



# High-resolution mapping of the NO<sub>2</sub> spatial distribution over Belgian urban areas based on airborne APEX remote sensing

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Received: 10 December 2016 – Discussion started: 2 January 2017

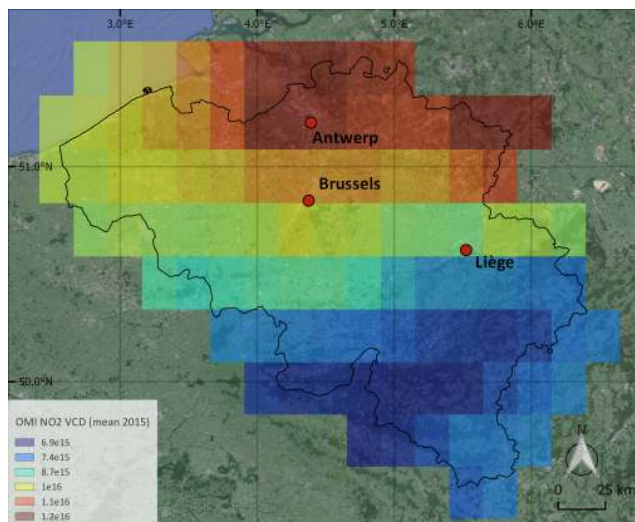
Revised: 4 April 2017 – Accepted: 10 April 2017 – Published: 4 May 2017

**Abstract.** We present retrieval results of tropospheric nitrogen dioxide (NO<sub>2</sub>) vertical column densities (VCDs), mapped at high spatial resolution over three Belgian cities, based on the DOAS analysis of Airborne Prism EXperiment (APEX) observations. APEX, developed by a Swiss-Belgian consortium on behalf of ESA (European Space Agency), is a pushbroom hyperspectral imager characterised by a high spatial resolution and high spectral performance. APEX data have been acquired under clear-sky conditions over the two largest and most heavily polluted Belgian cities, i.e. Antwerp and Brussels on 15 April and 30 June 2015. Additionally, a number of background sites have been covered for the reference spectra. The APEX instrument was mounted in a Dornier DO-228 aeroplane, operated by Deutsches Zentrum für Luft- und Raumfahrt (DLR). NO<sub>2</sub> VCDs were retrieved from spatially aggregated radiance spectra allowing urban plumes to be resolved at the resolution of 60 × 80 m<sup>2</sup>. The main sources in the Antwerp area appear to be related to the (petro)chemical industry while traffic-related emissions dominate in Brussels. The NO<sub>2</sub> levels observed in Antwerp range between 3 and 35 × 10<sup>15</sup> molec cm<sup>-2</sup>, with a mean VCD of 17.4 ± 3.7 × 10<sup>15</sup> molec cm<sup>-2</sup>. In the Brussels area, smaller levels are found, ranging between 1 and 20 × 10<sup>15</sup> molec cm<sup>-2</sup> and a mean VCD of 7.7 ± 2.1 × 10<sup>15</sup> molec cm<sup>-2</sup>. The overall errors on the retrieved NO<sub>2</sub> VCDs are on average 21 and 28 % for the Antwerp and Brussels data sets. Low VCD retrievals are mainly limited by noise (1σ slant error), while high retrievals are mainly limited by systematic errors. Compared to co-incident car mobile-DOAS measurements taken in Antwerp

and Brussels, both data sets are in good agreement with correlation coefficients around 0.85 and slopes close to unity. APEX retrievals tend to be, on average, 12 and 6 % higher for Antwerp and Brussels, respectively. Results demonstrate that the NO<sub>2</sub> distribution in an urban environment, and its fine-scale variability, can be mapped accurately with high spatial resolution and in a relatively short time frame, and the contributing emission sources can be resolved. High-resolution quantitative information about the atmospheric NO<sub>2</sub> horizontal variability is currently rare, but can be very valuable for (air quality) studies at the urban scale.

## 1 Introduction

Nitrogen dioxide (NO<sub>2</sub>) is an atmospheric trace gas and a key pollutant that attracts considerable attention due to several reasons: (1) NO<sub>2</sub> is a proxy for air pollution in general, as its abundance mostly coincides with a range of other pollutants; (2) recent studies by the World Health Organization (WHO, 2013) have shown that NO<sub>2</sub> exposure can have a direct health impact; (3) it is a precursor in the formation of aerosols (Chan et al., 2010) and tropospheric ozone (Crutzen, 1970) and therefore it contributes locally to radiative forcing (Solomon et al., 1999), through which it indirectly affects the climate system. In an urban environment, NO<sub>2</sub> mainly originates from anthropogenic sources such as the burning of fossil fuels, related to industrial activities and traffic. NO<sub>2</sub> is a pollutant with a strong local character and exhibits concentrations that are highly variable in space (horizontal and



**Figure 1.** OMI annual NO<sub>2</sub> VCD map for Belgium, 2015 and overview of the three Belgian cities where APEX flights were launched (Google, TerraMetrics, Giovanni, NASA GES DISC, OMNO2d, units in molec cm<sup>-2</sup>).

vertical) and time, often exceeding limits set by the European legislation, i.e. hourly average limit of 200 µg m<sup>-3</sup> and an annual mean limit value of 40 µg m<sup>-3</sup> (EU Directive 2008/50/EC). For the reasons stated, the accurate monitoring and mapping of the NO<sub>2</sub> variability at high spatial resolution is of great relevance.

In this paper, a method is presented to retrieve tropospheric NO<sub>2</sub> vertical column densities (VCDs) from hyperspectral Airborne Prism EXperiment (APEX) observations. A major objective of the study is to assess the technical and operational capabilities of APEX to map the NO<sub>2</sub> field at city scale and at high spatial resolution, in a relatively short time, and furthermore to characterise all aspects of the retrieval approach. APEX, developed by a Swiss-Belgian consortium on behalf of ESA (European Space Agency), is a pushbroom hyperspectral imager, integrating spectroscopy and 2-dimensional (2-D) spatial mapping in one single system. The well-established differential optical absorption spectroscopy technique (DOAS; Platt and Stutz, 2008) is applied to the observed backscattered solar radiation in order to quantify the abundance of NO<sub>2</sub> in the atmosphere, based on its fine molecular absorption structures.

For atmospheric NO<sub>2</sub> detection, a high spectral resolution is necessary in order to resolve the fast varying spectral signatures of this molecule. High spatial resolution, i.e. higher than 100 m, is also required to identify small-scale gradients in the NO<sub>2</sub> amounts and to resolve individual emission sources. Although the APEX instrument has been primarily designed for environmental remote sensing of the land surface, it also allows for observing atmospheric trace gases as demonstrated in the precursor study of Popp et al. (2012) on APEX tropospheric NO<sub>2</sub> mapping over Zurich, Switzerland.

In the framework of this study, dedicated APEX flights were launched above three of the largest and most heavily polluted urban areas in Belgium, namely Antwerp, Brussels and Liège. We report on the NO<sub>2</sub> retrieval scheme developed at BIRA-IASB and on the results of the flight campaigns carried out in Belgium, with the focus on the cities of Antwerp and Brussels.

For about 2 decades, systematic mapping of the horizontal distribution of tropospheric trace gases, such as NO<sub>2</sub>, has been carried out at global scale using spaceborne sensors like SCIAMACHY (Scanning Imaging Absorption Characterography), GOME (Global Ozone Monitoring Experiment), GOME-2 and OMI (Ozone Monitoring Experiment; see e.g. Richter and Burrows, 2002; Beirle et al., 2010; Boersma et al., 2011; Hilboll et al., 2013; Valks et al., 2011; Bucsela et al., 2013). Such observations are well suited for global monitoring; however, the coarse spatial resolution of typically several tens of kilometres make them inadequate for detecting city-scale NO<sub>2</sub> variability and to resolve individual emission sources (see Figs. 1 and 2). Several studies discuss instruments and experiments for atmospheric trace gas retrieval from airborne platforms. Most of these works focus on the retrieval of the vertical distribution of trace gases, based on along-track Multi-AXis (MAX-) DOAS observations (see e.g. Petritoli et al., 2002; Melamed et al., 2003; Wang et al., 2005; Bruns et al., 2006; Dix et al., 2009; Merlaud et al., 2011; Baidar et al., 2013). Only a few recent studies report on the high-resolution 2-D spatial mapping of the NO<sub>2</sub> horizontal distribution from an airborne platform. The discussed hyperspectral imaging systems are based on a whiskbroom (Merlaud et al., 2013; Liu et al., 2015) or pushbroom set-up (Heue et al., 2008; Popp et al., 2012; General et al., 2014; Lawrence et al., 2015; Schönhardt et al., 2015; Meier et al., 2016; Nowlan et al., 2016).

Besides the increased characterisation of horizontal trace gas distribution and related chemical processes in urban areas, high-resolution NO<sub>2</sub> maps can be valuable for the calibration and validation of satellite products, chemical transport models (CTMs) and high-resolution air quality models, e.g. the RIO-IFDM high-resolution air quality model (Lefebvre et al., 2013), developed by the Belgian Interregional Environment Agency (IRCEL-CELINE) in cooperation with the Flemish Institute for Technological Research (VITO). There is also a general growing interest from the remote sensing community for airborne hyperspectral imagers as they can complement and link ground-based measurements, spaceborne observations and model data.

The paper is organised as follows: Sect. 2 introduces the optical characteristics of the APEX instrument, as well as the hyperspectral data sets acquired at three major Belgian cities. Section 3 discusses post-flight, preprocessing steps in order to improve the signal-to-noise ratio (SNR) and the spectral performance. Section 4 characterises the key steps of the developed methodology to derive NO<sub>2</sub> VCDs from APEX spectra and to produce high-resolution NO<sub>2</sub> maps, with



**Figure 2.** Details of the APEX flight plan over Antwerp on 15 April 2015 and comparison with a space-borne nadir OMI and TROPOMI pixel (Google, DigitalGlobe).

**Table 1.** APEX spatial performance and instrumental specifications.

Spatial performance (at 6100 m a.g.l.)	
Spatial CCD	1000 pixels
FOV (across-track)	28°
Swath width	3000 m
IFOV (across-track)	0.028°
Ground speed	72 m s <sup>-1</sup>
Integration time	58 ms
Spatial resolution (across-track)	3 m
Spatial resolution (along-track)	4 m

proper error characterisation. The following section presents and discusses the retrieved NO<sub>2</sub> field above Antwerp and Brussels. In Sect. 6, the retrieved VCDs are quantitatively assessed by intercomparison with correlative data sets (mobile DOAS and mini MAX-DOAS).

## 2 APEX instrument and data sets

### 2.1 APEX optical unit and spatial performance

The APEX instrument was designed and developed by a Swiss–Belgian consortium on behalf of ESA. Since 2011, APEX has been fully operational for data acquisition flights. A brief overview of the optical unit, the core element of the instrument, is given here. Solar radiation, backscattered by the Earth’s surface or atmosphere within the field of view (FOV) of the instrument enters the optical unit through a curved slit. A collimator groups and redirects the light to-

wards a beamsplitter, separating the VNIR (visible–near infrared; 370–970 nm) from the SWIR (shortwave infrared; 950–2500 nm) channels. The VNIR radiance is spectrally dispersed by a prism, resulting in a greater light throughput and the absence of overlapping orders when compared to a diffraction grating, but with the drawback of a limited resolution and a non-linear wavelength scale (Platt and Stutz, 2008). The dispersed radiation is then projected on a 2-D CCD (charge-coupled device) 14 bit depth area detector, recording the intensity in a series of narrow, contiguous spectral bands. The VNIR CCD can record up to 335 unbinned spectral bands. The SWIR channel is not taken further into account in this study as the DOAS analysis is applied to a small part of the visible wavelength region, i.e. 470–510 nm. The sealed optical unit is enclosed by a thermo-regulated box in order to be temperature (19 °C ± 1 °C) stabilised, while pressure in the spectrometer is kept at 200 hPa above ambient pressure (dry nitrogen atmosphere with partial differential pressure control). To obtain good compensation of the aircraft movement, the whole instrument is mounted and operated on a Leica PAV-30 stabilised platform. A more complete description of the APEX instrument, its optical unit and its calibration concept can be found in Itten et al. (2008), Jehle et al. (2010), D’Odorico (2012), Schaeppman et al. (2015) and Kuhlmann et al. (2016).

The spatial performance and relevant specifications of the APEX sensor are given in Table 1. The pushbroom imaging spectrometer consists of 1000 detector pixels across-track (spatial dimension  $x$ ), which are illuminated simultaneously, and 335 pixels in the spectral dimension  $I(\lambda)$ . The plane formed by the spatial dimension  $x$  and spectral dimension  $I(\lambda)$  is called a frame. Mapping of the NO<sub>2</sub> distribution below the sensor is carried out using the swath imaging of the pushbroom scanner (spatial dimension  $x$ ) and the forward motion of the airborne platform (spatial dimension  $y$ ). The resulting 3-D hyperspectral image cubes, built by acquiring consecutive frames, consist of two planimetric dimensions ( $x, y$ ) and a third spectral dimension  $I(\lambda)$ . With a field of view (FOV) of 28° and a flight altitude of 6.1 km a.g.l., which is much higher than the sampled air masses containing the majority of NO<sub>2</sub>, the swath covers an area of approximately 3 km across-track. The across-track spatial resolution is determined by the instrument’s instantaneous field of view (IFOV) and the platform altitude, while the along-track resolution is determined by the platform motion and the integration time. The ground sample distance (GSD) is 3 (across-track) by 4 (along-track) m<sup>2</sup> assuming a typical altitude of 6.1 km a.g.l., ground speed of 72 m s<sup>-1</sup> and integration time of 58 ms, the latter being a good balance between the obtained signal-to-noise ratio and the occurrence of saturated scans.

## 2.2 APEX acquisition and data sets

Air pollution levels in the northern part of Belgium are among the highest in Europe. The sources are mainly related to the high population density and related traffic, and regular transport from industrial sources in the Rhine–Ruhr area in Germany. The annual mean NO<sub>2</sub> VCDs for 2015, retrieved based on OMI observations (OMNO2d, Giovanni, NASA GES DISC), range from 1 to  $1.2 \times 10^{16}$  molec cm<sup>-2</sup> in the northern part of Belgium and are well above the background levels, as can be observed in Fig. 1. The NO<sub>2</sub> levels are around  $7\text{--}8 \times 10^{15}$  molec cm<sup>-2</sup> for the southern part.

