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Heteropolyacids and sulfonic acid-1 bifunctionalized organosilica spheres for 2 efficient manufacture of cellulose acetate 3 propionate with high viscosity 4

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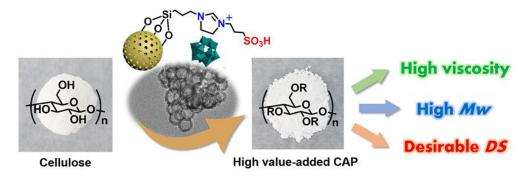
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13 Abstract. Cellulose acetate propionate (CAP), a high value-added chemical, is traditionally 14 prepared using H₂SO₄ as catalyst. Replacement of the mineral acids by solid acids is current 15 research focus for green and sustainable production of CAP. Herein, we reported the fabrication of 16 novel solid acid catalyst HPW/Si(Et)Si-Dim-SO₃H (Si(Et)Si = ethyl-bridged organosilica and Dim 17 = dihydroimidazole) by incorporating phosphotungstic acid (HPW) and sulfonic acid-based 18 Brønsted acidic ionic liquids (BILs) onto the organosilica nanospheres of the designed catalyst for 19 efficient manufacture CAP via esterification. The results indicated that the as-prepared 20 HPW/Si(Et)Si-Dim-SO₃H with 7.5% HPW loading showed the best catalytic performance at 45 °C 21 in 3 h and the resulting CAP exhibited viscosity of 447 mPa·s, Mw of 102882 and DS of 2.69. 22 Most importantly, the HPW/Si(Et)Si-Dim-SO₃H exhibited high catalytic stability over six 23 consecutive cycles and the obtained products were stable too with similar DS, Mw and viscosity. 24 As such, the designed heteropolyacids (HPAs) and sulfonic acid-bifunctionalized heterogeneous 25 catalyst is highly promising for biomass conversion under mild conditions.

26 Keywords: Solid acid catalyst, Heteropolyacids, Cellulose acetate propionate, 27 High viscosity

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28 Graphic abstract



30 Introduction

31 Utilization of biorenewable and biodegradable resource on earth, especially 32 cellulose, which can be considered as an inexhaustible polymeric material with 33 fascinating structure and properties, has attracted great attention due to the 34 declining fossil fuel reserves, energy and materials security, and global climate 35 change (Klemm et al. 2005; Chen et al. 2018; Su et al. 2014). Cellulose can be 36 used as a chemical raw material to produce various important cellulose derivatives 37 by esterification, hydrolysis, etherification etc. (Heinze et al. 2001). One 38 interesting option is the acid-catalyzed esterification of cellulose to produce 39 cellulose acetate propionate (CAP), in which some hydroxyl groups are 40 substituted with acetyl and propionyl groups. Comparing with cellulose acetate 41 (CA), CAP maintains the advantages of excellent transparency and strength, and 42 the incorporation of propionyl groups endows CAP with the properties of water 43 resistance, solubility and compatibility with other polymers. CAP, especially that 44 with high viscosity is considered as high value-added chemicals for specialty 45 coatings, plastic products, tool handles, separation membranes etc. (Edgar et al. 46 2001; Li et al. 2012). Therefore, the parameters such as the degree of substitution 47 (DS), molecular weight (Mw), viscosity etc. are the important features for 48 evaluating the performance of cellulose esterification, rather than that of 49 traditional conversion or selectivity or yield. For practical purposes, the viscosity 50 and Mw of CAP even should be more concerned compared to DS. Indeed, H₂SO₄ 51 can effectively catalyze cellulose esterification. Nevertheless, such process suffers 52 severe corrosion, cellulose degradation and decomposition from and 53 uncontrollable process problems (Yan et al. 2009). As such, it is imperative to 54 develop environmentally benign catalytic process for cellulose esterification. To 55 date, considerable efforts have been paid and various solvents systems and 56 heterogeneous catalysts were applied for the cellulose esterification. According to 57 the reported work, the explored solvents systems can be beneficial to the 58 dissolution of cellulose such as N,N'-dimethylacetamide/LiCl (El Seoud et al. 59 2000; Marson et al. 1999), 4-methylmorpholine-N-oxide (Biganska et al. 2005), 60 tetrabutylammonium fluoride trihydrate/DMSO (Heinze et al. 2000; Hussain et al. 61 2004) and ionic liquids (Barthel et al. 2006; Huang et al. 2011; Köhler et al. 2007; 62 Wu et al. 2004; Abbott et al. 2005; Cao et al. 2007, 2009). Besides, several 63 sulfated metal oxides like SO_4^2 /ZrO₂ (Yan *et al.* 2006) and SO_4^2 /TiO₂ (Meng *et* *al.* 2017) were employed for the synthesis of cellulose ester due to their strong
acidity. However, the industrial processing and practical applications of CAP is
greatly restricted by these catalytic systems because it is really challenging to
obtain the CAP with high viscosity and *Mw etc*.

68 Heteropolyacids (HPAs) are a class of discrete anionic metal oxides with 69 attractive properties (Song et al. 2012; Omwoma et al. 2014; An et al. 2019). 70 They have been regarded as the potential green alternatives to traditional mineral acids owing to their tunable Brønsted or Lewis acidity, thermal stability, redox 71 72 properties, etc. (Tao et al. 2015, 2017; Li et al. 2016; Shi et al. 2017; Li et al. 73 2017; Zhang et al. 2019; Fan et al. 2013). For instance, cellulose acetate with DS 74 of ca. 2.2 can be obtained by phosphotungstic acid-catalyzed esterification of 75 cellulose with acetic anhydride in dichloromethane (Fan et al. 2013). However, it 76 is still a high challenge in extensive application of HPAs due to the problems of their low BET surface areas (< 10 m² g⁻¹), difficult recovery and separation. 77 Tightening environmental legislation is driving the chemical industries to 78 79 manufacture high value-added CAP by developing efficient and environmental-80 benign solid acid catalyst.

