

1 Hazard Function Deployment: a QFD based tool for the assessment of working 2 tasks – A practical study in the construction industry

3 Despite the efforts made, the number of accidents has not significantly decreased in the
4 construction industry. The main reasons can be found in the peculiarities of working activities
5 in this sector, where hazard analysis and safety management result in being more difficult than
6 in other industries. To deal with these problems, a comprehensive approach for hazard
7 analysis is needed, focusing on the activities in which a working task is articulated since they
8 are characterized by different types of hazards and thus risk levels. The study proposes a
9 methodology that integrates the Quality Function Deployment (QFD) and Analytic Network
10 Process (ANP) methods to correlate working activities, hazardous events and possible
11 consequences. This provides a more effective decision making, while reducing the ambiguity
12 of the qualitative assessment criteria. The results achieved can augment the knowledge on the
13 usability of QFD in safety research, providing a basis for its application for further studies.

14

15 Keywords: occupational health and safety; Quality Function Deployment; Analytic Network
16 Process; occupational risk assessment; hazards prioritization; safety management; construction
17 industry.

18

19 *List of abbreviations*

HoQ = House of Quality	Rs = Risks' types
ECs = Engineering Characteristics	P = Probability
CRs = Customer Requirements	S = Severity
W_i = i-th matrix/eigenvector	As = Activities
RI = Random Index	Hs = Hazards' types
CI = Consistency Index	Cs = Consequences
HFD = Hazard Function Deployment	QFD = Quality Function Deployment
R_{HFD} = risks calculated using the HFD approach	ANP = Analytic Network Process
R_T = risks calculated using the traditional approach	PHA = Preliminary Hazard Analysis
JSA = Job Safety Analysis	ORA = Occupational Risk Assessment

20

21 **1. Introduction**

22 In recent years, standards and regulations concerning occupational safety have become more and
23 more rigorous. Despite such an effort, the number of accidents and victims is still significant and

24 the construction sector is certainly one of the most affected by this situation [1-6]. For example, in
25 the European Union (EU), the statistics and reports related to construction accidents show that,
26 although a reduction of the overall number of accidents was registered in recent years, the average
27 number of fatalities is still significant at about 1.000 cases per year and over 800.000 workers are
28 injured [7].

29 The main reasons for this situation are due to the specific characteristics of the sector [8-10].
30 As a matter of fact, the large variety of activities usually carried out by companies, the use of
31 obsolete machinery and equipment, the continuous change of workplaces, and the simultaneous use
32 of the working site by different companies, are all factors that make the management of safety
33 issues a difficult task to deal with [11-15]. To achieve effective results, safety managers should
34 adopt a proactive hazard identification and elimination approach [16]. In addition, Underwood and
35 Waterson [17] underlined the need of a holistic approach for risk assessment in order to better
36 understand and evaluate the interactions among the operator, the technical systems, and the working
37 environment. In such a context, Mitropoulos et al. [18] emphasized the role of the analysis of the
38 working task characteristics in construction accidents, as the normative approaches do not consider
39 the characteristics of the working processes properly. Working tasks should be considered with
40 more attention, since ensuring the safety of the various tasks performed in a construction site can be
41 the precondition for ensuring a higher level of safety at both project and company levels [10, 19].
42 Parise et al. [20] argued that an extensive effort is required to develop a hazard assessment approach
43 based on the analysis of the specific tasks executed in a construction site. Accordingly, Zhou et al.
44 [21] remarked the lack of construction safety research on the specific working tasks. Furthermore,
45 the relevance of accidents related to the use of machinery and work equipment in a construction site
46 was pointed out in numerous studies (e.g. in [22-27]). Accordingly, Jaafar et al. [28] remarked that
47 the leading causes of this situation are mainly due to the operators' unsafe behavior, as well as to
48 the lack of the proper management of the work equipment. Hence, when performing risk assessment
49 of a working task such as the use of a work equipment, all of the specific activities related to its use

50 and management (e.g. setting, operating, maintaining, cleaning, etc.) should be considered, since
51 they can present different levels of risk [29]. To address these concerns, a more user-centred
52 approach is needed to investigate the different phases that characterize the use of a machinery or an
53 equipment in practice [30].

54 On these considerations, it is clear that safety managers /professionals need to implement a
55 risk assessment approach in order to provide companies with information concerning potential
56 hazards as well as prevention and improvement measures (i.e. a safety plan) based on the specific
57 working activities carried out. To deal with such an issue, several studies suggested the use of the
58 Quality Function Deployment (QFD) method [31] as a means of performing hazard analysis and
59 risk assessment of the working tasks in a holistic manner [32, 33]. In particular, both Liu and Tsai
60 [34] and Bas [35] focused on the use of QFD to perform risk assessment concerning the working
61 tasks in the construction industry. These two studies propose effective procedures for safety
62 management at a general level. However, at a practical level, a more specific and hands-on
63 approach should be adopted, in order to make its use easier also in the case of Small and Medium-
64 sized Enterprises (SMEs), which often rely on external professional services to carry out the
65 activities related to the protection and prevention of occupational risks, due to the lack of internal
66 resources [11, 36-39]. To address these issues, the paper presents a procedure for the hazard
67 analysis of the working activities related to the use of a work equipment, which takes into account
68 all of the foreseeable phases of its usage. In other words, this study is an attempt to answer the
69 following research question: How to correlate the activities concerning a working task (e.g. the use
70 of a work equipment), the related hazardous situations and events, and their corresponding
71 prevention and improvement measures in an effective and thorough manner?

72 With this goal in mind, we propose a risk assessment methodology based on the use of
73 QFD, augmented by the integration of the Analytic Network Process (ANP) approach [40]. Its
74 validity was verified by means of a practical case study concerning the use of an in-transit concrete
75 mixer, which was carried out in collaboration with two companies operating in the construction

76 industry. In such a context, the working task is the use and management of the machinery which
77 consist of a set of working activities (e.g. preparation of the concrete mixer, concrete discharge,
78 maintenance and cleaning, etc.).

79 The remainder of the paper is articulated as follows. In Section 2, the background and
80 research motivations are introduced. Section 3 presents our research approach, while its application
81 to the case study is described in section 4. Then, Section 5 discusses the results achieved and
82 Section 6 concludes the article addressing further work.

