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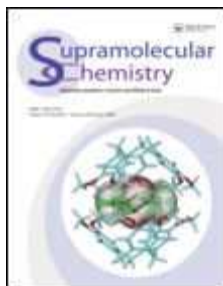
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# Host-guest chemistry of self-assembling supramolecular capsules in the gas phase

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Supramolecular capsules composed of two or more self-complementary monomers held together by hydrogen bonds and other weak interactions such as cation- $\pi$  and C-H- $\pi$  interactions are able to encapsulate neutral as well as positively charged guests. This mini-review will highlight work on transferring such non-covalently bound aggregates to the gas phase by soft ionization methods such as electrospray ionization and investigating structure and encapsulation properties under solvent-free conditions. These analyses reveal exact information about complex stoichiometry as well as about structure and stability of capsules composed of multiple building blocks. The review is organized such that each type of capsule introduced contributes a new aspect to the overall picture.

## 1. Introduction

The encapsulation of appropriately sized, shaped, and functionalized guest molecules into reversibly formed, self-assembling supramolecular capsules<sup>1</sup> can be considered a model for substrate recognition by enzymes. The capsules discussed in this mini-review are held together by weak interactions: hydrogen bonding between two or more complementary subunits, cation- $\pi$  interactions between aromatic rings in the capsule walls and the guest cation, Van-der-Waals interactions between capsule and guest as well as the filling of space.

This mini-review will focus on hydrogen-bonded capsules with different hydrogen-bonding patterns. Quite some insight can

be gained with respect to their formation, their secondary structure, their monomer exchange behaviour, and the forces that stabilize the capsules through mass spectrometric experiments.<sup>2</sup>

In this context, it is important to note that the potential of mass spectrometry goes far beyond mere analytical characterization.<sup>3</sup> Through tandem MS experiments in the gas phase, new insights become available, which cannot be gained from solution experiments. In the gas phase, no environment is present and the intrinsic properties of the ions under study can be evaluated. Since no exchange processes are possible, the gas phase offers also a completely new view on reactivity of non-covalent species which cannot be obtained from solution experiments.

## 2. Molecular Softballs: Introducing the Experimental Methodology

The molecular softballs, named according to their topology after the larger brother of an American baseball, have been synthesized and characterized in the Rebek group starting in the early 1990's.<sup>4-6</sup> The self-complementary monomers **1** - **4** and control compound **5** (Figure 1) basically consist of two glycoluril moieties connected to each other by spacers of different length determining the size of the inner cavity of dimeric **1•1** - **4•4** (Figure 2).

The formation of the dimeric capsules **1•1** - **4•4** has been observed by <sup>1</sup>H NMR spectroscopy in aprotic organic solvents like chloroform or xylene.<sup>1,4,7</sup> For the detection of the capsules by ESI mass spectrometry, the inclusion of charged guests such as quaternary ammonium ions represents the most convenient way of ion labeling. For the softballs, N-methyl-quinuclidinium **6a**<sup>+</sup> and tetraethylammonium **7**<sup>+</sup> are perfectly suited. This ion labeling strategy does not interfere with the seam of hydrogen bonding as long as weakly coordinating counterion such as BF<sub>4</sub><sup>-</sup> and PF<sub>6</sub><sup>-</sup> are used. Furthermore, this approach is consistent with the use of non-protic solvents which would not compete with the hydrogen bonds and destroy the capsules. In addition, no synthetic modifications of the capsules are required. Cations **6a**<sup>+</sup> and **7**<sup>+</sup> were chosen as guests due to their structural and spatial congruency with the capsule interior cavity.<sup>6,8</sup>

As expected, the ESI mass spectra of chloroform solutions of **6a**<sup>+</sup>BF<sub>4</sub><sup>-</sup> and one of the monomers **1** - **4** showed 2:1 complexes of capsule monomers and cationic guest as

the base peaks (Figure 3).<sup>9</sup> In addition, signals for dimeric capsules with enclosed chloroform are observed, which receive their positive charges from background sodium. Comparison of the calculated and experimental isotope patterns confirms the correct elemental composition. Substitution of the methyl group in **6a**<sup>+</sup> by a CD<sub>3</sub> group (**6b**<sup>+</sup>) shifts the signals for the capsules by  $\Delta m = 3$  making sure that only one guest is present.