81 Under such circumstances, aiming at development of green and sustainable 82 cellulose esterification process, we herein reported a series of heterogeneous 83 catalysts by immobilizing HPW and sulfonic acid-based BILs on organosilica 84 as HPW/Si(Et)Si-Dim-SO₃H). nanospheres (donated The as-prepared 85 HPW/Si(Et)Si-Dim-SO₃H exhibited much higher viscosity and Mw compared with H₂SO₄ etc. under the same reaction. 86

87 Experimental section

88 Chemical and reagents. 1,2-Bis(trimethoxysilyl)ethane (BTMSE, 97%), 89 Pluronic P123 (EO₂₀PO₇₀EO₂₀) and Pluronic F127 (EO₁₀₆PO₇₀EO₁₀₆) were 90 purchased from Sigma-Aldrich Company Ltd. 1,3,5-trimethylbenzene (TMB) was 91 obtained from Tianjin Guangfu Fine Chemical Research Institute. N-[3-92 (triethoxysilyl)propyl]-4,5-dihydroimidazole (98%) and 1,3-propanesultone (98%) 93 Sigma-Aldrich. were purchased from Phosphotungstic acid (HPW), 94 phosphomolybdic acid (HPMo), silicotungstic acid (HSiW) and silicomolybdic 95 acid (HSiMo) were purchased from Tianjin Fuchen Chemical Reagent Factory.

Ethanol, toluene, acetone, hydrochloric acid, acetic acid and propionic acid were
obtained from Beijing Chemical Works. Acetic anhydride and propionic
anhydride were purchased from Sinopharm Chemical Reagent Co., Ltd., and
microcrystalline cellulose was obtained from Alfa Aesar.

All chemicals were analytical reagent grade. Anhydrous toluene was
obtained by treating toluene with sodium, and the other chemicals were directly
used without purification.

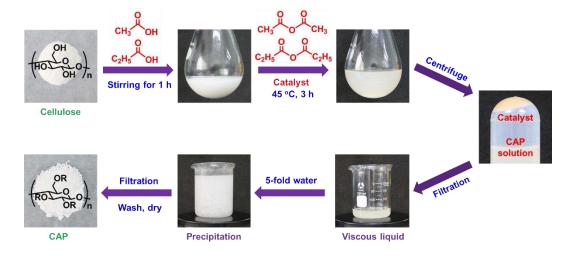
Preparation of HPW/Si(Et)Si-Dim-SO₃H. The synthesized method of 103 104 mesoporous organosilica nanospheres was referenced to the literature (An et al. 105 2016). Typically, P123 (0.25 g), F127 (0.10 g), HCl (2.20 mL), and TMB (0.70 106 mL) were successively added into deionized water (12.90 mL) with stirring at 107 room temperature for 2 h. After heated to 40 °C, BTMSE (0.61 mL) was added 108 dropwise into the mixture and pre-hydrolyzed for 45 min. Then, N-[3-109 (triethoxysilyl)propyl]-4,5-dihydroimidazole (0.14 mL) was added to the mixture 110 with stirring for 24 h and aged at 100 °C for another 24 h. The obtained 111 suspension was separated by filtration and drying. Then, the solid was dispersed 112 in ethanol (50 mL) and refluxed at 80 °C for 6 h for three times and drying 113 overnight to remove the template. Subsequently, the obtained solid was dispersed 114 with 1,3-propanesultone (0.27 mL) in anhydrous toluene (30 mL) and refluxed at 115 80 °C for 24 h. The solid named Si(Et)Si-Dim⁺-SO₃⁻ was obtained followed by separation, washing with ethanol and acetone and drying. Finally, the as-prepared 116 117 Si(Et)Si-Dim⁺-SO₃⁻ and HPW (0.085 g) were added into deionized water (50 mL) with stirring at room temperature for 12 h. HPW/Si(Et)Si-Dim-SO₃H was 118 119 successfully fabricated followed by separation, washing with water and drying.

Preparation of HPAs/Si(Et)Si-Dim-SO₃H. In order to investigate the
influence of the composition of Keggin type HPAs on catalytic performance, we
prepared HPMo/Si(Et)Si-Dim-SO₃H, HSiW/Si(Et)Si-Dim-SO₃H and
HSiMo/Si(Et)Si-Dim-SO₃H by a similar procedure as the above HPW/Si(Et)SiDim-SO₃H except that HPMo, HSiW and HSiMo were used instead of HPW.

Preparation of HPW/Si(Et)Si-Dim-SO₃H-3D_{int}. In order to investigate the influence of the morphological characteristics on catalytic performance, the counterpart with 3D interconnected mesostructure (HPW/Si(Et)Si-Dim-SO₃H-3D_{int}) was prepared by a similar procedure as the above HPW/Si(Et)Si-Dim-SO₃H except the molar ratio of composition. The molar ratio of P123 : HCl : BTMSE : 130 N-[3-(triethoxysilyl)propyl]-4,5-dihydroimidazole : 1,3-propanesultone : HPW = 131 86 : 3600 : 2409 : 520 : 3054 : 30.

132 Catalytic tests. The esterification of cellulose to synthesis CAP was carried 133 out in a round-bottom flask in a temperature-controlled oil bath. As shown in 134 Scheme 1, the procedure for esterification of cellulose (2 g, 12.5 mmol) to 135 synthesis CAP suffered from activation by acetic acid (10 g, 167 mmol) and 136 propionic acid (10 g, 135 mmol) at room temperature for 1 h firstly. Then, the esterifying agent acetic anhydride (10 g, 100 mmol) and propionic anhydride (10 137 138 g, 75 mmol) were added consecutively into the activated cellulose, followed by 139 the as-prepared catalyst (25 mg). The reaction mixture was keeping in stirring at 140 45 °C for 3 h to complete the esterification. Subsequently, the reaction mixture 141 was centrifuged and filtered to obtain viscous CAP solution and recovered 142 catalyst. Finally, the CAP was gradually precipitated by dropping the above 143 viscous liquid into 5-flod deionized water and was collected followed by filtering, 144 washing and drying overnight.

For the study of reusability and the characterization of used-catalyst after each catalytic cycle, the separated catalyst powder was collected by washing with acetone and deionized water and drying overnight, followed by using in another catalytic cycle.