83 **2. Background and motivations**

84 The need to focus on the relationships between the operator, the working system and the working
85 environment when performing risk assessment activities has been largely discussed in the literature,
86 as notably remarked by Karwowski [41]. Dealing with these issues requires a holistic approach [42-
87 45], which should take into account the feedback from the system's (i.e. the equipment) users [46,
88 47]. In such a context, several studies proposed the use QFD as a means of carrying out hazard
89 analysis and risk assessment activities in a holistic manner, through the analysis of the inter-
90 relationships and interactions among hazards, causes, effects and their consequences [30, 33-35,
91 48].

92 The core of the method is certainly the so-called "House of Quality" (HoQ), whose
93 innermost part is represented by the relationship matrix, which links customer needs and
94 expectations (i.e. the so-called Customer Requirements (CRs), also called the "whats") to
95 appropriate technical attributes (i.e. the Engineering Characteristics (ECs), also called the "hows"),
96 providing their weight and thus their prioritization (Figure 1).

97
98 Figure 1. Scheme of the traditional House of Quality (HoQ) (adapted from [31]).

99 [Figure 1 near here]

100

101 In particular, focusing the attention on occupational safety in the construction industry, two main
102 approaches were presented. Firstly, Liu and Tsai [34] introduced a two-phase approach (by means
103 the development of two Houses of Quality (HoQs)) that provides a correlation among construction
104 items (i.e. working tasks), hazard types and hazard causes (Figure 2), following a top-down
105 approach for hazard analysis [49].

106 [Figure 2 near here]

107 Figure 2. Scheme of the approach proposed by Liu and Tsai [34].

108

109 To augment the effectiveness of the QFD, both the Analytic Network Process (ANP) and the
110 Fuzzy-Failure Modes and Effect Analysis (FMEA) approaches were implemented. More in detail,
111 the ANP approach was used to address the inner-relationships and inter-relationships among the
112 HoQ's components. In addition, the Fuzzy Logic approach was applied to allow a more accurate
113 analysis. Hence, the study included the use of a fuzzy-FMEA method to complete the risk
114 assessment activities (i.e. the estimation of the risk level of each hazard cause to determine the
115 relative preventive and protective measures).

116 A more comprehensive approach based on the QFD method is the one presented by Bas
117 [35]. In this study, a three-phase approach is represented (Figure 3), where three HoQs were used to
118 consider the relationships between tasks and hazards, hazards and events, and events compared with
119 preventive and protective measures.

120 [Figure 3 near here]

121 Figure 3. Scheme of the approach proposed by Bas [35].

122

123 Compared with the former study, this framework presents a more complete risk assessment
124 approach, since:

- 125 • the hazard analysis follows a bottom-up approach [49];
- 126 • it enables the analysis of the relationships between the hazards and the possible
127 preventive/protective measures;
- 128 • the final priority weight of the events (in the third phase) considers the probability of
129 occurrence, the expected economic cost of each event, and the expected consequences of the
130 events.

131 Nevertheless, some drawbacks can be underlined: the validation of the procedure by means
132 of an empirical application was not performed. Second, the availability of statistical data on the
133 occurrence of accidents was used to complete the third phase of the procedure, while the correlation
134 relationships were not considered, limiting the benefits of the HoQ in assessing mutual relationships
135 among its parameters. In addition, both the above-mentioned approaches are aimed at supporting
136 engineers at a project level and thus they take into account macro-activities, while the specific
137 activities that characterize a working task are not addressed sufficiently. Moreover, focusing on the
138 operator and the activities carried out when performing a specific task, the use of a structured risk
139 management approach can allow the achievement of safer solutions [50]. Merging these
140 considerations, we can observe that, when carrying out occupational risk assessment (ORA)
141 activities, four main issues need to be addressed:

- 142 1. a bottom-up approach should be preferred to provide engineers with a thorough procedure
143 for hazard analysis and prioritization;
- 144 2. in order to meet the practical needs of companies that operate in a construction site, the
145 specific activities in which a working task is articulated need to be analyzed;
- 146 3. involving operators in the risk assessment process allows engineers to better define the
147 specific tasks, the identification of hazards and the determination of risks [51];

148 4. the evaluation of the inner relationships among the different parameters analyzed (e.g.
149 working activities, hazardous events and consequences) is significant in order to make their
150 assessment more consistent.

151 In the literature, numerous ORA approaches can be found: as remarked by Pinto et al. [52],
152 in the construction industry one of the most commonly used ORA methods is the Preliminary
153 Hazard Analysis (PHA). Accordingly, with the goal of accident prevention through planning, more
154 specific tools were proposed to properly address the above mentioned issues. In particular, the
155 approaches based on the Job Safety Analysis (JSA) (or Task Hazard Analysis (THA)) [53] stress on
156 the importance of identifying hazards and the potential accidents starting from the analysis of the
157 specific activities in which each job can be split, while the assessment criteria are similar to the ones
158 used in the traditional PHA-based methods [54]. Despite the unquestioned benefits that can be
159 achieved by the JSA approach, which allows engineers to address the first three ORA issues
160 mentioned above, some limitations can be found [55-56], especially when considering its capability
161 to deal with the mutual influences of the different factors analyzed.

162 To tackle these issues, a QFD-based methodology was developed for the risk assessment of
163 a working task concerning the use of a machinery in a construction site.

164

165 **3. Research approach**

166 The proposed safety assessment tool consists of three main phases, each based on the HoQ
167 augmented by the ANP approach to assess the inner and outer relationships [57].

168 ***3.1. The HoQ augmented by the ANP***

169 The ANP approach uses pairwise comparisons to allow the evaluation and ranking of alternatives
170 while deciding on the optimal solutions to a complex problem [40, 58-59]. Hence, the use of the
171 ANP can support engineers in reducing the limitations of the traditional QFD in differentiating the

172 relative importance of different attributes effectively [60]. In Figure 4 a scheme of such an
173 integration is reported, where the CRs (i.e. the “whats”) correspond to the HoQ’s inputs, while the
174 ECs (i.e. the “hows”) represent the outputs [61].

