

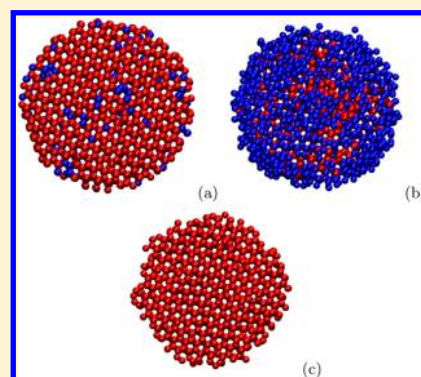
# Homogeneous Ice Nucleation at Moderate Supercooling from Molecular Simulation

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**S** Supporting Information

**ABSTRACT:** Among all of the freezing transitions, that of water into ice is probably the most relevant to biology, physics, geology, or atmospheric science. In this work, we investigate homogeneous ice nucleation by means of computer simulations. We evaluate the size of the critical cluster and the nucleation rate for temperatures ranging between 15 and 35 K below melting. We use the TIP4P/2005 and the TIP4P/ice water models. Both give similar results when compared at the same temperature difference with the model's melting temperature. The size of the critical cluster varies from ~8000 molecules (radius = 4 nm) at 15 K below melting to ~600 molecules (radius = 1.7 nm) at 35 K below melting. We use Classical Nucleation Theory (CNT) to estimate the ice–water interfacial free energy and the nucleation free-energy barrier. We obtain an interfacial free energy of 29(3) mN/m from an extrapolation of our results to the melting temperature. This value is in good agreement both with experimental measurements and with previous estimates from computer simulations of TIP4P-like models. Moreover, we obtain estimates of the nucleation rate from simulations of the critical cluster at the barrier top. The values we get for both models agree within statistical error with experimental measurements. At temperatures higher than 20 K below melting, we get nucleation rates slower than the appearance of a critical cluster in all water of the hydrosphere during the age of the universe. Therefore, our simulations predict that water freezing above this temperature must necessarily be heterogeneous.



## I. INTRODUCTION

When a liquid is cooled below its freezing point, it is supposed to freeze. Usually, impurities or the solid boundaries of the liquid provide preferential sites for the formation of the solid phase. However, even in the absence of impurities, small nuclei of the new phase may be formed within the bulk metastable liquid. This mechanism of formation of the solid phase is called homogeneous nucleation.<sup>1,2</sup> Homogeneous nucleation is an activated process because the formation of a critical nucleus requires the surmounting of a free-energy barrier. After that, the crystalline nucleus can grow (nucleation-and-growth mechanism). In general, at moderate supercooling, the limiting step is the formation of the critical cluster rather than the crystal growth. The most relevant quantity to characterize nucleation is the nucleation rate, that corresponds to the number of nucleating clusters per unit time and volume.

Water freezing is arguably the most important liquid-to-solid transition. For example, ice formation in atmospheric clouds is a key factor to the global radiation budget and to climate change.<sup>3–5</sup> Water freezing is also a big issue in the cryopreservation of cells and tissues.<sup>6</sup> Moreover, ice formation is relevant to microbiology,<sup>7</sup> food industry,<sup>8,9</sup> materials science,<sup>10</sup> geology,<sup>11</sup> or physics.<sup>1,12–17</sup>

Despite its great importance, our understanding of water freezing is far from complete. Not even homogeneous nucleation, the simplest conceivable mechanism by which ice can be formed, is fully understood. One of the reasons for this

is the need to perform experiments with small droplets (10–100  $\mu\text{m}$ ) to avoid heterogeneous nucleation.<sup>18–21</sup> This, and the time that the droplets can be stabilized, sets the order of magnitude that can be probed for the nucleation rate,  $J$ . Thus, experimental measurements for  $\log_{10}(J/(\text{m}^{-3} \text{s}^{-1}))$  typically range between 4 and 14. This corresponds to a temperature window spanning from 239 to 233 K, the latter often referred to as “homogeneous nucleation temperature”.<sup>22</sup> Our knowledge of the nucleation rate outside this temperature window is limited to extrapolations based on Classical Nucleation Theory (CNT). Such extrapolations must be taken with care because the uncertainties in the nucleation rate and the narrow range of temperatures for which  $J$  can be measured lead to important differences in the estimated value of the interfacial free energy and/or the kinetic prefactor.<sup>20</sup> Moreover, so far it has not been possible to observe a critical ice nucleus in experiments because critical nuclei are relatively small and short-lived. Therefore, we only have estimates of the critical cluster size based on experimental measurements of  $J$ .<sup>12,18,23–26</sup> The purpose of this Article is to fill these gaps by obtaining the first estimate of the size of the critical cluster and of the nucleation rate at high temperatures not entirely based on theoretical extrapolations from measurements at low temperatures. These goals will be achieved by means of computer simulations.

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Computer simulations are a valuable tool to investigate nucleation<sup>27,28</sup> because they provide a microscopic description of the process. It is therefore somehow surprising that the number of simulation studies dealing with ice nucleation is rather small.<sup>29</sup> On the one hand, it has been shown that ice nucleation can occur spontaneously (without the aid of special simulation techniques) when an electric field is applied,<sup>30</sup> when crystallization is assisted by a substrate<sup>31,32</sup> or by an interface,<sup>33</sup> when coarse-grained models with accelerated dynamics are simulated at high supercoolings,<sup>15,34,35</sup> or when small systems are simulated.<sup>36–38</sup> On the other hand, if nucleation does not happen spontaneously, rare event techniques must be used. The number of such works is limited, and the agreement between different groups is not entirely satisfactory. Radhakrishnan and Trout,<sup>39,40</sup> Quigley and Rodger,<sup>41</sup> and Brukhno et al.<sup>42</sup> determined the free-energy barrier for the formation of ice critical clusters with the TIP4P water model at 180 K (50 K below the model's melting temperature), but mutually consistent results were not found. Reinhardt and Doye<sup>43</sup> and Li et al.<sup>16</sup> evaluated the nucleation rate of the mW model at 55 K below freezing, finding a discrepancy of 6 orders of magnitude. Recently, Reinhardt et al. investigated ice nucleation at moderate supercoolings,<sup>44</sup> to estimate the free energy of formation of small precritical clusters. It is almost certain that more ice nucleation studies are on the way and, hopefully, the discrepancies will become smaller.

