

RESEARCH ARTICLE | *Microbiome and Host Interactions*

Gut microbiota differs between children with Inflammatory Bowel Disease and healthy siblings in taxonomic and functional composition: a metagenomic analysis

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Knoll RL, Forslund K, Kultima JR, Meyer CU, Kullmer U, Sunagawa S, Bork P, Gehring S. Gut microbiota differs between children with Inflammatory Bowel Disease and healthy siblings in taxonomic and functional composition: a metagenomic analysis. *Am J Physiol Gastrointest Liver Physiol* 312: G327–G339, 2017. First published December 30, 2016; doi:10.1152/ajpgi.00293.2016.—Current treatment for pediatric inflammatory bowel disease (IBD) patients is often ineffective, with serious side effects. Manipulating the gut microbiota via fecal microbiota transplantation (FMT) is an emerging treatment approach but remains controversial. We aimed to assess the composition of the fecal microbiome through a comparison of pediatric IBD patients to their healthy siblings, evaluating risks and prospects for FMT in this setting. A case-control (sibling) study was conducted analyzing fecal samples of six children with Crohn's disease (CD), six children with ulcerative colitis (UC) and 12 healthy siblings by metagenomic sequencing. In addition, lifetime antibiotic intake was retrospectively determined. Species richness and diversity were significantly reduced in UC patients compared with control [Mann-Whitney *U*-test false discovery rate (MWU FDR) = 0.011]. In UC, bacteria positively influencing gut homeostasis, e.g., *Eubacterium rectale* and *Faecalibacterium prausnitzii*, were significantly reduced in abundance (MWU FDR = 0.05). Known pathogens like *Escherichia coli* were enriched in UC patients (MWU FDR = 0.084). Moreover, *E. coli* abundance correlated positively with that of several virulence genes (SCC > 0.65, FDR < 0.1). A shift toward antibiotic-resistant taxa in both IBD groups distinguished them from controls [MWU Benjamini-Hochberg-Yekutieli procedure (BY) FDR = 0.062 in UC, MWU BY FDR = 0.019 in CD]. The collected results confirm a microbial dysbiosis in pediatric UC, and to a lesser extent in CD patients, replicating associations found previously using different methods. Taken together, these observations suggest microbial remodeling therapy from family donors, at least for children with UC, as a viable option.

NEW & NOTEWORTHY In this sibling study, prior reports of microbial dysbiosis in IBD patients from 16S rRNA sequencing was verified using deep shotgun sequencing and augmented with insights into the abundance of bacterial virulence genes and bacterial antibiotic

resistance determinants, seen against the background of data on the specific antibiotic intake of each of the study participants. The observed dysbiosis, which distinguishes patients from siblings, highlights such siblings as potential donors for microbial remodeling therapy in IBD.

metagenomics; microbiome; pediatric gastroenterology; inflammatory bowel diseases; fecal microbiota transplantation

THE GUT MICROBIOTA plays a crucial role in human physiology and host development (51), and maintaining equilibrium between the commensal microbiota and the host immune system is required for a healthy gut homeostasis.

There is increasing evidence for a contribution of the gut microbiome to the etiology of Inflammatory Bowel Disease (IBD) (25). In the past, genomewide association studies have revealed multiple host gene loci in both ulcerative colitis (UC) and Crohn's disease (CD), with alleles associated with functional aberrations of the intestinal immune system (25). Recent studies based on novel DNA sequencing methods have revealed major differences in bacterial taxonomic composition between IBD patients and healthy individuals (see Tables 1 and 2). Still, it remains unclear whether these observed alterations are the cause or result of inflammation.

Since most studies on the IBD microbiome to date have relied on 16S rRNA sequencing, the functional impact of the reported dysbiosis is not well understood (30), and reported associations are largely limited to identifying more general taxa (ranging from bacterial phyla to genera) as being associated with disease, given the limitations of 16S for reliable species identification. Whole genome sequencing allows higher resolution and sensitivity than the more common and less expensive 16S rRNA sequencing and offers new insights into the functional context of the IBD microbiome (e.g., abundance of metabolic pathways and the distribution of genes determining virulence or resistance to antibiotics).

Current treatment strategies for pediatric IBD patients often come with serious side effects or provide insufficient treatment responses. Therefore, there is a need for novel treatment approaches.

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Table 1. Bacteria found by previous studies to be associated (\uparrow or \downarrow) with inflammatory bowel diseases (IBD, CD, UC)