175 [Figure 4 near here]

176 Figure 4. Scheme of the integration of the ANP approach in the HoQ.

177

178 Accordingly, the augmented HoQ can be represented as in Figure 5, where:

- 179 • W_1 is an eigenvector representing the weight (i.e. the importance level) of each EC.
- 180 • W_2 is the correlation matrix representing the inner dependency matrix of CRs.
- 181 • W_3 is the relationship matrix, where the pairwise comparison of each CR with respect to
182 each EC is determined.
- 183 • W_4 is the correlation matrix among representing the inner dependency matrix of ECs.
- 184 • W_5 is an eigenvector representing the weight of each EC.

185

186 [Figure 5 near here]

187 Figure 5. Scheme of the HoQ augmented by the ANP.

188

189 In practice, the integration of the ANP within the HoQ is carried out by means of the
190 following procedure:

- 191 1. Definition of the list of CRs and ECs.

- 192 2. Definition of the eigenvector W_1 : pairwise comparisons of CRs with respect to each CR are
193 carried out taking into account that there is no dependence among the CRs. The output (W_1)
194 is represented by the importance degrees of each CR.
- 195 3. Definition of the correlation matrix W_2 : pairwise comparisons of CRs with respect to each
196 CR are performed. The output (W_2) is represented by the importance degrees of each CR
197 (inner dependencies).
- 198 4. Definition of the eigenvector W_3 : pairwise comparisons of ECs with respect to each CR are
199 carried out taking into account that there is no dependence among the ECs. The output is
200 represented by the relationship matrix W_3 that provides the importance degrees of each EC.
- 201 5. Definition of the correlation matrix W_4 : pairwise comparisons of ECs with respect to each
202 EC are performed. The output (W_4) is represented by the importance degrees of each EC
203 (inner dependencies).
- 204 6. Definition of the inter-dependent priorities of CRs: the interdependent weight of CRs is
205 calculated by means of the following equation:

206
$$W_{CRs} = (W_2 \times W_1) \tag{1}$$

- 207 7. Definition of the inter-dependent priorities of ECs: the interdependent weight of ECs is
208 calculated by means of the following equation:

209
$$W_{ECs} = (W_4 \times W_3) \tag{2}$$

- 210 8. Definition of the overall priorities (W_5): the overall priorities of the ECs are calculated by
211 multiplying the four resulting weight vectors/matrices as in the following equation:

212
$$W_5 = (W_4 \times W_3) \times (W_2 \times W_1) = W_{ECs} \times W_{CRs} \tag{3}$$

213 As per the criteria used in the pairwise comparisons, the judgment scores reported in Table 1 can be
214 used [34].

215 [Table 1 near here]

216 Accordingly, to verify the consistency of each pairwise comparison matrix for m elements, the
217 values reported in Table 2 for the computation of the Random Index (RI) [40] can be used
218 following equations:

$$219 \quad \text{Consistency Index (CI)} = \frac{\lambda_{\max} - m}{m - 1} \quad (4)$$

$$220 \quad \text{Consistency Ratio} = \frac{CI}{RI} \quad (5)$$

221 where λ_{\max} represents the largest eigenvalue of the pairwise comparison matrix, while CI is the
222 consistency index. It has to be noted that the consistency ratio of a pairwise comparison matrix has
223 to be lower than 0.1 to guarantee the consistency in human judgement [34].

224 [Table 2 near here]

225

226 **3.2. The Hazard Function Deployment (HFD) methodology**

227 Following such a scheme, the proposed methodology provides a bottom-up approach for
228 hazards identification and assessment, i.e. when focusing on a specific task, the analysis starts from
229 the identification of the working activities related to such a task, followed by examining the hazards
230 and the possible hazardous situations and how they can lead to harms [49]. The general scheme of
231 the proposed approach, called Hazard Function Deployment (HFD), is shown in Figure 6, where the
232 main phases are the followings:

233 Phase I. Hazard types' assessment: from the activities that characterize a certain working task
234 (e.g. the use of a machinery), hazard types are defined and assessed.

235 Phase II. Hazardous events' assessment: starting from the type of hazards, hazardous situations
236 and events are defined and assessed.

237 Phase III. Hazards effects' assessment: starting from the hazardous situations and events, effects
238 and consequences are defined and assessed.

239 With reference to the scheme proposed in Figure 6, in the name of each matrix and vector
240 the number of the phase was added. For example, the equation (3) for Phase I becomes:

$$241 \quad W_{5I} = (W_{4I} \times W_{3I}) \times (W_{2I} \times W_{1I}) \quad (6)$$

242 [Figure 6 near here]

243 Figure 6. Scheme of the HFD approach.

244

245 The definition of the various parameters of the three HoQs should be carried out with the
246 support of experts and experienced operators. In fact, on the one hand, the experts' consultation
247 concerning the importance of both hazardous situations/events and their possible consequences can
248 facilitate the risk assessment activities, since the ranking provided already takes into account the
249 probability factors based on the experts' know-how. In order to prevent any bias in the assessment
250 activities carried out by the group of experts, the Delphi technique can be used. Such a tool is a
251 well-known means of gathering experts' opinions through several rounds of consultation and
252 controlled feedback of results [62]. In particular, it is a suitable approach when the analysis carried
253 out is based on a subjective assessment (e.g. the definition of the weights or importance levels) [63].

254 On the other hand, also the feedback from experienced operators can help the safety
255 managers in better addressing the implementation of the HoQs, especially for what concern the
256 definition of the specific activities carried out when performing a task [47]. It has to be noted that in
257 the present study a working task is the general assignment the operator carries out (e.g. use of the
258 in-transit concrete mixer). A working task consists of several specific activities (e.g. setting the
259 machinery, discharge the concrete, cleaning). Moreover, in our model the output of the analysis of

260 the hazardous situations (i.e. the specific working situation during a working activity that exposes
261 the operator to the hazard) and hazardous events (i.e. how the hazard can cause harm) is synthesized
262 in the category “hazardous situations and events”. In order to verify the validity of this approach, it
263 was applied to an empirical case study concerning the use of a truck mixer in a construction site. On
264 these considerations, in order to define and assess the various parameters of the three HoQs, the
265 company’s operators are interviewed in order to define the activities related to the use of the work
266 equipment, including all foreseeable operations, as well as experienced accidents, near misses, and
267 operative troubles.