The coarse spatial resolution of current global monitoring spaceborne instruments makes them inappropriate for studies of the NO<sub>2</sub> field at the scale of cities. This is illustrated in Fig. 2 where the typical spatial extent of a nadir observation from the OMI and the future TROPOMI (TROPOspheric Monitoring Instrument) spaceborne sensors is illustrated. The latter is the spectrometer payload of the ESA Sentinel-5 Precursor satellite, to be launched in 2017. For the sake of comparison, details of the APEX flight plan over the Antwerp area on 15 April 2015 are also provided. Whereas the Antwerp city centre is nearly fully covered by one TROPOMI pixel, it is sampled by approximately 5000 APEX pixels, which is needed to detect small-scale NO<sub>2</sub> horizontal variability and to resolve individual emission sources. Note that the original APEX pixels of 3 by 4 m<sup>2</sup> are spatially binned to pixels of 60 by 80 m<sup>2</sup>, as will be explained in Sect. 3.1.

The APEX instrument was installed in the Dornier DO-228 D-CODE, based at the Braunschweig research airport and operated by Deutsches Zentrum für Luft- und Raumfahrt (DLR). APEX data were acquired over the three largest and most heavily polluted Belgian cities, i.e. Brussels, Antwerp and Liège, on 14, 15 April and 30 June 2015. The three acquisition sites are indicated on Fig. 1. The main characteristics of the acquired data sets and covered sites are given in Table 2.

The flight campaign was carefully planned (Vreys et al., 2016a) in order to optimise the acquisition for trace gas retrieval purposes. However, availability of the plane and crew, technical constraints, flight permissions granted by air traffic control (ATC), and most of all the meteorological conditions seemed to be the main factors constraining and determining the actual flights. APEX was installed in the Dornier DO-228 on 16 March 2015 and starting from that date, a 1-month window was scheduled for the necessary flights. In order to get an optimal NO<sub>2</sub> signal, early spring was considered to be a good trade-off: NO<sub>2</sub> exhibits a peak in the seasonal cycle around winter in the Northern Hemisphere. On the other hand, from the perspective of the solar zenith angle (SZA), flights in summer are considered to be more suitable. High sun maximises the signal or the light backscattered to the sensor and reduces the smoothing of the signal due to shallow sun elevation angle, as discussed in Lawrence et al. (2015).

In summer, there are also more clear-sky days. Due to poor weather conditions, actual flights could only be launched at the end of the foreseen time window. The flights were conducted from a small commercial airport, south-east of the Antwerp city centre. There were in total three flight days. A flight over Brussels occurred on the first campaign day, 14 April 2015. However, due to the presence of a substantial number of scattered clouds, which seriously disrupted the analysis of the data, Brussels was reflown on 30 June 2015. All other flights occurred under clear-sky conditions and good visibility.

In general, the flight path consists of adjacent straight flight lines, flown systematically in opposite directions, with overlapping footprints (approximately 20 % overlap in the across-track direction between consecutive flight lines) in order to have gap-free coverage. For example, the flight lines above Antwerp and Brussels were alternately flown from south to north, and from north to south, with the first flight line in the west. The spectra, acquired during the banking of the plane in order to prepare the acquisition of the next adjacent flight line, are not taken into account in the processing due to the large roll angles. Flights take place at a constant altitude of 6.1 km a.g.l., which is well above the planetary boundary layer (PBL) in order to capture the full NO<sub>2</sub> column below the plane. The flights were carried out as close as possible to local noon when the sun reaches its highest position, as far this was made possible by ATC and the weather conditions. The three cities were fully covered and a number of flight lines were also extended to rural background sites with decreased levels of NO<sub>2</sub>. Additionally, a number of background sites were covered when the plane was flying from or to the airport or when flying from one city to another. These reference spectra, containing low NO<sub>2</sub> absorption, are used in the DOAS analysis.

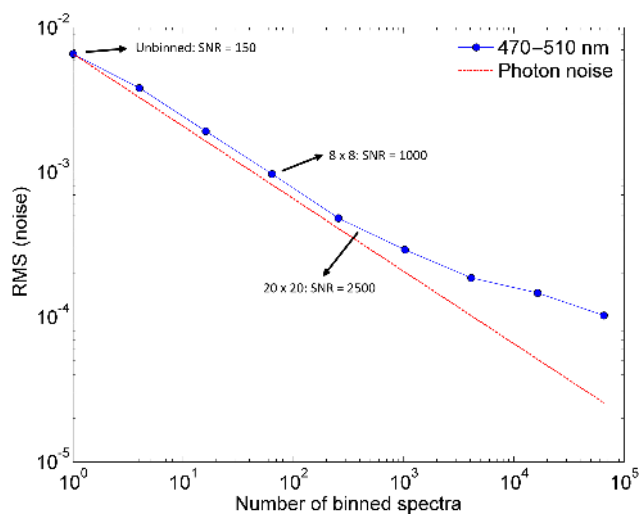
## 3 Post-flight preprocessing of APEX data for trace gas retrieval

Hyperspectral observations from the APEX instrument are organised in 3-D data cubes per flight line. Different standard APEX products are available: among others, raw level 0 data representing the photon counts on the detector and level 1 data, being the at-sensor radiance after radiometric correction by application of a gain and offset to each detector based on absolute radiometric calibration with a certified integrating sphere (Hueni et al., 2008). For the purpose of trace gas retrieval in this study, a customised product named level 0-DC is generated, i.e. a level 0 data product which is spectrally calibrated and corrected for dark current. The dark current is measured at the start and end of each acquired flight line, based on a shutter mechanism. This product provides the most suitable spectral performance and the lowest DOAS fit root mean square (rms) error that can be obtained from the APEX observations.



**Table 2.** Acquired data sets and flight characteristics. Wind and temperature data are collected from weather stations of the Royal Meteorological Institute (RMI) and averaged over the time of flight. Population data from 1 January 2015 is retrieved from Statistics Belgium: <http://statbel.fgov.be/>, last access: 15 January 2016. For each flight, the day number of the year 2015 is mentioned between brackets and will be used further in the manuscript to refer to the different flights.

	Brussels	Antwerp	Liège
Date (day of year)	30-06-2015 (181)	15-04-2015 (105)	15-04-2015 (105)
Flight time LT (UTC + 2)	14:43–16:04	10:06–11:30	11:55–12:18
# flight lines	8	9	3
Flight pattern (Heading °)	0, 180	0, 180	40, 220
SZA (°)	29.7–38.6	60.4–49.6	46.0–44.1
Wind direction (°)	125	235	240
Wind speed (Bft)	2	3	3
Temperature (°C)	27.2	18.7	20.8
PBL height (m)	1200	500	700
Lat (° N)/Long (° E)	50.8/4.4	51.2/4.4	50.6/5.6
Terrain altitude (m a.s.l.)	76	10	66
Total population	1.175.173	513.570	195.968
Population density (#/km <sup>2</sup> )	6751	2496	2828



**Figure 3.** Allan plot illustrating the impact of spatial binning of the raw spectra on the rms of the noise, plotted on a double logarithmic scale.

To reduce the data load and processing time, a spectral subset is taken on the level 0-DC product. The VNIR channel observes radiation in the 370–970 wavelength range while only the subset 370–600 nm is taken into account for the spectral calibration and the DOAS analysis.

### 3.1 Spatial binning and signal enhancement

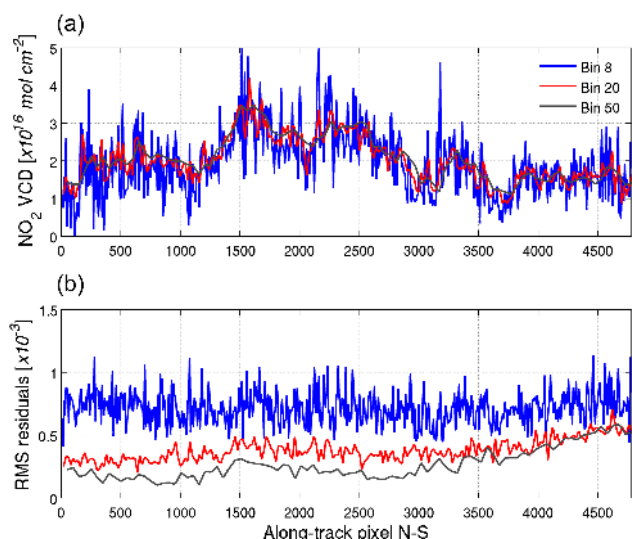
The raw, spectrally and spatially unbinned APEX spectra, acquired with an integration time of 58 ms, have a typical signal-to-noise ratio around 150. In order to reduce the noise level and increase the sensitivity of the instrument to NO<sub>2</sub>, the raw pixels are spatially aggregated in postprocessing in

the along- and across-track direction. If only shot noise is assumed (which is a good assumption for UV-Vis detectors), the noise should decrease with  $\sqrt{N}$  according to photon statistics, with  $N$  as the number of binned spectra.

For a test area, the raw APEX spectra are spatially binned in the along- and across-track direction according to a power of 2,  $2^n$ , with  $n$  ranging from 0 to 8. For each binning level, a clean reference area is binned in the same way. The binned spectra and the corresponding rms of the noise from the DOAS fit are plotted on a double logarithmic scale in Fig. 3. The applied DOAS settings for the noise analysis are provided in Sect. 4.1 and Table 4. The red curve in the Allan plot represents the statistical shot noise  $\sqrt{N}$ , scaled to the noise of the unbinned raw spectra. High noise, around  $7 \times 10^{-3}$ , can be observed for the unbinned APEX spectra. The measured noise follows photon statistics well until approximately 200 binned spectra, where a deviation of the noise slope occurs. Due to dominant instrumental noise, such as dark current and read-out noise, and systematic errors in the DOAS fit, saturation occurs and the noise cannot be significantly reduced any more. The noise is reduced to  $4 \times 10^{-4}$  or a favourable SNR of 2500 after binning of 20 pixels in along- and across-track direction. As the curve slightly deviates from the statistical shot noise  $\sqrt{N}$ , the improvement of the SNR, compared to the unbinned spectra, is slightly less than 20 times.

The obtained higher SNR improves the detection limit of the instrument at the cost of a reduction of the original spatial resolution. A binning of 20 by 20 pixels is found to be an appropriate trade-off between the obtained instrument sensitivity and the spatial detail, leading to an effective GSD of approximately  $60 \times 80 \text{ m}^2$ .

The impact of a different spatial binning on the NO<sub>2</sub> VCD retrievals is illustrated in Fig. 4. Flight line eight of the Antwerp data set is binned according to three different bin-

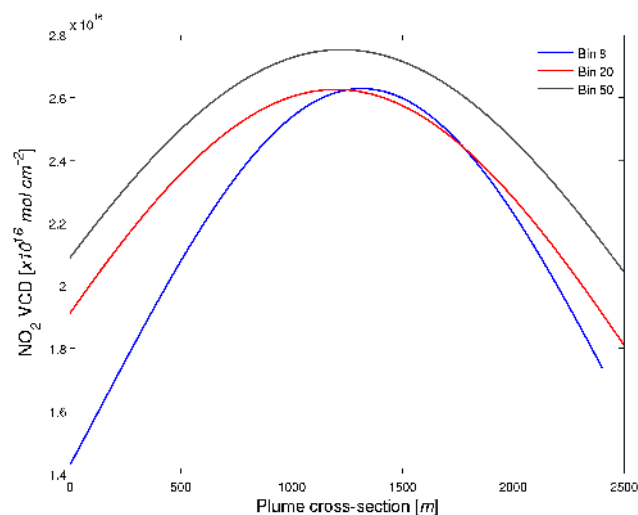


**Figure 4.** Along-track profile of (a) NO<sub>2</sub> VCDs and (b) rms error of DOAS fits for three different levels of aggregated spectra, i.e. 8 by 8, 20 by 20 and 50 by 50 pixels. The VCDs are retrieved from an overlapping column on flight line eight of the Antwerp data set and are plotted from north to south.

ning levels, i.e. 8<sup>2</sup>, 20<sup>2</sup> and 50<sup>2</sup> pixels, resulting in effective GSDs of 24 × 32, 60 × 80 and 150 × 200 m<sup>2</sup>. Then, the same north–south along-track profile is taken from the three different sets of retrieved VCDs. As can be seen, the three NO<sub>2</sub> VCD along-track profiles show the same patterns of enhanced NO<sub>2</sub>, consisting of two major plumes related to industrial activities in the Antwerp harbour. However, the 8<sup>2</sup> binned data contain a lot of noise. On the other hand, the 50<sup>2</sup> binned data smooth out effective NO<sub>2</sub> signals, e.g. at pixel 2050. The smoothing effect can also be observed in Fig. 5. A horizontal profile of approximately 2500 m was taken perpendicular to the second major plume and is indicated by a red dotted line in Fig. 15. Then, a Gaussian model was fitted to the obtained profile NO<sub>2</sub> VCDs for the three different binning levels. A broadening of the plume can be observed for the higher binning levels. The width of the fitted Gaussians is expressed as FWHM and increases from 1685 to 2129 and to 2331 m for binnings of 8<sup>2</sup>, 20<sup>2</sup> and 50<sup>2</sup> pixels, respectively.

