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# **Helicopter Rotor Noise Prediction: *Background, Current Status, and Future Direction***

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Seminar presented at the University of Tennessee Space Institute  
December 10, 1997

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## Introduction

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- **Helicopter noise prediction is increasingly important**
  - **certification**
  - **detection**
- **A great deal of progress has been made since the mid 1980's**
- **Purpose of this talk**
  - **Put into perspective the recent progress**
  - **Outline current prediction capabilities**
  - **Forecast direction of future prediction research**
  - **Identify rotorcraft noise prediction needs**

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## Outline of Talk

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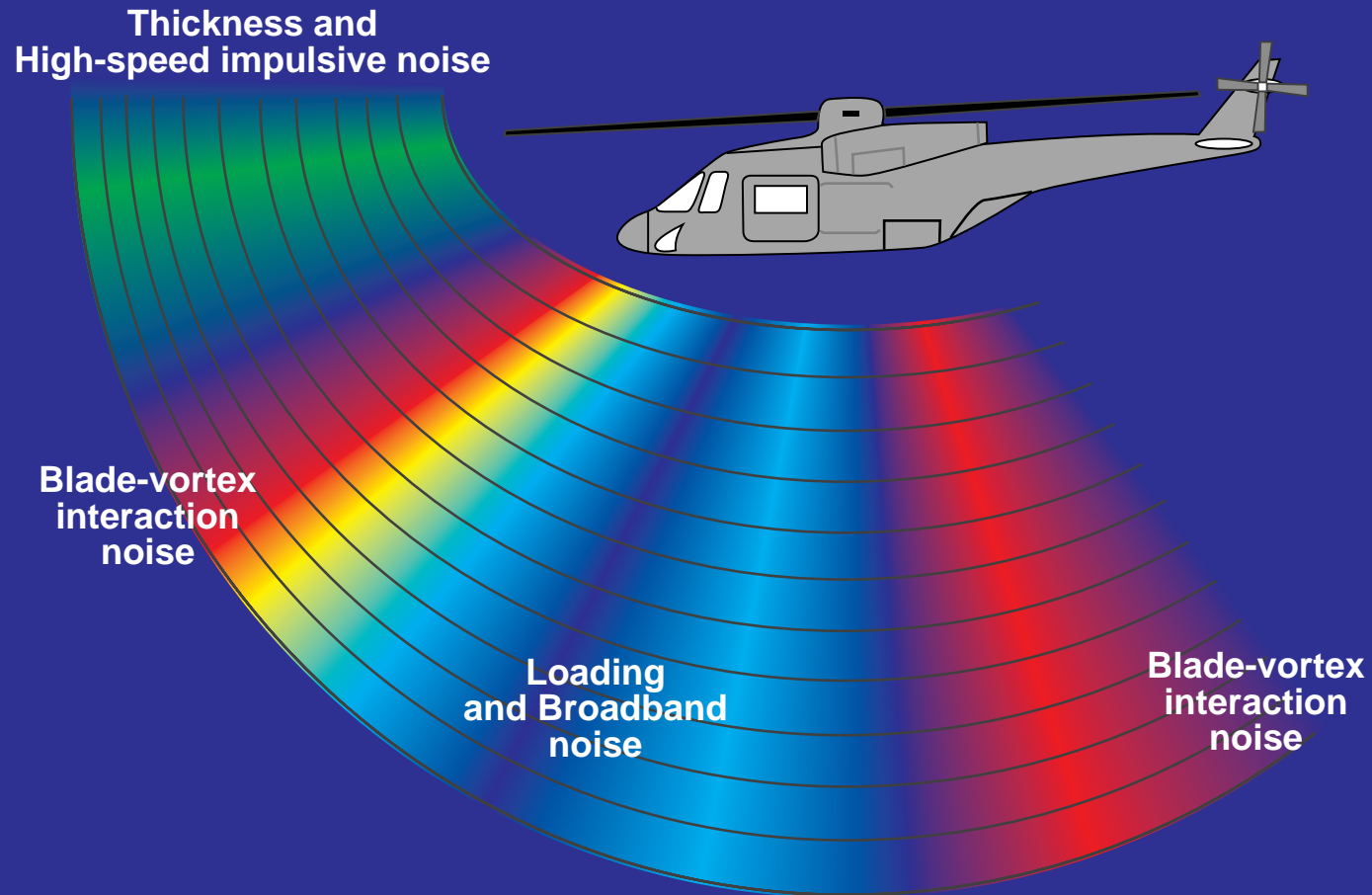
- Introduction and Historical perspective
- Description of governing equations
- Current status of source noise prediction
- Future directions
- Summary

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# Rotor Source Noise



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# Historical Perspective

## History of Helicopter Noise Prediction

Propeller noise theory developed (steady loading, thickness)	- 1940 -	
	- 1950 -	Helicopter rotor noise mechanisms proposed
Importance of unsteady loading recognized	- 1960 -	
	- 1970 -	Ffowcs Williams–Hawkings equation  – computer power limited – inadequate blade loading available
Rotor noise theory development	- 1980 -	(NR) <sup>2</sup> program
Helicopter rotor noise code development  – excellent validation data available – large increase in computation power	- 1990 -	Kirchhoff formulation / quadrupole noise prediction / new application of FW–H equation
	- 2000 -	

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## **Available Methods for Rotor Noise Prediction**

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### ■ **Acoustic Analogy**

- **treats real flow effects by fictitious sources; exact in principle**
- **for rotor blades: Ffowcs Williams–Hawkings equation (1969)**
- **most developed, widely used in the helicopter industry**

### ■ **Kirchhoff Formula**

- **originally suggested by Hawkings (1979); (Farassat and Myers 1988)**
- **method currently under development (development has been very rapid)**
- **depends upon high resolution aerodynamics input data from CFD.**

### ■ **CFD based Computational Aeroacoustics (CAA)**

- **least mature**
- **most computationally demanding**
- **advances in CAA will help other methods**

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## **Lighthill Acoustic Analogy**

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- **Treats real flow effects by fictitious sources**
- **A mathematical device which is exact in principle**
- **Capable of supplying good qualitative and quantitative results**
- **For rotating blades**
  - **Aerodynamic and acoustic problems separated**
  - **Powerful methods of linear analysis can be used**
  - **Inclusion of nonlinear effects feasible now**
- **Acoustic analogy is and will remain a very useful tool in aeroacoustics**

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## Lighthill Acoustic Analogy Derivation

- Idea: rearrange governing equation into a wave equation

$$\frac{\partial}{\partial t} \left\{ \frac{\partial \rho}{\partial t} + \frac{\partial \rho u_i}{\partial x_i} \right\} = 0 \quad \text{continuity}$$

$$- \frac{\partial}{\partial x_i} \left\{ \frac{\partial \rho u_i}{\partial t} + \frac{\partial}{\partial x_j} (\rho u_i u_j + P_{ij}) \right\} = 0 \quad \text{momentum (N-S)}$$

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$$\frac{\partial^2 \rho}{\partial t^2} = \frac{\partial^2}{\partial x_i \partial x_j} (\rho u_i u_j + P_{ij})$$

form wave equation

$$\boxed{\frac{\partial^2 \rho}{\partial t^2} - c_o \frac{\partial^2 \rho}{\partial x_i \partial x_i} = \frac{\partial^2 T_{ij}}{\partial x_i \partial x_j}}$$

where

$$T_{ij} = \rho u_i u_j + P_{ij} - c_o \rho \delta_{ij}$$

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# Ffowcs Williams–Hawkings Equation Derivation Procedure

## ■ Embed exterior flow problem in unbounded space

- define generalized functions valid throughout entire space
- interpret derivatives as generalized differentiation

$$\begin{aligned}\tilde{\rho} &= \begin{cases} \rho & f > 0 \\ \rho_o & f < 0 \end{cases} \\ \rho\tilde{u}_i &= \begin{cases} \rho u_i & f > 0 \\ 0 & f < 0 \end{cases} \\ \tilde{P}_{ij} &= \begin{cases} P_{ij} & f > 0 \\ 0 & f < 0 \end{cases}\end{aligned}$$

## ■ Generalized conservation equations:

$$\frac{\partial \tilde{\rho}}{\partial t} + \frac{\partial \rho\tilde{u}_i}{\partial x_i} = \left(\rho' \frac{\partial f}{\partial t} + \rho u_i \frac{\partial f}{\partial x_i}\right) \delta(f) \quad \text{continuity}$$

$$\frac{\partial \rho\tilde{u}_i}{\partial t} + \frac{\partial \rho\tilde{u}_i\tilde{u}_j}{\partial x_j} + \frac{\partial \tilde{P}_{ij}}{\partial x_j} = \left(\rho u_i \frac{\partial f}{\partial t} + (\rho u_i u_j + P_{ij}) \frac{\partial f}{\partial x_j}\right) \delta(f) \quad \text{momentum}$$

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## FW – H Equation

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### ■ Numerical solution of the FW–H equation

$$\square^2 p'(\bar{x}, t) = \frac{\partial}{\partial t} [\rho_0 v_n \delta(f)] - \frac{\partial}{\partial x_i} [l_i \delta(f)] + \frac{\partial^2}{\partial x_i \partial x_j} [T_{ij} H(f)]$$

### ■ Three source terms

#### ➤ thickness source (monopole)

– requires blade *geometry* and *kinematics*

#### ➤ loading source (dipole)

– requires blade *geometry*, *kinematics*, and *surface loading*

#### ➤ quadrupole source

– requires *flow field* (i.e.,  $T_{ij}$ ) around the blade (volume integration)

### ■ WOPWOP+ implements all three of these source terms

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## Kirchhoff Derivation Procedure

- Use embedding procedure on wave equation

- ▶ define generalized pressure perturbation:

$$\tilde{p}' = \begin{cases} p' & f > 0 \\ 0 & f < 0 \end{cases}$$

- ▶ use generalized derivatives

- ▶ generalized wave equation is Kirchhoff governing equation:

$$\square^2 p'(\vec{x}, t) = -\left(\frac{\partial p'}{\partial t} \frac{M_n}{c} + \frac{\partial p'}{\partial n}\right) \delta(f) - \frac{\partial}{\partial t} \left( p' \frac{M_n}{c} \delta(f) \right) - \frac{\partial}{\partial x_i} (p' \hat{n}_i \delta(f))$$
$$\equiv Q_{kir}$$

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## Formulation Development

- **Model wave equation to solve** (valid in entire unbounded space)

$$\square^2 \phi(\vec{x}, t) = Q(\vec{x}, t) \delta(f)$$

- **Integral representation of solution** (Green's function  $\frac{\delta(g)}{4\pi r}$ )

$$4\pi\phi(\vec{x}, t) = \int_{-\infty}^t \int_{-\infty}^{\infty} \frac{Q(\vec{y}, \tau) \delta(f) \delta(g)}{r} d\vec{y} d\tau$$

