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## Hearing loss in children with asymptomatic congenital cytomegalovirus infection

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### Abstract

**Objectives**—To assess the prevalence, characteristics, and risk of sensorineural hearing loss (SNHL) through 18 years of age in children with congenital CMV infection identified through hospital-based newborn screening who were asymptomatic at birth compared to uninfected children.

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#### Contributor's Statement:

Tatiana M. Lanzieri conceptualized and conducted the analysis contained in this report, interpreted the data, led the writing of the initial manuscript and revised versions, and approved the final version.

Winnie Chung conceptualized the analysis contained in this report, reviewed and interpreted individual audiological data, critically revised the manuscript and approved the final version.

Marily Flores and Jerry A. Miller assisted with data management and quality control for the Longitudinal Congenital CMV Study, critically revised the manuscript and approved the final version.

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Gail Demmler-Harrison was the Principal Investigator for the Longitudinal Congenital CMV Study, provided patient follow-up, conceptualized the analysis contained in this report, interpreted the data, critically revised the manuscript and approved the final version.

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**Methods**—We included 92 case-patients and 51 controls assessed using auditory brainstem response and behavioral audiometry. We used Kaplan-Meier survival analysis to estimate prevalence of SNHL, defined as  $\geq 25$  dB hearing level (HL) at any frequency, and Cox proportional hazards regression analyses to compare SNHL risk between groups.

**Results**—At the end of follow-up, SNHL prevalence was 25% (95% CI: 17–36%) among case-patients and 8% (95% CI: 3–22%) in controls (hazard ratio (HR): 4.0; 95% CI: 1.2–14.5;  $p$ -value=0.02). Among children without SNHL by age 5 years, the risk of delayed-onset SNHL was not significantly greater for case-patients than for controls (HR: 1.6; 95% CI: 0.4–6.1;  $P=0.5$ ). Among case-patients, the risk of delayed-onset SNHL was significantly greater among those with unilateral congenital/early-onset loss than those without (hazard ratio: 6.9; 95% CI: 2.5–19.1;  $P<0.01$ ). At the end of follow-up, the prevalence of severe to profound bilateral SNHL among case-patients was 2% (95% CI: 1–9%).

**Conclusions**—Delayed-onset and progression of SNHL among children with asymptomatic congenital CMV infection continued to occur throughout adolescence. However, the risk of developing SNHL after age 5 years among case-patients was not different than in uninfected children. An estimated 2% of case-patients developed SNHL severe enough to be candidates for cochlear implantation.

### Keywords

congenital cytomegalovirus; sensorineural hearing loss

## BACKGROUND

Congenital cytomegalovirus (CMV) infection causes a spectrum of impairments, including sensorineural hearing loss (SNHL), vision loss, and developmental delays. In the United States, an estimated 20,000 (0.5%) children are born with congenital CMV infection annually.<sup>1,2</sup> Although the majority (85–90%) appear asymptomatic at birth, SNHL may be present at birth, progress in severity, or develop later.<sup>3</sup>

The burden of SNHL in children with asymptomatic congenital CMV infection at birth remains incompletely characterized, and the extent to which these children remain at risk of SNHL throughout childhood and adolescence is not well described. Previous studies have documented delayed-onset SNHL among children with asymptomatic congenital CMV infection up to age 15 years.<sup>4–7</sup> However, data from controlled studies with follow-up through adolescence are lacking. Studies that also included uninfected children had follow-up until 5–7 years and did not attempt to compare the risk of delayed-onset SNHL between children with asymptomatic congenital CMV infection and uninfected children.<sup>4–6, 8, 9</sup> In this study, we assessed prevalence, characteristics, and risk of SNHL through age 18 years in children with congenital CMV infection identified through hospital-based newborn screening who were asymptomatic at birth compared to uninfected children.

