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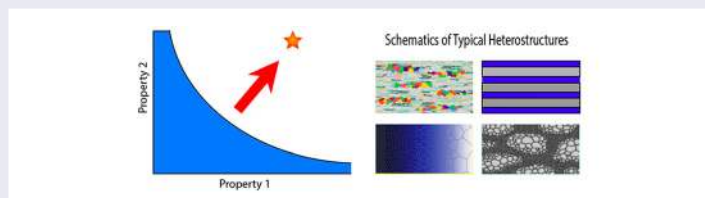
## Heterostructured materials: superior properties from hetero-zone interaction

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### ABSTRACT

Heterostructured materials are an emerging class of materials with superior performances that are unattainable by their conventional homogeneous counterparts. They consist of heterogeneous zones with dramatic ( $> 100\%$ ) variations in mechanical and/or physical properties. The interaction in these hetero-zones produces a synergistic effect where the integrated property exceeds the prediction by the rule-of-mixtures. The heterostructured materials field explores heterostructures to control defect distributions, long-range internal stresses, and nonlinear inter-zone interactions for unprecedented performances. This paper is aimed to provide perspectives on this novel field, describe the state-of-the-art of heterostructured materials, and identify and discuss key issues that deserve additional studies.



### IMPACT STATEMENT

This paper delineates heterostructured materials, which are emerging as a new class of materials with unprecedented properties, new materials science and economic industrial production.

### ARTICLE HISTORY

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### KEYWORDS

Heterostructured materials; synergy; heterostructures; hetero-deformation induced (HDI) hardening; back stress; forward stress

Heterostructured materials are quickly emerging as a major material research topic, because they not only possess superior mechanical and physical properties that are not attainable by conventional homogeneous materials, but also present new materials science that challenges our conventional understanding and intuition. In addition, heterostructured materials can be processed using current industrial facilities, making it conducive to industrial

production at low cost [1]. In this paper we primarily focus on structural heterostructured materials because they have been studied more extensively than functional heterostructured materials. This paper is arranged in the following order: Section 1 defines heterostructured materials; Section 2 introduces the fundamental principles of structural heterostructured materials; Section 3 overviews the processing and properties of different

types of heterostructures and related properties; Section 4 overviews the modeling of heterostructured materials; Section 5 outlines future issues to be studied for structural heterostructured materials; Section 6 overviews the functional heterostructured materials.

## 1. Definition of heterostructured materials

Heterostructured (HS) materials are defined as materials that contain heterogeneous zones that have dramatically different constitutive properties in the case of structural metallic materials [1,2] or alternatively, very different physical properties in the case of functional materials. Experiments have demonstrated that both structural and functional materials can benefit from the synergistic effect arising from the interaction and coupling between these heterogeneous zones. For example, the trade-off in strength and ductility can be alleviated or even avoided in heterostructured metals [3,4]. Moreover, superior functional properties have also been realized in heterostructured functional materials [5–8]. These examples represent successful attempts to address classic challenges that cannot be solved via existing paradigms described in textbooks.

To distinguish the HS materials from those conventional materials, we define the scope of *heterostructured materials as materials whose inter-zone interactions/couplings produce significant synergistic effect*. All conventional materials have heterogeneities to varying extent. For example, the sizes and orientations of grains in metals usually have statistical distributions. Conventional alloys, such as steels and high-strength Al alloys, often contain second-phases or hard precipitates in various sizes. Although these heterogeneities may produce significant strengthening, the synergistic effect between heterogeneities is often weak and not well studied from the angle of inter-zone interaction/coupling. Heterogeneous zones need to be in appropriate size, geometry and distribution in order for the synergistic effect to be significant.

## 2. Structural heterostructured materials—fundamentals

During plastic deformation of heterostructured materials, the hetero-zones deform inhomogeneously, generating back stresses in the soft zones and forward stresses in the hard zones, which together produce hetero-deformation induced (HDI) strengthening that increases yield strength and enhances strain hardening, which aids with retaining ductility [2]. HDI hardening

originates from the mutual constraint of the hard and soft zones, and is superimposed on conventional dislocation hardening to help improve ductility. Back stress is a long-range internal stress produced by geometrically necessary dislocations (GNDs), sometimes in the form of dislocation pileups. It usually acts to offset the applied stress to impede dislocation emission and slip in the soft zones, which makes the soft zones appear to be stronger. Forward stress is created in the hard zones due to the stress concentration at the zone boundary caused by the GND pileup. During the early stages of elastoplastic deformation before global yielding (typically defined as 0.2% global plastic strain for conventional materials), the hard zones remain elastic and back stress plays a dominant role in enhancing the global yield strength in heterostructured materials. It should be noted that HDI stress is also called kinematic stress in the field of mechanics [9].

There are several major approaches that can be implemented to enhance global yield strength including: (1) reduction of dislocation mobility; (2) manipulation of dislocation nucleation sites; (3) redistribution of stress away from soft (plastically-deforming) to hard (non-deforming) zones. HDI hardening is an example of the approach (3). The most interesting scenarios arise from coupling between all three methods. For example, stress redistribution can create local deformation paths that are nonproportional, leading to enhanced levels of work-hardening not achievable in structurally homogeneous materials. In nano-grained metals, the large grain-to-grain variation in dislocation source strengths creates a heterostructured material with soft and hard grains [10,11].

Strain gradients tend to develop near zone boundaries between soft and hard zones during deformation [1–3,12–14]. Soft zones are constrained by adjacent hard zones and subjected to higher plastic strain than hard zones [3]. Across a zone boundary, the in-plane components of total direct and shear strains must be continuous to prevent the zone boundary from opening/interpenetrating or sliding. Strain gradients arise, in part, to accommodate the strain difference across the zone boundary, creating an interface-affected zone (IAZ) near the boundary. Pileups of GNDs are generated to accommodate the strain gradient, producing back stress in the soft zone [12–14]. The stress concentration at the head of the GND pile-up exerts a forward stress in the hard zone [2]. The back stress and forward stress act as a pair of co-existing stresses across zone boundaries. At the zone boundary, the local back stress and forward stress caused by an individual GND pile-up should be equal to each other, but opposite in directions. However, their distributions away from the zone interface will be different,

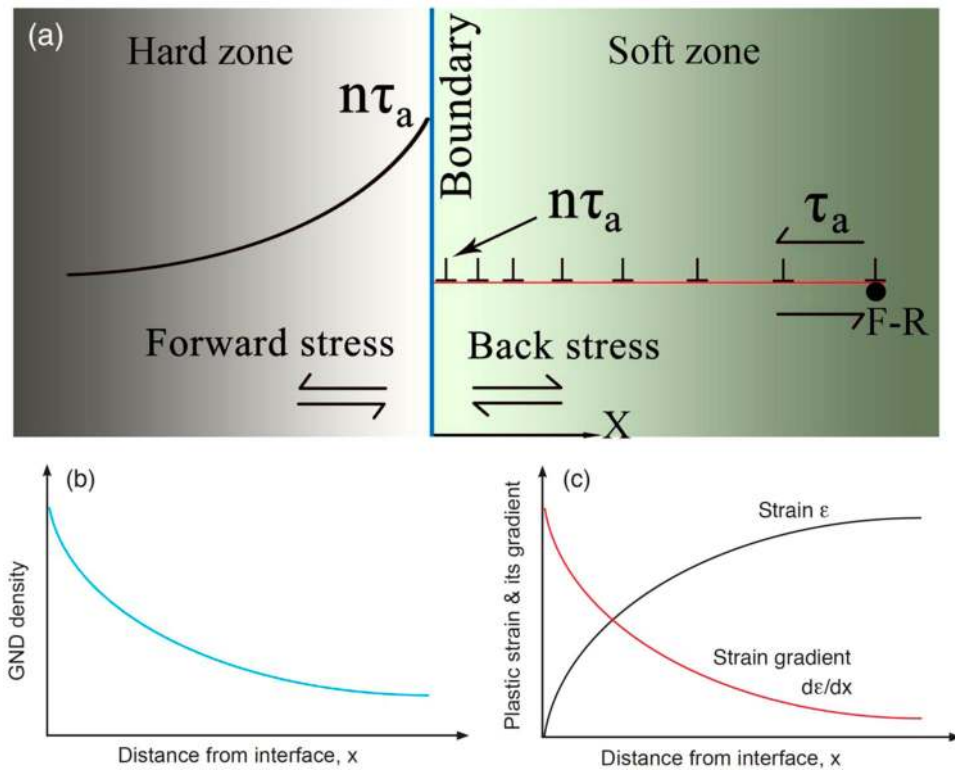
which collectively produce the HDI stress measured by unloading-reloading experiments [15].

The mechanism of HDI hardening has been investigated using copper-brass laminates, which can be classified as a type of heterostructured materials [16]. During tensile deformation, a GND density gradient was indeed detected near the zone boundary and it increased with increasing applied tensile strain [16]. This observation is consistent with the GND pile-up model depicted in Figure 1(a) [2], in which GNDs are emitted from a Frank-Read source in the interior of a soft zone (or grain), and piled up in front of the zone boundary with a GND density gradient (Figure 1(b)). According to the model, there should be a positive plastic strain gradient, i.e.  $d\varepsilon/dx > 0$ , where  $x$  is the distance from the zone boundary, as schematically depicted Figure 1(c). As discussed later, GNDs can also be generated from the zone/grain boundaries, which will produce the same GND density gradient but a negative strain gradient.

Although the GND density gradient was indeed observed near the zone interface in a copper-brass laminated heterostructure [17], as predicted in Figure 1(b), a negative strain gradient,  $d\varepsilon/dx < 0$ , was found in the same sample during an *in-situ* tensile test [17], i.e.

higher strain near the zone interface, which is inconsistent with the Frank-Read dislocation source model as shown in Figure 1. Logically, the negative strain gradient can be produced if the dislocation source is the zone boundary [17].

To answer the question about the dislocation source, *in-situ* TEM was performed to probe the dislocation activities near the zone interface. It is revealed that dynamic generations and deactivations of Frank-Read sources occurred near the interface [18], which produced the GND gradient. Specifically, the negative strain gradient was caused by a hitherto unknown phenomenon in which Frank-Read sources were dynamically activated and deactivated more readily at locations closer to the zone boundary. This is because the closer to the zone boundary, the higher the dislocation density and the local stress. Higher dislocation density offers higher probability for dislocations to intersect each other to generate Frank-Read sources, and higher stress makes it easier to activate them. In addition, it was also observed that most dislocations emitted from the Frank-Read sources were annihilated at the zone/grain boundary, which produced a strain gradient but did not contribute to GND density in the grain interior. These observations



**Figure 1.** (a) Schematic diagrams of a GND pile-up from a Frank-Read dislocation source, inducing the back stress in the soft zone, which in turn induces the forward stress in the hard zone [2]. (b) GND density gradient caused by the GND pile-up near the interface (zone boundary). (c) The strain and positive strain gradient caused by the GND pile-up near the interface (zone boundary).

indicate that, under certain circumstances, the assumption of a linear relationship between the GND density and strain gradient in gradient plasticity theory needs to be revisited [14,19].

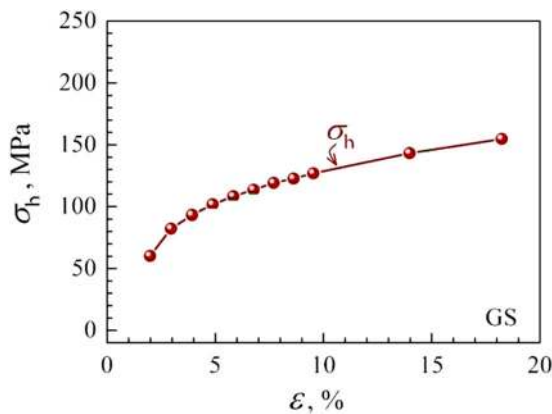
The GND gradient and strain gradient are primarily formed in a zone close to the interface, forming an Interface Affected Zone (IAZ) [17]. With increasing applied strain, the IAZ width was found to remain constant, but the strain gradient increased linearly. The IAZ width may be a critical parameter for the design of heterostructured materials. It was found that the best combination of strength and ductility occurred when adjacent IAZs start to overlap with each other.

The evolution of the HDI stress can be classified into three stages. The first stage is before yielding at 0.2% strain, in which GNDs start to pile up against the zone interfaces in some soft zones to develop the back stress. Consequently, the hard zone is loaded elastically by the corresponding forward stress, which is not yet high enough to plastically deform the hard zones. The back stress acts to significantly raise the yield strength of heterostructured materials, as observed in heterostructured Ti [3]. The second stage starts after yielding and is characterized with fast increase of the HDI stress at relatively low applied strain (< 4%), as shown in Figure 2. At this stage, most hard zones may be still deforming elastically, and gradually become plastic with increasing applied strain, which produces elastoplastic transition over a strain range, giving rise to high apparent rates of strain hardening [20], due to the high HDI hardening and dislocation hardening. The back stress acts to enhance the HDI hardening, while the forward stress acts to limit the HDI hardening by assisting the plastic deformation in the hard zones, which causes the slowdown of the HDI

increase rate as shown in Figure 2 [3]. This can be understood from the perspective that the back stress makes the soft zones capable of sustaining higher applied stress while the forward stress reduces stress bearing capability of the hard zones. The third stage occurs when both soft and hard zones deform plastically, in which the HDI stress starts to increase slowly and may even approach a saturation level [3,15], see Figure 2 at > 4% strain. In other words, the HDI strain hardening is slowing down further at this stage, which can be explained by several reasons: (1) the weakening of the hard zones by the forward stress; (2) the slowdown in GND density increase because some GNDs may be pushed into the zone boundaries and recover there, and some GNDs may interact with other dislocations and change their characteristics. In other words, the GND density does not increase linearly with the applied strain. As a result, the HDI hardening does not increase linearly with the strain gradient [21].