- **Three potential formulations:**

$$4\pi\phi(\vec{x}, t) = \int_{-\infty}^t \int_{f=0} \int_{g=0} \frac{Q(\vec{y}, \tau)}{r \sin \theta} c d\Gamma d\tau = \int_{F=0} \frac{1}{r} \left[ \frac{Q(\vec{y}, \tau)}{\Lambda} \right]_{ret} d\Sigma = \int_{f=0} \left[ \frac{Q(\vec{y}, \tau)}{r|1 - M_r|} \right]_{ret} dS$$

collapsing sphere  
formulation

emission surface  
formulation

retarded time  
formulation

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## Integral Formulation of FW – H

- Retarded-time solution to FW–H equation (neglecting quadrupole)

$$4\pi p'(\vec{x}, t) = \frac{\partial}{\partial t} \int_{f=0} \left[ \frac{Q}{r(1-M_r)} \right]_{ret} dS + \frac{\partial}{\partial x_i} \int_{f=0} \left[ \frac{L_i}{r(1-M_r)} \right]_{ret} dS$$

where  $Q = \rho v_n$  and  $L_i = P_{ij} \hat{n}_j$

- Take derivatives inside integrals analytically (formulation 1A)

$$4\pi p'(\vec{x}, t) = \int_{f=0} \left[ \frac{\dot{Q} + \dot{L}_r / c}{r(1-M_r)^2} \right]_{ret} dS + \int_{f=0} \left[ \frac{L_r - L_M}{r^2(1-M_r)^2} \right]_{ret} dS$$
$$+ \int_{f=0} \left[ \frac{(Q + L_r / c)(r\dot{M}_r + c(M_r - M^2))}{r^2(1-M_r)^3} \right]_{ret} dS$$

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## **NASA Rotor Noise Prediction Codes**

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### **■ WOPWOP**

- **Uses FW–H equation, Farassat’s formulation 1A**
- **Used for discrete-frequency noise prediction**
- **Representative of time-domain prediction codes (Primary U. S. code)**
- **Code features**
  - **Near and far-field acoustics**
  - **Forward flight and hover**
  - **Stationary and moving observers**
  - **Unsteady and impulsive loading allowed as input**
  - **Loading input may be analytical, computational, or experimental**
  - **Transportable, efficient, and robust**

### **■ WOPWOP+**

- **includes a far-field quadrupole computation**

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## NASA Rotor Noise Prediction Codes

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### ■ RKIR

- original code from Purdue University; modified by Sikorsky and NASA Langley to include all WOPWOP blade motions
- utilizes Farassat and Myers' Kirchhoff formulation for moving surfaces
- require  $p$ ,  $\frac{\partial p}{\partial t}$ , and  $\nabla p$  on the Kirchhoff surface

### ■ FW-H/RKIR (prototype code)

- based on RKIR (Rotating Kirchhoff code)
- utilizes Farassat's formulation 1A (FW)
- quadrupole source neglected; could be included

### ■ Tiltrotor Aeroacoustic Codes (TRAC)

- collection of codes to predict the airloads, flow-field, and noise
- utilizes any of these codes to predict rotor noise

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## **Examine Current Prediction Capability**

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- **Thickness and Loading Noise**
- **Blade Vortex Interaction Noise**
- **High-Speed Impulsive Noise**
- **New Prediction Tools**
  - **Kirchhoff Predictions**
  - **FW-H Equation applied off the body (i.e. like a Kirchhoff formula)**
- **Broadband Noise**

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# Thickness and Loading Noise

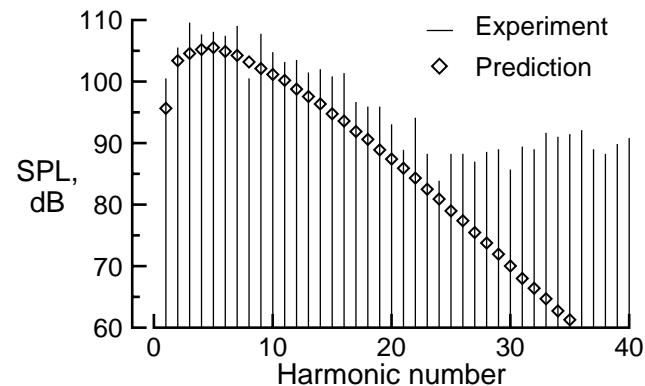
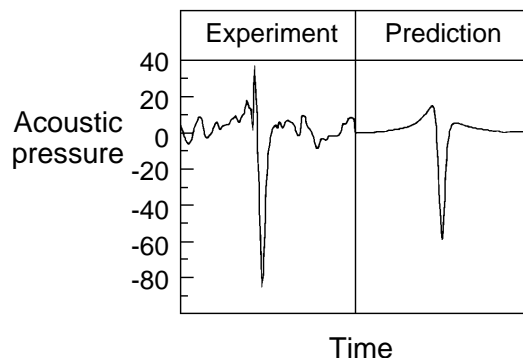
## ■ Predictions accurately reflect design changes



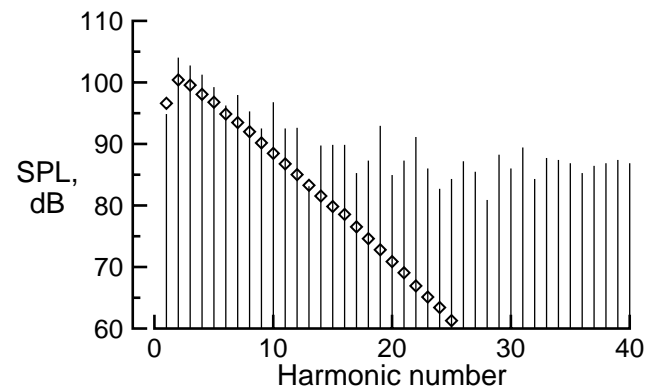
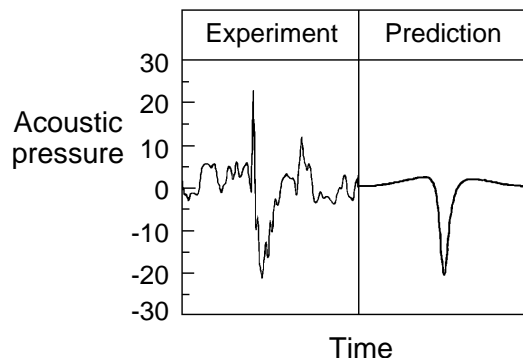
$V_\infty = 110$  kts  
upstream mic in TPP  
on advancing side



ref: Brentner 1987



a) Rectangular planform



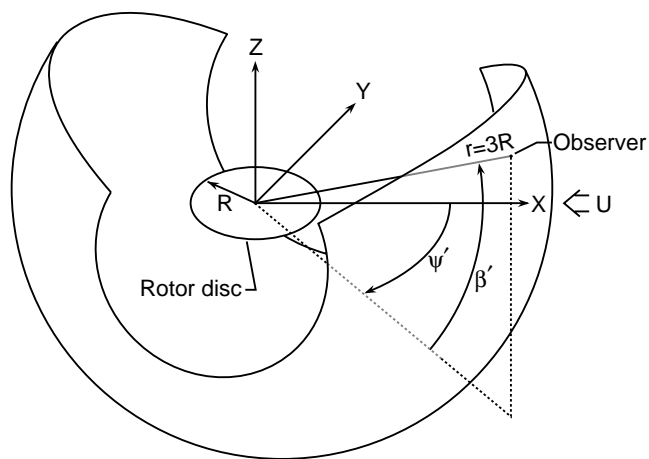
b) Tapered planform

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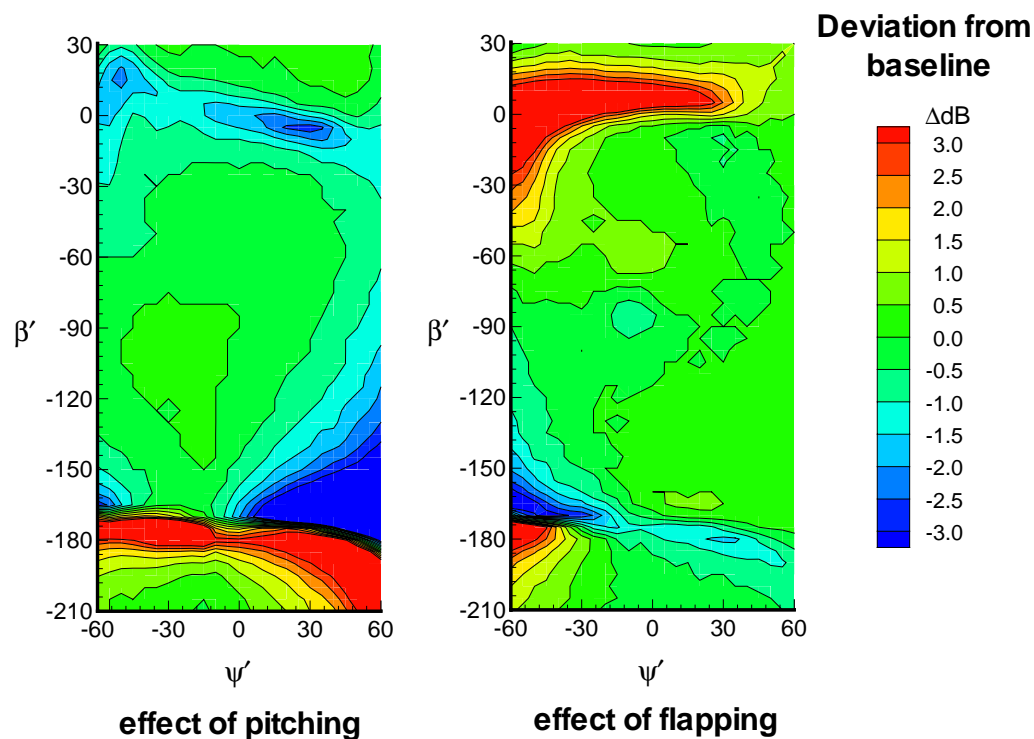


# Thickness and Loading Noise

- Predictions distinguish between small differences in input parameters
- Computations are efficient (29 CPU sec/observer on 22 MFLOPS workstation)