Phylum	Class	Order	Family	Genus	Species	
Bacteroidete (\downarrow IBD ² \uparrow IBD ^{4,8} \uparrow CD ⁵)	Bacteroidetes	Bacteroidales (\downarrow CD ⁶)	Porphyromonadaceae	Odoribacter (\downarrow IBD ¹); Parabacteroides (\downarrow CD ⁶)		
			Bacteroidaceae	Bacteroides (\uparrow IBD ⁸ \downarrow IBD ¹⁷ \downarrow CD ⁶)	<i>B. thetaiotaomicron</i> (\downarrow IBD ²); <i>B. vulgatus</i> (\downarrow CD ⁶); <i>B. caccae</i> (\downarrow CD ⁶)	
Firmicutes (\downarrow IBD ^{2,4} \downarrow UC ¹⁴)	Clostridia (\downarrow UC ¹⁴ \downarrow CD ^{3,17})	Clostridiales (\downarrow CD ^{3,6})	Prevotellaceae	Prevotella (\downarrow UC ³)		
			Rikenellaceae (\downarrow CD ⁶)	Alistipes		
			Lachnospiraceae (\downarrow IBD ^{1,2} \downarrow CD ⁶)	Roseburia (\downarrow IBD ¹ \downarrow CD ^{3,6})	<i>R. hominis</i> (\downarrow UC ⁷); <i>R. faecis</i> (\downarrow CD ⁵); <i>R. intestinalis</i> (\downarrow CD ⁶ s)	
				Coprococcus (\downarrow CD ⁶)	<i>C. eutactus</i> (\downarrow CD ⁵); <i>C. comes</i> (\downarrow CD ⁶ s)	
				Clostridiaceae	Clostridium (\downarrow IBD ⁸)	<i>C. leptum</i> (\downarrow IBD ⁹); <i>C. nexile</i> (\downarrow CD ⁶ s); <i>C. bolteae</i> (\downarrow CD ⁶ s)
				Blautia (\downarrow CD ⁶)s	<i>B. coccoides</i> (\downarrow IBD ⁹); <i>B. hanseni</i> (\downarrow CD ⁶)	
				Dorea (\downarrow CD ⁶)		
				Butyricoccus	<i>B. pullicecorum</i> (\downarrow IBD ^{1,16})	
				Ruminococcaceae (\downarrow CD ^{1,3,5,6})	Acetivibrio (\downarrow IBD ¹)	
				Ruminococcus (\downarrow CD ¹ \downarrow CD ⁶)	<i>R. gnavus</i> (\uparrow CD ³ \downarrow CD ⁶); <i>R. torques</i> (\downarrow CD ⁶)	
				Faecalibacterium (\downarrow IBD ¹ \uparrow CD ¹⁰ \downarrow CD ^{3,6,11,17,19} \downarrow UC ¹²)	<i>F. prausnitzii</i> (\downarrow UC ⁷ \downarrow CD ⁶ \downarrow CD ⁹)	
				Oscillospira (\downarrow CD ^{5,6})		
	Subdoligranulum (\downarrow CD ⁵)					
	Peptococcaceae (\downarrow CD ³)	Peptococcus (\downarrow CD ³)				
	Phascolactobacterium (\downarrow IBD ¹)					
	Eubacteriaceae	Eubacterium	<i>E. rectale</i> (\downarrow CD ⁶)			
	Bacilli (\uparrow CD ³)	Lactobacillales (\downarrow IBD ¹⁷ \uparrow CD ³)	Leuconostocaceae (\downarrow UC ¹ \uparrow CD ³)			
		Lactobacillaceae	Lactobacillus (\uparrow IBD ¹³ \uparrow CD ^{3,6})			
		Streptococcaceae (\downarrow UC ³)	Streptococcus (\uparrow CD ⁶ \downarrow UC ³)			
		Enterococcaceae	Enterococcus (\uparrow CD ⁶)			
	Erysipelotrichi (\uparrow UC ¹⁴)	Gemellales	Gemella	<i>G. morbillorum</i> (\uparrow CD ⁶)		
		Erysipelotrichiales	Erysipelotrichiaceae (\downarrow CD ⁶ \uparrow CD ⁶)	Catenibacterium (\downarrow UC ³)		
	Negativicutes	Selenomonadales	Acidaminococcaceae	Acidaminococcus (\uparrow CD ³)		
			Veillonellaceae (\uparrow CD ⁶)	Veillonella (\uparrow CD ³)		
			Dialister (\downarrow CD ⁶)	<i>V. parvula</i> (\uparrow CD ⁶)		
Actinobacteria (\uparrow IBD ²)	Actinobacteridae	Bifidobacteriales	Bifidobacteriaceae (\downarrow CD ⁶ \uparrow CD ⁶)	Bifidobacterium (\downarrow IBD ^{1,11} \uparrow IBD ¹³)	<i>B. bifidum</i> (\downarrow CD ⁶); <i>B. longum</i> (\downarrow CD ⁶); <i>B. adolescentis</i> (\downarrow CD ⁶); <i>B. dentum</i> (\downarrow CD ⁶); <i>B. infantis</i>	
	Coriobacteridae	Coriobacteriales	Coriobacteriaceae (\downarrow IBD ¹)			
Proteobacteria (\uparrow IBD ² \uparrow UC ¹⁴ \uparrow CD ^{3,5})	Gammaproteobacteria (\uparrow UC ¹⁴ \uparrow CD ³)	Enterobacteriales (\uparrow IBD ¹⁸ \uparrow CD ³)	Enterobacteriaceae (\uparrow CD ^{3,4,6})	Escherichia (\uparrow IBD ¹ \uparrow CD ¹⁹)	<i>E. coli</i> (\uparrow CD ⁶ s \uparrow IBD ^{9,11} \uparrow UC ¹⁴); <i>AIEC</i> (\uparrow IBD ¹ \uparrow CD ¹⁵)	
		Aeromonadales	Aeromonadaceae (\uparrow CD ³)	Shigella (\uparrow IBD ¹ \uparrow CD ^{3,19}) Aeromonas (\uparrow CD ³)		
		Pasteurellales	Pasteurellaceae (\uparrow CD ⁶)	Hemophilus (spp.) (\uparrow CD ⁶)	<i>H. parainfluenzae</i> (\uparrow CD ⁶)	
	Betaproteobacteria	Neisseriales	Neisseriaceae (\uparrow CD ⁶)	Eikenella	<i>E. corrodens</i> (\uparrow CD ⁶)	
		Burkholderiales	Sutterellaceae	Sutterella (\downarrow CD ⁶)		
	Deltaproteobacteria	Desulfobivriales	Desulfobivriaceae	Bilophila (\downarrow CD ⁶) Desulfobivrio	<i>B. wadsworthia</i> (\downarrow IBD ²⁰)	
	Alphaproteobacteria	Rhizobiales (\uparrow CD ⁵)	Bradyrhizobiaceae (\uparrow CD ³)			
Fusobacteria (\uparrow CD ³ \uparrow UC ¹⁴)	Fusobacteria (\uparrow CD ³)	Fusobacteriales (\uparrow CD ³)	Fusobacteriaceae (\uparrow CD ^{3,6} \downarrow CD ⁶)	Fusobacterium (\uparrow CD ³)	<i>F. nucleatum</i> (\uparrow CD ⁶)	
Spirochaetae	Spirochaetes (\uparrow UC ¹⁴)	Spirochaetales				
Verrucomicrobia (\downarrow UC ^{14,18})	Verrucomicrobiae (\downarrow UC ¹⁸)	Verrucomicrobiales (\downarrow UC ¹⁸)	Verrucomicrobiaceae (\downarrow UC ¹⁸)			
Lentisphaerae (\downarrow UC ¹⁴)						
Tenericutes (\downarrow IBD ³)	Mollicutes (\downarrow IBD ³)	Anaeroplasmatales (\downarrow IBD ³)	Anaeroplasmataceae (\downarrow IBD ³)	Asteroleplasma (\downarrow IBD ³)		

\uparrow IBD/ \downarrow IBD = increase/decrease in patients with inflammatory bowel disease in comparison with healthy controls; \uparrow CD/ \downarrow CD = increase/decrease in patients with Crohn's disease; \uparrow UC/ \downarrow UC = increase/decrease in patients with ulcerative colitis. Annotations: superscript numbers 1–20 refer to the following studies: ¹Morgan et al. (38); ²Frank et al. (13); ³Willing et al. (62); ⁴Walker et al. (60); ⁵Kaakoush et al. (22); ⁶Gevers et al. (14); ⁷Machiels et al. (33); ⁸Andoh et al. (1); ⁹Duboc et al. (8); ¹⁰Hansen et al. (16); ¹¹Schwartz et al. (49); ¹²Varela et al. (58); ¹³Wang et al. (61); ¹⁴Michail et al. (36); ¹⁵Martinez-Medina et al. (34); ¹⁶Eeckhaut et al. (9); ¹⁷Aomatsu et al. (2); ¹⁸Papa et al. (40); ¹⁹Thorkildsen et al. (55); ²⁰Jia et al. (21). Further details about the method and study cohort of each of these previous studies are given in Table 2, which uses the same superscript citation numbers.

Table 2. Method and study cohort of previous studies cited in Table 1

Author/Year (Ref. No.)	Method	Study Cohort
¹ Morgan et al. 2012 (38)	16S rRNA-sequencing; WGS of 11 fecal samples	121 CD, 75 UC, 27 controls; age 13–45 yr
² Frank et al. 2007 (13)	Q-PCR -rRNA sequencing	190 CD, UC, controls
³ Willing et al. 2010 (62)	16S rRNA-sequencing	29 CD, 16 UC, 35 controls; age 40–67 yr
⁴ Walker et al. 2011 (60)	16S rRNA-sequencing	6 CD, 6 UC, 5 controls; age 24–73 yr
⁵ Kaakoush et al. 2012 (22)	16S rRNA-sequencing	19 CD, 21 controls; age 5–15 yr
⁶ Gevers et al. 2014 (14)	16S rRNA-sequencing; WGS of 43 fecal samples	447 CD, 221 controls; age 3–17 yr
⁷ Machiels et al. 2014 (33)	16S rRNA sequencing	127 UC, 87 controls
⁸ Andoh et al. 2011 (1)	16S rRNA sequencing	31 CD, 31 UC, 30 controls
⁹ Duboc et al. 2013 (8)	16S rRNA-sequencing	12 CD, 30 UC, 29 controls; age 20–60 yr
¹⁰ Hansen et al. 2012 (16)	16S rRNA-sequencing	13 CD, 12 UC, 12 controls; age: children
¹¹ Schwartz et al. 2010 (49)	16S rRNA-sequencing	69 IBD, 25 controls; age 1–20 yr
¹² Varela et al. 2013 (58)	qRT-PCR	116 UC patients, 29 first-degree relatives, 31 controls; age 18–75 yr
¹³ Wang et al. 2014 (61)	16S rRNA-sequencing	36 CD, 63 UC, 21 controls
¹⁴ Michail et al. 2012 (36)	16S rRNA-sequencing	27 UC, 26 controls; mean age 13.5 yr
¹⁵ Martinez-Medina et al. 2009 (34)	Colony dependent Rep-PCR; pulsed field gel electrophoresis; adhesion and invasion assays	20 CD, 28 controls
¹⁶ Eeckhaut et al. 2013 (9)	16S rRNA-sequencing	51 CD, 91 UC, 88 controls; mean age 40 yr
¹⁷ Aomatsu et al. 2012 (2)	16S rRNA gene sequencing; T-RFLP- analysis	10 CD, 14 UC, 27 controls; age 1–18 yr
¹⁸ Papa et al. 2012 (40)	16S rRNA-sequencing	23 CD, 43 UC, 24 controls; mean age 13 yr
¹⁹ Thorkildsen et al. 2013 (55)	16S rRNA-sequencing	30 CD, 33 UC, 33 controls; mean age 33 yr
²⁰ Jia et al. 2012 (21)	PCR of the dsrAB gene	20 CD, 14 UC, 18 controls