It has been observed that heterostructures promote the formation of local strain bands, which tend to nucleate and propagate across the zone interfaces [22,23]. Strain banding seems to be a unique deformation mechanism in heterostructured materials. Strain bands are found usually highly dispersed and delocalize the global strain [23]. This is in sharp contrast with the conventional homogeneous nanostructured materials, where a single intense strain localization often leads to the failure of the whole sample. In a bi-modal grained heterostructure, it was found that the strain bands are initiated in the ultrafine-grained zones, which exhibits low strain hardening. These bands are stabilized by the coarse-grained zones, which permits them to develop at different locations to become dispersive and evolve in a stable manner. The formation mechanism of the local strain bands is not well understood and needs further study.

The above discussion on fundamentals mostly concerns the HDI strengthening and strain hardening at the heterostructured zone level, where the zone can be polycrystalline or single grains, and are large enough for GNDs to pile up. Some special cases may exist where the GND pileup scenario described above may not occur. These will be discussed in Section 4. Specifically, the laminate structured and nanograined metals will be discussed in Section 4.1. Recent progress on gradient plasticity at zone interfaces will be discussed in Section 4.2. Furthermore, it is well known that dislocation cells or subgrains may form inside grains during deformation, especially for metals with medium or high SFEs [24,25]. Back stress may develop in the soft interiors of dislocation cells/subgrains and forward stress may develop in the hard dislocation walls. This leads to intra-grain



**Figure 2.** Evolution of the hetero-deformation induced (HDI) stress during tensile testing of gradient structured interstitial free (IF) steel [15]. The slope of the curve represents HDI hardening rate.



HDI stress development, which will be discussed in Section 4.3.

### 3. Structural heterostructured materials—processing and properties

According to the above definition, the materials with the following structures can be classified as typical heterostructured materials [1]: heterogeneous lamella structures [3], gradient structures [26–31], laminate structures [16,32–45], dual/multi-phase structures [46–48], harmonic (core–shell) structures [49–51], multi-modal structures [52–55], etc. These materials have been reported to possess superior combinations of strength and ductility, which can be attributed to HDI strengthening and HDI strain hardening.

#### 3.1. Heterogeneous lamella structured materials

A heterogeneous lamella (HL) structure represents a near-ideal heterostructure that yields the best combination of strength and ductility [3]. For example, HL structured Ti (Figure 3(a)) was found to possess the strength of ultrafine-grained (UFG) Ti and a ductility slightly better than that of coarse-grained (CG) Ti (Figure 3(b,c)); this combination of strength and ductility is theoretically unattainable on the basis of established theories [1,3]. There are two notable points regarding the mechanical properties and behavior of the HL-structured Ti that are worth noting. First, while it consists of 75% of UFG Ti and 25% CG Ti, its strength is close to that of the UFG Ti. Yet, according to both the Hall-Petch equation and the rule of mixtures, the strength of the HL-structured Ti should be lower. Moreover, the HL structure was obtained by partial recrystallization annealing, which means that the un-recrystallized UFG Ti should have recovered to a lower dislocation density. According to Ashby's equation [56], a lower dislocation density should result in lower strength. However, as shown in Figure 3(b), the strength values of the HL60 and HL80 samples are comparable to that of the UFG Ti, which is inconsistent with known principles for material strength. Second, Figure 3(c) shows that the strain hardening rate of the HL-structured Ti can be higher than that of the coarse-grained Ti, which is contrary to what is expected from the conventional understanding in materials science. The HL structure consists of 75% nanostructured Ti, which is known to have no strain hardening capability [57–59]. The high strain hardening of the HL-structured Ti led to its superior ductility.

The above extraordinary mechanical properties and behavior of HL structured Ti demonstrate the advantages and potentials of HS materials [3]. Moreover, it was found

that the high yield strength of HL structured Ti is due to high HDI stress before yielding, as shown in Figure 3(e). Shown in Figure 3(f) is a CG grain with a size of about 4  $\mu\text{m}$ . Many dislocation pileups against the grain boundary can be observed in the grain. Since this CG grain is completely surrounded by the UFG matrix, it cannot plastically deform until the latter begins to deform plastically, making the CG grain appear nearly as strong as the matrix. As shown in Figure 3(e), the HDI hardening is much higher than the conventional dislocation hardening. In other words, the strong HDI hardening is superimposed onto the dislocation hardening to improve ductility. There are two critical structural features that are believed responsible for achieving the highest HDI hardening: (1) complete constraint of the soft zone (in this case the CG grain) by the hard matrix, which limits the volume fraction of the soft zones to below 30% in the case of HL structured Ti, and (2) the high density of zone boundaries, which explains why the lamellar soft zone is better than equiaxed zones as in the conventional bi-modal structure.

The HL structure can be easily produced in engineering metals and alloys using industrial processes, such as cold rolling followed by partial recrystallization annealing [3,60,61]. It can also be produced using the conventional powder metallurgy approach in combination with cold rolling, in which the volume fraction of the soft zones and geometry can be readily controlled [62]. The application of current industrial techniques to produce HL structures renders these novel materials competitive in terms of volume, flexibility and cost.

#### 3.2. Gradient structured (GS) materials

A gradient structure refers to a gradual depth-wise change of a particular microstructural feature, including grain size [27,29], twin density [31,63–65], chemical composition [66], constituent phase ratio and/or precipitates [67], from the specimen surface. Accordingly, the gradient structure results in variations in mechanical properties along the depth [27–29,65].

Most GS materials reported thus far are related to grain-size gradient [27–29,65,68–70]. A typical gradient structure consists of nano-grained or nanostructured surface layers and a coarse-grained central layer with grain-size gradient in-between [27,29]. It may be regarded as an integration of individual layers with various grain sizes. The NS layers possess high strength but low ductility, while the behavior of the CG core shows the opposite trend [27,29]. The pronounced grain size variation, often spanning 3–4 orders of magnitude, causes substantial mechanical incompatibility when a GS specimen

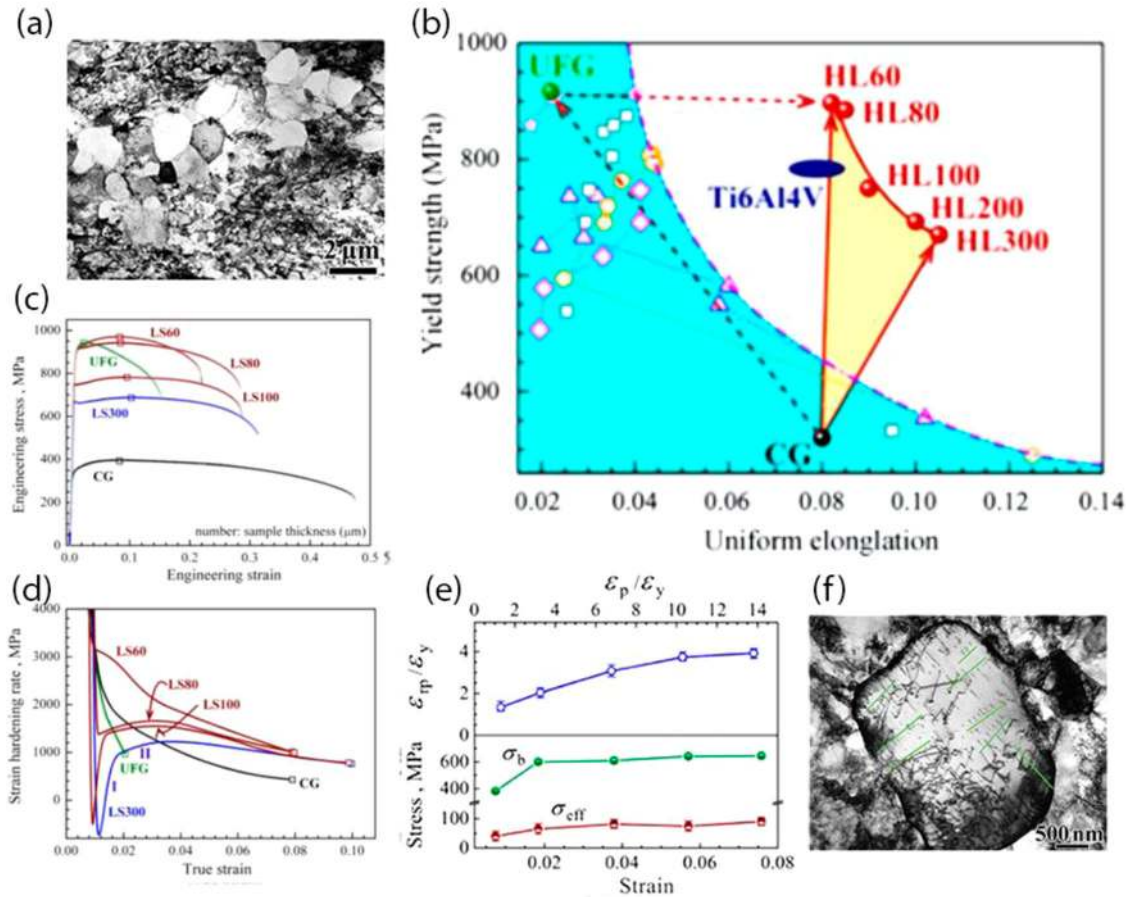
is deformed, which inevitably produces strain gradients and stress-state changes [1,2,27].

GS materials have been found to possess superior combinations of strength and ductility [27–29,31,63–65, 69,70], although their yield strength is reportedly significantly lower than that of nanostructured materials. To increase their strength, other strategies were recently applied along with the grain-size gradient. For example, a nano-twinned copper with dual-gradients of both twin density and spacing produced high strength and good ductility [65]. Another example utilizes both grain size gradient and TRIP gradient to achieve high strength and ductility [71]. Simultaneous composition and grain size gradients are another example of dual gradient materials [72].

GS materials have been reported to exhibit synergistic strengthening [27,28], in which the global yield strength is higher than what is predicted on the basis of the rule-of-mixtures (ROM) of the strengths of constituent layers [28]. In addition, GS materials can demonstrate

fracture toughness and fatigue properties that are much more superior compared to those of their homogeneous counter-parts [69,73,74].

Extra strain hardening has also been observed in GS materials, which helps in retaining ductility. Grain growth in the nano-grained layers was first proposed to be responsible for the high ductility [29]. However, grain growth generally leads to softening, although work hardening may be recovered to some extent after grain growth [22]. A more convincing mechanism was later experimentally observed in GS IF steel without any grain growth. This mechanism was initially named back-stress hardening [15], but was later redefined as hetero-deformation induced (HDI) hardening [2] because the forward stress is also involved. Another major contributor to the observed extra strain hardening is the change in the stress state from uniaxial tensile to bi-axial [27], which changes the strain path and activates more slip systems, making it more likely for dislocations to interact and entangle.



**Figure 3.** Unprecedented strengthening and extra strain-hardening in HL structured Ti [3]: (a) HL structured Ti with recrystallized CG grains forming a soft lamellar that is surrounded by UFG matrix; (b) Outstanding tensile properties of HL structured Ti; (c) Engineering tensile stress-strain curves; (d) True strain hardening rates vs. true stress; (e) HDI hardening behaviors for HL structured Ti; (f) Dislocation pile-ups at hetero-interfaces in a CG grain.

If the gradient samples are produced by surface deformation, residual compressive stress usually exists below the surface. It is well known that a compressive residual stress improves fatigue life. Recently, it was found that such residual compressive stress also significantly increases the strain hardening rate [75]. A compressive stress peak exists at certain depth below the surface. It was found by in-situ synchrotron diffraction that the layer at the compressive stress peak remains elastic under tension while the layers on both sides of the peak gradually become plastic, producing two elastic-plastic interfaces. GNDs piled up against the interfaces in the plastically deforming layer to produce HDI hardening. At the same time, the GNDs would entangle with other dislocations near the migrating interfaces to effectively accumulate dislocations, causing effective dislocation hardening, as evidenced by the evolution of high density of dislocations in the compressive layer. These observations demonstrate a significant effect of residual compressive stress on strain hardening and ductility. The separate effects of residual stress and surface grain refinement on the local hardness and global tensile properties of the surface GS material have also been quantitatively estimated [76].

As discussed above, for GS samples produced by surface deformation, there are three mechanisms that contribute to the additional strain hardening. The first is HDI strain hardening, which is primarily caused by the long-range back stress and associated forward stress. Physically, the HDI strain hardening is related to GND accommodation of strain gradients near interfaces. The second is dislocation hardening caused by the increase in dislocation density, which may result from the CG layers due to a change in stress-state as well as from NS layers where mechanically drive grain growth may lead to some recovery of dislocation accumulation capability. The third is related to the compressive yield stress. Therefore, the strain hardening rate ( $\Theta = (\partial\sigma/\partial\varepsilon)$ ) in GS materials can be expressed as

$$\theta_{GS} = \sum_1^n \theta_i + \Delta\theta_\rho + \Delta\theta_{HDI} + \Delta\theta_{RCS} \quad (1)$$

where  $\sum_1^n \theta_i$  represents the simple addition of contributions to strain hardening by each layer from a standalone test,  $\Delta\theta_\rho$  is the contribution from the extra dislocation density increase due to the GS structure,  $\Delta\theta_{HDI}$  is the contribution from the HDI hardening and  $\Delta\theta_{RCS}$  is the contribution from the residual compressive stress.

Gradient structures can be produced using various techniques including surface mechanical attrition treatment (SMAT) [77,78], [75,79–83], surface mechanical grinding treatment (SMGT) [84], rotationally accelerated

shot peening (RASP) [85], surface sliding [68], shot-peening [86–100], drilling [87,92–95,97,101–103], wire brushing [104,105], high-speed pounding [106], surface mechanical rolling [107–110], shear grinding [111]. The principle of the formation of nano- or UFG structures in those processes can be understood by the *grain subdivision* mechanism [112–117].