### 3.2 APEX spectral performance and wavelength calibration

A key characteristic of the spectral performance is the instrument spectral response function (ISRF or slit function), being the response of the instrument to a signal as a function of wavelength. The ISRF can be determined by a peak response, i.e. central wavelength (CW) and response shape, i.e. full width at half maximum (FWHM). Typically for pushbroom sensors, each across-track detector element should be considered as a 1-D instrument due to optical aberrations and



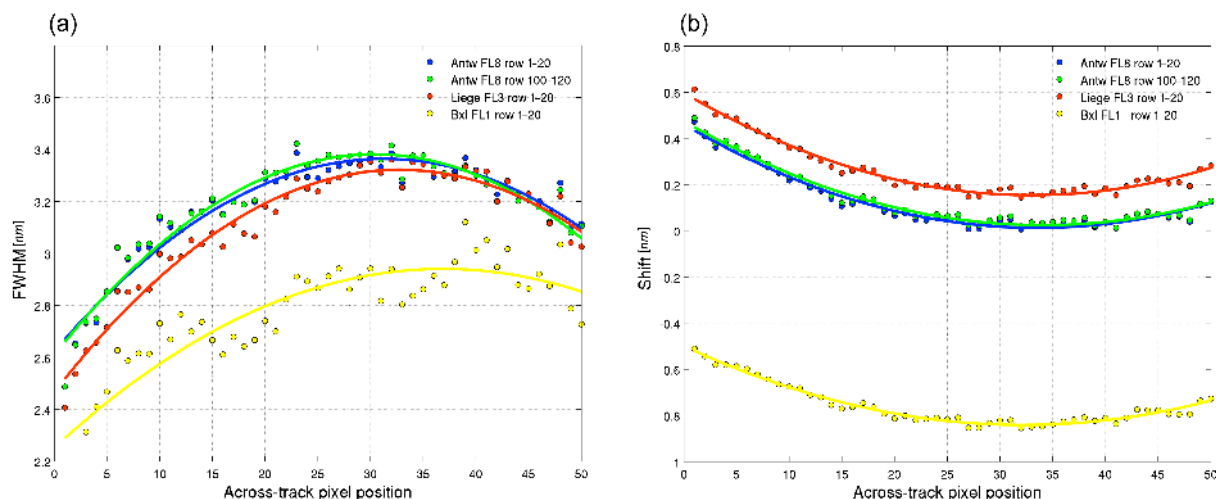
**Figure 5.** Gaussian model fit to the NO<sub>2</sub> VCDs of a 2500 m horizontal profile, perpendicular to a major industrial NO<sub>2</sub> plume, for three different binning levels, i.e. 8<sup>2</sup>, 20<sup>2</sup> and 50<sup>2</sup> pixels.

**Table 3.** APEX spectral performance for the NO<sub>2</sub> calibration window. Both nominal (laboratory performance) and effective (in-flight performance) parameters are provided. Due to the wavelength and pixel-location dependency, FWHM and shift are provided for 490 nm, i.e. the middle of the analysis window, and for the nadir-looking detector pixel of the pushbroom sensor.

Spectral performance for NO <sub>2</sub> calibration window	
Spectral interval	370–600 nm
Spectral pixels	249 (unbinned mode)
Nominal FWHM	1.5 nm
In-flight FWHM	> 2.8 and < 3.3 nm
Nominal spectral shift from CW	< 0.2 nm (single flight)
In-flight spectral shift from CW	> 0.05 and < 0.8 nm
Spectral sampling interval (SSI)	0.9 nm
Sampling rate	3.1 to 3.6 pixels per FWHM

misalignments, with an intrinsic spectral response which is slightly different from the others.

In order to determine the spectral response of each pixel and to obtain a good alignment in the DOAS fit between the analysed spectra, reference and the absorption cross sections, an in-flight spectral calibration is performed with the QDOAS software (Danckaert et al., 2015) prior to further processing. The accurate wavelength calibration aligns the Fraunhofer lines in the in-flight APEX spectra with a high-resolution solar reference (Chance and Kurucz, 2010). The latter is iteratively convoluted with an adjusted APEX slit function until a best match with the spectra is found, characterising the effective shift and FWHM. The APEX nominal wavelength-pixel relation, determined under laboratory conditions, is used as initial values in the calibration procedure in order to converge to the best solution. We determine FWHM and shift values in five subwindows between 370 and 600 nm



**Figure 6.** In-flight spectral calibration: (a) the spectral resolution (FWHM) and (b) the spectral shift and its dependency on the across-track scan line pixel position (spectral smile) plotted for 490 nm, i.e. the middle of the analysis window, for different flight lines. A second-order polynomial has been fitted to each calibration set.

by minimising the chi-square of the differences between the observed spectrum and the solar reference in each window. Then, we fit polynomials through the five resulting shift and FWHM values. As the pushbroom sensor consists of 1000 pixels across-track, which are binned by 20, the output consists of 50 different calibration sets in total.

During operation, airborne instruments are typically exposed to changes in environmental conditions (changes in pressure, humidity and temperature, vibrations, mechanical stress, etc.) which can affect the instrument characteristics and degrade its spectral performance. Even though the optical unit of APEX is temperature stabilised, sealed in a nitrogen atmosphere and kept at 200 hPa above ambient pressure, deviations can occur between the nominal and effective in-flight spectral performances. This has been extensively investigated by D’Odorico et al. (2011) and Kuhlmann et al. (2016) and confirmed by our study. Nominal parameters of the spectral performance, determined by the laboratory calibration, are given in Table 3. Based on laboratory measurements, the CW spectral shift should be smaller than 0.2 nm according to Schaepman et al. (2015). However, based on a recent reanalysis, a larger uncertainty between 0.4 and 1 nm was observed in real spectra (Kuhlmann et al., 2016). Because a prism dispersion element is used, the FWHM is a non-linear function, which broadens with wavelength. The slit function is assumed to have a Gaussian shape with a nominal FWHM of approximately 1.5 nm at 490 nm, i.e. at the centre of the fitting window, for the nadir detector element.

The spectral calibration on the in-flight spectra points out bigger CW shifts than specified by the nominal calibration as well as a broadening of the ISRF (see Table 3). In Fig. 6a and 6b, the FWHM and the spectral shift and their depen-

dencies on the across-track scan line pixel position (spectral smile) are plotted for 490 nm for different across-track scan lines and flight lines: the mean of 20 scan lines (1) at the start and (2) at the end of flight line eight of the Antwerp data set (day 105), (3) at the start of flight line three of the Liège data set (day 105) and (4) at the start of flight line one of the Brussels data set (day 181). A second-order polynomial has been fitted to each calibration set. In Fig. 6, minor changes in the slit function are detected between observations acquired on the same flight line. A spectral shift of approximately 0.18 nm can be observed between Antwerp flight line eight and Liège flight line three, acquired during a single flight (day 105) but with a time interval of approximately 1 h. Large spectral shifts up to 0.8 nm can be observed between the Antwerp (day 105) and Brussels (day 181) flights. This is largely attributed to changing environmental conditions, with pressure changes in particular (D’Odorico et al., 2012). As mentioned before, the pressure of the nitrogen gas in the spectrometer is kept at 200 hPa above ambient pressure. Pressure changes, due to changing ambient pressure or inaccuracies of the pressure regulation, can affect the index of refraction of the nitrogen gas and, subsequently, the dispersion at the prism, resulting in spectral shifts (Kuhlmann et al., 2016). This time-dependent variability of the APEX slit function in operational conditions can be critical for the analysis of the spectra, as discussed in Sect. 4.2.

The range of wavelength shifts in the across-track direction, exhibiting the typical smile curvature (Richter et al., 2011) in Fig. 6.b, is approximately 0.4 nm, which is well within the given tolerance of 0.35 pixels (Schaepman et al., 2015). The FWHM ranges between 2.4 nm for the outer detectors and 3.3 nm for the nadir detector, which is more than twice the nominal value and at the boundary of detecting sub-

the spectral variations. According to Kuhlmann et al. (2016), there is no significant sensitivity of the FWHM to pressure changes (in contrast to the spectral shifts). Based on an intensive analysis, the study of Kuhlmann et al. (2016) concludes that the larger in-flight FWHMs are likely explained by a combination of (1) not fully corrected CCD readout smear, resulting in a spectral smoothing and (2) filling-in of the Fraunhofer lines.

Beside the spectral resolution and shift, the spectral sampling interval (SSI) and sampling ratio are important characteristics of the spectral performance. As specified in Table 3, the SSI is approximately 0.9 nm. According to Chance et al. (2005), the Nyquist rate is just met in the case of a sampling ratio of 3.1 to 3.6 pixels per FWHM, assuming a Gaussian ISRF. However, being at the limits, APEX slightly undersamples the spectra, which can complicate the DOAS analysis due to reduced spectral information.

#### 4 NO<sub>2</sub> vertical column density retrieval algorithm

The flow chart in Fig. 7 illustrates the key steps of the applied NO<sub>2</sub> VCD retrieval algorithm. For each of the modules, the main input and output data sets and their respective file formats are specified. A NO<sub>2</sub> vertical column can be derived for each APEX pixel or measured spectrum  $i$ , according to

$$VCD_i = \frac{SCD_i}{AMF_i}. \quad (1)$$

In Eq. (1), the NO<sub>2</sub> VCD <sub>$i$</sub>  or the integrated amount of molecules per cm<sup>2</sup> expected for a single, vertical transect of the atmosphere is defined as the ratio of the measured slant column density or the number of molecules per cm<sup>2</sup> detected in an observation (SCD <sub>$i$</sub> ) and a corresponding air mass factor (AMF <sub>$i$</sub> ; Solomon et al., 1987). The direct output of the DOAS analysis (see Sect. 4.1) is not SCD <sub>$i$</sub> , but a differential slant column density (DSCD <sub>$i$</sub> ), being the difference of the concentration of NO<sub>2</sub> integrated along the effective light path and the NO<sub>2</sub> concentration in a reference spectrum (SCD<sub>ref</sub>). The residual NO<sub>2</sub> amount in the background spectrum needs to be determined in order to convert DSCD <sub>$i$</sub>  to SCD <sub>$i$</sub>  (see Sect. 4.2). Therefore Eq. (1) needs to be rewritten as follows:

$$VCD_i = \frac{DSCD_i + SCD_{ref}}{AMF_i}, \quad (2)$$

or

$$VCD_i = \frac{DSCD_i + (VCD_{ref} \times AMF_{ref})}{AMF_i}. \quad (3)$$

AMFs account for enhancements in the optical path length of the slant column due to viewing and sun geometry, albedo, aerosol scattering and the NO<sub>2</sub> vertical profile (see Sect. 4.3).

##### 4.1 DOAS analysis of the measured spectra

The obtained binned APEX spectra are analysed in the 470–510 nm visible wavelength region by application of

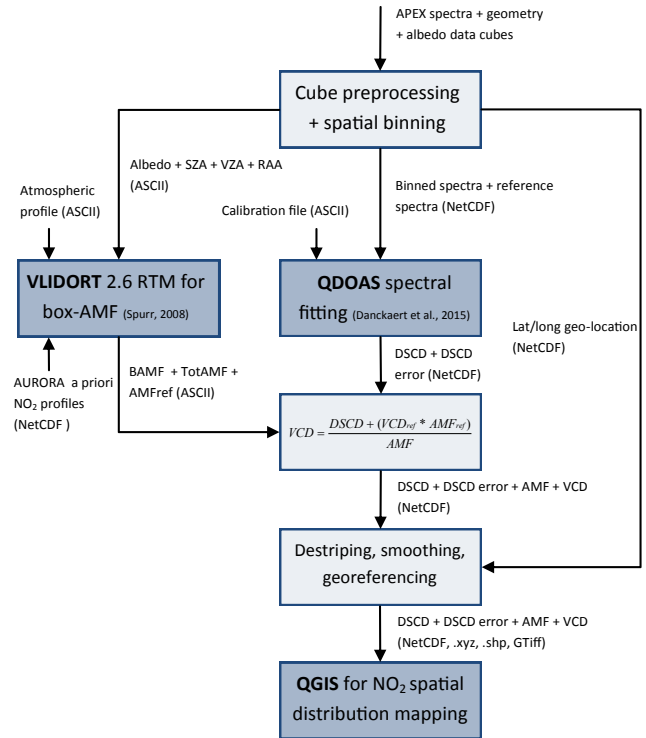


Figure 7. Flow chart of the APEX NO<sub>2</sub> VCD retrieval algorithm.

Table 4. Main DOAS spectral fitting analysis parameters for NO<sub>2</sub> slant column retrieval.

Parameter	Settings
Wavelength calibration method	Reference solar atlas (Chance and Kurucz, 2010) – Gaussian
Calibration interval	370–600 nm (5 subwindows)
Fitting interval	470–510 nm
Cross sections	
NO <sub>2</sub>	Vandaele et al. (1998), 298 K
O <sub>4</sub>	Hermans et al. (2003)
Ring effect correction method	Chance and Spurr (1997)
Resol	Small diff. in spectral resolution
Polynomial term	Polynomial order 5
Intensity offset correction	Polynomial order 1

an adapted version of the QDOAS non-linear least-squares spectral fitting tool, developed at BIRA-IASB (Danckaert et al., 2015). The adapted version allows the spectra of full APEX flight lines to be processed simultaneously, with both the input and output in a network common data form (netCDF) file format. Note that the small 470–510 nm fitting window is not considered to provide the highest sensitivity to NO<sub>2</sub>. Instruments specifically designed for NO<sub>2</sub> trace gas retrieval usually operate in the 425–490 nm interval. This broad window contains more spectral information and strongly structured NO<sub>2</sub> absorption features, while there is low interference with absorption structures of other trace



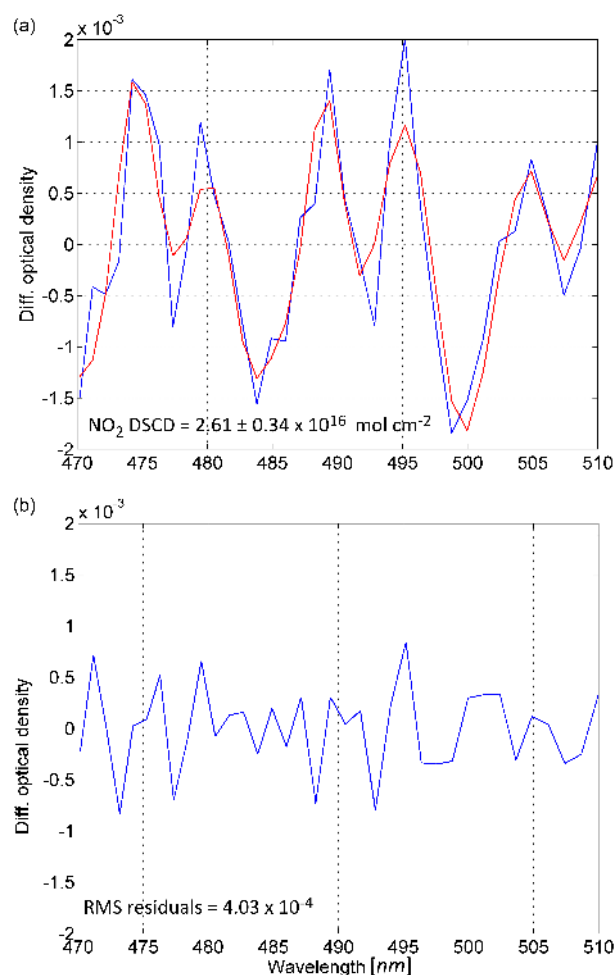
gases, providing optimal sensitivity to NO<sub>2</sub>. However, interference with unidentified instrumental artefacts or features prevents us from extending the fitting window to wavelengths lower than 470 nm. Currently, the chosen wavelength interval is considered to be the best trade-off between sensitivity on the one hand and minimum interference with other absorbers and instrumental structures on the other hand.

