4+}$  is 83% in Pt/CeO<sub>2</sub> deposited on Si<sub>3</sub>N<sub>4</sub> substrate. However, amount of  $Ce^{3+}$  is less in Pt/CeO<sub>2</sub>/Si film in the present study compared to CeO<sub>2</sub>/Si film as demonstrated by our earlier work, whereas  $Ce^{3+}$  concentration is more in Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> film in relation to CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> [30]. Concentrations of  $Ce^{4+}$  and  $Ce^{3+}$  in Pt/CeO<sub>2</sub> and CeO<sub>2</sub> films deposited on Si and Si<sub>3</sub>N<sub>4</sub> substrates are given in Table 3. It has been shown in our previous study that there is a limited interfacial reaction between CeO<sub>2</sub> and Si when CeO<sub>2</sub> is deposited on Si<sub>3</sub>N<sub>4</sub> substrate [30]. In the present work, lower concentration of  $Ce^{3+}$  in Pt/CeO<sub>2</sub>/Si film compared to CeO<sub>2</sub>/Si film indicates that extent of interfacial reaction between CeO<sub>2</sub> and Si by means of reduction of  $Ce^{4+}$  to  $Ce^{3+}$  is less in Pt/CeO<sub>2</sub> thin film deposited on Si substrate.

#### *O1s core level spectra*

Oxidation states of Ce in Pt/CeO<sub>2</sub>/Si and Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> films can also be evaluated from respective O1s core level spectrum. Curve fitted O1s core level spectra from as-deposited Pt/CeO<sub>2</sub>/Si and Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> films are shown in Fig. 6. Curve fitted O1s core level spectrum in Pt/CeO<sub>2</sub> film on Si contains four component peaks at 529.9, 531.6, 532.6 and 533.6 eV. Peak at 529.9 eV is related to O<sup>2-</sup> species in CeO<sub>2</sub>, whereas peak at 532.6 eV is associated with O in

Si–O bonded species that agrees well with the literature [32,41]. Intermediate peak at 531.6 eV corresponds to  $\text{Ce}^{3+}$  species originated from silicate or  $\text{Ce}_2\text{O}_3$  species [32]. Areas under O1s component peaks associated with  $\text{Ce}^{4+}$  and  $\text{Ce}^{3+}$  species provide their individual concentrations. It has been estimated that 26%  $\text{Ce}^{3+}$  species is observed to be present in Pt/ $\text{CeO}_2$ /Si film which is close to the value obtained from corresponding Ce3d peak. A weak higher binding energy component peak at 533.6 eV is assigned for adsorbed  $\text{H}_2\text{O}$  species [42]. In contrast, an intense peak at 529.9 eV in O1s core level spectrum is observed in Pt/ $\text{CeO}_2$ / $\text{Si}_3\text{N}_4$  film that corresponds to  $\text{O}^{2-}$  species in  $\text{CeO}_2$ . Two low intense component peaks at 531.5 and 532.5 eV stand for oxygen species in silicate or  $\text{Ce}_2\text{O}_3$  and Si–O network, respectively. Thus, O1s core levels demonstrate that the relative concentration of  $\text{Ce}^{3+}$  related oxygen species is highest in the  $\text{CeO}_2$ /Si film compared to  $\text{Si}_3\text{N}_4$  substrate.

#### *Si2p core level spectra*

Core level Si2p spectra of Si and  $\text{Si}_3\text{N}_4$  substrates coated with Pt/ $\text{CeO}_2$  films are shown in Fig. 7. Broad envelop of Si2p core level spectrum in Pt/ $\text{CeO}_2$ /Si indicates the presence of several Si species that can be resolved into component peaks. A peak at 99.2 eV is associated with elemental Si present in Si substrate, whereas peaks observed at 101.1 and 102.6 eV correspond to  $\text{Si}^{2+}$  and  $\text{Si}^{3+}$  species [43,44]. The presence of these species at the interface of Pt/ $\text{CeO}_2$  and Si shows the interaction between them where it can be expected to bond in a Si–Ce–O matrix in the form of silicate [41,45]. On the other hand, a single peak at 101.6 eV in Si2p core level spectrum in Pt/ $\text{CeO}_2$ / $\text{Si}_3\text{N}_4$  film is attributed to Si–N bond in  $\text{Si}_3\text{N}_4$  [46]. It is to be noted that observation of substrate core level signal in these films of 25 nm thickness, especially in the case of reactive substrate like Si indicates the intermixing of Pt/ $\text{CeO}_2$  film and Si. Little interfacial reaction occurs in the case of  $\text{Si}_3\text{N}_4$  substrate as evident from the very weak substrate signal.