The novelty of our work with respect to the results mentioned in the previous paragraph is that we study large systems at moderate supercoolings. By supercooling,  $\Delta T$ , we mean the difference between the melting temperature and the temperature of interest. Note that the melting temperature of a model does not necessarily coincide with the experimental melting temperature or with the melting temperature of other models. In this work we determine, by means of computer simulations, the size of critical ice clusters and the nucleation rate for  $\Delta T$  ranging from 15 to 35 K. In this way we provide, for the first time, nucleation rates for  $\Delta T$  lower than 35 K, where experimental measurements are not currently feasible (CNT-based estimates of  $J$  can in principle be made at any supercooling but, to the best of our knowledge, there are no such estimates available for  $\Delta T < 30$  K).<sup>2,12,20</sup> Our simulations predict that for  $\Delta T < 20$  K it is impossible that homogeneous ice nucleation takes place. Therefore ice must necessarily nucleate heterogeneously for supercoolings lower than 20 K. Moreover, we can directly compare our results for the largest studied supercoolings to the experimental measurements. We find, within uncertainty, a good agreement with experimental nucleation rates. We predict that the radius of the critical cluster goes from  $\sim 40$  Å (8000 molecules) at  $\Delta T$  of ca. 15 K to  $\sim 17$  Å (600 molecules) at  $\Delta T$  of ca. 35 K. We also estimate the surface free energy via CNT. We obtain, in agreement with predictions based on experimental measurements,<sup>12,45,46</sup> that the surface free energy decreases with temperature. An extrapolation of the interfacial free energy to the melting temperature gives a value of  $\sim 29$  mN/m, in reasonable agreement with experimental results,<sup>47</sup> and with calculations by simulation.<sup>48</sup>

We use two simple, yet realistic, water models: TIP4P/2005<sup>49</sup> and TIP4P/ice.<sup>50</sup> The melting temperature<sup>49,50</sup> and the ability of these models to predict properties of real water have already been well established.<sup>51</sup> The results obtained for both water models are quite similar provided that they are compared at the same  $\Delta T$ .

## II. METHODOLOGY

To evaluate the size of critical ice clusters, we follow an approach similar to that proposed by Bai and Li<sup>52</sup> to calculate the solid–liquid interfacial energy for a Lennard-Jones system. They employed spherical crystal nuclei embedded in the supercooled liquid and determined the temperature at which the solid neither grew nor melted. The key issue of this methodology is that determining the melting temperature of a solid cluster embedded in its corresponding supercooled liquid water is equivalent to the determination of the critical size of the cluster for a certain given temperature. Thus, in a sense, this methodology can be regarded as the extension to nucleation phenomena of the well-known direct coexistence technique.<sup>53</sup> A similar method was applied to water by Pereyra et al.<sup>54</sup> They inserted an infinitely long (through periodical boundary conditions) ice cylinder in water and determined the melting temperature of the cylinder. Recently, the approach of Bai and Li has been also used to investigate the nucleation of clathrate hydrates.<sup>55,56</sup>

Here, we shall implement this methodology to study a three-dimensional spherical ice cluster embedded in supercooled water. This follows closely the experimental situation where the incipient ice embryo is fully immersed into liquid water. Such a brute force approach requires very large systems (containing up to  $2 \times 10^5$  water molecules). However, molecular dynamics simulations can be efficiently parallelized so that it is nowadays possible to deal with such system size. The methodology can then be implemented in a rather straightforward way, and is particularly useful at moderate supercooling where other techniques (such as umbrella sampling,<sup>57,58</sup> forward flux sampling,<sup>59</sup> or transition path sampling<sup>60</sup>) may become numerically too expensive.

Once we calculate the critical cluster size, we make use of CNT<sup>61–63</sup> assuming a spherical shape for the critical cluster to estimate the surface free energy,  $\gamma$ :

$$\gamma = \left( \frac{3N_s \rho_s^2 |\Delta\mu|^3}{32\pi} \right)^{1/3} \quad (1)$$

where  $\rho_s$  is the number density of the solid and  $\Delta\mu$  is the chemical potential difference between the metastable liquid and the solid at the temperature under consideration. This expression allows us to obtain a value for  $\gamma$  associated with each cluster. CNT can also be used to estimate the height of the nucleation free-energy barrier,  $\Delta G_c$ :

$$\Delta G_c = \frac{16\pi\gamma^3}{3\rho_s^2 |\Delta\mu|^2} \quad (2)$$

Once  $\Delta G_c$  is known, we can use the following CNT-based expression to evaluate the nucleation rate:<sup>64</sup>

$$J = Z f^+ \rho_l \exp(-\Delta G_c / (k_B T)) \quad (3)$$

where  $Z$  is the Zeldovich factor,  $Z = (|\Delta G''|_{N_c} / (2\pi k_B T))^{1/2}$ , and  $f^+$  is the attachment rate of particles to the critical cluster. The CNT form of the Zeldovich factor is

$$Z = \sqrt{|\Delta\mu| / (6\pi k_B T N_c)} \quad (4)$$

which can be obtained from our calculations of  $N_c$ . We follow ref 64 to calculate  $f^+$  as a diffusion coefficient of the cluster at the top of the barrier:

$$f^+ = \frac{\langle (N(t) - N_c)^2 \rangle}{2t} \quad (5)$$

Therefore, to obtain nucleation rates we combine CNT expressions with simulations of the critical clusters.

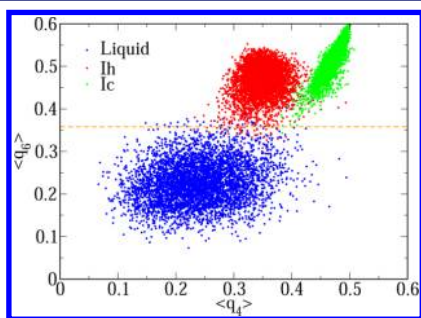
By using the methodology here described, the nucleation rate of clathrate hydrates has been recently calculated.<sup>56</sup> The validity of this approach relies on the ability of CNT to make good estimates of the free-energy barrier from measured values of the critical cluster size. CNT is expected to work well for big critical clusters. We are confident

that the cluster sizes we deal with in this work are big enough for CNT to produce meaningful predictions. We discuss why in section V.A.