## PATIENTS AND METHODS

During 1982–1992, 32,543 newborns delivered at Women’s Hospital of Texas, Houston TX, were screened for congenital CMV infection via urine culture collected within 3 days of life,

as described previously.<sup>10, 11</sup> Of 135 (0.4%) CMV-positive newborns, 92 (68%) were enrolled in a longitudinal study as asymptomatic case-patients, e.g. they had no CMV-related signs at birth (purpura/petechiae, jaundice, hepatosplenomegaly, microcephaly, elevated liver enzymes, bilirubinemia, hemolytic anemia or thrombocytopenia). Fifty-one uninfected newborns whose parents agreed to participate in the study were enrolled as controls, 42 (82%) were among CMV-negative newborns randomly pre-selected within 6 days of birth of a CMV-positive newborn (n=298), and 9 (18%) were siblings of referred CMV-positive infants or born to women diagnosed with CMV infection during pregnancy. We analyzed data from serial audiological assessments from birth to 18 years of age. The Institutional Review Board for Human Subject Research for Baylor College of Medicine and Affiliated Hospitals approved the study protocol.

Audiologic assessments were conducted by audiologists unaware of subject CMV status and included auditory brainstem response (ABR), behavioral audiometry (0.25 to 8 kHz), and tympanometry.<sup>10</sup> ABR testing included click and frequency-specific tone-burst stimuli. We combined the latter with frequency-specific pure-tone air conduction results obtained by behavioral audiometry after subtracting 10 dB for 0.5, 1 and 8 kHz, and 0 dB for 2 and 4 kHz from the tone-burst levels.<sup>12</sup> We defined SNHL as  $\geq 25$  dB hearing level (HL) for the ABR click or at any frequency for the corrected tone-burst or pure-tone air conduction results. Because middle ear disorder can cause transient conductive hearing loss, we excluded assessments with tympanometry type B.

We analyzed SNHL by age at onset, laterality, and progression. We categorized SNHL among case-patients for each ear as congenital/early-onset when detected in the first ABR assessment at age  $\leq 12$  months and confirmed in subsequent assessments, or as delayed-onset when detected after one or more assessments with normal hearing. We classified SNHL as unilateral if it was present in one ear or bilateral if present in both ears. We defined SNHL as progressive when a change to worse hearing occurred between the first detection of SNHL and the last assessment, or stable when there was no change between these two assessments. We defined fluctuations as changes to worse or better hearing level between consecutive assessments: an absolute difference of  $\geq 20$  dB in one or more frequencies,  $\geq 10$  dB across any 2 or 3 adjacent frequencies,  $\geq 10$  dB in the average of the pure-tone thresholds at 0.5, 1, 2, and 4 kHz (four-frequency average), or a change from “hearing” to “no response” or vice-versa at 3 adjacent frequencies.<sup>13</sup>

We categorized SNHL severity for each ear using the ABR click result or the four-frequency average, as follows: slight (16 to 25 dB HL), mild (26 to 40 dB HL), moderate (41 to 55 dB HL), moderately severe (56 to 70 dB HL), severe (71 to 90 dB HL) and profound (91 dB HL or greater) hearing loss.<sup>14</sup> We classified children with  $\geq 25$  dB HL in any frequency without affecting the four-frequency average as having SNHL at isolated frequencies. We described SNHL severity in the poorer- and better-hearing ears. For example, a child with unilateral SNHL could be categorized as having profound loss in the poorer-hearing ear but normal hearing in the better-hearing ear. Characterization by poorer-hearing ear provides a more complete description of SNHL burden as it includes children with unilateral loss. However, eligibility criteria for health insurance coverage for audiological services may require bilateral loss<sup>15</sup>, which is described by better-hearing ear assessment. To estimate need for audiological

services, we assumed hearing aids would be recommended for children with unilateral or bilateral SNHL  $\geq 40$  dB HL, and cochlear implants for those with bilateral SNHL  $\geq 70$  dB HL.<sup>15</sup>

We compared demographic and birth characteristics among case-patients and controls using Chi-square or exact test. To deal with loss to follow-up at varying ages, we used Kaplan-Meier survival analysis to estimate the proportion of children with SNHL by age. We calculated hazard ratios (HR) using Cox proportional hazards regression analyses to compare SNHL risk between groups. We considered results with a p-value  $<0.05$  statistically significant. For analyses, we used SAS version 9.3 (SAS Institute Inc., Cary, NC, USA).