### *Depth profile studies*

In order to get the electronic structure and compositions of underneath layers, Pt/CeO<sub>2</sub> films deposited on Si and Si<sub>3</sub>N<sub>4</sub> substrates have been mildly sputtered up to few layers and their compositions as well as elemental oxidation states in each interior layers have been analyzed by XPS. Core level spectra of Pt4f and Ce3d of Pt/CeO<sub>2</sub>/Si and Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> thin films at different stages of sputtering are shown in Fig. 8. Ratios of C<sub>Pt</sub>/C<sub>Si</sub>, C<sub>Ce</sub>/C<sub>Si</sub> and C<sub>Pt</sub>/C<sub>Ce</sub> obtained from depth profiles of Pt/CeO<sub>2</sub>/Si and Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> films are displayed in Fig. 9. It has been noticed that concentrations of both Pt and Ce get reduced in relation to Si in Pt/CeO<sub>2</sub>/Si and Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> thin films upon sputtering. In both the cases, films grow layer by layer fashion on the substrates as seen from variation of Pt/Si and Ce/Si concentration ratios with sputtering. However, C<sub>Pt</sub>/C<sub>Ce</sub> ratio shows a different behavior at different stages of sputtering. In case of Pt/CeO<sub>2</sub>/Si film, the ratio has been observed to decrease up to certain layer and then it increases, whereas it decreases monotonically in Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> film. In Pt/CeO<sub>2</sub>/Si film, Pt appears to segregate at the interface of Si substrate and film as observed from the C<sub>Pt</sub>/C<sub>Ce</sub> ratio in depth profile. In contrast, Pt seems to be diffused outward in case of Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> film.

In the present study, there is no diffraction peak related to Pt metal or its oxides in the XRD patterns of Pt/CeO<sub>2</sub> films deposited on Si and Si<sub>3</sub>N<sub>4</sub>. XPS studies of these films demonstrate that Pt is present as Pt<sup>2+</sup> and Pt<sup>4+</sup> oxidation states in the films indicating the incorporation of Pt into CeO<sub>2</sub> matrix that supports XRD results. Our previous studies have shown the significant reduction of Ce<sup>4+</sup> in CeO<sub>2</sub> into Ce<sup>3+</sup> in CeO<sub>2</sub>/Si film while the reduction is nearly controlled in CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> film [30]. In the present work, extent of interaction between CeO<sub>2</sub> and Si is less in Pt/CeO<sub>2</sub>/Si film compared to CeO<sub>2</sub>/Si film that can be seen in Table 3. Concentration of Ce<sup>3+</sup> is 32% in CeO<sub>2</sub>/Si film, whereas it is 23% in Pt/CeO<sub>2</sub>/Si film. It has also

been noticed that concentration of  $\text{Pt}^{2+}$  is more in  $\text{Pt}/\text{CeO}_2/\text{Si}$  film in relation to  $\text{Pt}/\text{CeO}_2/\text{Si}_3\text{N}_4$  film. Stabilization of  $\text{Pt}^{2+}$  and  $\text{Pt}^{4+}$  ions in  $\text{CeO}_2$  matrix and difference in extent of interfacial reaction can be substantiated from the relative positions of valence bands. Pt metal has high electron density at the Fermi level ( $E_F$ ) and its valence band extend even up to 6 eV below  $E_F$ . On the other hand, valence bands of  $\text{Si}^0$ ,  $\text{Ce}^{4+}4f$  and  $\text{Si}_3\text{N}_4$  are 1.1, 2 and 5.1 eV, respectively with respect to Fermi level [12,47,48]. Therefore, Pt can transfer its electrons easily to  $\text{Ce}^{4+}$  that facilitates conversion of  $\text{Ce}^{4+}$  to  $\text{Ce}^{3+}$  to a certain extent and Pt itself can be oxidized to form  $\text{Pt}^{2+}$  and  $\text{Pt}^{4+}$ . Again, Pt can also give electrons to  $\text{Si}^0$  that can sluggish the interaction between  $\text{CeO}_2$  and Si. Therefore, internal electron transfer among Pt,  $\text{CeO}_2$  and Si results in the formation of  $\text{Pt}^{2+}$  and  $\text{Pt}^{4+}$  in  $\text{Pt}/\text{CeO}_2/\text{Si}$  film. In contrast, this type of electron transfer cannot be facilitated in  $\text{Pt}/\text{CeO}_2/\text{Si}_3\text{N}_4$  film as valence band level of  $\text{Si}_3\text{N}_4$  is located in lower energy side in relation to  $\text{Pt}^0$  and  $\text{Ce}^{4+}$  according to the Fermi level. Moreover,  $\text{Si}_3\text{N}_4$  is an inert substrate as it has filled p levels of Si. In this sense, synergistic interaction mainly occurs between Pt and  $\text{CeO}_2$  in  $\text{Pt}/\text{CeO}_2/\text{Si}_3\text{N}_4$  film. Here, Pt transfers its electrons to  $\text{CeO}_2$  only leading to the formation of more  $\text{Pt}^{4+}$  species. Therefore, concentration of  $\text{Ce}^{3+}$  species is observed to be more in  $\text{Pt}/\text{CeO}_2/\text{Si}_3\text{N}_4$  film compared to  $\text{CeO}_2/\text{Si}_3\text{N}_4$  film. It is important to note that there can be a possibility of formation of platinum silicide phase in  $\text{Pt}/\text{CeO}_2/\text{Si}$  film thermodynamically at room temperature [49,50]. However, in the present study, platinum silicide formation has not been observed as evident from core level binding energy of Si2p core level.

#### **4. Conclusions**

$\text{Pt}/\text{CeO}_2$  films were deposited on Si and  $\text{Si}_3\text{N}_4$  substrates by magnetron sputtering. XRD patterns confirm the presence of nanocrystalline  $\text{CeO}_2$  phase on Si and  $\text{Si}_3\text{N}_4$  substrates. XPS studies show the presence of  $\text{Pt}^{2+}$  as predominant species in  $\text{Pt}/\text{CeO}_2/\text{Si}$  film, whereas  $\text{Pt}^{4+}$

concentration is observed to be more in Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> film. There is no signature of Pt metal peak in XRD patterns indicating the incorporation of Pt into CeO<sub>2</sub> lattice as ions. Extent of interaction between CeO<sub>2</sub> and Si is less in presence of Pt in Pt/CeO<sub>2</sub>/Si film. Depth profiling studies show that Pt is segregating at the Si/film interface in Pt/CeO<sub>2</sub>/Si film and Pt is diffusing outwards in Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> film.