### III. TECHNICAL DETAILS

**A. Simulation Details.** We carry out  $NpT$  GROMACS<sup>65</sup> molecular dynamics (MD) simulations of a system that consists of one spherical ice-Ih cluster surrounded by supercooled water molecules. We use two different rigid nonpolarizable models of water: TIP4P/2005<sup>49</sup> and TIP4P/ice.<sup>50</sup> TIP4P/2005 is a model that provides a quantitative account of many water properties<sup>51,66</sup> including not only the well-known thermodynamic anomalies but also the dynamical ones.<sup>67,68</sup> TIP4P/ice was designed to reproduce the melting temperature, the densities, and the coexistence curves of several ice phases. One of the main differences between the two models is their ice Ih melting temperature at 1 bar:  $T_m = 252$  K for TIP4P/2005 and  $T_m = 272$  K for TIP4P/ice. We evaluate long-range electrostatic interactions using the smooth Particle Mesh Ewald method<sup>69</sup> and truncate both the LJ and the real part of the Coulombic interactions at 9 Å. We preserve the rigid geometry of the water model by using constraints. All simulations are run at the constant pressure of  $p = 1$  bar, using an isotropic Parrinello–Rahman barostat<sup>70</sup> and at constant temperature using the velocity-rescaling thermostat.<sup>71</sup> We set the MD time-step to 3 fs.

**B. Order Parameter.** To determine the time evolution of the cluster size, we use the rotationally invariant order parameters proposed by Lechner and Dellago,  $\bar{q}_i$ .<sup>72</sup> In Figure 1, we show the  $\bar{q}_4, \bar{q}_6$  values for 5000 molecules of either liquid



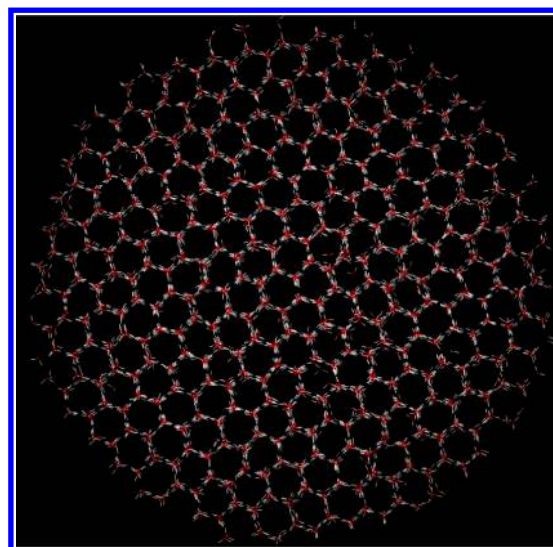
**Figure 1.** Values of  $\bar{q}_6$  and  $\bar{q}_4$ <sup>72</sup> for 5000 molecules of the liquid phase (blue), of ice-Ih (red), and of ice-Ic (green) at 237 K and 1 bar for the TIP4P/2005 model.

water, ice Ih, or ice Ic at 1 bar and 237 K for TIP4P/2005. The cutoff distance to identify neighbors for the calculation of  $\bar{q}_i$  is 3.5 Å between the oxygen atoms. This approximately corresponds to the position of the first minimum of the oxygen–oxygen pair correlation function in the liquid phase.

From Figure 1, it is clear that  $\bar{q}_6$  alone is enough to discriminate between solid-like and fluid-like molecules, as was already suggested in ref 73. As a threshold to separate the liquid from the solid clouds in Figure 1, we choose  $\bar{q}_{6,t} = 0.358$ , represented as a horizontal dashed line in the figure. This threshold separates the liquid from both ice Ih and Ic. Therefore, even though we prepare the clusters with ice-Ih structure, ice-Ic molecules would be detected as solid-like should they appear as the clusters grow. Unlike in refs 74 and 75, we do not consider as solid-like the particles that are neighbor to solid-like particles. Once molecules are labeled either as solid or as liquid-like, the solid cluster is found by

means of a clustering algorithm that uses a cutoff of 3.5 Å to find neighbors of the same cluster.

**C. Initial Configuration.** We prepare the initial configuration by inserting a spherical ice-Ih cluster (see Figure 2 for a



**Figure 2.** Snapshot of a spherical ice-Ih cluster of 4648 molecules.

cluster of 4648 molecules) into a configuration of supercooled water with  $\sim 20$  times as many molecules as the cluster. To obtain the cluster, we simply cut a spherical portion of a large equilibrated ice Ih crystal. Next, we insert the ice cluster in the supercooled liquid removing the liquid molecules that overlap with the cluster. Finally, we equilibrate the system for about 0.2 ns at 200 K. This time is long enough to equilibrate the cluster–liquid interface (see the Supporting Information). We then perform simulations for three different system/cluster sizes labeled as H (huge), L (large), and B (big) (see Table 1).

**Table 1. Total Number of Molecules in the System,  $N_t$  (Ice Cluster + Surrounding Liquid Water Molecules), and Number of Molecules of the Inserted Spherical Ice Cluster,  $N_i$ , for the Three Configurations Prepared<sup>a</sup>**

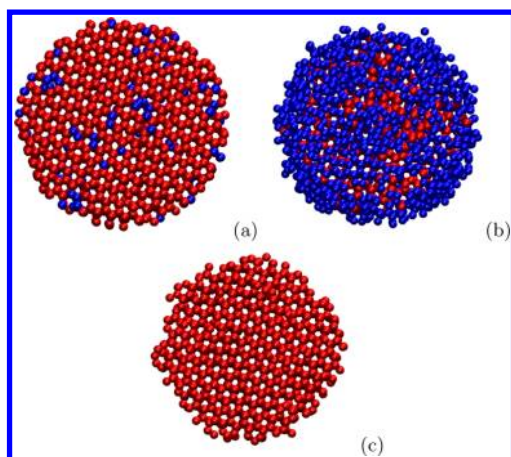
system	$N_t$	$N_i$	$N_c^{2005}$	$N_c^{ice}$	$r_c^{2005}$	$r_c^{ice}$
B	22 712	1089	600	600	16.7	16.8
L	76 781	4648	3170	3167	29.1	29.2
H	182 585	9998	7931	7926	39.5	39.7

<sup>a</sup> $N_c$  is the number of molecules in the ice cluster after equilibration of the interface. The radius of the equilibrated clusters  $r_c$  in angstroms is also presented. The superscript 2005 and/or ice refers to the results for the tip4p/2005 and tip4p/ice respectively.

