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### High-performance GeSn photodetector and fin field-effect transistor (FinFET) on an advanced GeSn-on-insulator platform

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**Abstract:** We report the first demonstration of high-performance GeSn metal-semiconductormetal (MSM) photodetector and GeSn p-type fin field-effect transistor (pFinFET) on an advanced GeSn-on-insulator (GeSnOI) platform by complementary metal-oxidesemiconductor (CMOS) compatible processes. The detection range of GeSn photodetector is extended beyond 2  $\mu$ m, with responsivities of 0.39 and 0.10 A/W at 1550 nm and 2003 nm, respectively. Through the insertion of an ultrathin Al<sub>2</sub>O<sub>3</sub> Schottky-barrier-enhancement layer, the dark current  $I_{Dark}$  of the GeSn photodetector is suppressed by more than 2 orders of magnitude. An impressive  $I_{Dark}$  of ~65 nA was achieved at an operating voltage of 1.0 V. A frequency response measurement reveals the achievement of a 3-dB bandwidth of ~1.4 GHz at an illumination wavelength of 2  $\mu$ m. GeSn pFinFET with fin width ( $W_{fin}$ ) scaled down to 15 nm was also fabricated on the GeSnOI platform, exhibiting a small subthreshold swing (*S*) of 93 mV/decade, a high drive current of 176  $\mu$ A/ $\mu$ m, and good control of short channel effects (SCEs). This work paves the way for realizing compact, low-cost, and multifunctional GeSn-on-insulator opto-electronic integrated circuits.

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#### 1. Introduction

Stacking of semiconductor and insulator thin films is one of the important approaches used to realize monolithic three-dimensional (3D) integration of circuits, which can raise the degree of complexity of multifunctional circuits that comprise electronic and optoelectronic devices. In addition, the synthesis of semiconductor on insulators enables the integration of semiconductor devices on multi-functional glass displays or on flexible substrates. Integration

on flexible substrates are needed for paper-like devices [1], or bio-integrated devices [2,3] as they require unique features such as wearability and biocompatibility.

The interest in germanium-tin alloy (GeSn or  $Ge_{1-x}Sn_x$ ) has significantly increased recently as it has tunable direct band gap [4–7] and higher hole mobility than those of Si and Ge [8–14]. As a group IV material, high crystalline quality GeSn can be epitaxially grown on Si substrate, making it an ideal material for co-integration of high speed complementary metal-oxide-semiconductor (CMOS) logic and Si-compatible photonics in the short-wave infrared range (SWIR, wavelength  $\lambda$ ~1-3 µm) [15–17]. This light spectrum range finds applications in a variety of areas, including chemical and biological sensing, night vision, medical diagnostics, and fiber-optic telecommunications [17–19]. The study of GeSn-on-insulators (GeSnOIs) has been accelerated recently, due to its potential application in 3D integration and in flexible/wearable electronics and optoelectronics. However, most of the research effort has been focused on the formation and characterization of the GeSn film on insulator [20–25]. Unlike the Silicon-Germanium-on-insulator (SGOI) [26], Germanium-on-insulator (GeOI) [27,28], and III-V-on-insulator (III-VOI) [29,30] platforms, where there are many reports on realization of electronic and photonic devices, there are few reports on GeSnOI devices [23], and device performance data on GeSnOI platform is limited.

In this work, both GeSn metal-semiconductor-metal (MSM) photodetector and GeSn ptype fin field-effect transistor (pFinFET) were fabricated separately on a novel GeSnOI platform. The high crystalline quality GeSnOI platform was formed by a direct wafer bonding technique. The entire process flow is CMOS compatible, with the processing temperatures kept below 400 °C in order to prevent Sn segregation or precipitation [31]. The low process temperature enables either "electronic-first and photonic-last" or "photonic-first and electronic-last" integration approach for monolithically fabricating the GeSn photodetector and CMOS integrated circuit. Through insertion of an Al<sub>2</sub>O<sub>3</sub> Schottky-barrier-enhancement layer beneath the metal contact, GeSn MSM photodetector with low dark current, high responsivity and gigahertz bandwidth was realized. Besides the high performance GeSn photodetector, GeSn pFinFETs with fin width ( $W_{fin}$ ) down to 15 nm were also fabricated on GeSnOI platform. Small subthreshold swing (S) of 93 mV/decade, high drive current of 176  $\mu A/\mu m$ , and good control of short channel effects (SCEs) were obtained at fin width ( $W_{fin}$ ) of 15 nm.

