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1 **HIV-specific T-cell responses reflect substantive in vivo interactions with infected**
2 **cells despite long-term therapy**

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42 **Abstract**

43 Antiretroviral therapies (ART) durably suppress HIV replication to undetectable levels – however,
44 infection persists in the form of long-lived reservoirs of infected cells with integrated proviruses,
45 that re-seed systemic replication if ART is interrupted. A central tenet of our current understanding
46 of this persistence is that infected cells are shielded from immune recognition and elimination
47 through a lack of antigen expression from proviruses. Efforts to cure HIV infection have therefore
48 focused on reactivating latent proviruses to enable immune-mediated clearance, but these have
49 yet to succeed in driving reductions in viral reservoirs. Here, we revisited the question of whether
50 HIV reservoirs are predominately immunologically silent from a new angle, by querying the
51 dynamics of HIV-specific T-cell responses over long-term ART for evidence of ongoing
52 recognition of HIV-infected cells. We show that T-cell responses to autologous reservoir viruses
53 persist over years, and that the maintenance of HIV-Nef-specific responses was uniquely
54 associated with residual frequencies of infected cells. These responses disproportionately
55 exhibited a cytotoxic, effector functional profile, indicative of recent *in vivo* recognition of HIV-
56 infected cells. These results indicate substantial visibility of the HIV reservoir to T-cells on stable
57 ART, presenting both opportunities and challenges for the development of therapeutic
58 approaches to curing HIV infection.

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68 **Introduction**

69 The needs for both a vaccine and a cure for HIV are underscored by the ongoing impact
70 of this global pandemic, which continues to cause close to 800,000 deaths annually (1).
71 Antiretroviral therapy (ART) is capable of durably suppressing HIV replication, and halting disease
72 progression for those able to access and adhere to these regimens. Infection persists, however,
73 in reservoirs of CD4⁺ T-cells, and potentially other cell types (2, 3) with integrated proviruses that
74 re-seed systemic replication if ART is interrupted (2, 4–9). These proviruses often exist in a latent
75 state, characterized by limited transcription and, presumably, a lack of antigen production. This
76 gives rise to one of the central tenets in the study of HIV persistence, which postulates that the
77 persistent reservoir (often called the ‘latent reservoir’) is not detected by the immune system in
78 individuals on long-term ART. It follows that engaging the immune system to reduce HIV
79 reservoirs depends upon latency reversal to re-expose the immune system to HIV antigen – the
80 so-called “kick and kill” (or “shock and kill”) strategy (10).

81 While latency undoubtedly diminishes immune recognition of viral reservoirs, several lines
82 of evidence cast doubt on whether this is absolute *in vivo*, which would implicate additional
83 contributors to viral persistence (11). Most notably, unspliced, and sometimes multiply spliced,
84 HIV transcripts are readily detectable in peripheral blood mononuclear cells (PBMCs) of
85 individuals on durable ART (12, 13). These observations have recently led some to propose
86 amendments to the “latent reservoir” model, by introducing the idea of a continuum ranging from
87 “deep latency” (no RNA produced) through to an “active reservoir” (14, 15). A key unresolved
88 question, however, is whether these transcripts result in HIV-protein production, and thus enable
89 immune recognition. Multiple factors limit the degree to which this can be inferred from direct
90 measures of *in vivo* viral expression, including sampling difficulties – given that expression may
91 be anatomically or temporally restricted – and the lack of equivalency between readily measurable

92 features (ex. viral RNA) with bonafide antigen presentation (16, 17). We therefore hypothesized
93 that some level of antigen recognition by HIV-specific T-cells may occur *in vivo* in ART-
94 suppressed individuals with undetectable viremia. We predicted that this would be reflected in
95 relationships between the long-term dynamics of HIV-specific T-cell responses and measures of
96 virologic persistence, including frequencies of infected cells.

97 Although the T-cell response to HIV infection has been generally well characterized, and
98 is known to decay rapidly in the months following ARV initiation (18–20), there are a lack of well-
99 powered studies that have addressed the long-term dynamics of these responses in association
100 with virologic parameters. In a previous cross-sectional study, we observed a modest correlation
101 between the magnitudes of T-cell responses to the HIV-Nef protein and residual frequencies of
102 infected cells, providing some initial suggestion that these responses may be maintained by
103 antigen recognition. However, a recent longitudinal study reported that, while responses were
104 highly stable on durable ART, no correlations were observed between response magnitudes and
105 reservoir size as measured by quantitative viral outgrowth assays across 18 individuals (21). The
106 current study builds upon these earlier reports by uniquely assessing T-cell response dynamics
107 over almost 3 years in association with multiple measures of viral persistence, in a cohort of 49
108 individuals on well-documented sustained ART. We first confirm that, in this cohort, T-cell
109 responses to autologous reservoir viruses are well represented by a scalable IFN- γ enzyme-linked
110 immunospot (ELISPOT) assay, and show that these responses persist over years. Strikingly, the
111 persistence of T-cell responses to the HIV-Nef protein (slopes of change) over 144 weeks were
112 strongly and uniquely associated with the frequencies of infected cells that persisted on ART (22,
113 23), and these responses disproportionately exhibited a cytotoxic effector functional profile,
114 indicative of recent *in vivo* antigen recognition (24–28). These results conclusively reveal ongoing
115 interactions between the immune system and the HIV reservoir over years of ART, with

116 implications both for understanding HIV persistence, and designing interventions aimed at curing
117 infection.

118 **Results**

119 *CD8⁺ T-cell Responses to Autologous Infected Cells*

120 We approached the characterization of CD8⁺ T-cell responses in our study with initial
121 concerns over putative limitations in conventional approaches to quantifying T-cell responses to
122 cells infected with autologous reservoir viruses. Namely, by utilizing synthetic peptides as
123 antigens, conventional approaches may: i) detect responses from T-cells that are unable to
124 recognize autologous reservoir viruses, as a result of sequence variation (13, 29); ii) not fully
125 capture the entirety of viral epitopes, which may also be expressed from cryptic reading frames,
126 or novel exon structures (23); and iii) skew representation of epitopes that are differentially
127 affected by processing in infected cells (30).

128 With the aim of more comprehensively quantifying the total ability of CD8⁺ T-cells to
129 recognize infected cells, we developed a 'biosensor assay' whereby *ex vivo* CD8⁺ T-cells were
130 co-cultured with excess HIV-superinfected autologous CD4⁺ T-cells. For each individual
131 (participant characteristics in **Table S1**), we prepared two sets of target cells infected with either:
132 i) the molecular clone of HIV, JRCSF, or ii) a cocktail of autologous reservoir viruses generated
133 by pooling the supernatants of quantitative viral outgrowth assays (**Fig. 1A & B**). Flow cytometric
134 analysis detected CD8⁺ T-cells that responded to these infected cells by degranulating (CD107a)
135 and/or producing IFN- γ , in most individuals (**Fig. 1C**). In comparing these biosensor assay
136 responses (to autologous viruses) with the total IFN- γ ELISPOT responses (summed across all
137 HIV gene products), we observed a strong correlation (Spearman $r=0.840$, $p=0.005$, **Fig. 1D**), in
138 spite of the above-noted putative limitations with using synthetic peptides. Thus, these data from
139 our 'biosensor assay' serve to not only directly demonstrate that CD8⁺ T-cell responses capable

140 of recognizing cells infected with autologous reservoir viruses remain present in individuals on
141 long-term ART, but also to show that such responses are reasonably well represented by
142 ELISPOT results, when summed across all HIV gene products.