The basic idea of the DOAS approach is to separate broadband and narrowband signals in the spectra and to isolate the narrow molecular absorption structures (widths usually smaller than a few nm). Beside relevant high-pass filtered trace gas laboratory cross sections (NO<sub>2</sub> and O<sub>4</sub>), a low-order polynomial term, a synthetic Ring spectrum and a synthetic-resolution cross section are fitted to the logarithm of the ratio of the observed spectrum and a reference spectrum. They account for respectively (1) smooth broadband variations (e.g. reflection at the Earth's surface) and Rayleigh and Mie scattering; (2) the Ring effect (Grainger and Ring, 1962), i.e. the filling-in of Fraunhofer lines by rotational Raman scattering on air molecules and (3) the impact of small changes in the instrumental resolution. The synthetic-resolution cross section is based on a linearisation of the dependence of spectral Fraunhofer lines on the slit function width and corresponds to the first derivative of solar reference with respect to the slit function width (Danckaert et al., 2015; Beirle et al., 2017). O<sub>3</sub> and H<sub>2</sub>O cross sections were not fitted due to cross correlations and overparameterisation of the small fitting interval. Further details about the main DOAS settings can be found in Table 4.

A typical DOAS fit of an APEX spectrum is illustrated in Fig. 8. The direct output or resulting coefficients of the applied fitting algorithm are NO<sub>2</sub> differential slant columns. A NO<sub>2</sub> DSCD of  $2.61 \times 10^{16}$  molec cm<sup>-2</sup> is retrieved with an rms on the residuals of  $4.03 \times 10^{-4}$ . In the absence of systematic structures, the rms is the standard deviation of a Poisson distribution, corresponding to the measured photons. The rms corresponds to a favourable SNR of 2500 and is in line with the obtained signal enhancement after spatial binning, as discussed in Sect. 3.1.

## 4.2 Background spectrum

In the DOAS analysis, the concentration of NO<sub>2</sub> is determined with respect to an unknown amount of NO<sub>2</sub> in a selected reference spectrum (SCD<sub>ref</sub>). This differential approach largely reduces the impact of both systematic instrumental instabilities and the prominent Fraunhofer lines in the spectra, which blur out the much finer trace gas absorption structures. The approach also cancels out the stratospheric NO<sub>2</sub> contribution to the signal, making the measurements only sensitive to tropospheric absorption, under the assumption that the stratospheric NO<sub>2</sub> field has a negligible spatial and temporal variability in the time between the acquisition of the reference spectrum and the measurements. During the daytime, the stratospheric NO<sub>2</sub> column is charac-



**Figure 8.** Typical DOAS fit with (a) red line, corresponding to the NO<sub>2</sub> molecular cross section, convolved with the instrument slit function and scaled to the detected absorption in the measured spectrum (blue line) and (b) the remaining residuals of the spectral fit.

terised by a near-linear slow increase due to the photolysis of N<sub>2</sub>O<sub>5</sub> at the midlatitudes. The diurnal increase of the NO<sub>2</sub> stratospheric column between 80° SZA sunrise and sunset is estimated to be approximately  $1 \times 10^{14}$  molec cm<sup>-2</sup> per hour (Tack et al., 2015) and thus much smaller than the retrieved VCDs. The latter have mean values of  $1.7 \times 10^{16}$  and  $7.7 \times 10^{15}$  molec cm<sup>-2</sup> for the Antwerp and Brussels data sets. All flights took place close to local noon, and in general there was a minor time interval between the acquisition of the spectra and the reference of less than 1 h.

The residual NO<sub>2</sub> amount in the reference (SCD<sub>ref</sub>) is an unknown that needs to be estimated, which is a general shortcoming of all airborne DOAS pushbroom imagers. Some studies assume that there is no (Schönhardt et al., 2015) or very little (e.g.  $1 \times 10^{15}$  molec cm<sup>-2</sup>; Popp et al., 2012), tropospheric NO<sub>2</sub> in a background area. Due to a combination of the nature of the APEX instrument and the study area, these assumptions were not valid, as explained here:

- The in-flight slit function is affected by environmental conditions during operation of APEX, despite the fact that the instrument is sealed, pressure regulated and temperature regulated. Figure 6 shows that changes in the slit function occur between different flight lines of a single flight. Large spectral shifts can be observed between different flights/campaigns. The unstable slit function can cause misregistrations and spurious residuals in the DOAS fit. Even when the latter are small, they can impact the retrievals, considering that very fine absorption structures are analysed. As a consequence, a reference cannot be used to analyse a certain spectrum if the spectral performance deviates too much. Quality parameters of the fit, such as the rms, were carefully checked in order to detect significant changes in the effective slit function between the analysed spectra and reference. In the case of the Brussels data set (day 181), reference data were selected per individual flight line due to the larger instability affecting the slit function.
- The nature of the reference area further complicates the estimation of SCD<sub>ref</sub>. Based on a priori information, a number of candidate background areas around the cities were covered during the taxi flight between airport and survey area, far from emission sources and with decreased levels of NO<sub>2</sub>. Due to the unstable slit function and its time dependency, unfortunately these reference flight lines could not be used in the analysis in most cases. Instead, the references needed to be selected closer to the city, where the NO<sub>2</sub> levels are relatively high and generally have a strong spatial variability. For both the Antwerp (day 105) and Brussels (day 181) data sets, the references were selected in the south part of the flight lines, upwind of the main sources in the city. The SCD<sub>ref</sub> was estimated based on co-located mobile-DOAS measurements. Following Eq. (2), the retrieved VCD will be overestimated in case the effective SCD<sub>ref</sub> is lower than the estimated amount.

### 4.3 Air mass factor calculations

A slant column depends on multiple light paths of backscattered solar radiation, contributing to the spectrum observed by the instrument. In order to derive the effective optical path length through the atmosphere and thus to be able to interpret and compare observations, the transfer of radiation in the atmosphere needs to be modelled and appropriate enhancement factors need to be calculated. In this study, NO<sub>2</sub> box-AMFs (BAMF<sub>*j*</sub>) have been calculated with the linearised radiative transfer model (RTM) LIDORT 2.6 (Spurr, 2008). The box-AMFs describe the sensitivity of the measurements as a function of altitude, resulting in a height-dependent assessment of the instruments sensitivity (Wagner et al., 2007). The radiative transfer equation is solved in a multilayer, multiple scattering atmosphere using the dis-

crete ordinate method. RTM simulations are performed at 490 nm, i.e. the middle of the NO<sub>2</sub> fitting window. 93 atmospheric height layers *j* are defined from the ground surface to the top of the atmosphere (TOA), the latter determined at 120 km altitude, and for each layer a box-AMF is retrieved. The vertical discretisation consists of 40 layers of 50 m thickness, until 2 km and 12 layers of 500 m between 2 and 8 km. Above 8 km, the altitude grid of the US Standard Atmosphere is adopted. A total AMF (TAMF<sub>*i*</sub>) can be derived for each APEX spectrum *i* by integration of the BAMF<sub>*j*</sub> along an a priori NO<sub>2</sub> vertical profile:

$$\text{TAMF}_i = \frac{\sum_{j=0}^{\text{TOA}} \text{BAMF}_j \times \text{VCD}_j}{\sum_{j=0}^{\text{TOA}} \text{VCD}_j}. \quad (4)$$

In Eq. (4), BAMF<sub>*j*</sub> and VCD<sub>*j*</sub> refer to the box-AMF and the a priori partial NO<sub>2</sub> VCD of atmospheric layer *j*. A mean TAMF of 1.9 is obtained for the Antwerp and Brussels data set.

#### 4.3.1 RTM parameters

LIDORT numerically reproduces the state of the atmosphere and transfer of the solar radiation through the atmosphere based on a priori information on all parameters that affect the light path, e.g. the surface albedo, sun and observation geometry and atmospheric properties (pressure, temperature, cloud cover, absorber and aerosol vertical profiles). (1) The surface albedo, as well as (2) the sun and viewing geometry, i.e. SZA, viewing zenith angle (VZA) and relative azimuth angle (RAA), can be extracted from the observations. These additional (meta)data sets are provided for each observed spectrum and are spatially binned accordingly. The surface albedo is approximated by the APEX reflectance value (Level 2 product), which is obtained for each pixel after application of an atmospheric correction algorithm with MODTRAN4 (Berk et al., 1999) on the radiometrically calibrated level 1 at-sensor radiance product (Biesemans et al., 2007; Sterckx et al., 2016). The viewing and sun geometry are output products of the APEX orthorectification module, as described in Sect. 4.4. (3) Pressure and temperature profiles are taken from the AFGL standard atmosphere for midlatitude summer (Anderson et al., 1986). (4) Clouds usually introduce a major uncertainty in atmospheric modelling. However, all flights took place under clear-sky conditions, avoiding the necessity of a cloud retrieval scheme. (5) A priori NO<sub>2</sub> vertical profile shapes are obtained from the regional-scale air quality model AURORA (Air quality modelling in Urban Regions using an Optimal Resolution Approach; Lauwaet et al., 2014) at a high resolution of 1 × 1 km<sup>2</sup>. The AURORA model consists of a fine vertical grid with 40 layers of 50 m thickness and 37 layers of 100 m thickness to a maximum altitude of 5700 m. The model out-

**Table 5.** Sensitivity study with varying input parameters in the radiative transfer model based on 73 000 and 96 000 observations for the Antwerp and Brussels data sets, respectively. For the albedo, sun and viewing geometry, each time two scenarios are provided based on the  $\mu \pm 1\sigma$  level and corresponding TAMFs are derived by BAMF integration along a mean AURORA NO<sub>2</sub> profile.

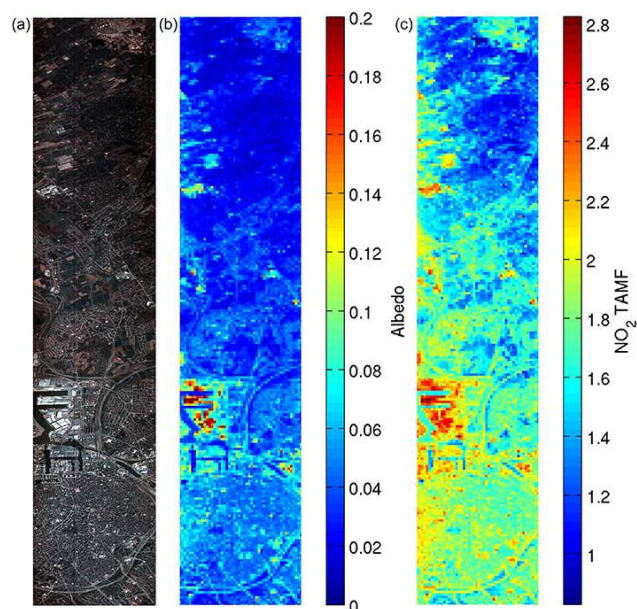
RTM parameter	Parameter $\mu - 1\sigma$	Parameter $\mu + 1\sigma$	TAMF $\mu - 1\sigma$	TAMF $\mu + 1\sigma$	TAMF variability
Antwerp					
Albedo	2 %	8 %	1.3	2.2	65 %
RAA	37.1°	151.1°	1.9	1.9	4 %
VZA	4.4°	11.5°	1.9	1.9	1 %
SZA	51.2°	58°	1.9	2.0	6 %
Brussels					
Albedo	2 %	8 %	1.3	2.2	66 %
RAA	48°	152.6°	1.9	1.8	3 %
VZA	5.1°	11.7°	1.8	1.8	1 %
SZA	31.9°	37.4°	1.6	1.7	3 %

put for the time of flight and overpass location was computed. Interpolation on the coupled 3-D model grid provides a local NO<sub>2</sub> vertical profile for each APEX pixel. The obtained NO<sub>2</sub> profile shapes are assessed in the next section. (6) Aerosols can both enhance or reduce the AMF, depending on their vertical distribution. Since the latter information was not available and since all flights took place on clear spring/summer days with good visibility, a pure Rayleigh atmosphere was considered to compute the VCDs. The uncertainty related to this assumption is, however, discussed and quantified in the next section.

### 4.3.2 RTM sensitivity study

To study the impact of the input parameters on the AMF computations, sensitivity tests were carried out, based on different scenarios with varying input in the radiative transfer modelling. First, the mean and  $1\sigma$  standard deviation were calculated for the albedo, RAA, VZA and SZA based on 73 000 pixels/observations of the Antwerp data set. In Table 5, each row corresponds to an RTM parameter for which two scenarios are provided: mean  $\pm 1\sigma$  level (68 %). For the study of a certain parameter, the other RTM parameters are assigned a fixed value  $\mu$ , which is 5 %, 94.1, 7 and 54.6° for the albedo, RAA, VZA and SZA respectively. For each scenario, corresponding TAMFs are computed.

For the sun and viewing geometries, the TAMF variability is low, i.e. less than 6 % for input within  $\mu \pm 1\sigma$ . From the studied input parameters, the surface albedo clearly has the most significant impact on the AMF computations, which is consistent with previous investigations such as Boersma et al. (2004) and Lawrence et al. (2015). The TAMF variability can be up to 65 % for albedo input within  $\mu \pm 1\sigma$ , illustrating the importance of having accurate knowledge of the surface properties in the case of airborne imaging applications. Similar results were obtained for the Brussels data set and are



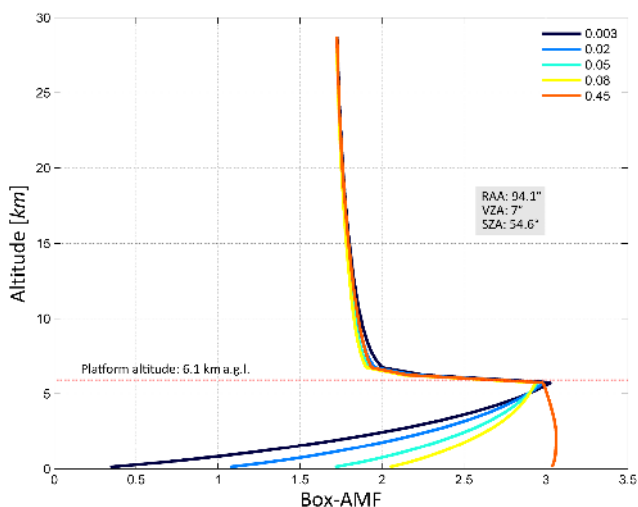
**Figure 9.** (a) APEX true colour composite, (b) APEX albedo level 2 product and (c) computed TAMFs, for flight line eight of the Antwerp data set (15 April 2015). The strong dependency of the AMF on the albedo can be observed.

provided in Table 5. The strong dependency of the TAMF computations to the albedo is illustrated in Fig. 9.