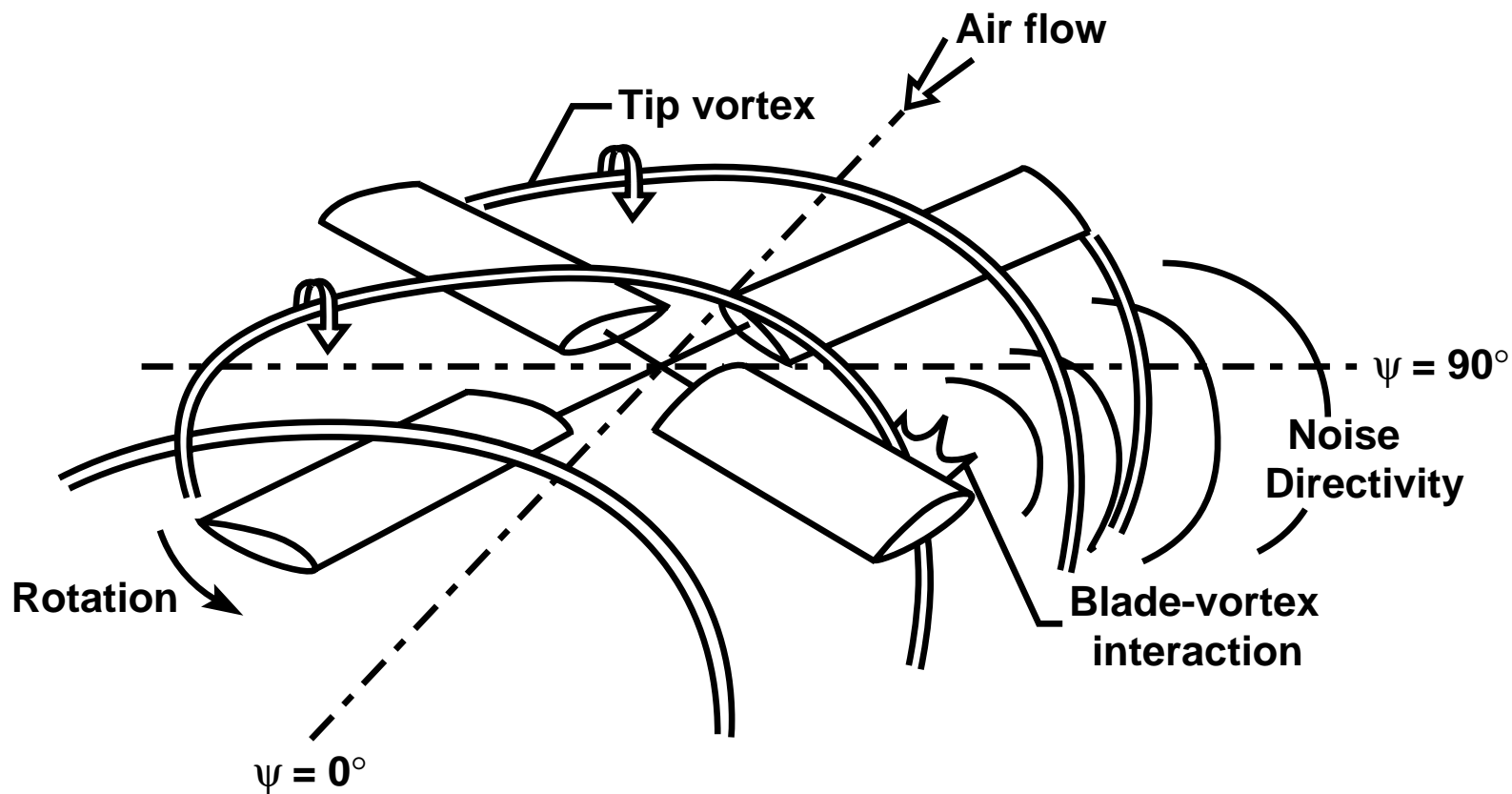
ref: Brentner et al. 1994



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# Blade-Vortex Interaction (BVI)

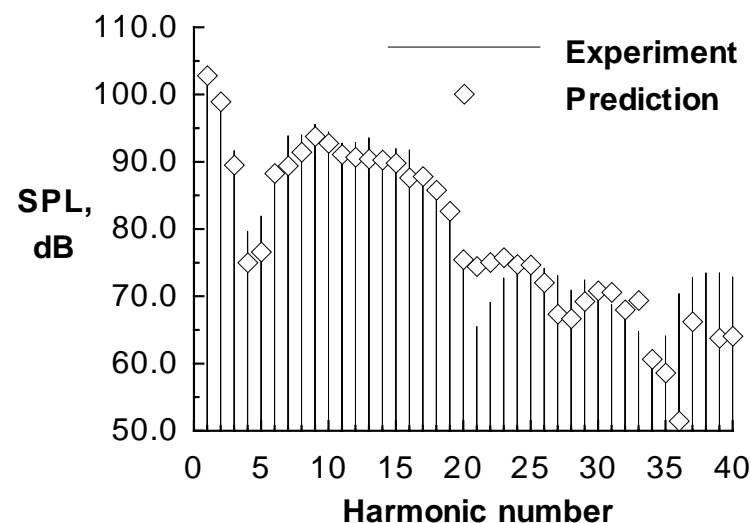
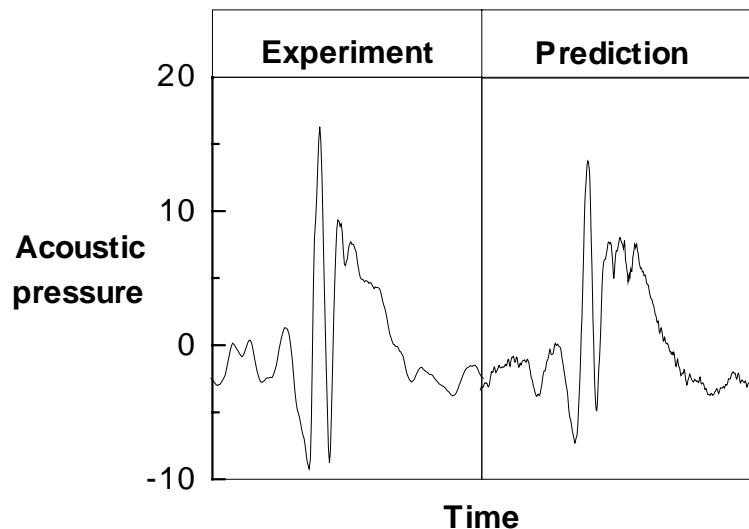


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## BVI Noise Prediction: *with measured airloads*

- Amplitude, waveform, and spectra predicted well
- High temporal and spatial resolution of blade loads essential



- microphone located upstream of rotor on advancing side, 25 deg. below TPP

$\mu = 0.152$ ,  $C_T / \sigma = 0.07$ , decent condition

Ref: Brentner et al. 1994, Visintainer et al. 1993

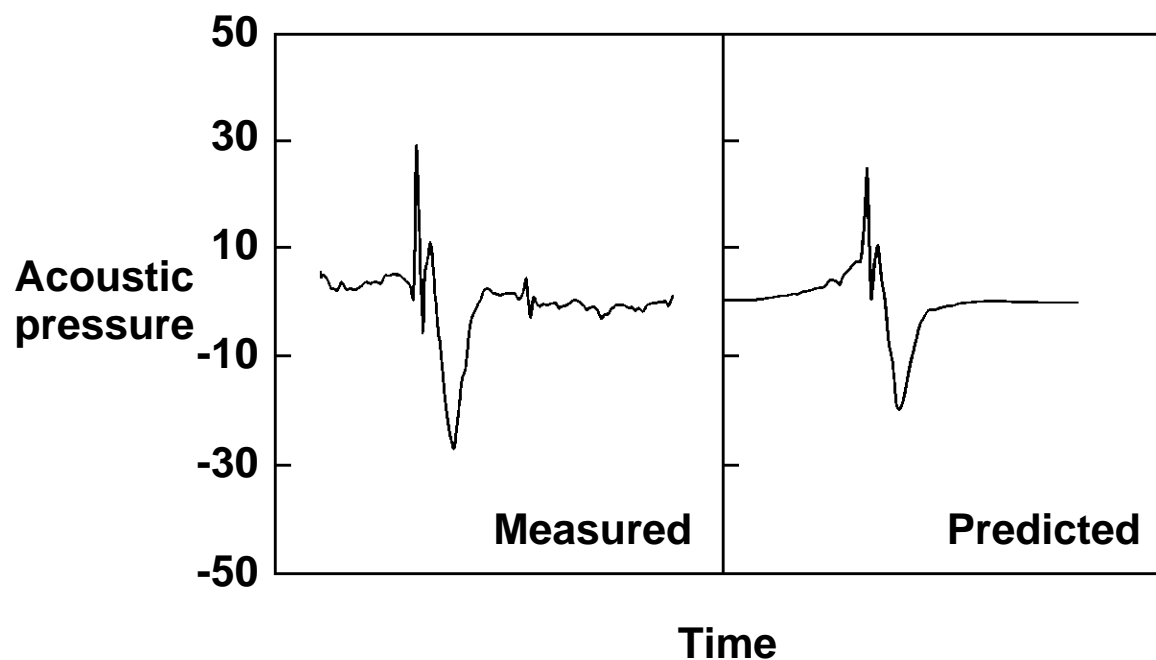
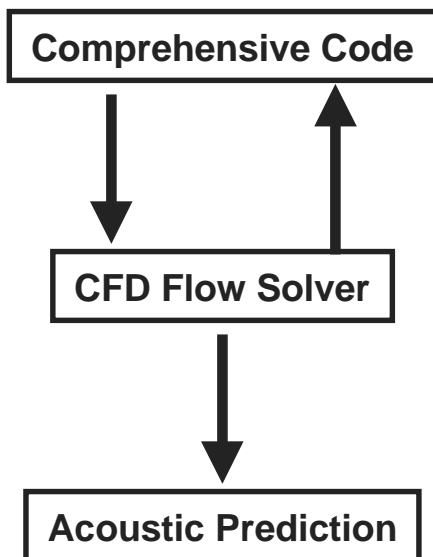
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## BVI Noise Prediction: *with calculated airloads*

- Near first principles prediction
- Representative of state-of-the-art

ref: Tadghighi et al. 1990



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## **High-Speed Impulsive Noise**

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- **High-speed impulsive (HSI) noise**
  - particularly intense and annoying
  - occurs in high-speed forward flight
  - onset usually very rapid
  - primarily in-plane directivity
- **HSI noise prediction**
  - requires knowledge of 3D, nonlinear flow field
  - computationally intensive
  - modeled by FW–H quadrupole source

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## **Quadrupole Noise Prediction History\***