## RESULTS

The majority of the 92 case-patients and 51 controls were male (58% vs. 67%), born at  $\geq 37$  weeks gestation (88% vs. 98%) to mothers who were  $<30$  years of age (63% vs. 53%), non-Hispanic White (82% vs. 86%), married (95% vs. 100%), and multipara (78% vs. 70%), with no statistically significant differences between the 2 groups ( $p>0.05$  for all). A higher proportion of case-patients' mothers had  $\geq 1$  living children at the time of birth than control's mothers (68% vs. 49%;  $p<0.05$ ).

Among the 92 case-patients, the median number of audiologic assessments was 7 (range: 1–17); the median age at first ABR evaluation was 2.4 months (range: 4 days–11.5 months), after which 6 (6%) case-patients without SNHL were lost to follow-up. The remaining 86 (94%) case-patients had a median of 8 assessments, with the last one at a median age of 17 years (range: 9 months–18 years); 3 (3%) at 0–3 years, 5 (6%) at 6–9 years, and 78 (91%) at 12–18 years. Among the 51 controls, the median number of audiologic assessments was 3 (range: 1–8); the median age at the first assessment was 3 years (range: 1 month–14 years). Among 41 controls with  $\geq 2$  assessments, the median age at last assessment was 17 (range: 1–18) years; 1 (2%) at 1 year, 2 (4%) at 6–9 years, and 38 (93%) at 12–18 years.

Using survival analysis, we estimated the proportion of children with SNHL increased from 7% at age 3 months to 14% at 5 years and 25% at 18 years, among case-patients, and from 0% at 5 years to 8% at 18 years, among controls (Table 1). SNHL risk from birth through 18 years was 4-fold greater among case-patients compared to controls (HR: 4.0; 95% CI: 1.2–14.5;  $p$ -value=0.02). SNHL risk from 3 months to 18 years was 3-fold greater among case-patients compared to controls (HR: 3.0; 95% CI: 0.9–10.5;  $p$ -value=0.08), but not statistically significant. SNHL risk from 6 to 18 years was 1.6-fold greater among case-patients compared to controls, but not statistically significant (HR: 1.6; 95% CI: 0.4–6.1;  $p$ -value=0.5) (Figure 1).

Among case-patients, 9 (10%) were ultimately classified with congenital/early-onset SNHL. Although 23 (25%) of 92 case-patients had had  $\geq 25$  dB HL detected at the first ABR (screening) assessment, 14 (61%) had normal hearing in both ears in subsequent assessments. Most (8/9) case-patients with confirmed congenital/early-onset SNHL presented with unilateral loss but the majority (6/8) subsequently developed delayed-onset SNHL in the contralateral ear. In contrast, only 11 (14%) of 77 case-patients without

congenital/early-onset SNHL who had 2 assessments had delayed-onset SNHL (Figure 2). Among case-patients, the risk of delayed-onset SNHL was significantly greater among those with unilateral congenital/early-onset loss than those without (HR: 6.9; 95% CI: 2.5–19.1). Overall, the proportion of case-patients with SNHL that had bilateral loss increased from 22% at 12 months of age to 50% at last assessment (Table 1). The median interval from unilateral to bilateral SNHL was 4 years (range: 4 months–18 years).

Worsening of SNHL in affected ears was common; among 20 case-patients with SNHL, 13 (65%) had progressive loss in the poorer-hearing ear, 5 (25%) had stable loss, and 2 (10%) were indeterminate. Among 10 case-patients with bilateral SNHL, 4 (40%) had progressive loss in the better-hearing ear, 3 (15%) had stable loss without fluctuations, and 3 (15%) were indeterminate. Among 10 case-patients with SNHL who had fluctuations, progression occurred in all but one. In all case-patients with SNHL, the initially poorer-hearing ears remained the more severely affected ear throughout follow-up.