In order to obtain a better understanding of the instrument vertical sensitivity and the impact of the albedo on the radiative transfer, BAMFs are plotted as a function of the altitude in Fig. 10 for five different surface albedo scenarios. The sensitivity of the instrument is strongly height dependent. The sensor is mostly sensitive to NO<sub>2</sub> observed directly below the aeroplane, with its sensitivity decreasing towards the ground surface, except for the scenario with very high albedo (45 %).

**Table 6.** A priori NO<sub>2</sub> profile shape sensitivity study with four scenarios: integration along (1) local NO<sub>2</sub> vertical profiles  $A_{\text{interp}}$ , interpolated on the AURORA model grid; (2) a fixed AURORA NO<sub>2</sub> profile  $A_{\text{harbor}}$  over a polluted area; (3) a well-mixed NO<sub>2</sub> box profile of 0.5 km and (4) 1 km height in the lowest layer. TAMFs for the Antwerp data set are calculated based on the four scenarios, as well as the variability between the first scenario and the other three.

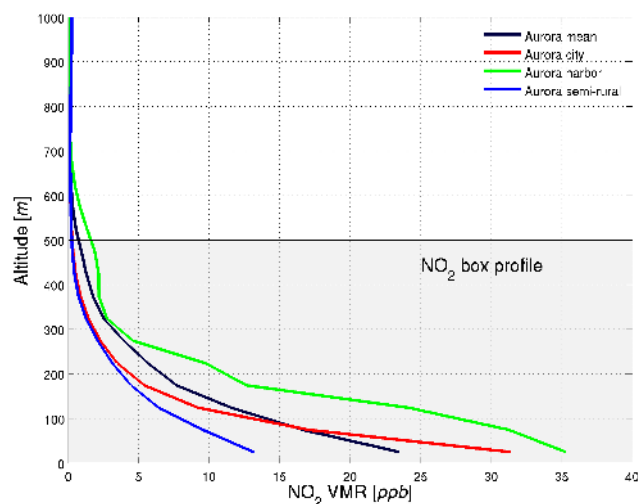
NO <sub>2</sub> profile	TAMF	TAMF variability
$A_{\text{interp}}$	1.9	–
$A_{\text{harbor}}$	1.8	8 %
Box <sub>0.5 km</sub>	1.8	7 %
Box <sub>1 km</sub>	1.9	1 %



**Figure 10.** BAMF profiles illustrating the vertical sensitivity of the APEX instrument to NO<sub>2</sub>. The high impact of the surface albedo, mainly on the lowest atmospheric layers, is shown based on five different scenarios, ranging from low to high albedo. Scenarios are based on the minimum,  $\mu - 1\sigma$ , mean,  $\mu + 1\sigma$  and maximum albedo in the Antwerp data set.

In the case of high albedo, much of the incident radiance is reflected towards the sensor, increasing its sensitivity to NO<sub>2</sub> and thus significantly impacting the air mass factor. The sensitivity is about 2.9 directly under the plane and decreases to 0.3 and then to 2.1 at the ground surface for albedos of 0.3 to 8 %. The sensitivity to the atmospheric layers above the sensor is almost constant and relatively low, around 1.7. This is very close to the geometrical AMF ( $1/\cos(\text{SZA})$ ).

While the NO<sub>2</sub> horizontal distribution can be mapped, based on airborne APEX hyperspectral data, the details of the vertical distribution of NO<sub>2</sub> in the atmosphere are not well known. As discussed in Sect. 4.3.1, in this work, a time and space dependent NO<sub>2</sub> profile is interpolated on the high-resolution AURORA 3-D model grid for each APEX pixel. Then, TAMFs are computed based on integration along the obtained a priori NO<sub>2</sub> profile. In Fig. 11, the mean AURORA

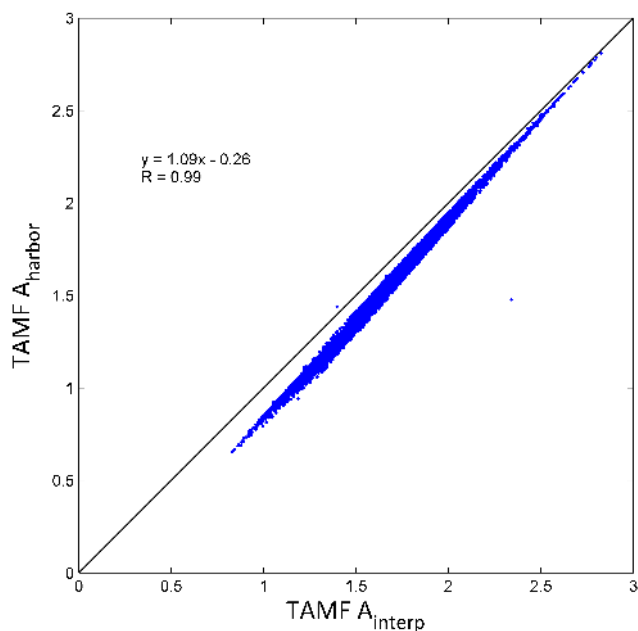


**Figure 11.** Representative AURORA a priori NO<sub>2</sub> profiles used for the Antwerp data set RTM calculations. A simple NO<sub>2</sub> box profile of 500 m height which is well-mixed in the boundary layer is also used in the sensitivity study.

profile is plotted for the Antwerp study area. Three extreme cases of local AURORA profiles are also plotted, i.e. for an urban, industrial and semi-rural site. Over the harbour, with many industrial activities, large NO<sub>2</sub> concentrations can be observed for the surface layer. Furthermore, the higher atmospheric layers contain relatively more NO<sub>2</sub>, probably due to emitting stacks which can be as high as 70 m. Over the city centre, the bulk of the NO<sub>2</sub> can be related to traffic emissions at the surface.

The impact of the NO<sub>2</sub> profile shape on the TAMF computation is reported in Table 6 by comparison of two scenarios: integration of the BAMFs along (1) local NO<sub>2</sub> vertical profiles  $A_{\text{interp}}$ , interpolated on the AURORA model grid and (2) a fixed AURORA NO<sub>2</sub> profile  $A_{\text{harbor}}$ , with very high NO<sub>2</sub> concentrations for the surface layers, and which can be assumed to be an extreme case. A scatter plot and linear regression analysis for the TAMFs, obtained by both scenarios, are shown in Fig. 12. The higher surface concentrations of the  $A_{\text{harbor}}$  profile give a larger weight to the relatively low BAMFs at the surface (see Fig. 10), resulting in a mean TAMF decrease of 7.5 % when compared to the first scenario. This impact reduces to zero at higher TAMF values, which can be related to higher albedo values, which have a much smaller decrease in sensitivity to the surface. In a second sensitivity test, the TAMFs obtained by integration along local AURORA NO<sub>2</sub> vertical profiles  $A_{\text{interp}}$  are compared with TAMFs that are computed based on a simple NO<sub>2</sub> box profile of 0.5 and 1 km height and well-mixed in the boundary layer. In the case of a NO<sub>2</sub> box profile of 0.5 km, TAMFs are on average 7 % smaller when compared to local AURORA NO<sub>2</sub> profiles, while TAMFs are slightly higher (~ 1 %) in the case of a box profile of 1 km.





**Figure 12.** Scatter plot and linear regression analysis for the TAMF computation, based on two scenarios: integration of the BAMFs along (1) local NO<sub>2</sub> vertical profiles  $A_{\text{interp}}$ , interpolated on the AURORA model grid and (2) a fixed AURORA NO<sub>2</sub> profile  $A_{\text{harbor}}$ , with high NO<sub>2</sub> concentrations related to industrial sources.

Previous studies (Leitao et al., 2010; Meier et al., 2016) indicate that aerosols can enhance or reduce the AMF, depending on their position with respect to the NO<sub>2</sub> layer, the optical thickness and the absorption of the aerosol layer. An aerosol optical thickness (AOT) lower than 0.15, at 500 nm, was measured during all flights by a CIMEL sun photometer at the AERONET station (Holben et al., 1998) in Uccle (50.78° N, 4.35° E, 100 m a.s.l.). Radiative transfer simulations with a corresponding well-mixed extinction at the surface yield an albedo-dependent aerosol effect of 7 % or less when compared to the AMFs computed based on a Rayleigh atmosphere. However, a relative uncertainty of 10 % is considered for all flights to take into account the AOT variability and because the AERONET station in Uccle was in a semi-polluted area.

#### 4.4 Postprocessing: destriping

After application of the retrieval equation, i.e. Eq. (3), a bias correction is applied to the retrieved NO<sub>2</sub> VCDs to cope with the across-track stripe-like pattern in the generated maps. Striping is inherent to pushbroom sensors due to the intrinsic spectral response of each detector which is slightly different from the others (see Sect. 3.2). The applied bias correction is based on the algorithms presented in Boersma et al. (2011), Popp et al. (2012) and Lawrence et al. (2015). For each flight line, the column NO<sub>2</sub> values are averaged and a third-order polynomial is fitted to the column averages. The deviation

from the polynomial is treated as a detector-dependent bias and used as a correction factor to be subtracted from the retrieved NO<sub>2</sub> columns. The procedure removes the across-track striping to a great extent, while retaining the NO<sub>2</sub> spatial patterns. For visualisation purposes, the retrieved NO<sub>2</sub> VCD map is convolved by a low-pass filter in the across-track direction, reducing the high frequencies or short-scale variability. The applied Savitzky–Golay filter is based on a least-squares fitting of a second-order polynomial over a span of five pixels (Savitzky and Golay, 1964; Schafer, 2011).

#### 4.5 Spectra geolocation and georeferencing

The APEX sensor is equipped with a high-grade Applanix POS/AV 410 navigation system, which records sensor position (i.e. latitude, longitude and elevation) and orientation (i.e. pitch, roll and heading). Concurrently, Global Positioning System (GPS) base station data, for differential correction, and data originating from an inertial measurement unit (IMU) are recorded. All telemetry are blended in real-time and logged for postprocessing to allow proper georeferencing of the spectra (Mostafa and Hutton, 2001). On 14 April, a boresight calibration flight (Mostafa, 2001) was also launched over Oostend, Belgium for accurate georeferencing purposes. The boresight angles account for misalignments between the IMU axis and the sensor axis and they are computed every time APEX is mounted in the aircraft. Ground control points (GCPs) selected from orthophotos are identified in the APEX data and, following a Monte Carlo procedure, optimal parameters to compensate for roll, pitch and yaw errors are inferred, greatly reducing geolocation errors which are usually lower than 1 unbinned spatial pixel (Vreys et al., 2016b). The orthorectification and georeferencing module receives the sensor interior and exterior orientation, boresight calibration data and digital elevation model (DEM) data as input. The module outputs the position and the complete viewing geometry for each pixel or measured spectrum, allowing a proper mapping of the retrieved NO<sub>2</sub> spatial distribution. In Vreys et al. (2016b), the georeferencing module and its qualitative and quantitative assessment is discussed more deeply.

The georeferenced VCDs and intermediate products, e.g. DSCDs and AMFs, are eventually gridded and overlaid onto Google Maps layers in an open-source geographic information system (GIS) environment, QGIS 2.10.1 (QGIS development team, 2009).

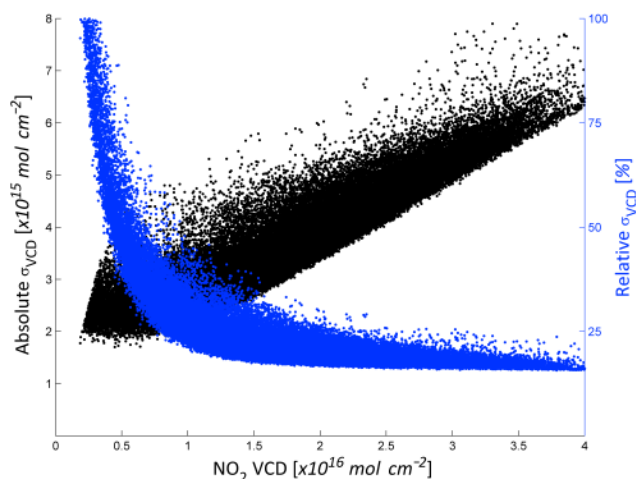
#### 4.6 NO<sub>2</sub> VCD error budget

The overall error on the retrieved NO<sub>2</sub> VCDs originates from uncertainties in the calculated DSCDs,  $SCD_{\text{ref}}$  and AMFs. One assumes that the contributing uncertainties are sufficiently uncorrelated as they arise from nearly independent steps. Based on Eq. (2), the overall error of the NO<sub>2</sub> VCD retrieval algorithm can be quantified based on the following

error propagation method:

$$\sigma_{\text{VCD}_i}^2 = \left( \frac{\sigma_{\text{DSCD}_i}}{\text{AMF}_i} \right)^2 + \left( \frac{\sigma_{\text{SCD}_{\text{ref}}}}{\text{AMF}_i} \right)^2 + \left( \frac{\text{SCD}_i}{\text{AMF}_i^2} \times \sigma_{\text{AMF}_i} \right)^2. \quad (5)$$

- i. The error on the DOAS fit ( $1\sigma$  standard deviation),  $\sigma_{\text{DSCD}_i}$  is a direct output of QDOAS for each fit and has a typical value between  $3.4$  and  $4.4 \times 10^{15}$  molec  $\text{cm}^{-2}$  on the APEX DSCD retrievals, when spectra are binned  $20$  by  $20$  pixels. Note that this is approximately 1 order of magnitude higher than for the fixed ground-based stations, e.g. the BIRA-IASB MAX-DOAS instrument (Tack et al., 2015), having a higher SNR and better spectral performance. Whereas  $\sigma_{\text{DSCD}_i}$  is a rather minor error source in the case of ground-based stations, it becomes a significant contributor to the total error in the case of an airborne imager.
- ii. The second error source originates from the estimation of the NO<sub>2</sub> residual amount in the reference spectra,  $\sigma_{\text{SCD}_{\text{ref}}}$ . As  $\text{SCD}_{\text{ref}}$  is determined from co-located mobile-DOAS measurements, the overall error on the mobile-DOAS retrievals is taken into account. The mobile-DOAS error estimation is discussed in Merlaud (2013) and Constantin et al. (2013). The mean overall error for the Antwerp and Brussels data set is  $1.8 \times 10^{15}$  molec  $\text{cm}^{-2}$ .
- iii. The error in the calculation of the air mass factor  $\sigma_{\text{AMF}_i}$  is caused by the uncertainties in the assumptions made for the radiative transfer model parameters (See Sect. 4.3.1). The contributing uncertainties can be summed in quadrature to obtain an overall error estimate  $\sigma_{\text{AMF}_i}$ . According to Boersma et al. (2004), the error budget associated with the computation of the AMF is dominated by the cloud fraction, surface albedo and NO<sub>2</sub> profile shape: (1) as flights took place under clear-sky conditions, cloud fraction is not considered an error source in this case. (2) Sensitivity tests, performed in Sect. 4.3.2, indicate that the surface albedo has the most significant impact on the effective light path, thus on the AMF. Within the albedo  $1\sigma$  interval, the AMF variability can be up to 65%. However, as absolute radiances can be directly derived from the APEX instrument, the albedo can be determined with relatively high accuracy. For a realistic estimate of the uncertainty, the following study was performed: several albedo types were measured in the field with an ASD FieldSpec-4 spectrometer (<http://www.asdi.com/products-and-services/fieldspec-spectroradiometers/fieldspec-4-hi-res>) and compared to the APEX surface albedo. For the wavelength 490 nm, the average albedo error over all



**Figure 13.** Overall absolute (black dots) and relative errors (blue dots),  $\sigma_{\text{VCD}}$ , on the retrieved NO<sub>2</sub> VCDs, based on the Antwerp data set.