Control compounds such as the methoxylated monomer **5** or an S-shaped monomer (Figure 4) do not show any signals for dimer-guest complexes, because they are not able to form capsules due to blocked hydrogen bonds and the lack of well pre-organized binding sites, respectively. This finding clearly points to a capsular structure, as does the size selectivity of the dimers for guest cations of the right sizes. If large guest cations are used that do not fit into the cavity (e.g. tetrabutylammonium **8**<sup>+</sup>), no dimer-guest complexes are observed either. Addition of competitive solvents like methanol destroys the seam of hydrogen bonds and all signals of 2:1 complexes vanish in favour of signals for protonated monomers. This confirms the hydrogen-bonded nature of the dimer-guest ions.

The reversible formation of hydrogen-bridged dimers is also revealed through the formation of heterodimers, when two pre-formed homodimeric capsules are mixed (Figure 5). If they possess spacers very different in length, the heterodimer is formed in abundances far lower than statistically expected (Figure 5a). If the spacers incorporated in the monomers are however similar in length, they form heterodimers

1  
2  
3 in a nearly statistical 1:2:1 ratio (Figure  
4 5b). Consequently, a precise geometric fit  
5 6 is required for stable heterodimers to form.  
7 8 Otherwise, the capsules tend to self-sort.

9 The requirement of a precise geometric fit  
10 11 of the capsule halves and the size selectiv-  
12 13 ity for appropriate guests together with the  
14 15 necessity of suitable preorganization of the  
16 17 binding sites is good evidence for a capsu-  
18 19 lar structure. However, so far the mass  
20 21 spectrometer was used as a detector for  
22 23 solution-phase assembly.

24 In order to further determine the structure  
25 26 of the 2:1 host-guest complexes in the gas  
27 28 phase, in-source collision experiments  
29 30 were performed. Intriguingly, losses of  
31 32  $C_2H_4$  and  $C_5H_{12}$  losses are observed. Fig-  
33 34 ure 6 shows possible pathways that ration-  
35 36 alize these fragmentation reactions in terms  
37 38 of energetically quite favourable processes.  
39 40 The products of these reactions are a con-  
41 42 jugated double bond formed by 1,2-  
43 44 elimination within one of the solubilizing  
45 46 side chains and an aromatic ring in the  
47 48 softball's central unit generated through a  
49 50 retro-Diels-Alder reaction.

51 These results are not in line with a guest  
52 53 cation weakly bound to the periphery of  
54 55 the capsule. They can, however, be under-  
56 57 stood easily, if the cation is bound inside.  
58 59 Opening the capsule for guest release in-  
60 creases the barrier significantly, since not  
only the binding energy of the guest inside  
must be overcome, but in addition the  
seam of hydrogen bonds must be opened to  
a significant extent. Consequently, these  
gas-phase experiments show the dimer-  
guest complex to be a capsule even in the  
gas phase after the ionization process.

The mass spectrometric experiments are in  
agreement with results from NMR spec-

troscopy which provide evidence for cation  
encapsulation in solution. For the cation  
signals, typical up-field shifts are observed.

### 3. American Footballs: Tetramer For- mation Supported by Cation- $\pi$ Interac- tions

The softballs have been used as an exam-  
ple to introduce the mass spectrometric  
experiments that have been developed to  
assess the structure of a hydrogen-bonded  
capsule: The ion labeling strategy, size-  
selectivity arguments, preorganization of  
binding sites, heterodimer formation, and  
fragmentation reactions in the gas phase all  
contribute to this goal.