SNHL severity increased with age. At the last assessment, 12 (60%) of the 20 case-patients with SNHL had moderate or worse loss in the poorer-hearing ear and 4 of the 10 case-patients with bilateral SNHL had moderate or worse loss in the better-hearing ear (Figure 3). SNHL severity was greater among the 9 case-patients with congenital/early-onset SNHL, of whom 8 (89%) had profound loss in the poorer-hearing ear at last assessment. The 8 case-patients and 3 controls diagnosed with delayed-onset SNHL after age 5 years all had mild or lesser degree of loss in the poorer-hearing ear, among whom 3 (38%) and 1 (33%), respectively, had audiograms suggestive of noise-induced loss.

We estimated the proportion of case-patients that would require hearing aids increased from 10% at age 12 months to 14% at 18 years (Supplementary material). The proportion of case-patients that would meet current candidacy criteria for cochlear implants increased from 1% at age 25 months to 2% at 5 years, remaining unchanged after that age. In considering more expansive criteria for cochlear implantation, 5% of case-patients had SNHL  $\geq$  70 dB HL in the poorer-hearing ear by age 12 months, increasing to 13% at 18 years.

## DISCUSSION

In this study of children with congenital CMV infection identified through hospital-based newborn screening who were asymptomatic at birth, prevalence and severity of SNHL increased throughout childhood. Children with asymptomatic congenital CMV infection who had unilateral congenital/early-onset SNHL were at greater risk of subsequent delayed-onset loss in the normal-hearing ear compared to those without any SNHL in the first year of life. Many children with unilateral loss present with bilateral loss later and/or experience progressive hearing loss, e.g. from mild/moderate to severe or profound hearing loss. Therefore, ongoing audiological monitoring is critical so that they can receive appropriate interventions in a timely manner.

From age 3 months to 5 years, the prevalence of SNHL doubled among case-patients, from 7% to 14% but remained at 0% among controls. From 6 years to 18 years, the changes in SNHL prevalence were similar between the case-patients and controls, 11% and 8%,

respectively. This finding is consistent with the 13% prevalence reported nationally among children 6–19 years of age in the United States.<sup>16</sup> Therefore, it appears that the risk of delayed-onset SNHL among school-aged case-patients was not appreciably higher than in the comparison group. Larger controlled studies will be important to confirm these findings and inform future guidance on optimal duration of audiologic monitoring for children with asymptomatic congenital CMV infection. The possibility that routine monitoring for SNHL among children with congenital CMV infection who have normal hearing may not be necessary beyond 5 years of age is of clinical importance.

We observed 65% of our case-patients with SNHL had progressive loss. Although we used strict criteria based on ototoxicity monitoring studies<sup>13</sup> for categorizing SNHL as progressive, the proportion with progressive SNHL in our study was higher than the 20% estimated in a recent meta-analysis.<sup>17</sup> Referral bias in the studies included in the meta-analysis likely contribute to this difference. Some studies were based on cohorts of children identified with asymptomatic congenital CMV infection due to diagnosis of SNHL at birth or primary maternal CMV infection during pregnancy.<sup>7, 18–20</sup> Thus, some children were likely at greater risk of more severe SNHL at onset than the entire population of infants with asymptomatic congenital CMV infection. Consistent with this, the same meta-analysis<sup>17</sup> estimated that a higher proportion of children with asymptomatic congenital CMV infection and SNHL have bilateral severe to profound loss compared to our study (42% vs. 16% by age 5 years). Studies in which a larger proportion of children present with profound loss when SNHL is detected would have relatively fewer children who could experience SNHL progression.

CMV-related SNHL in children with congenital CMV infection who passed hearing screening tests in the first month of life has been detected as early as 3 months of age.<sup>21</sup> Newborn hearing screening programs will not detect all infants with CMV-related hearing loss. In our study, at least 25% of case-patients with SNHL by age 5 would not have been identified by newborn hearing screening. This proportion is lower than the 50% found in a large hospital-based newborn screening study with follow-up through age 6 years<sup>21</sup>, albeit higher than the 9% estimated in a recent meta-analysis.<sup>17</sup> Comparisons of delayed-onset SNHL among children with asymptomatic congenital CMV infection across studies are complicated by heterogeneity in case ascertainment methods and duration of follow-up.<sup>22</sup> Currently, an estimated one third of all children with bilateral SNHL > 40 dB HL by age 4 years are not identified by newborn hearing screening.<sup>23</sup> The ongoing CMV and Hearing Multicenter Screening Study will provide population-based estimates of prevalence of congenital CMV infection and CMV-related SNHL through age 4 years in the United States.<sup>24</sup> These data will be useful to inform the potential benefit of newborn screening for congenital CMV infection in identifying children at risk of delayed-onset SNHL who are missed by newborn hearing screening.