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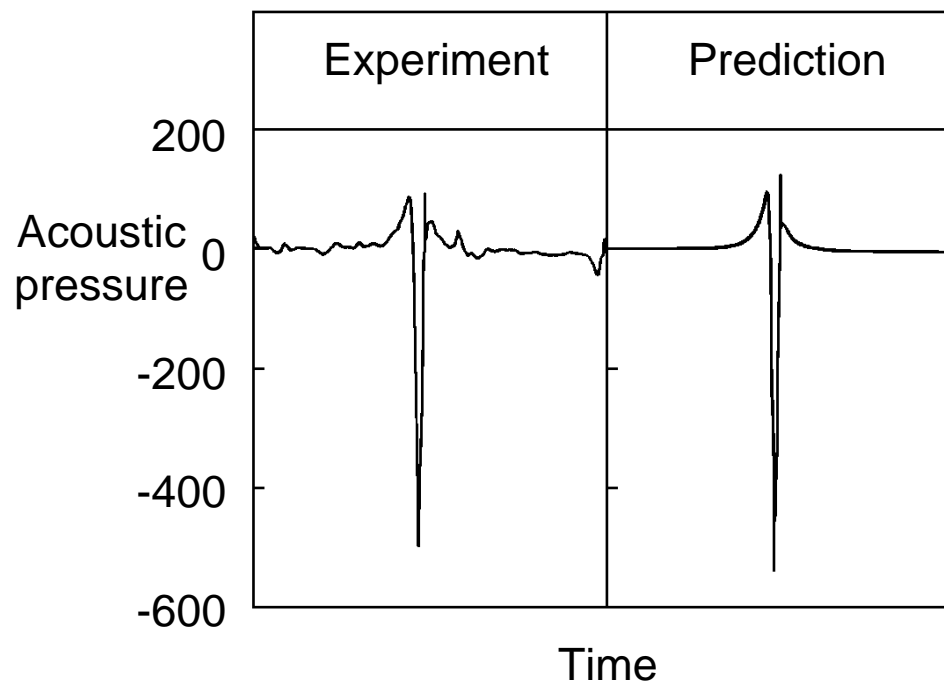
- **Importance of quadrupole source recognized**  
**Yu, Caradonna, and Schmitz (1978)**
  - **simplified source strength**
  - **far-field assumption / preintegration in  $z$  direction**
  - **relatively immature flow field calculation**
- **Recent efforts**
  - **Prieur (1986) - frequency domain, hover only**
  - **Schultz and Splettstoesser (1987) - followed Yu et al.**
  - **Farassat (1987-1991) - shock noise theory**
  - **Schultz et al (1994) - approx. source strength, both volume integration and preintegration**
  - **Ianniello and De Bernardis (1994) - full volume integration**
- **NO readily available quadrupole prediction code in U.S.**



## High-Speed Impulsive Noise

- Prediction by approximate quadrupole calculation
  - Measured blade pressures and computed flow field used in prediction

$M_H = 0.9$   
hovering rotor  
mic in TPP



ref: Schultz and Spletstoesser 1987

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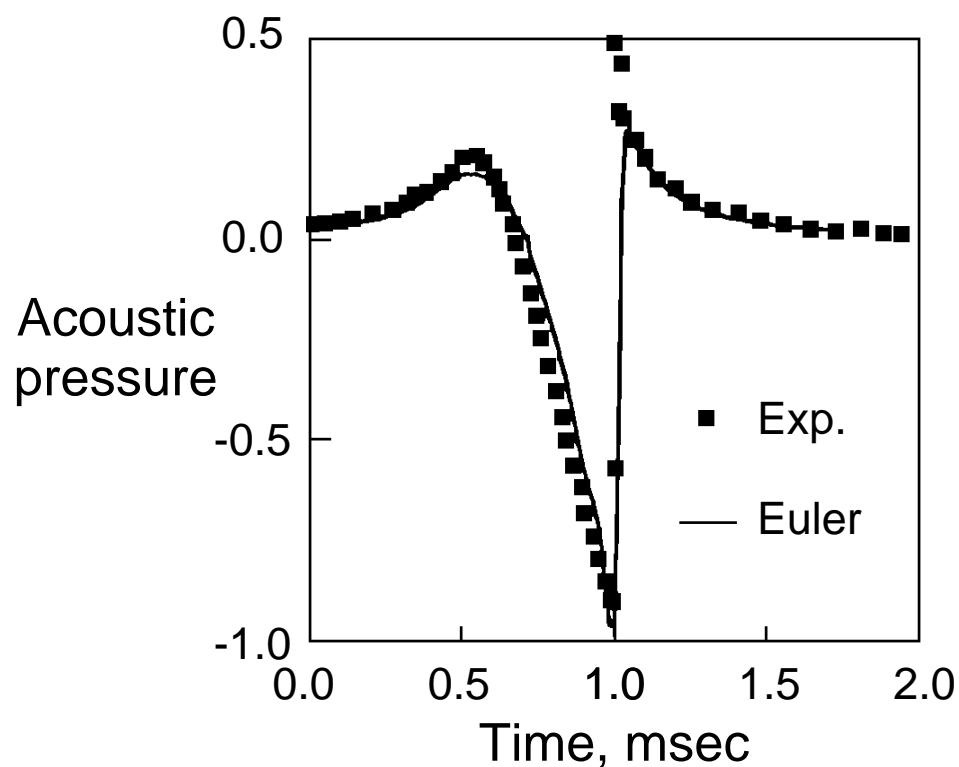
# High-Speed Impulsive Noise

## ■ Prediction by direct CFD computation

Ref: Baeder 1991

### ► Nonlifting, symmetric rotor in hover

$M_H = 0.92$   
hover  
mic in TPP



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## Why Use the Acoustic Analogy?

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- **FW–H source contributions linearly superimpose**

$$p'(\vec{x}, t) = p'_t(\vec{x}, t) + p'_\ell(\vec{x}, t) + p'_Q(\vec{x}, t)$$

- ▶ **develop quadrupole source prediction independently**
- ▶ **can identify contributions from each source**
- **Current prediction codes based on FW–H equation**
  - ▶ **significant knowledge base**
  - ▶ **thickness & loading noise predictions very efficient**
- **Less demanding CFD computation**
  - ▶ **only compute the source region**
  - ▶ **don't need to capture long-distance wave propagation**
- **Easy to study role of complicated rotor kinematics**

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## Quadrupole Development Considerations

**FW-H:** 
$$\square^2 p'(\vec{x}, t) = \frac{\partial}{\partial t} [\rho_o v_n \delta(f)] - \frac{\partial}{\partial x_i} [\ell_i \delta(f)] + \frac{\partial^2}{\partial x_i \partial x_j} [T_{ij} H(f)]$$

■ **Source terms linearly superimpose**

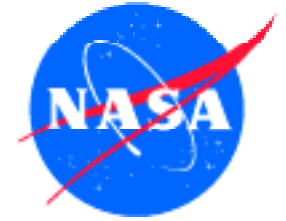
$$p'(\vec{x}, t) = p'_t(\vec{x}, t) + p'_\ell(\vec{x}, t) + p'_Q(\vec{x}, t)$$

■ **Quadrupole source region is a volume**

- needs large amount of data – 3D time dependent
- naturally separate

■ **Current WOPWOP very efficient**

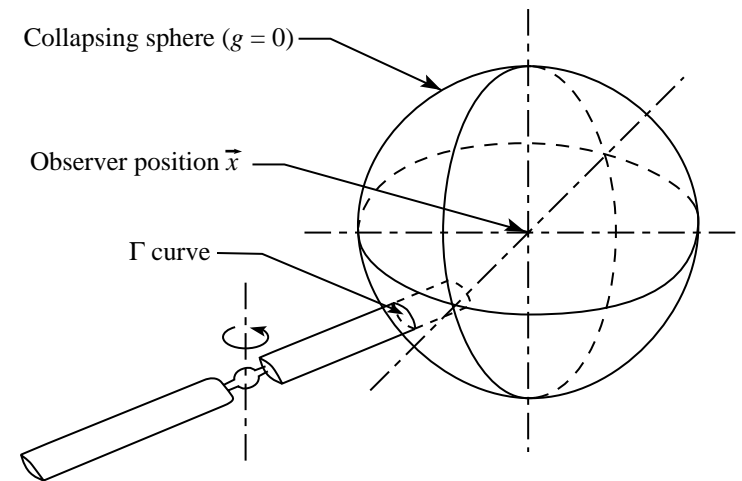
- desirable to not change thickness and loading now
- want to benefit from knowledge gained in thickness and loading noise development



# Collapsing Sphere Formulation

## ■ Equation

$$\begin{aligned}
 4\pi p'_Q(\vec{x}, t) = & \frac{1}{c} \frac{\partial^2}{\partial t^2} \int_{-\infty}^t \int_{f>0} \frac{T_{rr}}{r} d\Omega d\tau \\
 & + \frac{\partial}{\partial t} \int_{-\infty}^t \int_{f>0} \frac{3T_{rr} - T_{ii}}{r^2} d\Omega d\tau \\
 & + c \int_{-\infty}^t \int_{f>0} \frac{3T_{rr} - T_{ii}}{r^3} d\Omega d\tau
 \end{aligned}$$



## ■ Interpretation

- $f > 0$  - everywhere outside of blade surface
- $d\Omega$  - element of collapsing sphere surface

$$T_{ij} = \rho u_i u_j + (p' - \rho' c^2) \delta_{ij}$$

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## Far-Field Approximation

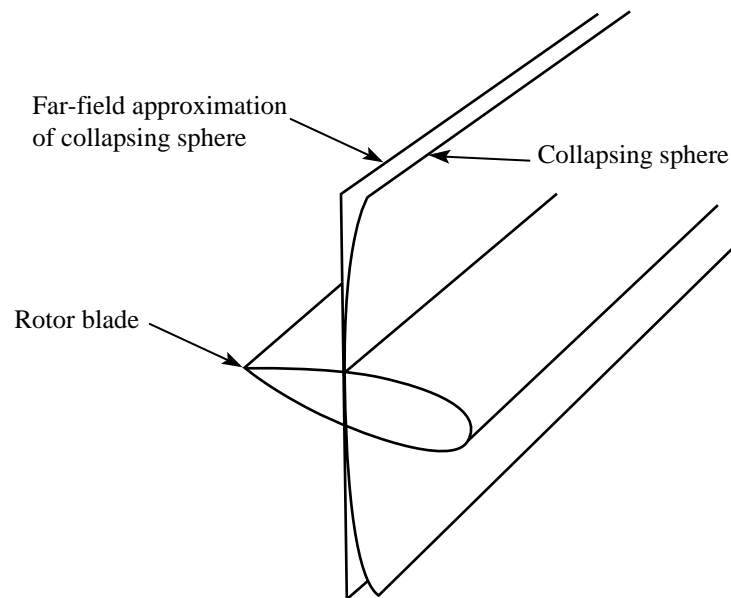
### ■ Assumptions

- Far-field observer
- In-plane observer

### ■ Define new tensor

$$Q_{ij} = \int_{-\infty}^{\infty} T_{ij} dz$$

- Collapsing sphere approximated as a cylinder
- Integration in  $z$  is independent of source time