We can now apply this methodology to  
other types of hydrogen-bonded capsules  
such as the molecular football (Figure 7).<sup>10</sup>

The football monomer again bears a gly-  
coloril moiety. On the other end, the soft-  
ball center piece is replaced with a sulfon-  
diamide moiety which can act as hydrogen  
bond donor and acceptor. Through the sul-  
fonyl group, the required curvature is pro-  
vided. This monomer is insoluble in non-  
polar solvents and becomes only soluble,  
when a suitable guest is present which  
templates the formation of a head - to - tail  
- to - head -to-tail tetramer with a fully  
closed surface. The space inside the cavity  
approximates that of the smaller softballs.

Mass spectrometric experiments reveal a  
clear size-selectivity for encapsulation of  
suitable guests when a larger number of  
ammonium ions is used pairwise in compe-  
tition experiments.

Also, the importance of cation- $\pi$  interac-  
tions<sup>11</sup> can be examined with the tetrameric  
footballs. All dipoles are oriented more or

1  
2  
3 less tangentially on the capsule surface.  
4 Therefore, the positive and negative ends  
5 of these dipoles are similarly remote from  
6 the guest cation and cation-dipole forces  
7 likely cancel rather than playing a pivotal  
8 role for cation binding. Nevertheless, the  
9 charge on the guests increases the binding  
10 strength to the capsule cavity significantly.  
11 Figure 8 shows two guest pairs of almost  
12 identical size and shape (**10a/10b**<sup>+</sup> and  
13 **11a/11b**<sup>+</sup>). One of each pair is neutral with  
14 a quaternary carbon atom, where the other  
15 has an ammonium nitrogen. NMR experi-  
16 ments with 1:80:2 mixtures of capsule,  
17 neutral guest, and ammonium ion do not  
18 show any sign of encapsulation of the neu-  
19 tral guest, while the signals for the encap-  
20 sulated cation integrate 1:1 with respect to  
21 the capsule signals. This leads to the con-  
22 clusion that cation- $\pi$  interactions must be  
23 important for driving guest encapsulation.

#### 34 **4. Flexiballs: The Importance of En-** 35 **tropic Factors**

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37  
38 In order to modularize the synthesis of  
39 capsules and at the same time achieve cap-  
40 sules with larger cavities and functional  
41 groups (e.g. amide N-H or C=O) pointing  
42 into the interior, a series of so-called flexi-  
43 balls was synthesized (Figure 9).<sup>12</sup> Three  
44 glycoluril moieties (“G” in Figure 9) are  
45 attached to an aromatic ring in 1, 3, and 5-  
46 position. The resulting capsules can be  
47 examined with the same mass spectromet-  
48 ric protocol as the softballs discussed  
49 above. It is however necessary to use  
50 slightly larger guest cations (or even better  
51 dications), because the cavity volume in-  
52 creased; Figure 9 shows some examples.  
53 The formation of dimeric capsules is only  
54 observed, if the central ring bears ethyl

groups at the 2, 4, and 6-positions which  
direct the binding sites for the hydrogen  
bonds to one side of the central spacer unit.  
The analogous benzene unsubstituted at  
C(2), C(4), and C(6) does not form cap-  
sules, but likely hydrogen-bonded poly-  
mers. This behaviour can be understood by  
invoking entropic factors. The attachment  
of the glycoluril binding sites occurs  
through single bonds that can freely rotate.  
Upon capsule formation, this rotation must  
be frozen in a conformation suitable for  
dimerization - an entropically unfavourable  
process. The three ethyl groups restrict  
rotation around the three C<sub>ar</sub>-CH<sub>2</sub> bonds  
and thus lock the conformation of the  
monomer in an already useful way. With  
lower entropic costs, the dimer can form.

<sup>1</sup>H-NMR studies indicate the formation of  
homodimers from monomers **15**, **16**, and  
**17** as well as the heterodimer **15·17** even  
without addition of a cationic guest. Ap-  
parently, **18** does not form homodimers as  
indicated by the broad NMR signals.  
Again, entropy plays its role: Through me-  
thylation of the amide groups, the confor-  
mation of the amide is not fixed anymore.  
Secondary amides prefer a transoid con-  
formation much more than tertiary amides.  
Consequently, the conformation of **18** is  
not as well pre-organized as that of the  
other flexiball monomers. Upon the addi-  
tion of **15**, however, the NMR signals  
sharpen indicating the formation of **15·18**  
heterodimers.