Identifying the etiology of a hearing loss may affect clinical management and can provide reassurance to families.<sup>23</sup> Diagnosis of CMV-related SNHL depends on diagnosis of congenital CMV infection which requires laboratory testing on a specimen collected within 3 weeks of life. However, full audiologic evaluation to confirm or rule out hearing loss may not be conducted until later in infancy when laboratory testing can no longer confirm



congenital infection. Thus, targeted CMV testing among newborns who refer in newborn hearing screening has been explored.<sup>25–28</sup> In the United Kingdom, this approach was found to be feasible and acceptable within the newborn hearing screening program, and did not appear to result in increased parental anxiety.<sup>28</sup> In Utah, which implemented a policy in 2013 mandating CMV testing for all infants who fail newborn hearing screening<sup>26</sup>, an improvement in follow-up rates of all infants who fail the hearing screening was reported. This testing strategy has the potential to increase identification of newborns with SNHL with symptomatic congenital CMV infection who would be eligible for antiviral treatment who might otherwise have gone unrecognized as well as infants with asymptomatic infection.<sup>29, 30</sup> However, the efficacy of antiviral treatment in preventing hearing deterioration among children with asymptomatic infection has not been systematically studied. Therefore, antiviral treatment is not currently recommended for routine use in this population.<sup>29</sup> More data on the feasibility and benefits of targeted CMV testing are likely to become available as this approach is more widely adopted.

Our study had limitations. Our sample size was too small to detect statistically significant differences in SNHL risk after age 3 months. We may have underestimated SNHL risk among case-patients and controls because of loss of follow-up, particularly among children with only a single assessment at younger age. Not all CMV-positive newborns identified through screening were enrolled. However, universal newborn hearing screening was not routinely done during 1982–1992, thus, it is unlikely that there were systematic biases in the enrollment of participants by hearing status that would have affected our estimates. Although our control group had fewer audiological assessments and the first assessment at an older age compared to case-patients, it does not affect our estimates of delayed-onset SNHL because the age at last assessment was similar for both groups. Our control group included a small number of children selected among uninfected siblings of referred CMV-positive infants. Analyses including the control group consisting only of those selected among CMV-negative screened newborns resulted in similar findings. Thus, although the small number of controls may have limited the power of the study to detect some statistically significant differences, the control group appears to have been appropriately valid for comparisons. We were unable to precisely determine if SNHL was congenital or delayed-onset because not all case-patients had hearing evaluations in the first month of life. In addition, some infants only had click-evoked ABR without frequency-specific tone burst stimuli, which can result in false-negative results. Other than ruling out the administration of gentamicin to premature case-patients, we were unable to fully investigate other etiologies of SNHL. Data on genetic testing and noise exposure were not available and the audiological assessments did not consistently include testing at 3 and 6 kHz, which could have aided in the evaluation of noise-induced SNHL.

## CONCLUSIONS

The burden of CMV-related SNHL is substantial considering the impact of SNHL on children's development and academic achievement, and their need for ongoing audiological monitoring and interventions. We estimate that approximately 5% of children with asymptomatic congenital CMV infection, about 900 children annually, have SNHL 70 dB HL in at least one ear by age 12 months and half of these meet current candidacy criteria for

cochlear implantation. As cochlear implant technologies and indications for their use continue to evolve, the number of children with asymptomatic congenital CMV infection and SNHL who might be considered candidates for cochlear implants could increase. Newborn screening for congenital CMV infection has the potential to identify children at risk for CMV-related SNHL who currently go unrecognized and who might benefit from earlier intervention.<sup>31</sup> Further investigation of the age of onset and risk factors for SNHL in children with asymptomatic congenital CMV infection are needed to inform evaluation of the potential costs and benefits of CMV screening.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## Abbreviations

