HIV-1 capsids possess dynamic pores that import nucleotides with kinetic perfection

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4 During the early stages of infection, the HIV-1 capsid protects viral components from cytosolic 5 sensors, such as cGAS, and nucleases, such as TREX, while allowing access to nucleotides for 6 efficient reverse transcription¹. Here we show that each capsid hexamer has a size-selective pore 7 bounded by a ring of six arginine residues and a 'molecular iris' formed by the N-terminal β-8 hairpin. The arginine ring creates a strongly positively charged channel that recruits the four 9 nucleotides with on-rates that near diffusion limits. Progressive removal of pore arginines results 10 in a dose-dependent and concomitant decrease in nucleotide affinity, reverse transcription and 11 infectivity. This positively charged channel is universally conserved in lentiviral capsids despite 12 the fact that it is strongly destabilising without nucleotides to counteract charge repulsion. We 13 also describe a channel inhibitor, hexacarboxybenzene, which competes for nucleotide binding 14 and efficiently blocks encapsidated reverse transcription demonstrating the tractability of the 15 pore as a novel drug target.

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17 There is increasing evidence that the HIV-1 capsid remains intact as it traverses the cytoplasm of a 18 newly-infected cell. Prematurely-uncoated viruses trigger innate immune sensing², assembled capsid 19 proteins are required to properly engage the nuclear pore complex³, and intact capsids have been 20 observed at the nuclear envelope⁴. Reverse transcription has been postulated to occur within the HIV-1 21 virion during cytoplasmic transit, yet structural analyses of the HIV-1 capsid have not defined a pore 22 through which small molecules such as dNTP's might pass. One possible location for a pore would be 23 the 6-fold axis at the centre of each capsid protein (CA) hexamer but this is not evident from existing 24 hexamer structures as it is obscured by the N-terminal β -hairpin. By comparing all the available CA crystal structures with resolved β -hairpins⁵⁻¹¹, including monomeric crystal forms, we observed that the 25 26 β -hairpin can adopt alternate conformations that differ by up to 15 Å (as measured by the displacement 27 of Q7) (Fig. 1a). When reconstructed in the context of a hexamer, several of these β -hairpin 28 conformations result in a pore about the six-fold axis (Fig. 1b). The different β -hairpin conformations 29 are the result of a pivoting movement of up to 37.5° about the N-terminal proline, an essential capsid residue that forms a salt-bridge with D51¹² (Fig. 1a, Supplementary Video 1). In structures where the 30 31 pore would be open, D51 also participates in a second salt-bridge interaction with H12. Conversely, in 32 structures where the pore would be closed, including all previously solved disulfide-stabilised 33 hexamers (CA_{Hexamer}), a water molecule has displaced the H12 side-chain and coordinates a tetrahedral 34 hydrogen-bond network between H12, T48, Q50 and D51. We hypothesized that the protonation state 35 of H12 may be crucial in determining which arrangement is favored and therefore that the 36 conformation of the β -hairpin in published structures will have been influenced by the pH at which 37 they were solved. Remarkably, when the relative displacement of the β -hairpin (Q7 C α) is plotted 38 against crystallization pH the structures resolve into two groups; at pH < 7 an open pore β -hairpin 39 conformation is observed whereas at pH > 7 a closed pore conformation is favored (Fig. 1c, Extended 40 Data Table 1). The same correlation is observed when the distance between D51 and H12 is plotted

41 against pH, confirming the importance of H12 in determining β -hairpin conformation. Structures 42 solved at pH 7 display the greatest β -hairpin variability, consistent with maximum pore flexibility 43 occurring under physiological conditions. The likely reason why a pore has not been detected in 44 published CA_{Hexamer} structures is because they were solved at a basic pH where H12 is deprotonated 45 and a closed pore is favored. To test this hypothesis and demonstrate that the pore can open in the 46 context of an assembled hexamer, we sought to crystallise CA_{Hexamer} under acidic conditions. We 47 obtained a previously unreported crystal form at pH 5.5, the structure of which contains a β -hairpin in 48 the open conformation and an exposed pore (Fig. 1b).

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50 Using all available CA structures to define a range of movement for the β -hairpin, we observed that the 51 pivoting about P1 results in an iris-like motion, which creates an aperture on the outer surface of the capsid (Fig. 2a). In the open state, a chamber 25 Å deep and 3240 Å³ in volume is revealed, which 52 53 culminates in a ring of six arginine side-chains from residue 18 (Fig. 2b, Supplementary Video 2). This 54 cluster of basic residues in close proximity results in highly electropositive foci at the centre of each 55 hexamer. The R18 residues adopt multiple conformations (Extended Data Fig. 1a) to give a maximum 56 pore diameter of 8 Å, sufficient to allow transit of a dNTP molecule. We therefore reasoned that this 57 feature might provide an efficient means to recruit dNTP's into the capsid interior (Fig. 2a) whilst 58 excluding larger molecules. We therefore tested whether CA_{Hexamer} can interact with dNTP's by 59 fluorescence anisotropy and found that all four nucleotides bind with remarkably high affinity of 60 between 6-40 nM (Fig. 2c). All biophysical measurements were undertaken in an 'intracellular buffer' 61 (see Methods) that is designed to match salt concentrations in the cell. We also observed that 62 physiological concentrations of inorganic phosphate had little effect on dNTP binding and that the pore 63 could not distinguish between dNTP's and rNTP's (Extended Data Fig. 2) consistent with the observation that rNMP's are often incorporated into newly-synthesised viral DNA¹³. Analyzing the 64 kinetics of interaction by stopped-flow revealed that binding is driven by an extremely rapid on-rate of 65 $> 2x10^8$ M⁻¹ s⁻¹, although this is likely an underestimate as the reaction becomes immeasurably fast at 66 67 increasing reactant concentrations (Fig. 2d&e). Separate dissociation experiments in which fluorescent dCTP was displaced with excess unlabeled dCTP determined that the off-rate is also fast at >12 s⁻¹ 68 69 (Fig. 2f), equivalent to a half life of 58 ms. Calculation of on-rates for all four nucleotides based on 70 their steady-state affinities and off-rates confirms that HIV-1 hexamers achieve association rates 71 between 10⁸-10⁹ M⁻¹ s⁻¹. These are unusually rapid association kinetics, typically found in enzymes that 72 have achieved so-called kinetic perfection. Such ultra-rapid enzymes are rare because of the strong 73 fitness advantage needed for their selection over merely very fast equivalents¹⁴. The rapid on-rate of 74 dNTP recruitment that HIV achieves may be the result of an electrostatically assisted association 75 binding mechanism, as has been described for barnase/barstar¹⁵. Importantly, the combination of fast 76 on and off rates suggests that while the HIV-1 capsid may recruit dNTP's extremely efficiently, these 77 nucleotides quickly dissociate to become available as substrates for reverse transcription.