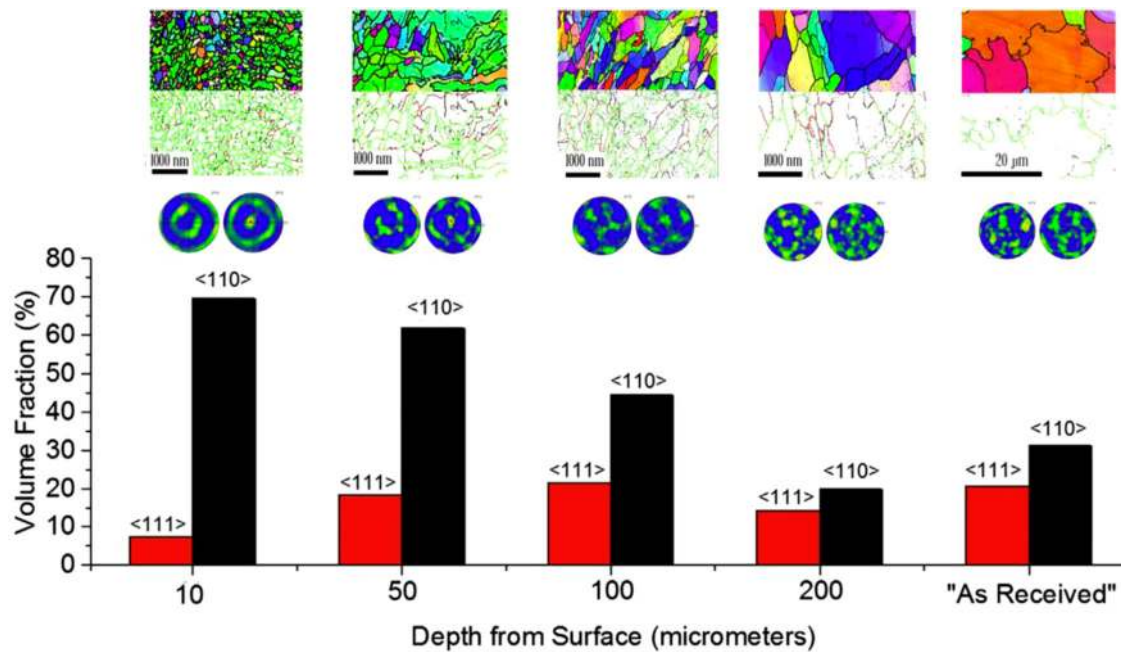
### 3.3. Texture gradient

A review of the extant literature on gradient materials reveals that the focus of most is on the gradient of grain sizes or other microstructural features. Gradients in crystallographic texture are seldom mentioned or discussed in detail. Implicitly, it can be expected that the processing method used to generate the gradient microstructure will affect the textural development. For gradient microstructures produced by deformation, the directionality of the applied load, combined with the frequency (in the case of attrition or peening methods) would affect the texture and intensity. Methods such as surface mechanical grinding treatment (SMGT) continually strain the material in one direction, akin to a pin-on-disk wear test, and thus the texture could be expected to match shear textures produced under unidirectional wear or sliding, however few studies, if any, studying the surface texture are apparent. Similarly, the usage of impacting particles to produce a gradient often come with the assumption of stochastic impacts and deformation, however the deterministic, periodic nature of the method (e.g. surface mechanical attrition treatment (SMAT) or SMAT in a SPEX mill jar) clearly result in dominant texture formation [118–121].

As an example [118], for a steel plate with a composition of 0.14% C, 0.33% Si, 1.44% Mn, 0.08% Cr, 0.03% Ni was processed by SMAT using a SPEX mill with the sample acting as the lid of the vial. The microstructure and texture were characterized using EBSD and are shown in Figure 4. As expected, the grains are refined on the top surface layer with the grain size increasing with the depth. Concurrently, there is a strong  $\langle 110 \rangle$  texture on the surface layer, i.e. with most  $\{110\}$  planes parallel to the sample surface, with the  $\langle 110 \rangle$  texture intensity decreasing with depth from the surface. There is also  $\langle 111 \rangle$  texture variation along the depth, but it is weaker. These observations are consistent with other reports and simulations on surfaces processed by attrition or peening [119–121].

It can be expected that the texture will affect the mechanical behavior of each layer along the depth and provide additional mechanical incompatibility effects, however, since the texture gradient occurs concurrently with the grain size gradient, it is challenging to decouple its effect on the mechanical behavior with





**Figure 4.** Variation of microstructure and texture at varying depths from the surface of gradient-structured steel processed using a SPEX Mill [118]. The percentage of grains indexed normal to the SMAT surface were calculated from EBSD maps and show the relative frequency of the {111} and {110} planes in ferrite at various depths. Pole figures are projected normal to the SMAT surface.

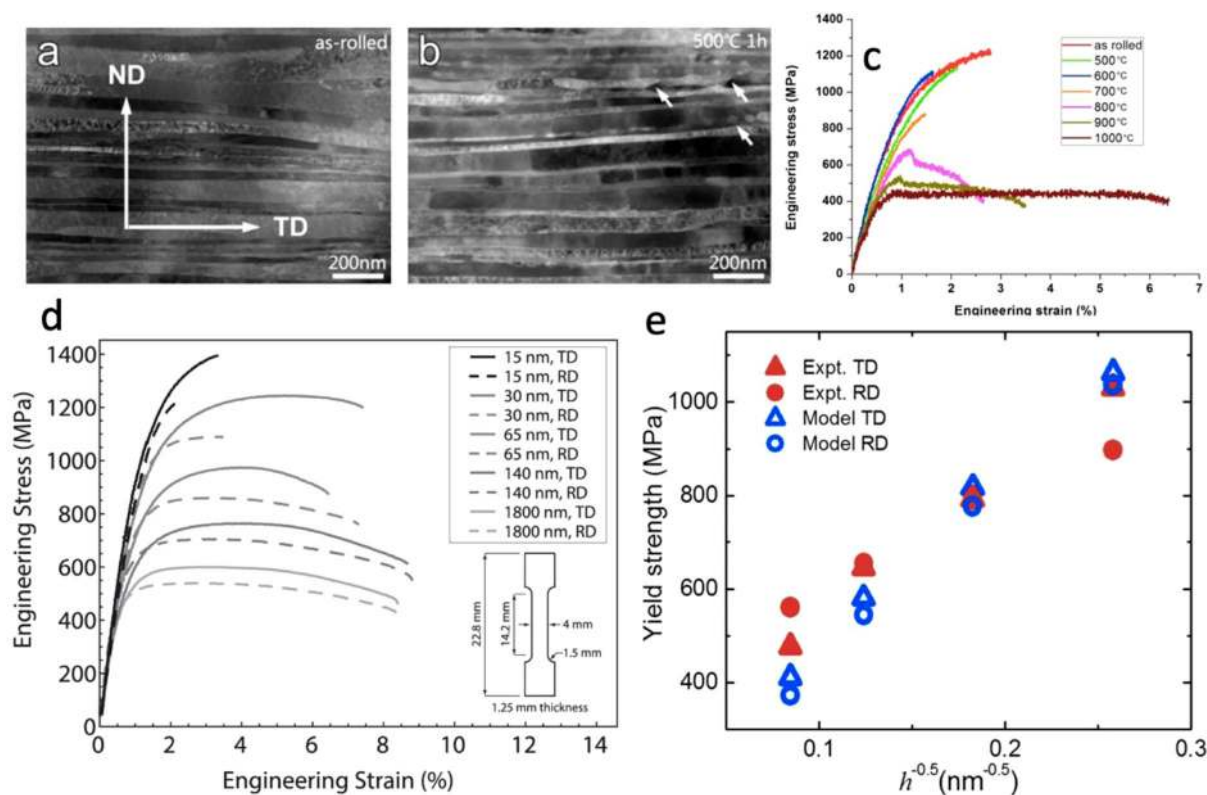
experimental methods alone. Simulation efforts, such as those reported in [121,122] are necessary to provide clarity on what textures may form based on the nature of the driving deformation method, and more so, the contributions to the novel mechanical responses that are observed.

### 3.4. Nanolaminates: processing and properties

Nanolaminates are a class of multiphase composites, in which two or more 2D layers of dissimilar metals are stacked [38,123]. For many of the nanolaminates reported in the literature, the individual layer thicknesses are less than 500 nm, such that a single crystal spans from one bi-phase interface to the adjacent one, as shown in Figure 5(a,b) [124,125]. Nanolaminates have garnered much interest because they possess a multitude of superior properties. They first attracted attention with their exceptionally high strength, with many studies reporting five- to ten-fold increases in strength over that of their constituents or a volume-fraction averaged strength of their constituents [123,126–129] (see Figure 5(c,d)). Several subsequent studies have shown that this exceptional strength persists even after exposure to elevated temperatures and under high temperatures, as can be seen in Figure 5(c) [130–132], and translates to superior tensile creep resistance [133]. The nanolaminate structure and interfaces have also demonstrated resistance to damage accumulation under severe

mechanical deformation, the high strain rates and pressures associated with shock, and both light and heavy-ion radiation [32,134–136]. In a Cu/Nb nanolaminate, for instance, after shock testing, voids tended to form within the Cu phase and not at the Cu/Nb interfaces. In the same material, after high temperature irradiation, voids developed within the Cu and again, not at the Cu/Nb interfaces.

Many of the earlier studies on nanolaminate behavior made nanolaminates using deposition techniques [38,124]. The samples produced were fine foils, usually several microns in total thickness. One of the many benefits of this technique lies in the precise control of its nanostructure. Individual layer thicknesses are typically uniform across the sample and the bi-phase interfaces usually morphologically planar, low in energy, and atomically ordered [138,139]. As another advantage, the number of composite material systems that can be fabricated with this technique is vast and has included, for instance, Cu/Cr, Cu/Nb, Cu/Ag, Fe/Pt, Ag/Ni, Cu/Ni, Zr/Nb, Mg/Nb, Cu/V, to name a few [38,125,128,129,140]. Further, this fabrication method is amenable to high-throughput testing, and atomic-scale models can closely mirror its regular architecture. Much of today's basic understanding of nanolaminates can be attributed to studies on deposited foils. Yet, the question of scaling remains, as many of the properties of nanolaminates would be best exploited in structures that are two to three orders of magnitude greater in size than a foil.



**Figure 5.** (a) TEM image of the layered microstructure of a Cu/Nb nanolaminate. (b) microstructure after one hour at 500°C, (c) Stress-strain curves after exposure to elevated temperature [132], (d) Tensile stress-strain behavior of Cu/Nb nanolaminate as a function of layer thickness [126], and (e) Comparison in Hall-Petch behavior between measurement and prediction [137].

In more recent years, a metal forming process called accumulative roll bonding (ARB) has demonstrated an ability to make nanolaminates in sheet form, potentially suitable for making large structures [41,123]. However, manufacturing multi-phase laminates with ARB can be labor intensive and restricted to immiscible pairs of materials that are both formable under the same processing temperatures and rates. In spite of these limitations, ARB has been employed to manufacture nanolaminate sheets of Ag/Fe, Ag/Ni, Cu/Nb, Cu/Ta, Zr/Nb, as well as ultra-fine laminate sheets of Mg-alloy/Nb [41,141–145] (see Figure 5(d)). Less intuitively, ARB has proven capable of producing low-energy, atomically ordered bi-phase interfaces in Zr/Nb and Cu/Nb systems [32,146]. For the Cu/Nb system, the sheet material exhibited similar strengths and even better radiation resistance and thermal stability than the deposited foils [45,135] (see Figure 5(c)).

When the individual layer thickness  $h$  has nanoscale dimension (approximately  $h < 500$  nm), nanolaminate strength exhibits a noticeable dependence on  $h$ , increasing as  $h$  reduces. Several experimental studies have reported that the size effect follows a Hall-Petch scaling  $\sim h^{-1/2}$ , an empirical description that is well known to describe the relationship between the strength of

conventional coarse-grained materials and grain size [126,128,129,132,147]. To explain this trend, Subedi et al. [129] proposed a strengthening model based on the stored dislocation density in the interface and not within the nanolayers. They showed that the Hall Petch scaling emerges when the interface dislocation density saturates. As an alternative explanation, Yuan et al. [137] showed using a 3D crystal plasticity technique that the Hall-Petch scaling arises when the layer thickness is assumed to limit the sizes of dislocation sources in the grain boundaries, modeled as double-pinned dislocation segments (see Figure 5(e)).

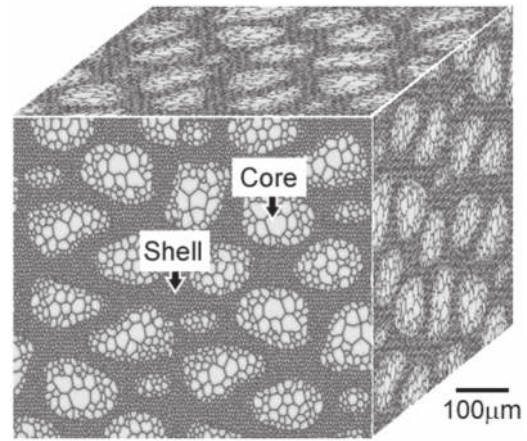
The outstanding strength and robustness to harsh environments of bi-phase nanolaminates have been attributed to their high density of bi-phase interfaces. In typical nanolaminates the bi-phase interfaces possess an ordered structure, which includes a periodic structure of misfit dislocation arrays and atomic steps or facets [125,139,148]. To understand how the interfaces could provide for superior resistance against the defects, many studies have employed atomic-scale simulations and set up these models to reveal how the regular atomic scale features of the interface affect its response to incoming extrinsic defects or an applied stress. They have shown that interfacial misfit dislocations and in

particular, where their intersection points, can act as nucleation sites for dislocations, preferentially where the misfit dislocations with crystallographic planes or non-parallel misfit dislocations meet [148,149]. They also found that the bi-phase interface can attract vacancies and serve as a conduit to channel vacancies across the interface and form voids in the phase with lower surface energy [150,151]. MD studies have demonstrated that these interfaces can act as effective sinks for dislocations, interstitial clusters, and vacancy clusters, such as SFT and voids [152]. The presence of intrinsic interface facets can increase the interfacial shear strength, an effect that can promote the transfer of slip from dislocations across the interface [153].