#### 2. Device design and fabrication

Figure 1 shows a schematic of a GeSn MSM photodetector and a GeSn FinFET on a GeSnOI platform. This illustration shows a way to monolithically integrate electronic and photonic devices on the same platform. A direct wafer bonding technique was used to form the GeSnOI platform as described in [25]. The donor wafer is a ~100 nm-thick GeSn layer on a ~1  $\mu$ m-thick Ge buffer grown by chemical vapor deposition (CVD) on a 12-inch Si substrate. After growth, the wafer was cut into 2-inch piece, and bonded to a Si wafer. High-resolution x-ray diffraction reciprocal space mapping shows that the GeSn layer is fully strained to the Ge buffer, with the substitutional Sn composition of ~8.0% and the compressive strain of ~0.9%. After wafer bonding, the backside Si from the donor wafer was selectively etched with respect to the Ge buffer layer was then thinned down using SF<sub>6</sub>-based inductively coupled plasma (ICP).

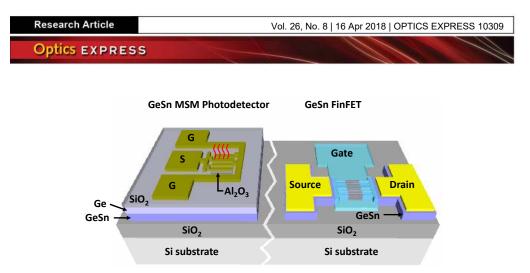


Fig. 1. Schematic of a GeSn MSM photodetector and a MOSFET formed on GeSn-oninsulator platform. This illustration shows a way to monolithically integrate electronic and photonic devices on the same platform.

A MSM photodetector was selected for demonstration due to its ease of process integration (no specific doping profile required), high responsivity (optical gain) and highspeed operation (low capacitance). A ~90-nm-thick Ge layer (top portion of Ge buffer layer) was left on the GeSn surface, i.e. the Ge buffer was not completely removed. After 100-nmthick SiO<sub>2</sub> deposition, the contact region was opened by dry etching followed by wet etching using 1:50 diluted HF solution. A  $\sim$ 1.5 nm-thick Al<sub>2</sub>O<sub>3</sub> layer was then deposition by atomic layer deposition (ALD) at a process temperature of 250 °C. After deposition of ~150 nm Al, a lift-off process completes the fabrication of the finger-type MSM structure. A GeSn MSM photodetector without  $Al_2O_3$  interlayer was also fabricated for comparison. Figure 2(a) shows the top-view SEM image of a GeSn MSM photodetector with a ground-signal-ground (GSG) electrode configuration. The scanning transmission electron microscopy (STEM) image cut across the metal finger shows the defect-free Ge and GeSn layers on  $SiO_2$  [Fig. 2(b)], with thickness of  $\sim 90$  nm and  $\sim 100$  nm, respectively. No void was observed at the SiO<sub>2</sub>/Si bonding interface, indicating that a seamless bond was achieved. Clear lattice fringes can be observed in Ge and GeSn layers [Fig. 2(c) and 2(d)], with an ultrathin  $Al_2O_3$  layer inserted between Al and Ge.

To control the SCEs and off-state leakage in transistor with extremely scaled dimensions, the multi-gate transistor architecture formed on semiconductor-on-insulator substrate is very attractive. The GeSn pFinFET with fin width ( $W_{fin}$ ) down to 15 nm was fabricated on the GeSnOI platform. After removing the Ge buffer, the GeSn layer was thinned down to ~35 nm using chemical mechanical polishing (CMP). Smooth GeSnOI sample was formed with a surface root-mean-square roughness of  $\sim 0.2$  nm. The channel region was then defined by electron beam lithography (EBL). The source/drain (S/D) regions were formed by Boron implantation followed by dopant activation at 400 °C for 60 s. After GeSn fin formation by dry etching, the sample was immediately loaded into an ultra-high vacuum chamber for Si passivation at 370 °C. Gate stack was then formed by deposition of 4 nm-thick  $HfO_2$  using ALD and deposition of Mo/W using Sputter. Gate etch process was performed using F-based plasma etch. Finally, Ni was deposited at the S/D contact region to complete the device fabrication. Tilted-view SEM image in Fig. 2(e) shows a fabricated GeSn pFinFET. XTEM image of a GeSn pFinFET cut across the gate line shows smooth GeSn fin sidewall [Fig. 2(f), with  $W_{fin}$  of ~30 nm and fin height ( $H_{fin}$ ) of 35 nm. No Sn segregation or precipitation can be observed after device fabrication [Fig. 2(g)].