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79 To test our hypothesis that it is the ring of arginine residues that is responsible for nucleotide 80 recruitment, we solved the structure of HIV-1 CA_{Hexamer} in complex with dATP and found that it binds

- 81 as predicted in the center of the arginine ring via its phosphate groups (Fig. 2g). While there is electron 82 density for the phosphates, the position of the base can only be modeled, likely because hexamer 83 rotational symmetry allows the dATP base to occupy six equivalent positions, averaging its density 84 over a large volume (Extended Data Fig. 1). Comparison with a structure solved under identical 85 conditions, but in the absence of dATP, confirms that the observed density corresponds to the 86 nucleotide. To further investigate the importance of R18 in the recruitment of dNTP's, we produced 87 R18G and R18A CA_{Hexamer} mutants. Neither R18G nor R18A affected the overall structure of the 88 protein and neither displayed measurable nucleotide binding (Fig. 3a&b). To determine whether 89 formation of the arginines into a ring is required, we performed binding experiments on wild-type 90 protein in the presence of DTT, which reduces the disulphide bonds that stabilise the hexameric 91 construct, resulting in monomeric CA. No binding was observed to monomeric CA demonstrating that 92 once the pore is disassembled, the capsid can no longer recruit dNTP's (Fig. 3b).
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94 The concentration of positive charge provided by the R18 ring is an unusual feature and might be 95 expected to exert a destabilizing influence on the capsid lattice. Conversely, it has been calculated that 96 arginine pairs can stabilize protein interfaces¹⁶, while arginine clusters have been postulated to have a 97 stabilizing effect¹⁷. We performed differential scanning fluorimetry (DSF) to compare the relative 98 stability of CA_{Hexamer} with and without the electropositive pore. We observed a remarkable stability of 99 the R18G hexamer relative to wild-type complex, corresponding to a surprisingly large increase in T_m 100 of 4 °C (Fig. 3c, Extended Data Fig. 3). A similar increase in stability was observed in wild-type 101 hexamer in the presence of dNTP's, while no stabilization was observed when dNTP's were added to 102 R18G hexamer (Fig. 3d). Taken together, these results suggest that the pore is indeed a destabilising 103 feature that is tolerated by the capsid lattice in order to facilitate nucleotide binding. An alignment of 104 capsid sequences predicts an electropositive pore to be conserved across retrovirus genera, with the 105 exception of the gammaretroviruses (Extended Data Fig. 4). While there appears to be no R18-106 equivalent in gammaretroviruses, analysis of the published MLV capsid structure reveals a large 107 channel running down the six-fold axis with an inward facing Arg residue at position 3 (Mortuza et al. 108 2008). This residue may have a role in attracting dNTP's, but it is unlikely that the MLV capsid has 109 the same size-selectivity as the HIV capsid as the pore is much wider.

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111 The observation that HIV-1 has evolved the fastest possible rate constant for nucleotide recruitment 112 suggests that dNTP import may be a limiting factor in reverse transcription and infectivity. To 113 determine how the efficiency of nucleotide recruitment impacts on these measures of viral fitness, we 114 constructed a matched set of chimeric WT:R18G CA_{Hexamer} and viruses (see Extended Data Fig. 5 for 115 chimera controls). R18G was chosen as its capsid morphology has previously been demonstrated to be indistinguishable from WT¹⁹. Furthermore, R18G was able to saturate the activity of capsid-binding 116 117 restriction factor TRIM5a, confirming that assembled capsids enter the cytoplasm²⁰ (Extended Data 118 Fig. 6). We tested our chimeric hexamers for dNTP binding and found that an incorporation ratio of 5:1 119 (5 arginines to 1 glycine) had a minimal impact on affinity (Fig. 3e). However, as the proportion of 120 glycine residues was increased, there was a dose-dependent decrease in dNTP binding. Testing HIV-1

121 GFP VSV-G pseudotyped chimeric viruses for infectivity revealed a similar pattern of R18 122 dependence, in which there was little change in infectivity at a ratio of 5:1 but a dominant negative 123 effect at higher G18 ratios (Fig. 3f). Assuming a binomial distribution of arginines and glycines in viral 124 hexamers, the data fit a model in which removal of two or more arginines from the pore is detrimental 125 to the virus (Extended Data Fig. 7), which is consistent with the observation that removal of one 126 arginine has little impact on dNTP affinity. Importantly, there is close correlation between chimera 127 infectivity and nucleotide affinity, consistent with the recruitment of dNTP's impacting directly on 128 viral infection (Fig. 3g). Such a mechanism would be expected to influence infection at the level of 129 reverse transcription and indeed a similarly close correlation is observed between chimera affinity and 130 the production of early reverse transcripts (Fig 3h).

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132 We propose that reverse transcription takes place within the protected environment of the capsid by 133 recruiting nucleotides through a strongly electropositive pore at the center of each capsid hexamer. In 134 order to explore this further we performed endogenous reverse transcription (ERT) assays in which 135 HIV-1 capsid cores were purified from virions²¹ and their reverse transcriptase activity quantified in 136 vitro (Extended Data Fig. 8). Efficient strong-stop reverse transcription (the first DNA synthesis step) 137 was observed upon incubation of cores with dNTP's. Moreover, addition of DNase I, RNase A or the 138 promiscuous nuclease Benzonase failed to prevent encapsidated reverse transcription (Fig. 4a, 139 Extended Data Fig. 8e). This demonstrates that dNTP's can access the interior of the capsid but larger 140 nucleases cannot, supporting the notion of a size selective pore. Processivity beyond strong-stop was 141 observed but at lower efficiency, in agreement with published data²².

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143 If the R18 pore is responsible for dNTP import, it is conceivable that capsid mutations that affect the 144 movement of the β -hairpin may also affect the efficiency of reverse transcription. Of the residues 145 primarily responsible for the hairpin movement (Fig 1a), P1 and D51 are invariant, with mutations at these positions resulting in non-infectious particles due to defective capsid assembly¹². His12 is also 146 147 highly conserved, however, in $\sim 2\%$ of sequences it has been replaced by a tyrosine. As tyrosine is not 148 titratable over physiological pH's, we hypothesised that the H12Y mutation would result in the β -149 hairpin favouring one conformation. Solving the crystal structure of this mutant revealed that under the 150 high-pH condition, Y12 displaces the bound water molecule and makes a hydrogen-bond contact with 151 Asp51 (Fig. 4b). Despite contacting D51 directly, the larger sidechain of Y12 relative to H12 causes 152 the β -hairpin to favour the closed conformation (Fig. 4c). Importantly H12Y does not completely shut 153 the pore because residues 4-9 do not occupy a single defined state (Extended Data Fig. 9). The β -154 hairpin therefore retains a degree of flexibility despite rigidification about the P1-D51 'hinge'. 155 Nevertheless we predicted that favouring the closed conformation should result in H12Y having 156 reduced RT kinetics while retaining some infectivity and this is what we observe (Fig. 4d).