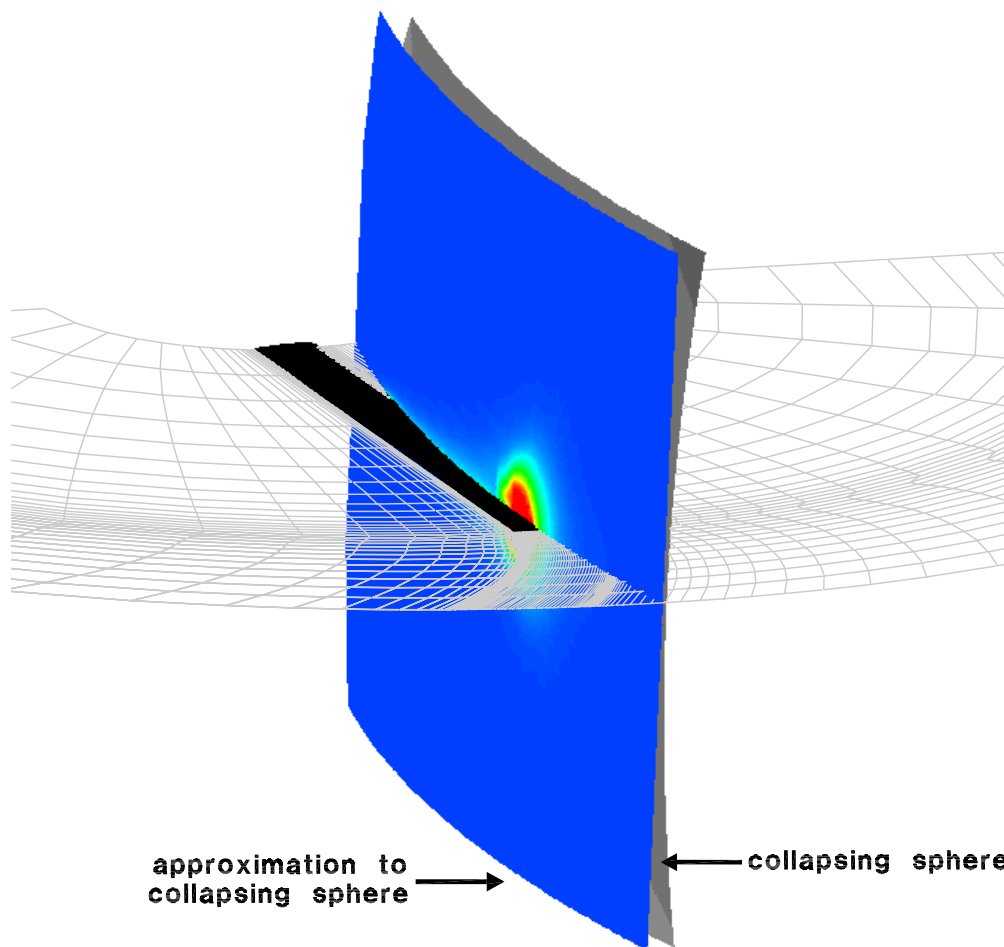


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# Far-Field Approximation

Contours of  
quadrupole  
source strength



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## WOPWOP+ Validation

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### ■ Validation case

- UH-1H, 1/7th scale model rotor (untwisted)
- Experimental data available - Boxwell et al., Purcell
- Unique Euler calculation available (Baeder)
  - good resolution of flow field around blade
  - solution extends to microphone position at 3.09 R
  - symmetric solution

### ■ Operating conditions for comparison

- hover
- $M_H = \{0.88, 0.925\}$
- inplane microphone at 3.09 R

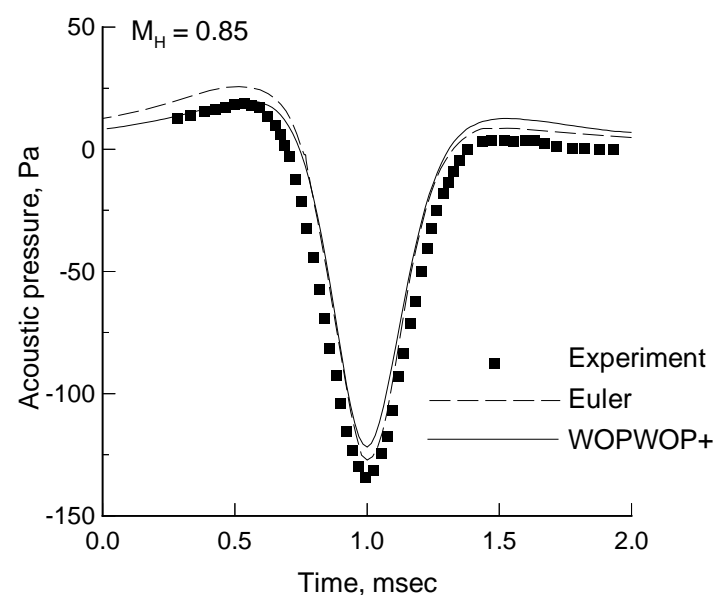
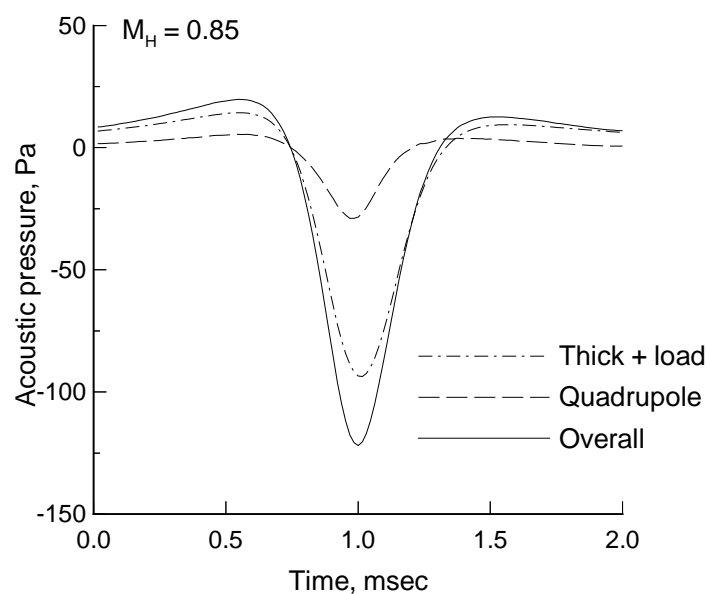
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## UH-1H Model Rotor Comparison

- Observer inplane at 3.09 R from rotor hub,  $M_H = .85$



- Quadrupole contribution roughly one-third that of thickness and loading
- Good agreement with Euler calculation and experiment

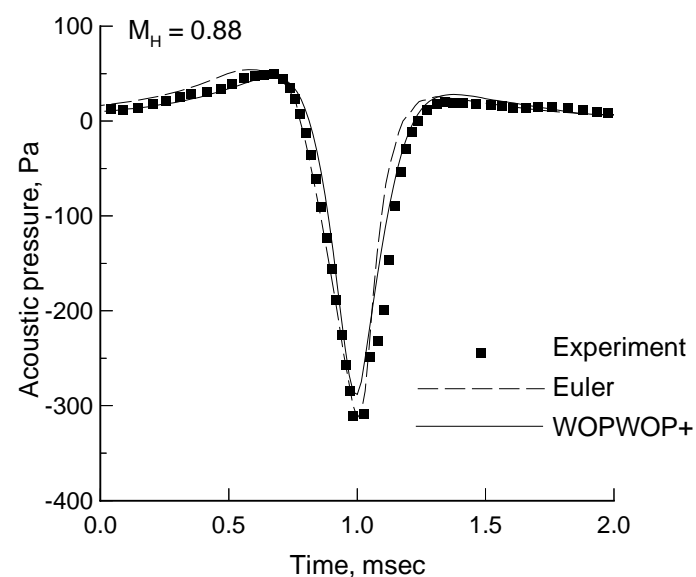
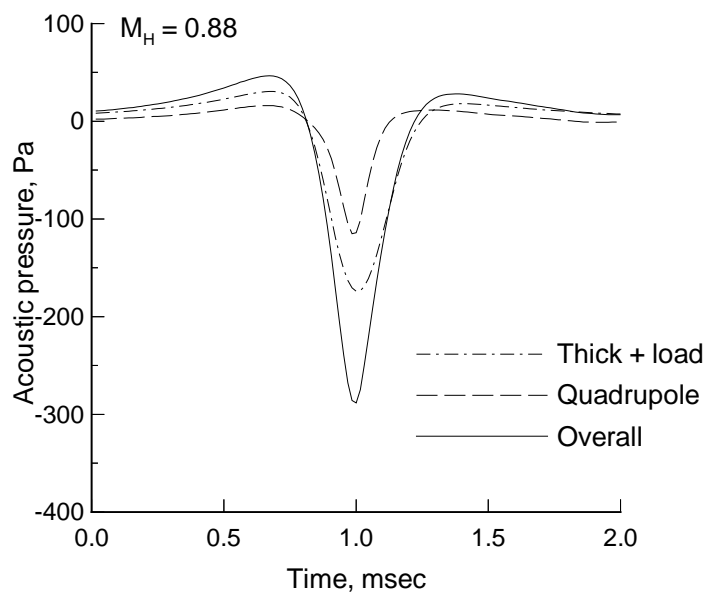
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## UH-1H Model Rotor Comparison