143 *Magnitudes of T-cell Responses on Long-Term ART*

144 With the above validation in place, we leveraged the scalability of the ELISPOT assay to
145 comprehensively examine T-cell response dynamics in a larger cohort. These assays were
146 performed using overlapping 15-mer peptides spanning: i) HIV-Gag, ii) HIV-Env, iii) HIV-Pol, iv)
147 HIV-Nef/Tat/Rev, v) HIV-Tat, vi) HIV-Rev, vii) HIV-Nef, and viii) CMVpp65 (control), with samples
148 from the ACTG A5321 HIV Reservoirs Cohort Study, which consists of participants who initiated
149 ART during chronic HIV infection and had subsequent well-documented, sustained virologic
150 suppression (undetectable by clinical assay prior to and throughout the study period) (31) (**Fig. 2**
151 **and Table 1**). We previously assessed HIV-specific T-cell responses in A5321 at study entry, a
152 median of 7 (range 4-15) years after ART initiation (32). Here, we extended these results with
153 batched analysis of samples from 24 and 168 weeks after study entry. IFN- γ -producing HIV-
154 specific T-cell responses were readily detected against Gag, Pol, and Nef, with median values at
155 24 weeks: 103, 78.5, and 78.5 SFU/10⁶ PBMCs, respectively; and at 168 weeks: 87.0, 44.7, and
156 43.3 SFU/10⁶ PBMCs, respectively (**Fig. 3A&B and Table S2**).

157 Between this 24 to 168 week period, time-averaged responses against Gag were the
158 highest, and significantly greater than responses to Env, Nef, Tat, and Rev (all $p < 0.05$) (**Table**
159 **S3**). Notably, T-cell responses directed against Tat and Rev were the lowest in magnitude, and
160 negligible at both timepoints (**Fig. 3B and Tables S2 & S3**), Env-specific responses were also
161 low, with median values of 22.9 and 10.3 SFU/10⁶ PBMCs at 24 and 168 weeks, respectively
162 (**Fig. 3B and Table S2**). The long-term persistence of HIV-specific T-cell responses – primarily

163 directed against Gag, Pol, and Nef – over years of ART thus provided initial support for these
164 HIV-specific T-cells continuing to interact with persisting infected cells.

165 *Maintenance of Nef-Specific T-cells by the Reservoir*

166 To further characterize the long-term dynamics of HIV-specific T-cell responses in A5321
167 cohort participants on durable ART, we categorized participants' IFN- γ ELISPOT responses from
168 the batched 24 to 168 weeks post-study entry data as either increasing, decreasing, or not
169 changing (defined as $\leq 15\%$ change), and observed considerable heterogeneity (**Fig. S1**).
170 Notably, population-average responses to Nef, summed HIV, and CMV-pp65 did not decline
171 significantly over this 144 week time period, whereas responses to Gag, Env, and Pol all showed
172 significant declines over time (**Fig. 4A and Table S4**). However, all HIV-specific T-cell responses
173 demonstrated remarkable persistence, with the responses which showed a significant decline
174 only averaging between 0.35% to 0.62% loss per week in IFN- γ ELISPOT assays (**Table S4**).

175 To determine whether ongoing antigen recognition by HIV-specific T-cells could be
176 maintaining IFN- γ -producing HIV-specific T-cell responses, we next examined associations
177 between the slopes of change of T-cell response magnitudes between 24 and 168 weeks post-
178 study entry (based on absolute changes on a linear scale) with on-ART virologic parameters,
179 including total cell-associated HIV DNA (CA-DNA), cell-associated HIV RNA (CA-RNA), and
180 plasma HIV RNA by integrase single copy assay (iSCA). The dynamics of responses to the most
181 immunogenic antigens, Gag and Nef (33), along with summed HIV responses, were significantly
182 associated with pre-ART viral loads (**Fig. 4B and Table S5**), despite participants having been on
183 ART for over a median of 7 years when responses were first measured. Strikingly, however, the
184 slopes of change in Nef-specific responses were unique in exhibiting highly significant direct
185 associations with any on-ART virologic parameter after controlling for potential confounding by
186 pre-ART plasma viral load and pre-ART CD4⁺ T-cell count, specifically on-ART CA-DNA ($r =$

187 0.496, $p = 0.003$) and CA-RNA ($r = 0.405$, $p = 0.019$) at study entry (**Fig. 4B and Table S5**).
188 These results indicate that both higher frequencies of persistent infected cells (CA-DNA) and
189 higher levels of viral transcription (CA-RNA) were associated with greater maintenance of Nef-
190 specific responses, consistent with ongoing stimulation by infected cells. Slopes of change in HIV-
191 specific T-cell responses were not associated with PD-1 levels on total CD4⁺ or CD8⁺ T-cells (**Fig.**
192 **4B and Table S5**), but generally correlated with each other (**Table S6**). Analyzing slopes of
193 change in log₁₀-transformed T-cell response magnitudes, reflecting proportional changes in
194 responses rather than absolute changes, revealed significant associations between the dynamics
195 of Nef-specific, Nef/Tat/Rev-specific, and summed HIV-specific T-cell responses with on-ART CA-
196 DNA at study entry (all $p < 0.05$ – **Table S7**), with proportional changes in HIV-specific responses
197 generally correlating with each other (**Table S8**). Thus, whether dynamics were measured on an
198 absolute or proportional change scale, Nef-specific response persistence was uniquely
199 associated with HIV-infected cell frequencies. These results suggest that Nef-specific T-cell
200 responses are preferentially maintained by ongoing interactions with HIV-infected cells, though
201 all responses are likely maintained to some extent by ongoing HIV antigen recognition given their
202 exceptional persistence.

203 *Recent In Vivo Antigen Recognition by Nef-Specific T-cells*

204 We next investigated whether the functional properties of HIV-specific CD8⁺ T-cells would
205 yield insights into their recent histories of *in vivo* antigen encounter. Data from human studies and
206 animal models have highlighted *ex vivo* granzyme B production as a distinguishing feature of
207 virus-specific effector CD8⁺ T-cells which have recently encountered antigen *in vivo* - either
208 through infection or vaccination (24–28). While granzyme B production can be induced in memory
209 CD8⁺ T-cells, this requires more than 24 hours of *in vitro* stimulation, whereas IFN- γ is produced
210 rapidly from both memory and effector CD8⁺ T-cells (24, 34, 35). Thus, *ex vivo* ELISPOT
211 measurements of granzyme B have been established as an ‘immune diagnostic’ means of

212 identifying effector responses to active infections (34, 36). To quantify the effector functionalities
213 of HIV-specific T-cells on long-term ART, we performed batched granzyme B ELISPOT assays
214 on week 24 and 168 samples (**Fig. 5A**). We focused on the Gag, Pol, and Nef peptide pools,
215 having observed these to be the most immunogenic by IFN- γ ELISPOT. Overall, granzyme B-
216 producing HIV-specific responses were substantially lower in magnitude than IFN- γ responses
217 (**Fig. 5B, 5C and Table S2**). The median magnitudes of granzyme B responses relative to each
218 other were: Nef>Pol>Gag (at both timepoints – **Fig. 5B and Table S2**), contrasting with IFN- γ :
219 Gag>Pol~Nef (**Fig. 3B and Table S2**). As with IFN- γ , categorizing participants' granzyme B
220 responses as either increasing, decreasing, or not changing revealed heterogeneity (**Fig. S2**),
221 though proportionally there were fewer decreasing responses, and the population-average levels
222 of granzyme B responses were highly stable over time to all HIV-gene products (**Fig. 5B and**
223 **Table S4**). In contrast to IFN- γ , we did not observe any significant correlations between the slopes
224 of change of granzyme B responses with virologic measures of HIV persistence (**Tables S9 &**
225 **S11**). These results may reflect the additional complexity that whereas both IFN- γ - and granzyme
226 B-producing cells can be maintained by infected cells producing antigen, the latter are more likely
227 to also perturb the virologic measures by eliminating infected cells (37).

228 To further assess the functional profiles of HIV-specific T-cell responses, we performed
229 pairwise comparisons of granzyme B versus IFN- γ responses for each of the gene products tested
230 (**Fig. 5C**). At both timepoints, granzyme B response magnitudes to Gag, Pol, and CMV-pp65 were
231 substantially lower than IFN- γ responses (all $p < 0.05$) (**Fig. 5C**). Contrasting this, the magnitudes
232 of granzyme B versus IFN- γ responses to Nef were not significantly different from each other at
233 either timepoint ($p = 0.100$ at week 24, $p = 0.277$ at week 168). These data indicate that in addition
234 to being preferentially maintained over time, T-cell responses directed against the early HIV gene
235 product Nef disproportionately exhibit effector functional profiles, as compared to the late gene
236 products Gag and Pol (though appreciable granzyme B responses to these gene products were

237 still detected). Persistent HIV-specific granzyme B responses are indicative of recent antigen
238 encounter, supporting the hypothesis that there is *in vivo* stimulation by HIV-infected cells despite
239 suppressive ART.