While the significant influence of the bi-phase interfaces is undeniable, the role played by the numerous grain boundaries and triple junctions existing in the layers cannot be neglected. Earlier studies have shown that thermally induced instabilities, such as grooving, commonly initiate where the intra-layer grain boundaries and bi-phase interfaces intersect [154]. With this in mind, one study redesigned the ARB deformation processing route to create layers bearing high-aspect ratio grains in the two in-plane dimensions. The new processing pathway lead to 100°C increase in the thermal stability of the nanolaminate nanostructure [155]. Recent MD simulations of a Cu/Nb nanolaminate revealed that when the layers are modeled as nanocrystalline, dislocations form at the grain boundaries/interface intersections in both the Cu and Nb phases [156]. The results indicate that these phases can yield and plastically deform simultaneously. Models without accounting for grain boundaries find that dislocation nucleation is favored in only one phase. More theoretical and computational studies including the effect of intra-layer grain boundaries are needed.

### 3.5. Harmonic structured materials

Harmonic structured materials are a type of heterostructure with coarse-grained (CG) cores embedded in a matrix comprised of three-dimensional UFG shell networks, as illustrated in Figure 6. In conventional homogeneous materials, increasing strength by grain refinement eventually results in considerable ductility loss. In contrast, in harmonic structured materials, work hardening is higher and lasts longer, which delays the initiation of plastic instabilities, and leads to simultaneous high tensile strength and uniform elongation. The outstanding mechanical properties of harmonic structured materials have been demonstrated in various metallic materials [49,50,157–164]. The concept of harmonic structural

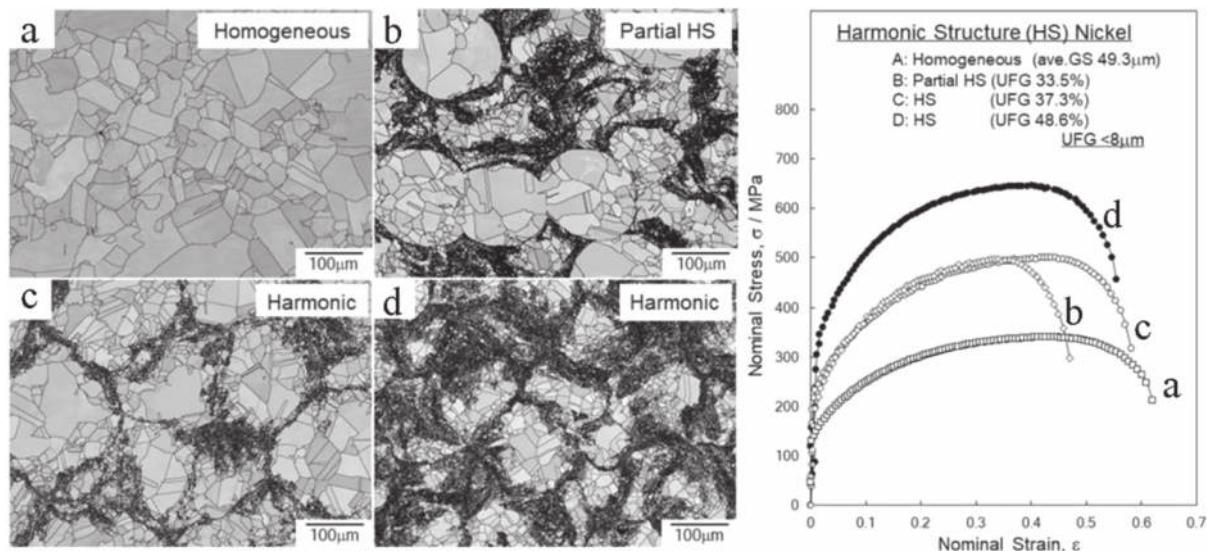


**Figure 6.** 3D illustration of a harmonic structured material.

design to improve mechanical properties can be applied to virtually all types of metallic materials.

Harmonic structured materials can be fabricated by a severe plastic deformation based powder metallurgy (SPD-PM) process involving SPD at the powder surface, through ball milling, high-pressure gas milling, etc., followed by sintering. The SPD-processed powder surface becomes the shell network structure after consolidation. Figure 7 presents EBSD images of pure Ni compacts and their corresponding tensile test results. In Figure 7(a) we show an image of a homogeneous Ni compact from the initial powder, which was made by a plasma rotating electrode process, and in Figure 7(b) an image of the Ni compact prepared instead from a mixture of an initial powder and a 360 ks milled powder. For comparison, Figure 7(c,d) are images of the harmonic structured Ni compacts fabricated from 360 to 540 ks milled powders, respectively. The compact *b* shows a partial harmonic structure with discontinuously connected network structure. In compacts *c* and *d*, however, the shell-UFG ( $< 8 \mu\text{m}$ ) fraction is 37.3% and 48.6%, respectively. The shell and core grain sizes are 3.0 and 31.7  $\mu\text{m}$  in *c*, and 2.7 and 26.8  $\mu\text{m}$  in *d*. As can be seen in the tensile results, 0.2% proof strength, UTS and the strain hardening rate increases with shell fraction. Overall, we see that a good balance of mechanical properties including superior strength and ductility, and toughness were achieved by the harmonic structure design. It is noted that the partial harmonic structured and harmonic structured *c* have a similar shell fraction and similar strain hardening rate, but the harmonic structure produced higher elongation than the partial harmonic structure. This difference implies that the connection of the shell network is important for achieving large elongations. Effects of mechanical coupling of shell and core, as well as increase of stress bearing capacity of the shell network by morphological change, lead to improvement of elongation. In





**Figure 7.** EBSD images of pure Ni compacts and their tensile stress-strain curves. (a) A homogeneous structured compact. (b) A partial harmonic structure from the mixture of the initial powder and a 360 ks milled powder. (c) A harmonic structure from 360 ks milled powder. (d) A harmonic structure from 540 ks milled powder.

other words, the well-developed shell network structure constrains deformation and suppresses local failure.

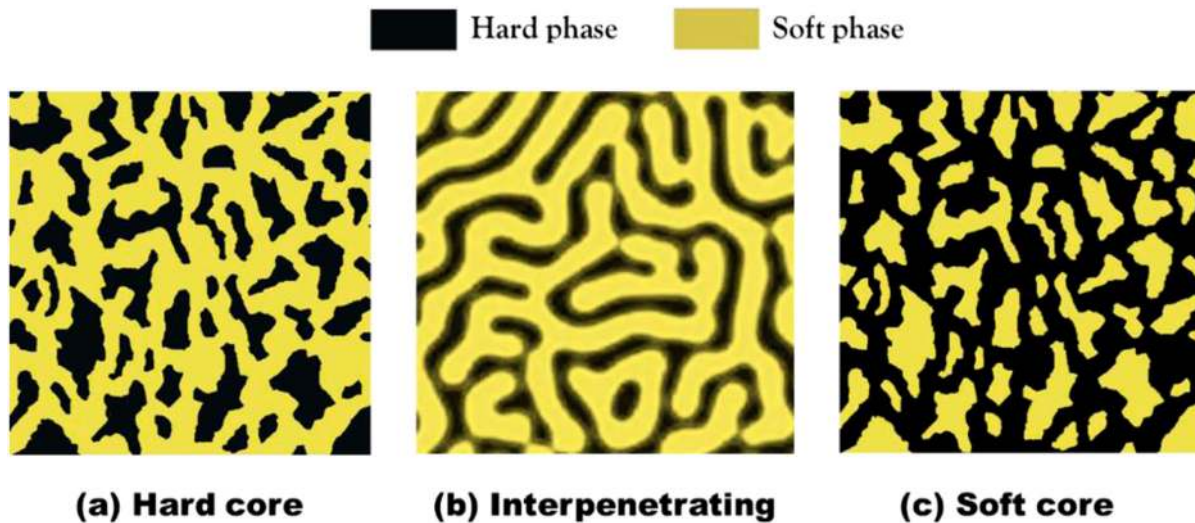
It is believed that HDI strengthening and strain hardening made significant contributions to the superior mechanical properties of harmonic structured samples. As shown in Figure 7, the soft cores in as-sintered samples are mostly spherical. It is expected that if the cores are elliptical, the density of interfaces between the soft CG core and the hard UFG shell will increase, and the HDI strengthening and strain hardening will be more significant, producing better mechanical properties. This is indeed observed in our preliminary study in which the as sintered compact was cold rolled to elongate the soft cores.

### 3.6. Dual phase structured materials

The term dual-phase (DP) structured materials refers to a class of materials composed of two phases of different compositions or crystal structures, e.g. DP steel, spinodal decomposition structure, or eutectic structure. Among the various heterostructured materials, DP structured steels, namely DP steels, are the most popular and already commercially available. Generally, DP steel is composed of islands of strong martensite second phase in a soft ferrite matrix. DP steels exhibit continuous yield behavior, low yield ratio, high ultimate tensile strength, high hardness, high strain hardening, high ductility, and good sheet formability, which are suitable for good processability in manufacturing and in safe automotive panels. A good combination of strength, ductility, and sheet formability, can be achieved by controlling the microstructure

of DP steels, such as composition, (especially, carbon content), volume fraction of martensite, and morphology of each phase including size, connectivity, aspect ratio, texture, and grain size [165]. Although DP steels show an excellent work hardening rate and elongation, the steel industry does not fully utilize the benefits (i.e. HDI strengthening) of heterostructured materials. Until recently, the DP steels were considered as composites following the rule-of-mixtures (ROM) or modified ROM [166], which has an upper bound (iso-strain condition). However, the concept of HDI strengthening provides a pathway to overcome the upper bound of ROM by providing synergic strengthening. Recently, DP structured materials have become vastly popular not only in steels but also in emerging materials, such as severely plastically deformed materials and high entropy alloys (HEAs) [167,168]. Many DP HEAs present high strength and reasonable ductility due to the superposition effect of solid solution strengthening and HDI strengthening.

DP structured materials can be categorized into three types depending on their morphologies: (1) hard island structure, typically DP steel and particle reinforced composites (Figure 8(a)), (2) soft island structure, e.g. harmonic and bimodal structure materials (Figure 8(c)), and (3) interpenetrated structure, in between the two extreme cases of hard and soft island structures (Figure 8(b)). According to the finite element method (FEM) simulations [61], the plastic flow curves of soft island structured materials, such as harmonic structured materials, are in good agreement with the upper bound iso-strain model and exhibit uniform strain distributions. For hard island materials, strains are localized in the soft-shell phases:



**Figure 8.** Schematics of DP microstructures of (a) hard island, (b) interpenetrating, and (c) soft island configurations.

the plastic flow curves exist within the two boundaries of lower bound iso-stress and upper bound iso-strain models. These FEM results demonstrate that harmonic structured materials of the soft island morphology are stronger than the conventional DP materials of strong island morphology under the same volume fractions. In between the two extreme morphologies is the interconnected or interpenetrating structures of DPs. The present FEM modeling can successfully explain and predict the mechanical behavior of various morphology materials under general deformation paths considering classical deformation compatibility and energy minimization, but it cannot account for new phenomena such as GND or HDI strengthening. New constitutive models that can consider various microstructural features and deformation and strengthening mechanisms are needed for predicting and designing advanced DP materials.

The strength of DP materials is excellent due to the added HDI stress to the ROM type strength. However, in addition to HDI hardening, the ductility of DP materials is also affected by the interface characteristics, interfacial coherency, compatibility, and bonding, as well as strain rate sensitivity. Interfacial compatibility is maintained for the interface of the same composition and structure phases, resulting in notable ductility, toughness, and strength [61]. A shortcoming of DP materials is low stretch-flangeability, which is represented by hole expansion ratio, as a result of the large strength difference between the two phases. Large differences in strength between the two phases can cause locally concentrated stress to exceed the interface bonding strength between the two phases, leading to crack initiation [169]. On the other hand, the incompatibility between the two phases is favorable for the synergic strengthening. It is expected

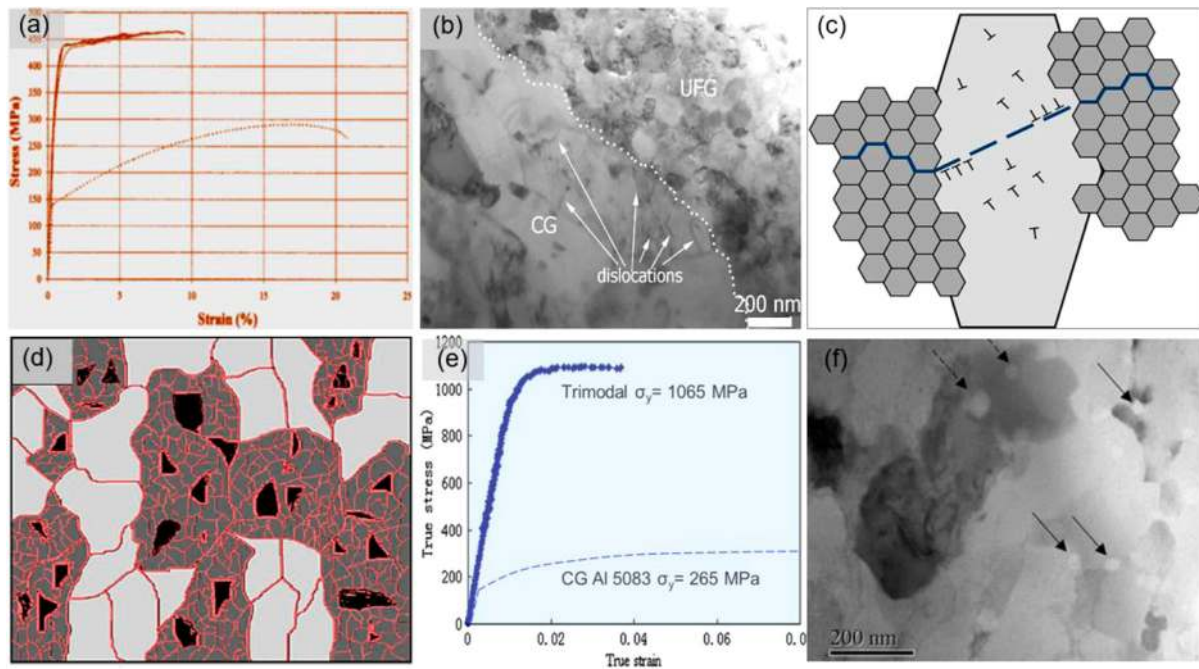
that one can take advantage of the synergic strengthening without severely compromising stretch-flangeability by implementing a gradient structure at the boundary of the two phases.