As expected from <sup>1</sup>H NMR measurements,  
the mass spectrometric analyses confirmed  
the formation of homodimeric capsules  
from **15**, **16**, and **17** with enclosed mono-  
or dication (**19**<sup>+</sup> and **20**<sup>2+</sup> respectively).

Furthermore, the formation of heterodimers [ $20^{2+}@15\cdot17$ ] as well as [ $20^{2+}@16\cdot17$ ] in nearly statistical ratio to the corresponding homodimers could be observed. Comparing the experimental signal shapes of ions sprayed from acetone solutions of equimolar amounts of **15** and **17** (Figure 10a) and **16** and **17** (Figure 10b) respectively reveals a good fit with the isotope patterns for the statistical 1:2:1 ratio of homo- and heterodimers calculated from the natural isotopic abundance - even, if the resolution of the mass spectrometer does not suffice to resolve the individual isotope peaks of the dicationic dimer-guest complex.

Additionally, mass spectrometry yielded evidence for the formation of **15**·**18** heterodimers. The formation of a [ $20^{2+}@15\cdot15$ ] homodimer is particularly favoured over the formation of the heterodimer [ $20^{2+}@15\cdot18$ ]. As already anticipated by the NMR experiments, nearly no signal for the [ $20^{2+}@18\cdot18$ ] homodimer could be observed. Thus, the mass spectrometric experiments yield complementary data as compared to the NMR spectroscopic findings. While encapsulation can be analyzed by both, mass spectrometry offers the necessary tool to easily identify heterodimers by their weight. NMR spectra of heterodimers are usually much more difficult to interpret, because all species are not only present simultaneously, but also exchange guests and monomers on different time scales.

## 5. The Bigball: Second-Sphere Encapsulation

Attachment of four glycoluril moieties **21** to calixarene **22** or resorcinarene cavitand **24**<sup>13</sup> yields monomers **23** and **25** (Figure 11). Dimeric capsules from monomer **25**, the so called "bigballs", are able to encapsulate much larger guests than the softballs described above due to their three to five times larger cavity of about 950 Å<sup>3</sup>. The guests for ion labelling in this case, were chosen to be cryptate complexes of different alkaline and alkaline earth metals (**12**<sup>+</sup>, **13**<sup>2+</sup>, **14**<sup>2+</sup>). Other spacious dications are also possible guests, but the cryptates are particularly interesting, because their encapsulation generates Matroshka-doll-like molecule-in-molecule-in-molecule assemblies - the second sphere of encapsulation. As expected from <sup>1</sup>H NMR measurements, which show significant downfield shifts for the signals of the N-H protons forming the seam of hydrogen bonds as well as for the signals for the CH<sub>2</sub> protons of the encapsulated cryptate, the ESI mass spectra of solutions of **25** and the corresponding salt of **13**<sup>2+</sup> or **14**<sup>2+</sup> (with counterions Cl<sup>-</sup>, SCN<sup>-</sup>, B(*p*-ClPh)<sub>4</sub> or ClO<sub>4</sub><sup>-</sup>) showed base peaks corresponding to the cryptate dication encapsulated in the capsule dimer.<sup>14</sup>

Two aspects are interesting: a) Entropic factors again govern capsule formation. On the cavitand scaffold realized in **25**, all four glycoluril binding sites converge to the same side of the molecule. The monomers are thus well pre-organized. If one uses a calixarene scaffold as in **23** with its conformational freedom to interchange between cone, 1,2-alternate, or 1,3-alternate conformations, no preference for dimerization over polymerization is found. NMR spectra with broad peaks indicate that capsules are not specifically formed.

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(b) Seemingly, ion pairs are encapsulated in the bigballs, when the counterion is small enough, as e.g. for  $\text{SCN}^-$ . This is indicated by the fact that the dication intensity for the dimer-guest complexes increases at the expense of a singly charged dimer-guest-anion assembly, in which the anion compensates one charge, when the ions are collided with a collision gas in the ion source. Harsher ionization conditions thus lead to the expulsion of the ion from the cavity, while the cation remains trapped due to its much larger size.