240 **Discussion**

241 An important aspect of how HIV persists in individuals on long-term ART is through the
242 evasion of immune recognition, predominately thought to be achieved through the maintenance
243 of strict viral latency, with an additional aspect of anatomical sequestration. This perception that
244 the reservoir is entirely latent has begun to shift lately, in response both to a new understanding
245 of the dynamic nature of the HIV reservoir (driven by the clonal expansion of infected cells), and
246 to new insights into ongoing viral transcriptional activity on ART (15, 38). To date, however, this
247 has yet to prompt widespread re-consideration of the relationship between the HIV-specific T-cell
248 response and the HIV reservoir. The current study provides evidence which challenges the
249 prevailing model of a lack of reservoir immune surveillance, by indicating a level of ongoing
250 antigenic stimulation of HIV-specific T-cells in ART-suppressed individuals. Nef-specific T-cells
251 stood apart from those of other HIV gene products in this regard, supporting that early gene
252 products (Nef, Tat, and Rev – of which only Nef was appreciably immunogenic [as also seen in
253 other studies (21, 33)]) have lower thresholds to expression in a reactivation setting as compared
254 to late gene products (Gag, Pol, and Env), which are expressed only after a cell has built up
255 sufficient levels of Rev to drive nuclear export of unspliced and singly-spliced viral transcripts (39,
256 40). The preferential maintenance of Nef-specific T-cells was presented as a hypothesis of the
257 current study based, in part, on our previous observation that Nef-specific T-cells recognized cells
258 reactivated from an *in vitro* latency model prior to recognition by Gag-specific T-cells, or
259 detectable Gag expression (32).

260 Do our results allow for any inferences into how frequently infected cells are recognized
261 by HIV-specific T-cells *in vivo*? While numerous aspects of complexity introduce caveats to such
262 an analysis (e.g. tissue distributions), our data do allow for side-by-side comparisons between the
263 peripheral blood frequencies of infected cells with antigen-expression potential, and those of HIV-
264 specific T-cells – which may be informative. The median frequency of Nef-specific T-cells at week
265 24 of our study was $78.5/10^6$ PBMCs, whereas the median total frequency of HIV-infected cells
266 (CA-DNA) was $515.7/10^6$ CD4⁺ T-cells (at week 0), or roughly $103/10^6$ PBMCs. These infected
267 cells, however, predominately contain defective proviruses (41), many of which are likely
268 incapable of expressing antigens (42). It can therefore be reasonably estimated that, in most
269 individuals, Nef-specific T-cells are at least as frequent as infected cells with the potential to
270 express antigen. Our data indicating that the former are influenced by the latter therefore suggest
271 that antigen expression is more likely to be a common versus a rare event *in vivo*, amongst
272 infected cells with this potential. Further study is needed, however, and characterizing the clonal
273 dynamics of HIV-specific T-cells may yield additional insights.

274 Although latency almost certainly contributes to viral persistence, our findings indicating that
275 HIV reservoirs are not fully hidden from circulating cytotoxic T-cells raise the question of what
276 additional mechanisms may be at play. We first consider the role of immune escape - the process
277 by which HIV evades recognition by acquiring mutations in T-cell epitopes. Immune escape plays
278 a critical role in limiting the overall efficacy of the HIV-specific T-cell response in untreated
279 infection, and HIV reservoirs show clear evidence of past selection, in the form of extensive
280 sequence variation in known T-cell epitopes (29). However, the question at hand pertains to HIV-
281 specific T-cell responses that show evidence of being maintained by recent antigen recognition,
282 indicating that they target epitopes which are intact in at least a portion of the reservoir. Further
283 supporting this idea are the previous observations that: i) the fixation of escape mutations leads
284 to the contraction of corresponding T-cell responses (43), and ii) the substantial majority of HIV-

285 specific T-cells that remain detectable after years of ART target epitopes for which escape is not
286 fixed in corresponding reservoir viruses (44, 45). As with latency, our data do not lead us to
287 contest the idea that the fixation of escape mutations in the reservoir diminishes the overall
288 potential for immune recognition, nor the value of therapeutic strategies to address either of these
289 limitations. However, we are still left with the question of how to reconcile our findings indicating
290 an appreciable level of ongoing *in vivo* recognition of infected cells by cytotoxic (granzyme B) T-
291 cells, with the overall stability of HIV reservoir sizes.

292 We therefore draw from two recent findings in the field to propose how an HIV reservoir may
293 persist without being fully hidden from circulating cytotoxic T-cells. The first derives from the
294 recent demonstrations that the HIV reservoir is predominately composed of infected T-cells that
295 have undergone clonal expansion (46–48), with different clones dynamically ‘waxing and waning’
296 over time (48). Thus, HIV-specific T-cells may frequently eliminate infected cells, only to have
297 these replaced by clonal expansion of other reservoir-harboring cells. There have been somewhat
298 conflicting recent reports regarding this possibility – from groups that approached the question
299 from different angles - highlighting the need for further study (42, 49, 50).

300 Second, we have recently reported that reservoir-harboring cells exhibit intrinsic resistance
301 to T-cell mediated elimination (51), mediated in part by BCL-2 over-expression, which
302 antagonizes perforin/granzyme killing (52). In fact, while it has been generally assumed in our
303 field that the encounter between an antigen-expressing HIV-infected cell and a functional (ex.
304 perforin/granzyme releasing) CD8⁺ T-cell will result in elimination, this overlooks the role of the
305 target cell as an active partner in the killing process. Multiple regulatory mechanisms exist, both
306 in physiological and pathological states, by which target cells determine whether or not to undergo
307 apoptosis, despite receiving a perforin/granzyme hit (53, 54). Thus, one way to resolve our
308 findings with others in the field is to propose that the recognition of HIV-infected cells by HIV-

309 specific cytotoxic T-cells may occur with some frequency *in vivo*, but that this often does not result
310 in target cell elimination. An intriguing possibility is that the combined effects of selection, based
311 on intrinsic susceptibility to CD8⁺ T-cells, and clonal expansion of surviving cells may enable the
312 evolution of a resistant reservoir, paralleling the phenomenon of ‘immunoediting’ in cancer (11).
313 While latency reversal will likely be a critical component of curing HIV infection, our findings raise
314 the hypothesis that – in lieu of an ideal latency reversing agent – reductions in HIV reservoirs may
315 be achievable by boosting immune targeting of existing expression of early gene products (such
316 as Nef, and in a manner that targets non-escaped epitopes,) while enhancing cytotoxic function,
317 limiting clonal expansion, and addressing resistance to cytotoxic T-cells in reservoir-harboring
318 cells.

319 **Methods**

320 *Study Design*

321 For these observational studies, we evaluated participants from two separate populations. The
322 data in **Fig. 1** were collected on participants diagnosed with HIV infection recruited via
323 convenience sampling through Maple Leaf Medical Clinic in Toronto, Canada. Outliers were not
324 defined or excluded. Participants in this Toronto cohort were virally suppressed for a minimum of
325 2 years prior to a leukapheresis procedure to collect PBMCs, with no reported ART interruptions
326 or detectable viral loads by a commercial clinical assay. The objective of this first study was to
327 evaluate the total ability of participant’s CD8⁺ T-cells to recognize autologous HIV reservoir
328 viruses, and to compare these results with T-cell responses as measured by IFN- γ ELISPOT. All
329 other data for this manuscript were collected on a longitudinal cohort of participants who initiated
330 ART during chronic HIV infection in AIDS Clinical Trials Group (ACTG) trials for treatment-naïve
331 individuals, and enrolled in the ACTG HIV Reservoirs Cohort Study (A5321) (31). A5321 cohort
332 participants were recruited from 17 clinical research sites in the United States through the ACTG