<b>CMV</b>	cytomegalovirus
<b>SNHL</b>	sensorineural hearing loss
<b>HL</b>	hearing level
<b>HR</b>	hazard ratio

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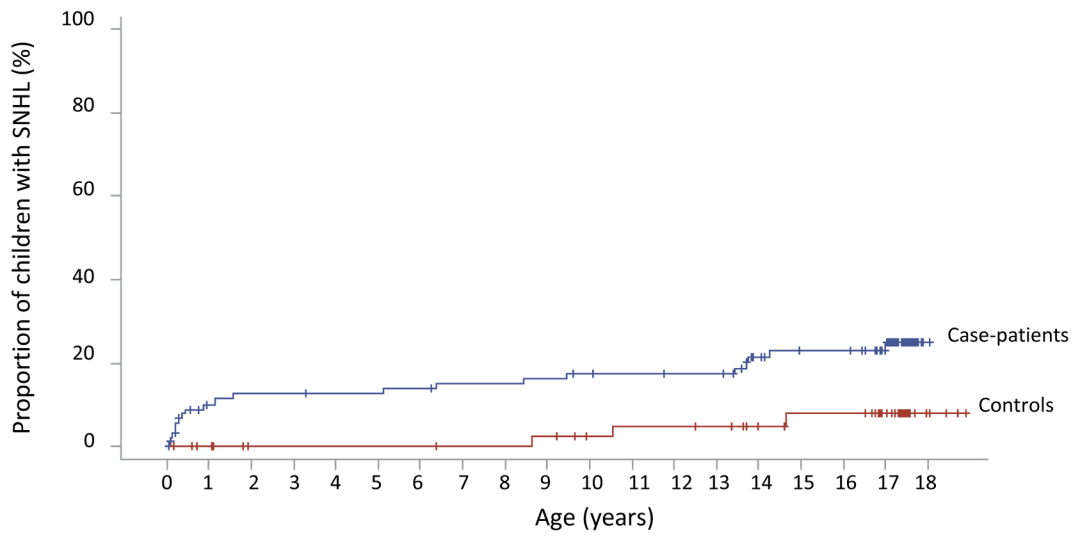
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**What's Known on This Subject**

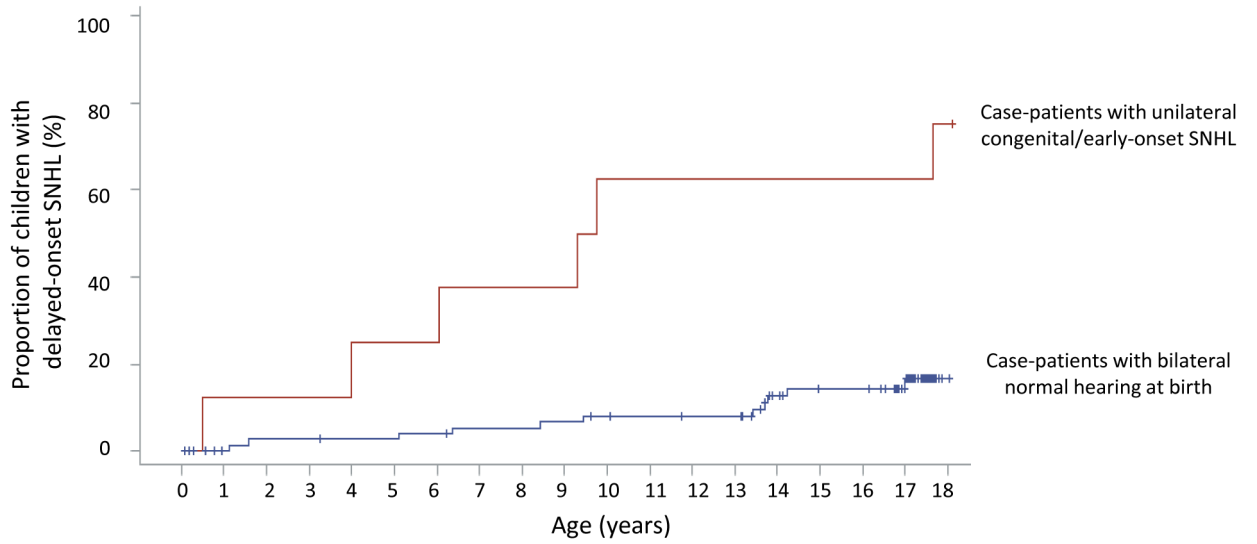
The extent to which children with congenital CMV infection who are asymptomatic at birth remain at risk for delayed-onset and progressive sensorineural hearing loss (SNHL) throughout childhood is not well established.