268 The list of the CRs and ECs for each phase, as well as their mutual assessment, can be
269 defined in collaboration with a group of experts in the field of occupational safety in the
270 construction industry.

271

272 **4. Case Study**

273 The validity of the HFD approach was tested in collaboration with a company that operates in the
274 construction industry where the use of an in-transit concrete mixer was considered. As far as
275 accidents related to this type of machinery is concerned, official statistics cannot be considered
276 exhaustive. In fact, on the one hand data provided by the Italian Workers’ Compensation Authority
277 (INAIL) provide a detailed information concerning the fatal accidents occurred in recent years
278 while operating a truck mixer: in Table 3 the number and the type of causalities of fatal accidents
279 that occurred in the period 2008-2015 are reported [64].

280 [Table 3 near here]

281 On the other hand, information concerning non-fatal accidents, especially when minor
282 injuries incurred, is often treated with a low amount of detail, while data concerning these injuries
283 are provided at a macro level (i.e. accidents involving any heavy machinery in construction sites).

284 The study was carried out in collaboration with two small sized companies operating in such a
285 sector. More in detail, 15 operators were interviewed to gather practical information concerning the
286 working activities that accomplish the task “use of the in-transit mixer” and the safety problems
287 they have experienced while performing them. On this, a group of experts was defined, consisting
288 of 2 company managers (1 per each company) who have experience both as safety managers and
289 supervisors, and 3 experts belonging to the Italian Workers’ Compensation Authority, who have
290 experience in machinery safety and ORA in the construction industry. The group was asked to
291 define the list of activities, the related hazard types, the hazardous events and situations and events,
292 as well as the potential consequences/possible harms in order to fill the three HoQs (Table 4).

293 [Table 4 near here]

294 It has to be noted that in Table 4 the various elements are summarized due to space limits,
295 since a more formal definition of each of them would have required longer sentences (e.g. instead of
296 “Direct/indirect contact with electrical parts” a more appropriate sentence to indicate this hazardous
297 situation should be “The operator is close to a conductive metallic body of the machinery or to an
298 unprotected/worn out cable”). Then, following the procedure exposed in the previous section, the
299 ANP-QFD approach was applied. To reduce the potential bias and to respect the privacy concerns
300 of the companies, the Delphi technique was used in the assessment activities carried out by the
301 group of experts. More precisely, once collected the information from the operators, two rounds of
302 consultations were organized by means of questionnaires. While the first round concerned the
303 definition of the elements of each phase of the procedure (i.e. the list of activities, hazard types,
304 hazardous events, etc.), the second round concerned the pairwise comparisons. In detail, data used
305 as input in the meetings were provided by means of structured (in the case of the first round) and
306 semi-structured (in the case of the pairwise comparisons) questionnaires. It has to be noted that,
307 although the participants knew each other, individual responses to questions were asked separately
308 and kept anonymous in the further discussion to determine the final results of each round.

309 **4.1 Phase I**

310 In collaboration with the group of experts, the pairwise comparisons among activities and hazard
311 types were carried out based on the criteria exposed in section 3:

- 312 • Eigenvector W_{1I} : the group of experts was asked to respond to a questionnaire, where each
313 question inquired the relative importance between pairs of activities concerning the goal
314 (determine important hazard types). calculated as shown in Table 5.
- 315 • Matrix W_{3I} : the comparison among hazard types was carried out considering the impact
316 level of activities on each of the hazard types. The responses were provided using the
317 criteria exposed in Table 1. It is worth nothing that when comparing an element of the
318 matrix to itself (e.g. H1 compared to H1) a score of 1 is given (hence the values of the
319 diagonal are equal to 1); while the values below the diagonal are the inverse of the
320 corresponding values above the diagonal. This means that if a_{ij} represents the relative
321 importance of the i -th element compared to the j -th element, then the relative importance of
322 the j -th element compared to the i -th element is represented by $a_{ji} = 1/a_{ij}$. To better clarify
323 the calculation mechanism, all the matrices used to derive the values for the matrix W_{3I} are
324 reported in Annex I.
- 325 • Matrix W_{2I} : the comparison among the activities was performed using as criterion the
326 occurrence of accidents (without considering their effects). In other words, the judgement
327 score of 1 was given when the occurrence of accidents during an activity A was considered
328 equal to the one of an activity B. Hence, following the same computational process reported
329 in Annex I, the type of questions used in this case was: “With respect to A1 (arrival,
330 departure, transit), what is the relative importance of: A1 compared to A2; A1 compared to
331 A3; A1 compared to A4; etc.?” (Table 6).
- 332 • Matrix W_{4I} : the comparison among the hazards was performed using as criterion the

333 relevance of hazard types [34]. Following the same computational process reported in
334 Annex I, the type of questions used in this case was: “With respect to H1 (mobility), what is
335 the relative importance of: H11 compared to H2; H1 compared to H3; etc.?”.
336 [Tables 5-6 near here]

337 In detail, the final results obtained in the first phase are shown in Table 7, where:

- 338 • $W_{4I} \times W_{3I}$ provides the interdependent weight of hazard types when compared with
339 reference to working activities;
- 340 • $W_{2I} \times W_{1I}$ represents the interdependent weight of working activities when compared with
341 reference to hazard types; and
- 342 • W_{5I} provides the importance weights of hazard types, i.e. their overall priorities.

343 [Table 7 near here]

344 **4.2 Phase II**

345 Following the same approach as in Phase I, at this stage the overall priorities of the possible
346 hazardous events were calculated, as shown in Table 8, where:

- 347 • $W_{4II} \times W_{3II}$ provides the interdependent weight of hazardous events when compared with
348 reference to hazardous events;
- 349 • $W_{2II} \times W_{1II} = W_{2I} \times W_{1I}$ represents the interdependent weight of hazard types derived from
350 Phase I; and
- 351 • W_{5II} provides the weights of hazardous events, i.e. their overall priorities.