333 network. IFN- γ ELISPOTs were previously performed using samples from 96 participants at
334 A5321 study entry (32), and a subset of 49 participants were selected from the original 96 for this
335 longitudinal sub-study based on sample availability. All gene products and negative controls were
336 tested in duplicate, with one replicate of PHA positive control. Assays performed under these
337 same conditions have been previously validated in other participant cohorts. Outliers were not
338 defined or excluded. Participants in the current sub-study had follow-up at least every 6 months
339 following study entry, with documented sustained viral suppression (plasma HIV RNA levels <50
340 copies/mL by commercial assays starting at week 48 on ART and at all subsequent timepoints –
341 **Fig. 2**). One participant had a large viral blip (>1,000 copies/mL) 43 weeks prior to their 168 week
342 A5321 study timepoint, and data was right-censored for this participant after the 24 week A5321
343 study timepoint. Clinical data and paired plasma and PBMC samples were available from pre-
344 ART and on ART study visits. We measured HIV levels (CA-DNA, CA-RNA, and plasma iSCA)
345 and PD-1 levels (on CD4⁺ and CD8⁺ cells) on samples obtained at A5321 study entry (median 7
346 years on ART), and plasma HIV RNA levels and CD4⁺ T-cell counts were obtained from pre-ART
347 clinical data. One participant later revoked consent for further testing and was excluded from
348 analysis. We hypothesized *a priori* that the long-term dynamics of T-cell responses to the early
349 HIV gene product Nef (measured by IFN- γ ELISPOT) would be associated with infected cell
350 frequencies.

351 *Virologic Assays*

352 HIV CA-DNA and CA-RNA were measured by quantitative PCR (qPCR) assays in PBMCs using
353 previously described methods (55). CA-DNA and CA-RNA values per million CD4⁺ T-cells were
354 calculated by dividing the total CA-DNA or CA-RNA copies/million PBMCs (normalized for CCR5
355 copies measured by qPCR as published (55)) by the CD4⁺ T-cell percentage (x 0.01) reported
356 from the same specimen date or from a CD4⁺ T-cell percentage imputed using linear interpolation

357 from specimen dates before and after the CA-DNA or CA-RNA results. Cell-free HIV RNA was
358 quantified by iSCA in blood plasma (5 mL) (56).

359 *Immunologic Assays*

360 PBMCs obtained at A5321 study entry were stained with the following monoclonal antibodies to
361 evaluate surface PD-1 expression: CD3 APC-H7, CD4 PC5, CD8 V450, PD-1 (clone M1H4) A488
362 (all from BD Biosciences, San Diego, California, USA), and Live/Dead Aqua (Invitrogen, Grand
363 Island, New York, USA). Cells were fixed in 1% paraformaldehyde, and analyzed using a BD LSR
364 Fortessa (FACSDiva) within 24 hours after staining. Lymphocytes were identified based upon size
365 and granularity. The lymphocyte population was filtered through side scatter area vs. side scatter
366 height histogram to eliminate doublets from the analysis. Single cells were analyzed using
367 Live/Dead Aqua dye exclusion and then CD4⁺ and CD8⁺ populations were defined based on dual
368 expression with CD3. These two populations were plotted against PD-1. Fluorescence minus one
369 (FMO) controls were used to define the PD-1⁺ T-cell populations.

370 *Quantitative Viral Outgrowth Assay (QVOA)*

371 Quantitative Viral Outgrowth Assays (QVOA) were performed as previously described (57).
372 Briefly, CD4⁺ T-cells were isolated from PBMCs by negative selection (Easysep, Stemcell
373 Technologies) and plated in serial dilution at either 4 or 6 concentrations (12 wells/concentration,
374 24-well plates). CD4⁺ T-cells were stimulated with phytohemagglutinin (PHA, 2µg/mL) and
375 irradiated allogeneic HIV-negative PBMCs were added to further induce viral reactivation. MOLT-
376 4/CCR5 cells were added at 24 hours post-stimulation as targets for viral infection. Culture media
377 [RPMI 1640 + 10% FBS + 1% Pen/Strep +50U/mL IL-2 + 10ng/mL IL-15 (R10-50-15)] was
378 changed every 3 days and p24 enzyme-linked immunosorbent assay (ELISA, NCI Frederick) was
379 run on day 14 to identify virus-positive wells. Infectious Units per Million CD4⁺ T-cells (IUPM)
380 values were determined using the Extreme Limiting Dilution Analysis (ELDA) software

381 (<http://bioinf.wehi.edu.au/software/elda/>) (58). Culture supernatants from virus-positive wells were
382 frozen (-80°C) for future use.

383 *CD8⁺ T-cell Biosensor Assay*

384 Activation of CD4⁺ T-cell Targets: CD4⁺ T-cells were enriched by negative selection (Easysep,
385 Stemcell Technologies), typically starting from 200x10⁶ PBMCs per study participant. These cells
386 were stimulated with 10µg/ml of anti-CD3 (OKT-3) and anti-CD28 (28.2) antibodies (Ultra-LEAF™,
387 Biolegend) in R10-50-15 for 48 hours. Infections: Cells were then washed, and split into 3 equal
388 aliquots (~4x10⁶ cells each) for infection with either: i) JRCSF ii) autologous virus or iii) mock
389 (nothing). The autologous virus stock was generated by pooling equal volumes of all p24⁺ QVOA
390 wells from that study participant, while the JRCSF stock was generated by transfection of 293T
391 cells with plasmid. All viruses were titrated on TZM-bl cells, and used at a MOI of 0.4. After a 2
392 hour infection period at 37°C 5% CO₂, cells were washed and then cultured for 48 hours in R10-
393 50. Levels of infection were monitored every 24 hours by surface staining small aliquots with anti-
394 CD3 Brilliant Violet 785™, CD4 Pacific Blue™ (Biolegend), then permeabilizing (BD
395 Cytofix/Cytoperm™) and staining intracellularly with anti-HIV-Gag Kc57-RD1 (Beckman Coulter),
396 and analyzed on a BD LSRFortessa™ flow cytometer. Infections were harvested for co-culture
397 with CD8⁺ T-cells, when they reached 2-4% Gag⁺ within the CD3⁺ gate.

398 Co-culture with CD8⁺ T-cells: CD8⁺ T-cells autologous to these CD4⁺ targets were enriched on
399 the day of co-culture from freshly a thawed aliquot of 100x10⁶ PBMCs by negative selection
400 (Easysep™, Stemcell Technologies). Infected and mock-infected CD4⁺ T-cells were washed 5x
401 and then co-cultured with CD8⁺ T-cells at ratios of 5 CD8⁺ T-cells to 1 CD4⁺ T-cell, at a total
402 concentration of 5x10⁶ cells/mL in RPMI 1640 + 10% FBS + 1% Pen/Strep +50U/mL IL-2 (R10-
403 50) with 1/100 anti-CD107a-PE antibody (Biolegend) and 1/1,000 Monensin GolgiStop™ (BD).
404 Cells were incubated for a total of 6 hours, with mixing by pipetting every 30 minutes (to facilitate

405 contacts between antigen-specific CD8⁺ cells and targets). Staining and Analysis: Cells were
406 surface stained with anti-CD3 Brilliant Violet 785™, CD4 Pacific Blue™, CD8 Alexa Fluor® 700,
407 and LIVE/DEAD™ Fixable Aqua dye (Thermofisher). Cells were then washed, permeabilized (BD
408 Cytofix/Cytoperm™), stained intracellularly with anti-HIV-Gag Kc57-RD1 (Beckman Coulter), and
409 analyzed on a BD LSRFortessa™ flow cytometer.

410 *Peptide Pools*

411 The following sets of consensus HIV clade B 15 amino acid peptides (overlapping by 11 amino
412 acids) were supplied by the NIH AIDS Research and Reference Reagent Program: Gag (cat #
413 8117), Env (cat # 9480), Pol (cat # 6208), Tat (cat # 5138), Rev (cat # 6445), and Nef (cat # 5189).
414 All peptides were dissolved at 5mg/mL in 12.5% DMSO (Corning), and 87.5% PBS (Gibco).
415 Peptides were pooled into whole gene product peptide pools and adjusted to a final concentration
416 of 20µg/mL/peptide in PBS. A CMV-pp65 PepMix peptide pool (JPT Peptide Technologies) was
417 dissolved separately in DMSO and adjusted to a final concentration of 20µg/mL/peptide in PBS.