### 3.7. Multi-modal structured materials

Multi-modal materials, described in the literature as bimodal and tri-modal systems, are characterized by heterostructures, and reportedly exhibit interesting physical and mechanical behavior. For example, bimodal Al alloys that contain nano-grained (NG) and coarse-grained (CG) zones, and tri-modal Al composites with hard reinforcement particles, strong NG matrix phases, and ductile CG phases have received considerable attention in recent years in the context of a strength-ductility trade-off [170–173]. The first study proposing the concept of a bimodal microstructure was published in 2001 and reported on the mechanical properties of a nanostructured 5083Al containing inter-dispersed CGs, with a yield strength of 334 MPa, ultimate strength of 462 MPa and an elongation of 8.4% [170], as shown in Figure 9(a). It was suggested that the presence of CGs facilitated work hardening and thereby enhanced the ductility. In support of this suggestion, high-density dislocation structures with dislocation densities of up to  $4.5 \times 10^{14}/\text{m}^2$  were documented in the deformed CG region [174]. Figure 9(b) shows that in this example, most dislocations are pinned near the interface with NGs. During deformation, cracks can nucleate and propagate quickly through the NGs, but will be blunted and slowed when they encounter ductile coarse grains, as illustrated in Figure 9(c).

In another example, a heterostructured, tri-modal Al composites, consisting of 10%  $\text{B}_4\text{C}$  particles, 50%





**Figure 9.** Multi-modal structured materials: (a) Tensile properties of bimodal structured 5083Al alloy [170], (b) High-density dislocation distributed in the CG region [174], (c) Cracks propagate through the NGs, but were blunted when they encounter ductile coarse grains, (d) Structure of trimodal 5083Al composite [175], with (e) high strength of 1065 MPa and a tensile strain-to-failure value 4% [172], and (f)  $B_4C$  particles located at NG boundaries highlighted by black arrows [177].

unmilled CGs and the balance NG 5083 Al, illustrated in Figure 9(d), exhibited a high yield strength of 1065 MPa with a tensile strain-to-failure value 4% [172], as shown in Figure 9(d). In this material, significant micro-yielding was not observed in the elastic deformation region of the stress-strain curve, presumably because a high-density of dislocations evolved in the pre-deformed CGs. Hence, the applied load can be effectively transferred from CGs to the NGs, and further transferred to the stronger  $B_4C$  particles, supported by a strong interface between the Al NGs and the  $B_4C$  particles formed during cryomilling [173,175], resulting in a material with extremely high yield strength.

In both heterostructured bi-modal Al alloys and trimodal composites, the large strain gradients that evolve near zone interfaces lead to a high density of geometrically necessary dislocations which pile-up in the CGs, and in turn promotes dislocation strengthening and HDI strengthening to increase the yield strength as well as HDI work hardening to retain good ductility [171]. The fine reinforcement particles that are distributed between the NGs cause grain boundary complexions [176], as shown in Figure 9(f) [177], and can trigger dislocation nucleation and slip in NGs. The causes of low ductility in nanostructured materials are related to their deformation based on GB sliding or rotation with limited dislocation accumulation. The complexion of GBs can hinder the sliding and rotation of NGs, triggering dislocation

nucleation and slip inside of NGs, and enhancing the ductility and toughness [178]. In addition, the presence of fine reinforcements and precipitates at the GBs of NGs can enhance the thermal stability of NGs, which is also important for nanostructured materials, because nanostructured metals and alloys are thermally unstable when compared to their CG counterparts. A large amount of enthalpy stored in the high-density GBs, which provides a substantial driving force for grain coarsening [179]. GB migration can be hindered by promoting drag via second-phases, solutes, and interface complexions, which effectively decrease GB mobility and promote kinetic stabilization [180]. In summary, heterostructures and interface complexions play an important role in the strength-ductility trade-off of multi-modal Al alloys and composites, and can be leveraged to promote notable mechanical behavior.

### 3.8. Heterostructured steel

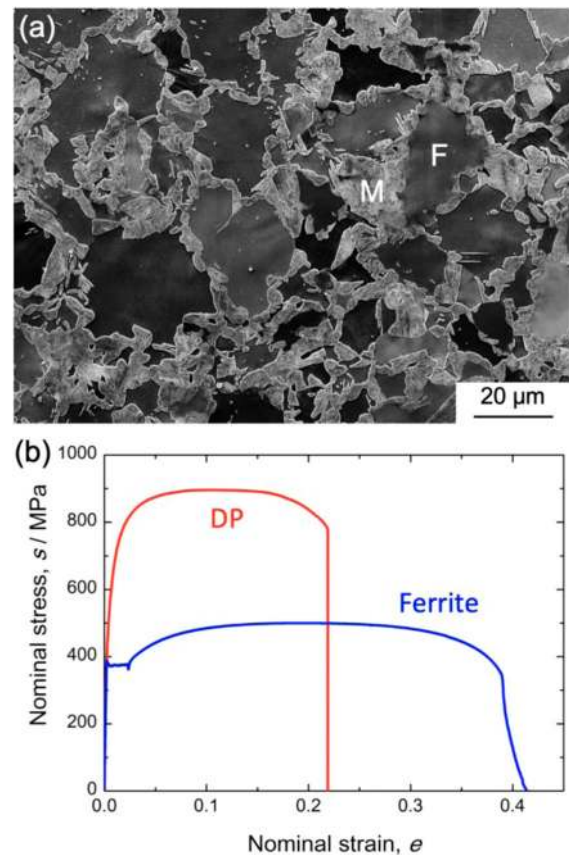
Steels are undoubtedly one of the most popular and important structural metallic materials of our time. Their production quantity and impact on society are enormous. However, thus far, the number of studies on heterostructured steels is not as large [63,75,79–83,86–111,181–184]. Most prior reports are concerned with materials with gradient nanostructures in the surface layers [63,75,79–83,86–111]. Many of these studies, however, deal with

austenitic stainless steels and not the wide range of types of steels that are available [63,80,83,99,100,105,108,109,111,184]. Some researchers found in early years that local intense deformation by sliding, attrition and shot-peening formed nanocrystalline surface layers of a bulk material [78,79,86]. Nanocrystalline surface naturally showed very high hardness, which improves wear resistance [78,106–108,110]. Careful microstructure characterization as a function of depth from the surface revealed gradient structures [29,75,78,83,86,87,98,100,103,109,111,113]. Notably, some studies have reported enhancement of both strength and ductility in tensile deformation [29,75,83,184] and improvement of fatigue properties [83,109] in these gradient structured steels.

Steels have had a long history of surface modification like nitriding, carburizing and induction quenching. One of the more famous early works on surface nanostructured steels reported nitriding at exceptionally low temperature [79]. The conventional surface modification aims to increase the hardness of surface for improving wear and fatigue properties. For example, shot-peening has been well known as a process to enhance fatigue properties of materials. It has been believed, however, that the increase in fatigue properties by shot-peening is due to compressive residual stress in surface layers, yet very recently in early 2000, similar effects have been seen by nano-structuring surfaces by shot peening [86].

Combining recent findings on nanostructured materials with traditional knowledge about surface modification of steels has the potential to create heterogeneous nanostructured steel and potentially introduce a new type of steel with superior properties. For example, pearlite is a natural-born hetero-nanostructure composed of ferrite (BCC Fe) and cementite ( $\text{Fe}_3\text{C}$ ). Martensite in carbon steels has a kind of ultrafine-grained structure in the as-quenched state, since a number of different crystallographic variants of martensite crystal form in a single austenite grain [185,186]. High-strength nanostructured bainite, for instance, has a structure composed of mutually aligned bainitic ferrite and retained austenite plates with nano-thicknesses, which result from partitioning of alloying elements during heat treatment [187,188].

Nowadays, steels are required to have even higher strengths than before for both light weight and safety [189]. One of the ways to satisfy such demands is to introduce hard zones or phases (like martensite) into the microstructure. Figure 10(a) shows a SEM microstructure of a typical dual phase (DP) microstructure [189] composed of soft ferrite (F) and hard martensite (M) in a low-carbon steel. Another microstructure composed of mostly ferrite (with a small amount of pearlite) was made in the same low-C steel. The tensile stress–strain curves



**Figure 10.** (a) SEM image of a dual-phase (DP) microstructure composed of soft ferrite (F) and hard martensite (M) in a low-carbon steel. (b) Stress-strain curves obtained by a tensile test at room temperature of the low-C steel having different microstructures, i.e. DP microstructure and mostly ferrite microstructure (with a small amount of pearlite) [190] (Courtesy of Dr. Myeong-Heom Park of Kyoto University).

of two specimens with the DP and ferrite microstructures are shown in Figure 10(b). It is clear that the mixture of soft and hard phases has greatly increased the strength of the same steel [190]. It should be noted that the DP specimen exhibited some strain-hardening even after yielding at higher stress levels, resulting in uniform elongation over 10% and total elongation over 20%. The good mechanical property is considered caused by the synergistic interactions between the hetero-zones. Since the DP structure shown in Figure 10 is a conventional ‘micro’-structure, nano-structuring could further improve the mechanical properties of hetero-nanostructured steel.

### 3.9. Fracture behavior of gradient structured materials

An important class of heterostructured materials are those possessing gradients in composition, structure and/or properties. The creation of such gradients is

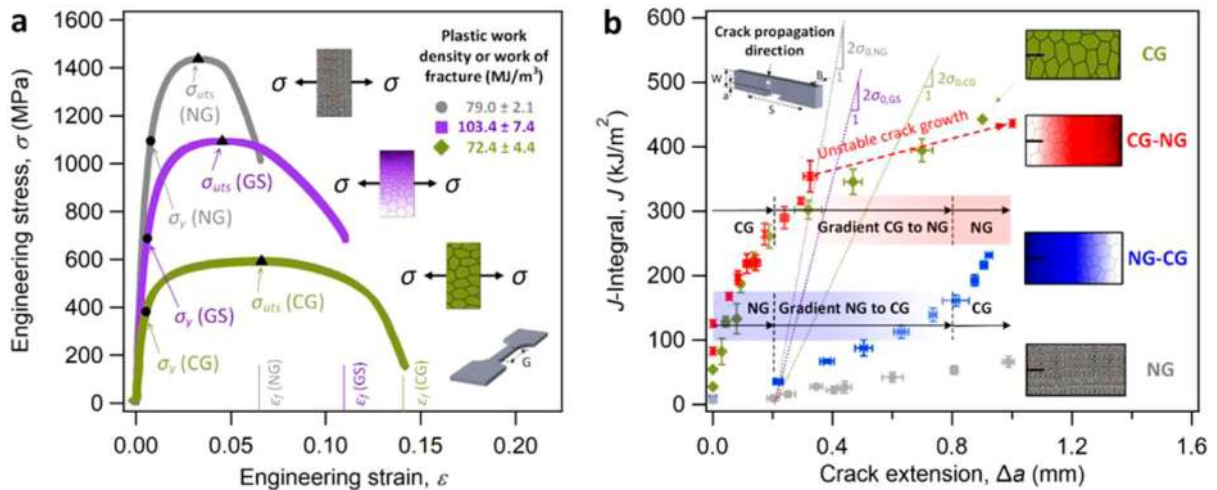
widely used in natural materials [191], most often to achieve unusual combinations of properties. For example, bamboo stems possess a gradient structure comprising a decreasing density of vascular bundles from their exterior to the center, resulting in enhanced flexibility yet overall strength and stiffness. In similar fashion, one common form of graded structures in synthetic materials has involved the use of gradients in grain size for metallic materials. As noted in Section 3.2, several GS metallic materials with grain-size gradients have been developed, generally to achieve combinations of strength and ductility [27–29,65,68–70], although in most cases only in very small section sizes, often pertaining to a few hundred micrometers. Due to difficulties in fabricating graded structures at macro-scale dimensions, such micro-scale GS materials naturally have very limited application.

Recently, however, nominally bulk-sized GS materials of metallic nickel have been processed as centimeter-sized plates by a direct-current electroplating process [69,192]. Using current densities increasing from 10 to 100 mA/cm<sup>2</sup> (with a sodium saccharin additive), grain-size gradients in nickel, ranging from nanograins (NG) of  $\sim 30$  nm to coarser-grains (GC) of  $\sim 4\text{--}8\text{ }\mu\text{m}$ , have been achieved. In addition to the capability of evaluating gradients over larger dimensions, such larger-scale GS plates have permitted the assessment of their fracture

properties as nano-/micro-sized samples can rarely satisfy the size requirements for realistic fracture toughness measurement.

To this end, the deformation and fracture properties of such gradient nickel have been investigated, under both quasi-static [69] and dynamic [192] loading, using such 30 nm to  $4\text{--}8\text{ }\mu\text{m}$  gradients in grain size (NG-GC), and compared to corresponding behavior in uniform coarse and nano-grained nickel. Compared to the ultrahigh-strength NG and low-strength CG uniform grain-sized structures, an optimized combination of high strength and high toughness can be achieved in the gradient structured material, combining the higher strength of the nano-grained regions with the higher toughness of the coarse grains. Interestingly though, the fracture resistance of graded material is dependent on the crack direction, specifically from the interaction of propagating cracks with the local microstructure within the gradient.