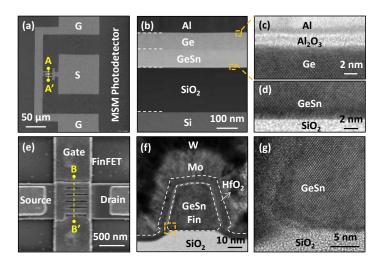


Fig. 2. (a) Top-view SEM and (b) cross-sectional STEM images of a GeSn MSM photodetector cut across the AA'. The GSG contact pads were formed on SiO<sub>2</sub>. HRTEM images of (c) Al/Al<sub>2</sub>O<sub>3</sub>/Ge contact and (d) GeSn/SiO<sub>2</sub> interface. Crystalline Ge and GeSn layer were formed on SiO<sub>2</sub>. A clear Al<sub>2</sub>O<sub>3</sub> interlayer can be observed between Al and Ge. (e) Tilted-view SEM and (f) cross-sectional TEM image of a GeSn FinFET ( $W_{fin} = 30$  nm) cut across the BB'. Well-defined GeSn fin structure can be observed. (g) HRTEM image shows crystalline GeSn fin on SiO<sub>2</sub> with a smooth sidewall.

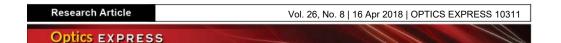
#### 3. Results and discussion

#### 3.1 Dark current reduction in GeSn MSM photodetectors

Conventional GeSn MSM photodetectors suffer from a large dark current, which leads to poor signal-to-noise ratio [32–35]. The as-grown GeSn is unintentional p-type doped due to a high concentration of existing vacancies formed during low temperature growth [36,37]. For metal/p-Ge contacts, the Fermi level is pinned at ~0.1 eV above the valence band edge of Ge [38]. Incorporating Sn into Ge shifts up the valence band edge energy [39], which may further decrease the hole Schottky barrier height ( $\phi_{bh}$ ), leading to large dark current in GeSn MSM photodetectors. The dark current can be effectively suppressed by increasing  $\phi_{bh}$  (Fermi level de-pinning) through insertion of a large-bandgap material, such as amorphous Si or SiC [40,41], between the metal contact and semiconductor interface. However, the photocurrent was also significantly reduced. It was reported that  $\phi_{bh}$  can be effectively increased by inserting an ultrathin dielectric layer, such AlO<sub>x</sub> between the metal and Ge [42]. If the dielectric layer is thin enough, carriers can tunnel through it, while still physically separating the metal from the Ge, and alleviating the Fermi-level pinning.

Figure 3(a) plots the room-temperature current-voltage  $(I_{dark}-V)$  characteristics for the fabricated GeSn MSM photodetectors with and without Al<sub>2</sub>O<sub>3</sub> interlayer between the metal and Ge. At an applied bias  $V_{bais}$  of 1.0 V, high dark current  $I_{Dark}$  of ~10 µA was observed in a control photodetector with 2 µm interdigitated fingers, 1 µm spacings and ~10 × 11 µm<sup>2</sup> active areas. A significant dark current suppression by > 2 orders of magnitude can be achieved by inserting an ultrathin Al<sub>2</sub>O<sub>3</sub> layer. At  $V_{bais}$  of 1.0 V, the  $I_{Dark}$  of the GeSn photodetector was measured to be ~65 nA, which is comparable with those of the state-of-the-art GeSn p-i-n photodetectors [43,44]. Based on the thermionic emission model, the  $I_{Dark}$  flowing through the MSM photodetector has a strong dependence on temperature T and  $\phi_{bh}$ , and can be described by the following expression

$$I_{dark} = A_p^* T^2 \exp(-\frac{q\varphi_{bh}}{kT}), \qquad (1)$$



where  $A_p^*$  is the Richardson's constant for holes, q is the elementary charge, k is the Boltzmann's constant. The  $I_{Dark}$  of the GeSn MSM photodetector was characterized at various T ranging from 250 to 350 K with an incremental step of 20 K. Figure 3(b) shows the Arrhenius plot of  $I_{dark}$  with and without Al<sub>2</sub>O<sub>3</sub> interlayer for the extraction of  $\phi_{bh}$ . Under a constant  $V_{bias}$  of 0.5 V, the  $\phi_{bh}$  increased from ~0.12 eV to ~0.32 eV after inserting a 1.5 nm-thick Al<sub>2</sub>O<sub>3</sub> layer.