References correspond to the same studies cited in Table 1 that show associations between bacteria and inflammatory bowel diseases (IBD, CD, UC).

Manipulating the gut microbiota via fecal microbiota transplantation (FMT) appears as an intriguingly facile and harmless therapy option for children with IBD. Efficacy in the treatment of pediatric UC via fecal enemas has been suggested (29), and the administration of FMT via nasogastric tube has led to improved well-being in pediatric CD patients (54). Still, its outcome remains controversial, since results from the first two randomized placebo-controlled trials in adults are in contradiction (37, 46). Although no serious adverse events for FMT in children have been reported, data on feasibility and safety in a long-term perspective (e.g., the risk of transferring a pathogenic disease state via FMT) are missing (17). Microbiome-based validation of suitable donors might help better predict treatment outcome (15). The present pilot study therefore aims to elucidate microbiome correlates of juvenile IBD so as to help in designing criteria for when FMT might be employed, using a pediatric cohort of CD and IBD patients as well as their healthy siblings.

METHODS

Cohort recruitment. Patients were selected from the IBD patient collective at the Zentrum für Kinder- und Jugendmedizin (University Children's Hospital) Mainz in Mainz, Germany. In all patients IBD diagnosis was histologically confirmed by prior endoscopy. Disease activity was assessed by the Pediatric Ulcerative Colitis Activity Index (PUCAI) (56) and the Pediatric Crohn's Disease Activity Index (PCDAI) (19). In total, fecal samples from six ulcerative colitis (UC) patients and six Crohn's Disease (CD) patients and from 12 controls were collected from August 2013 to July 2014. Controls were healthy siblings of the IBD patients, sharing the same parents and living in the same households, and thereby sharing genetic background, environment, and diet. In addition, study participants completed a questionnaire about health/disease status, living conditions, and alimentary habits. Children who had taken antibiotics during the last 2 mo before sampling were excluded from the study. For additional cohort characteristics see Table 3. Since all study participants are older than 8 yr, and thus should have relatively stable microbiomes, we do not

anticipate age differences between patients and healthy siblings to have a strong impact on results.

The study design was approved by the ethics committee of the State Chamber of Physicians of Rhineland-Palatinate [Ethikkommission der Landesärztekammer Rheinland-Pfalz, reference number 837.292.13 (8977-F)]. Written informed consent was given by both parents and

Table 3. Cohort characteristics

Characteristics	UC (n = 6)	CD (n = 6)	Control (n = 12)
Demographics			
Male:Female	2:4	3:3	6:6
Age, yr			
Median ± SD	13 ± 2.7	14 ± 2.0	12.5 ± 3.6
Range	10–17	11–16	8–20
BMI, z-score			
Median ± SD	−0.09 ± 0.73	−0.10 ± 1.09	0.26 ± 1.10
Less than −1 (%)	0	34	25
Great than +1 (%)	17	0	34
Age at onset, yr			
Median ± SD	9.5 ± 2.8	10 ± 1.8	
Range	4–12	9–14	
Disease duration, mo			
Median ± SD	22.5 ± 54.4	29 ± 31.6	
Range	13–144	0–82	
Disease activity			
Inactive	3	1	
Mild	1	2	
Moderate-severe	2	3	
Medications, %			
Steroids	67	50	
Anti-TNF	17	50	
Azathioprine	50	34	
Mesalazine	50	17	
TGF-β2	0	34	
UDCA	34	0	
Colchicine	17	0	

UC, ulcerative colitis; CD, Crohn's disease; % = per group (UC, CD, control). Disease activity based on PUCAI, PCDAI, respectively; Anti-TNF = infliximab/adalimumab; TGF-β2 = transforming growth factor beta 2 nutritional support formula; UDCA = ursodeoxycholic acid.

the study participants before sample collection and medical assessment.

Assessment of lifetime antibiotic intake. Antibiotic intake of the study population was retrospectively recorded, starting from birth. Therefore, all physicians/hospitals who have treated the study participants were contacted and asked to transfer their antibiotic prescription data for each child. Of 306 person years of total participant lifetime to date, only 16 (5%) could not be covered by this assessment.

Sample collection. Fecal samples were collected at home with help of a stool sampling kit. The stool sampling kit consisted of a plastic lining to cover the toilet, two stool sample tubes with spoons, two plastic bags, and a clipping system for safe closure of the outer bag. Collected samples were stored at home between 4°C and 8°C and transferred to the laboratory within 24 h. In the laboratory of the Zentrum für Kinder- und Jugendmedizin, Mainz, Germany, the samples were frozen at -80°C. All samples were gathered there and then shipped on dry ice (-78.5°C) to the European Molecular Biology Laboratory (EMBL) in Heidelberg, Germany.

Probe processing. All probe processing was conducted by the Genomics Core Facility, EMBL, Heidelberg. DNA-Extraction and Library preparation were performed according to the protocol from Zeller et al. (64). Whole genome shotgun sequencing was executed on the Illumina HiSeq 2000/2500 (Illumina, San Diego, CA) platform. All samples were paired-end sequenced with a read length of 100 bp and a targeted sequencing depth of 5 Gbp (64).

Data analysis. Data analysis was performed at the Structural and Computational Biology Unit, EMBL, Heidelberg.

Taxonomic profiling. Using the MOCAT pipeline (28), gene sequences were annotated to their bacterial taxonomy. Since many gut bacteria are known to belong to species for which no genome yet exists in public databases, two different alignment procedures were used. The first procedure was based on metagenomic operational taxonomic units (mOTUs) (53) which also encompass uncharacterized bacteria identified in a metagenomic data set. The second procedure used species clusters defined from bacterial genomes for which publicly deposited genomes do exist (35). In this manner a coverage of the taxonomic composition of the samples as complete as possible was achieved.

Analysis of bacterial diversity, species richness, and evenness. Based on the mOTU taxonomic composition, Shannon diversity index, species richness, and evenness were calculated using the vegan R package (<http://cran.r-project.org/web/packages/vegan/index.html>) [for details see Zeller et al. (64)].

Functional profiling. To gain insight into metabolic functions of the microbiome, the metagenomic catalog was aligned to the Kyoto Encyclopedia of Genes and Genomes (KEGG) Database (23) [for further details see Zeller et al. (64)].

Identifying virulence factors. For virulence factor profiling of the metagenome samples, reads were mapped to virulence factor gene families via an annotated gene catalog as described in Kultima et al. (27). For each gene catalog entry annotated to a virulence factor gene family, we traced which sequenced taxa have genes similar enough to the reference that reads originating from them could map to it. For the virulence genes found significantly different under IBD in this study, from sequence alone their specific origin cannot be determined; on average each virulence gene family is found in 87 taxa (see Supplementary Table 2; all supplementary tables are available with the online version of this article).