418 *IFN-γ and Granzyme B ELISPOT Assays*

419 Multi-screen IP 96-well PVDF plates (Millipore) were either directly coated with 100µL/well of PBS
420 + 0.5µg/mL primary anti-human IFN-γ antibody (clone 1-D1K, Mabtech) overnight at 4°C, or first
421 primed with 20µL of 35% EtOH/well, and immediately washed 6x with 200µL ddH₂O and then
422 coated with 100µL/well of PBS + 15µg/mL primary anti-human granzyme B antibody (clone GB10,
423 Mabtech) overnight at 4°C. Granzyme B plates were washed 6x with 200µL PBS and blocked
424 with RPMI 10% FBS (Gibco) ('R-10') at 37°C 5% CO₂. PBMCs were thawed and resuspended in
425 R10 and added to plates at 100,000-200,000 cells/well. HIV peptide pools (20µg/mL/peptide)
426 were added at 10µL/well for a final concentration of 1µg/mL/peptide in <0.5% DMSO. CMV-pp65
427 peptide pools were added at 10µL/well for a final concentration of 1µg/mL/peptide in <0.5%
428 DMSO. PHA was dissolved in DMSO and PBS to 200µg/mL, and then added to a final

429 concentration of 1µg/mL as a positive control. 0.5% DMSO in PBS and R-10 media were used as
430 negative controls. Plates were incubated for 18 hours at 37°C with 5% CO₂. Plates were washed
431 6x with 200µL PBS. Biotinylated secondary IFN-γ antibody (clone 7-B6-1, Mabtech) at 0.5µg/mL
432 in PBS, or biotinylated secondary anti-granzyme B antibody (clone GB11, Mabtech) at 1.0µg/mL
433 in PBS was added to the plates to a final volume of 100µL and incubated for 1 hour in the dark.
434 Plates were then washed 6x with PBS and 0.5µg/mL of Streptavidin-ALP (Mabtech) was added
435 to IFN-γ plates at 100µL/well, and 1µg/mL of Streptavidin-ALP (Mabtech) was added to granzyme
436 B plates at 100µL/well and incubated for 1 hour. Plates were washed 6x with PBS and then color
437 development substrate solution: 10.6mL of ddH₂O, 400µL 25x AP Color Development Buffer
438 (Biorad), 100µL AP color reagent A (Biorad), and 100µL AP color reagent B (Biorad) was added
439 to the plate at 100µL/well for 15 minutes. After removal of the color development substrate
440 solution, 0.5% of Tween-20 in PBS was added at 100µL/well for 10 minutes. Plates were then
441 washed with water, and left overnight to dry. Plates were counted using Immunospot S6 Ultimate
442 Analyzer and ImmunoSpot software (Cellular Technology Limited).

443 *Statistics*

444 Statistical analyses including univariate statistics and Spearman *r* correlations and partial
445 correlations (adjusting for potential confounders) were conducted in SAS University Edition.
446 Slopes of change in **Fig. 4B** and **Tables S5-S6 and S9-S10** were calculated based on absolute
447 changes on a linear scale between weeks 24-168 post-A5321 study entry, excluding participants
448 who had a change from 0 magnitude to 0 magnitude. Analyses for **Tables S7-S8 and S11-S12**
449 used slopes of change calculated based on proportional changes on a log₁₀ scale between weeks
450 24-168 post-A5321 study entry, excluding participants who had a change from 0 magnitude to 0
451 magnitude; slopes reflecting a change from 0 magnitude to a non-zero magnitude were analyzed
452 as the highest rank, and slopes reflecting a change from a non-zero magnitude to 0 magnitude
453 were analyzed as the lowest rank. Statistical analyses including one-way ANOVA and Wilcoxon

454 signed-rank tests were conducted in GraphPad Prism v.8.0. Plots for figures were made in
455 GraphPad Prism v.8.0 and SAS University Edition. A custom code was generated in MATLAB
456 v.9.7 to produce the correlogram in **Fig. 4B**. All linear mixed-effects models were conducted using
457 the R 'lme4' package (59), with random intercepts only or both random intercepts and random
458 slopes on the participant level modeled for the random effects, as assessed by significantly
459 improved model fit when random slopes were included (using the R ANOVA function to compare
460 models); multiple comparisons were made where indicated using the R 'multcomp' package (60),
461 adjusting for multiple comparisons using Tukey's all-pair method. Linear mixed-effects models
462 used \log_{10} -transformed response data, treating zero-valued responses as missing data.
463 Imputation was not used to address missing data, as the degree of missingness was low. All
464 statistical tests were two-sided, $\alpha=0.05$.

465 *Study Approval*

466 Ethics oversight for part 1 of this study, for participants from Maple Leaf Medical Clinic, was
467 provided by The George Washington University under IRB protocol #021750. Participants were
468 recruited via convenience sampling by research staff at Maple Leaf Medical Clinic during routine
469 care visits; prospective participants were provided a verbal description of the research and a copy
470 of the informed consent form, which detailed the study's objectives, risks, and benefits. For part
471 2 of this study, each ACTG A5321 clinical research site had the A5321 protocol and consent form,
472 and its relevant parental protocols and consent forms, approved by their local IRB, as well as
473 registered with and approved by the Division of AIDS (DAIDS) Regulatory Support Center (RSC)
474 Protocol Registration Office, prior to any participant recruitment and enrollment. Once a
475 participant for study entry was identified, details were carefully discussed with the prospective
476 participant by clinical staff at the site. The participant (or, when necessary, the parent or legal
477 guardian if the participant was under guardianship) was asked to read and sign the ACTG-
478 approved protocol consent form.

479

480 **Author contributions:** RBJ designed the study. EMS, ARW, RT, AST, SHH, TRD, ST, JKB,
481 TMM, AD, GQL, AG, PK, WDCA, JCC, and BM performed experiments. EMS, ARW, RT, ST,
482 SHH, JKB, CML, RJB, BM, JCC, and JWM analyzed data. RTG, DKM, JJE, and JWM provided
483 participant data. EMS, ARW, and RBJ wrote the manuscript. All authors contributed to the
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506

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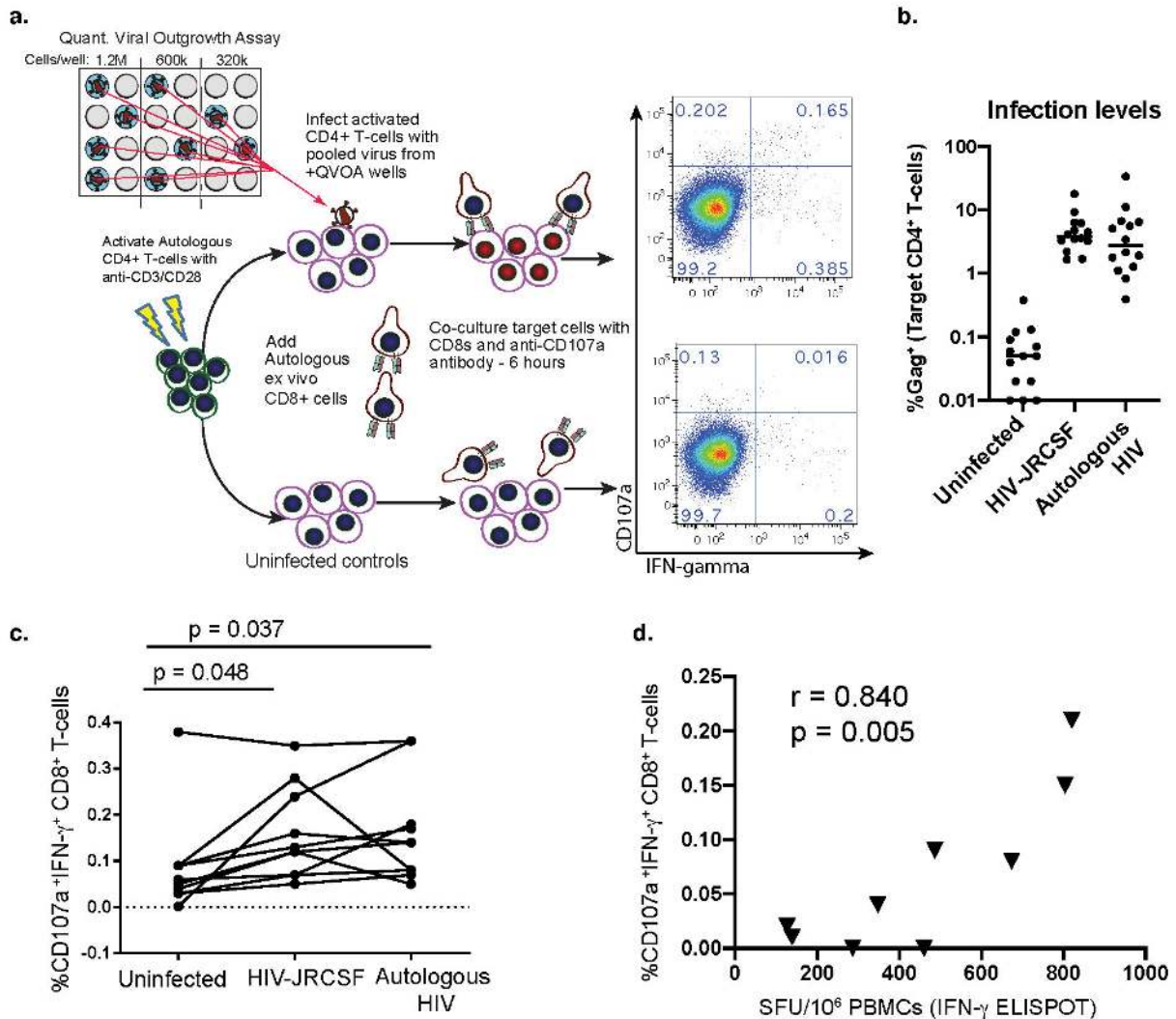
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674 **Figures and figure legends**