As far as we are aware, the studied system sizes are beyond any previous numerical study of ice nucleation. Calculations were performed in the Spanish supercomputer Tirant. For system H, we use 150 nodes yielding 0.72 ns/day; for system L, 50 nodes at 1.5 ns/day; and, for system B, 32 nodes at 4.7 ns/day.

Our order parameter allows us to correctly identify as solid-like the great majority of the molecules belonging to the cluster shown in Figure 2 (4498 out of 4648). Figure 3a shows that indeed most molecules of the inserted ice cluster are detected as solid-like (red) as opposed to liquid-like (blue). Notice that most blue particles in Figure 3a are located at the interface. This is not surprising given that our order parameter was tuned

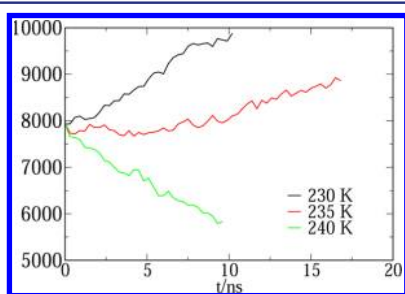




**Figure 3.** Snapshot of the 4648 molecules inserted as an ice cluster just after insertion (a), and after 0.2 ns equilibration (b). Molecules are colored in red if detected as solid-like and in blue if detected as liquid-like. In (c) only solid-like molecules of snapshot (b) are shown.

to distinguish between liquid-like and solid-like particles in the bulk. Figure 3a corresponds to the cluster just inserted in the liquid. After 0.2 ns of equilibration, our order parameter detects that the number of molecules in the cluster drops to 3170. To explain the origin of this drop, we show in Figure 3b a snapshot of the 4648 inserted molecules after the 0.2 ns equilibration period. Clearly, the drop comes from the fact that the outermost layer of molecules of the inserted cluster becomes liquid-like during equilibration. By removing the liquid-like molecules from Figure 3b, one can easily identify again the hexagonal channels typical of ice (Figure 3c). Therefore, the drop from 4648 to 3170 molecules in the ice cluster is due to the equilibration of the ice–water interface. The size of the equilibrated clusters,  $N_c$  is given in Table 1.

Once the interface is equilibrated for 0.2 ns, the number of molecules in the cluster grows or shrinks (depending on the temperature) at a much slower rate (typically requiring several nanoseconds as it is shown in Figure 4). The initial time in our



**Figure 4.** Number of molecules in the ice cluster versus time for system H and the TIP4P/2005 potential. Results are shown for different temperatures as indicated in the legend.

simulations corresponds to the configuration equilibrated after 0.2 ns. We run MD simulations of the system with the equilibrated interface at several temperatures below the bulk melting temperature of the model. The objective is to find a temperature range within which the cluster can be considered to be critical. The temperature range is comprised between the lowest temperature at which the solid cluster melts and the highest at which it grows. We monitor the number of molecules

in the cluster and the global potential energy to find whether the cluster melts or grows.

## IV. RESULTS

**A. Size of the Critical Clusters.** In Figure 4, we represent the number of molecules in the ice cluster versus time for system H, TIP4P/2005. Depending on the temperature, the cluster either grows (230 and 235 K) or shrinks (240 K). The highest temperature at which the cluster grows is 235 K, and the lowest temperature at which it melts is 240 K. Hence, a cluster of  $\sim 7900$  molecules (as detected by our order parameter) is critical at  $237.5 \pm 2.5$  K. An analogous result can be obtained by monitoring the potential energy of the system as a function of time (see the Supporting Information). A decrease in the energy corresponds to the cluster's growth, whereas an increase in the energy corresponds to its melting. By performing this analysis for both models (TIP4P/2005 and TIP4P/ice) and for the three cluster sizes (H, L, and B), we obtain the results summarized in Table 2.

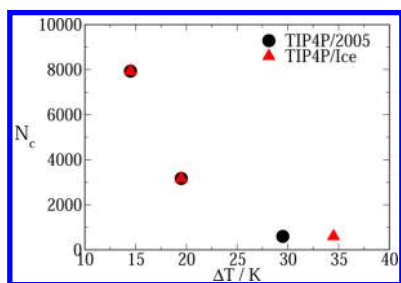
For the temperatures explored in this work (from about 15 to 35 K below the melting temperature of both TIP4P/2005 and TIP4P/ice), the size of the ice critical cluster ranges from nearly 8000 (radius of 4 nm) to about 600 molecules (radius of 1.7 nm). This compares reasonably well with a critical cluster radius of  $\sim 1.3$  nm obtained by applying CNT to experimental measurements at a supercooling of about 40 K.<sup>25,26</sup> Our results are also consistent with CNT-based estimates of the critical size at lower supersaturations.<sup>2,76</sup> For instance, in Figure 15.7 of ref 2, a critical cluster size ranging from 1000 to 300 molecules is predicted for  $25 \text{ K} < \Delta T < 30 \text{ K}$ . An interesting remark is that the temperature that makes critical a given cluster is  $\sim 20$  K larger for TIP4P/ice than for TIP4P/2005. This is precisely the difference between the melting temperatures of both models, and thus the supercoolings are very similar for a given ice cluster size in both models. This is more clearly shown in Figure 5 where the size of the critical cluster is plotted as a function of the difference between the melting temperature of the model and the temperature of interest  $\Delta T = T_m - T$ . We observe that, within our error bar, the critical cluster size of both models scales in the same way with respect to their melting temperatures. This is not so surprising because TIP4P/2005 and TIP4P/ice present a similar charge distribution and mainly differ in the choice of the potential parameters.

In previous works,<sup>51,66,77</sup> we observed that, for a number of properties, the values of TIP4P/2005 lie in the middle of the values obtained for TIP4P and TIP4P/ice. Therefore, it is expected that TIP4P gives results similar to TIP4P/2005 and TIP4P/ice regarding the dependence of  $N_c$  on  $\Delta T$ . Matsumoto et al.<sup>36</sup> studied ice nucleation at 230 K and a density of  $0.96 \text{ g/cm}^3$  using the TIP4P model. This thermodynamic state point corresponds to a pressure of about  $-1000$  bar and  $\Delta T$  5 K.<sup>78</sup> By extrapolating the data of Figure 5 to  $\Delta T = 5$  K, one gets a critical cluster of the order of hundreds of thousand molecules. Therefore, it is likely that the results obtained by Matsumoto et al.,<sup>36</sup> although pioneering and useful to learn about the ice nucleation pathway, may suffer from system size effects and may not be valid to estimate either the size of the critical cluster or the nucleation rate.