352 [Table 8 near here]

353 The numerical values of each matrix of Phase II are reported in Annex II.

354 **4.3 Phase III**

355 Similarly, in the last phase of the procedure the overall priorities of the possible consequences were
356 calculated, as shown in Table 9 and Table 10, where:

- 357 • $W_{2II} \times W_{1II}$ represents the interdependent weight of hazardous events derived from Phase II
358 (Table 9);
- 359 • $W_{4III} \times W_{3III}$ provides the interdependent weight of possible consequences when compared
360 with reference to hazardous events (Table 10);
- 361 • W_{5III} provides the weights of the possible consequences (Table 9).

362 [Table 9-10 near here]

363 The numerical values of each matrix of Phase III are reported in Annex II.

364 **5. Discussion of results**

365 **5.1. The case study outputs**

366 The results obtained from the case study can be summarized in the following figures, where the
367 weights (i.e. the overall priorities) of the hazard types (Figure 7), the hazardous events (Figure 8)
368 and the possible consequences (Figure 9) are shown (note that the values of the “y” axes are
369 dimensionless, as they are normalized values).

370 [Figure 7 near here]

371 Figure 7. Weights of hazard types.

372

373 [Figure 8 near here]

374 Figure 8. Weights of hazardous events.

375

376 [Figure 9 near here]

377 Figure 9. Weights of possible consequences.

378

379 According to these data, the most relevant consequence while operating the truck mixer is
380 represented by C2, i.e. scrapes, lacerations, and bruises. Such a result augments the information
381 provided by accident statistics, since this type of injuries are hardly reported as they normally
382 require a few days to recover from. In fact, according to law requirements, if an accident causes an
383 injury recoverable within three days (apart from the day when the accident occurred), it should not
384 be reported. Hence, while accidents that caused serious injuries are reported correctly, accidents
385 with minor consequences (e.g. those ones requiring few days of recovery) are reported with fewer
386 details. Therefore, official statistics on accidents at work provide incomplete information on what
387 happens in reality regarding the assessment of minor injuries. Moreover, it is consistent with results
388 obtained in the second phase of the procedure, where the most important hazardous event concerns
389 slipping when getting in/out from the truck's cabin (E1), followed by impacts while discharging the
390 drum (E9). In other words, the results show (see Figures 8 and 9) the relevance of accidents related
391 to slipping and impacts, which mainly lead to scrapes, contusions, lacerations, and bruises injuries,
392 consistently with the findings of Lipscomb et al. [65]. This is also in line with findings by Shibuya
393 et al. [66], who pointed out that slips and trips should be considered a contributing factor for
394 occupational injuries among truck drivers. Accordingly, these results also confirm implications
395 provided by Aminbakhsh et al. [67], who reported that "trips and falls" together with risks related to
396 the use of "machinery and equipment" are among the most significant risks in the construction
397 industry. This can help engineers in carrying out risk assessment more correctly and easily. In other
398 words, when we consider the traditional approach followed to perform the hazard analysis, for
399 instance by means of the Preliminary Hazard Analysis (PHA) method [52, 68], the likelihood of the

400 events is usually classified into rather broad categories (e.g. using a scale ranging from 1 (very
401 unlikely) to 5 (very likely)). Hence, in our case study, we should assign a score of 5 to C2 and 1 to
402 C15 (death), which means that the ratio between them is 1 to 5, while following the proposed
403 procedure such a relationship is extended to 1 to 20 (see Table 11). This wider range represents a
404 value much closer to the reality.

405 To better evaluate these differences, the group of experts was asked to perform the
406 occupational risk assessment following the rules of the PHA method [69] and the hints provided by
407 the report ISO/TR 14121-2 [49]. More in detail, each risk type (R_s) corresponds to the occurrence
408 of the related hazardous event (i.e. R_1, R_2, R_3 etc. are the risks related to the occurrence of $E_1, E_3,$
409 E_3 etc. that lead to the consequence $C_1, C_2, C_3,$ etc. respectively). As for the traditional approach,
410 the risk level (R_T) was estimated by means of the equation (7):

$$411 \quad R_T = P \times S \quad (7)$$

412 where P is the probability of occurrence of a hazardous event estimated through a 1 to 5
413 scale (1 = very unlikely – 5 = very likely) and S indicates the severity of its consequences
414 (estimated by means of a 1 to 5 scale, where 1 = minor effects and 5 = catastrophic effects (e.g.
415 death)). The estimation of the risk level in accordance with the HFD methodology (R_{HFD}) was
416 performed using the output of the proposed approach: the weight of the possible consequences (C_s)
417 determined at the end of Phase III was multiplied per the corresponding values of Severity (S)
418 obtained with the traditional approach (Table 11).

419 [Table 11 near here]

420 More precisely, the comparison between the results of the two risk assessment activities is
421 shown in Figure 10, where the solid line connects the values (i.e. the importance levels) related to
422 the risks computed following the traditional approach (R_T), while the broken line represents the
423 results achieved by means of the HFD approach (R_{HFD}). These results bring to light that significant

424 differences occur depending on the approach used to calculate risks. First, it has to be pointed out
425 that the traditional approach provides slight differences among the various risks: i.e. risks vary in a
426 small range of values of about 5.5 %. Conversely, the HFD approach leads to a higher level of
427 differentiation of the risks' values: i.e. circa 11.5 %. Secondly, the HFD approach allows engineers
428 to clearly distinguish the difference of one risk from another since risks with a similar weight were
429 not found, while some strong resemblances can be observed among the results achieved through the
430 traditional approach. In addition, also when hazardous situations that might lead to diseases were
431 evaluated, the HFD approach provided a clearer level of resolution, as in the case of R10 (stress and
432 fatigue).

433 [Figure 11 near here]

434 Figure 11. Risks' values determined through the traditional (R_T - solid line) and the HFD (R_{HFD} -
435 broken line) approaches.

436

437 The results achieved were considered very positive from the group of experts, especially for
438 what concerns the assessment of minor injuries, as their impact is often underestimated when
439 performing traditional risk assessment. Hence, these issues need to be addressed better at the
440 company level by means of a more specific training of the operators.