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676 **Fig. 1. CD8⁺ T-cell responses to virus-infected cells can be detected ex vivo, correlating**
 677 **with ELISPOT responses. A.** Schematic of ‘biosensor assay’. Top-right: Flow cytometry plot
 678 gated on CD8⁺ T-cells co-cultured with infected CD4⁺ T-cells. Bottom-right: Uninfected control. **B.**
 679 CD4⁺ target cells’ infection levels from all assays, measured by flow cytometry. **C.** Flow cytometry
 680 data depicting %CD107a⁺IFN- γ ⁺ of viable CD8⁺ T-cells, each line representing a different
 681 participant (means from 3 replicates). P-values calculated by RM one-way ANOVA with Dunnett’s

682 multiple comparison test. **D.** Spearman's correlation between background (uninfected) subtracted
683 responses to autologous HIV (as in **C**), and summed IFN- γ ELISPOT responses to HIV proteome.

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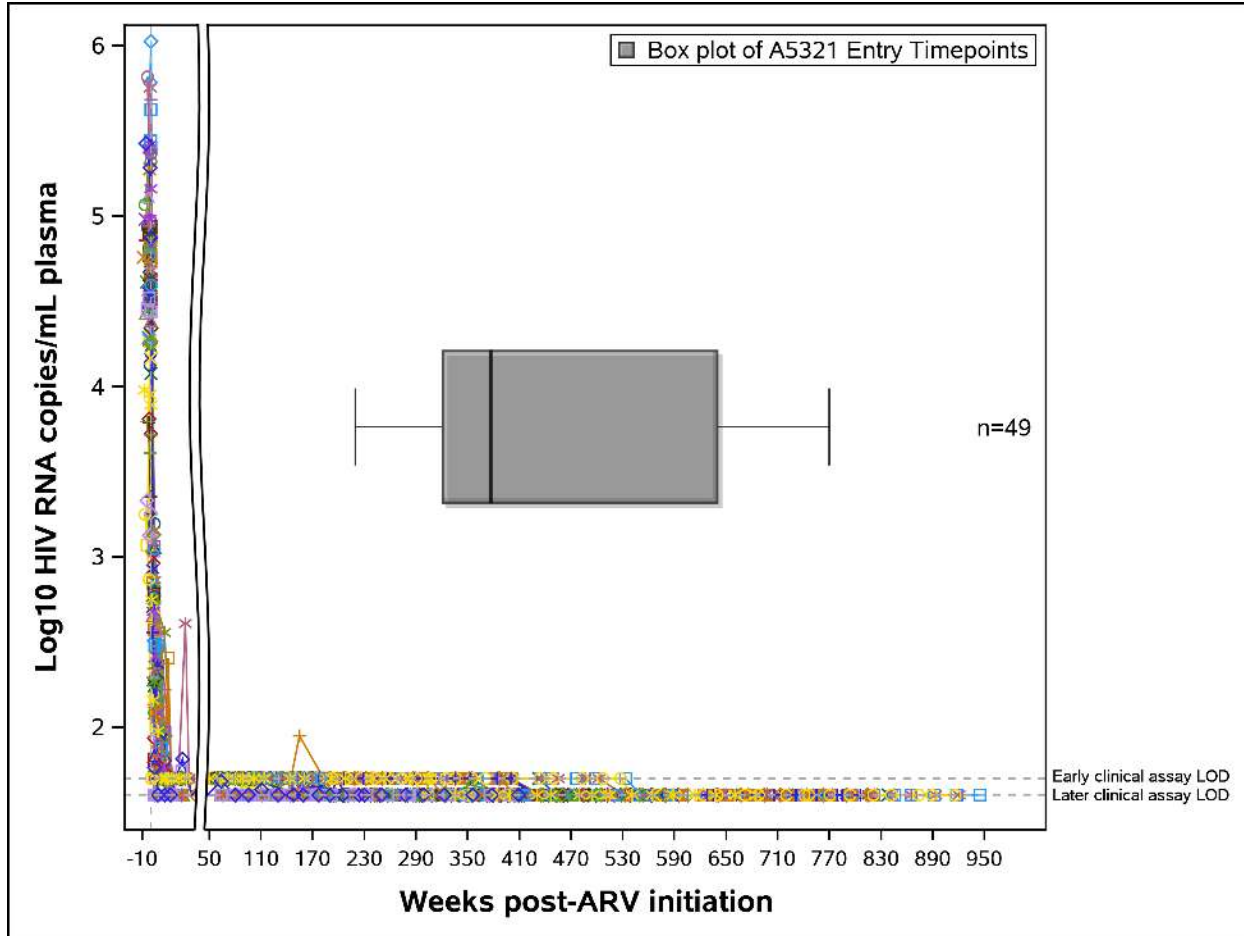
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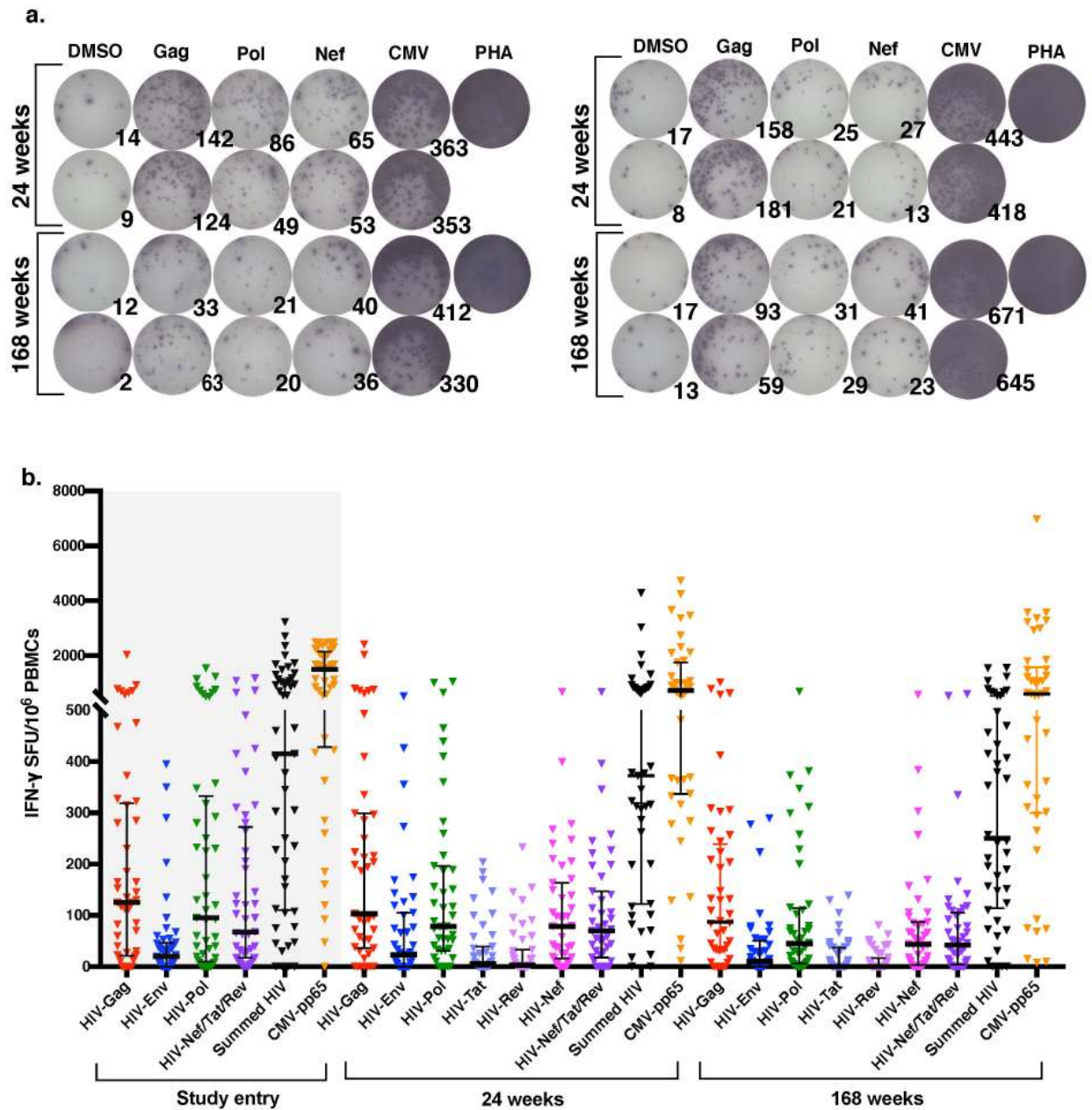
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709 **Fig. 2. ACTG A5321 Cohort participants achieved viral suppression prior to study entry**
710 **and maintained viral suppression throughout the study period.** Log₁₀ plasma HIV RNA
711 (copies/mL) by clinical commercial assays for ACTG A5321 Cohort study participants included
712 in this longitudinal sub-study, followed from pre-ART initiation (ART initiated in other ACTG
713 trials) through to the A5321 study 168 week timepoint. Limit of detection (LOD) for early clinical
714 assays was 50 copies/mL, and for later clinical assays 40 copies/mL. Colored lines represent
715 individual participants (n=49), with symbols indicating each clinical viral load measurement. X-
716 axis break shows time post-ART initiation when all participants achieved initial viral suppression.
717 Box plot shows the distribution of participants' A5321 study entry timepoints relative to weeks
718 post-ART initiation (minimum, Q1, median, Q3, maximum).