150 Scheme 1 Illustration for the procedure of esterification of cellulose to CAP

The degree of substitution (*DS*) of CAP were determined by ¹H NMR spectra at room temperature. The samples were dissolved in CDCl₃, containing a drop of deuterated trifluoroacetic acid to shift active hydrogen to low field area. The *DS* of CAP was calculated using the following equations according to the literature method: (Huang *et al.* 2011)

$$DS_A = \frac{I_A \times 7}{I_{AGU} \times 3} \qquad (1)$$

$$DS_P = \frac{I_P \times 7}{I_{AGU} \times 3}$$
 (2)

$$DS_{total} = DS_A + DS_P \qquad (3)$$

159
$$A\% = \frac{DS_A \times 43}{162 - DS_{total} + DS_A \times 43 + DS_P \times 57} \times 100\%$$
(4)

160
$$P\% = \frac{DS_P \times 57}{162 - DS_{total} + DS_A \times 43 + DS_P \times 57} \times 100\%$$
(5)

where DS_A , DS_P , and DS_{total} are the degree of substitution of acetyl, propionyl and total acyl groups, respectively. I_A , I_P , and I_{AGU} are the peak integrals of the methyl protons of the acetyl (δ 2.0, 3H) and propionyl (δ 1.0, 3H), and all protons of the anhydroglucose unit (AGU) (δ 2.8–5.9, 7H), respectively.

For viscosity measurement, 2.0 g of CAP was added into acetone (8.0 g) to
dissolve the sample. The viscosity was determined in water-bath at 25 °C.

167 Characterization. Transmission electron microscopy (TEM) and high-168 resolution transmission electron microscopy (HRTEM) observations were 169 performed on a Hitachi H-800 instrument and a JEOL JEM-2010 electron 170 microscope operating at 400 kV, respectively. The N₂ adsorption-desorption 171 measurements were performed on a Micromeritics ASAP 2020M surface area and 172 porosity analyzer after the samples were degassed under vacuum at 100 °C for 6 h 173 before measurements. Fourier transform infrared (FT-IR) spectra were collected 174 on a Bruker Vector 22 infrared spectrometer using the KBr pellet method. X-ray 175 photoelectron spectroscopy (XPS) measurements were recorded with 176 monochromatized ALK exciting X-radiation (PHI Quantera SXM). The solid-177 state NMR experiments were performed on a Bruker Avance 300M solid-state 178 spectrometer equipped with a commercial 5 mm MAS NMR probe. 179 Thermogravimetric (TG) analysis was tested by an STA-449C Jupiter (HCT-2 Corporation, China) under air atmosphere (20 mL min⁻¹) with a heating rate of 10 180 ^oC min⁻¹. HPW loading was determined by inductively coupled plasma atomic 181 182 emission spectroscopy (ICP-AES) analysis that carried out on a Shimadzu ICPS-183 7500 instrument. The acid concentrations were tested by acid-base titration. The 184 initial electrode potential (E_i) was determined by SNR B639073181 (Mettler Toledo), with DGi115-SG electrode. ¹H and ¹³C NMR spectra of the CAP were 185

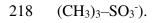
186 collected on a Bruker AV400 NMR spectrometer at 400 MHz. Heteronuclear 187 singular quantum (HSQC) and heteronuclear multiple bond correlation (HMBC) 188 2D NMR spectra were acquired on a Bruker AV600 NMR spectrometer at 600 189 MHz. The *Mw* of CAP were determined by Gel permeation chromatography 190 (GPC) analysis, which was performed with 515 HPLC pump and 2410 RI 191 Detector (Waters Corporation, America) with tetrahydrofuran as the mobile phase. 192 The dynamic viscosity of CAP was measured with the NDJ-79 type of rotating 193 viscometer (Shanghai Changji Geological Instrument Co., Ltd).

194 **Result and discussion**

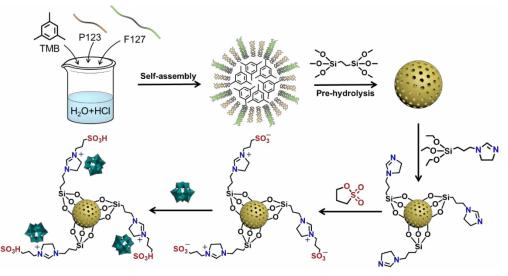
195 Preparation of catalysts. Taking the advantages of controllable 196 morphological nanostructure, excellent surface physicochemical properties and 197 functional diversity of organosilica (Zhang et al. 2014; Hu et al. 2011; Song et al. 198 2016), we fabricated organosilica-based nanospheres to provide large surface area 199 for incorporating more exposed acid sites. Moreover, the introduced sulfonic acid-200 based BILs was contributed to increase compatibility and accessibility between 201 catalysts and substrates, and the incorporation of HPW is beneficial for the 202 controllable esterification process, which was expected to facilitate the 203 esterification.

204 The procedure for preparation of the HPAs and sulfonic acid-205 bifunctionalized organosilica nanospheres (HPAs/Si(Et)Si-Dim-SO₃H) is shown 206 in Scheme 2, which can be divided into three processes including formation of 207 micelles, co-hydrolysis and -condensation of precursors as well as immobilization 208 of active species. During the first process, the micelles of the triblock copolymer 209 surfactant self-assembled to form spherical topography by using TMB as 210 expansion agent through hydrophilic-hydrophobic interactions. The hydrophobic -CH₂(CH₃)CHO blocks aggregated with hydrophobic TMB together to form a 211 212 core, while the hydrophilic -CH₂CH₂O blocks are closed to water molecule to 213 formed the hydrated corona. Subsequently, the silica/carbon frameworks 214 gradually formed and the dihydroimidazole modified on organosilica through the 215 co-hydrolysis and co-condensation (An et al. 2016). For the immobilization of 216 active species, HPW was successfully incorporated by the strong electrostatic

217 interaction between anion of HPW and N⁺ of the zwitterionic structure (N⁺-



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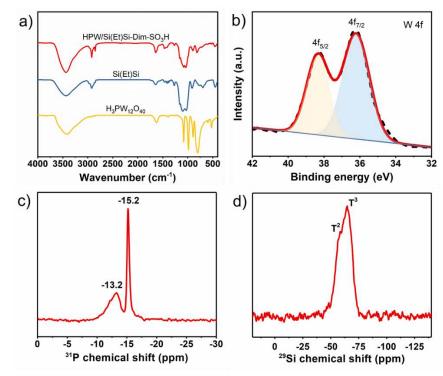
Scheme 2 Illustration of the employed synthetic procedure for the preparation of HPW/Si(Et)Si Dim-SO₃H

Chemical structure. The structural integrity of the as-prepared
HPW/Si(Et)Si-Dim-SO₃H catalysts were confirmed by FT-IR (Figure 1a), W 4*f*XPS (Figure 1b), ³¹P (Figure 1c) and ²⁹Si MAS NMR (Figure 1d).