441 ***5.2. The methodology***

442 From a safety management point of view, the proposed approach does not start from a standardized
443 set of health and safety risks, but it relies on a process-oriented analysis considering all the activities
444 related to a specific task. Hence, it provides a contribution to the research hints and clues stressed
445 by Zhou et al. [21], who underlined the lack of construction safety research at the working task
446 level. This is also in line with Gangolells et al. [16], who remarked the lack of construction safety
447 research on the specific working tasks. Commonly with other research works in different fields (e.g.

448 in [70-72]), this study found that the coordinated use of QFD and ANP can offer a more precise
449 analysis due to the integration of interdependent relationships among the attributes, providing
450 consistent information as to improve the safety conditions at the company level. Hence, such an
451 approach allowed us to effectively correlate working activities related to a specific task (such as the
452 use of a working equipment), hazardous events and possible consequences.

453 These practical implications for companies are in line with research clues provided by Seker
454 et al. [45] and Samantra et al. [73]), and can be considered beneficial when considering that
455 traditional risk assessment activities provide a relatively limited scoring “resolution” (i.e. when
456 different risks get the same score as well as when the scores vary in a limited range of values),
457 especially when data concerning the likelihood of occurrence are poor. Such an aspect is quite
458 relevant in SMEs, as observed by Bohm and Harris [74], who carried out a study on risk perception
459 and risk assessment of dumper drivers operating in construction sites. On the contrary, the HFD
460 approach allows a more accurate assessment of the risks, ensuring a clearer ranking of them that can
461 lead to a more efficacious decision making. This result, answering our research question, also
462 accomplishes research suggestions provided by Kines et al. [75], who stressed on the importance of
463 providing a more thorough risk analysis approach to bring to light the relevance of minor injuries
464 and uncomfortable working situation. In other words, HFD provides a more precise risk analysis
465 and ranking than the traditional risk assessment approaches, even when the availability of official
466 statistics concerning workers’ accidents is limited.

467 Finally, the HFD approach was compared with the above mentioned studies from the
468 literature concerning the application of the QFD method for risk assessment in the construction
469 industry. As summarized in Table 12, the proposed approach can provide more practical insights for
470 risk assessment of working tasks (e.g. the use of machinery or work equipment). This accomplishes
471 the need of providing the improvement of safety conditions not relying on the compliance with
472 normative requirements only, but also considering the practical context of working activities [76].

473

474 [Table 12 near here]

475 Hence, it has to be pointed out that such an approach can accomplish the need of developing
476 new risk analysis methods to identify and assess risks in an acceptable way so that the information
477 is reliable for decision making [3, 32, 77], augmenting the knowledge on the use of QFD in the
478 safety management context.

479 ***5.3. Practical implications***

480 From the practical point of view, the HFD methodology extends the benefits of the traditional JSA
481 approach. In fact, on the one hand, it relies on a process of identifying activity-related factors that
482 may result in potential hazards, as for example the use of a work equipment, with the aim of
483 proposing rules to eliminate or control these hazards. On the other hand, the HFD provides a more
484 structured framework, which takes into account the mutual influences that might arise among the
485 different hazards and the related potential effects, augmenting the effectiveness of risk assessment
486 activities, since carrying out risk assessment in a sequential manner (i.e. cause-effect analysis) is
487 insufficient to consider the complexity of these interactions. Moreover, although the proposed
488 methodology consists in the definition of a series of matrices that make the HFD's process more
489 complex than other diffused ORA approaches (e.g. the JSA), it is worth nothing that the HFD
490 assessment criteria rely on simple pairwise comparisons, enabling a clearer understanding and
491 differentiation of the results.

492 Another contribution of the paper is the presentation of a concrete case of occupational risk
493 assessment related to the use of a diffused work equipment in the construction sector, including the
494 exemplification of each step of the HFD methodology. This contribution is more relevant to practice
495 in this industry, but it is also useful to advance the scientific knowledge regarding ontologies in the
496 adoption of task-based ORA models.

497 **5.4. Limitations**

498 However, despite these positive aspects, the present study presents some limitations. Firstly, the
499 computational efforts required to apply the ANP approach might be problematic and time-
500 consuming for unexperienced practitioners. The development of a procedure based on the
501 implementation of an ease-to-use software can certainly reduce this drawback, making the usability
502 of the HFD methodology larger and more suitable for an unexperienced audience. Similarly, the
503 role of costs related to safety measures should also be taken into account to provide companies with
504 a more complete approach [78-80]. Then, in the experts group, a difficulty emerged when the
505 effects of noise and vibrations were considered, hence these concerns not taken into account in the
506 final results. To address these limitations, a more detailed differentiation of possible consequences
507 might help engineers in providing better results. The implementation of fuzzy logic could also
508 facilitate the assessment of this type of hazardous effects, further reducing possible errors or
509 inconsistencies in the evaluation [34, 81]. Finally, it has to be underlined that the results were
510 obtained from a single case study. Hence, while the use of a single case-study as a research tool for
511 exploratory investigation and to generate new understandings is recognized by several authors [82-
512 83], caution is needed when generalizing the findings [84].

513

514 **6. Conclusions**

515 This study proposes a novel tool, based on the integrated use of QFD and ANP, which is aimed at
516 supporting safety managers in performing risk assessment of working tasks in the construction
517 sector. Practical results showed that the HFD approach can be used for the risk assessment
518 effectively, allowing engineers to obtain the priority of hazards and possible consequences, and thus
519 of the interventions aimed at increasing the safety level of the working activities considering the
520 mutual relationships among these factors, while reducing the ambiguity of qualitative assessment
521 criteria used in traditional risk assessment activities. Hence, this study can provide a basis for the

522 development of occupational risk assessment methodologies and for practitioners in this type of
523 industry. This article is the result of an initial stage of development of the HFD approach: to
524 augment its validity reducing the above-mentioned limitations further work is needed. Currently,
525 both the development of a procedure based on the use of an ease-to-use software as well as its
526 application to different industries, e.g. the agricultural sector that presents similar peculiarities from
527 the occupational safety point of view [85-87], are being analyzed.

528

529

530 **References**

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725

Table 1. The ANP judgement scores when considering two characteristics A and B.