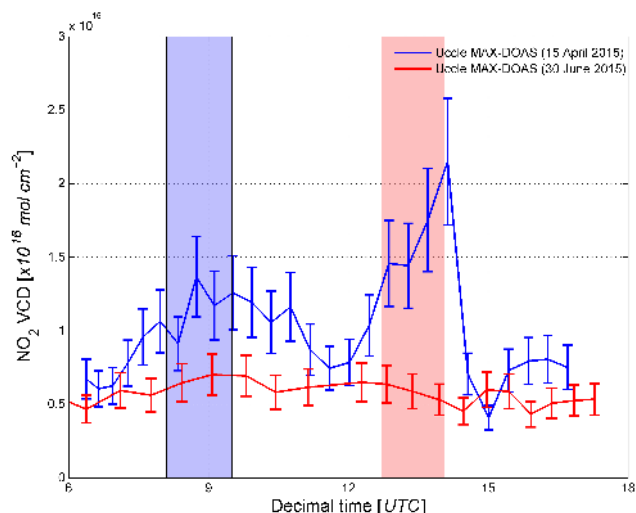
targets is 10%, which is assumed to be a realistic estimate of the uncertainty related to the a priori surface albedo. (3) Based on the sensitivity study performed in Sect. 4.3.2, the uncertainty related to the a priori NO<sub>2</sub> profile shape is lower than 8%. (4) According to the performed simulations, the uncertainty related to the assumption of a pure Rayleigh atmosphere is estimated to be less than 10%. (5) Both the viewing and sun geometry can be determined with high accuracy, thus the impact on the error in the AMF computation is expected to be small. Moreover, the performed sensitivity study, summarised in Table 5, has revealed that varying input for the viewing/sun geometry has a very low impact on the TAMF variability. Therefore it is assumed that the uncertainties related to RAA, VZA and SZA are less than 1%. Finally, all error sources contributing to the overall error  $\sigma_{\text{AMF}_i}$  are summed in quadrature and an estimate of approximately 15% is obtained.

The error budget analysis, based on 73 000 observations of the Antwerp data set, is reported in Table 7 and Fig. 13. In Table 7, typical relative and absolute errors are given for different classes, depending on the NO<sub>2</sub> VCD amount. For each class, the typical error  $\sigma$  is provided for each individual error source in the retrieval approach, as well as for the overall error on the retrieved VCDs,  $\sigma_{\text{VCD}}$ .

An overall relative error,  $\sigma_{\text{VCD}}$ , of 21% on the retrieved NO<sub>2</sub> VCDs is reported in Table 7 for the Antwerp data set, or a mean VCD and absolute error of  $17.4 \pm 3.7 \times 10^{15}$  molec  $\text{cm}^{-2}$ . For the Brussels data set the relative error is slightly higher ( $\sim 28\%$ ) due to a lower mean VCD of  $7.7 \pm 2.1 \times 10^{15}$  molec  $\text{cm}^{-2}$ . In general, the main contribution comes from the error on the DOAS fit ( $1\sigma$  slant error),  $\sigma_{\text{DSCD}}$ , which can be quite substantial in the case of

**Table 7.** Error budget analysis based on 73 000 retrieved NO<sub>2</sub> VCDs of the Antwerp data set. Typical relative errors (percent) and absolute errors ( $\times 10^{15}$  molec cm<sup>-2</sup> for  $\sigma_{\text{DSCD}}$ ,  $\sigma_{\text{SCDref}}$  and  $\sigma_{\text{VCD}}$ ) are provided in column two to four, for small (<33th percentile or  $< 1.4 \times 10^{16}$  molec cm<sup>-2</sup>), medium (33th to 66th percentile or  $1.4$  to  $2.0 \times 10^{16}$  molec cm<sup>-2</sup>) and high (>66th percentile or  $> 2.0 \times 10^{16}$  molec cm<sup>-2</sup>) NO<sub>2</sub> VCD retrievals, respectively. Column five shows the mean error for all retrieved VCDs.

Error source	Small VCDs	Medium VCDs	High VCDs	All VCDs
$\sigma_{\text{DSCD}}$	40 % (3.8)	23 % (3.9)	15 % (3.9)	22 % (3.9)
$\sigma_{\text{SCDref}}$	19 % (1.8)	11 % (1.8)	7 % (1.8)	10 % (1.8)
$\sigma_{\text{TAMF}}$	15 % (0.3)	15 % (0.3)	15 % (0.3)	15 % (0.3)
$\sigma_{\text{VCD}}$	29 % (2.8)	21 % (3.5)	18 % (4.7)	21 % (3.7)



**Figure 14.** NO<sub>2</sub> VCD diurnal variation retrieved from the Uccle MAX-DOAS station on 15 April and 30 June 2015. The blue and red columns indicate the flight times on April 15 over Antwerp and on June 30 over Brussels.

small VCD retrievals ( $\sim 29\%$  for the Antwerp data set). For medium and high VCDs, the overall relative errors decrease to 21 and 18%. For the latter, the main contributions are from the error on the DOAS fit and the TAMF computation,  $\sigma_{\text{TAMF}}$ . Relative errors related to the DOAS fit and to the estimation of the residual amount,  $\sigma_{\text{SCDref}}$ , drop in the case of larger VCDs, while errors originating from the TAMF computation are not affected. Of course the overall relative error is dependent on the NO<sub>2</sub> levels in the covered area. Low VCD retrievals will produce larger relative errors. The relatively low mean NO<sub>2</sub> VCD and related higher error for the Brussels data set is due to a combination of the seasonality of NO<sub>2</sub>, the lack of significant industrial sources in the area and the fact that a fair amount of semi-rural area around the city was covered (see Sect. 5).

The overall absolute and relative errors are plotted as a function of the retrieved NO<sub>2</sub> VCDs in Fig. 13, for the Antwerp data set. The largest absolute errors are obviously associated with the highest retrievals. The relative errors, on the other hand, which can be up to 100% in the case

of very low tropospheric contributions, show a steep and rapid drop in the case of increasing VCDs. The relative error is almost constant ( $\sim 21\%$ ) for NO<sub>2</sub> VCDs larger than  $1.5 \times 10^{16}$  molec cm<sup>-2</sup>.

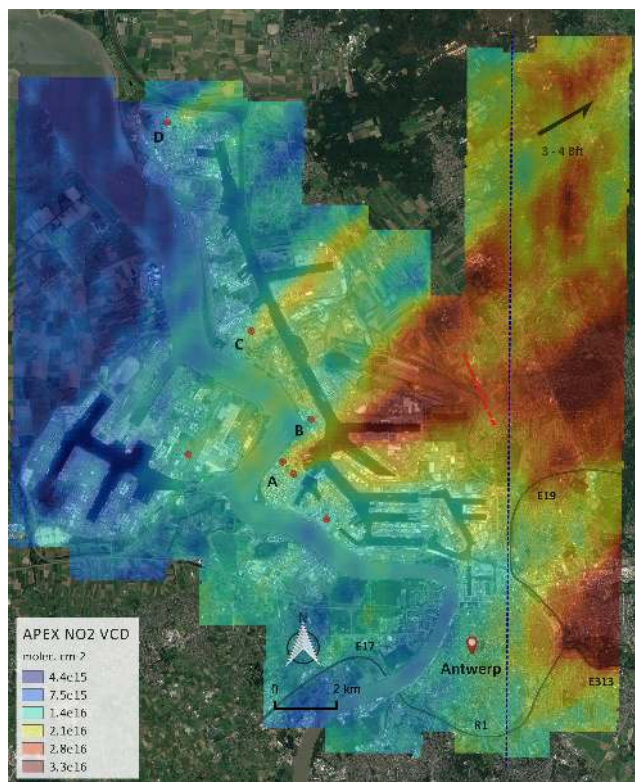
## 5 Discussion of the retrieval results

The generated NO<sub>2</sub> VCD distribution maps are shown in Figs. 15, 17 and 19 for the Antwerp (day 105), Brussels (day 181) and Liège (day 105) data sets. Meteorological conditions and the general flight pattern, both important for interpreting the observed NO<sub>2</sub> field, are discussed in Sect. 2.2. In general, the obtained spectral and spatial resolutions allow us to map the fine-scale NO<sub>2</sub> horizontal variability and spatial gradients and to resolve individual emission sources. Patterns of enhanced NO<sub>2</sub> can be observed that are largely consistent and transported downwind from the emission sources. The distribution maps show that the NO<sub>2</sub> concentrations can be highly variable in urban areas and can exhibit strong gradients. The maps are built from several adjacent flight lines with an approximated acquisition time of 8 to 15 min per flight line. As a dynamic NO<sub>2</sub> field is measured, minor biases can occur between adjacent flight lines.

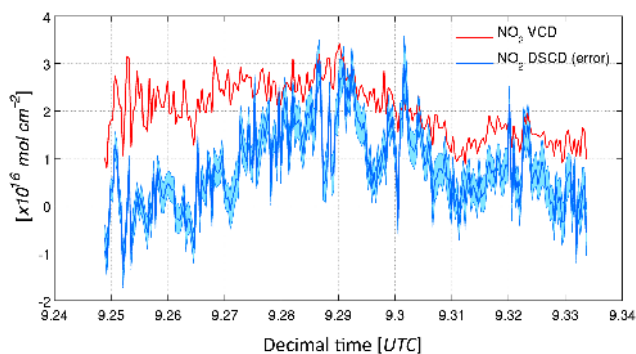
The NO<sub>2</sub> VCD diurnal variation retrieved at the Uccle MAX-DOAS station on the campaign days, i.e. 15 April and 30 June 2015, are plotted in Fig. 14. The blue and red columns indicate the flight times on April 15 over Antwerp (08:06–09:30 UTC) and on June 30 over Brussels (12:43–14:04 UTC). Further details of the station are provided in Sect. 6.2 and its location is indicated by a green dot in Fig. 17. Unfortunately there is no MAX-DOAS station so far in the Antwerp area. On both flight days, the Uccle station was upwind of the Brussels city centre, thus in a semi-polluted area. During the 15 April flight, the NO<sub>2</sub> VCDs range between 4 and  $21 \times 10^{15}$  molec cm<sup>-2</sup>, between 80° SZA sunrise and sunset, and VCDs increase approximately by  $2.5 \times 10^{15}$  molec cm<sup>-2</sup> during flight time. On June 30, NO<sub>2</sub> VCDs range between 4 and  $7 \times 10^{15}$  molec cm<sup>-2</sup>. There is a slight decrease of  $1.5 \times 10^{15}$  molec cm<sup>-2</sup> during the APEX flight.

In Antwerp, the predominant anthropogenic emitters are mainly related to industrial activities in the harbour, but





**Figure 15.** Retrieved NO<sub>2</sub> VCD field for Antwerp (15 April 2015) (Google, TerraMetrics). Red dots indicate the chimney stacks, emitting more than 25 kg of NO<sub>x</sub> per hour, according to the emission inventory of the Belgian Interregional Environment Agency. Four industrial sites, which are further discussed in Sect. 5, are indicated by the labels A–D. The red dotted line indicates the plume cross section, plotted in Fig. 5. The blue vertical line indicates an along-track profile, for which the NO<sub>2</sub> DSCD and VCD time series are plotted in Fig. 16.