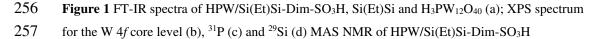
225 As shown in FT-IR spectra (Figure 1a), HPW/Si(Et)Si-Dim-SO₃H and 226 Si(Et)Si exhibit the characteristic bands at 1024, 1107 and 2930 cm⁻¹, which 227 could be assigned to the stretch vibrations of Si–O, Si–C and C–H bond of –CH₂– 228 CH₂- group, respectively (Zhu et al. 2011). It is indicated that the FT-IR spectrum 229 of HPW/Si(Et)Si-Dim-SO₃H has the characteristic S-O vibrations at 610 and 530 230 cm^{-1} , respectively, indicating the existence of $-SO_3H$ group (Cao *et al.* 2018). 231 Moreover, H₃PW₁₂O₄₀ shows the characteristic vibration at 1079, 985, 890 and 232 795 cm^{-1} , respectively, which can be assigned to the stretching of tetrahedral P–O 233 bonds, terminal $W=O_t$ bonds and two types of bending vibrations originating from 234 the bridging W-O_b-W bonds (Schnee et al. 2017). The above characteristic 235 vibrational signals can be observed in the FT-IR spectra of HPW/Si(Et)Si-Dim-236 SO₃H. The above results indicate that 1) the bridged ethyl organosilica, sulfonic acid-based BILs and HPW were successfully incorporated onto the nanospheres; 237 238 2) the primary Keggin structure remained intact after immobilization.

In the W 4*f* XPS spectrum of HPW/Si(Et)Si-Dim-SO₃H (Figure 1b), it can be deconvoluted into two signals centered at 37.7 and 35.6 eV due to the W 4*f*_{5/2} and W 4*f*_{7/2} spin-orbit components accordingly (Geng *et al.* 2018). As can be seen from the ³¹P MAS NMR spectrum (Figure 1c), the sharp peak centered at -15.2ppm can be assigned to the resonance of the encapsulated PO₄^{3–} units within the H₃PW₁₂O₄₀ cage, indicating the structural integrity of the incorporated H₃PW₁₂O₄₀ (Kozhevnikov *et al.* 1996). Another peak at -13.2 ppm indicates the presence of (\equiv SiOH²⁺)(H₂PW₁₂O₄₀⁻) species, which comes from the interaction between HPW and the –OH groups of silica/carbon framework (Kozhevnikov *et al.* 1996; Lefebvre 1992; Kasztelan *et al.* 1990).

As shown in Figure 1d, the ²⁹Si MAS NMR spectrum displays two peaks corresponding to T³ (δ = -64.7 ppm) and T² (δ = -58.4 ppm), where T^m = RSi(OSi)m(OH)_{3-m} (m = 1-3). Most importantly, there are no signals corresponding to the Qⁿ species, where Qⁿ = Si(OSi)_n(OH)_{4-n}, (n = 2-4), confirming that the integrity of silica/carbon framework (Inagaki *et al.* 1999, 2002).



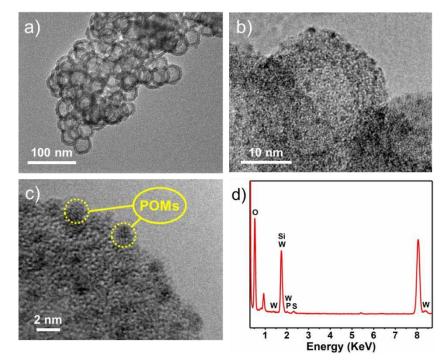




The thermogravimetric studies were carried out in the range of 30 to 800 °C. As shown in Figure S1, the TG-DTA plot of HPW/Si(Et)Si-Dim-SO₃H shows two consecutive weight losses. The first weight loss occurs in the range of 30 to 100 °C (*ca.* 3.84%) which can be attributed to the loss of water molecules adsorbed by the catalysts. Another weight loss in the range of 200 to 600 °C (*ca.* 19.58%) can be attributed to the decomposition of the bridging ethyl groups, sulfonic acidbased BILs, residual P123, F127 and the collapse of the silica/carbon frameworkand decomposition of the Keggin structure.

Based on the above structural information, we successfully fabricated the HPAs and sulfonic acid-bifunctionalized organosilica nanospheres and they are stable below 200 °C.

269 Morphology, porosity and acidity. TEM images of as-prepared catalysts 270 (Figure 2) indicates that they are composed of well-dispersed nanospheres with 271 uniform particle size. It shows that the particle size and inner diameter of 272 HPW/Si(Et)Si-Dim-SO₃H are *ca.* 32 nm and *ca.* 17 nm, respectively, and the shell 273 thickness is ca. 7 nm (Figure 2a). Comparing with Si(Et)Si-Dim-SO₃H, HPW/Si(Et)Si-Dim-SO₃H 1 and HPW/Si(Et)Si-Dim-SO₃H 2 (Figure S2), which 274 275 possess different HPW loading, the spherical nanostructure is still remained, 276 indicating that nanospheres formed by P123- and F127-directed route is stable 277 enough. From HRTEM images of HPW/Si(Et)Si-Dim-SO₃H (Figure 2b and 2c), 278 we can clearly see complete and well-defined nanospheres with uniformly 279 dispersed black spots of 1–2 nm, which is in agreement with the dimensions of the 280 H₃PW₁₂O₄₀ clusters (yellow circles in Figure 2c). Furthermore, Figure 2d shows 281 the EDS isotherm of HPW/Si(Et)Si-Dim-SO₃H, which confirms the presence of 282 HPW. The above results suggest that the HPW were successfully incorporated to 283 the silica/carbon framework.

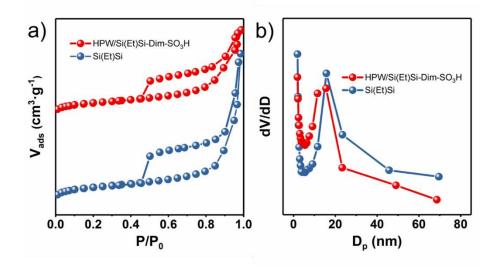


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Figure 2 TEM images (a), HRTEM images (b, c) and EDS isotherm (d) of HPW/Si(Et)Si-Dim-

286 SO₃H

287 Figure 3a shows the N₂ adsorption-desorption isotherms, in which the type 288 IV isotherm confirms the mesoporous nature of the HPW/Si(Et)Si-Dim-SO₃H and 289 Si(Et)Si. Moreover, both of them exhibit one hysteresis loop that can be attributed 290 to the hollow interior of the spherical nanostructures or the void space formed 291 between the loosely packed spheres. The capillary condensation steps occur at 292 $P/P_0 = 0.45-0.99$. As shown in Figure 3b, BJH pore size distribution curves show 293 that the HPW/Si(Et)Si-Dim-SO₃H and Si(Et)Si exhibit one peak at 15.6 nm and 294 15.8 nm, respectively, which confirms the mesoporous structure of the 295 nanospheres and corresponds to the uniform hollow interior of the nanospheres.