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**Table 1.** Binding energies, FWHMs and integrated peak areas of Ce3d<sub>5/2,3/2</sub> spin-orbit doublets in Pt/CeO<sub>2</sub> film deposited on Si.

Peak assignment	Ce species	Binding energy peak (eV)	Relative area (%)
v <sub>0</sub>	Ce <sup>3+</sup>	881.7	6
v	Ce <sup>4+</sup>	882.5	13
v'	Ce <sup>3+</sup>	885.3	8
v''	Ce <sup>4+</sup>	888.5	13
v'''	Ce <sup>4+</sup>	897.9	16
u <sub>0</sub>	Ce <sup>3+</sup>	899.1	4
u	Ce <sup>4+</sup>	900.9	10
u'	Ce <sup>3+</sup>	903.4	5
u''	Ce <sup>4+</sup>	907.0	11
u'''	Ce <sup>4+</sup>	916.4	14

**Table 2.** Binding energies, FWHMs and integrated peak areas of Ce3d<sub>5/2,3/2</sub> spin-orbit doublets in Pt/CeO<sub>2</sub> film deposited on Si<sub>3</sub>N<sub>4</sub>.

Peak assignment	Ce species	Binding energy peak (eV)	Relative area (%)
v <sub>0</sub>	Ce <sup>3+</sup>	881.7	4
v	Ce <sup>4+</sup>	882.6	14
v'	Ce <sup>3+</sup>	885.2	6
v''	Ce <sup>4+</sup>	888.3	16
v'''	Ce <sup>4+</sup>	897.9	17
u <sub>0</sub>	Ce <sup>3+</sup>	899.2	3
u	Ce <sup>4+</sup>	901.0	11
u'	Ce <sup>3+</sup>	903.3	4
u''	Ce <sup>4+</sup>	907.2	12
u'''	Ce <sup>4+</sup>	916.4	13



**Table 3.** Relative surface concentrations of  $\text{Ce}^{4+}$  and  $\text{Ce}^{3+}$  components evaluated from XPS in Pt/CeO<sub>2</sub> and CeO<sub>2</sub> thin films deposited on Si and Si<sub>3</sub>N<sub>4</sub> substrates.

Thin films	$\text{Ce}^{4+}$	$\text{Ce}^{3+}$
Pt/CeO <sub>2</sub> /Si	77	23
CeO <sub>2</sub> /Si	68	32
Pt/CeO <sub>2</sub> / Si <sub>3</sub> N <sub>4</sub>	83	17
CeO <sub>2</sub> /Si <sub>3</sub> N <sub>4</sub>	89	11

## Figure captions

**Fig. 1.** XRD patterns of Pt/CeO<sub>2</sub> thin films deposited on (a) Si and (b) Si<sub>3</sub>N<sub>4</sub> substrates.

**Fig. 2.** FESEM images of Pt/CeO<sub>2</sub> thin films deposited on (a) Si and (b) Si<sub>3</sub>N<sub>4</sub> substrates.

**Fig. 3.** AFM images of Pt/CeO<sub>2</sub> thin films deposited on (a) Si and (b) Si<sub>3</sub>N<sub>4</sub> substrates.

**Fig. 4.** Curve fitted XPS of Pt4f core levels of Pt/CeO<sub>2</sub> thin films deposited on (a) Si and (b) Si<sub>3</sub>N<sub>4</sub> substrates.

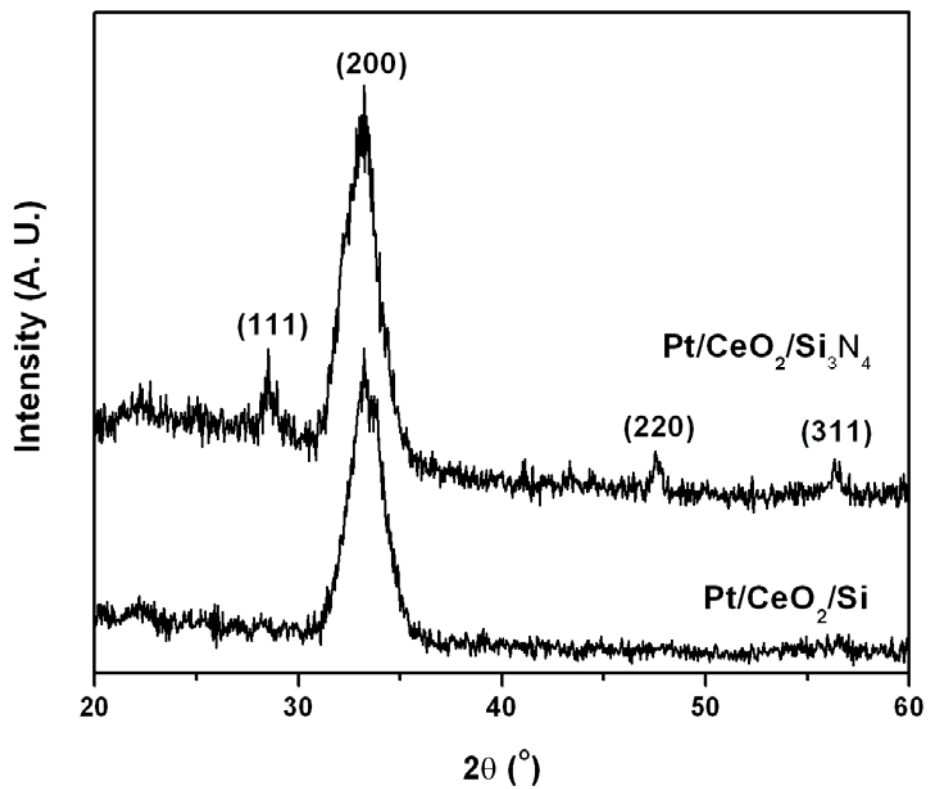
**Fig. 5.** Curve fitted XPS of Ce3d core levels of Pt/CeO<sub>2</sub> thin films deposited on Si and Si<sub>3</sub>N<sub>4</sub> substrates.