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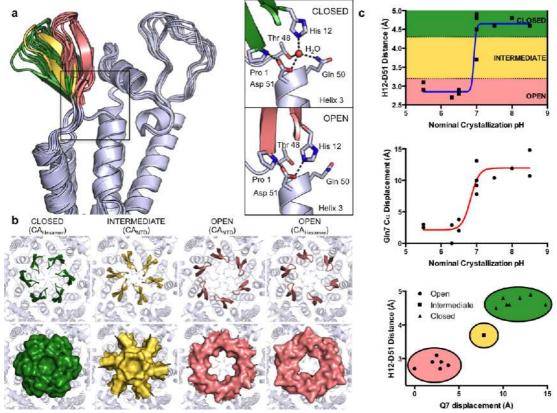
158 To provide further evidence that nucleotides are being recruited through the R18 pore to allow ERT, 159 we sought a small molecule inhibitor that would block the pore. Small polyanionic compounds have 160 been used previously to block analogous arginine-rich pores²³. We found that the hexacarboxybenzene

series (which are polyanionic at physiological pH) bound to CA_{Hexamer} and competed for nucleotide 161 162 binding as measured by fluorescence anisotropy and DSF, respectively (Fig. 4e&f, Extended Data Fig. 163 3). Activity broadly increased with the number of negative charges present within the compound, with 164 hexa- or pentacarboxybenzene being the most effective. DSF indicated that the compounds did not 165 bind in the absence of R18 or when hexamers were reduced by DTT, and the crystal structure of the 166 hexacarboxybenzene-bound CA_{Hexamer} confirmed that the compound was co-ordinated by R18 within 167 the central pore (Fig. 4f&g). At sufficiently high concentration, tetra-, penta- and hexacarboxybenzene 168 fully inhibit reverse transcriptase, presumably by competing with dNTP's (Extended Data Fig. 8f). 169 However, in ERT assays, when reverse transcriptase is enclosed within an intact viral capsid, 170 tetracarboxybenzene has no effect on reverse transcription, with only a small effect observed for 171 pentacarboxybenzene (Fig. 4h). In contrast, hexacarboxybenzene inhibited ERT almost completely. 172 The failure of tetracarboxybenzene to inhibit ERT demonstrates that a compound sufficiently small to 173 pass through the channel is still efficiently excluded from the capsid interior if it cannot bind the pore. 174 This result emphasises the chemical selectivity of the pore and its role in dNTP import during reverse 175 transcription.

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177 As a semi-permeable reaction chamber, the HIV-1 capsid is reminiscent of bacterial 178 microcompartments - primitive 'organelles' that utilize a protein coat to isolate toxic reaction 179 intermediates from the cytoplasm²⁴. Microcompartments import substrates through a size-selective 180 pore to be consumed by enzymes located inside a chamber²⁵. Similarly, dNTP's translocated inside the 181 HIV capsid will be hydrolysed by encapsidated reverse transcriptase. Coupling import with hydrolysis 182 may create a local chemical gradient, promoting interior movement despite the release of captured 183 dNTP's on either side of the pore. Appositely, a subset of microcompartments, carboxysomes, contain 184 positively charged amino acids that are thought to selectively transport bicarbonate and ribulose-1,5-185 bisphosphate over uncharged CO_2 and O_2^{26} . Some microcompartment structures have gated channels 186 located at the six-fold axes in their protein lattice, to control substrate entry and product release. The 187 fact that a similar 'gate' potentially exists in the HIV-1 capsid, provided by the 'molecular iris' of the 188 β -hairpin, suggests that the virus could use this as a mechanism to regulate reverse transcription. In 189 addition, the regulation of capsid stability through dNTP recruitment, and possibly DNA synthesis, 190 provides a model whereby HIV-1 may co-regulate DNA synthesis and uncoating to facilitate 191 cytoplasmic DNA synthesis that remains invisible to cytoplasmic DNA sensing. Finally, the high 192 degree of conservation of R18 coupled with the fact that the pore can be obstructed chemically 193 identifies the pore as a novel target for drug development.

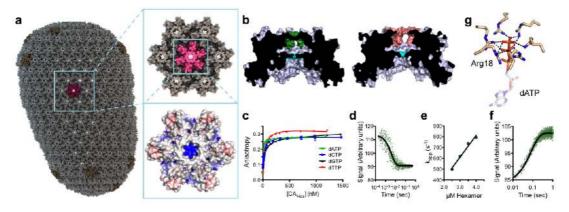
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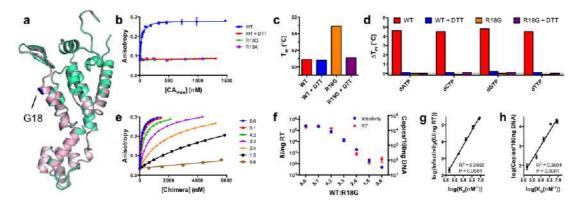
198 Figure 1: HIV-1 capsid hexamers have a pore at the center of their 6-fold symmetry axis. a, 199 Superposition of N-terminal domains from solved capsid structures (PDB IDs: 1AK4, 2GON, 2X83, 200 3H4E, 3NTE, 3P05, 4B4N and previously unpublished entries). A detailed view of the boxed region 201 shows that the β -hairpin toggles between closed (green) and open (pink) states as a result of the 202 hydrogen-bond network about P1, H12, and D51. **b**, β-hairpin (coloured) conformations dictate the 203 presence of a pore at the 6-fold axis. Hexamers of CA N-terminal domain (CA_{NTD}) structures have 204 been assembled using symmetry operators from CA_{Hexamer} structures. c, Analysis of the salt-bridge 205 between H12 and D51 and the movement of the β -hairpin as a function of crystallization pH. Acidic 206 crystallization conditions promote an open β-hairpin conformation whilst basic conditions favor the 207 closed state.

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211 Figure 2: The HIV-1 capsid pore is strongly electropositive and recruits dNTP's with rapid 212 association and dissociation kinetics. a, Model of an HIV-1 virion with hexamers in an open 213 conformation reveals that the capsid is porous. Mapping the surface electrostatic potential shows that 214 the pores are highly electropositive. **b**, Cross sections through the closed (β -hairpin green) and open (β -215 hairpin pink) CA_{Hexamer} showing a central chamber that is accessible in the open state. R18 (cyan) 216 creates a bottleneck at the base of the chamber underneath the β -hairpin. c, Fluorescence anisotropy 217 measurements of dNTP's binding to CA_{Hexamer}. d, Example of pre-steady state association kinetics of 218 dCTP with CA_{Hexamer}. e, Apparent rate constant (k_{app}) at increasing CA_{Hexamer} concentrations. f, 219 Dissociation of unlabeled dCTP:CA_{Hexamer} by excess fluorescent-dCTP. g, R18 co-ordinates the 220 phosphates in a dATP-bound CA_{Hexamer} structure.

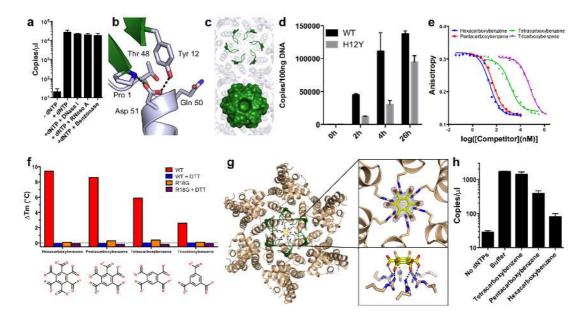
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224 Figure 3: Conserved capsid residue R18 is crucial for nucleotide recruitment, reverse 225 transcription and infectivity. a, Superposed single monomers of R18G (light-pink) and wild-type 226 (light-green) CA_{Hexamer}. **b**, Binding of capsid variants to dCTP as measured by fluorescence anisotropy. 227 c, DSF stability measurements expressed as T_m for WT and R18G ± DTT. d, DSF measurements of the 228 effect of dNTP's on the stability of WT and R18G expressed as ΔT_m relative to unbound. e, 229 Fluorescence anisotropy titrations of dTTP-binding by chimeric CA_{Hexamers} with different R:G ratios at 230 position 18. f, Comparison of infectivity and reverse transcription of chimeric viruses. g,h, Correlation 231 between HIV-1 capsid dTTP affinity, viral infectivity g and reverse transcription h.