In an important paper, Koop et al.<sup>79</sup> showed that the homogeneous nucleation rate (and therefore the temperature of homogeneous nucleation) of pure water and of water solutions can be described quite well by a function that depends only on the water activity. This conclusion has been confirmed

**Table 2.** Temperature ( $T$  in K) For Which the Prepared Ice Clusters Are Found To Be Critical, the Supercooling ( $\Delta T$  in K) for the corresponding Water Model, the Chemical Potential Difference between the Fluid and the Solid ( $\Delta\mu$  in kcal/mol), the Liquid–Solid Surface Free Energy ( $\gamma$  in mN/m) estimated from Equation 1, and the Nucleation Free-Energy Barrier Height ( $\Delta G_c$  in  $k_B T$ ) Estimated from Equation 2

model	system	$N_c$	$T$	$\Delta T$	$\Delta\mu$	$\gamma$	$\Delta G_c$
TIP4P/2005	B	600	222.5	29.5	0.114	20.4	77
TIP4P/2005	L	3170	232.5	19.5	0.080	24.9	275
TIP4P/2005	H	7931	237.5	14.5	0.061	25.9	515
TIP4P/ice	B	600	237.5	34.5	0.133	23.6	85
TIP4P/ice	L	3167	252.5	19.5	0.083	25.4	261
TIP4P/ice	H	7926	257.5	14.5	0.063	26.3	487



**Figure 5.** Critical cluster size versus  $\Delta T$  for the studied water models. Notice that the points corresponding to both models at low supercooling are essentially on top of each other.

in more recent experiments.<sup>14</sup> Although the nucleation rate for an aqueous solution is the same as that for pure water, the freezing points are different. One is then tempted to suggest that the size of the critical cluster at the homogeneous nucleation temperature could be the same for pure water and for aqueous solutions. Moreover, the fact that thermodynamics is sufficient to predict the rate seems to indicate that the water mobility is also determined by the free energy of water. A microscopic study of the relationship between crystallization rates, structure, and thermodynamics of water, which may explain the empirical findings of Koop and co-workers, has recently been presented in ref 15.

### B. Interfacial Free Energy and Free-Energy Barrier.

Once the size of the critical cluster is known, one can use eq 1 to estimate the solid–liquid interfacial free energy. Because ice density changes little with temperature,<sup>80</sup> the density at coexistence is used in our calculations ( $\rho_{m,TIP4P/ice} = 0.906$  g/cm<sup>3</sup> and  $\rho_{m,TIP4P/2005} = 0.921$  g/cm<sup>3</sup>). For most substances, it is possible to approximate  $\Delta\mu$  by  $\Delta h_m(T_m - T)/T_m$ , where  $\Delta h_m$  is the melting enthalpy and  $T_m$  is the melting temperature. For water, however, this may not be a good approximation because  $\Delta h$  significantly changes with temperature as a manifestation of the anomalous sharp increase of the heat capacity of water as temperature decreases.<sup>24,81</sup> Hence, one needs to do a proper evaluation of the chemical potential difference between both phases to get the surface free energy from eq 1. We have calculated  $\Delta\mu$  at every temperature by means of standard thermodynamic integration<sup>82</sup> from the coexistence temperature, at which  $\Delta\mu = 0$ . In Table 2, we report the values we obtain for  $\Delta\mu$  and  $\gamma$ .

First, we note that  $\gamma$  decreases with temperature for both models. This is in qualitative agreement with experimental estimates of the behavior of  $\gamma$  with  $T$ .<sup>12,45,46</sup> A more quantitative comparison is not possible in view of the large discrepancies between different estimates (see Figure 10 in ref 12). Motivated by the fact that the interfacial free energy can

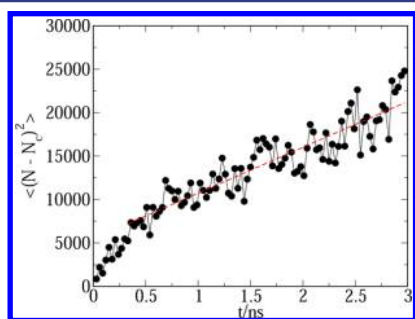
only be measured at coexistence, we extrapolate our results to the melting temperature. To do that, we take the two largest clusters and evaluate the slope of  $\gamma(T)$ . We get a value for the slope of  $\sim 0.18$  mN/(m K) for both models, in very good agreement with a recent calculation for the TIP4P/2005 model.<sup>44</sup> With a linear extrapolation, we get a value for  $\gamma$  at  $T_m$  of  $\sim 28.7$  mN/m for both models, which can be compared to experimental measurements. In contrast with the vapor–liquid surface tension, the value of  $\gamma$  for the solid–fluid interface is not well established. Experimental values range from 25 to 35 mN/m.<sup>83</sup> Our calculated data for  $\gamma$  at coexistence lies in the middle of that range, so our models predict a surface free energy that is consistent with current experimental data. We now compare our estimated  $\gamma$  to direct calculations from simulations using a planar interface. The value of  $\gamma$  depends on the plane in contact with the liquid. Because the cluster used here is spherical, we shall compare with the average of the values obtained for the basal and prismatic planes. Davidchak et al. computed  $\gamma$  for a planar fluid–solid interface using two models similar to those used in this work: TIP4P and TIP4P-Ew. For TIP4P, in an initial publication, the authors reported a value of  $\gamma = 23.9$  mN/m<sup>84</sup> that was later modified (after improving their methodology) to  $\gamma = 26.5$  mN/m.<sup>48</sup> For the TIP4P-Ew,<sup>85</sup> Davidchak et al. reported (using the improved methodology) a value of 27.6 mN/m.<sup>48</sup> TIP4P-Ew is known to predict water properties in relatively close agreement with those of TIP4P/2005. Therefore, our results are also consistent with the calculations reported in the literature for similar models. To conclude, our value of  $\gamma$  seem to be reasonable estimate of the interfacial free energy of the planar ice–water interface.