**Fig. 6.** Curve fitted XPS of O1s core levels in as-deposited Pt/CeO<sub>2</sub> thin films on (a) Si and (b) Si<sub>3</sub>N<sub>4</sub> substrates.

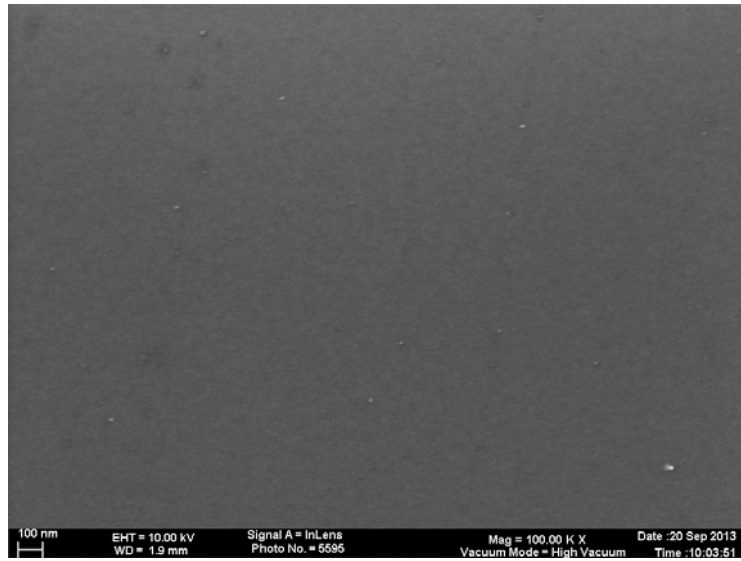
**Fig. 7.** Curve fitted XPS of Si2p core levels of Pt/CeO<sub>2</sub> thin films deposited on Si and Si<sub>3</sub>N<sub>4</sub> substrates.

**Fig. 8.** XPS of Ce3d and Pt4f core levels of Pt/CeO<sub>2</sub>/Si (top) and Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> (bottom) thin films with different stages of sputtering: (a) as-deposited, (b) 3 min, (c) 8 min, (d) 13 min, (e) 18 min, (f) 23 min and (g) 28 min.

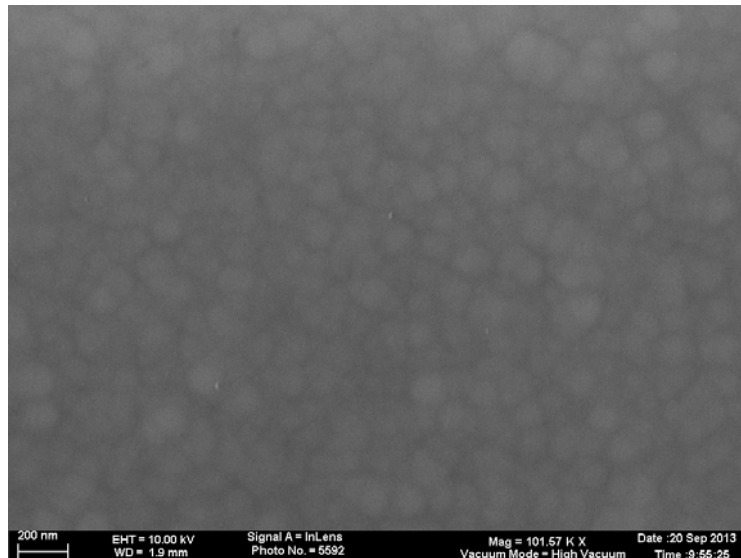
**Fig. 9.** C<sub>Pt</sub>/C<sub>Si</sub>, C<sub>Ce</sub>/C<sub>Si</sub> and C<sub>Pt</sub>/C<sub>Ce</sub> ratios in Pt/CeO<sub>2</sub>/Si and Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> films as function of sputtering time.