Identifying antibiotic resistance genes. To estimate the abundance and prevalence antibiotic resistance genes in the studied microbiomes, the reference gene catalog was aligned to the Antibiotic Resistance Genes Database (ARDB) (32), as described in Forslund et al. (12). Metronidazole resistance genes (nim, nimA, nimB, nimC, nimD, nimF) were further annotated through bidirectional best hits between the reference gene catalog and all annotated such sequences from UniProt, otherwise as previously described. Only antibiotic classes

which had been taken by at least one individual in the study cohort were considered.

For each sample, three measures of antibiotic resistance gene carriage were determined: the raw relative abundance of antibiotic resistance genes, the relative abundance of potentially resistance gene-carrying bacterial species, and the antibiotic resistance potential (abundance of antibiotic resistance genes relative to the abundance of potentially resistance gene-carrying species). The abundance of potentially resistant species was calculated as the fraction of genetic material from each sample that maps to species belonging to genera with known examples of species carrying resistance genes of the appropriate type, in the reference genome database used [see Forslund et al. (12)].

Statistical analysis. In subsequent analyses, all read/base counts were transformed into relative abundances (by division by the total number of reads/bases sequenced per sample) as described previously by Zeller et al. (64).

To identify significant differences between sample categories for each metagenomic feature, a nonparametric Kruskal-Wallis-test (KW) was performed whenever the UC, CD, and control group were compared. This was followed by pairwise Mann-Whitney *U*-tests (MWU) as a post hoc procedure.

For datasets not normally distributed, the Wilcoxon signed-rank test was employed to compare sibling pairs directly. Correlation analyses were performed using Spearman rank's correlation coefficient (SCC), to fit potentially nonnormal data.

In cases of multiple testing, *P* value correction via the Benjamini-Hochberg false discovery rate (FDR) (4) or the Benjamini-Hochberg-Yekutieli procedure (BY) (5) was performed. For corrected *P* values, significance threshold was set at <0.05; corrected *P* values ranging 0.05 < FDR < 0.1 we refer to as approaching significance.

To reduce the number of tests for taxonomic abundances and thereby increase statistical power, a preselection of hypotheses was applied by collecting microbiome associations described in the literature, including taxa directly associated with IBD or associations projected from such reports by phylogenetic relationships. This collection consisted of all directly reported IBD-associated taxa in the referenced works and their containing taxonomic superclades (see Tables 1 and 2). In addition, thus far uncharacterized taxa sorting immediately under each clade previously reported associated with IBD were added to account for incompleteness of sequenced genomes (e.g., unknown Firmicutes for class analysis, as Firmicutes were reported to be different on the Phylum level, or unknown Clostridia for analysis on the order level, as Clostridia were previously reported to be associated with IBD on the class level); the mOTU technology used here was explicitly designed to allow detection of unculturable human gut taxa.

A likelihood ratio test (LRT) was conducted to test whether the abundance of potentially resistant species can be explained by antibiotic selection pressure (as represented through lifetime antibiotic intake) or not. To calculate these likelihood ratios, mixed-effect linear models, taking into account 1) overall lifetime antibiotic intake and disease status, 2) lifetime antibiotic intake alone, 3) disease status alone, and 4) solely a background constant model, were compared with each other. Prior to this analysis in each case the general assumptions for linear models were tested (independence, absence of collinearity, homoscedasticity, linearity and normality of the residuals, and absence of influential data points) using GraphPad (<http://graphpad.com/quickcalcs/PValue1.cfm>) with *P* values calculated for a χ^2 -distribution with one degree of freedom.

RESULTS

Pediatric UC patients have reduced microbial biodiversity compared with their healthy siblings. Tests were conducted on the Shannon diversity index to characterize overall gut biodiversity. Only in UC patients reduction of biodiversity reached

significance compared with controls (MWU, $n_{UC} = 6$, $n_{Control} = 12$; FDR = 0.011) (Fig. 1). Analyzing the data on aggregate, children with UC and CD both displayed significantly reduced species richness (MWU, $n_{UC} = 6$, $n_{CD} = 6$, $n_{Control} = 12$; FDR = 0.011, FDR = 0.045, respectively) (Fig. 1) compared with the set of control children. This reduction was significant also when matching each IBD patient to their control sibling in a pairwise test (WT, $n_{UC} = 6$, $n_{CD} = 5$, $n_{Control} = 11$; FDR = 0.048). Similar observations could be made for microbial community evenness (see Fig. 1).

Differences in taxonomic abundances from former 16S rRNA analyses are validated by WGS. Considering those bacterial taxa previously noted as being altered in prevalence or abundance in IBD (cf Tables 1 and 2), many of these findings could be recaptured as significant in the present cohort even with its limited size, comparing the set of all controls to the set of each subset of IBD subjects (Fig. 2). Pairwise testing of siblings (Wilcoxon rank test) showed the same trends as the aggregated case-control comparison, although due to limited sample numbers approached significance only for associations of *Clostridium* (Clostridiaceae) and *Eubacterium rectale* to IBD (see Supplementary Table 1).

If we do not restrict analysis to validation of 16S results only, power is reduced due to the increased number of tested hypotheses. However, 55% (17 of 31) of the findings described

above also show associations with IBD approaching significance without such preselection and with subsequently more stringent FDR correction (see Supplementary Table 3). The previously described associations constitute the majority of significant associations found in the unrestricted search, suggesting most obvious associations already were reported at least once from 16S data. However, another four taxa approached significance in the unrestricted test, which had not previously been linked to IBD. On the taxonomic order level, a group of uncharacterized Firmicutes were diminished in the UC population compared with the healthy cohort. At the level of bacterial genera, *Anaerococcus* ($MR_{Control} 10.83$, $MR_{UC} 18.33$, $MR_{CD} 10.00$, KW FDR = 0.093) and a thus far uncharacterized genus belonging to Clostridiales ($MR_{Control} 17.08$, $MR_{UC} 5.83$, $MR_{CD} 10.00$, KW FDR = 0.093), differed in abundance between IBD subjects and controls. Most importantly, *Clostridium ramosum* was significantly enriched in UC patients compared with the set of controls (FDR < 0.001).

Enrichment of pathobiont species and depletion of commensals. Reduction of gut homeostasis positively influencing *E. rectale* and *Faecalibacterium prausnitzii* were observed in UC and CD samples compared with the set of controls samples, but only the comparison between control and UC samples approached significance (Fig. 2). Similarly, the pathobiont *Escherichia coli* was increased in abundance in both IBD conditions, but approached significance only for UC samples (Fig. 2). An increase in *Ruminococcus gnavus* approached significance (at the species cluster level; not significant at mOTU level). *Bilophila wadsworthia* was decreased in UC, also approaching significance. The pathogen *Fusobacterium nucleatum* (resolved at the species cluster level; not significant at mOTU level) was found in IBD patients but not in the healthy siblings, with this difference approaching significance for UC patients (Fig. 2).

The abundance of specific virulence factors correlates with the abundance of certain bacterial species. Figure 3 gives an overview of the specific virulence genes, which were significantly increased in the UC population (CD and UC samples compared with the full set of control samples) of the present study. To identify correlations with species present in the study cohort, a Spearman correlation analysis was conducted. Most virulence factors were correlated (Spearman test FDR < 0.1) with abundance of *E. coli*. All of these genes, except hslT, were previously described and identified as occurring in strains of *E. coli* (see Supplementary Table 2).