To estimate the height of the nucleation free-energy barrier, we make use of eq 2. Our results are summarized in Table 2. In view of the height of the nucleation barrier for the clusters of systems L and H, around 250 and 500  $k_B T$ , respectively, it seems virtually impossible to observe homogeneous nucleation of ice for supercoolings lower than 20 K. The height of the nucleation barrier provides an estimate of the concentration of critical clusters in the metastable fluid as  $\rho_f \exp(-\Delta G_c/(k_B T))$ , where  $\rho_f$  is the number density of the fluid. For  $\Delta G_c = 250 k_B T$ , one critical cluster would appear on average in a volume  $\sim 10^{60}$  times larger than the volume of the whole hydrosphere. From the values of  $\Delta G_c$  of Table 2, we may infer why spontaneous ice nucleation has never been observed in previous studies of supercooled water with the TIP4P/2005 model.<sup>86–88</sup> Our results show that the free-energy barrier for nucleation even for temperatures as low as 35 K below melting is still of about 80  $k_B T$ . This is much larger than the typical barrier found in studies where spontaneous crystallization occurs in brute force simulations<sup>89,90</sup> (about 18  $k_B T$ ). It is worth mentioning that neither Shevchuk and Rao<sup>91</sup> nor Overduin and Patey<sup>92</sup> find any

evidence of ice nucleation in TIP4P models after runs of several microseconds, which is consistent with the results of this work. Our results may be of great interest to studies in which the competition between the crystallization time and the equilibration time of water is crucial.<sup>93–95</sup>

**C. Nucleation Rate.** Although the free-energy barriers alone provide a strong indication that ice cannot appear on our planet via homogeneous nucleation at moderate supercoolings ( $\Delta T < 20$  K), it is worth calculating the nucleation rate,  $J$ , to confirm such statement. The nucleation rate takes into account not only the concentration of the clusters but also the speed at which these are formed. Moreover, the supercoolings for the smallest clusters we investigate are comparable to those where most experimental measurements of  $J$  have been made ( $\Delta T \approx 35$  K).<sup>12–14,17,96</sup>

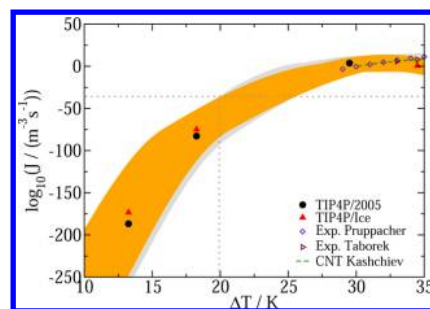
To calculate the nucleation rate, we use eq 3. First, we compute  $f^*$  from eq 5 by running 30 simulations of the cluster at the temperature at which it was determined to be critical. We monitor  $\langle (N(t) - N_c)^2 \rangle$  and average it over all of the runs. In Figure 6, we plot  $\langle (N(t) - N_c)^2 \rangle$  versus time for the system L,



**Figure 6.**  $\langle (N(t) - N_c)^2 \rangle$  versus time for configuration L, TIP4P/2005. The attachment rate  $f^*$  is obtained as one-half the value of the slope. The curve above is obtained as an average over 30 trajectories. In approximately one-half of these trajectories, the critical cluster ended up growing, whereas it eventually melted in the other half.

TIP4P/2005. From the slope at long times, we can infer  $f^*$ .<sup>64</sup> We get  $f^* = 70 \times 10^9 \text{ s}^{-1}$ . The Zeldovich factor for this particular case is  $1.77 \times 10^{-3}$ , and the density of the liquid is  $0.977 \text{ g/cm}^3$ . With this, we have all of the ingredients needed to calculate the nucleation rate via eq 3. The final result for this case is  $\log_{10}(J/(\text{m}^{-3} \text{ s}^{-1})) = -83$ .

The same procedure is used to calculate the nucleation rate for the rest of the systems described in Table 1. The results for the nucleation rate as a function of the supercooling are presented in Figure 7 and compared to the experimental measurements of Pruppacher<sup>12</sup> and Taborek.<sup>13</sup> The horizontal dashed line corresponds to the nucleation rate required for the appearance of one critical cluster in the volume of Earth's hydrosphere in the age of the universe, which we call "impossible nucleation rate". The vertical line shows at which temperature the impossible nucleation rate line intercepts the upper limit of our error bars (gray and orange shadows for TIP4P/2005 and TIP4P/ice, respectively). In view of this figure, we can confidently claim what the free-energy barriers previously hinted: it is impossible that ice nucleates homogeneously in our planet for  $\Delta T < 20$  K. In other words, heterogeneous nucleation must take place for water to freeze for supercoolings lower than 20 K. This is consistent with the fact that, when heterogeneous nucleation is suppressed, moderately supercooled water can remain metastable long



**Figure 7.** Nucleation rate as a function of the supercooling. Symbols correspond to our simulation results and to experimental measurements as indicated in the legend. The green dashed line corresponds to CNT estimates of  $J$ .<sup>2</sup> The gray and orange shadows represent the estimated error bars for TIP4P/2005 and TIP4P/ice, respectively, interpolated by splines. The horizontal dotted line indicates the rate given by the growth of one cluster in the age of the universe in all of the water of the Earth's hydrosphere. The vertical dotted line indicates the supercooling below which homogeneous nucleation is impossible.

enough for its thermodynamic properties to be measured.<sup>21,22,97–99</sup> From our results, it is also clear that ice formation should not be expected in brute force molecular dynamics simulations at moderate supercoolings (provided that the system is large enough not to be affected by finite size effects).<sup>36</sup> To observe ice formation in brute force simulations, the nucleation rate should be higher than  $\log_{10}(J/(\text{m}^{-3} \text{ s}^{-1})) = 32$  (this number is obtained assuming the formation of ice after running about 100 ns in a system of about  $50 \text{ nm}^3$ , which are typical values in computer simulations of supercooled water). Notice also that the maximum in the isothermal compressibility at room pressure<sup>86,87</sup> found at about  $\Delta T = 20$  K for the TIP4P/2005 model cannot be ascribed to the transient formation of ice as the nucleation rate of ice at this temperature is negligible.