Based on nonlinear-elastic fracture mechanics  $J$ -integral measurements in the gradient materials, the crack-initiation toughness was found to be far higher for cracks grown in the direction of the coarse-to-nano grained (CG  $\rightarrow$  NG) gradient than vice versa, a result which can be ascribed primarily to crack-tip blunting in the coarse-grained microstructure [69]. Specifically, the CG  $\rightarrow$  NG gradient structure, where a pre-existing crack



**Figure 11.** Mechanical properties of the uniform grain-sized pure NG and pure CG structures, and gradient structured (CG  $\rightarrow$  NG and NG  $\rightarrow$  CG) Ni at room temperature. (a) Uniaxial tensile properties of NG specimen show an increase in both yield strength and ultimate tensile strength compared to those in CG specimen. A good combination of strength and ductility can be achieved in the GS specimen as confirmed by the increase of plastic work density (or work of fracture), i.e. the area under the true stress-plastic strain curve, in the GS specimen from those in the CG and NG specimens. (b) R-curves for the four structures presented in terms of  $J$ -integral as a function of crack extension  $\Delta a$ . As the crack grows to  $\Delta a = 1$  mm, the  $J$ -integral value of the CG specimen is increased to  $442\text{ kJ m}^{-2}$ , some six times higher than that of the NG sample,  $63\text{ kJ m}^{-2}$ , showing evidence of ductile and brittle crack-growth behavior in the CG and NG structures, respectively. The R-curve of the gradient NG  $\rightarrow$  CG materials shows an increasing slope, compared to that of the pure NG structure, as the crack grows into the gradient region, indicating an enhanced crack-growth toughness. The gradient CG  $\rightarrow$  NG specimen presents a similar crack resistance to the CG specimen until crack extension ends in the initial part of the gradient zone, whereupon unstable crack growth occurs into the nano-grained region. A transition in the fracture mode from ductile to ‘brittle’ is apparent as the crack proceeds through the CG  $\rightarrow$  NG gradient in this material [69].



initiates from CG zone and propagates into NG zone, displayed the best combination of strength and toughness properties with the largest degree of crack-growth (R-curve) toughening (Figure 11). Once crack extension approaches the end of the gradient structure, however, unstable brittle fracture can occur as the crack encounters the nano-sized grains. The NG → CG gradient structure, where a pre-existing crack initiates from NG zone and propagates into CG zone, exhibited less crack-growth toughening than that of the CG → NG gradient structure, but is actually less susceptible to outright fracture as the propagation of brittle cracks in the nano-grains of the early part of the gradient region become arrested once they reach the coarser-grained regions. The coarse grains induce significant crack-tip blunting, which represents a particularly potent mechanism of fracture resistance in these graded nickel structures. Both gradient structures (CG → NG and NG → CG) display marked rising crack resistance-curve behavior with exceptional crack-growth toughness values exceeding 200 MPa.m<sup>1/2</sup> at a tensile strength of over a 1 GPa (Figure 11).

## 4. Structural heterostructured materials—modeling

### 4.1. Laminate structured and nanograined metals

Laminate structured and nanograined metals offer potent examples of stress-redistribution in interface-dominated and grain-boundary-dominated metals and alloys. The model assumptions and parameters can be a strong function of the processing, which for laminate structures includes physical vapor deposition techniques such as evaporation, sputtering [34,193–196], molecular beam epitaxy [197,198], and electrodeposition [199,200], as well as severe plastic deformation methods [201] such as accumulative roll bonding [41,42,202,203], repeated pressing and rolling [201,204,205], and diffusion bonding and cold rolling [206,207]. Models often assume uniform layer thickness and chemically and structurally sharp interfaces, although the validity of such assumptions depends on the processing method and immiscibility of phases. For nanograined structures, methods include electrodeposition [208–211], severe plastic deformation such as high pressure torsion and equal-channel angular pressing [212,213], and mechanical alloying involving repeated deformation of powder particles using a high energy milling [214]. These methods differ in terms of contamination and the smallest achievable grain sizes.

An overarching goal of modeling activities has been to rationalize the dependence of hardness and/or flow

strength on layer thickness or grain size, the hysteretic nature of loading/unloading behavior, and the evolution of internal stress and plasticity during deformation. The laminate and nanograined morphologies contrast the 2D versus 3D nature of geometrical constraints as well as absence or presence of chemical and structural discontinuities created by the interfaces.

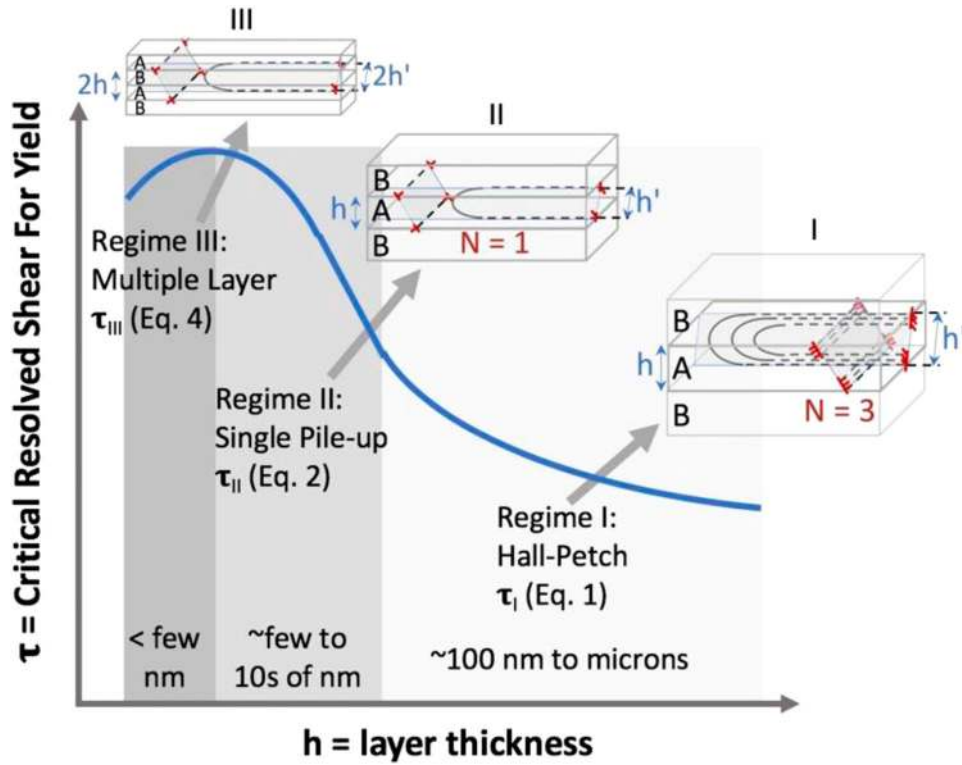
For metallic laminate structures, the concepts of hetero-deformation induced (HDI) strengthening and strain hardening are dependent on the thickness  $h$  of the individual layers comprising the laminate. For example, hardness measurements for Cu/Cr, Cu/Nb, Cu/V, and Al/Nb laminates increase monotonically from a range of 2–3 GPa to 4–7 GPa as  $h$  decreases from  $\sim 200$  nm to  $\sim 1$  nm [215]. These results are rationalized by models that capture three distinct regimes as depicted in Figure 12 [35].

In Regime I at larger layer thickness (e.g.  $h \sim 100$  nm to microns), confined layer propagation (CLP) of ‘hairpin’ loops initiates in softer layers, pile-ups of N dislocation loops develop by repeated CLP, and slip eventually percolates into adjoining harder layers—for example, where pileups intersect interfaces (Figure 12, Inset I). A Hall-Petch type behavior is predicted for  $\tau_I$ , the critical resolved shear stress in the softer layer to yield the laminate [217]:

$$\tau_I = \tau_0 + k_{HP}h'^{-1/2}, \quad k_{HP} = (\tau_i/G_T)^{1/2}k_{HP0},$$

$$k_{HP0} = G_T(2b)^{1/2} \quad (2)$$

The quantity  $\tau_0$  reflects the resistance to dislocation motion in the softer layer,  $k_{HP}$  is the Hall-Petch (HP) coefficient, and  $h'$  is the projected thickness of the layer along the slip plane (Figure 12, inset I).  $k_{HP}$  can be expressed in terms of the interfacial strength  $\tau_i$  required for slip propagation, a reference Hall-Petch coefficient  $k_{HP0}$ , and  $G_T = G/2\pi(1 - \nu)$ , where  $G$  and  $\nu$  are the elastic shear modulus and Poisson’s ratio of the softer phase. Hardness data [215,218] suggest a large variation in  $k_{HP}$  among Cu/ $X$  multilayer thin films, spanning from approximately 2.2 GPa-nm<sup>1/2</sup> for Cu/V and Cu/Nb to 3.4 GPa-nm<sup>1/2</sup> for Cu/Cr. For comparison,  $k_{HP} = 1.4$  GPa-nm<sup>1/2</sup> for polycrystalline Cu (grain diameter 5 nm to 320  $\mu$ m) [219]. Values for multilayer thin films were obtained by dividing hardness by a Taylor factor of 3 to convert to tensile values and then by a Schmid factor of  $\sqrt{6}$  to convert to shear stress values. For copper,  $G_T = 10.8$  GPa and  $k_{HP0} = 7.7$  GPa-nm<sup>1/2</sup>, based on  $G_{Cu} = 45$  GPa,  $\nu = 1/3$ , and  $b = 0.25$  nm [220]. Thus, the cited values of  $k_{HP}$  correspond to  $\tau_i/G_T = 0.19$  for Cu/Cr, 0.08 for Cu/V and Cu/Nb, and 0.03 for polycrystalline Cu.



**Figure 12.** (a) Schematic showing three regimes to describe the critical resolved shear stress in softer (A) layers needed to produce macroscopic yield in A/B multilayer thin films, as a function of individual layer thickness  $h$ . Adapted from [35] and [216].

In Regime II where  $h < 100$  nm down to a few nm, hardness continues to increase with decreasing  $h$  but Equation (1) does not apply. An estimate of  $\tau_i$  can be made in the vicinity where peak hardness is achieved as a function of decreasing  $h$ . The relevant model is depicted in Figure 12 (inset II), where single ( $N = 1$ ) dislocation pileups are expected. The critical resolved shear stress in the softer layer required to yield the multilayer is approximated by

$$\tau_{II} = MG_T/(h'/b) + \tau_i(h) \quad (3)$$

The first term represents the resolved stress to overcome the attractive force between the oppositely signed 'hairpin' dislocations in the ( $N = 1$ ) configuration. The modulus factor  $M \sim G_{\text{hard}}/G_{\text{soft}}$  and thus equals 1 for an elastically isotropic medium [217,221]. Prior to reaching  $\tau_{II}$ ,  $N = 1$  hairpins are created when the resolved shear stress reaches

$$\tau_{CLP(N=1)} = 2T/bh', \quad 2T = MG_T b^2 \ln(h'/b) \quad (4)$$

The line energy  $T$  for  $N = 1$  dislocations depends on the nature of the interface and the expression in Equation (4) is estimated from continuum dislocation theory [217,220]. In principle, the dislocation core may dissociate or spread into interfaces, thereby reducing  $T$ , and increasing  $\tau_i$  [222].

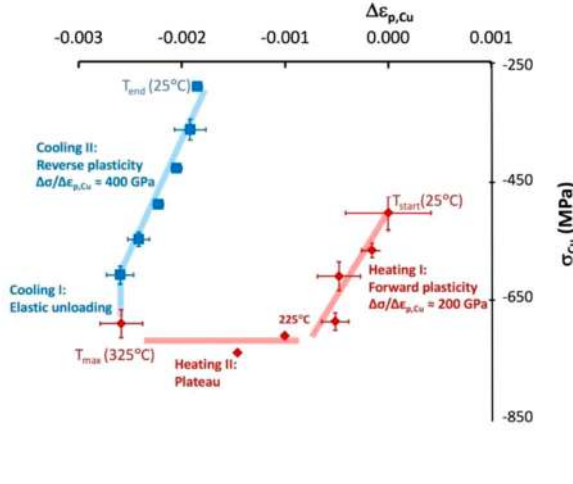
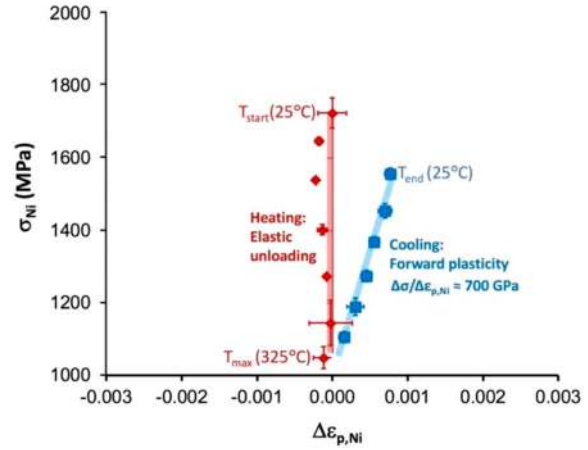
In Regime III, peak strength is achieved and strength becomes a weaker function of  $h$ . Figure 12 (Inset III) depicts the expansion of loops across interfaces without confined layer propagation and thus the breakdown of hetero-induced deformation. The onset of Regime III is modeled by setting  $\tau_{CLP(N=1)} \geq \tau_{\text{Yield}(N=1)}$  so that

$$\tau_{III} = \tau_i/(1 - MG_T/(2T/b^2)) \quad (5)$$

Data from [212] indicates peak hardness and corresponding layer thickness values of  $(H, h) = (4.3 \text{ GPa}, 25 \text{ nm})$  for Cu/V,  $(6.8 \text{ GPa}, 10 \text{ nm})$  for Cu/Cr, and  $(6.4 \text{ GPa}, 2.5 \text{ nm})$  for Cu/Nb. Insertion into Equation (4) with  $T$  also given by Equation (4) furnishes  $\tau_i \tau_i/G_T = 0.04$ ,  $0.06$ , and  $0.05$  for the three respective Cu/ $X$  multilayer thin films. These estimates are based on  $\tau_{III} = H/(3\sqrt{6})$ , where an upper estimate of 3 for the Tabor factor is used [223], the Schmid factor is  $\sqrt{6}$ ,  $h' = h\sqrt{1.5}$  based on a  $\{111\}$  slip plane and a  $<001>$  interface normal, and the theoretical strength  $G_T = 10.8 \text{ GPa}$ . These predictions of  $\tau_i/G_T$  are consistently smaller in Regimes II and III compared to  $\tau_i/G_T = 0.19$  for Cu/Cr,  $0.08$  for Cu/V for Regime I, suggesting that  $\tau_i$  may be increased by dislocation activity.