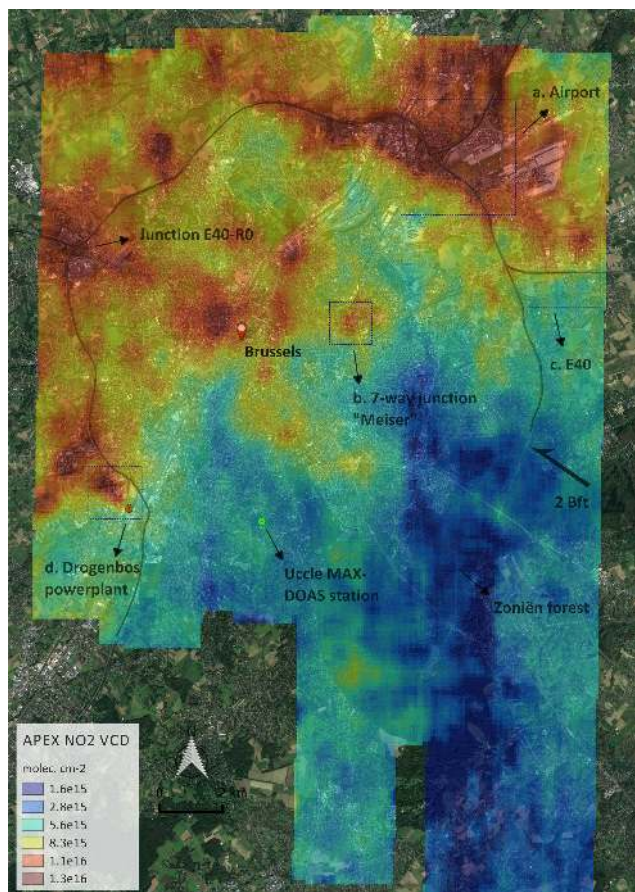


**Figure 16.** NO<sub>2</sub> DSCD and VCD time series for an along-track profile from north to south taken on flight line eight of the Antwerp data set (15 April 2015). The retrieved NO<sub>2</sub> VCDs are well above the detection limit of approximately  $1.8$  to  $2.3 \times 10^{15}$  molec cm<sup>-2</sup>. The profile, crossing the main plume from the harbour and the city centre, is indicated by a dashed (blue) vertical line in Fig. 15.

also to traffic in the south-east part. The port of Antwerp contains the biggest (petro)chemical cluster in Europe with branches of BASF, ExxonMobil, Solvay, Total, etc. The red dots in the NO<sub>2</sub> VCD distribution map (Fig. 15) represent the most significant stacks, emitting more than 25 kg of NO<sub>x</sub> per hour, according to the emission inventory of the Belgian Interregional Environment Agency. The NO<sub>2</sub> field exhibits a strong gradient from west to east, consistent with the south-westerly wind direction. In the west, the NO<sub>2</sub> levels are low, around  $3$  to  $7 \times 10^{15}$  molec cm<sup>-2</sup> due to the lack of major contributing sources. Substantial uncertainties can occur in this area as the levels are close to the detection limit. Downwind of the sources, the transported NO<sub>2</sub> is building up and patterns of enhanced NO<sub>2</sub> can be observed with maxima up to  $3.5 \times 10^{16}$  molec cm<sup>-2</sup>. The NO<sub>2</sub> VCDs are on average  $1.7 \pm 0.4 \times 10^{16}$  molec cm<sup>-2</sup>. The detected plumes are clearly related to and transported from the most contributing stacks in the area. The main central plume with a large extension is a double plume with its main emissions from two chimney stacks at site A, emitting 30 and 95 kg of NO<sub>x</sub> per hour at an altitude of 70 m a.g.l. A third stack north-east of it at site B emits 145 kg of NO<sub>x</sub> per hour at an altitude of 35 m a.g.l. The plume is approximately 12 km long and is unfortunately not fully covered by the flight plan. There is actually a cluster of 30 to 40 stacks at sites A and B which also contribute to the central plume. However, according to the emission inventory, their emissions are mostly less than 10 kg of NO<sub>x</sub> per hour. Smaller but clearly confined plumes originate from sites C and D, which are more isolated. Ship emissions are also expected to contribute to the observed NO<sub>2</sub> field; however these are hard to differentiate in this particular data set.

In the south-eastern part of the NO<sub>2</sub> map (Fig. 15), NO<sub>2</sub> patterns can be observed which are related to traffic emissions from the city of Antwerp, the R1 ring road and the key highways E313 and E19. The two last flight lines are acquired around 11:00–11:30 LT and presumably the air masses containing the emissions from the rush hour are detected here, having been transported from the city and ring road R1 and building up due to low wind speeds north-east of the city. In the western part of the data set, an artefact is still present over the H-shaped docks due to too-low retrieved VCDs over the water body and overestimation of the VCDs over the surrounding quays. Such an artefact is not present over other water bodies in the data set. The artefact could not be removed properly by selecting a larger amount of NO<sub>2</sub> in the reference spectrum. It is most probably caused by a combination of the overall low retrievals in this area, upwind of the sources, and computed AMFs that do not properly compensate for the lower backscatter over the water body (low albedo), and higher backscatter over the surrounding docks (high albedo). As discussed in Sect. 4.3.2, the albedo has a strong impact on the AMF computations. The albedo varies strongly from approximately 2 to 12% between the water body and the quay. A slight overestimation of the albedo, and



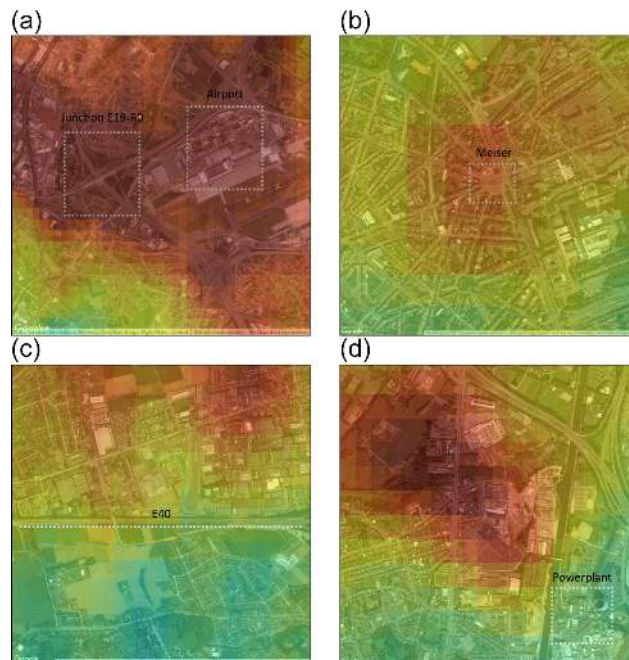


**Figure 17.** Retrieved NO<sub>2</sub> VCD field for Brussels (30 June 2015) (Google, TerraMetrics). Blue squares indicate four NO<sub>2</sub> VCD hotspots, highlighted in Fig. 18. The green dot is the location of the Uccle MAX-DOAS station.

subsequently the AMF, over the water body and an underestimation of the albedo and AMF over the quay could explain this artefact.

On flight line eight of the Antwerp data set, an along-track profile is taken (see Fig. 15) from which the NO<sub>2</sub> DSCD and VCD time series are plotted from north to south in Fig. 16. Negative DSCDs point to a high amount of NO<sub>2</sub> in the reference/background, when compared to the analysed spectrum. The blue-shaded error region on the DSCDs corresponds to the statistical error on the DOAS fit, being also a measure for the detection limit. The  $1\sigma$  slant error of APEX retrievals has a typical value between  $3.4$  and  $4.4 \times 10^{15}$  molec cm<sup>-2</sup> on the DSCD, corresponding to a detection limit of approximately  $1.8$  and  $2.3 \times 10^{15}$  molec cm<sup>-2</sup> on the VCD, assuming a typical AMF of 1.9 (See Sect. 4.3). In order for an absorber to be clearly identified, the retrieved column needs to be larger than this threshold.

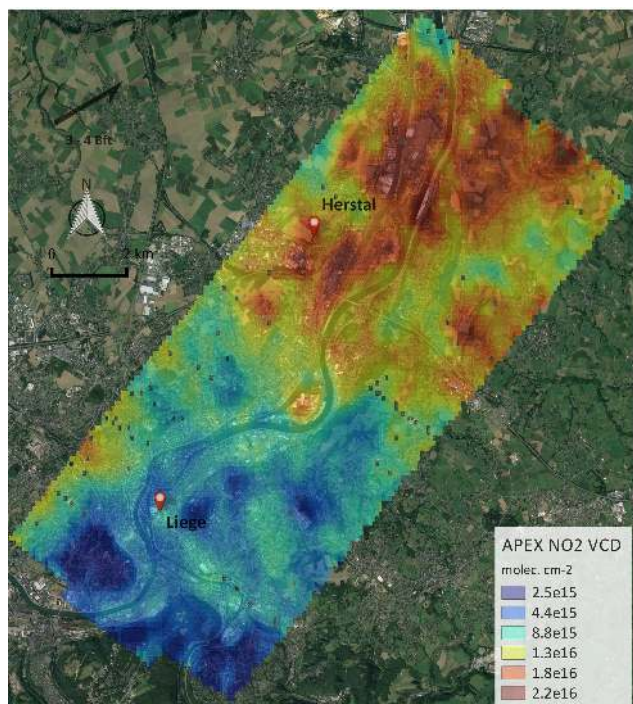
The NO<sub>2</sub> VCD distribution map for the Brussels data set is shown in Fig. 17. The study area, consisting of the Brussels (sub)urban area and surrounding background, is



**Figure 18.** Enlargement of four peculiar NO<sub>2</sub> VCD hotspots in the Brussels data set (30 June 2015): (a) major junction between the ring road R0 and the E19, and Brussels international airport; (b) junction “place Meiser”, close to the city centre; (c) eastern part of the E40 highway; (d) gas turbine Drogenbos power plant. The locations of the four zooms are indicated in Fig. 17 by blue squares (Google, TerraMetrics).

covered by 95833 binned APEX pixels in approximately 80 min. Again a strong gradient can be observed, consistent with the south-easterly wind direction, with low NO<sub>2</sub> VCDs above the Sonian forest in the south-east and increased levels downwind of Brussels city and the ring road R0. The NO<sub>2</sub> VCDs in the Brussels data set are on average  $7.7 \pm 2.1 \times 10^{15}$  molec cm<sup>-2</sup>, with minima and maxima of  $1$  and  $20 \times 10^{15}$  molec cm<sup>-2</sup>. In general, the NO<sub>2</sub> levels are almost 55 % lower than for the Antwerp data set. This is due to a combination of (1) a lack of significant industrial sources in the Brussels area, (2) the time of flight with respect to the traffic rush hours, and (3) the seasonality of NO<sub>2</sub> which tends to show maxima in winter and early spring. The NO<sub>2</sub> VCD diurnal variation retrieved at the Uccle MAX-DOAS station (Fig. 14) shows overall larger columns for the flight on 15 April when compared to the flight on 30 June, with mean NO<sub>2</sub> VCDs of respectively  $10$  and  $5 \times 10^{15}$  molec cm<sup>-2</sup> between 80° SZA sunrise and sunset. The seasonal NO<sub>2</sub> cycle, observed at the Uccle station, is discussed in more detail in Blechschmidt et al. (2017).

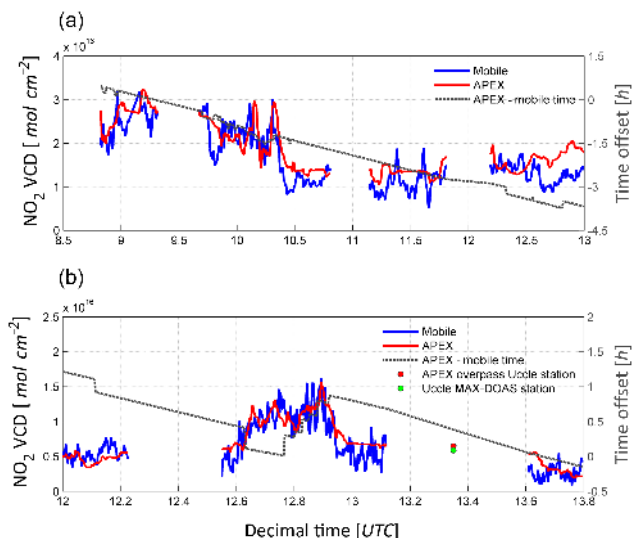
The NO<sub>x</sub> sources in Brussels are predominantly related to traffic and concentrated along the R0 Brussels ring road and the junctions with the key highways E40 and E19. The R0 is one of the busiest highways in Belgium with traffic volumes of more than 70 000 cars per day. Patterns of enhanced NO<sub>2</sub>



**Figure 19.** Retrieved NO<sub>2</sub> VCD field for Liège (15 April 2015) (Google, TerraMetrics).

can also be observed near the city centre. In Fig. 18, a number of noticeable hotspots of interest in the Brussels data set, indicated by blue, dashed squares in Fig. 17, are highlighted and discussed here:

- Some of the highest emissions can be observed in the north-east where there is a major junction between the ring road R0 and the E19 (Fig. 18a). Besides, there are two other main interchanges and some small industry in Vilvoorde. Strong NO<sub>x</sub> emissions in this area can also be related to planes and airport traffic operations at the Brussels international airport. In June 2015, there were in total 22 338 plane movements and on 30 June, approximately 50 planes took off in a north-easterly direction between 15:00 and 18:00 LT. However, due to the proximity of the ring road and interchanges, it is non-trivial to differentiate the contributing sources.
- An increase of the NO<sub>2</sub> VCDs can be observed at “place Meiser” with values around  $1.2 \times 10^{16}$  molec cm<sup>-2</sup> (Fig. 18b). It is a busy junction, close to the city centre, where seven major roads merge together.
- Figure 18c zooms in on the eastern part of the E40 highway, just past the junction with the ring road. The highway forms a clear segregation between the NO<sub>2</sub> levels in the northern and southern parts. Due to the lack of considerable contributing sources, the air masses upwind



**Figure 20.** APEX and mobile-DOAS NO<sub>2</sub> VCD time series for (a) the Antwerp flight (day 105) and (b) the Brussels flight (day 181), respectively. The time offset between APEX and mobile-DOAS observations is plotted in dark grey. The NO<sub>2</sub> VCDs, measured by APEX (red dot) and the mini MAX-DOAS (green dot) at the overpass over the Uccle station (13:21 UTC) are indicated on Fig. 20b.

of the highway contain little NO<sub>2</sub>, while a significant increase is noticeable downwind of it.

- The gas turbine Drogenbos power plant in the southwest is the only significant industrial source within the covered area, emitting more than 25 kg of NO<sub>x</sub> per hour. A confined NO<sub>2</sub> emission plume, transported downwind from the double stack close to the channel, can be clearly resolved with a typical VCD around  $1.5 \times 10^{16}$  molec cm<sup>-2</sup> (Fig. 18d).

In the Liège data set, the highest NO<sub>2</sub> emissions are observed in the north-east, in the industrialised area of Herstal. The NO<sub>2</sub> levels range between 1 and  $32 \times 10^{15}$  molec cm<sup>-2</sup>, with a mean VCD of  $13.3 \pm 3.1 \times 10^{15}$  molec cm<sup>-2</sup>. The overall error on the retrieved NO<sub>2</sub> VCDs is on average 23 %.