296

Figure 3 Nitrogen gas adsorption-desorption isotherms (a) and BJH pore size distribution profiles
(b) of Si(Et)Si and HPW/Si(Et)Si-Dim-SO₃H

299 From the detailed textural parameters in Table 1, it can be found that as-300 prepared catalysts possess similar pore size (15.6 and 15.8 nm), large BET surface area (376 and 439 m² g⁻¹) and pore volume (1.11 and 1.95 cm³ g⁻¹). The as-301 prepared HPW/Si(Et)Si-Dim-SO₃H exhibits the acid density of 953 µmol g⁻¹. The 302 303 initial electrode potential (E_i) indicates the maximum acid strength of the surface 304 site. Materials with E_i values higher than 100 mV are defined as very strong solid 305 acids, and that in the range of 0-100 mV can be defined as strong solid acids 306 (Kuzminska et al. 2014). It can be seen that HPW/Si(Et)Si-Dim-SO₃H presents 307 187.7 mV of E_i , which clearly indicates that it possesses strong Brønsted acidity.

308	Table 1 Porosity and acidity of HPW/Si(Et)Si-Dim-SO ₃ H and Si(Et)Si
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Catalust	$S_{\rm BET}$	$D_{\rm p}$	$V_{\rm p}$	Acid density	E_i
Catalysts	$(m^2 g^{-1})$	(nm)	$(cm^3 g^{-1})$	$(\mu mol g^{-1})$	(mV)
HPW/Si(Et)Si-Dim-SO ₃ H	376	15.6	1.11	953	187.7

12

Si(Et)Si	439	15.8	1.95	75	-31.4
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309 *Catalytic studies.* In order to screen out the HPAs and sulfonic acid-310 bifunctionalized organosilica nanospheres with the best performance, the 311 influences of the composition and loading of HPAs on the catalytic activity were 312 carefully investigated. The corresponding characteristic results are displayed in 313 Figure S1–S4.

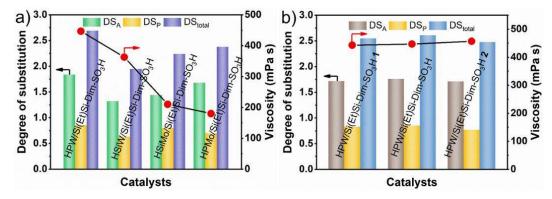


Figure 4 Influence of the composition (a) and loading (b) of HPAs on the *DS* and viscosity of
CAP. Reaction conditions: 45 °C, 1.25 wt% catalyst, anhydride/cellulose mass ratio of 10:1 and
acetic anhydride/propionic anhydride mass ratio of 1 : 1 for 3 h

314

318 The Brønsted acid strength of HPAs is closely related to the composition and 319 the Brønsted acid strength order as follows $H_3PW_{12}O_{40} > H_3PM_{012}O_{40} >$ 320 $H_4SiW_{12}O_{40} > H_4SiMo_{12}O_{40}$. Therefore, the influence of the composition of 321 Keggin type HPAs on esterification performance was investigated firstly (Figure 322 4a and Table S1). Among the HPW/Si(Et)Et-Dim-SO₃H, HPMo/Si(Et)Et-Dim-323 SO₃H, HSiW/Si(Et)Et-Dim-SO₃H and HSiMo/Si(Et)Et-Dim-SO₃H catalysts, the 324 highest DS of 2.69, Mw of 102882 and viscosity of 447 mPa·s are obtained by 325 HPW/Si(Et)Et-Dim-SO₃H-catalyzed esterification reaction because of the 326 inherent strong acidic strength of HPW. The above results further demonstrate the 327 importance of Brønsted acid strength to the manufacture of CAP with high 328 viscosity.

Subsequently, the influence of HPW loading on CAP properties was evaluated by choosing HPW/Si(Et)Et-Dim-SO₃H **1** (HPW loading of 5.9%), HPW/Si(Et)Et-Dim-SO₃H (HPW loading of 7.5%) and HPW/Si(Et)Et-Dim-SO₃H **2** (HPW loading of 14.2%) as representative catalysts. The results in Figure 4b and Table S1 show that *DS* and *Mw* of CAP slightly increase with increasing the HPW loading, while it decreases with further increasing the HPW loading. Meanwhile, the three products exhibit similar viscosity (*ca.* 443–457 mPa·s). Based on the above results, the *DS* and *Mw* of CAP strongly linked to the HPW loading and HPW/Si(Et)Et-Dim-SO₃H-catalyzed esterification exhibit the highest *DS* (2.69) and *Mw* (102882). Therefore, HPW/Si(Et)Si-Dim-SO₃H with HPW loading of 7.5% is chosen for subsequent catalytic test.

Considering of the importance of various reaction parameters for high valueadded CAP, we carefully investigated the influence of mass ratio and molar ratio of substrates, mass ratio and molar ratio of anhydride, temperature and reaction time on *DS*, viscosity and *Mw* of CAP by choosing the optimized HPW/Si(Et)Si-Dim-SO₃H as the representative catalyst.

345 First of all, the amount of esterifying agent (acetic anhydride and propionic 346 anhydride), which is crucial to the properties of CAP, were studied. In Figure 5a and Table S2, the DS, viscosity and Mw gradually increased with increasing 347 348 anhydride/cellulose mass ratio from 6:1 to 10:1 (molar ratio from 8.4:1 to 14: 349 1), and the highest DS of 2.69, viscosity of 447 mPa \cdot s and Mw of 102882 are 350 obtained. Nevertheless, further increase of the anhydride/cellulose mass ratio to 351 12:1 (molar ratio of 16.8:1) and 14:1 (molar ratio of 19.6:1) leads to the 352 decrease of DS, viscosity and Mw. Based on the principle of chemical equilibrium, 353 higher anhydride-to-cellulose mass ratio or excessive esterifying agent can drive 354 the equilibrium to the CAP product and thereby higher DS and Mw. However, 355 much more esterifying agent may dilute the reaction system, leading to the poor 356 properties of CAP. As a result, we select the anhydride and cellulose mass ratio of 357 10:1 (molar ratio of 14:1) for the subsequent catalytic tests.