Abundance of certain families of genes facilitating the survival of bacteria within macrophages and the evasion of immune response correlated with abundance of particular gut microbial species (*Bacteroides vulgatus*/*Gemella morbillorum*). Virulence genes *adhD*, *aslA*, *sitA*, *Ndk*, *fur*, *gcvT*, and *fepA* had no significant correlation with any species found significantly different in abundance between controls and IBD patients. These observations suggest a broader involvement of those genes in UC pathogenesis, such that they may play a role which is not reducible to a simple taxonomic difference.

Abundance of GABA shunt genes is elevated in the IBD microbiome. A Kruskal-Wallis test was performed to analyze KEGG pathways and KEGG modules for significant differences in gene abundance comparing all IBD cases to all controls. Only the KEGG module representing the gamma-aminobutyric acid (GABA) shunt, considered a potential vir-

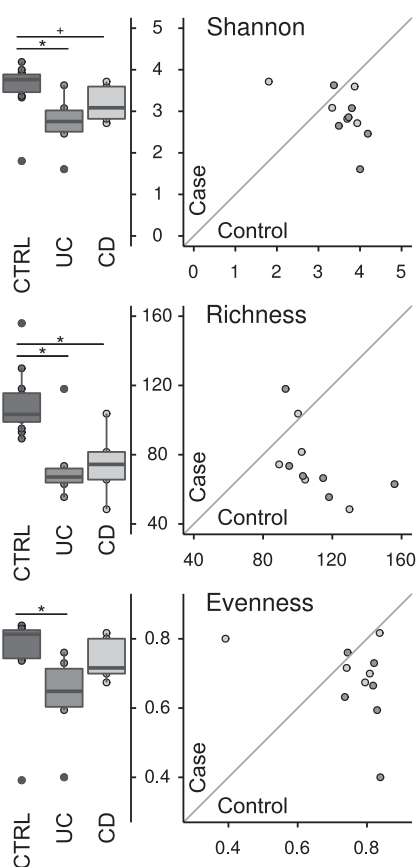


Fig. 1. Shannon diversity index, species richness, and evenness in comparison between groups [CTRL, ulcerative colitis (UC), Crohn's disease (CD)]. Left: box plots show all samples contrasted with MWU tests, showing significances: +FDR < 0.1, *FDR < 0.05. Right: scatterplots (light gray circles, CD; medium gray circles, UC) show each sibling pair, revealing significantly higher diversity of each type in controls than in siblings with IBD.

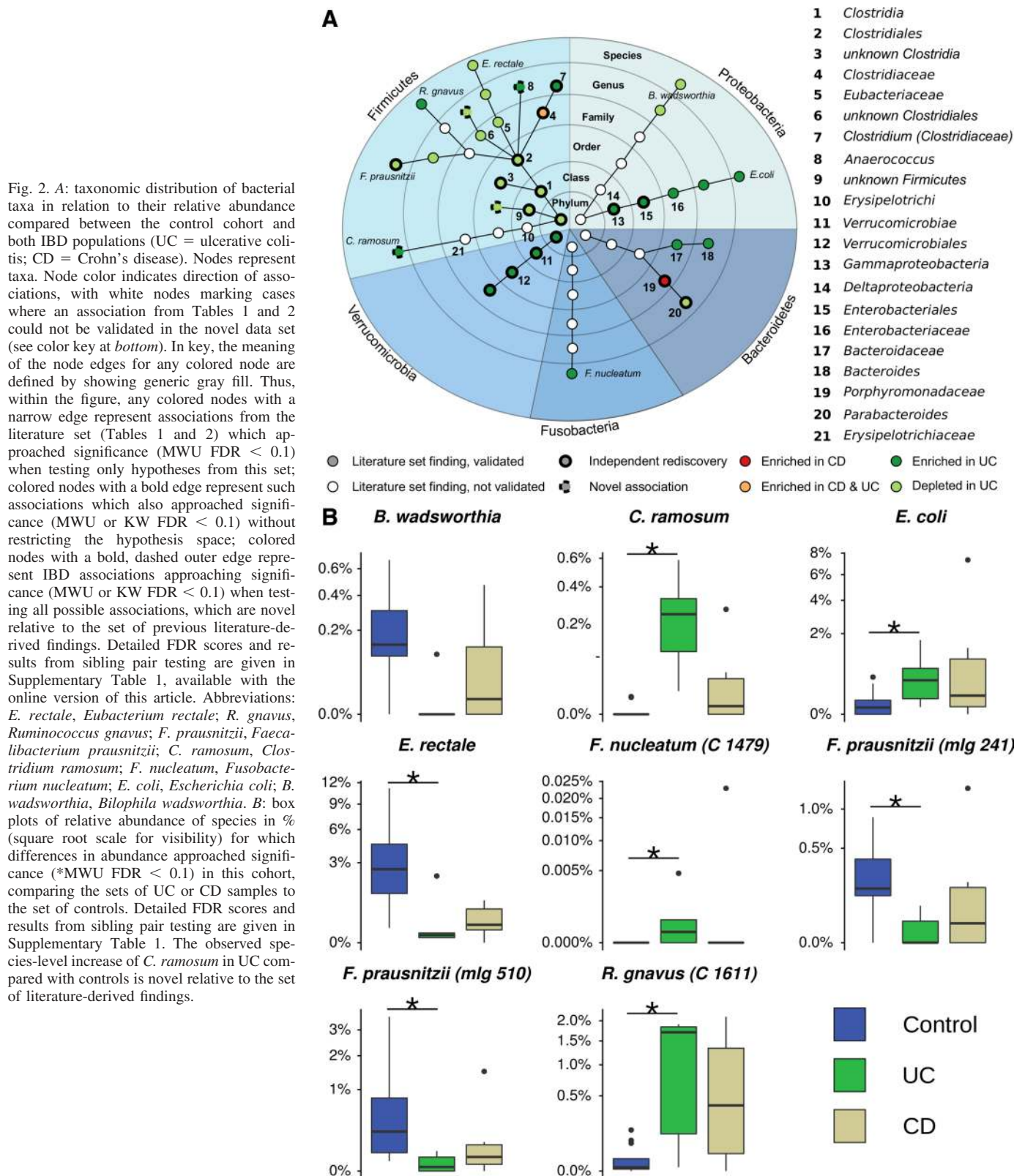


Fig. 2. A: taxonomic distribution of bacterial taxa in relation to their relative abundance compared between the control cohort and both IBD populations (UC = ulcerative colitis; CD = Crohn's disease). Nodes represent taxa. Node color indicates direction of associations, with white nodes marking cases where an association from Tables 1 and 2 could not be validated in the novel data set (see color key at *bottom*). In key, the meaning of the node edges for any colored node are defined by showing generic gray fill. Thus, within the figure, any colored nodes with a narrow edge represent associations from the literature set (Tables 1 and 2) which approached significance (MWU FDR < 0.1) when testing only hypotheses from this set; colored nodes with a bold edge represent such associations which also approached significance (MWU or KW FDR < 0.1) without restricting the hypothesis space; colored nodes with a bold, dashed outer edge represent IBD associations approaching significance (MWU or KW FDR < 0.1) when testing all possible associations, which are novel relative to the set of previous literature-derived findings. Detailed FDR scores and results from sibling pair testing are given in Supplementary Table 1, available with the online version of this article. Abbreviations: *E. rectale*, *Eubacterium rectale*; *R. gnavus*, *Ruminococcus gnavus*; *F. prausnitzii*, *Faecalibacterium prausnitzii*; *C. ramosum*, *Clostridium ramosum*; *F. nucleatum*, *Fusobacterium nucleatum*; *E. coli*, *Escherichia coli*; *B. wadsworthia*, *Bilophila wadsworthia*. B: box plots of relative abundance of species in % (square root scale for visibility) for which differences in abundance approached significance (*MWU FDR < 0.1) in this cohort, comparing the sets of UC or CD samples to the set of controls. Detailed FDR scores and results from sibling pair testing are given in Supplementary Table 1. The observed species-level increase of *C. ramosum* in UC compared with controls is novel relative to the set of literature-derived findings.

ulence factor by some investigators (11), showed such a significant difference with a mean rank of 6.67 for the control group, 18.67 for UC, and 18.00 for CD (BY < 0.000).