Judgement	Rule	Score
Equal	If A and B have the same behaviour/performance in relation to the assessment criterion	1
Moderate	If the performance of A is moderately higher than the B's one.	2-3
Strong	If the performance of A is strongly higher than the B's one.	4-5
Very strong	If the performance of A is much higher than the B's one.	6-7
Extreme	If the performance of A is extremely higher than the B's one.	8-9

Table 2. Values of the Random Index (RI) depending on the number of elements [34].

Number of elements (m)	3	4	5	6	7	8	9	10	11	12	13	14	15
Value of the Random Index (RI)	0.52	0.89	1.11	1.25	1.35	1.40	1.45	1.49	1.52	1.54	1.56	1.58	1.59

Table 3. Types of causal factors that lead to fatal accidents in the period 2008-2015 (source: [59]).

CAUSAL FACTORS	2008	2009	2010	2011	2012	2013	2014	2015
Hit by falling materials when operating the machinery	3							
Unintended movement of the truck/Roll over			2		1	1	1	
Contact with the machinery parts		1					1	
Unintended starting of the machinery				1				1
Hit by ejected materials	1							
Electric shock (direct)	1		1				1	
Electric shock (indirect)	1	2					1	

Table 4. List of activities (As), hazard types (Hs), hazardous events (Es), and possible consequences (C).

ACTIVITIES (As)		HAZARD TYPES (Hs)	
A1	Arrival/Departure	H1	Mobility
A2	Preparation	H2	Mechanical
A3	Direct discharge	H3	Electrical
A4	Discharge into a concrete pump	H4	Environmental
A5	Discharge into a bucket	H5	Materials
A6	Final operations	H6	Ergonomics
		H7	Interferences

HAZARDOUS EVENTS (Es)		CONSEQUENCES/POSSIBLE HARMS (Cs)	
E1	Slipping when getting in/out of the truck	C1	Intoxication
E2	Contact with the rotating drum while operating	C2	Scrapes, Lacerations, Bruises, Abrasions
E3	Contact with heated surfaces while operating the drum	C3	Fractures
E4	Unexpected starting of the machinery while operating the drum	C4	Cutting, Severing upper limbs
E5	Unintended movement of the truck/Roll over while driving	C5	Cutting, Severing lower limbs
E6	Falls from heights when working on the drum	C6	Head injuries
E7	Direct/indirect contact with electrical parts	C7	Hearing illnesses
E8	Projection of high pressure fluids/materials while discharging the drum	C8	Eye illnesses
E9	Impacts while discharging the drum	C9	Respiratory illnesses
E10	Slipping/Low falls, Trips while discharging the drum	C10	Stress, Fatigue
E11	Cutting, severing during final operations (cleaning, maintenance, settings)	C11	Burns (including abrasive effects of sand)
E12	Inhalation or contact with dust and hazardous substances while operating the drum (caustic effect of the fresh concrete because of its alkaline nature)	C12	Back injuries
E13	Entanglement, trapping while cleaning the drum	C13	Thorax injuries
E14	Severing, cutting while cleaning the drum	C14	Loss of muscle control (electrical shock)
		C15	Death

Table 5. Correlation matrix used to calculate the eigenvector W_{11} .

Activities (As)							Average values	W_{11}
	A1	A2	A3	A4	A5	A6		
A1	1.000	7.000	5.000	5.000	5.000	3.000	3.714	0.472
A2	0.143	1.000	0.333	0.333	0.333	0.250	0.331	0.042
A3	0.200	3.000	1.000	1.000	1.000	0.333	0.765	0.097
A4	0.200	0.167	1.000	1.000	1.000	0.333	0.472	0.060
A5	0.200	3.000	1.000	1.000	1.000	0.333	0.765	0.097
A6	0.333	4.000	3.000	3.000	3.000	1.000	1.817	0.231

Table 6. Results of the pairwise comparisons to compute the relationship matrix W_{21} .

W_{21} (Correlation Matrix)						
	A1	A2	A3	A4	A5	A6
A1	0.280	0.247	0.247	0.247	0.247	0.227
A2	0.046	0.044	0.054	0.054	0.054	0.042
A3	0.102	0.100	0.109	0.109	0.109	0.100
A4	0.102	0.100	0.109	0.109	0.109	0.100
A5	0.102	0.100	0.109	0.109	0.109	0.100
A6	0.368	0.460	0.291	0.291	0.291	0.530

Table 7. Final results of Phase I, where W_{5I} provides the weights of hazards (Hs).

	$W_{4I} \times W_{3I}$						$W_{2I} \times W_{1I}$		W_{5I}	
	A1	A2	A3	A4	A5	A6	A1	A2	H1	H2
H1	0.387	0.358	0.426	0.426	0.426	0.349	0.2580	0.3849		
H2	0.174	0.162	0.189	0.189	0.189	0.158	0.0473	0.1727		
H3	0.031	0.029	0.034	0.034	0.034	0.028	0.1034	0.0310		
H4	0.074	0.066	0.082	0.082	0.082	0.063	0.1034	0.0721		
H5	0.073	0.067	0.081	0.081	0.081	0.065	0.1034	0.0723		
H6	0.085	0.081	0.092	0.092	0.092	0.079	0.3896	0.0850		
H7	0.177	0.165	0.190	0.190	0.190	0.158		0.1740		

Table 8. Final results of Phase II, where W_{5II} provides the weights of the hazardous events (Es).