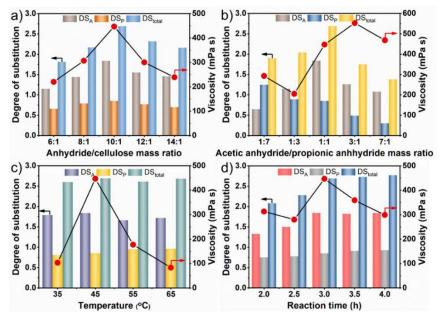


Figure 5 Influence of anhydride/cellulose mass ratio (a), acetic anhydride/propionic anhydride
 mass ratio (b), temperature (c) and reaction time (d) on the *DS* and viscosity of CAP

361 Secondly, we controlled acetic anhydride-to-propionic anhydride mass ratio 362 to investigate the corresponding DS, Mw and viscosity of CAP (Figure 5a and 363 Table S3). It is found that the CAP exhibits desired DS, high Mw and viscosity 364 only under the acetic anhydride-to-propionic anhydride of 1 : 1 (molar ratio of 4 : 365 3). Moreover, as shown in Figure 5b, the viscosity of CAP is on the increase with 366 DS_P decreasing, indicating that the content of propionyl groups has a profound 367 influence on the CAP viscosity. Considering of DS, Mw and viscosity, 1:1 of 368 acetic anhydride-to-propionic anhydride (molar ratio of 4 : 3) is selected for the 369 subsequent catalytic tests.

370 Figure 5c shows the effect of reaction temperature (35, 45, 55 and 65 °C) on 371 DS and viscosity of CAP. It is found that there is little difference to the DS 372 (2.65-2.69) of CAP with adjusting reaction temperature because of the 373 equilibrium of esterification of cellulose over 45 °C. However, the viscosity 374 highly depends on the reaction temperature. For example, viscosity rises sharply 375 from 103 to 447 mPa·s with the reaction temperature changed from 35 °C to 45 376 °C. Then, with further increasing reaction temperature to 55 and 65 °C, viscosity 377 drops to 177 and 83 mPa·s, respectively. Additionally, the Mw of the products exhibits a similar trend with viscosity (Table S4), and exhibit the highest value of 378 379 102882 at 45 °C. This is due to that the glycosidic bonds among cellulose base 380 rings are unstable under high temperature, leading to the degradation of cellulose. 381 Thus, 45 °C was selected for the optimized reaction temperature.

382 At last, in order to investigate the influence of reaction time on catalytic 383 performance, 2.0, 2.5, 3.0, 3.5, and 4.0 h was selected. As shown in Figure 5c, the 384 DS of CAP increases obviously from 2.08 to 2.69 with prolonging reaction time 385 from 2.0 to 3.0 h. Then, it tends to be stable when further prolonging reaction time 386 to 3.5 and 4.0 h. On the other hand, the viscosity shows a rising trend from 2 h to 387 3 h and drops down to 299 mPa s with further prolonging time to 4.0 h. This is 388 due to the degradation of long chain in the amorphous cellulose or in the 389 crystalline cellulose with weak intermolecular and intramolecular hydrogen bond 390 under high temperature and acidic system.

391 On the basis of the above results, the optimized reaction conditions can be 392 determined as following: 10 g acetic anhydride (100 mmol), 10 g propionic anhydride (75 mmol), with 1.25 wt% catalyst, 45 °C, 3 h for esterification of cellulose to CAP.

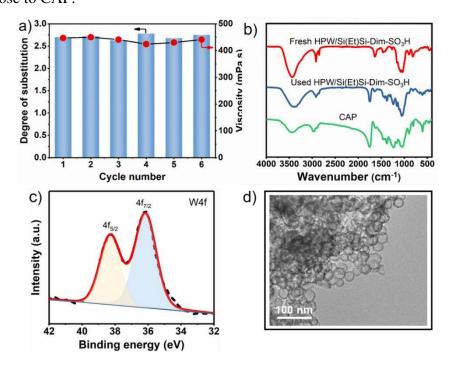




Figure 6 Reusability (a), FT-IR spectra (b), XPS spectrum of W 4f (c) and TEM image (d) of used HPW/Si(Et)Si-Dim-SO₃H

398 To evaluate the reusability and stability of the catalytic system, the 399 HPW/Si(Et)Si-Dim-SO₃H catalyze the esterification of cellulose for six 400 consecutive cycles under the optimized reaction condition. After each catalytic 401 cycle, the separated catalyst powder was washed with acetone and deionized 402 water and dried overnight. The details of regenerating treatment of catalysts are 403 shown in Scheme 1. As shown in Figure 6a, the DS, Mw and viscosity of CAP 404 remain the range of 2.63–2.75, 81634–91530 and 424–450 mPa·s, respectively, 405 after six cycles. In order to identify potential leaching of the HPW into the 406 solution, we conducted ICP-AES analysis to determine the tungsten content of 407 reaction mixture after removing the catalyst at the end of the cycle. The results 408 showed that the concentration of the tungsten in the system is below the detection 409 limit, confirming that there is no detectable leaching of HPW species under this 410 experimental condition. Subsequently, the structure of the used HPW/Si(Et)Si-411 Dim-SO₃H catalyst was further analyzed. In Figure 6b, the characteristic peaks 412 assigned to the Keggin type HPW and sulfonic acid groups can be still be 413 detected. Additionally, a new peak appears at the spectra of used HPW/Si(Et)Si-414 Dim-SO₃H, which attributed to the adsorption of CAP on the surface of catalysts. 415 The deconvoluted W 4f XPS spectrum reveals again in this case two signals 416 centered at 38.3 and 36.2 eV (Figure 6c), originating from the contributions of the 417 W $4f_{5/2}$ and W $4f_{7/2}$ spin-orbit components, which found to be similar to the 418 freshly prepared HPW/Si(Et)Si-Dim-SO₃H catalyst. Additionally, TEM image 419 (Figure 6d) exhibits well-defined hollow nanospheres, which indicates that the 420 spherical nanostructure of as-prepared catalysts is retained after recycling. 421 Therefore, the as-prepared HPW/Si(Et)Si-Dim-SO₃H can act as efficient and 422 stable solid acid catalysts with excellent catalytic performance for the 423 esterification reaction of cellulose to yield CAP at least six cycles.