719

720 **Fig. 3. HIV-specific T-cell responses readily detectable ex vivo and persist on long-term**
 721 **ART, primarily directed against HIV-Gag, HIV-Pol, and HIV-Nef. A.** Representative IFN- γ
 722 ELISPOT results for two participants for both timepoints, with 2×10^5 PBMCs/well. **B.** Magnitudes
 723 of IFN- γ responses are shown for three on-ART timepoints. Study entry timepoint data is shaded

724 in gray because it was not performed in batch with 24 and 168 weeks timepoints. Each data point
725 represents the mean spot forming units (SFU)/10⁶ PBMCs following background subtraction of
726 negative control wells (duplicates). Vertical lines and error bars represent median and interquartile
727 range for each peptide pool.

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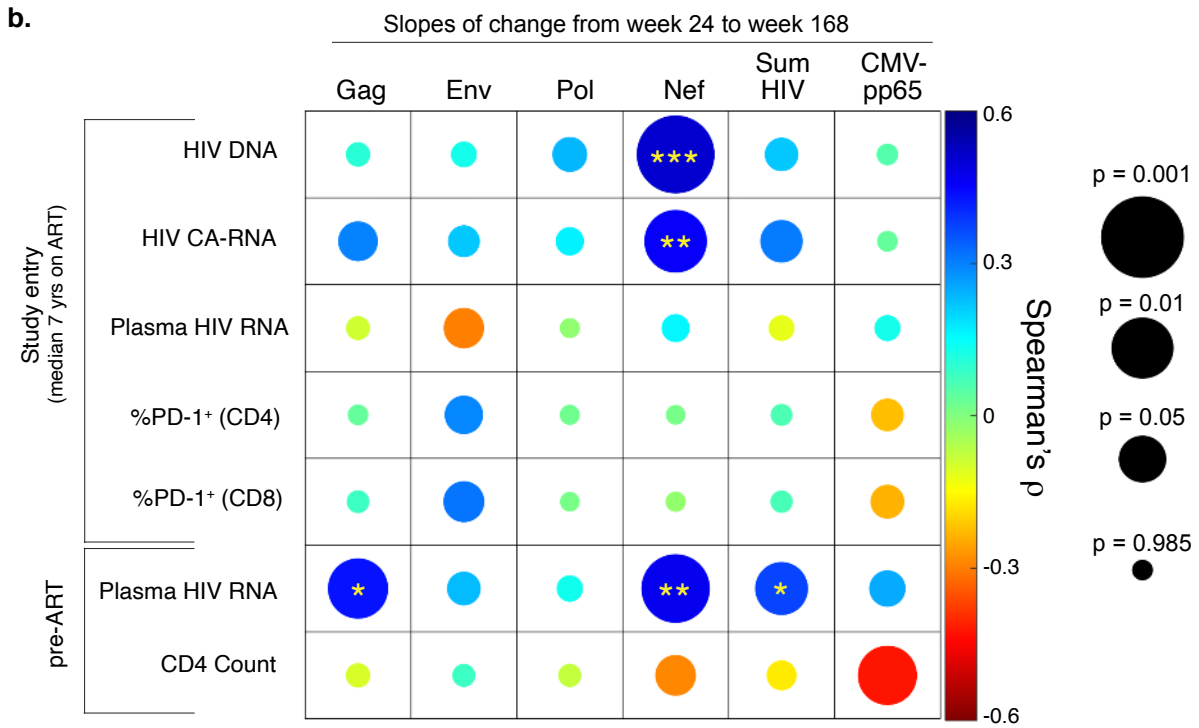
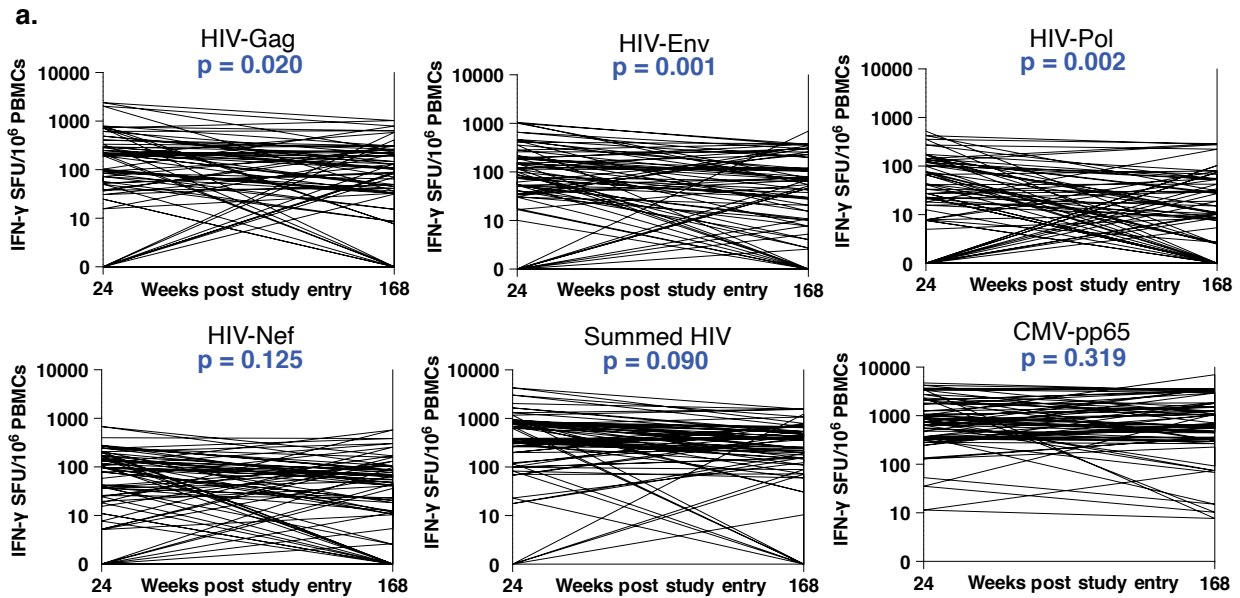
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751 **Fig. 4. HIV-specific T-cell responses highly stable on long-term ART, with HIV-Nef-specific**
 752 **response dynamics uniquely associated with reservoir measures. A.** Participant-specific
 753 slopes of change in T-cell responses from weeks 24 to 168 post-study entry. P-values represent