Little difference in antibiotic intake. Neither the cumulative lifetime antibiotic intake per person nor the mean antibiotic

intake per life year was significantly different comparing the full groups of control, UC, and CD.

In all three groups cephalosporin was the most commonly prescribed antibiotic, followed by penicillin, with highest intake for both in the CD group (Fig. 4). Metronidazole

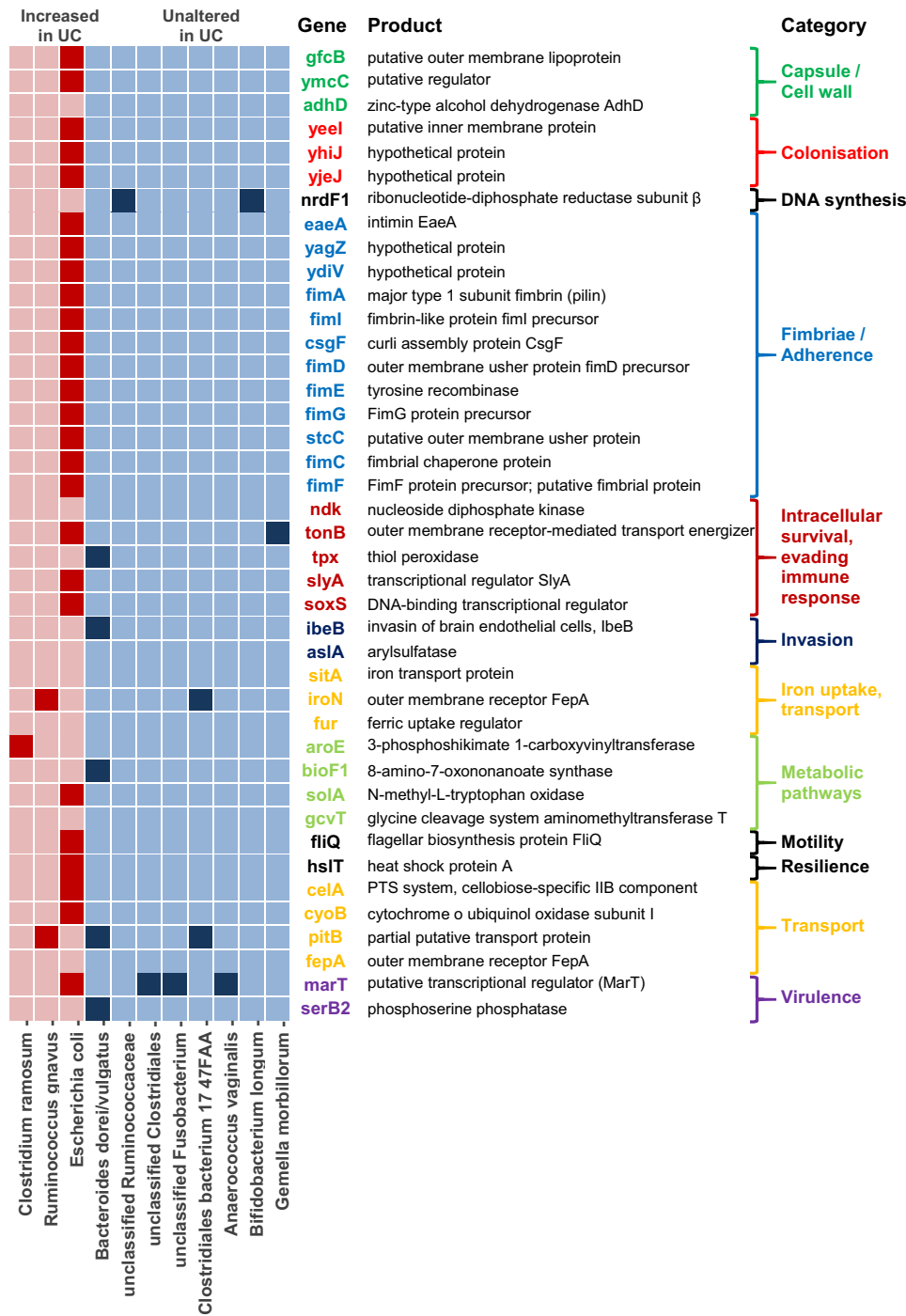


Fig. 3. Correlation of species abundance with the abundance of virulence genes significantly increased in the microbiomes of UC patients. Dark colored = positive correlation of species abundance and the abundance of the corresponding virulence gene (Spearman rank correlation coefficient > 0.65, FDR < 0.1); red = increase of the species abundance in UC patients (MWU, FDR < 0.1); blue = no significant difference of abundance of species between UC and control.

was only taken by IBD patients, and a tetracycline antibiotic was only taken once by one subject in the control group.

The resistome of IBD patients and their healthy siblings. In accordance with previous studies (12), tetracycline was the antibiotic for which the most resistance capacity was found in the microbiomes of the study cohort (Fig. 5), followed by cephalosporin. No significant difference in the abundance of resistance genes against any antibiotic class was observed comparing the full groups of control, UC, and CD samples on aggregate (see Fig. 6). Although we augment the ARDB

antibiotic resistance gene database with known resistance genes for metronidazole, the antibiotic most commonly prescribed to IBD patients, such genes are still largely uncharacterized. As a result, it is possible we fail to observe an association between such resistance and metronidazole exposure history.

The high relative fraction of potentially resistant bacterial species does distinguish the gut microbiome of IBD patients from the microbiomes of controls. Higher abundances of bacterial species where strains with resistance genes have been sequenced were observed for all antibiotic classes (BY

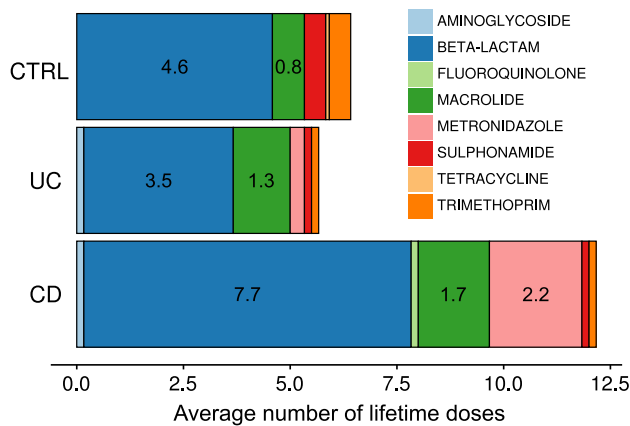


Fig. 4. Mean lifetime number of antibiotic courses in comparison between control, ulcerative colitis (UC), and Crohn's disease (CD). Bar charts are divided into segments representing the different antibiotic classes covered. For the most frequently used antibiotics, mean lifetime number of therapies is indicated.

FDR = 0.062 for UC; BY FDR = 0.019 for CD) (Fig. 5, see also Fig. 6).

To evaluate whether this high abundance of potentially resistant species was due to antibiotic selection pressure, a likelihood ratio test was performed testing fits of the data to nested mixed-effects linear models. The total abundance of potentially resistant species was modeled from overall lifetime antibiotic intake of each individual together with disease status (control, UC, CD). A model including both antibiotic intake and disease status did not fit significantly better than a model including group affiliation alone (LRT test, $P > 0.4$). We therefore at this point cannot refer the greater relative prevalence of potentially resistant microbial taxa in the IBD patients than in their healthy siblings' microbiomes to any higher lifetime antibiotic consumption in these children.