Experiments by Gram et al. [43] on Cu-Ni multilayer thin films provide evidence of hetero-induced



(a) Cu layers,  $h = 20$  nm(b) Ni layers,  $h = 20$  nm

**Figure 13.** Experimental measurements of in-plane stress-plastic strain response in a sputtered epitaxial Cu-20 nm/Ni-20 nm multilayer thin film on a [224] silicon substrate, for (a) Cu layers and (b) Ni layers. Adapted from Gram et al. [43].

strengthening and an assessment of the modeling concepts embodied in Figure 12 and Equations (1–4). Cu-21 nm/Ni-21 nm multilayer thin films produced by sputtering onto  $\langle 001 \rangle$  Si substrates were subsequently heated and cooled while monitoring lattice parameters of the Cu and Ni phases using diffraction. Estimates of the total in-plane strain imposed on the film were provided by the mismatch in the in-plane coefficients of thermal expansion. Estimates of in-plane elastic strains and stresses in each phase were provided by measurements of in-plane lattice parameters. The Cu layers (Figure 13(a)) display extraordinary strain hardening in regime Heating I, where the flow stress increases from about 500 MPa to nearly 750 MPa during a 0.1% increment in plastic strain. In regime Heating II, the strain hardening is dramatically smaller. In regime Cooling I, Cu layers elastically unload and in Cooling II, pronounced reverse plasticity occurs over a 400 MPa span. In contrast, the Ni layers (Figure 13(b)) initially unload without any reverse slip in regime Heating. However, during reloading in regime Cooling, about 0.08% forward slip occurs. The results underscore that  $\tau_{CLP}$  (Equation (3)) in the Cu and Ni layers is a strong function of plastic strain. An hypothesis is that the CLP that occurs in Cu layers during the Heating regime changes the number of single pile-ups (Figure 12, inset II) and interfacial structure. This enables the observed plasticity in the Ni layers (Figure 13, Cooling regime). The analysis furnishes  $T = 0.8$  nJ/m for dislocations deposited at the interfaces [224], which is about 1/3 estimates for bulk Cu, suggesting that dislocations may be readily attracted to these interfaces.

Investigations of the same Cu-Ni multilayer thin films at larger deformation were conducted through a novel

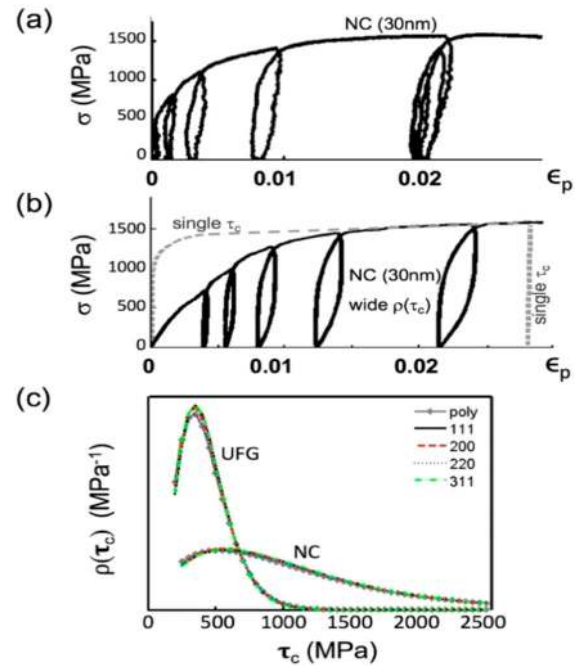
combination of nanoindentation and micropillar compression testing and simulations [223]. Room temperature estimates at 8% strain and  $10^{-4}$ /s strain rate are  $\sigma_{8\%,Cu} = 770$  MPa and  $\sigma_{8\%,Ni} = 2320$  MPa. The 8%,Cu value is comparable to the plateau reported in Figure 13, suggesting that the majority of hardening in the Cu layers occurs in the initial 0.1% plastic strain [223]. In contrast, the 8% strain value for Ni layers is 35% larger than the maximum reported in the small strain heating/cooling studies (Figure 13). The 8% strain values reported for Cu and Ni are comparable to those for nanocrystalline Cu and Ni, respectively, suggesting that homo and hetero-interfaces can provide comparable strengthening. With respect to the heterostructured fundamentals in Section 2, multilayer thin films display the greatest strength in the limiting single dislocation pile-up regime, where interfaces modulate the plastic properties of soft and hard phases, through the manipulation of dislocation line energy and barrier strength for slip transmission.

Hetero-deformation-induced hardening can be applied to nanocrystalline metals using concepts of soft and hard grains [11]. Figure 14(a) shows experimental tensile loading/unloading behavior and pronounced hysteresis for electrodeposited nanocrystalline Ni (30 nm). These features and the extraordinary strength diminish with increasing grain size [225]. Figure 14(b) shows that this behavior can be captured using finite element simulations of polycrystals with a quantized crystal plasticity (QCP) constitutive relation [10]. The QCP simulations employ a grain-to-grain distribution of critical resolved shear strengths for intragranular slip that is broader and shifted to larger values than for ultrafine grain material (Figure 14(c)). The wide distribution of

critical strengths creates populations of soft and hard grains that create a more gradual elastic-plastic transition and pronounced hysteresis, compared to simulations with a uniform critical resolved shear stress (Figure 14(b), single  $\tau_c$  response). The QCP feature captures the abrupt jumps in grain-average plastic strain from single slip events within nm-scale grains that are observed in molecular dynamics simulations of nc Ni [226]. Diffraction measurements of residual stress—as a function of strain and diffraction group—suggest that the wide distribution in critical resolved shear stress exists within each diffraction group. A consequence is that redistribution of stress between hard and soft grains occurs at two levels—within diffraction groups at small strain ( $< 2\%$ ), and between diffraction groups at larger strain. A key finding is that the grain-to-grain variation in Schmid factor is not sufficient to achieve the extended elastic-plastic transition, pronounced hardening, and hysteresis observed in Figure 13. These features are consistent with a large grain-to-grain variation in critical strength and violent stress redistribution caused by quantized crystal plasticity. With respect to the heterostructured fundamentals in Section 2, the results underscore that homo-phase nanocrystalline metals can also exhibit large stress redistributions, gradual yield, elevated strength and hysteresis—not because of grain-to-grain differences in Schmid factor, but apparently from the enhanced role of grain boundaries in creating hard and soft grain populations.

#### 4.2. Gradient plasticity at zone interfaces & back stresses

The plastic strain gradient and long-range internal stress are two important features of plastic deformation of HS materials [227], both of which can be related to the generation and accumulation of GNDs [227]. Computational modeling and simulations at continuum and atomic scales [29,65,228–231] have shown that the GNDs play important roles in the plastic deformation of gradient nanostructured (GNS) metals (a type of HS materials), often resulting in extra strengthening or strain hardening. For gradient nanograined metals, crystal plasticity finite element modeling [228,229] of 2D and 3D gradient samples with grain-size gradient of  $\sim 0.1$  showed that the plastic deformation propagates progressively from the soft center (i.e. larger grains) to the hard surface layer (i.e. smaller grains), which is consistent with experimental observations on the tensile behavior of gradient nanograined Cu [29]. These studies also demonstrated the presence of plastic strain and tensile stress gradients during plastic deformation, as indicated by Figure 15. The plastic strain is larger in the soft center

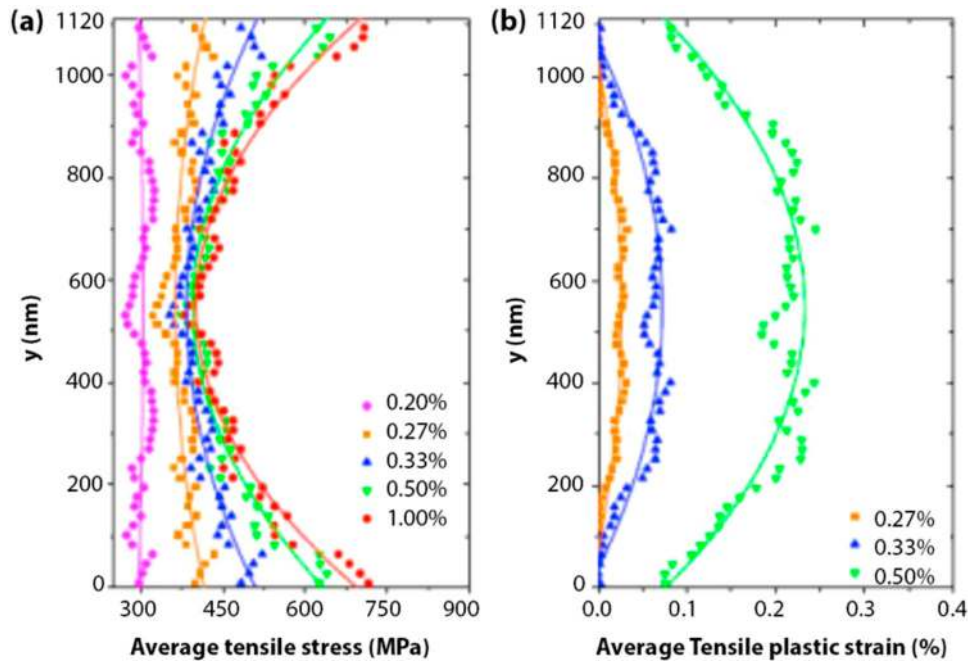


**Figure 14.** (a) Experimental tensile stress-plastic strain response of electrodeposited nanocrystalline Ni (30 nm); (b) Corresponding predictions from Quantized Crystal Plasticity (QCP) simulations using a wide grain-to-grain distribution of critical resolved shear stress  $\rho(\tau_c)$  for slip (solid curves) versus a single  $\tau_c$  (narrow distribution); (c)  $\rho(\tau_c)$  distributions for ultrafine grain and nanocrystalline (30 nm) simulations. (a) is adapted from Cheng et al. [225] and (b, c) are adapted from Li et al. [11].

and smaller in the hard surface layer, while the tensile stress gradient has an opposite distribution.

Large-scale atomistic simulations [29] have been performed for the uniaxial tension of gradient nanograined Cu with grain-size gradient in the range from 0 to 0.42. The simulations not only demonstrated the presence of plastic strain gradient and stress gradient during plastic deformation, but they also clearly revealed the storage and accumulation of GNDs to coordinate the deformation between neighboring grains [29]. More interestingly, the simulations also showed that there exists a critical averaged grain size corresponding to the maximum strength of gradient samples and that this critical size decreases with increasing grain-size gradient, reflecting a synergistic effect between GB sliding and migration in smaller grains and enhanced intergranular dislocation activities in larger grains [29].

Finite element modeling and simulations based on the strain-gradient plasticity theory have been conducted for the uniaxial tension of gradient nanograined metals and alloys [231]. They considered both the GNDs and the HDI stress induced by the pileup of GNDs. The predictions on the uniform elongation and extra-strain hardening from the modeling agree well with the



**Figure 15.** Distributions of (a) Plastic strain and tensile stress in the cross section at different strain levels from crystal plasticity modeling for uniaxial tension of gradient nanograined metals [228].

experimental results [231], indicating that the HDI stress contributes significantly to both strength and ductility of gradient nanograined metals and alloys [231]. These studies also showed that the uniform elongation of gradient nanograined samples is related to the constraint of soft center on the hard surface layer [231].

Recent large-scale atomistic simulations [65] showed a unique pattern of ultrahigh densities of dislocations during plastic deformation of gradient nanotwinned Cu with grain-size and twin-size dual gradients. Such dislocation pattern, referred as bundles of concentrated dislocations (BCDs) [65], is not observed in homogeneous nanotwinned metals and is essentially a type of GNDs because it is generated to accommodate strain gradient induced by the dual gradients in grain size and twin size. The BCDs consist of two common types of dislocation structures existing in homogeneous nanotwinned metals: one type consist of dislocations on slip planes inclined to twin boundaries and the other threading dislocations confined by neighboring twin boundaries. Such BCDs' structures are fully corroborated with TEM observations augmented by a two-beam diffraction technique [65]. Integrating experiments and atomistic simulations confirmed that the superior working hardening and strength of gradient nanotwinned metals stem from the formation of BCDs, and also showed that the experimentally measured long-range back stress increases substantially with twin-size gradient [65].

### 4.3. Intragrain GNDs and heterogeneous stress distribution/flow stress

#### 4.3.1. Consequences of heterogeneity of deformation-induced dislocation distributions

In macroscopically homogeneous deformations, the deformation-induced dislocation distributions are usually heterogeneous, as a result of cell formation (dislocation patterning) and are characterized by co-existing regions of low local dislocation density and regions of higher local dislocation density. Hence, the material is similar to a composite-type material, consisting of rather soft cell interiors and harder cell walls. During deformation under constant strain, the compatibility requirements lead to deformation-induced long-range internal stresses: forward stresses in the harder walls and back stresses in the softer cell interiors. It is important to note that in this picture, classical dislocation pile-ups are not essential as sources of internal stress. The internal stresses and associated strains are accommodated by geometrically necessary dislocations (GNDs) at the interfaces between the harder and softer regions. In fact, the well-known Bauschinger effect (lowering of the yield stress after reverse yielding) is attributed mainly to the back stresses. This situation has been described with some success in the so-called composite model [232,233], whose main features are as follows.

It is assumed that the compatible deformation of the softer cell interiors (index  $c$ , volume fraction  $f_c$ ) and the

harder cell walls (volume fraction  $f_w$ ) corresponds to that of a two-component composite. Then, the overall shear flow stress  $\tau$  is expressed via the rule of mixtures, as the weighted sum of the local shear flow stresses  $\tau_c$  and  $\tau_w$ :

$$\tau = f_c \tau_c + f_w \tau_w, \quad \text{with} \quad (6)$$

$$\tau_c = \tau + \Delta \tau_c \quad \text{and} \quad \tau_w = \tau + \Delta \tau_w, \quad (7)$$

where  $\Delta \tau_c < 0$  and  $\Delta \tau_w > 0$  are the internal back and forward stresses in the cell interiors and in the cell walls, respectively. These internal stresses can be formulated alternatively in terms of either the plastic or the elastic strain mismatches.

