

 Open access • Journal Article • DOI:10.1002/1521-3773(20010817)40:16<3020::AID-ANIE3020>3.0.CO;2-7

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Published on: 17 Aug 2001 - Angewandte Chemie (WILEY-VCH Verlag)

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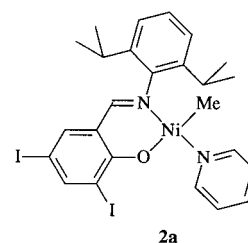
Florian M. Bauers and Stefan Mecking*

Emulsion polymerization of olefinic monomers is one of the most important and also versatile polymerization processes.^[1] Polymer latexes are obtained, that is, stable aqueous dispersions of surfactant-stabilized polymer microparticles in the range of 50 to 1000 nm diameter. Numerous applications of latexes (for example, in coatings) involve the formation of films upon evaporation of the dispersing medium, and the

environmental friendliness and nonflammability of water is particularly advantageous. To date, polymer latexes are produced by free-radical processes exclusively.^[2] Transition metal catalyzed coordination polymerization has received comparatively little attention, as the early transition metal catalysts^[3] used commercially for polyolefin production are extremely sensitive to moisture. Carrying out such reactions in water is a highly attractive goal, however, as many polymer microstructures are not available by means other than catalytic polymerization. We^[4] and others^[5] have recently reported the successful polymerization of ethylene in water by neutral nickel(II) complexes.^[6, 7] However, dispersions consisting of low molecular mass material with a degree of polymerization of less than 100 (M_n values typically 1000 g mol⁻¹) were obtained. The formation of higher molecular mass polymers (with chain length considerably above the entanglement limit) is a crucial prerequisite to fully exploit the unique property profile of latexes. At the same time, the complex steps of microparticle formation during polymerization must afford stable dispersions of these high molecular mass polymers.

With complexes $[(X^{\wedge}O)Ni^{II}R(L)]$, where X = P (type **1**) or X = N (type **2**, N[^]O = salicylaldimine), materials of relatively similar maximum weight-average molecular mass (M_w) are accessible by traditional ethylene polymerization in apolar organic media.^[8, 9] By contrast, in water, the latter offer access to polymers with much higher M_w and M_n values than the low molecular weight materials obtained in water with complexes of type **1**.^[4b] In regard to particle formation, a comparison with free-radical emulsion polymerization is instructive.^[10] In classical emulsion polymerization, water-soluble initiators are used. Chain growth initially affords water-soluble oligomeric radicals, which nucleate particles by collapsing upon themselves after reaching a certain chain length or by entering a surfactant micelle. Similar considerations appear reasonable for the aforementioned emulsion-type catalytic polymerization by a hydrophilically modified water-soluble complex of type **1**, which affords stable latexes of low molecular mass material.^[4] However, a certain water-sensitivity^[4b, 9c] of salicylaldimine-substituted complexes (type **2**) can be problematic to an analogous approach.

As a different concept to enable formation of a large number of latex particles during polymerization, a very fine dispersion of the catalyst precursor was achieved in the form of a solution of a lipophilic complex (**2a**) in submicron-size compartments of a hydrocarbon solvent, dispersed in the continuous aqueous phase.^[14] A mixture of water, surfactant, and a solution of the complex in a small amount of hydrocarbon (toluene and a small portion of hexadecane as a hydrophobic additive^[11]) was subjected to high shear, generated either by ultrasound or by means of a modified high-pressure homogenizer. Mini-emulsions consisting of a large number of small hydrophobic droplets (diameter about 100 nm, 10¹⁶–10¹⁷ droplets L⁻¹) containing the catalyst resulted. Exposure of the mini-emulsions to ethylene in a pressure reactor resulted in polymerization to form stable polyethylene latexes



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[**] This work was supported by BASF AG. F.M.B. thanks the Deutsche Forschungsgemeinschaft for a stipend (Graduiertenkolleg Struktur- bildung in makromolekularen Systemen). We thank R. Thomann for TEM analyses, and U. Westphal for skillful assistance with GPC investigations.

Table 1. Polymerization conditions^[a] and results.

Entry	<i>n</i> (cat.) [μmol]	Toluene [vol %]	C ₁₆ H ₃₄ [vol %]	Surfactant type ^[b]	<i>c</i> [mmol L ⁻¹]	Emulsification method ^[c]	TON ^[d]	<i>M_n</i> [g mol ⁻¹]	<i>M_w</i> [g mol ⁻¹]	<i>M_w/M_n</i>	<i>d̄</i> ^[e] [nm]
1	25	1	2	SDS	17	US	2515	1.4 × 10 ⁵	3.2 × 10 ⁵	2.3	330
2	23	1	0.3	SDS	35	US	1206	1.2 × 10 ⁵	3.3 × 10 ⁵	2.7	220
3	36	1	0.3	SDS	17	US	1135	8.5 × 10 ⁴	2.6 × 10 ⁵	3.1	260
4	29	1	0.3	SDS	5	US	1230	1.0 × 10 ⁵	4.5 × 10 ⁵	4.5	(485) ^[f]
5	36	1	0.3	Trit	11	US	959	8.9 × 10 ⁴	1.9 × 10 ⁵	2.1	100
6	32	1	0.3	K30	18	US	990	9.0 × 10 ⁴	2.5 × 10 ⁵	2.8	240
7	63	2	1	SDS	17	HP	165	9.5 × 10 ⁴	2.1 × 10 ⁵	2.2	135
8	32	1	0.3	SDS	17	HP	75	2.0 × 10 ⁴	3.4 × 10 ⁴	1.7	90
9	44	4	1	Lut	5	HP	265	1.6 × 10 ⁴	2.4 × 10 ⁴	1.5	250