DISCUSSION

Our results from comparatively analyzing the microbiome of pediatric IBD patients constitute a deep sequencing-based consolidated validation of findings from previous research which relied mostly on 16S rRNA sequencing (see Tables 1 and 2 and Supplemental Table S1). To our knowledge, this is the first study which contrasts metagenomic analysis of antibiotic resistance genes in relation to lifetime antibiotic intake of study participants in an IBD cohort. We also provide the first direct metagenomic functional profiling of pediatric IBD samples, including analysis of virulence factor differential abundance in cases vs. controls. By a study design using as controls healthy siblings of IBD participants sharing similar diet and living circumstances, we are able to minimize potential confounding factors. In addition, although we expected higher antibiotic consumption within the patient population, which could have provided an alternate interpretation of the observed dysbiosis, antibiotic intake was not significantly higher among pediatric IBD patients than other children.

Dysbiosis of the intestinal microbiome may involve reduced microbial diversity, enrichment of potentially pathogenic taxa, and/or depletion of beneficial microbiota (41). Microbial dysbiosis has been observed in numerous disease conditions, e.g., colorectal carcinoma (50), hypertension (63), psoriatic arthritis

(47), diabetes mellitus type 1 (52) and type 2 (24) and especially in pediatric IBD (see Tables 1 and 2).

Several research groups observed how microbial diversity and the amount of beneficial commensals is reduced in the microbiome of both pediatric IBD conditions (14, 36, 49). At the same time, potential pathobionts like *E. coli* are more prevalent in the IBD microbiome. These findings are quantifiable using the present setup and we were able to validate them. Although in the present study we find more microbiome associations of UC than of CD, previous studies found stronger such associations to CD (see Tables 1 and 2 and Supplementary Table 1). As we observe similar trends in both IBD subtypes for most comparisons, we interpret this as that our small sample size may make us underpowered to detect many of the associations to CD, which larger studies using similar methodology may be able to recover.

Decrease of gut homeostasis-promoting species in the IBD microbiome. The gut homeostasis-promoting bacterial species *F. prausnitzii* and *E. rectale* were depleted in both IBD conditions in the present cohort. This observation is in consensus with former microbiome analysis of UC and CD patients relying on 16S rRNA sequencing (8, 14, 33). Both species are important producers of short-chain fatty acids (SCFA), including propionate and butyrate (44). SCFAs are among the most central metabolites produced by microbes, influencing both colon physiology and the intestinal immune system (39).

*Increase of *E. coli* and *F. nucleatum* in pediatric IBD.* In contrast, pathobionts such as *E. coli* and *F. nucleatum* were enriched in the IBD microbiome. This is in agreement with former 16S rRNA analyses, where *E. coli* was increased in the IBD microbiome (8, 36, 49). *E. coli*, especially its subspecies *adherent-invasive E. coli* (AIEC), has been directly implicated in IBD development (30). A strong correlation was observed between *E. coli* abundance and that of genes implicated in the expression of fimbriae or leading to the adhesion of bacteria to the intestinal mucosa. Those genes are characteristic of pathogenic *E. coli* subspecies (e.g., *enterohemorrhagic*, *uropathogenic E. coli*) (3, 7). However, most of these genes are found also in many other bacterial taxa, and as such it cannot be ruled out that other bacterial species also may contribute to the

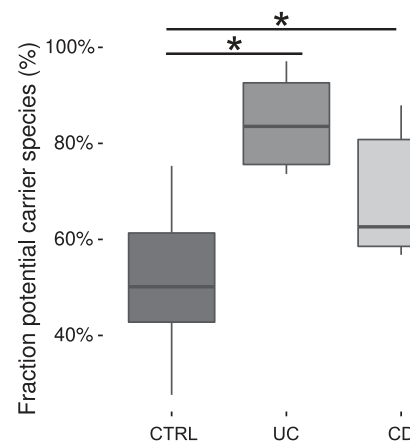


Fig. 5. Fraction of potential carrier species: For relative abundance of potentially resistant species, significant difference (*) was observed for each antibiotic class between control and ulcerative colitis (UC) (BY FDR = 0.062) and control and Crohn's disease (BY FDR = 0.019).

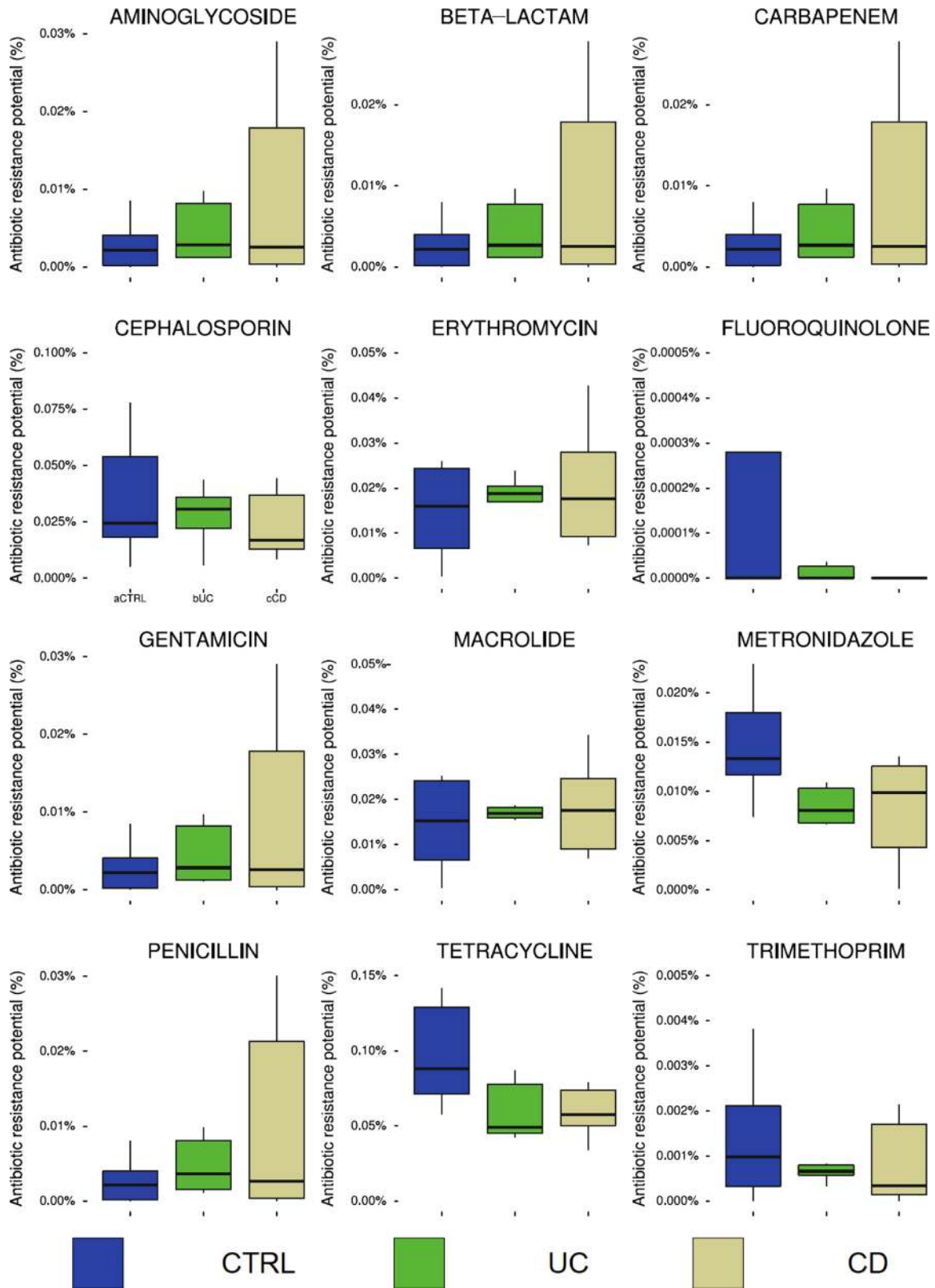


Fig. 6. Antibiotic resistance potential for the different classes of antibiotics which have been used by the study population. The antibiotic resistance potential is shown as box plots. No significant difference in antibiotic resistance potential was observed.

observed increase of virulence capacity in IBD patients (see Supplementary Table 2).