#### 4.3.2. GNDs and internal stresses in deformed single-phase materials containing heterogeneous dislocation distributions

GNDs play an important role as sources of deformation-induced internal stresses [232,233]. The results obtained by asymmetric X-ray line broadening measurements on tensile-deformed [001]-orientated copper single crystals which deform in ideal multi-slips are particularly interesting and have led to a detailed picture of the internal stresses and the nature of the GNDs at the interfaces between cell interiors and cell walls, compare Figure 16, see ref. [233]. Figure 16(a) illustrates the deformation on intersecting symmetrical slip systems, leading to the accumulation of pairs of interfacial GNDs bordering the vertical dislocation walls (Figure 16(a)). The effective Burgers vectors of the GND pairs lie parallel to the stress axis (Figure 16(b)) and thus lead to internal forward stresses in the vertical walls and to opposite internal back stresses in the cell interiors (Figure 16(c)).

#### 4.3.3. GND gradients in gradient-structured materials

Ultimately, it is conceivable that the problem of GNDs in gradient-structured materials can be approached in terms of an extension of the original composite model by considering the plasticity of a ‘double-layer’ composite, made up of an inner core containing a heterogeneous dislocation distribution, as discussed before, with slabs of gradient structure material, with its own microstructure, bonded at the surface as an outer mantle.

In order to approach this problem, some basic relationships are recalled, see ref. [234]. Following Ashby [56], the (local) density of geometrically necessary dislocations,  $\rho_{\text{GND}}$ , can be related (in one-dimension  $x$ ) directly to the strain gradient as follows:

$$\rho_{\text{GND}} = \frac{1}{b} \cdot \frac{\partial \gamma_{pl}}{\partial x} \quad (8)$$

where  $\gamma_{pl}$  is plastic shear strain.

Next, we consider the local variations of the flow stress. In the composite model, the density of GNDs does not appear in the flow stress equation which hence contains only the density  $\rho_s$  of statistically distributed dislocations [234]. Confining ourselves to one dimension in  $x$ -direction, the local flow stress  $\tau_{\text{loc}}(x)$  can then be expressed in Taylor-like form as follows:

$$\tau_{\text{loc}}(x) = \alpha G b \sqrt{\rho_s(x)} \quad (9)$$

Under conditions of constant total strain, the local plastic shear strain gradient ( $\partial \gamma_{pl} / \partial x$ ) can be replaced by the local negative elastic shear strain gradient ( $\partial \gamma_{el} / \partial x$ ), and the local elastic shear strain  $\gamma_{el}$  can be replaced via Hooke’s law by  $\tau_{\text{loc}} / G$ . Then, the local density of geometrically necessary dislocations can be expressed as

$$\rho_{\text{GND}}(x) = \frac{1}{bG} \cdot \frac{\partial \tau_{\text{loc}}(x)}{\partial x} \quad (10)$$

One then obtains finally [234]:

$$\rho_{\text{GND}}(x) = \frac{\alpha}{2\sqrt{\rho_s}} \cdot \frac{\partial \rho_s}{\partial x} \quad (11)$$

This treatment refers essentially to a deformed monocrystal or grain in a polycrystal and does not consider any interaction with a grain boundary which would then probably depend on the grain size. The above one-dimensional approximation could be the basis for a ‘double-layer composite model’ of a gradient-structured material, as proposed above, which considers in more detail gradients of the local dislocation and stress distribution in the transition to the surface region, taking also into account compatibility conditions, interactions with grain boundaries and GNDs.

## 5. Structural heterostructured materials—future issues

There are numerous scientific and engineering issues that need to be solved for this new materials field. The first is how the GNDs interact with zone boundaries to produce GND pileups and to develop back stress and forward stress. As noted earlier, it is recalled that internal stresses of the type discussed can also originate from the heterogeneity of the dislocation distribution, without the need for the GNDs to be arranged in classical pile-ups [232–234]. Stacking fault energy (SFE) should have a considerable effect on the GND pile-up. Low SFE metals usually have more planar slip that promotes GND pileup, while high SFE makes it easier for GNDs to cross-slip, which makes it harder to have a long GND pileup. Therefore, it can be deduced that the SFE will affect the development of HDI stress and HDI hardening. Another



scenario is that the leading GND at the head of a pileup may be pushed into the zone boundary, or it may transmit across the zone boundary to slip in the hard zone, leaving a residue dislocation on the boundary. In either case, the number of GNDs in the pileup may reach a saturation. These need to be studied so that we can understand the HDI evolution and mechanical behavior of heterostructured materials.

The second is the formation mechanism of shear bands and its relationship with GND pileups. Highly dispersive strain bands have been extensively observed in heterostructured materials. It is critical to probe the nucleation and growth of strain bands. One hypothesis is that nucleation of a local strain band is associated with one or multiple GND pileups, but this is yet to be verified experimentally. This is critical to understanding the deformation mechanisms of heterostructured materials.

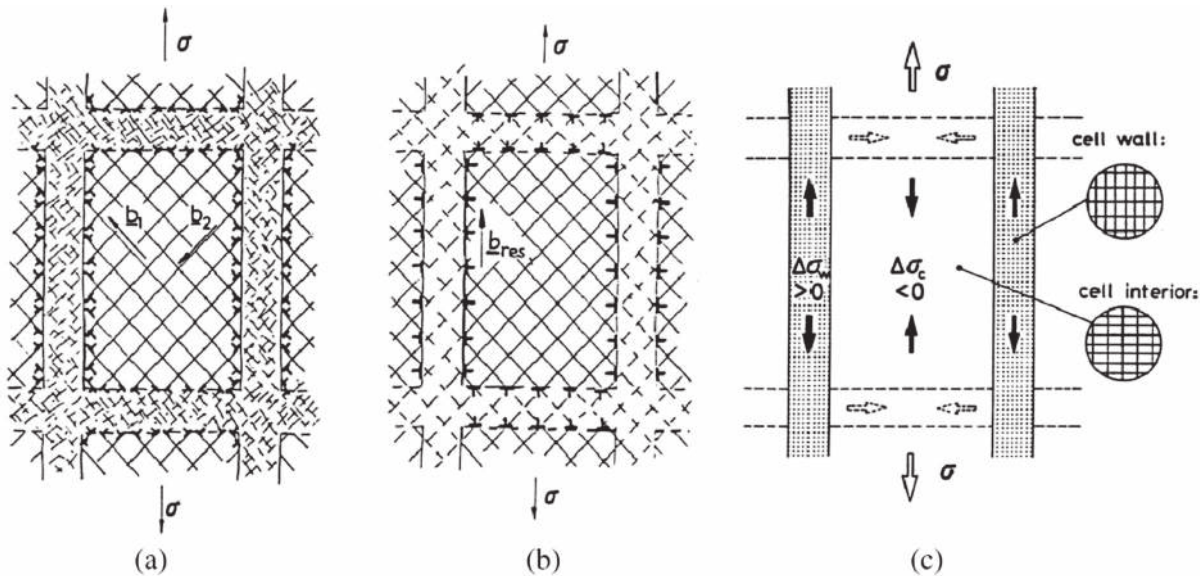
The third is computer and dislocation-based modeling to predict the optimal hetero-zone size, geometry and distribution for the best strength-ductility combination. The heterostructured materials reported so far have been largely made by the trial and error approach. It is the ultimate goal of the research community to be able design heterostructured materials in terms of their composition and heterostructure for the best properties to meet advanced application requirements.

The fourth is the development of processing technologies that can precisely control the heterostructures, with good scalability and low processing cost. This is critical for the real-world application of heterostructured materials.

## 6. Functional heterostructured materials

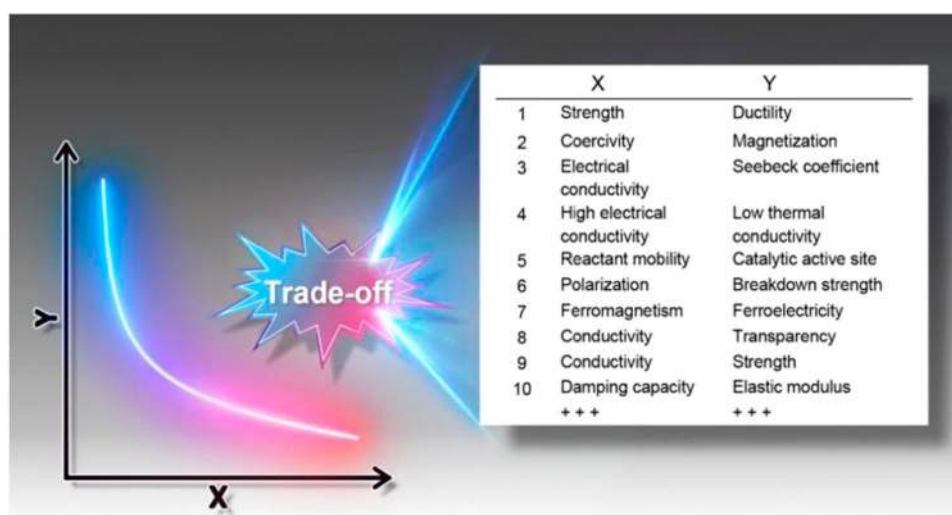
Like the strength and ductility in structural materials, many conflicting properties exist in functional materials, such as magnetization and coercivity [5,6], electrical conductivity and Seebeck coefficient [235], polarization and breakdown strength [236], reactant mobility and catalytic active sites [237], ferromagnetism and ferroelectricity [238], transparency and conductivity [239] (Figure 17). Exciting material performances normally stem from a delicate compromise between the conflicting properties via compositional design [240–242]. Recently, heterostructures emerge as a novel approach to break the trade-offs, providing new opportunities for creating functional materials with unprecedented performance.

For example, heterostructuring, as a conceptual strategy, has been successfully used to develop high-performance permanent-magnet materials by breaking the trade-off between magnetization and coercivity [5,6]. With this strategy, great advances have been achieved toward high energy products in nanostructured magnets. Heterostructured magnets with NdFeB nanograin layers embedded in a SmCo/FeCo nanostructured matrix exhibit enhanced coercivity without reducing remanence [6], yielding a large energy product (31 MGOe) that outperforms the existing SmCo-based hybrid nanostructured magnets and for the first time defeats the corresponding pure rare-earth magnets. The achieved energy product is comparable to that (28–33 MGOe) of pure commercial SmCo magnets but with reduced rare-earth metal usage ( $\sim 20$ –30 wt.%) [6,244,245]. Moreover, a core/shell-like  $\text{Nd}_2\text{Fe}_{14}\text{B}/\alpha\text{-Fe}$  heterostructured magnet



**Figure 16.** Schematic composite model of symmetrical multiple slip in [001]-orientated fcc crystal. (a) deformation on intersecting symmetrical slip systems, leading to (b) the accumulation of pairs of interfacial GNDs bordering the vertical dislocation walls. (c) Back stress in the cell interior and forward stress in the cell walls [232,233].





**Figure 17.** Schematics of typical trade-offs between conflicting properties [243].

also exhibits a superior combination of high remanence and large coercivity [5], and thus has a record-high energy product of 25 MGOe for isotropic permanent magnets.

Heterostructuring is also a promising approach to fabricating thermoelectric materials with high  $ZT$  value (the figure of merit of the materials), which significantly lowers thermal conductivity by effectively scattering phonons at all-scale crystal defects. The heterostructured thermoelectric materials—PbTe-SrTe (4 mol%) doped with 2 mol% Na—exhibit the maximum reduction in lattice thermal conductivity and thus achieve a large  $ZT$  value of 2.2 at 915 K [246], beyond the reach (1.5–1.8) of existing nanostructured thermoelectrics.

Heterostructured catalytic materials that contain both meso- and nanopores enable a superior combination of high mobility of reactants and large amount of catalytic active sites. As a result, the ordered meso-nanoporous metal-organic framework single crystals exhibit higher catalytic performance and improved recyclability than their counterparts with homogeneous pores [237].

More recently, the heterostructure has been judiciously introduced into ferroelectric materials to improve their performance, in which nanoscale polar regions (orthorhombic phase) are embedded in a tetragonal ferroelectric domain. Such a heterostructured ferroelectric material, the rare-earth-doped  $\text{Pb}(\text{Mg}_{1/3}\text{Nb}_{2/3})\text{O}_3$ – $\text{PbTiO}_3$ , exhibits ultrahigh piezoelectric coefficients  $d_{33}$  (which quantifies the ability to transform force into electrical charge) of  $1500 \text{ pC N}^{-1}$  [247], a threefold improvement over commercial ceramic piezoelectrics. Similarly, the lead-free heterostructured  $\text{BiFeO}_3$ – $\text{BaTiO}_3$ – $\text{SrTiO}_3$  dielectric materials have been fabricated [248], with both

rhombohedral and tetragonal nanodomains embedded in a cubic matrix. These materials show a high energy density of  $112 \text{ J/cm}^3$  with a high energy efficiency of  $\sim 80\%$ , and are competitive with or better than other lead-based and lead-free dielectrics. The superior properties result from a unique combination of high polarization and large breakdown strength that cannot be realized previously [236].

Despite their excellent performance and extraordinary potential, functional heterostructured materials are only in their infancy. The materials synthesized so far are mainly limited to the structures with bimodal grains, other types of heterostructures that are prevailing in structural materials remain unexplored. Moreover, great challenges still remain for the fabrication and microstructural control of functional heterostructured materials [243]. Understanding and establishing structure–property relationships also provide new challenges for experimental and theoretical studies. Disruptive breakthroughs in these aspects will provide the ability to create functional heterostructured materials with unprecedented properties and new functionalities. Furthermore, the combination of heterostructuring and compositional design will provide us an even larger space to tune material performance, with unparalleled freedom.

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## Disclosure statement

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