[a] 30 °C, 45 bar constant ethylene pressure, 2 h reaction time. Total volume of water and organic solvents: 100 mL. [b] SDS = sodium dodecylsulfate, Lut = Lutensol AT80 (C₁₆–C₁₈ fatty alcohol–ethoxylate with an average of 80 ethylene oxide units; BASF), Trit = Triton X-100 (*iso*-octylphenololigoethoxylate), K30: Emulgator K30/40 (Bayer, sodium alkylsulfonates). [c] US = ultrasound, HP = high-pressure homogenizer (for details see Experimental Section). [d] Mol of ethylene permol of Ni. [e] Average size of latex particles, determined by light scattering. [f] Latex unstable, partial coagulation occurred.

(Table 1). Analysis of the isolated polymer by gel-permeation chromatography (GPC) reveals these dispersions to contain only high molecular mass polyethylene with *M_w* values of up to 4 × 10⁵ g mol⁻¹ and narrow molecular mass distributions. The average latex particle sizes are in the range of 90 to 350 nm, as observed by light scattering measurements.^[12] It should be noted that the polymerization reaction of ethylene reported here differs from typical free-radical polymerization in a preformed mini-emulsion of a liquid monomer. Gaseous ethylene monomer is fed continuously into the reaction mixture after mini-emulsification of the catalyst solution. Thus, polymerization of preformed mini-emulsion droplets of monomer to particles of the same size, as the typical feature of “mini-emulsion polymerization”, does not apply.

The polymer latexes obtained are stable for weeks or longer. As a surfactant, various anionic and nonionic compounds were found to be suited, that is, compatible with the catalyst in the mini-emulsion system employed and capable of forming stable latexes (Table 1). As expected, a decrease in the surfactant concentration under otherwise identical conditions resulted in an increase in the average size of the latex particles (entries 2–4). The lower activities observed on employing the high-pressure homogenizer (entries 7–9) relative to ultrasonification are probably related to deactivation of the catalyst precursor, as the former method required more time for mini-emulsification.

The latex particles were visualized by transmission-electron microscopy (TEM; Figure 1). In comparison to smooth, spherical latex particles of amorphous polystyrene, as a well-studied hydrocarbon polymer prepared by free-radical emulsion polymerization, the ruggedness of the particles shown can be rationalized by their high degree of crystallinity (39 to 51 % by differential scanning calorimetry (DSC); *T_m* = 120–130 °C).^[13]

Experimental Section

General: Complex **2a** was prepared as described previously.^[4b] The compound was manipulated by standard Schlenk techniques under argon. Deionized water was degassed prior to use. High-temperature GPC was performed in 1,2,4-trichlorobenzene at 140 °C using a PL-220 instrument equipped with mixed-bed PL columns. The data reported was referenced to polyethylene standards (universal calibration). Dynamic light scattering

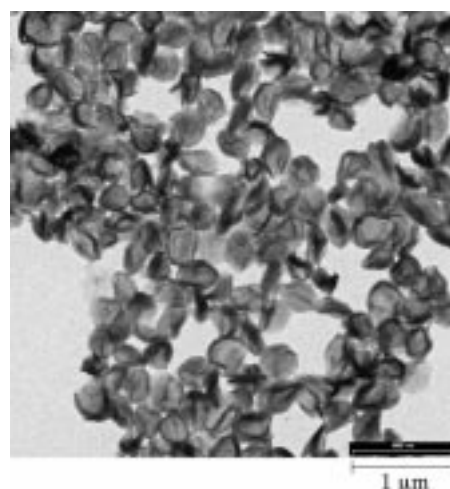


Figure 1. TEM micrograph of latex particles.

measurements on the dispersions were performed on a Malvern particle sizer after dilution. TEM investigations were carried out on a LEO 912 Omega apparatus using an acceleration voltage of 120 kV. The DSC data reported were recorded in the second heating cycle at 10 K min⁻¹.

Polymerization: The catalyst precursor was dissolved in the given amount of toluene and hexadecane (Table 1). The surfactant and about 100 mL of water were added with mechanical stirring. Homogenization was performed under an argon atmosphere by means of a high-pressure homogenizer directly connected to the polymerization reactor (modified version of the EmulsiFlex[®]-C5 apparatus from Avestin Inc.; 500–1000 bar) or by ultrasound (Bandelin HD2200 with KE76 tip; 2 min at 120 W). The resulting mini-emulsion was transferred to a mechanically stirred 250-mL pressure reactor equipped with a heating/cooling jacket and a constant ethylene pressure (45 bar) was applied. The reaction temperature was controlled (30 °C) by means of a thermocouple dipping into the reaction mixture. After the specified reaction time the ethylene was vented. The resulting latex was filtered through glass wool. For the determination of yields and for further polymer analysis a specified portion was precipitated by pouring into methanol.

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