- Observer inplane at 3.09 R from rotor hub,  $M_H = .88$



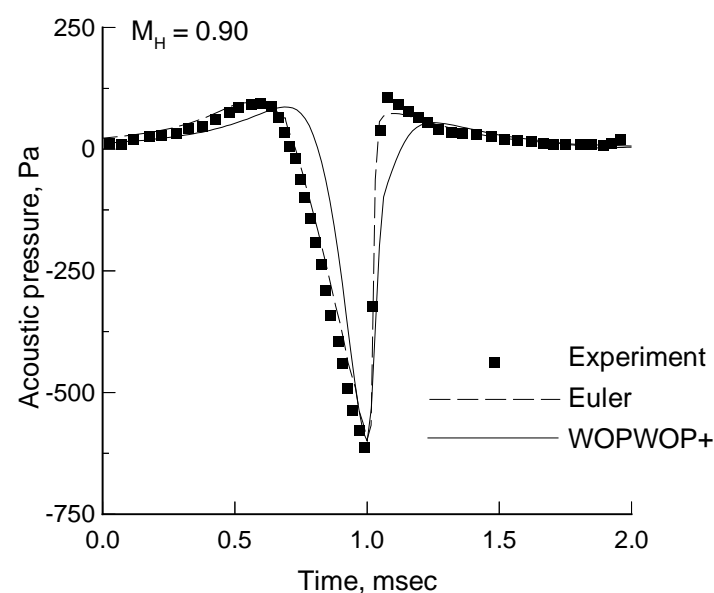
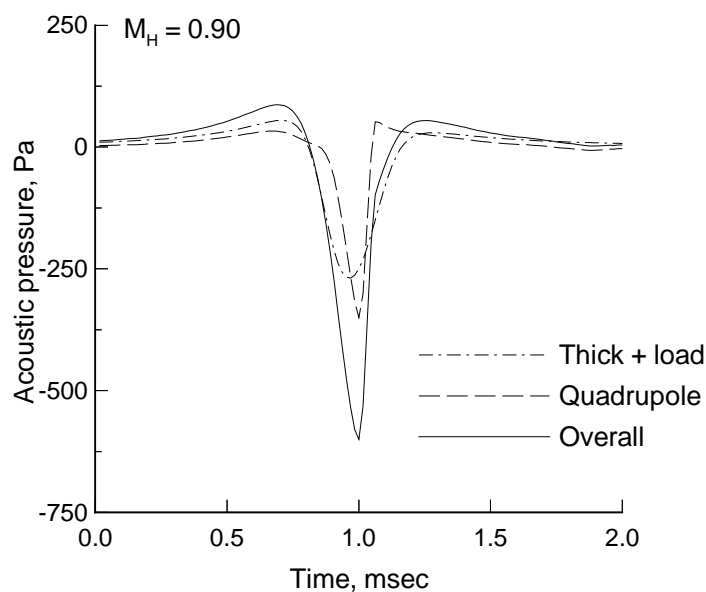
- Good agreement with Euler calculation and experiment

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## UH-1H Model Rotor Comparison

- Observer inplane at 3.09 R from rotor hub,  $M_H = .90$



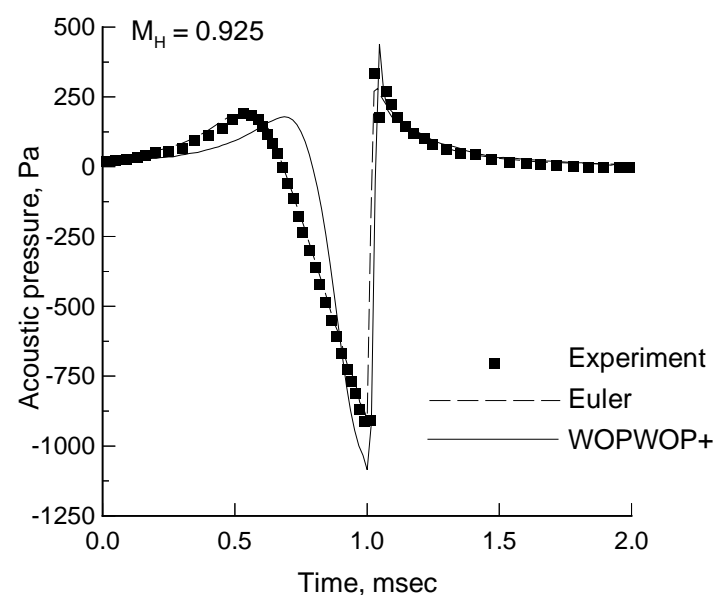
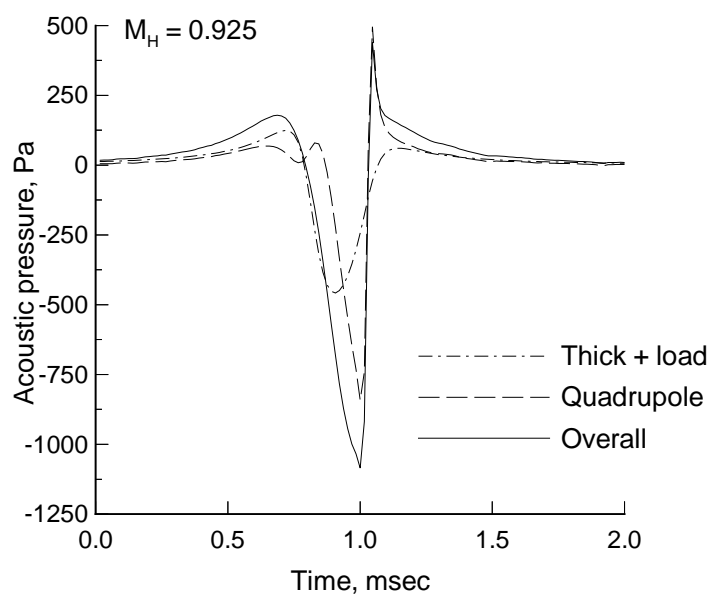
- Quadrupole contribution is larger than thickness and loading and has steepened
- Retarded-time formulation does not allow all contributing panels to be included

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## UH-1H Model Rotor Comparison

- Observer inplane at 3.09 R from rotor hub,  $M_H = .925$



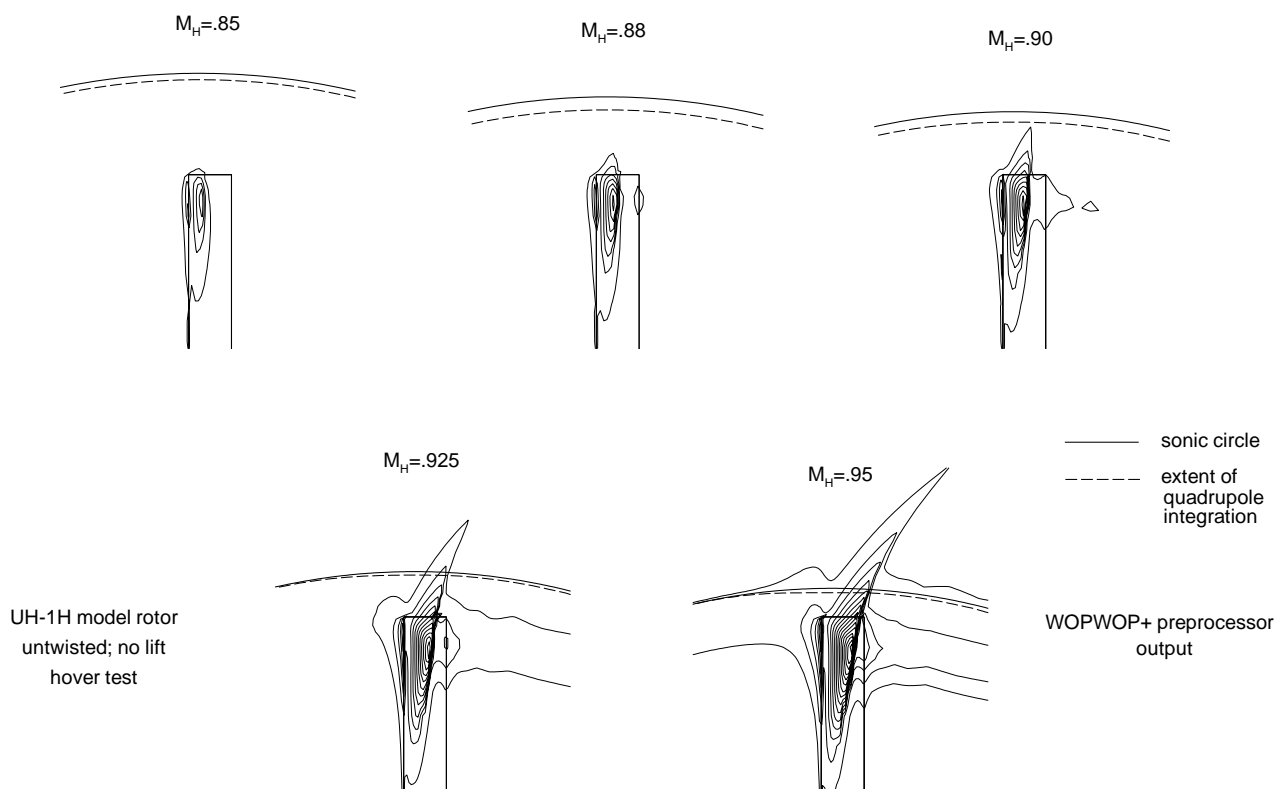
- Quadrupole negative peak pressure shifts at higher speed
- Quadrupole contribution nearly twice that of thickness and loading

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# UH-1H Model Rotor Quadrupole Strength