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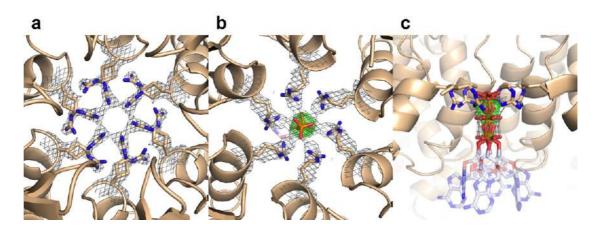




235 Figure 4: HIV-1 reverse transcription is inhibited by blockade of the capsid pore a, In vitro 236 endogenous reverse transcription measuring strong-stop transcripts. b, Residues surrounding Y12 in 237 the H12Y hexamer structure. c, Cartoon and surface representations of the β -hairpin in the H12Y 238 hexamer. d, WT and H12Y reverse transcription kinetics. e, Competition binding of carboxybenzene 239 compounds to $CA_{Hexamer}$. f, Change in wild type and R18G $CA_{Hexamer}$ T_m as measured by DSF in the 240 presence of carboxybenzene compounds. \mathbf{g} , $CA_{Hexamer}$ crystal structure in complex with 241 hexacarboxybenzene, which is co-ordinated by R18. h, Effect of carboxybenzene compounds on 242 endogenous reverse transcription.

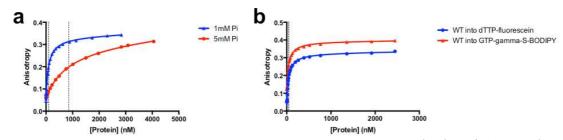
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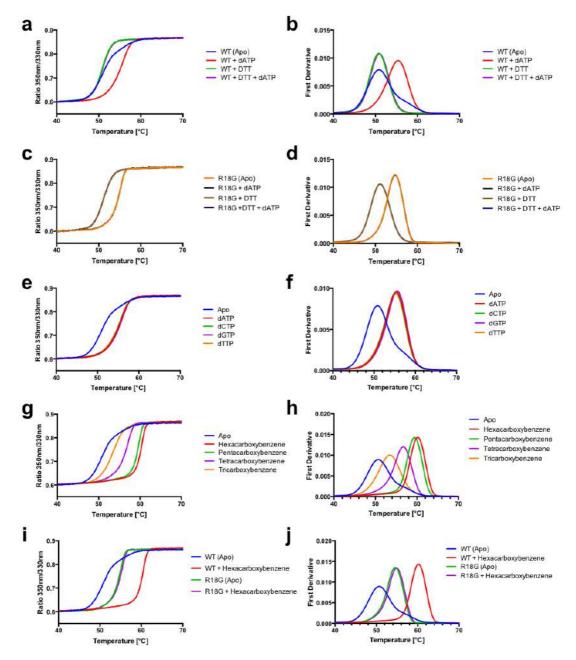


Extended Data Figure 1: dATP binds to the R18 pore at the centre of the capsid hexamer. 2Fo Fc density (grey mesh) contoured at 1.0σ about R18 for the unbound (a) and dATP-bound (b)
 CA_{Hexamer} structures. Fo-Fc omit density (green mesh) contoured at 3.0σ is shown for the dATP-bound
 structure. c, dATP lies on the crystallographic 6-fold axis and significant rotationally-averaged density

- 312 is observed only for the triphosphate group.
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 $\begin{array}{c} 314\\ 315 \end{array}$ Extended Data Figure 2: Controls for dNTP-binding experiment. a, Titration of CA_{Hexamer} into 316 2nM fluorescein-labelled dTTP in the presence of 1mM (physiological) or 5mM inorganic phosphate. 317 Under the 1 mM conditions, there is no significant effect on hexamer binding to dTTP. At 5 mM 318 apparent affinity is decreased to 851 nM, demonstrating that inorganic phosphate can compete for the 319 pore. However, given that the intracellular [dNTP] is approximately 100 μ M, under intracellular 320 conditions dNTP binding would dominate. b, Titration of CA_{Hexamer} into BODIPY-labelled rGTP-γ-S 321 and fluorescein-labelled dTTP. Each binds with the same affinity suggesting that the R18 pore is 322 unable to discriminate between ribose and deoxyribose nucleoside triphosphates. The difference in the 323 magnitude of the fluorescence anisotropy signals is due to differences in fluorophore excited state 324 lifetimes. K_D values are indicated by a dotted line.

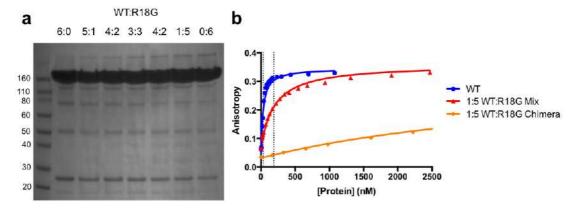


Extended Data Figure 3: DSF melt curves. The left-hand panels report the ratio of tryptophan fluorescence emission at 350nm and 330nm as a function of temperature. The right-hand panels report the first derivative of the same data, the peak of which is used to determine the T_m value. **a**, **b**, Effect of dATP and DTT on WT CA_{Hexamer}. **c**, **d**, Effect of dATP and DTT on R18G CA_{Hexamer}. **e**, **f**, Effect of each dNTP on WT CA_{Hexamer}. **g**, **h**, Comparison of the effects of carboxybenzene compounds on WT CA_{Hexamer}. **i**, **j**, Comparison of the effects of hexacarboxybenzene on WT and R18G CA_{Hexamer}.

	RSV [aipharetrovirus] JSRV [betaretrovirus] MMTV [betaretrovirus] FeLV [gammaretrovirus] MLV [gammaretrovirus] BLV [deltaretrovirus]	P A V I K T P L T T R L A D T V R K G L R S P L P H D V P V V I K T E P K L T R L A D Y R K G L R Y R K G L R Y R K G L R Y R K G L R Y R K G L R Y R K G L R Y R K G L R Y R K G C S L I R K L K L C S L P N D Y N N N N N N N N N N N N
334	HTLV_1 (deltaretrovirus) HIV-1 (lentivirus) EIAV (lentivirus)	P VM H P UGA P P N H R P W QM K D L Q A IK Q E V S Q A A P G S P Q F M Q T I R L A V Q Q F D P TA K D L Q P I V Q N L Q G Q M V H Q A I S P R T L N A W V K V V E E K A F S P E V P M F S A L S D G A T P Q D L N P I M I D G A G N R N F R P L T P R G Y T T W V N T Q T N G L L N E A S Q N L F G I L S V D C T S E E M N

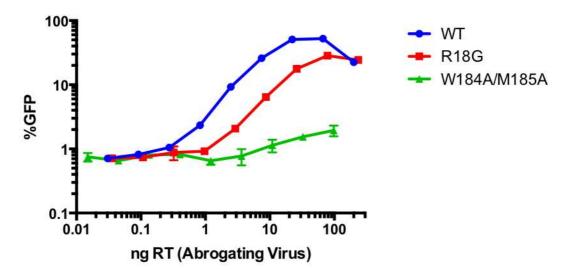
335 Extended Data Figure 4: Alignment of selected retrovirus capsid sequences bordering the

electropositive pore. The position equivalent to R18 in HIV is marked with an arrow.