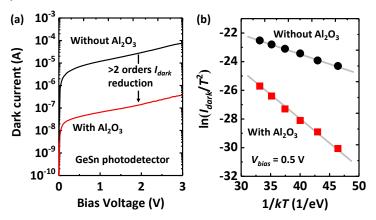


Fig. 3. (a) Dark current was reduced by more than two orders of magnitude by inserting an  $Al_2O_3$  interlayer between Al and Ge. (b) Dark current at various temperatures with and without  $Al_2O_3$  interlayer. The  $\phi_{bh}$  can be effectively increased with an  $Al_2O_3$  interlayer.

#### 3.2 Optical characterization of the GeSn MSM photodetectors

GeSn alloy has smaller bandgap and higher absorption coefficient than those of Germanium (Ge), making it a very promising candidate for photodetection in SWIR range or MIR range [32–35,43–47]. The photoresponse characteristics of the GeSn MSM photodetectors were measured in the wavelength  $\lambda$  range of 1310 – 2003 nm. The light source comprises compact tunable lasers at 1310 – 1350 nm, 1510 – 1630 nm, and three distributed feedback laser diodes at 1742, 1877, and 2003 nm. Figure 4(a) shows the photocurrent  $I_{photo}$  of the GeSn MSM photodetector with Al<sub>2</sub>O<sub>3</sub> interlayer under illumination at various wavelengths. The active area of the detector with 2 µm interdigitated fingers and 1 µm spacings is 40 × 35 µm<sup>2</sup>. As compared to Ge photodetectors, the GeSn MSM photodetector in this work exhibits an extended detection range beyond 2 µm.

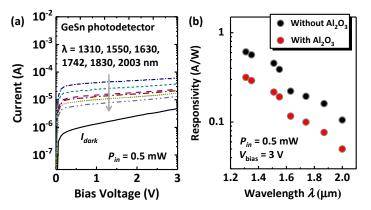


Fig. 4. (a) Photocurrent of the GeSn MSM photodetector with  $Al_2O_3$  interlayer. The arrow points in the direction of increasing wavelengths from 1310 to 2003 nm. (b) Responsivity spectra of GeSn MSM photodetectors with and without  $Al_2O_3$  interlayer.

The responsivity was extracted by taking into account the shadowing of the metal contacts on the top of the GeSn MSM photodetector [Fig. 4(b)]. For the detector without  $Al_2O_3$ interlayer, high responsivities of 0.39 and 0.10 A/W can be observed at 1550 and 2003 nm, respectively. Although the absorption layer is thin, the achieved responsivities at 1550 and 2003 nm is among the highest responsivities reported on GeSn photodetectors [47]. However, while inserting an  $Al_2O_3$  layer, the responsivity was reduced by ~2 times. The responsivity *R* of the MSM photodetector follows the expression [45]

$$R = G \cdot (\frac{q\lambda}{hc}) \cdot (1-r) \cdot \zeta \cdot [1 - \exp(-\alpha_{\lambda}d)], \qquad (2)$$

where G is the optical gain, r is the optical power reflectance at the surface,  $\zeta$  is the fraction of electron-hole pairs that contribute to the detector current,  $\alpha_{\lambda}$  the absorption coefficient of the material, d the photodetector thickness. The decrease of responsivity may be attributed to two effects. Firstly, the inserted Al<sub>2</sub>O<sub>3</sub> layer not only reduces the hole dark current, but may also obstruct the collection of photo-generated carriers (decreasing  $\zeta$ ). Secondly, it should be noted that the photocurrent that is directly generated from the absorption of light is generally magnified by the presence of gain G in MSM photodetector. The  $\phi_{bh}$  is very small for the GeSn MSM photodetector without Al<sub>2</sub>O<sub>3</sub> interlayer. If the transit time ( $t_{tr}$ ) of photogenerated carriers is lower than the lifetime ( $\tau$ ), photoconductor gain ( $\tau/t_{tr}$ ) exists. However, while inserting an Al<sub>2</sub>O<sub>3</sub> layer increases  $\phi_{bh}$ , the MSM photodetector works as Schottky diode, which may lead to decreased optical gain. The absorption coefficient  $\alpha_{\lambda}$  of the strained Ge<sub>0.92</sub>Sn<sub>0.08</sub> alloys can be extracted to be ~1920 cm<sup>-1</sup> at 2003 nm using  $\alpha_i = 4\pi k/\lambda$ , where k is the extinction coefficient extracted from ellipsometry measurement [48]. Assuming that each absorbed photon generates a single electron-hole pair, and each carrier is collected with 100% efficiency. Without gain, the maximum responsivity at 2003 nm can be calculated to be  $\sim 0.03$ A/W according to Eq. (2). Since the measured responsivities are higher than this value, the gain exists in the GeSn MSM detectors with and without Al<sub>2</sub>O<sub>3</sub> interlayer.