Table 2 Esterification activity and CAP parameters comparison of HPW/Si(Et)Si-Dim-SO₃H with
 respect to commercial and reference catalysts^a

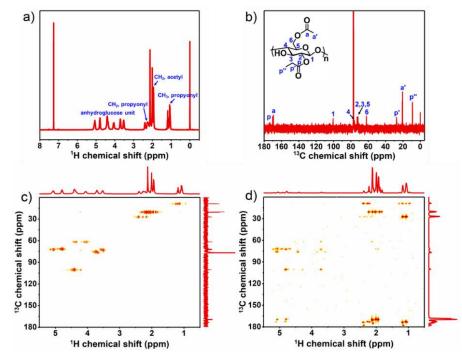
Entry	Catalyst	Time (h)	Temp. (°C)	DS_{total}	DS_A	DS_P	Mw	Viscosity (mPa·s)
1	HPW/Si(Et)Si-Dim-SO ₃ H	3	45	2.69	1.84	0.85	102882	447
2	HPW/Si(Et)Si-Dim-SO ₃ H-3D _{int}	3	45	2.77	1.91	0.86	91427	298
3	H_2SO_4	3	45	2.97	2.14	0.83	82606	160
4	HPW^b	3	45	2.58	1.82	0.76	89255	200
5	Amberlyst-15	3	45	2.79	1.99	0.80	103023	237
6	Blank	3	45	_				
7	PDVB-VBC-IM-PW ₁₂ (Zhang <i>et al.</i> 2019)	3	45	2.77	1.84	0.93	92500	335
8	AmimCl (Huang et al. 2011)	5	100	2.67	0.21	2.46		
9	Iodine (Cheng et al. 2011)	24	100	2.80	1.60	1.20		
10	DBU/CO ₂ /DMSO (Xu <i>et al.</i> 2018)	2	80	2.72	1.76	0.96	_	_
11	TBAA (Yu et al. 2016)	6 ^{<i>c</i>}	65	2.99	1.79	1.20		

Note: PDVB-VBC (copolymer of divinylbenzene with 4-vinylbenzyl chloride); AminiCl (1-allyl-3-methylimidazolium chloride); DBU (1, 8-Diazabicyclo[5.4.0]undec-7-ene); TBAA (tetrabutylammonium acetate). *a*Reaction conditions: 2 g cellulose, 25 mg catalyst, 10 g acetic anhydride, 10 g propionic anhydride for entry 1-7; *b*Equivalent to the weight of HPW on HPW/Si(Et)Si-Dim-SO₃H; *c*Acetic anhydride was added into the reaction system 3 h after propionic anhydride.

431 Comparing with 3D interconnected mesostructural HPW/Si(Et)Si-Dim-432 SO₃H-3D_{int} (Table 2, entry 2), spherical HPW/Si(Et)Si-Dim-SO₃H exhibited 433 higher Mw (102882) and viscosity (447 mPa s) by a controllable and mild process, 434 which benefited from the large surface with more exposed and accessible acid 435 sites. Furthermore, the prepared HPW/Si(Et)Si-Dim-SO₃H was compared with 436 inorganic acid H₂SO₄, HPW and commercial available Amberlyst-15 under the 437 same reaction conditions (Table 2, entry 3–5). As shown in entry 3 of Table 2, 438 H₂SO₄-catalyzed cellulose esterification performed with considerably fast reaction 439 rate, and the highest DS of 2.97, the lowest M_W (82606) and viscosity (160 mPa s)

were obtained, which is attributed to the degradation and decomposition of 440 441 cellulose. As for the others, HPW/Si(Et)Si-Dim-SO₃H obtained CAP with the 442 highest viscosity (447 mPa \cdot s) despite their similar desired DS (2.58–2.79) and Mw 443 (89255–103023). Moreover, in the absence of any catalysts (Table 2, entry 6), 444 substrate cellulose didn't react with esterifying agent and there is no product CAP 445 can be obtained in the system after completely reaction. Comparing with our 446 previous work (Table 2, entry 7), CAP obtained by HPW/Si(Et)Si-Dim-SO₃H not 447 only maintains excellent properties but also exhibits higher Mw (102882), 448 viscosity (447 mPa s) and more controllable esterification process. As shown in 449 entry 8-11 of Table 2, the reported homogeneous system of cellulose 450 esterification always proceed under higher temperature (65–100 °C) or even much 451 longer reaction time (e.g. 24 h by iodine) than this work. It is worth noting that the 452 HPW/Si(Et)Si-Dim-SO₃H-catalyzed esterification is more controllable to keep DS of CAP within 2.4-2.7, which meets the industrial requirements. More 453 454 importantly, the Mw and viscosity are not mentioned in most of reported work, 455 which are the crucial properties of CAP to evaluate whether they are high value-456 added chemicals. As a consequence, as-prepared HPW/Si(Et)Si-Dim-SO₃H 457 catalyst is competitive in the esterification of cellulose to yield CAP due to the 458 excellent catalytic activity with desired DS, high Mw and viscosity under mild 459 reaction conditions.