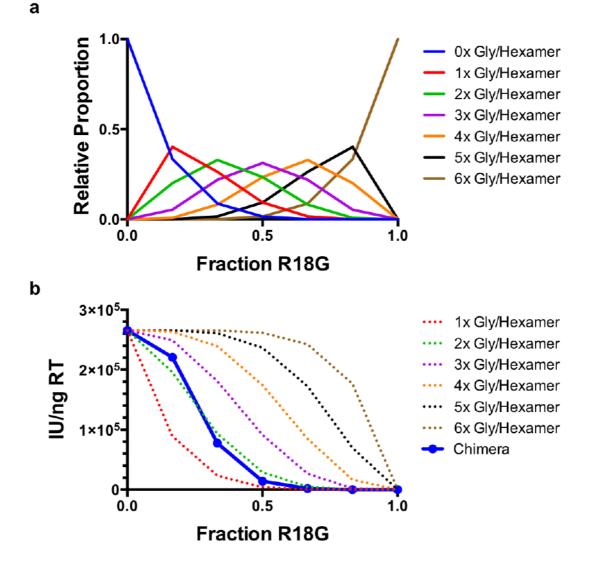




Extended Data Figure 5: Confirmation of CA_{Hexamer} Chimera Assemblies. a, Non-reducing SDSPAGE of CA_{Hexamer} WT:R18G chimera samples demonstrates that the recombinant proteins had
reassembled into hexamers. Molecular weight standards (kDa) are presented in the first lane. b,
Comparison of 1:5 homohexamer mix and the equivalent chimera. The 1:5 WT:R18G mix experiences
a six-fold loss of apparent K_d, as expected for a 6-fold dilution of WT with a non-binding mutant. In
contrast, the 1:5 chimera chimera has a 58-fold decrease in K_d, demonstrating that chimeric hexamers
had indeed formed.

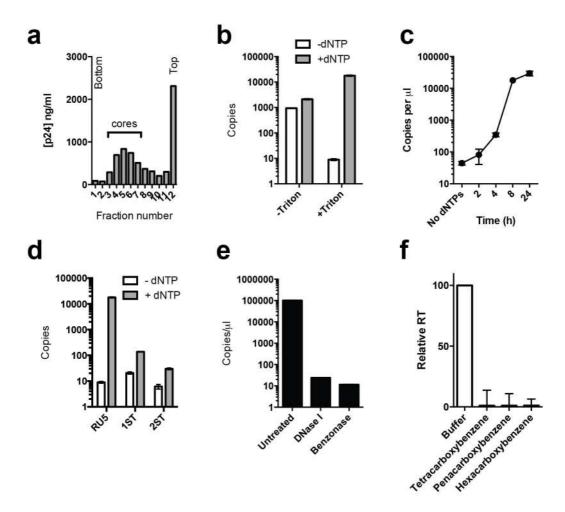


348 Extended Data Figure 6: R18G is capable of abrogating TRIM5 α -mediated restriction. Rhesus 349 TRIM5 α provides a potent block to infection of HIV in FRhK-4 cells. Titration of a non-GFP-350 expressing virus can compete for TRIM5 α -binding and relieve the restriction of a GFP-expressing 351 virus only if it delivers an assembled capsid into the cytoplasm. R18G abrogates restriction but 352 W184A/M185A, which is incapable of forming assembled capsids due to loss of the CTD-CTD 353 dimerization interface, does not.

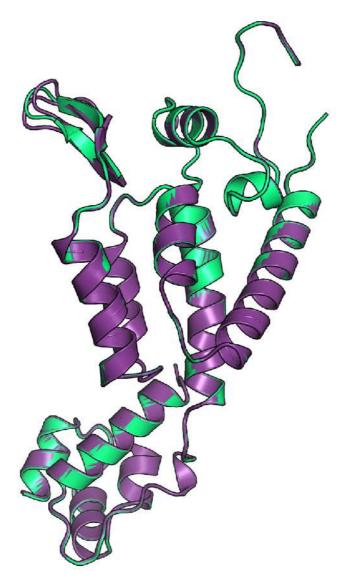




Extended Data Figure 7: Modelling the effect of progressive removal of arginines from the pore.
a, Binomial distribution model for the relative proportion of capsid hexamers carrying a discrete
number of glycines at position 18 at defined bulk ratios of WT:R18G. b, Six models (dotted lines)
predicting the effect of replacing arginine 18 with glycines. Each model assumes a different number of
glycines is required to render the pore defective. The data from WT:R18G chimeric virus
measurements (solid line) is consistent with a model in which four or more arginines (i.e. 2 or fewer
glycines, green) are required to maintain a functional pore.



367 Extended Data Figure 8: ERT assay. a, HIV-1 cores were prepared by ultracentrifugation through a 368 Triton X-100 layer over a sucrose gradient. Resulting fractions were subjected to ELISA for p24 and 369 fractions 3 - 7 were pooled for further experiments. **b**, Endogenous RT activity for strong stop in the 370 presence of DNase I using HIV-1 fractions that were prepared with or without the Triton X-100 spin-371 through layer. Input levels of p24 were normalized between reactions. c, dNTP's were added to HIV-1 372 cores prepared by Triton X-100 spin-through in the presence of DNase I. Reactions were stopped at the 373 indicated time point by shifting to -80° C and levels of strong stop were quantified. d, Levels of strong-374 stop (RU5), first-strand transfer (1ST) and second-strand transfer (2ST) DNA after overnight 375 incubation of HIV-1 cores with or without dNTP's in the presence of DNase I. e, Levels of naked HIV-376 1 DNA genomes untreated or incubated overnight with DNase I or Benzonase. f, Effect of 377 carboxybenzene compounds on recombinant reverse transcriptase activity.



Extended Data Figure 9: Comparison of WT and H12Y crystal structures. The H12Y monomer
(in the context of the hexamer, purple) superposes on the WT (green) with RMSD = 0.2471 Å.
Residues 4-9 of the H12Y structure have been modeled in two alternate conformations owing to
flexibility towards the tip of the hairpin.