**Fig. 1.** XRD patterns of Pt/CeO<sub>2</sub>/Si and Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> thin films.

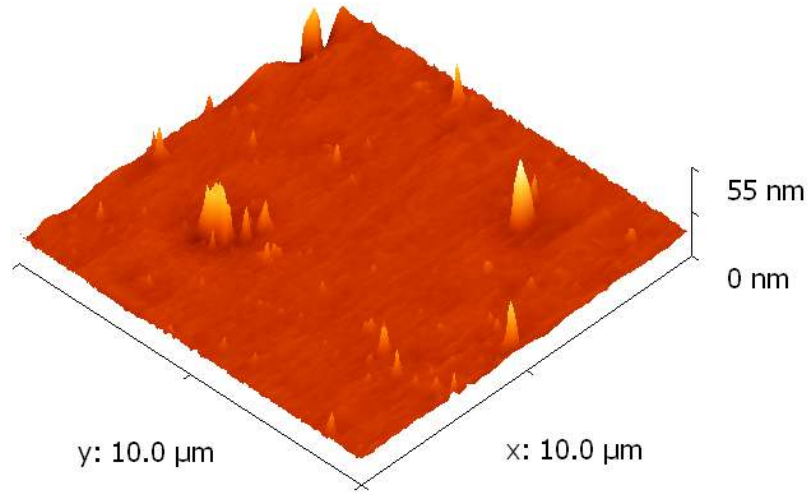


**Pt/CeO<sub>2</sub>/Si**

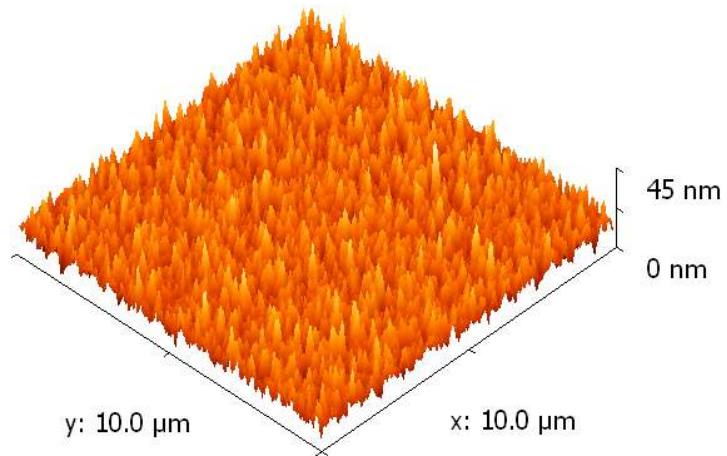


**Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub>**

**Fig. 2.** FESEM images of Pt/CeO<sub>2</sub>/Si and Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> thin films.

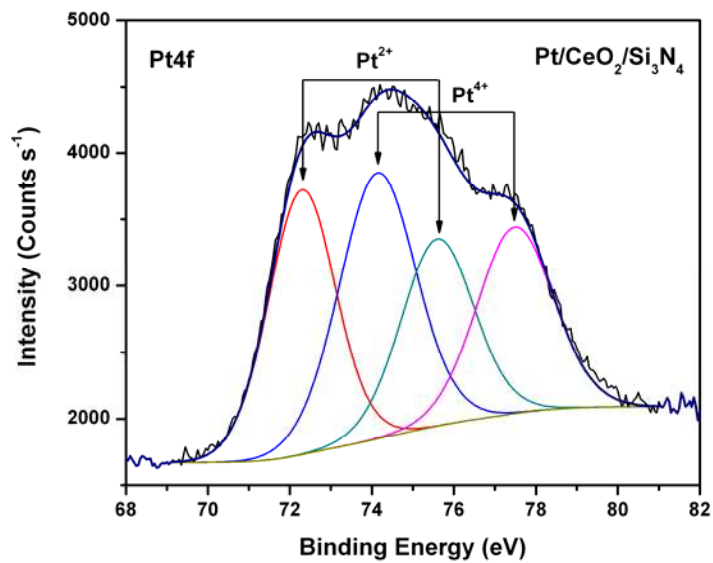
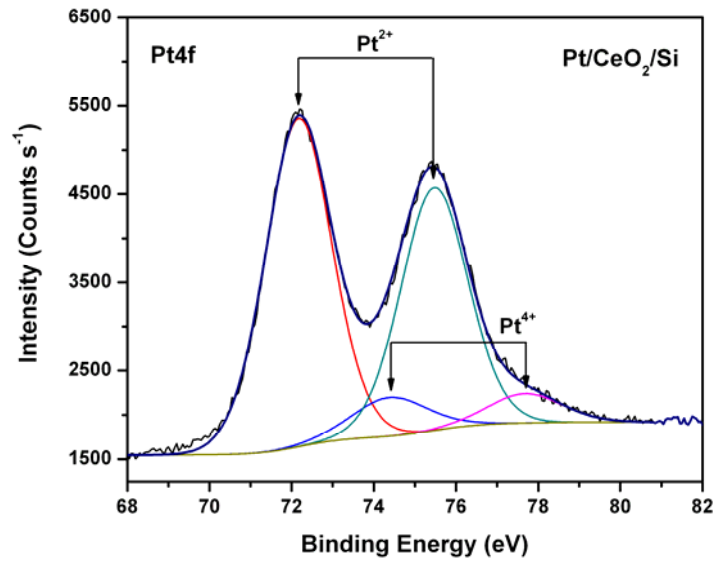