## ■ Contours of $Q_{ii}$

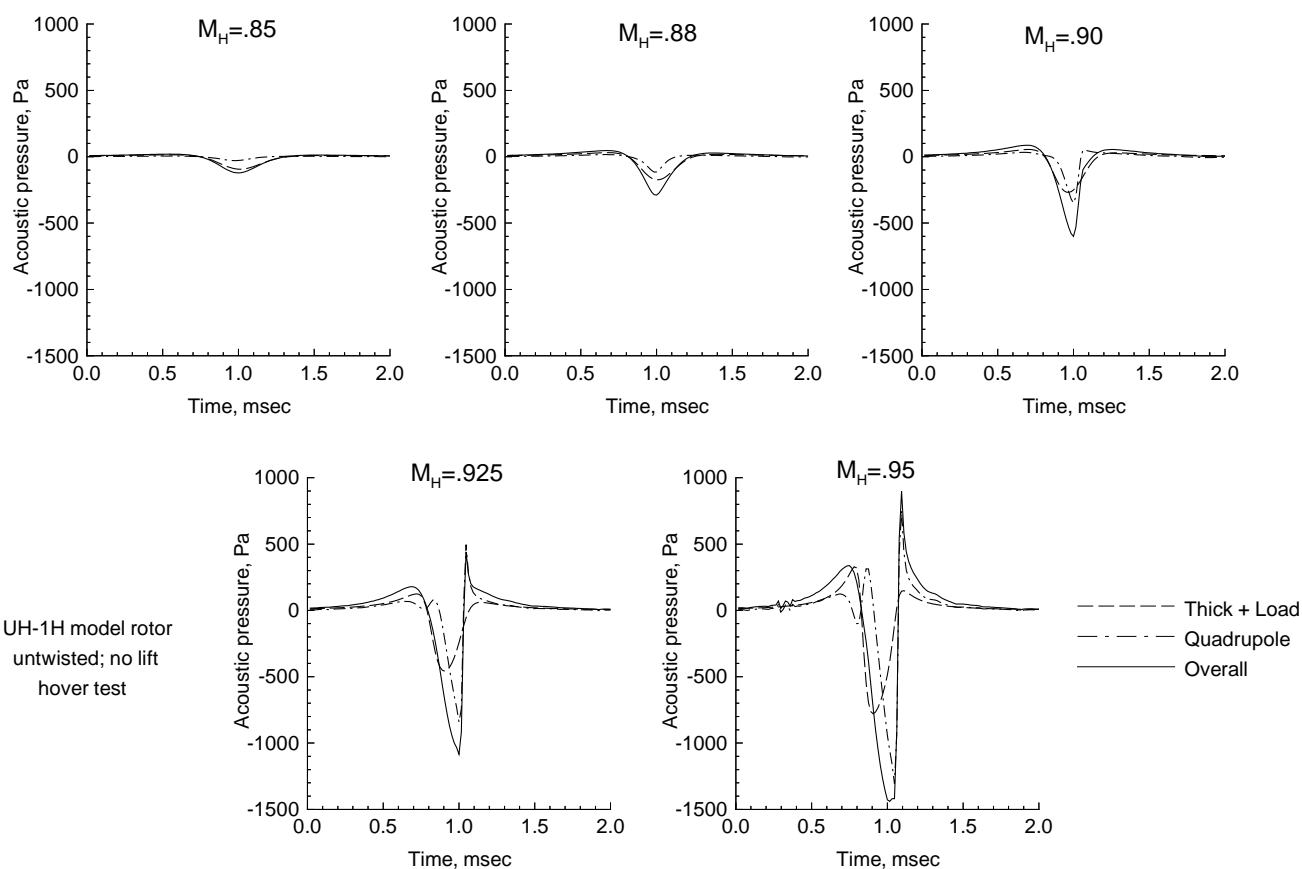


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# UH-1H Model Rotor Noise

## ■ Components of acoustic pressure

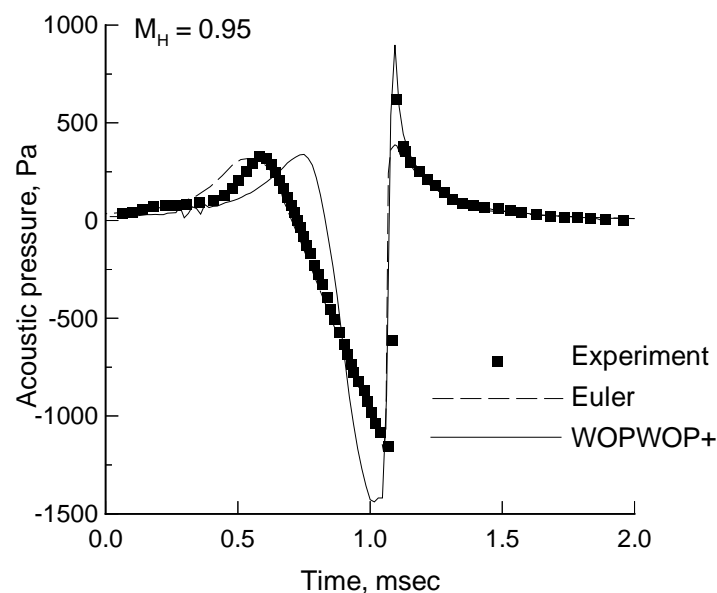
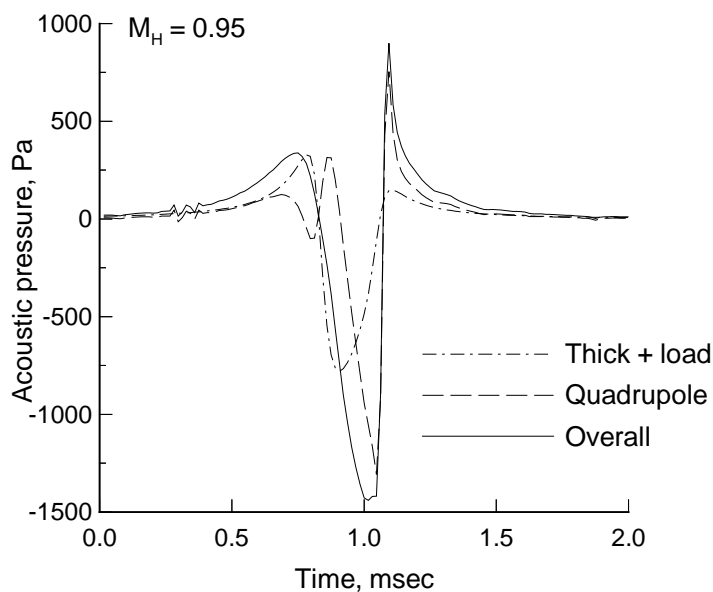


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## UH-1H Model Rotor Comparison

- Observer inplane at 3.09 R from rotor hub,  $M_H = .95$



- Quadrupole term dominates pressure time history
- Predicted signal amplitude overpredicted
- Complete signal widening not predicted, but shock-like feature captured

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## Efficiency

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### ■ Preprocessor

- nominal run time: 3-5 CPU seconds

### ■ Acoustic calculation

- thickness and loading noise: ~ 5 CPU seconds
- quadrupole noise: ~ 11-17 seconds\*
- total: ~ 16-22 CPU seconds

\* ~ 45 CPU seconds when code forced to use 20pts/panel on last two rows  
CPU times for HP 735-99 scientific workstation

### ■ Efficiency considerations

- quadrupole noise computation comparable to thickness and loading on a per panel basis
- adaptive quadrature enables use of a large number of quadrature points when needed
- reductions in CPU time possible

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## **New Prediction Methods Compared**

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- **FW-H applied off the blade surface (like a Kirchhoff method)**
- **Kirchhoff method for moving surfaces**

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## FW–H for a penetrable surface

- Not necessary to assume integration surface  $f=0$  is coincident with body

$$\square^2 p'(\vec{x}, t) = \frac{\bar{\partial}^2}{\partial x_i \partial x_j} [T_{ij} H(f)]$$
$$- \frac{\partial}{\partial x_i} [(P_{ij} \hat{n}_j + \rho u_i ((u_n - v_n))) \delta(f)]$$
$$+ \frac{\partial}{\partial t} [(\rho_o v_n + \rho ((u_n - v_n))) \delta(f)]$$
$$\frac{\partial f}{\partial t} = -v_n$$
$$\frac{\partial f}{\partial x_i} = \hat{n}_i$$

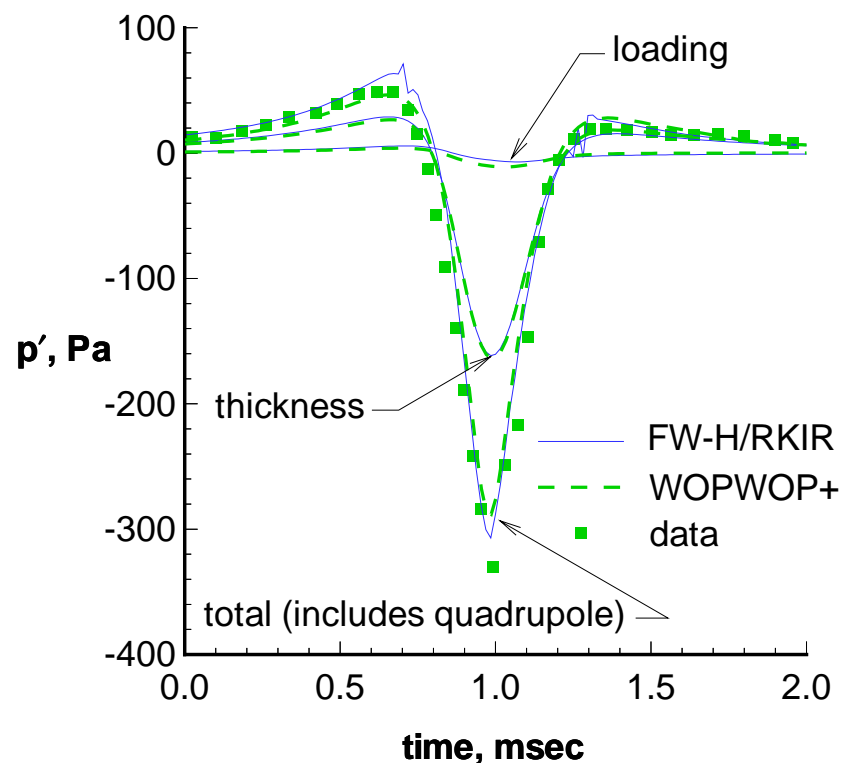
- FW–H can be used as a Kirchhoff formula

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## Identification of Noise Components

- Compare components from FW-H/RKIR with WOPWOP+
  - UH-1H rotor in hover
  - Hover solution from TURNS (Baeder)
- Two predictions necessary with FW-H/RKIR
  - thickness and loading from surface coincident with rotor blade
  - total signal (including quadrupole) from a surface approximately 1.5 chords away from blade.
- New application of FW-H equation retains advantage of predicting noise components



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## Comparison with Kirchhoff

- Manipulate FW–H source terms into form of Kirchhoff source terms (inviscid fluid)

$$\square^2 p'(\vec{x}, t) = Q_{kir} + \frac{\bar{\partial}^2}{\partial x_i \partial x_j} [T_{ij} H(f)]$$
$$- \frac{\partial}{\partial x_j} [\rho u_i u_j] \hat{n}_i \delta(f) - \frac{\partial}{\partial x_j} [\rho u_i u_n \delta(f)]$$
$$+ \frac{\partial}{\partial t} [p' - c^2 \rho'] \frac{M_n}{c} \delta(f) + \frac{\partial}{\partial t} \left[ (p' - c^2 \rho') \frac{M_n}{c} \delta(f) \right]$$