Noise-equivalent power (NEP) is one of the key figures-of-merit of a photodetector, which is often used to quantify the sensitivity of a detector. It is often defined as the signal power that gives a signal-to-noise ratio of one for a bandwidth of 1 Hz. The noise of MSM photodetector mainly consists of four parts: 1/f noise, thermal noise (Johnson noise), and shot noise. The 1/f noise decays as the operating frequency increases, and is not significant for measurement conditions above 1 Hz. Therefore, NEP can be expressed as [49,50]

$$NEP = \frac{\sqrt{(4kT/R_0 + 2qI_{dark})}}{R},$$
(3)

where  $R_0$  is a resistance that can be extracted by taking  $dV_{bias}/dI_{dark}$  at 3 V. For the photodetector with and without Al<sub>2</sub>O<sub>3</sub> interlayer, the thermal-noise-limited NEP ( $\lambda = 1550$  nm,  $V_{bias} = 3$  V) can be extracted to be  $4.0 \times 10^{-13}$  and  $2.4 \times 10^{-12}$  W·Hz<sup>-1/2</sup>, respectively, and the dark-current-limited NEP is  $1.8 \times 10^{-12}$  and  $1.2 \times 10^{-11}$  W·Hz<sup>-1/2</sup>, respectively. The NEP is reduced by more than 6 times through inserting an Al<sub>2</sub>O<sub>3</sub> layer. The NEP of the GeSn photodetector can be further improved by increasing Sn composition or the thickness of GeSn layer, by implementing the lateral-illuminated (waveguide) structure, or by inserting a barrier layer to reduce the dark current without degradation of the photocurrent. These are subjects for future work.

#### 3.3 Dynamic behavior of the GeSn MSM photodetectors

One main advantage of the MSM photodetector is its low capacitance. It is possible to realize the MSM photodetectors with high responsivity and high speed at the same time. The frequency response of the GeSn MSM photodetector was also investigated. The details of the measurement system are described in [43]. The light source was a fiber-coupled Fabry-Pérot

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laser diode (Thorlabs FPL2000S) with  $\lambda = 2 \mu m$ . The measured 3-dB bandwidth  $f_{3dB}$  is 1.4 GHz and 485 MHz for the photodetectors with and without Al<sub>2</sub>O<sub>3</sub> interlayer at a  $V_{bias}$  of 3 V, respectively (Fig. 5). The active area of the photodetector is  $10 \times 11 \mu m^2$  with 2  $\mu m$  interdigitated fingers and 1  $\mu m$  spacings.

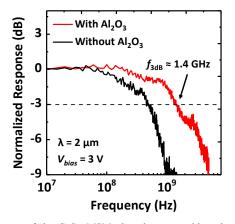


Fig. 5. RF measurement of the GeSn MSM photodetectors with and without  $Al_2O_3$  under illumination at 2  $\mu$ m. The 3-dB bandwidth of the GeSn MSM photodetector with  $Al_2O_3$  was measured to be 1.4 GHz.

The bandwidth of the MSM photodetectors determined by the transit time and the resistance-capacitance (RC) time constant. Using the conformal mapping approach, the capacitance of the GeSn MSM photodetectors can be simply estimated to be < 10 fF [51]. Then the bandwidth of the GeSn MSM photodetector should not be limited by the RC time constant, but limited by the transit time. It was reported that the background doping (p-type) in as-grown GeSn is high due to low growth temperature growth [36]. The absorption layer cannot be fully depleted at low bias. At high bias, the device is operated in the photoconductive mode, and the bandwidth becomes limited by the lifetime of photogenerated carriers. Here, there is a tradeoff between the optical gain (responsivity) and dynamic behavior of a MSM photodetector. To achieve high response speed, a material with a short carrier lifetime should be used. However, if the carrier lifetime is shorter than the average interelectrode transit time, only a part of the photocarriers will be collected, which tends to decrease responsivity. By tuning the growth technique or growth condition, such as growth temperature, the carrier lifetime in GeSn alloy can be adjusted for various applications.