The strong correlation of the abundance of virulence genes enriched in the UC microbiome with *E. coli* abundance suggests that this species is a central driver of a functional shift toward virulence in UC patients of the present cohort, underscoring its potential role as an IBD partial cause.

Survival strategies of bacteria: relating virulence capacity to pathology. The virulence genes enriched in the UC microbiome included TonB, sitA, IroN, fepA, and Fur. They are all genes implicated in metal acquisition of Enterobacteria, where the last is the key regulator of iron transport systems (43). The enriched iron uptake/transport systems contribute to the virulence of their host bacteria by counteracting the so-called nutritional immunity which deprives bacteria of iron. The homeostasis of intracellular iron concentrations is maintained by Fur (the ferric uptake regulator), since high intracellular accumulation also is toxic for the bacteria (42). In its role as a sensor for iron availability, Fur is also able to regulate directly or indirectly (through iron concentration) the expression of several other virulence factors in pathogenic bacteria.

Adaptation of microbes in IBD to a lower environmental pH, as a feature of inflammation, is reflected by higher abundance of the genes encoding the GABA shunt module in the IBD microbiomes studied here. The GABA shunt supplies bacteria with nitrogen and promotes their survival in acidic conditions and other environmental stresses (11). Feehily and Karatzas therefore suggested that this module could facilitate microbial pathogenicity.

Exploration of the IBD resistome in correlation with antibiotic consumption. Several studies have displayed a significant relation of antibiotic intake in childhood with the later development of IBD, particularly Crohn's disease (18, 26, 59). Analogously, antibiotic consumption often exacerbates dysbiosis, seen as a reduced species richness and the bloom of pathogens (e.g., *Clostridium difficile*) in the gut microbiome (57). In addition, administration of antibiotics not only leads to shifts in taxonomic composition, but also induces the lateral transfer and spread of antibiotic resistance genes in a microbial ecosystem (48).

Notably, the quantification of antibiotic resistance genes in the microbiomes of the present cohort revealed no significant difference in the relative abundance of these genes between IBD patients and their healthy siblings, and similar retrospective assessments of antibiotic intake in both groups. In other words, while it seems unlikely the IBD discordance within the sibling pairs can be explained by differences between siblings in antibiotic exposure, the possibility remains that the families themselves differ from other families in both antibiotic exposure and IBD prevalence, highlighting the intersibling differences in microbiome composition as rather reflecting IBD risk keeping antibiotic exposure constant. It is further conceivable that resistant bacteria acquired from intrahost adaptation to antibiotic treatment or from healthcare setting exposure may propagate between family members living in close contact. In contrast, a significant shift in both IBD conditions toward a higher abundance of species potentially carrying antibiotic resistance genes was revealed. This measure could not be reliably predicted from individual antibiotics use history within the present dataset, however.

Besides antibiotic treatment, intake of IBD medications has recently been emphasized as a covariate of microbiome variation in a large-scale study (10). Due to cohort size, we had not the power to detect such effects, although they need to be assumed (Table 3).

Clinical implementation of study results: Are siblings suitable donors in FMT? Whether or not siblings or near relatives are suitable choices for donors in FMT has been debated because they share genetic and environmental risk factors with the patients (6). A recent study on the IBD microbiome in pediatric patients and their first-grade family members suggested a disease-related microbial and metabolomic state in some relatives, suggesting this risk may be relevant (20). Moreover, Li et al. (31) recently outlined the importance of the compatibility of the donor and recipient microbiomes to receive persistent responses from FMT treatment. They concluded that each recipient will need an idiosyncratic donor to attain successful colonization by allogenic strains. Donor strains will colonize more successfully when the species is already present in the recipient microbiome. In the present study, gut microbial diversity (Shannon diversity index) and particularly species richness was significantly diminished in IBD patients when comparing each patient with their sibling. This observation of overall dysbiosis in pediatric IBD suggests that healthy siblings, who have more analogy than total strangers, but still provide a healthier microbiome composition, may indeed be suitable as donors from an efficacy point of view.

Future outlook. This study must be considered a pilot effort, by design and given the limited number of subjects included. A future study with more pediatric IBD patients and healthy siblings would yield greater statistical power and will hereby provide both further validation (especially intrasibling disparity) and the potential discovery of novel associations, which was very limited at the present time for gut microbial taxonomic correlates of IBD, as our research was mainly based on previous 16S rRNA findings (Tables 1 and 2). Likewise, it would be very interesting to further employ direct sibling pair comparisons, e.g., regarding the analyses of virulence and resistance genes. However, in the present study the efficacy of direct sibling pair comparison was limited for reasons of statistical power, and most analysis therefore relied on aggregated analysis (comparing the sets of all control samples to the samples in the UC/CD-cohorts), obscuring intrasibling disparity. The additional analysis of tissue samples should be considered in the future, as recent research has demonstrated a discrepancy between luminal and mucosal samples in microbial composition (45) and as deep sequencing of bioptic samples is becoming more feasible. Today, a crucial limitation inherent to all functional metagenomic studies, excluding those using direct selection, is that only known gene families can be quantified for generation of functional profiles. Consequently, it is possible that results are reflecting research biases, e.g., virulence factors to be better known in some taxa than others. With databases of curated resistance determinants growing in scope, and with technologies for large-scale functional screen metagenomics gradually maturing, these difficulties should decrease in coming years. We anticipate further studies building on top of what is reported here.

Conclusions. Our observations of dysbiosis, higher abundance of virulence factors, and a shift toward gut bacterial taxa with known resistance gene carrying strains in the IBD popu-

lation provide evidence for siblings as appropriate potential stool donors for FMT treatment of afflicted children. Pediatric IBD patients differ from their healthy siblings in several regards that can be linked to the disease. Employing such siblings as donors would further facilitate the possibility of transplantation done at home. Furthermore, the ethical, aesthetic, and clinical barriers against stool transplants likely are easier to overcome with respect to a close relative donor than in the case of an outside/adult stool donor. The same holds regarding considerations of the potential transmission of infectious diseases and the adaptations of the microflora to dietary habits, where siblings dwelling in the same home already should be similar.

Finally, our observations suggest utility of taking metagenomic measurements of microbial dysbiosis in both donor and recipient pre- and post-procedure to correlate FMT outcome with microbiome characteristics.

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DISCLOSURES

No conflicts of interest, financial or otherwise, are declared by the author(s).

AUTHOR CONTRIBUTIONS

R.L.K., K.F., P.B., and S.G. study concept and design; U.K. and C.U.M. study design; R.L.K. collection of data; R.L.K. and K.F. analysis and interpretation of data; J.R.K. and S.S. analysis of data; U.K. and S.G. acquisition of data; R.L.K. and K.F. drafting of the manuscript; R.L.K. and K.F. preparation of figures; K.F., J.R.K., and S.S. performed experiments; K.F., J.R.K., U.K., S.S., C.U.M., P.B., and S.G. critical revision of the manuscript for important intellectual content. R.L.K., K.F., J.R.K., C.U.M., U.K., S.S., P.B., and S.G. approved the final version of the manuscript.

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