**What This Study Adds**

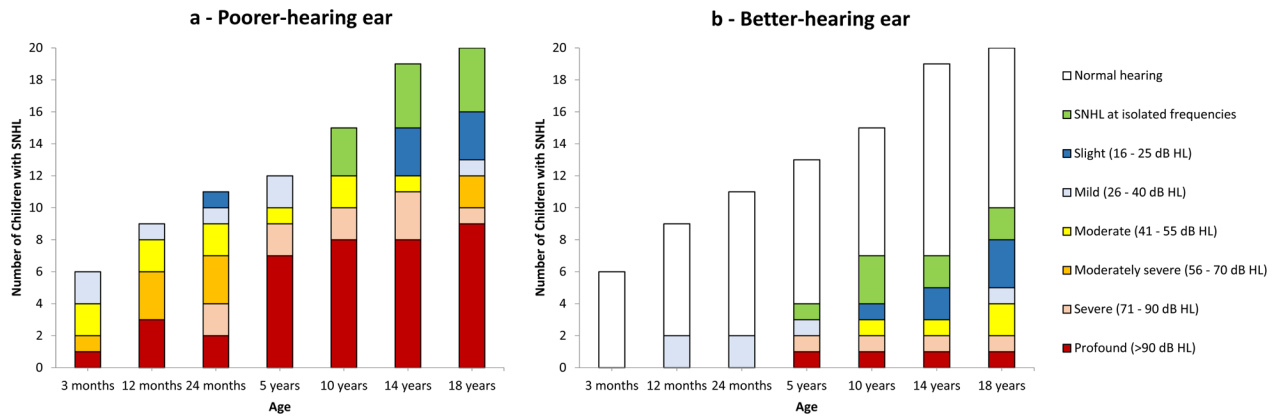
SNHL progression occurred through adolescence. SNHL risk after age 5 years was not significantly higher than in uninfected children. Overall, 2% of children with asymptomatic congenital CMV infection have severe enough bilateral SNHL to potentially meet cochlear implantation candidacy.



**Figure 1.** Sensorineural hearing loss among children with asymptomatic congenital CMV infection and controls



**Figure 2.** Delayed-onset sensorineural hearing loss among children with asymptomatic congenital CMV infection with and without unilateral congenital/early-onset hearing loss



**Figure 3.** Cumulative number of children with asymptomatic congenital CMV infection with sensorineural hearing loss (n=20) by age and sensorineural hearing loss severity in the poorer- and better-hearing ears



**Table 1**

SNHL among children with asymptomatic congenital CMV infection and controls

Age	Children with asymptomatic congenital CMV infection (n=92)				Proportion with any SNHL (n=51) % (95% CI)
	Total with SNHL n (%)	Total with Unilateral Loss n (%)	Total with Bilateral Loss n (%)	Proportion with any SNHL % (95% CI)	
3 months	6 (30)	6 (100)	0 (0)	7 (3-14)	0
12 months	9 (45)	7 (78)	2 (22)	10 (5-19)	0
24 months	11 (55)	9 (82)	2 (18)	13 (7-22)	0
5 years	12 (60)	9 (75)	3 (25)	14 (8-23)	0
10 years	15 (75)	8 (53)	7 (47)	17 (11-27)	5 (1-18)
14 years	19 (95)	12 (63)	7 (37)	23 (15-34)	8 (3-22)
18 years	20 (100)	10 (50)	10 (50)	25 (17-36)	8 (3-22)