#### 3.4 Characterization of the GeSn pFinFETs on GeSnOI

As CMOS technologies continuously scale to smaller dimensions, 3D FinFETs have been introduced for advanced technology node beyond 22 nm. To provide better electrostatic control and suppress SCEs, formation of ultrathin fin is needed. GeSn planar MOSFETs on Ge or Ge/Si substrate have been widely investigated due to its higher electron and hole mobilities than that of Ge and Si [8–14]. In this work, GeSn pFinFETs with  $W_{fin}$  down to 15 nm were also fabricated on the GeSnOI platform. Figure 6(a) shows the drain current versus gate voltage ( $I_D$ - $V_G$ ) transfer characteristics of a GeSn pFinFET with channel length  $L_{ch}$  of 100 nm,  $W_{fin}$  of 15 nm, and  $H_{fin}$  of 35 nm. The  $I_D$  is normalized by the effective channel width ( $W_{eff}$ ) which is equal to ( $2 \times H_{fin} + W_{fin}$ ). This device shows S of 93 mV/decade and on-state drain current/off-state leakage current ( $I_{ON}/I_{OFF}$ ) ratio of more than 4 orders at  $V_{DS}$  of -0.05 V. A very low gate leakage current ( $I_G$ ) of ~8 × 10<sup>-5</sup> µA/µm at the gate bias ( $V_G$ ) of -1.2 V is maintained. Small drain-induced barrier lowering (DIBL) of 33 mV/V was obtained, indicating good SCEs control. The  $I_D$ - $V_{DS}$  output characteristics of the same device are shown in Fig. 6(b). The gate-over-drive ( $V_G - V_{TH}$ ) was varied from 0 to -1 V in steps of -0.1 V.

Good saturation behavior is observed, with  $I_D$  of 176  $\mu$ A/ $\mu$ m at ( $V_G - V_{TH}$ ) of -1.0 V and  $V_{DS}$  of -1.2 V. Another device with  $L_{ch}$  of 100 nm and  $W_{fin}$  of 100 nm was also fabricated. The DIBL significantly increases to more than 200 mV/V, due to poor electrostatic control over the channel with large  $W_{fin}$ .

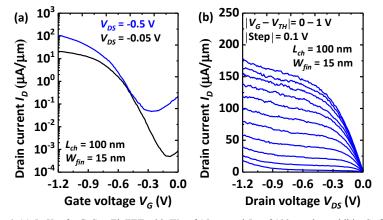


Fig. 6. (a)  $I_D$ - $V_G$  of a GeSn pFinFET with  $W_{fin}$  of 15 nm and  $L_{ch}$  of 100 nm that exhibits *S* of 93 mV/decade at  $V_{DS}$  of -0.05 V. (b)  $I_D$ - $V_{DS}$  of the same device in (a) shows a drive current of 176  $\mu$ A/ $\mu$ m at  $|V_{C}$ - $V_{TH}|$  of 1 V and  $V_{DS}$  of -1.2 V.

#### 4. Summary

GeSn MSM photodetectors were realized on a GeSnOI platform with detection range extends beyond 2003 nm. High responsivities of 0.39 and 0.10 A/W were achieved at 1550 and 2003 nm, respectively. An Al<sub>2</sub>O<sub>3</sub> interfacial layer was used to increase the Schottky barrier at metal and semiconductor interface, leading to significant dark-current  $I_{Dark}$  suppression by more than two orders of magnitude. Low  $I_{Dark}$  of ~65 nA was achieved at  $V_{bias}$  of 1.0 V, which is comparable to that of the state-of-the-art GeSn photodetectors. The dynamic behavior of the photodetector was also investigated. A 3-dB bandwidth of 1.4 GHz was achieved at 2 µm for the photodetector with the Al<sub>2</sub>O<sub>3</sub> interfacial layer. GeSn pFinFETs were also fabricated on the GeSnOI platform with  $W_{fin}$  down to 15 nm. Good electrical characteristics were achieved, with small subthreshold swing of 93 mV/decade, high drive current of 176 µA/µm, and good control of SCEs. This work opens up the possibility for monolithic integration of highperformance GeSn electronic and photonic devices on insulators.

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