## 6. Tetraurea Calixarenes: Large Assemblies through Tethering

So far, all capsules were formed through hydrogen bonding involving glycoluril moieties. However, other hydrogen bonding patterns are also possible. For example urea units can be used as in tetraurea calixarene capsules shown in Figure 13.<sup>15</sup> Mass spectrometric experiments with guest cation pairs competing for the capsule result in the ranking shown.<sup>16</sup> Tetraethyl ammonium is the best guest cation found and it fills ca. 78% of the cavity volume. This value deviates significantly from the usual 55% suggested in the literature,<sup>17</sup> but a crystal structure of this guest cation encapsulated in the tetraurea calixarene dimer exists and provides unambiguous evidence that this degree of space filling is possible. A rationalization may come from cation- $\pi$  interactions again. The electrostatic potential energy surface of the cavity shows that the concave surface bears a substantial negative partial charge due to the entangled  $\pi$ -systems of the aromatic rings.<sup>18</sup> Consequently, the cavity provides optimal

conditions to accommodate a cationic guest.<sup>19</sup>

Another new aspect comes from capsules formed from tethered monomers (Figure 14).<sup>16</sup> If the upper rims of the calixarenes are connected through a flexible tether, the addition of a suitable guest leads to intramolecular capsule formation. If however, the tether is rigid and connects the bottom rims, intramolecular capsule formation is impossible. Either these compounds form hydrogen-bonded polymers, or the addition of suitable monomeric caps breaks the oligomeric assemblies and dumbbell- or star-shaped capsule dimers and trimers can be observed. Mass spectrometry again permits to observe these ions.

## 7. Dimeric Resorcinarene Capsules: The Reliability of Electrospray Ionization

All capsules discussed so far were studied in the gas phase by mass spectrometry and in solution by NMR experiments. For all of them, both methods yield complementary results and it is clear that encapsulation of the cations also occurs in non-competitive solvents. ESI mass spectrometry thus proved to be a reliable and powerful tool to detect capsule formation.

A study of resorcinarene capsules,<sup>20</sup> however, for the first time gave rise to inconsistencies.<sup>21</sup> Figure 16 shows results from different states of aggregation: In the solid state, dimeric capsules are held together by a seam of hydrogen bonds mediated and extended by solvent molecules. These capsules bind quaternary ammonium ions inside their cavity. The counterions can be involved in the seam of hydrogen bonding. A computer model predicts that dimers



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3 would also be able to form around a  
4 tetramethyl ammonium ion without solvent  
5 molecules incorporated in the seam of hy-  
6 drogen bonds. In methanol solution, how-  
7 ever, a Job plot clearly indicates that 1:1  
8 complexes of resorcinarene and  $30^+$  cations  
9 prevail. Nevertheless, if that solution is  
10 diluted to 50  $\mu\text{M}$  concentration suitable for  
11 electrospray ionization and sprayed into  
12 the ESI ion source, the mass spectra ex-  
13 hibit strong signals for 2:1 complexes of  
14 host monomers and guest cation. Using  
15 equimolar mixtures of two of the resorci-  
16 narenes **26** - **28** resulted in the formation of  
17 heterodimers  $30^+@26\cdot27$ ,  $30^+@26\cdot28$  and  
18  $30^+@27\cdot28$  in nearly statistical 1:2:1 ratio  
19 relative to the corresponding homodimers.  
20 Furthermore, size selectivity studies and  
21 collision experiments suggest that the  
22 dimer-guest complexes are capsules even  
23 in the gas phase.

24 From these experiments, the question  
25 arises why the mass spectra do not provide  
26 a reliable picture of the solution-phase  
27 processes. Why do we see capsules in the  
28 gas phase, where there are no capsules in  
29 solution before the ionization? An answer  
30 to that question will be attempted below in  
31 the context of hexameric pyrogallarene  
32 capsules. This finding, however, immedi-  
33 ately leads to the conclusion that one  
34 should be careful when interpreting the  
35 ESI mass spectra. Even such soft ioniza-  
36 tion methods as ESI do not necessarily  
37 provide a true picture of the solution phase,  
38 sometimes not even qualitatively.