Extended Data Table 1: Details of structures used for β-hairpin analysis

Molecule	Chain	Resolution	Crystallization pH	Q7 Displacement	H12-D51 distance	
5HGL	А	3.1	5.5	3	2.9	
5HGL	5HGL B 3.1 5.5		5.5	2.5	3.1	
5HGK	А	1.76	6.3	0	2.7	
5HGK	IGK B 1.76 6.3		6.3	2.9	2.7	
3NTE	А	1.95	6.5	2	2.9	
3NTE	В	1.95	6.5	3.8	2.8	
2X83	А	1.7	7	7.8	3.7	
1AK4	С	2.36	7	10	4.8	
2X83	В	1.7	7	9.2	4.5	
4B4N	А	1.81	7	13.1	4.9	
3H4E	А	2.7	7.5	10.4	4.6	
2GON	С	1.9	8	11.9	4.8	
3P05	В	2.5	8.5	14.8	4.6	
3P05	С	2.5	8.5	10.7	4.6	

388 389 Extended Data Table 2: Crystallographic data collection and refinement statistics

	CA _{ntd} (OPEN)	CA _{Hexamer} (OPEN)	CA _{Hexamer} + dATP	CA _{Hexamer} (APO, CLOSED)	CA _{Hexamer} (R18G)	CA _{Hexamer} + Hexacarboxy -benzene	CA _{Hexamer} (H12Y)
Data collection							
Space group	P21	C2221	P6	P6	P6	P6	P6
Cell dimensions							
a, b, c (Å)	43.72, 23.85, 129.55	89.69, 159.27, 249.40	90.81, 90.81, 56.68	90.73, 90,73, 56.75	90.81, 90.81, 56.88	90.76, 90.76, 56.76	90.60, 90.60, 56.93
α, β, γ (°)	90, 96.31, 90	90, 90, 90	90, 90, 120	90, 90, 120	90, 90, 120	90, 90, 120	90, 90, 120
Resolution (Å)	39.87-1.76 (1.86-1.76)	19.99-3.10 (3.27-3.10)	45.98-2.03 (2.08-2.03)	26.69-1.90 (1.94-1.90)	46.09-2.00 (2.05-2.00)	45.38-1.95 (2.00-1.95)	56.93-1.70 (1.73-1.70)
R _{merge}	0.065 (0.227)	0.094 (0.552)	0.112 (0.551)	0.121 (0.748)	0.166 (0.831)	0.105 (0.862)	0.095 (0.813)
I / σI	13.3 (5.0)	7.1 (1.9)	8.2 (1.9)	8.3 (2.1)	6.5 (1.9)	11.7 (2.1)	9.0 (2.4)
Completenes s (%)	91.9 (80.6)	97.4 (92.0)	94.9 (69.1)	99.8 (100.0)	100.0 (100.0)	97.9 (99.5)	95.1 (94.7)
Redundancy	4.6 (4.6)	2.4 (2.4)	2.9 (2.4)	5.1 (4.8)	6.0 (5.9)	6.8 (6.5)	4.8 (4.9)
Refinement							
Resolution (Å)	39.87-1.76 (1.81-1.76)	19.99-3.10 (3.18-3.10)	45.98-2.04 (2.10-2.04)	26.69-1.90 (1.95-1.90)	39.32-2.00 (2.05-2.00)	45.38-1.95 (2.00-1.95)	56.93-1.70 (1.74-1.70)
No. reflections	23837 (1552)	30312 (2044)	15488 (1084)	19999 (1468)	17285 (1246)	18188 (1347)	26370 (1949)
$R_{ m work}$ / $R_{ m free}$	0.190/0.224 (0.233/ 0.286)	0.250/0.281 (0.368/ 0.399)	0.236/0.263 (0.316/ 0.384)	0.200/0.225 (0.266/ 0.342)	0.205/0.221 (0.216/ 0.255)	0.194/0.221 (0.266/ 0.299)	0.197/0.232 (0.265/ 0.285)
No. atoms							
Protein	2284	9358	1558	1623	1605	1612	1688
Ligand/ion	1	193	30	-	-	24	-
Water	338	-	82	124	105	119	123
B-factors	05.000		04.555	00.517	0.5.5.5	00.070	0.5.500
Protein	27.928	54.173	26.577	30.265	27.732	30.878	27.523
Ligand/ion	38.516	83.117	109.040	-	-	95.409	-
Water R.m.s. deviations	24.730	-	30.031	35.719	28.567	34.088	33.604
Bond lengths (Å)	0.007	0.008	0.007	0.007	0.007	0.008	0.006
Bond angles (°)	1.222	1.156	0.991	0.998	1.046	1.281	1.009

- 390 Methods
- 391

392 Protein production and purification

393 The CA N-terminal domain and the disulfide-stabilised CA_{Hexamer} were expressed and purified as 394 previously described^{8,27}. The R18G mutation was introduced by QuikChange site-directed 395 mutagenesis. Chimeric CA_{Hexamers} were produced by mixing the desired ratio of pre-assembled WT and 396 R18G CA_{Hexamer} (16 mg/ml) followed by a four-step dialysis: i) Disassembly in TRIS (pH 8.0, 50mM), 397 NaCl (40mM), β-mercaptoethanol (20mM); ii) Reassembly in TRIS (pH 8.0, 50mM), NaCl (1 M), β-398 mercaptoethanol (20mM); iii) Oxidation in TRIS (pH 8.0, 50mM), NaCl (1 M); Redispersion in TRIS 399 (pH 8.0, 20mM), NaCl (40mM). In the context of the chimera experiments, WT and R18G were also 400 subjected to this process so that samples were matched with the other ratios. Reassembled hexamers 401 were observed by non-reducing SDS-PAGE. Chimeric hexamers were compared with mixes of 402 homohexamers by fluorescence anisotropy (see below and Extended Data Fig. 5) in order to 403 demonstrate that chimeras had indeed formed.

404

405 Crystallisation, structure solution and analysis

406 All crystals were grown at 17 °C by sitting-drop vapour diffusion in which 100 nL protein was mixed 407 with 100 nL precipitant and suspended above 80 uL precipitant. The CA N-terminal domain 408 (15mg/ml) 'open' conformation was crystallised from PEG3350 (20%), Ammonium chloride (0.2M, 409 pH 6.3). Crystals were cryoprotected in precipitant supplemented with 25% glycerol. The CA_{Hexamer} 410 (15 mg/ml) 'open conformation' was crystallised from PEG4000 (12%), NaCl (0.1M), MgCl₂ (0.1M), 411 sodium citrate (0.1M, pH 5.5). Crystals were cryoprotected in precipitant supplemented with 20% 412 MPD. The remaining CA_{Hexamer} structures (apo, dATP-bound, R18G and hexacarboxybenzene-bound) 413 were all obtained from 10-12mg/ml protein mixed with PEG550MME (13-14%), KSCN (0.15M), 414 TRIS (0.1M, pH 8.5) and cryoprotected with precipitant supplemented with 20% MPD. For the dATP-415 bound structure, the protein was supplemented with 10 mM dATP immediately prior to crystallisation; 416 while for the hexacarboxybenzene structure, the protein was likewise supplemented with 1 mM 417 hexacarboxybenzene (TRIS-buffered to pH 8.0). All crystals were flash-cooled in liquid nitrogen and 418 data collected either in-house using Cu Ka X-rays produced by a Rigaku FR-E rotating anode generator 419 with diffraction recorded on a mar345 image plate detector (marXperts), or at beamline I02 at Diamond 420 Light Source. The datasets were processed using the CCP4 program suite²⁸. Data were indexed and integrated with IMOSFLM²⁹ and scaled and merged with either POINTLESS and SCALA³⁰ or 421 AIMLESS³¹. Structures were solved by molecular replacement using PHASER³² and refined using 422 423 REFMAC5³³. Between rounds of refinement, the model was manually checked and corrected against 424 the corresponding electron-density maps in COOT³⁴. Solvent molecules and bound ligands were added 425 as the refinement progressed either manually or automatically within COOT and were routinely 426 checked for correct stereo-chemistry, for sufficient supporting density above a 2Fo-Fc threshold of 427 1.0σ and for a reasonable thermal factor. The quality of the model was regularly checked for steric clashes, incorrect stereochemistry and rotamer outliers using MOLPROBITY³⁵. Final figures were 428 429 rendered in The PyMOL Molecular Graphics System, Version 1.5.0.4 Schrödinger, LLC. Surface

430 electrostatics were calculated using the APBS PyMOL plugin³⁶ and cavity volume measurements with 431 $3V^{37}$. Data collection and refinement statistics are presented in Extended Data Table 2. The 'fullerene 432 cone' model of an HIV-1 virion is based on $3J3Q^{38}$ but using the inter-hexamer packing from $4XFY^{39}$ 433 and an open β-hairpin conformation.