**Pt/CeO<sub>2</sub>/Si**

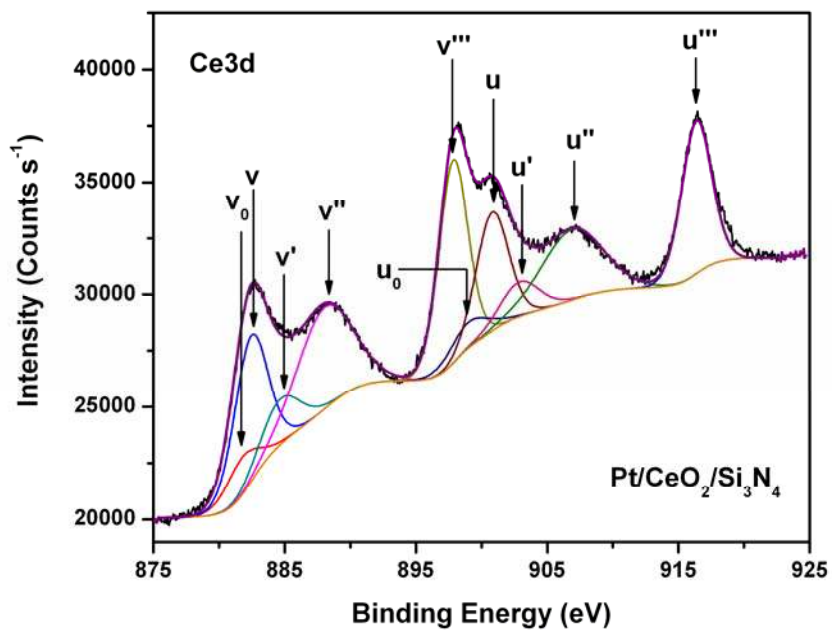
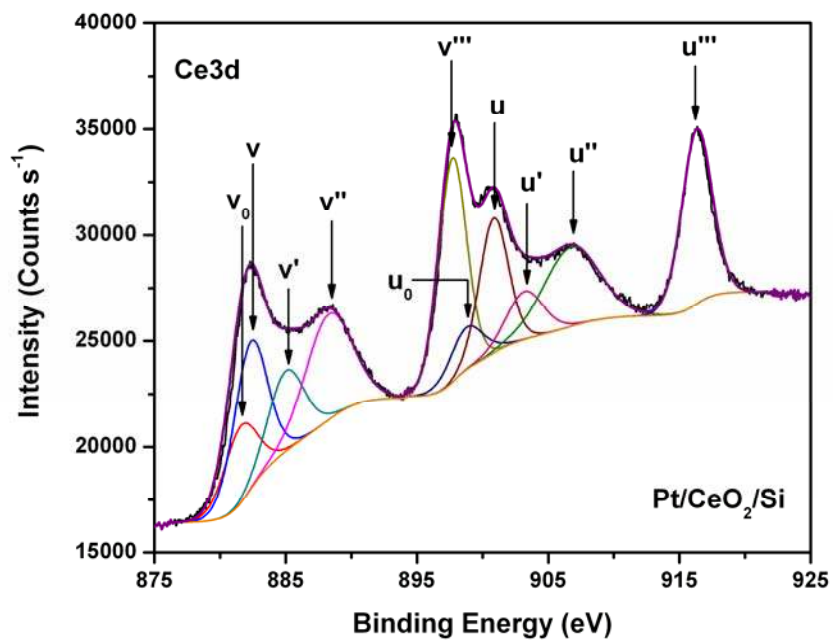


**Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub>**

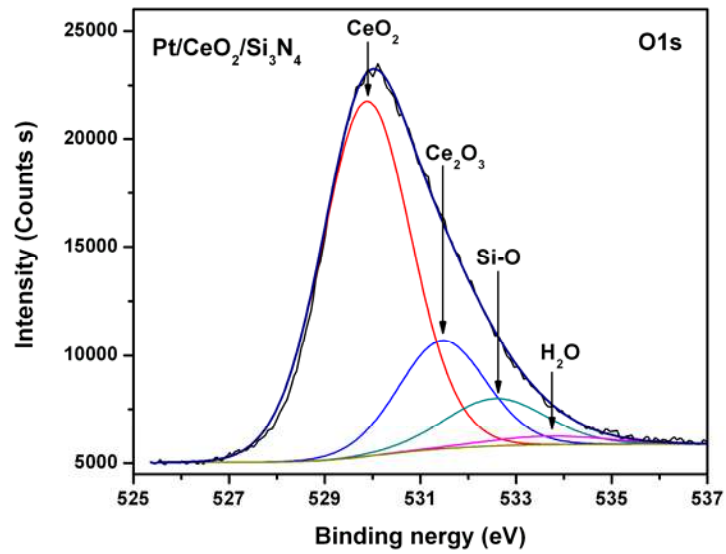
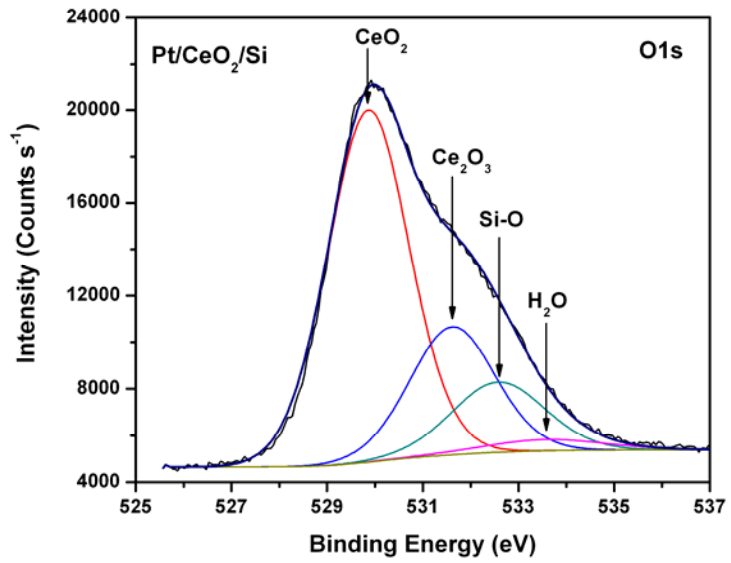
**Fig. 3.** AFM images of Pt/CeO<sub>2</sub>/Si and Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> thin films.