## 6 Correlative data sets

### 6.1 Mobile-DOAS observations

For Brussels and Antwerp, the APEX NO<sub>2</sub> retrievals have been compared with correlative data sets acquired by a car mobile-DOAS system. The routes strive to have a good spatial distribution by covering the city ring road, and transects to the city centre and other main NO<sub>2</sub> sources. The BIRA-IASB mobile-DOAS set-up follows the MAX-DOAS principle (Hönninger et al., 2004) and consists of a compact double Avantes spectrometer, recording scattered light spectra

simultaneously in two channels, one at zenith and one at 30° off-axis. The spectral resolution is around 1.1 nm. The telescopes, yielding a 2.6° field of view, are assembled in an optical head and mounted on the roof of the car. They are connected to the spectrometers by two 400 μm optical fibres. A GPS is used to geolocalise the measurements and the whole set-up uses the standard 12v car battery. The integration time is fixed at 5 ms and, in order to increase the SNR, spectra series are averaged to a final spectrum every 10 s. Thus, the spatial resolution of the measurements is around 138 m in the case of a car speed of 50 km h<sup>-1</sup>. Further details of the mobile-DOAS set-up and the applied retrieval approach are discussed in Merlaud (2013).

## 6.2 Mini MAX-DOAS observations

During the Brussels flight on 30 June, there was an overpass at 15:21 LT over the Uccle NDACC (Network for the Detection of Atmospheric Composition Change) candidate station (50.78° N, 4.35° E, 100 m a.s.l.), where a mini-MAX-DOAS instrument is deployed (Ma et al., 2013; Gielen et al., 2014). The station is located south of the Brussels city centre. Its location is indicated by a green dot on Fig. 17. The operating instrument, a commercial system from Hoffmann Messtechnik GmbH which has been continuously running since 2011, points towards the north (Brussels city centre) with a field of view of 0.6° and has a spectral resolution of 0.6 nm FWHM. Every 15 min, the instrument performs a full MAX-DOAS scan comprising 11 elevation angles, including a zenith measurement.

## 6.3 Correlative comparison of retrieved NO<sub>2</sub> VCDs

The APEX, mobile-DOAS and mini-MAX-DOAS observations mainly target NO<sub>2</sub> in the lower troposphere. To ensure comparability of the collocated data sets, the retrieval settings are harmonised as much as possible.

For a comparison with the mobile-DOAS data, a VCD is extracted from the generated APEX NO<sub>2</sub> distribution map for each co-located mobile measurement. Both the mobile and APEX NO<sub>2</sub> VCD time series are plotted in Fig. 20a and b, for Antwerp and Brussels respectively. Near-simultaneous observations with APEX and the mobile-DOAS system were pursued. In most cases, however, a NO<sub>2</sub> column at a certain geolocation is not sampled at exactly the same time and variability in local emissions and meteorology can lead to differences. In order to facilitate the interpretation of the NO<sub>2</sub> VCD time series, the time offset is also plotted. A positive bias implies that a certain air mass was sampled earlier by the mobile-DOAS system than by APEX:

$$t_{\text{offset}} = t_{\text{APEX}} - t_{\text{Mobile}} \quad (6)$$

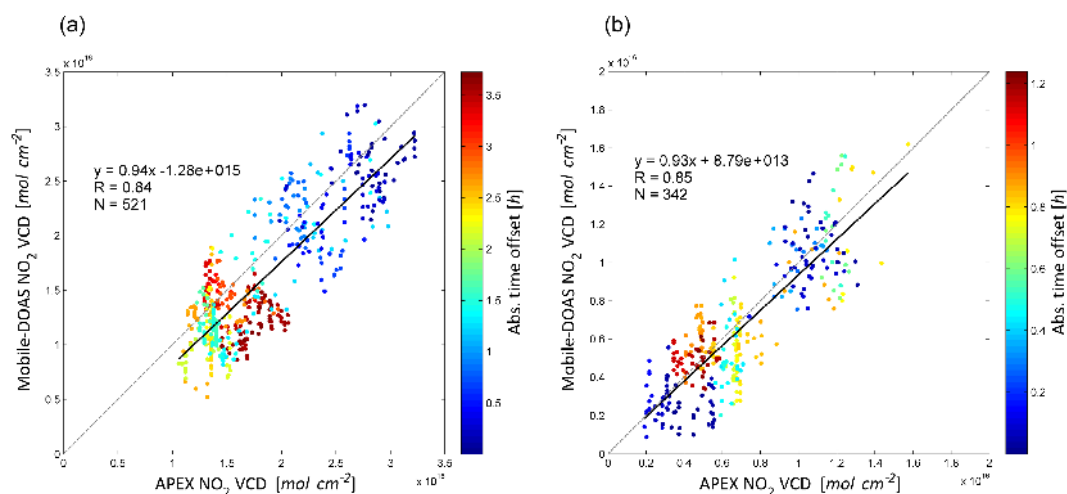
For Antwerp and Brussels, the time series are in good agreement, both for low and high VCDs. In general, higher VCDs up to  $3 \times 10^{16}$  molec cm<sup>-2</sup> are measured in Antwerp, mainly

originating from the industrial activities in the harbour area. The NO<sub>2</sub> VCDs measured in Antwerp by APEX and mobile DOAS are respectively  $1.8$  and  $1.6 \times 10^{16}$  molec cm<sup>-2</sup> on average, and in Brussels are  $6.8$  and  $6.4 \times 10^{15}$  molec cm<sup>-2</sup>. The mobile measurements are representative of the whole data set as the averages are close to the mean values for the full NO<sub>2</sub> VCD distribution maps, being  $1.7 \times 10^{16}$  and  $7.7 \times 10^{15}$  molec cm<sup>-2</sup> for Antwerp and Brussels. In general, positive biases of approximately 12 and 6 % can be observed for the APEX retrievals, for Antwerp and Brussels respectively. The larger bias for the Antwerp data set can be related to the larger time offset of up to 3 h. The impact of variability in local emissions and meteorology is subsequently larger here. The parts without mobile observations in the time series are related to car stops or traffic jams. For the Brussels flight, additional efforts were made to minimise the time offset between the mobile and APEX observations. The commercial application [www.flightradar24.com](http://www.flightradar24.com) was used for this purpose, visualising the real-time position of the plane. The time offset is less than 1 h and two overpasses can be identified which are synchronised both in space and time, one at 12:46 and one at 13:39 UTC. For both cases, the difference between the retrieved VCDs is  $1.1 \times 10^{15}$  molec cm<sup>-2</sup>.

The overpass at 13:21 UTC over the Uccle mini-MAX-DOAS station, which is synchronised both in time and location, is also plotted on Fig. 20b. As can be observed in Fig. 17, the station is located upwind of the city and other main sources, so an urban background was measured. Both measurements are in good agreement: NO<sub>2</sub> VCDs of  $5.8$  and  $6.5 \times 10^{15}$  molec cm<sup>-2</sup> were retrieved for the mini-MAX-DOAS and APEX.

Scatter plots and linear regression analysis of the APEX and mobile-DOAS NO<sub>2</sub> VCDs are given in Fig. 21a and b, for the Antwerp and Brussels data sets. In order to reduce the impact of very local emissions and sampling of the same APEX pixel, the mobile data are averaged in bins of two consecutive measurements. In total, 521 observations for the Antwerp data set and 342 for Brussels were compared. The correlation coefficients are 0.84 and 0.85 for Antwerp and Brussels respectively. Slopes are within 7 % of unity and a larger intercept can be observed for the Antwerp data set. For Brussels, we see a slight positive bias for the mobile DOAS in the case of small VCDs, while it becomes negative at higher VCDs. Efforts were made to ensure the comparability of the correlative data sets, but nevertheless the scatter can be largely explained by observation time differences in combination with variability of the NO<sub>2</sub> signal, sampling of different air masses due to the viewing geometry, differences in the sensitivity to NO<sub>2</sub>, and instrumental and algorithmic conceptual differences and related errors and uncertainties.





**Figure 21.** Scatter plot and linear regression analysis of the position-synchronised NO<sub>2</sub> VCDs, retrieved from APEX and mobile DOAS for (a) the Antwerp flight (day 105) and (b) the Brussels flight (day 181). The points are colour-coded based on the absolute time offset between APEX and mobile-DOAS observations.

## 7 Summary and conclusions

A retrieval scheme is presented to successfully infer the NO<sub>2</sub> VCD field over cities, based on the DOAS analysis of APEX level0-DC spectra. This is currently one of the few studies reporting on NO<sub>2</sub> horizontal distribution mapping at this scale and level of detail. APEX flights took place above three of the largest urban areas in Belgium, the cities of Antwerp, Liège (15 April 2015) and Brussels (30 June 2015). This study demonstrates that (1) the urban atmospheric NO<sub>2</sub> field can be mapped at high spatial resolution in a relatively short time frame, based on a systematic flight plan, (2) contributing local emission sources can be resolved, and (3) fine-scale structures within the detected plumes can be exposed. For example, Antwerp city, the harbour and the surrounding semi-rural area, covering approximately 350 km<sup>2</sup>, have been mapped in less than 90 min. A spatial resolution of approximately 60 × 80 m<sup>2</sup> is obtained, after increasing the SNR to 2500 by a 20 × 20 spatial binning, with a superior geolocation accuracy of less than 3 m. The mapped NO<sub>2</sub> field shows that hotspots of enhanced NO<sub>2</sub>, related to heavy traffic, industrial facilities, etc. can be identified and large emission sources can be distinguished.

The main sources in the Antwerp area (15 April 2015) appear to be related to (petro)chemical industry. The NO<sub>2</sub> levels range between  $3 \times 10^{15}$  molec cm<sup>-2</sup> in the west and  $35 \times 10^{15}$  molec cm<sup>-2</sup> in the east, downwind of the sources, with a VCD of  $17.4 \pm 3.7 \times 10^{15}$  molec cm<sup>-2</sup> on average. The NO<sub>2</sub> levels observed in Liège (15 April 2015) range between 1 and  $32 \times 10^{15}$  molec cm<sup>-2</sup>, with a mean of  $13.3 \pm 3.1 \times 10^{15}$  molec cm<sup>-2</sup>. The NO<sub>2</sub> levels in the Brussels area (30 June 2015) are on average 55 % lower than in Antwerp with minima and maxima of 1 and  $20 \times 10^{15}$  molec cm<sup>-2</sup>, and a mean VCD of

$7.7 \pm 2.1 \times 10^{15}$  molec cm<sup>-2</sup>. The NO<sub>x</sub> sources mainly originate from traffic and are concentrated along the R0 ring road and junctions with the key highways. In order to quantitatively assess the APEX NO<sub>2</sub> retrievals, the Antwerp and Brussels data set are compared with correlative car mobile-DOAS measurements. Both data sets are in good agreement with correlation coefficients around 0.85 and slopes close to unity. However, APEX retrievals tend to be on average 12 and 6 % higher for Antwerp and Brussels.

The APEX instrument was initially not designed for trace gas retrieval applications. Despite its outstanding spatial resolution and georeferencing when compared to other imaging systems, and the benefit of being radiometrically calibrated periodically (at least once a year), this study has revealed some limitations related to the spectral performance, i.e. spectral resolution, sampling rate and robustness of the slit function in operational conditions. The economised spectral information and discussed instabilities lead to (1) additional mandatory steps in the retrieval approach, e.g. accurate in-flight wavelength calibration. Recently a new in-flight spectral calibration algorithm based on a maximum a posteriori optimal estimation approach has been proposed by Kuhlmann et al. (2016), improving the quality of the fit. Furthermore, they lead to (2) the necessity of selecting different reference spectra, (3) a higher detection limit, and (4) higher uncertainties in the retrieval approach. The error budget assessment indicates that the overall error  $\sigma_{\text{VCD}}$  on the retrieved NO<sub>2</sub> VCDs is on average 21 %, 23 and 28 % for the Antwerp, Liège and Brussels data sets. Low VCD retrievals are mainly limited by noise (error on the DOAS fit or  $1\sigma$  slant error), while large retrievals are mainly limited by both the slant error as systematic errors, e.g. related to the AMF computations.



High-resolution quantitative information about the atmospheric NO<sub>2</sub> horizontal variability is currently rare, but can be very valuable for (air quality) studies in urbanised areas. Airborne observations of the NO<sub>2</sub> field complement and bridge the gap between local point observations of ground stations, global monitoring by spaceborne instruments and model output. Recently, new APEX flights were launched over Antwerp, Belgium as well as over Berlin, Germany. Revisiting Antwerp in the framework of the BUMBA project (Belgian Urban NO<sub>2</sub> Monitoring Based on APEX remote sensing) will (1) further improve the characterisation of the (temporal) NO<sub>2</sub> distribution in the city and (2) further consolidate the developed retrieval algorithm. The APEX flights over Berlin took place in the framework of the ESA-EUFAR supported AROMAPEX campaign, clustered in the AROMAT project (Airborne Romanian Measurements of Aerosols and Trace gases). Two additional imagers, AirMAP (Airborne imaging DOAS instrument for Measurements of Atmospheric Pollution; Schönhardt et al., 2015; Meier et al., 2016) and SWING (Small Whiskbroom Imager for atmospheric composition monitoring; Merlaud et al., 2013), were simultaneously operated from a Cessna from the Free University of Berlin, providing a unique data set for inter-comparison purposes. These new data sets are currently under analysis, taking into account the findings and lessons learned from the research described in this paper.

*Data availability.* The data are available upon request to the contact author.

*Competing interests.* The authors declare that they have no conflict of interest.

*Acknowledgements.* The Belgian Federal Science Policy Office is gratefully appreciated for funding the presented work and the BUMBA (Belgian Urban NO<sub>2</sub> Monitoring Based on APEX remote sensing) project, through the STEREO III Research Programme for Earth Observation (SR/00/310). The authors also wish to express their gratitude to the other partners of the BUMBA project, i.e. the Flemish Institute for Technological Research (VITO) and the Belgian Interregional Environment Agency (IRCEL-CELINE). The authors wish to thank Gerrit Kuhlmann and Dominik Brunner from the Swiss Federal Laboratories for Materials Science and Technology (EMPA) and Steffen Dörner of the Max-Planck-Institute for Chemistry (MPIC) for fruitful discussions.

Edited by: U. Friess

Reviewed by: G. Kuhlmann and one anonymous referee

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