754 the significance level for the covariate time (in weeks) in linear mixed-effects models from **Table**
755 **S4. B.** Correlogram depicting Spearman correlations between slopes of change in raw
756 magnitudes of T-cell responses (from panel **A**) with virologic and immunologic parameters. Color
757 scale bar represents magnitude of correlation coefficient. Circle size represents unadjusted p-
758 values. Asterisks represent adjusted p-values controlling for pre-ART plasma HIV RNA and CD4⁺
759 T-cell count (* <0.05, ** <0.01, *** <0.001).

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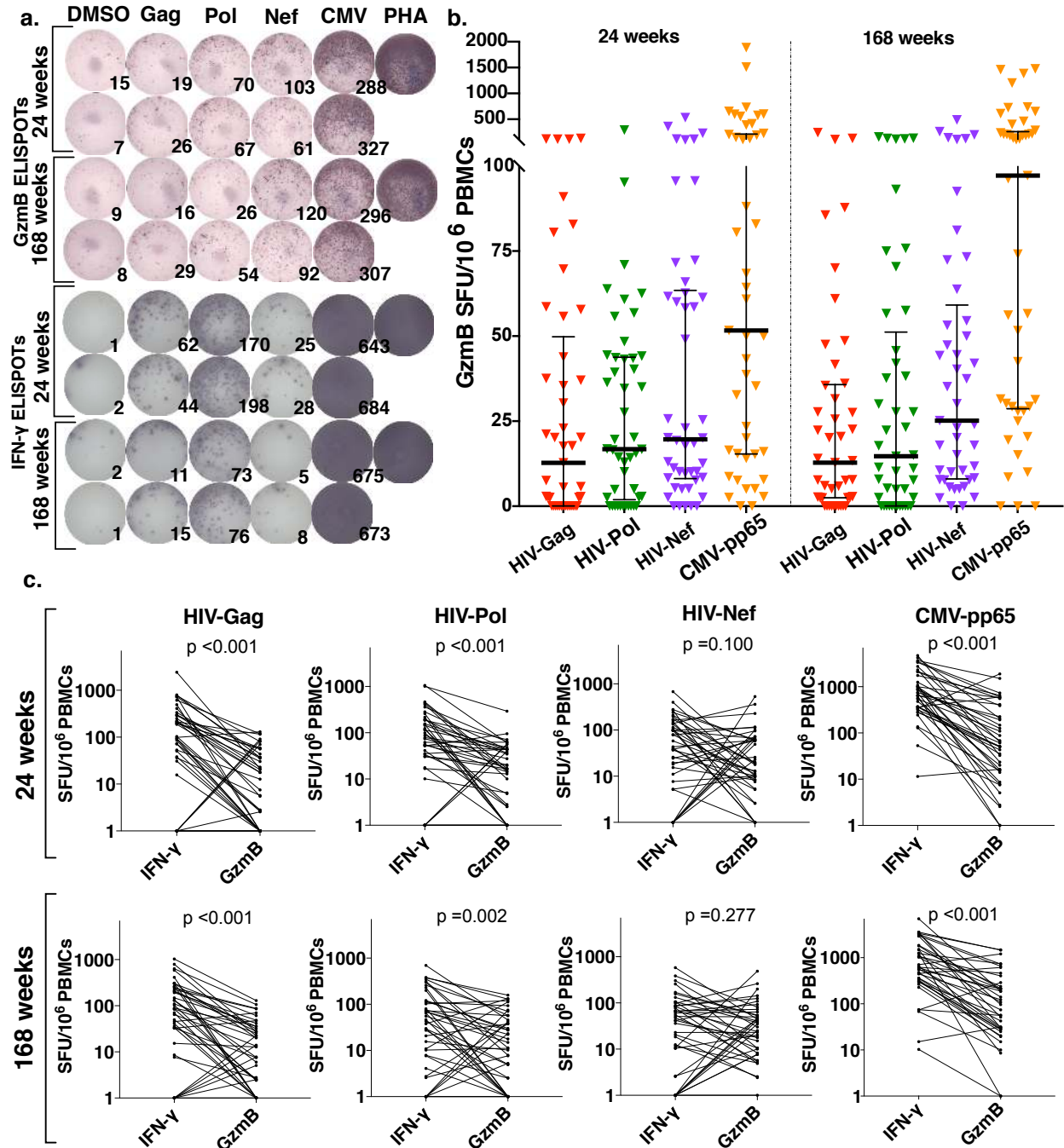
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781 **Fig. 5. HIV-specific T-cells demonstrate cytotoxic ability, preferentially directed towards**

782 **HIV-Nef, evidencing recent *in vivo* antigen exposure. A.** Corresponding granzyme B and IFN-

783 γ ELISPOT results for one participant at both timepoints, with 2×10^5 PBMCs/well. **B.** Magnitudes

784 of granzyme B responses are shown for two batched on-ART timepoints. Each data point

785 represents the mean number of SFU/10⁶ PBMCs following background subtraction of mean of

786 negative control wells. Vertical lines and error bars represent median and interquartile range for
787 each peptide pool. **C.** Pairwise comparisons of granzyme B versus IFN- γ responses for Gag, Pol,
788 Nef, and CMVpp65 at both timepoints. P-values calculated by Wilcoxon matched pairs signed-
789 rank test.

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811 **Tables**

Table 1. Demographic, virologic, and immunologic characteristics of longitudinal sub-study participants

Continuous Variables	Median	Range		Missing		Categorical Variables	n	%
		Lower	Upper	n	%			
Age at A5321 entry (years)	48	23	74	0	0.00%	Sex		
Years on ART at A5321 entry	6.6	4.2	14.8	0	0.00%	Female	11	22.45%
HIV CA-DNA at A5321 entry (cps/10 ⁶ CD4+ T-cells)	515.7	5.2	5494.0	0	0.00%	Male	38	77.55%
HIV CA-RNA at A5321 entry (cps/10 ⁶ CD4+ T-cells)	24.2	13.6	898.9	2	4.08%	Race/Ethnicity		
HIV plasma RNA via iSCA at A5321 entry (cps/mL) ^A	0.4	0.4	8.8	3	6.12%	American Indian/Alaskan Native	1	2.04%
%PD-1+ CD4+ cells at A5321 entry	36.75%	1.20%	83.10%	5	10.20%	Black (non-Hispanic)	5	10.20%
%PD-1+ CD8+ cells at A5321 entry	35.40%	0.70%	84.90%	5	10.20%	Hispanic (regardless of Race)	16	32.65%
Pre-ART plasma HIV-1 RNA (log ₁₀ cps/mL)	4.6	2.3	5.9	0	0.00%	White (non-Hispanic)	27	55.10%
Pre-ART CD4+ T-cell count (cells/mm ³)	287.5	15.5	708.5	0	0.00%	iSCA qualifier at A5321 entry ^A		
						Undetectable	22	44.90%
						Detectable	27	55.10%

^AiSCA assay limit of detection 0.4 copies HIV per mL plasma

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