**Fig. 4.** Curve fitted XPS of Pt4f core levels of Pt/CeO<sub>2</sub>/Si and Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> thin films.

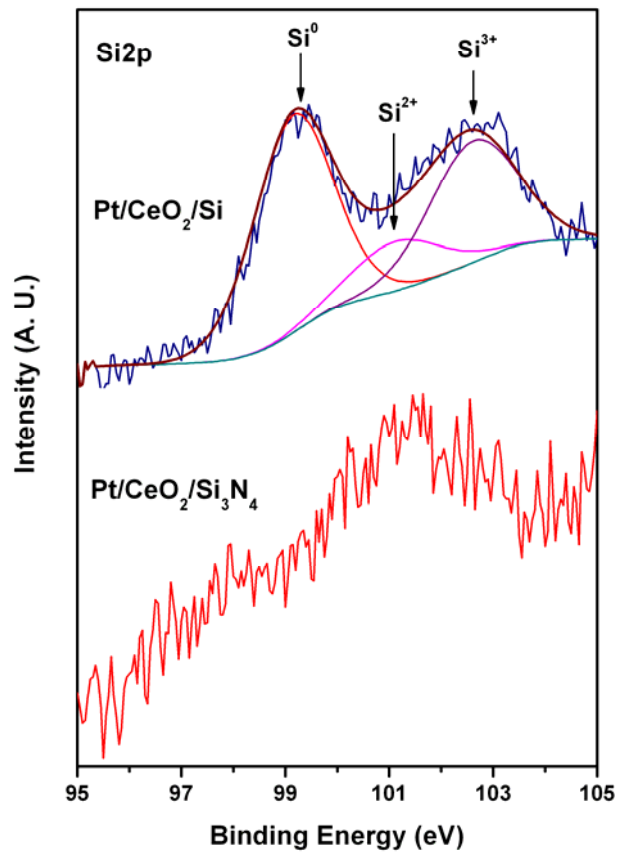


**Fig. 5.** Curve fitted XPS of Ce3d core levels of Pt/CeO<sub>2</sub>/Si and Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> thin films.