$W_{4II} \times W_{3II}$								$W_{2II} \times W_{1II}$		W_{5II}	
	H1	H2	H3	H4	H5	H6	H7	H1		E1	
E1	0.195	0.196	0.197	0.195	0.195	0.194	0.196	0.382		0.194	
E2	0.088	0.089	0.090	0.089	0.089	0.088	0.089	0.175		0.088	
E3	0.085	0.086	0.086	0.085	0.085	0.085	0.085	0.031		0.085	
E4	0.036	0.036	0.036	0.036	0.036	0.036	0.036	0.068		0.036	
E5	0.036	0.036	0.037	0.036	0.036	0.036	0.036	0.070		0.036	
E6	0.055	0.054	0.055	0.054	0.054	0.054	0.055	0.086		0.054	
E7	0.014	0.014	0.014	0.014	0.014	0.014	0.014	0.181		0.014	
E8	0.064	0.064	0.064	0.063	0.063	0.063	0.064			0.063	
E9	0.134	0.134	0.135	0.134	0.134	0.133	0.134			0.133	
E10	0.115	0.116	0.117	0.115	0.115	0.115	0.116			0.115	
E11	0.088	0.088	0.089	0.088	0.088	0.088	0.089			0.088	
E12	0.063	0.063	0.064	0.063	0.063	0.063	0.063			0.063	
E13	0.015	0.015	0.015	0.015	0.015	0.015	0.015			0.015	
E14	0.011	0.011	0.011	0.011	0.011	0.011	0.011			0.011	

Table 9. Final results of Phase III (a), where W_{5III} provides the weights of the possible consequences (Cs).

$W_{2III} \times W_{1III}$	
E1	0.193
E2	0.088
E3	0.084
E4	0.036
E5	0.036
E6	0.054
E7	0.014
E8	0.064
E9	0.133
E10	0.115
E11	0.088
E12	0.062
E13	0.015
E14	0.011

W_{5III}		Ranking
C1	0.037	11
C2	0.216	1
C3	0.114	4
C4	0.116	2
C5	0.114	3
C6	0.095	5
C7	0.040	10
C8	0.057	7
C9	0.056	8
C10	0.068	6
C11	0.042	9
C12	0.033	12
C13	0.031	13
C14	0.014	14
C15	0.009	15

Table 10. Final results of Phase III (b): relationship matrix.

$W_{4III} \times W_{3III}$														
	E1	E2	E3	E4	E5	E6	E7	E8	E9	E10	E11	E12	E13	E14
C1	0.037	0.038	0.038	0.038	0.038	0.037	0.037	0.037	0.038	0.037	0.038	0.037	0.037	0.037
C2	0.214	0.227	0.224	0.222	0.220	0.215	0.210	0.210	0.221	0.213	0.219	0.211	0.216	0.216
C3	0.113	0.118	0.118	0.117	0.118	0.113	0.111	0.111	0.118	0.113	0.117	0.112	0.114	0.114
C4	0.115	0.119	0.118	0.120	0.120	0.114	0.113	0.113	0.120	0.114	0.119	0.113	0.115	0.115
C5	0.113	0.117	0.117	0.118	0.118	0.113	0.111	0.112	0.118	0.113	0.117	0.112	0.114	0.114
C6	0.094	0.098	0.098	0.097	0.096	0.094	0.092	0.093	0.097	0.094	0.096	0.093	0.095	0.095
C7	0.040	0.042	0.041	0.041	0.041	0.040	0.039	0.039	0.041	0.040	0.041	0.039	0.040	0.040
C8	0.056	0.059	0.058	0.058	0.058	0.056	0.055	0.055	0.058	0.056	0.057	0.056	0.057	0.057
C9	0.056	0.058	0.058	0.058	0.057	0.056	0.055	0.055	0.058	0.056	0.057	0.055	0.057	0.057
C10	0.068	0.071	0.070	0.070	0.069	0.068	0.067	0.067	0.070	0.068	0.069	0.067	0.069	0.069
C11	0.042	0.044	0.043	0.044	0.043	0.042	0.041	0.041	0.044	0.042	0.043	0.041	0.043	0.043
C12	0.033	0.034	0.034	0.034	0.034	0.033	0.032	0.032	0.034	0.033	0.034	0.033	0.033	0.033
C13	0.030	0.032	0.031	0.032	0.031	0.030	0.030	0.030	0.032	0.030	0.031	0.030	0.031	0.031
C14	0.014	0.015	0.015	0.015	0.015	0.014	0.014	0.014	0.015	0.014	0.015	0.014	0.015	0.015
C15	0.009	0.010	0.010	0.010	0.010	0.009	0.009	0.009	0.010	0.009	0.009	0.009	0.009	0.009

Table 11. Comparison of the risk assessment's results.

List of Consequences (Cs)	Severity (1-5 scale)	HFD approach		Traditional approach		Values of Risk (normalized)		
		Weight of Consequences (normalized)	R_{HFD} (C × S)	P (1-5 scale)	R_T ($R_T = P \times S$)	Risk code	R_T	R_{HFD}
C1	2	3.57	7.14	3	6	R1	6.67	2.91
C2	1	20.70	20.70	5	5	R2	5.56	8.45
C3	3	10.95	32.85	2	6	R3	6.67	13.41
C4	3	11.10	33.30	3	9	R4	10.00	13.60
C5	3	10.95	32.85	3	9	R5	10.00	13.41
C6	3	9.07	27.21	3	9	R6	10.00	11.10
C7	2	3.83	7.66	2	4	R7	4.44	3.13
C8	2	5.42	10.84	2	4	R8	4.44	4.43
C9	2	5.41	10.82	2	4	R9	4.44	4.42
C10	3	6.54	19.62	2	6	R10	6.67	8.01
C11	3	4.06	12.18	2	6	R11	6.67	4.97
C12	3	3.18	9.54	2	6	R12	6.67	3.90
C13	3	2.94	8.82	2	6	R13	6.67	3.60
C14	5	1.39	6.95	1	5	R14	5.56	2.84
C15	5	0.89	4.45	1	5	R15	5.56	1.82

Probability (P) = 1 (very unlikely) – 5 (very likely); Severity (S) = 1 (minor effects) - 5 (Catastrophic).

Table 12. Comparison of the results of prior studies with the present study.

Method	Approach	n.o of phases (HoQs)	input	output	Correlations assessment	Risk assessment	Practical case study	Data source
Liu and Tsai [34]	Top-down	2	Construction items	Hazard causes	ANP	Augmentation by FMEA	Yes	Company experts
Bas [35]	Bottom-up	3	Set of working tasks	General set of preventive/protective measures	No	General assessment related to working tasks	No	Construction expert / Official statistics
Present study	Bottom-up	3	Activities that accomplish a working task	Specific set of preventive/protective measures	ANP	Specific assessment related to working activities	Yes	Group of experts and operators