## 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60

### 8. Pyrogallarene Hexamers: Supramo- lecular Chemistry Under Conditions Violating Electroneutrality?

Hexameric resorcinarene and pyrogal-  
larene capsules<sup>22</sup> have been observed in the  
solid state and in solution. They encapsu-  
late several neutral as well as mono- and  
dicationic guests.

In order to transfer the hexameric capsules  
into the gas phase, we took the following  
approach: First, a solution of pyrogallarene  
**29** alone was electrosprayed (Figure 18a).  
The typical distribution of unspecific ag-  
gregates is seen in the mass spectrum with  
intensities decreasing with increasing  
monomer count. No specific formation of  
any capsule is observed. When a small  
guest cation such as  $30^+$  is added, the  
whole series converges into one dimer-  
guest signal (Figure 18b) indicating that  
the cation templates dimer formation as  
found for other resorcinarenes earlier (see  
above). Larger ammonium ions such as  $8^+$   
(Figure 18c) template the formation of  
larger assemblies, but with the lack of  
specificity for a particular one. Thus, a  
broad distribution of different oligomers is  
observed.

The use of larger guest cations with a suit-  
able pseudo-octahedral shape such as  
 $[\text{Ru}(\text{bpy})_3]^{2+}$  **31**<sup>2+</sup> (bpy = 2,2'-bipyridine)  
leads to the nearly selective formation of  
hexameric resorcinarene and pyrogallarene  
capsules with encapsulated **31**<sup>2+</sup>.<sup>23</sup> Appar-  
ently, the formation of a hexameric capsule  
requires an appropriate template that ex-  
actly fits into the cavity of this capsule  
such as the pseudo-octahedral complex  
**31**<sup>2+</sup> that is congruent in shape to the in-  
terior of the hexamer.

Tetramethylated resorcinarene **32** may be  
used as a control compound. Four of its  
hydrogen bonding sites are blocked with  
methyl groups. Unspecific binding to the

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3 guest dication  $31^{2+}$  should still be possible,  
4 while the hexameric capsule cannot be  
5 formed as easily. In the ESI mass spec-  
6 trum, **32** does not show any hexamer for-  
7 mation with  $31^{2+}$  providing evidence for  
8 the formation of an intact capsule.

9  
10 To have an even stronger proof for the  
11 retention of the capsular structure in the  
12 gas phase, mass-selected  $[31@29_6]^{2+}$  ions  
13 were irradiated with a CO<sub>2</sub> laser in the IR  
14 region in order to investigate the fragmen-  
15 tation behavior. These experiments showed  
16 that liberation of the guest cation  $31^{2+}$  does  
17 not proceed until dissociation of three py-  
18 rogallarene monomers has occurred. This  
19 is exactly the expected behaviour for the  
20 expected hexameric capsule with the guest  
21 inside the cavity. In turn, if the guest were  
22 attached to the outside of the capsule, one  
23 would expect the assembly to fragment  
24 through loss of the complete hexamer at  
25 least in competition to monomer losses.  
26 Consequently, the combination of suitable  
27 control experiments and gas-phase frag-  
28 mentation reactions leads to the conclusion  
29 that a capsule is indeed formed.

30  
31 However, a similar problem as discussed  
32 above for the resorcinarene dimer-guest  
33 complexes evolves, when one tries to find  
34 the hexamer with an encapsulated  $31^{2+}$   
35 dication in solution by NMR methods. So  
36 far, we could not find any indication that  
37 the dication is indeed encapsulated in the  
38 same solvent mixture which were used for  
39 the ESI-MS experiments (CHCl<sub>3</sub> : acetone  
40 2:1). A look at the model shown in Figure  
41 17 makes clear that the pyridine rings dive  
42 into the cavities of the individual resorci-  
43 narenes and thus should experience the  
44 anisotropy of the aromatic rings. Thus, one  
45 would expect the guest signals to shift up-

field. So, again: Why do we see specific  
hexameric capsules in the gas phase, when  
they are not present in solution?