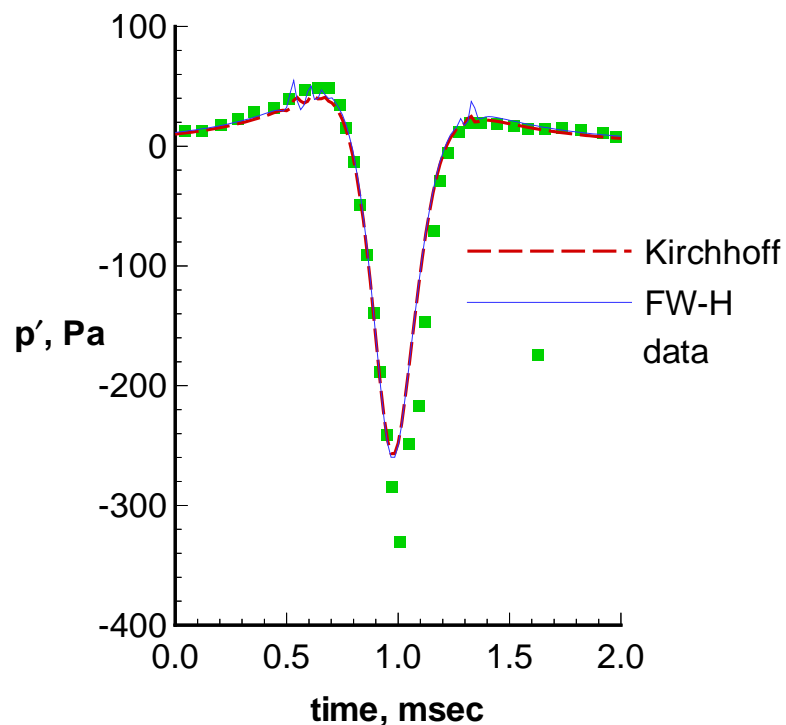
- Extra source terms are 2nd order in perturbations quantities
- FW–H and Kirchhoff source terms
  - equivalent in linear region  $(p' \approx c^2 \rho' \quad u_i \ll 1)$
  - NOT equivalent in nonlinear flow region

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## Numerical Comparison: UH-1H hovering rotor

- UH-1H rotor
  - 1/7th scale model
  - untwisted blade
- Test setup (Purcell)
  - Hover,  $M_H = 0.88$
  - inplane microphone, 3.09 R from hub
  - minimal rotor lift
- Flow-field computation
  - full potential flow solver used (FPRBVI)
  - 80 x 36 x 24 grid (somewhat coarse)
  - no rotor lift

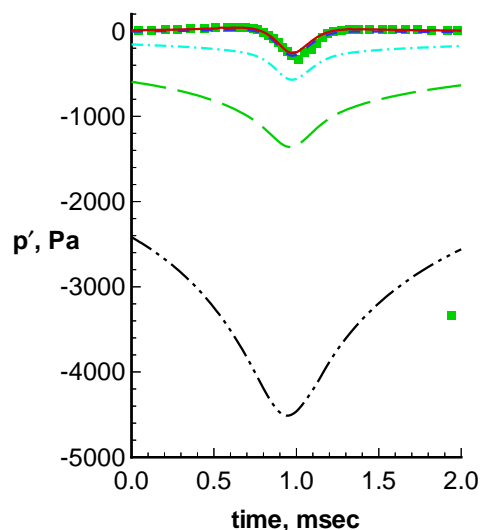


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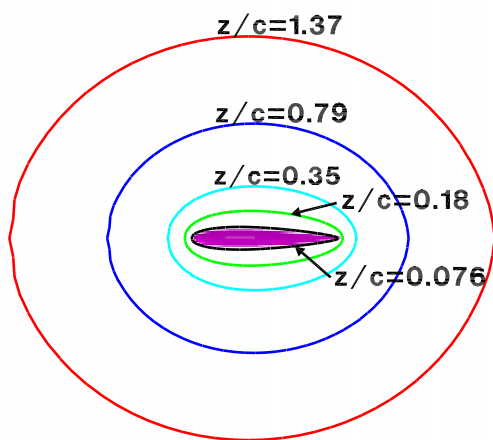


# Numerical Comparison: Sensitivity to Surface Placement

- Principal advantage of the FW–H approach is insensitivity to surface placement

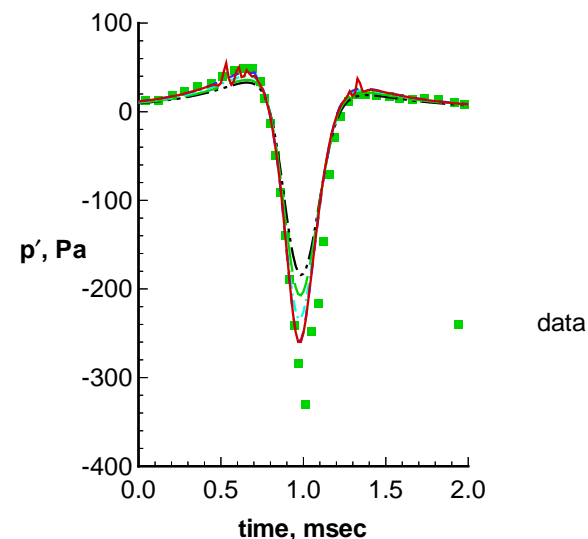


Kirchhoff



data

(Note difference in pressure scales)

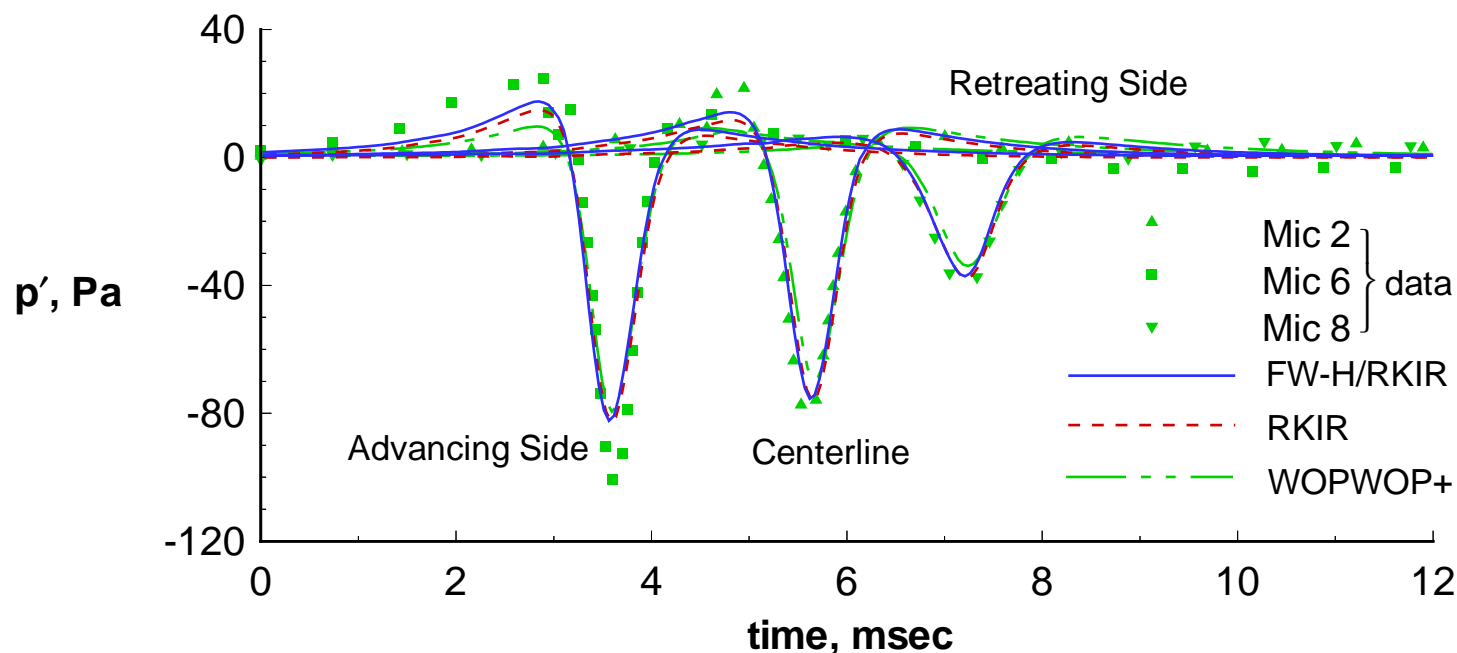


FW–H

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## Numerical Comparison: Forward Flight Case



- Advancing-side acoustic pressure underpredicted
- Agreement with data is good
- All three codes agree with each other — non-lifting rotor

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## **FW–H vs. Kirchhoff**

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- **FW–H method of choice for aeroacoustic problems**
  - **conservation of mass and momentum built in**
  - **unified theory with thickness, loading, and quadrupole source terms**
  - **insensitive to integration surface placement**
- **FW–H approach the “better” than linear Kirchhoff because:**
  - **valid in linear and nonlinear flow regions**
  - **surface terms include quadrupole contribution enclosed**
  - **physical noise components can be identified with two surfaces**
- **The Kirchhoff approach**
  - **valid only in the linear flow region (not known a priori)**
    - **input data must satisfy the wave equation**
    - **wakes and potential flow field can cause major problems**
  - **solution can be sensitive to placement of Kirchhoff surface**

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## **Broadband Noise**

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### **■ Understanding**

- **Subjectively very important**
- **Many different mechanisms responsible – separate treatment for each**
- **Physical generation mechanisms well understood**

### **■ Prediction status**

- **Unsteady blade loads calculation difficult – classical methods used**
- **Frequency domain methods only – turbulence data in frequency domain**
- **Good prediction where turbulence statistics are known**
- **Good prediction of self-noise with semi-empirical methods**

### **■ Little explored approaches**

- **Application of FW–H equation**
- **Direct simulation of blade turbulence**

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## **Future Directions**

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- **Ffowcs Williams – Hawkings equation**
  - **Maturity level high — first choice for discrete frequency noise**
  - **Efficient and robust codes currently available**
  - **Solutions to current challenges in hand(BVI and HSI noise)**
- **Alternate approaches — feasible due to advances in CFD and computer technology**
  - **FW–H equation used as Kirchhoff method**
  - **Direct computation of acoustics**
- **Relative importance of broadband noise increasing**
- **Continued work needed**
  - **wake prediction**
  - **aeroelastic coupling**
  - **full configuration aerodynamics/aeroacoustics**

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## Summary

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- **Rotor noise prediction capability is advanced**
  - **Discrete frequency noise**
    - **Thickness and loading noise – prediction now routine**
    - **Blade-vortex interaction noise – good agreement demonstrated**
    - **High-speed impulsive noise – robust solutions available; depends upon CFD**
  - **Broadband noise**
    - **Semi-empirical predictions give good results for standard helicopter rotors**
- **Challenges for the future remain**
  - **Accurate prediction of high resolution airloads**
  - **Increased importance of broadband-noise prediction**
  - **Systems noise prediction – component interaction; scattering; reflection**

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