434

435 Fluorescence Anisotropy

436 Fluorescence anisotropy measurements were performed at 22 °C on a Cary Eclipse Fluorescence 437 Spectrophotometer (Agilent). Fluorescein-labelled dNTP's were obtained from Perkin Elmer and used 438 for saturation binding experiments at a concentration of 2nM prepared in 'Intracellular Buffer': 439 potassium gluconate (110mM), KCl (25mM), NaCl (5mM), MgCl₂ (2mM), HEPES (10mM), final pH 440 7.2. CA_{Hexamer} disassembly was achieved by the addition of DTT (4mM), and was performed routinely 441 at the conclusion of each saturation binding experiment to confirm the absence of non-specific binding. 442 It was found that the triphosphate was not stable over the timescale of the competition binding 443 experiments; so fluorescein-labelled dNTP's were substituted for a non-hydrolysable BODIPY-labelled 444 GTP-y-S (ThermoFisher Scientific). Saturation binding experiments determined that this non-445 hydrolysable analogue bound with unchanged affinity to the $CA_{Hexamer}$ (K_d = 14nM). 200 mM stock 446 solutions of hexacarboxybenzene (Sigma), pentacarboxybenzene (MP Biomedicals), 1,2,4,5-447 tetracarboxybezene (Sigma), and 1,3,5-tricarboxybenzene (Fluka) were prepared in 50mM TRIS and 448 adjusted to pH 8.0. For competition binding experiments, the competitor was titrated into a mix of 449 CA_{Hexamer} (28nM) and BODIPY-GTP-γ-S (2nM). All fluorescence anisotropy measurements are 450 representative of at least two experiments. Each point is measured in quadruplicate and plotted as 451 mean \pm standard deviation. In many cases error bars lie within the datapoint. Saturation binding and 452 competition binding curves were fit using GraphPad Prism (GraphPad Software, Inc.).

453

454 Rapid reaction kinetics

455 Experiments were carried out using a dual-channel fluorescence TgK single-mix SF-61SX2 stopped-456 flow spectrometer. All samples were prepared in Intracellular Buffer. Mixing was performed 1:1, using 457 an excitation wavelength of 488 nm and a 520 nm cutoff filter. Association experiments were carried 458 out at 0.25 µM dCTP and a range of µM CA_{Hexamer} concentrations. Dissociation experiments were 459 carried out using 20 µM unlabeled dCTP and a pre-formed fluorescein-labeled 1µM dCTP: CA_{Hexamer} 460 complex. Relaxation rates were determined using a single exponential model: $F = \Delta F \exp(-k_{obs}t) + F_{e}$, 461 where F is the observed fluorescence, ΔF is the fluorescence amplitude, k_{obs} is the observed pseudo 462 first-order rate constant, and Fe is the end-point fluorescence. The bimolecular association rate constant 463 (k_{on}) was determined by fitting the linear relationship between k_{obs} and the increasing pseudo-first order 464 concentrations of $CA_{Hexamer}$ to: $k_{obs} = k_{on}[CA_{Hexamer}] + k_{reverse}$. For stopped flow experiments, every 0.5 s 465 measurement included >2000 datapoints, each of which was oversampled 99 times. At least three 466 independent mixing experiments were averaged for each ligand concentration.

467

468 Differential Scanning Fluorimetry

469 DSF measurements were performed using a Prometheus NT.48 (NanoTemper Technologies) over a

470 temperature range of 20-95 °C using a ramp rate of 2.5 °C/min. CA_{Hexamer} samples were prepared at a

- 471 final concentration of 1mg/ml in Intracellular Buffer (+/- DTT (4mM)). dNTP's or competitors were
- 472 added at 200 μ M. DSF scans are single reads. Consistency between like points yields an uncertainty in 473 T_m of no greater than 0.2 °C.
- 474

475 Cells and Viruses

476 Replication deficient VSV-G pseudotyped HIV GFP vectors were produced in HEK293T cells as 477 described previously³. Site-directed mutagenesis of CA was performed using the QuikChange method 478 (Stratagene) against the Gag-Pol expression plasmid, pCRV-1. Chimeric viruses were produced by 479 mixing the appropriate ratio of WT or mutant pCRV-1 prior to transfection. Reverse transcriptase 480 activity was quantified using a colorimetric ELISA assay (Roche) and was found not to vary 481 significantly between viruses. Production of mature particles was confirmed by western blot for p24 482 from pelleted virus, with no observable difference between chimeras.

483

484 Infection Experiments

485 Infections of HeLa cells were performed in the presence of 5 μ g/ml polybrene. GFP expressing cells 486 were enumerated on a BD LSRII Flow Cytometer (BD Biosciences) 2 days post-transfection after 487 fixation of cells in 4% paraformaldehyde. Chimera infectivity was determined by a 6-point titration of 488 each chimera onto HeLa cells. Values are the mean ± standard deviation calculated from all points for 489 which the proportion of infected cells after 48 h was between 1% and 50%.

490

491 TRIM5α Abrogation Assay

492 'Abrogating virus' (VSV-G pseudotyped HIV Puromycin vectors) was produced as described above, 493 with the exception that the gfp gene was replaced with the pac gene to ensure the virus did not confer 494 fluorescence upon infection. Virus was concentrated by ultracentrifugation with an SW28 rotor at 495 25,000 rpm for 2 h. The abrogating virus capsids were WT, R18G or W184A/M185A (a mutant with a 496 known assembly defect that cannot compete for TRIM5α). VSV-G pseudotyped HIV GFP vectors 497 were titrated on FRhK-4 cells in the presence of 5 μ g/ml polybrene to determine the volume of virus 498 required to achieve 1% infection. In a separate experiment, cells were then coinfected with that 499 amount HIV-GFP vector and a titration of VSV-G pseudotyped HIV Puromycin vectors (the 500 abrogating virus). GFP expressing cells were measured in duplicate and enumerated as above. Results 501 are representative of three experiments and are presented as mean \pm standard deviation. For many 502 points the error bars lie within the datapoint.