Another interesting aspect of Figure 7 is the comparison with experiment. Both models give nucleation rates that reproduce the experimental measurements within the uncertainty of our method. This excellent result brings confidence in the ability of the selected models to predict relevant quantities for the nucleation of ice such as the nucleation rate, the critical cluster size, and the surface free energy.

We also include in Figure 7 a green dashed line that corresponds to the CNT-based estimates of  $J$  shown in Figure 13.6 of ref 2. The agreement between CNT, simulations, and experiments is quite satisfactory. To the best of our knowledge, there are no CNT estimates of  $J$  available for supersaturations lower than 30 K with which to compare our results.<sup>2,12,20</sup>

By using forward flux sampling,<sup>59</sup> Li et al. determined  $J$  for the mW model of water for temperatures between 35 and 55 K below the model's melting temperature.<sup>16</sup> Because we are interested in ice nucleation at moderate supersaturation, our study deals with lower supercoolings ( $14.5 \text{ K} < \Delta T < 34.5 \text{ K}$ ). Nonetheless, our highest supercooling (34.5 K) is very close to the lowest one of Li et al. (35 K) so we can compare both results. The values of Li et al. for  $J$  are 5–8 orders of magnitude below the experimental ones when compared at the same absolute temperature (the deviation increases when the comparison is made at the same degree of supercooling). The nucleation rates calculated in this work for TIP4P/2005 and TIP4P/ice are similar (although slightly larger) to those for the mW model. Initially, this may appear surprising as the mW model is a coarse grained model of water with no hydrogens, which makes its dynamics faster than that of both real water



and TIP4P-like models.<sup>100</sup> However, the free-energy barrier of mW may be larger, compensating this kinetic effect. In fact, the interfacial free energy of mW has been found<sup>16</sup> to be  $\gamma = 31$  mN/m (larger than the values found in this work for TIP4P/2005 and TIP4P/ice). This high value of  $\gamma$  may be partially compensated by a significant overestimate of the ice density by this model (0.978 g/cm<sup>3</sup> to be compared to the experimental result 0.91 g/cm<sup>3</sup>). The net balance is that the values of  $J$  of the mW model are similar to, although somewhat lower than, those for TIP4P/2005 and TIP4P/ice.

As for the size of the critical cluster, we find that it is of about 600 water molecules for TIP4P/ice at 237.5 K ( $\Delta T = 34.5$  K). Li et al. have reported a critical cluster size of about 850 molecules for the mW model at 240 K ( $\Delta T = 35$  K). Both results are compatible because Li et al. include the ice cluster molecules that are neighbor to the solid cluster, and we do not. In summary, our results for TIP4P/2005 and TIP4P/ice are consistent with Li's et al. for mW.

## V. DISCUSSION

**A. Validity and Possible Sources of Error.** The methodology we have used is subject to two main error sources: the determination of the cluster size and the location of the temperature at which the clusters are found to be critical. Moreover, our approach relies on the validity of CNT. In the following paragraphs, we discuss the extent to which our results may be affected by these issues.

In nucleation studies, the size of the largest solid cluster is usually considered a good reaction coordinate. To identify the cluster, we first need to distinguish between liquid-like and solid-like molecules. The chosen criterion should be able to identify the majority of molecules of the bulk solid as solid-like, and the majority of molecules of the bulk fluid as liquid-like. One could in principle find several criteria that successfully perform this task. However, when interfaces are present in the system (as in the case of a solid–liquid<sup>89</sup> or a solid–vapor<sup>101,102</sup> interface), depending on the chosen criterion one might assign differently the interfacial molecules (see, for instance, refs 43 and 16 for an illustration of this problem for the mW water model).

How does the choice of a criterion to distinguish liquid from solid-like molecules affect our results? Whether the cluster grows or shrinks for a given temperature does not depend on the particular choice of the order parameter (see the Supporting Information). The same trend can be obtained by monitoring global thermodynamic properties of the system, such as the total potential energy (see the Supporting Information). Therefore, the fact that the cluster shown in Figure 3 is critical at 232.5 K is independent of the particular choice of the criterion to distinguish liquid from solid-like molecules.

A different problem arises if one asks the question: how many ice molecules are present in Figure 3b? Different criteria provide different answers even though the configuration presented in Figure 3b is unique. Because the origin of this arbitrariness is due to the interfacial region, it is expected that the arbitrariness will become smaller as the ice cluster becomes larger. However, for the system sizes considered in this work, the interface region still matters. To take this effect into account, we have estimated the error bars in Figure 7 considering an arbitrariness of 60% in the labeling of interfacial molecules. This would affect the value of  $\gamma$  by 7%, and the free-energy barriers height by up to 20%. Although this estimated error seems large,

it is worth pointing out that differences between the free-energy barrier estimated by different groups may be, in the case of water, much larger than that.<sup>39–42</sup> In summary, we conclude that the liquid/solid criterion chosen in this work provides reasonable estimates of  $\gamma$ , and when used within the CNT framework allows to interpret our simulation results in a rather straightforward way.

Another important error source in the calculation of  $J$  is the location of the temperature at which a cluster is critical. As we show in Figure 4, by performing runs at different temperatures, we identify, within a certain range, the temperature that makes critical a given ice cluster. We assign the temperature in the middle of the range to the corresponding cluster, but the temperature that really makes the cluster critical could in principle be any other within the range. This uncertainty has a strong contribution to the error bars in Figure 7, particularly at low supercoolings, where the variation of  $J$  with  $T$  is very steep. This error could, in principle, be easier to reduce than that coming from the arbitrariness in the determination of the number of particles in the cluster. One simply has to do more runs to narrow the temperature range. However, these simulations are very expensive given the large system sizes we are dealing with. It is interesting to point out that temperature control is also seen as a major error source in experiments.<sup>17</sup>

Our results for  $\gamma$ ,  $\Delta G_{\sigma}$  and  $J$  rely on the validity of CNT. Classical nucleation theory is expected to break down for small clusters, when the view of nucleation as a competition between bulk and surface free energies starts to be questionable (in clusters of a few hundred particles most molecules are placed at the surface). However, for the large cluster sizes investigated in this work, it seems reasonable to assume that CNT works well. The satisfactory comparison of our estimate of  $\gamma$  with that obtained in simulations of a flat interface<sup>48</sup> is certainly encouraging in this respect. Moreover, we have applied the methodology described in this Article to calculate the nucleation rate of the mW water model and we get, within error, the same nucleation rate as in ref 16 (data not shown). This is a very stringent test to our approach, given that in ref 16 a method that relies neither on CNT nor on the definition of the cluster size was used (forward flux sampling). This comparison is made for a supercooling of 35 K, the deepest investigated in this work. For lower supercoolings, where the critical cluster is larger, the methodology is expected to be even more robust. The advantage of the approach used here is that it allows one to estimate (at a reasonable computational cost) critical cluster sizes and nucleation rates at low and moderate supercooling.