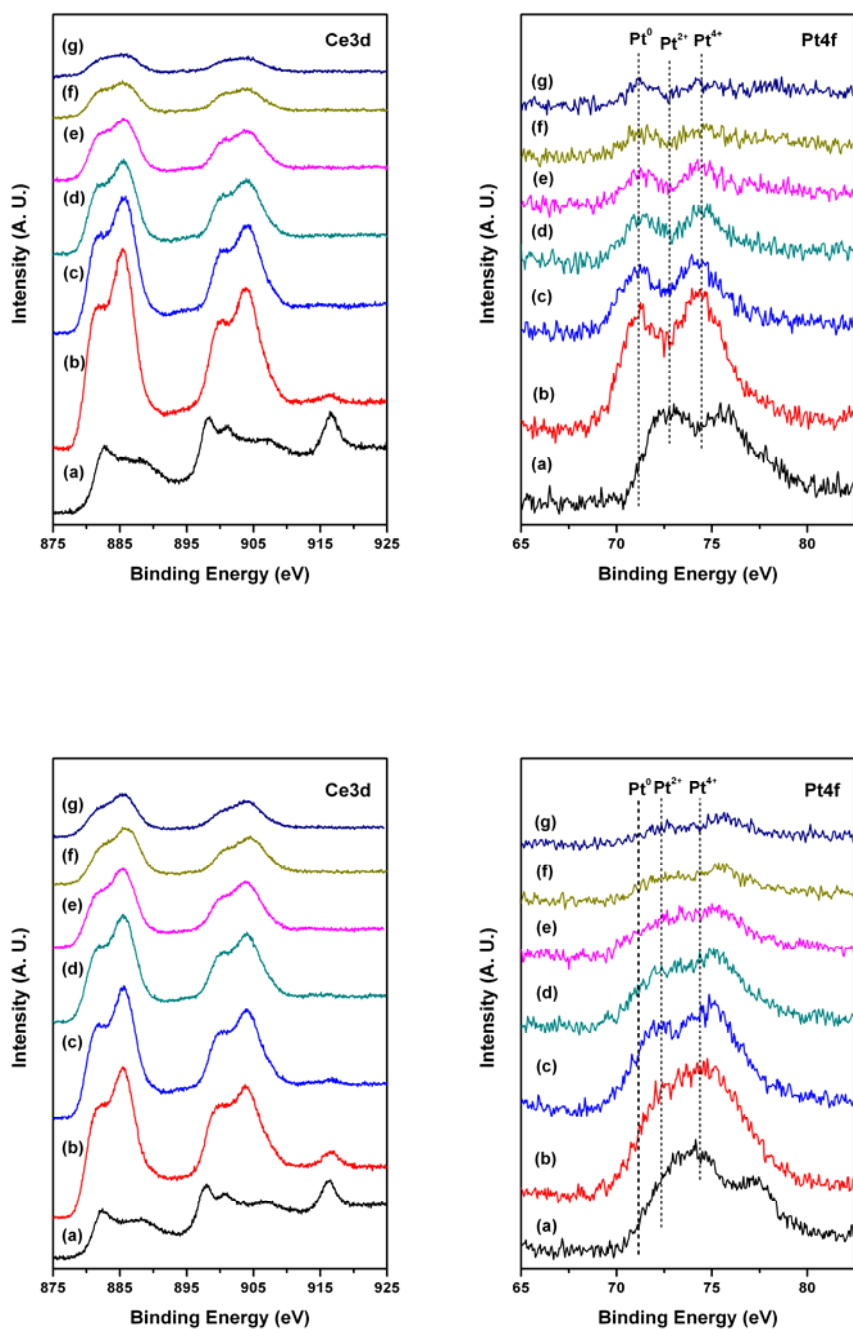


**Fig. 6.** Curve fitted XPS of O1s core levels in Pt/CeO<sub>2</sub>/Si and Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> thin films.

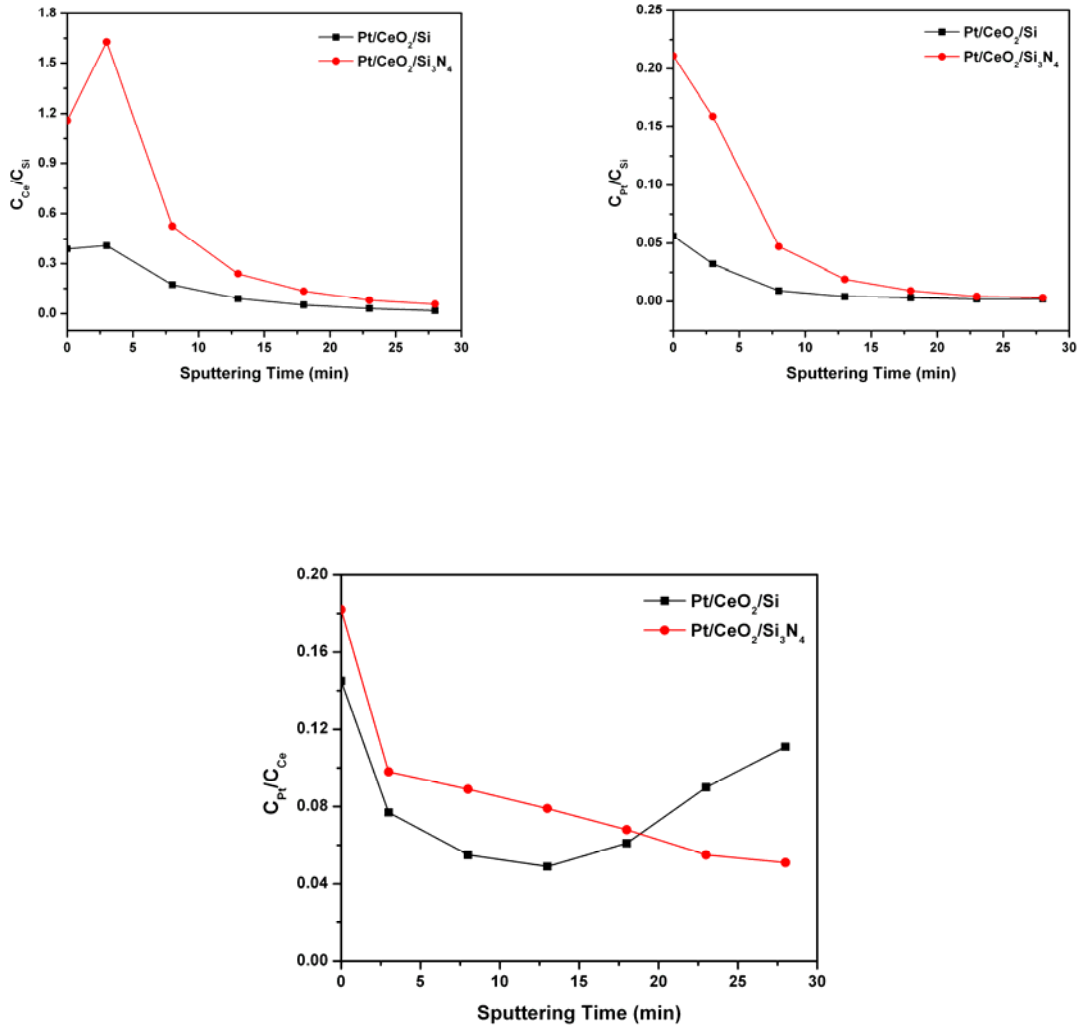




**Fig. 7.** Curve fitted XPS of Si2p core levels of Pt/CeO<sub>2</sub>/Si and Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> thin films.



**Fig. 8.** XPS of Ce3d and Pt4f core levels of Pt/CeO<sub>2</sub>/Si (top) and Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> (bottom) thin films with different stages of sputtering: (a) as-deposited, (b) 3 min, (c) 8 min, (d) 13 min, (e) 18 min, (f) 23 min and (g) 28 min.



**Fig. 9.**  $C_{Pt}/C_{Si}$ ,  $C_{Ce}/C_{Si}$  and  $C_{Pt}/C_{Ce}$  ratios in Pt/CeO<sub>2</sub>/Si and Pt/CeO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> films as function of sputtering time.