503

504 Quantitative PCR

For analysis of reverse transcription products, viral supernatant was treated with 250 U/ml DNase
(Millipore) for 1 h prior to infection. Cells were harvested 6h post infection. DNA was extracted using
DNeasy Blood and Tissue Kit (Qiagen). GFP copies were quantified using primers GFPF
(CAACAGCCACAACGTCTATATCAT), GFPR (ATGTTGTGGCGGATCTTGAAG) and probe

GFPP (FAM-CCGACAAGCAGAAGAACGGCATCAA-TAMRA) against a standard curve of CSGW
 on an ABI StepOnePlus Real Time PCR System (Life Technologies). Chimera reverse transcription
 measurements are representative of 3 experiments with each point measured in triplicate. Results are
 presented as mean ± standard deviation. For H12Y, a timecourse was also performed, in which each
 time point was measured in triplicate and presented as above.

514

515 Preparation of HIV-1 cores

516 HIV-1 capsid cores were prepared using a protocol based on²¹ with modifications. 90ml HEK293T 517 supernatant containing VSV-G pseudotyped HIV-1 GFP was pelleted over 20% sucrose dissolved in 518 core prep buffer (CPB; 20 mM Tris pH 7.4, 20 mM NaCl, 1 mM MgCl₂) in an SW28 rotor (Beckman) 519 at 25,000 rpm at 4° C. Pellets were gently resuspended at 4° C in CPB for 1 h with occasional agitation. 520 Resuspended pellets were treated with DNase I from bovine pancreas (Sigma Aldrich) for 1 h at 200 521 µg/ml at room temperature to remove contaminating extra-viral DNA. Virus was subjected to spin-522 through detergent stripping of the viral membrane as follows. A gradient at 80-30% sucrose was 523 prepared in SW40Ti ultracentrifuge tubes and overlaid with 250 µl 1% Triton X-100 in 15% sucrose, 524 followed by 250 µl 7.5% sucrose. All solutions were prepared in CPB. 750 µl DNase-treated, 525 concentrated virus was layered on top of the gradient and subjected to 32,500 rpm at 4° C for 16 h. The 526 preparation was fractionated and the location of cores was determined by ELISA for p24 (Perkin 527 Elmer). Core-containing fractions were pooled and snap frozen before storage at -80° C.

528

529 Endogenous reverse transcription assays

530 Viral cores were diluted to 400 µg/ml p24 with 60% sucrose in CPB and pre-treated with nucleases for 531 1h before addition of dNTPs, Final concentrations of dNTP's were 100 uM each, DNase I and RNase 532 A were at 100 µg/ml and Benzonase was at 250 U/ml. 20 µl reactions were incubated at room 533 temperature for 16 h unless indicated otherwise and were stopped by shifting to -80° C. DNA was 534 prepared using DNeasy Blood and Tissue kit (Qiagen) after addition of 200 µl PBS with of 50 µg/ml 535 salmon sperm carrier DNA to each sample. Reverse transcript products were detected using TaqMan 536 Fast Universal PCR Mix (ABI) and RU5 primers to detect strong stop DNA⁴⁰ (RU5 fwd 537 TCTGGCTAACTAGGGAACCCA, RU5 rev CTGACTAAAAGGGTCTGAGG and RU5 probe FAM-TTAAGCCTCAATAAAGCTTGCCTTGAGTGC-TAMRA), GFP primers to detect first strand 538 539 transfer products (described above) and primers for second strand transfer products⁴⁰ (2ST fwd 540 TTTTAGTCAGTGTGGAAAATCTGTAGC, 2ST rev TACTCACCAGTCGCCGCC and 2ST probe 541 FAM-TCGACGCAGGACTCGGCTTGCT-TAMRA). Where used, carboxybenzene compounds were 542 dissolved in CPB, pH adjusted with NaOH and added to reactions at a final concentration of 20mM. In 543 order for dNTP concentration to be limiting, these reactions were performed in the presence of 1 µM 544 each dNTP and reactions were stopped 5 h after their addition. ERT experiments were performed in 545 experimental triplicate and are representative of several experimental replicates. Data are represented 546 as mean \pm sem

548 Supplementary Video 1: Structural morph between the closed and open states of CA_{Hexamer}. On 549 the left the protein is represented in cartoon format, coloured according to secondary structure. The 550 sidechain of L6 is shown as sticks to emphasise that it is this residue that results in pore closure. On 551 the right P1, H12, T48, Q50, and D51 are represented as sticks to show that the movement of the β -552 hairpin is driven by the formation of a salt-bridge between H12 and D51. Distances shown are in 553 Ångstroms. 554 555 Supplementary Video 2: Pore opening exposes R18. Surface representation of the morph depicted 556 in Supplementary Video 1. The β -hairpin and R18 are coloured vellow and blue, respectively. 557 558 **Extended Data References** 559 560 27 Price, A. J. et al. Active site remodeling switches HIV specificity of antiretroviral TRIMCyp. 561 Nature structural & molecular biology 16, 1036-1042 (2009). 562 28 Winn, M. D. et al. Overview of the CCP4 suite and current developments. Acta Crystallogr D 563 67, 235-242 (2011). 564 29 Leslie, A. G. W. & Powell, H. R. Processing diffraction data with MOSFLM. Nato Sci Ser Ii 565 Math 245, 41-51 (2007). 566 Evans, P. R. An introduction to data reduction: space-group determination, scaling and 30 567 intensity statistics. Acta Crystallogr D 67, 282-292 (2011). 568 31 Evans, P. R. & Murshudov, G. N. How good are my data and what is the resolution? Acta 569 Crystallogr D 69, 1204-1214 (2013). 570 Mccoy, A. J. et al. Phaser crystallographic software. J Appl Crystallogr 40, 658-674 (2007). 32 571 33 Murshudov, G. N., Vagin, A. A. & Dodson, E. J. Refinement of macromolecular structures by 572 573 the maximum-likelihood method. Acta Crystallogr D 53, 240-255 (1997). 34 Emsley, P. & Cowtan, K. Coot: model-building tools for molecular graphics. Acta Crystallogr 574 D 60, 2126-2132 (2004). 575 Chen, V. B. et al. MolProbity: all-atom structure validation for macromolecular 35 576 crystallography. Acta Crystallogr D 66, 12-21 (2010). 577 Baker, N. A., Sept, D., Joseph, S., Holst, M. J. & McCammon, J. A. Electrostatics of 36 578 nanosystems: Application to microtubules and the ribosome. Proceedings of the National 579 Academy of Sciences of the United States of America 98, 10037-10041 (2001). 580 Voss, N. R. & Gerstein, M. 3V: cavity, channel and cleft volume calculator and extractor. 37 581 Nucleic Acids Res 38, W555-W562 (2010). 582 38 Zhao, G. et al. Mature HIV-1 capsid structure by cryo-electron microscopy and all-atom 583 molecular dynamics. Nature 497, 643-646 (2013). 584 39 Gres, A. T. et al. STRUCTURAL VIROLOGY. X-ray crystal structures of native HIV-1 585 capsid protein reveal conformational variability. Science 349, 99-103 (2015). 586 40 Julias, J. G., Ferris, A. L., Boyer, P. L. & Hughes, S. H. Replication of phenotypically mixed 587 human immunodeficiency virus type 1 virions containing catalytically active and catalytically 588 inactive reverse transcriptase. Journal of virology 75, 6537-6546 (2001). 589 590 591