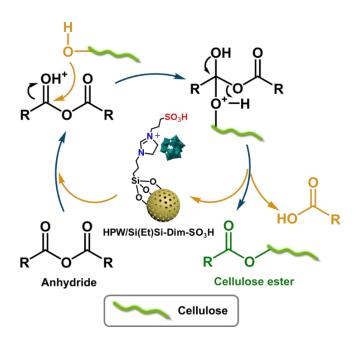
460 Studies of CAP products. The products obtained by HPW/Si(Et)Si-Dim-461 SO₃H-catalyzed esterification of cellulose were further characterized by ¹H, ¹³C 462 NMR spectroscopy (Figure 7a, 7b), HSQC and HMBC 2D NMR spectroscopy (Figure 7c, 7d). As shown in ¹H NMR spectrum of CAP, the peaks at 3.45–5.20 463 464 ppm are assigned to the anhydroglucose protons. The peaks at 1.01-1.20 ppm and 465 2.16–2.44 ppm are attributed to the methyl and methylene groups of propionyl 466 moieties, respectively. Additionally, the signals assigned to methyl of acetyl are 467 observed at 1.88–2.15 ppm (Huang et al. 2011). In Figure 7b, the ¹³C NMR 468 spectrum of the CAP shows signals at 173 and 170 ppm, which are assigned to the 469 carbonyl carbon of propionyl and acetyl groups, respectively. The characteristic 470 signals are attributed to C1, C4, C5, C3, C2 and C6 of anhydroglucose carbonate 471 region appear at 100.5, 76.1, 72.9, 72.5, 71.8 and 62.0 ppm, respectively (Huang 472 et al. 2011). The peaks corresponding to the methyl and methylene groups in 473 propionyl can be observed at 9.0 and 27.3 ppm, while the peak centered at 20.4 ppm is assigned to methyl of acetyl. The HSQC spectrum (Figure 7c) shows the
connectivity of C–H bond except for the quaternary carbons (C=O of acetyl and
propionyl groups). Furthermore, the HMBC spectrum exhibits the correlations
between protons of anhydroglucose and carbonyl carbons of acyl. The correlation
peaks centered at 4.82×173 ppm, 4.78×170 ppm, 5.10×173 ppm and 5.06×170
ppm confirm that the acetyl and propionyl groups are mostly substituted at C2 and
C3.



481

482 Figure 7¹H NMR (a), ¹³C NMR (b) spectra, HSQC (c) and HMBC (d) 2D NMR spectra of CAP

483 Furthermore, the thermogravimetric studies were carried out in the range of 484 30 to 600 °C. As shown in Figure S5, the TG-DTA plots show only one weight 485 loss at 367.9 °C for CAP obtained by HPW/Si(Et)Si-Dim-SO₃H-catalyzed 486 cellulose esterification and 334.4 °C for cellulose. It can be seen that it is a rapid 487 decomposition process due to the breakage of intermolecular glycoside and C-C 488 bonds with increasing temperature. Comparing with cellulose, the thermostability 489 of CAP was obviously enhanced due to the incorporation of acetyl and propionyl 490 groups.



491

492 **Scheme 3** The proposed mechanism of HPW/Si(Et)Si-Dim-SO₃H-catalyzed cellulose 493 esterification

494 Mechanism. For a typical manufacturing process of CAP, it concludes three 495 parts of cellulose activation, cellulose esterification and separation with catalysts. 496 The strong intermolecular and intramolecular hydrogen bonds presented in 497 cellulose are conducive to forming crystalline regions, hindering small solvent 498 molecules from contacting with the cellulose molecules and thereby reducing the 499 solubility (Yu et al. 2016; Wu et al. 2004). Therefore, the activation of cellulose is 500 an important step in a typical esterification reaction of cellulose to synthesize 501 CAP. During the process of activation, the crystallinity of cellulose decreased and 502 the structure of amorphous and outermost cellulose was destroyed after the 503 infiltrating of acetic acid and propionic acid. Meanwhile, the accessibility of 504 hydroxyl was improved due to the breakage of the hydrogen bonds between 505 cellulose molecules. It is worth noting that the activation process is a physical 506 swelling process to change the crystalline of cellulose, which not occurs chemical 507 reaction.

Taking the HPW/Si(Et)Si-Dim-SO₃H-catalyzed esterification process as an example, the intermolecular and intramolecular hydrogen bonds presented in cellulose were further broken attributed to the incorporation of the sulfonic acidbased BILs in HPW/Si(Et)Si-Dim-SO₃H, which improved the accessibility of hydroxyl groups of cellulose to active sites. Then, the cellulose esterification started from protonation of the carbonyl groups of acetic and propionic anhydride molecules by –SO₃H and HPW active species. During this period, the well-

20

515 defined spherical nanostructure can provide large BET surface area with more 516 exposed acid sites, which contributes to improving the accessibility of the 517 reactants with active sites. On the other hand, the inherent strong Brønsted acid 518 nature of as-prepared catalysts ensured the reactions proceed at a considerably fast rate. Later, the protonated carbonyl groups were attacked by the hydroxyl groups 519 520 of cellulose, and the cellulose ester (CAP) was finally obtained followed by the release of carboxylic acid and proton (Scheme 3). Interestingly, the 521 522 HPW/Si(Et)Si-Dim-SO₃H-catalyzed cellulose esterification was a controllable 523 process to prevent the depolymerization or degradation of cellulose with the 524 incorporation of HPW and sulfonic acid-based BILs, which is benefit to obtain 525 high value-added CAP products.

Most importantly, the strong Brønsted acidity of as-prepared catalysts was maintained after the reaction due to the strong electrostatic interaction between the anion of HPW and the N⁺ cation of dihydroimidazole and the strong anchoring effect on proton originated from HPW and sulfonic acid-based BILs. Moreover, the loss of proton in as-prepared catalysts could be prevented owing to the acidic reaction system consisted of acetic acid and propionic acid.

532 Conclusion

533 To summarize, a series of heterogeneous catalysts by immobilizing HPW 534 and sulfonic acid-based BILs on organosilica nanospheres (HPW/Si(Et)Si-Dim-535 SO₃H) were successfully fabricated. The heterogeneous catalysts of the spherical 536 HPW/Si(Et)Si-Dim-SO₃H with 7.5% HPW loading showed excellent catalytic 537 activity in the esterification of cellulose to CAP. As a result, the CAP with DS of 2.69, viscosity of 447 mPa·s and Mw of 102882 was obtained. Such catalytic 538 539 performance can be assigned to the fact that 1) the well-defined spherical 540 nanostructures provide the large surface area with more exposed acid sites for the 541 HPW/Si(Et)Si-Dim-SO₃H catalyst, which may shorten the mass transfer pathway 542 and thereby improve the accessibility of the reactants with active sites of catalysts; 543 2) the inherent strong Brønsted acid nature of HPW/Si(Et)Si-Dim-SO₃H by 544 incorporating HPW and sulfonic acid-based BILs is beneficial to obtain high 545 value-added CAP.

546 Furthermore, the HPW/Si(Et)Si-Dim-SO₃H catalysts showed excellent 547 stability after six consecutive catalytic cycles without obvious loss of catalytic activity. Therefore, the HPW/Si(Et)Si-Dim-SO₃H can act as efficient and
environmentally benign heterogeneous catalysts for the high-value added CAP
production.

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