**B. Novelty.** In this Article, we provide values for the homogeneous nucleation rate of ice at moderate supercoolings ( $\Delta T < 33$  K). For the first time, this is done without extrapolating from measurements at high supercoolings. The experimental determination of  $J$  is limited to a narrow temperature window at high supercoolings (between 233 and 239 K). In that window,  $J$  can be directly measured without introducing any type of approximation. It only requires the knowledge of the droplet volume, the cooling rate, and the fraction of freezing events. Differences in the value of  $J$  between different experimental groups are relatively small (between 1 and 2 orders of magnitude). Therefore, the experimental value of  $J$  is well established for the narrow range of temperatures in which the current experimental techniques can probe the nucleation rate.<sup>12–14,17,96</sup> To obtain values of  $J$  outside that temperature window, one can either extrapolate the data or

make an estimate via CNT. An extrapolation from such a narrow temperature window would not be very reliable because  $J$  changes sharply with  $T$ . In turn, an estimate of  $J$  based on CNT relies on the knowledge of the interfacial free energy. Unfortunately, our current knowledge of  $\gamma$  for the water–ice interface is far from satisfactory in at least three respects. First, the calculated values of different groups using CNT differ significantly (see, for instance, Tables 1 and 2 in ref 20). Second, the values obtained for  $\gamma$  from CNT seem to be different from those determined for a planar ice–water interface at the melting point (see, for instance, Figure 8 in ref 103). Finally, there is even no consensus about the value of  $\gamma$  for a planar interface at the melting point of water, a magnitude that in principle could be obtained from direct experiments without invoking CNT (values between 25 and 35 mN/m have been reported). A look to Figure 10 of the classic paper of Pruppacher<sup>12</sup> is particularly useful. It shows the enormous uncertainty that exists at any temperature about the value of  $\gamma$  for the ice–water interface. Because  $\gamma$  enters in the estimation of  $J$  as a power of three in an exponential term, the enormous scatter implies that, at this moment, there is no reliable estimate of the value of  $J$  for moderately supercooled water arising from CNT. In other words, you can get many different estimates of  $J$  from the different estimates of  $\gamma$  shown in the paper by Pruppacher. In addition, to the best of our knowledge, no one has estimated  $J$  using CNT for super-saturations lower than 30 K.<sup>2,12,20</sup>

Regarding the critical nucleus size, it is not possible at the moment to measure it experimentally by direct observation. Therefore, the prediction of the critical cluster at moderate and experimentally accessible supercoolings is a novel result. Because the TIP4P/2005 has been quite successful in describing a number of properties of water (notably including the surface tension for the vapor–liquid equilibrium), we believe that the values reported here for  $\gamma$  and  $J$  from our analysis of the critical cluster are a reasonable estimate for the corresponding values for real water.

**C. Summary and Outlook.** We have studied homogeneous ice nucleation by means of computer simulations using the TIP4P/2005 and TIP4P/ice water models. This is the first calculation of the size of the critical cluster and the nucleation rate at moderate supercoolings (14.5–35 K). Both models give similar results when compared at the same supercooling.

To determine the size of the critical cluster, we use a numerical approach in the spirit coexistence methods. We prepare an initial configuration by inserting a large ice cluster (about 10000, 4600, and 1000 molecules) in an equilibrated sample of liquid water. Next, we let the interface equilibrate for 0.2 ns at 200 K. Finally, we perform molecular dynamic runs at several temperatures to detect either the melting or the growth of the inserted cluster by monitoring its size. We find that the size of the critical cluster varies from ~8000 molecules (radius = 4 nm) at 15 K below melting to ~600 molecules (radius = 1.7 nm) at 35 K below melting.

We use CNT to estimate the interfacial free energy and the nucleation free-energy barrier. Our predictions show that the interfacial free energy decreases as the supercooling increases, in agreement with experimental predictions. An extrapolation of the interfacial free energy to the melting temperature gives a value of 29 mN/m, which is in reasonable agreement with experimental measurements and with estimates obtained from computer simulations for TIP4P-like models. We get free-energy barriers higher than 250 kT for supercoolings lower

than 20 K. This strongly suggests that homogeneous ice nucleation for supercoolings lower than 20 K is virtually impossible. We confirm this by calculating the nucleation rate. To do that, we compute, by means of molecular dynamics simulations, the rate at which particles attach to the critical clusters. These calculations show that, indeed, for supercoolings lower than 20 K, it is impossible that ice nucleates homogeneously. According to this prediction, ice nucleation must necessarily be heterogeneous for supercoolings lower than 20 K. The nucleation rate we obtain at higher supercoolings (30–35 K) agrees, within the statistical uncertainty of our methodology, with experimental measurements.

It would be interesting to extend this work in several directions. Modifying the shape of the inserted cluster (inserting, for instance, a small crystal with planar faces) or even inserting a block of cubic ice Ic to analyze whether this cluster may be more stable as suggested by some studies<sup>20,104</sup> are interesting issues that deserve further studies. Second, it would be of interest to consider other water models, to analyze the possible similarities/differences with respect to nucleation of different potential models varying significantly either in the charge distribution as TIPSP<sup>105</sup> or in the way the tetrahedral order is induced as in the mW model.<sup>100</sup> Analyzing the behavior at higher degrees of supercooling than those presented here is another interesting problem as well as the determination of the growth rate of ice.<sup>106</sup> We foresee that all of these issues will be the center of significant activity in the near future.

## ■ ASSOCIATED CONTENT

### 📄 Supporting Information

Equilibration of the initial configuration, choice of the order parameter to distinguish between liquid/solid particles, and cluster size and potential energy versus time for all system sizes and model potentials studied. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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### Notes

The authors declare no competing financial interest.

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