The answer could be the following: In so-  
lution, the positive charges of the  $31^{2+}$  di-  
cations are counterbalanced by the corre-  
sponding anions. If the guest salts form ion  
pairs in solution, the encapsulation of the  
dication requires charge separation energy.  
If this energy is not counterbalanced by a  
sufficiently high binding energy of the  
guest inside the capsule cavity, encapsula-  
tion would not occur, thus preventing cap-  
sule formation in solution. However, upon  
the positive ion mode of the electrospray  
process, positively charged droplets are  
formed. Consequently, inside these drop-  
lets, an excess of free  $31^{2+}$  dications is pre-  
sent which can effectively template the  
formation of the capsule, because charge  
separation is no longer necessary. The  
hexameric capsules would therefore be  
formed in the charged droplets and desol-  
vation transfers them into the gas phase. If  
this still somewhat preliminary idea holds  
true, ESI mass spectrometry makes it pos-  
sible to examine supramolecular chemistry  
which only proceeds under conditions vio-  
lating electroneutrality.

Is there additional evidence for this as-  
sumption? Indeed, there is. Avram and  
Cohen<sup>24</sup> recently reported that hexameric  
capsules with other guests exchange  
monomers quite slowly. Also, pyrogallare-  
nes and resorcinarenes self-sort and do not  
easily form heterohexamers. If we take  
solutions of two different resorcinarenes,  
add the guest salt  $31^{2+}$  (PF<sub>6</sub><sup>-</sup>)<sub>2</sub>, mix both  
solutions and immediately measure a mass  
spectrum, a statistical distribution is ob-  
served even after half a minute. The same

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3 experiment conducted with a pyrogallarene  
4 and a resorcinarene again leads to a near-  
5 statistical mixture of all possible homo-  
6 and heterohexamers. Quite obviously, this  
7 behaviour is in marked contrast to the ob-  
8 servations by Avram and Cohen. It can,  
9 however, be easily rationalized by the  
10 charged-droplet idea: The time during  
11 which the hexamer ions can form in the  
12 droplets is in the range of microseconds.  
13 Consequently, no equilibrium can be  
14 reached. If the hexamers do not form in  
15 solution before mixing, both solutions con-  
16 tain only monomers, which during the  
17 short time of the ESI process lead to a sta-  
18 tistical distribution of all possible hexam-  
19 ers. The self-sorting of pyrogallarenes and  
20 resorcinarenes cannot be observed, since  
21 first any hexamers are formed far from  
22 equilibrium. The reaction time in the drop-  
23 lets is too short to allow for a self-sorting  
24 to take place.

## 37 9. Conclusions

38  
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40 Mass spectrometry is a powerful method  
41 for the investigation of non-covalently  
42 bound supramolecular complexes. It allows  
43 us to separate the species under study from  
44 environmental influences, so that only the  
45 intrinsic properties of the corresponding  
46 aggregates are observed. A method was  
47 established which provides a means to un-  
48 ambiguously characterize hydrogen  
49 bonded capsules in the gas phase. How-  
50 ever, it is necessary to take into account the  
51 particularities of the ionization method.  
52 Under particular circumstances, ESI may  
53 be misleading, when interpreted carelessly.  
54 The seeming disadvantage can however be  
55 converted into an advantage, if one consid-

ers that a mass spectrometer cannot only be  
a detector for solution-phase processes or a  
laboratory to study gas-phase reactions.  
During the ESI process, charged droplets  
are formed in which electroneutrality is  
violated. Chemistry, which only occurs  
under these conditions can be examined by  
ESI mass spectrometry, if it is known ex-  
actly, what is going on in solution (e.g.  
through NMR experiments) and what oc-  
curs in the gas phase (e.g. through tandem  
MS experiments). Chemistry different  
from both may be due to reactions in the  
charged droplets and may only occur, if  
electroneutrality is violated.

## 10. References

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## Figure Captions

**Figure 1.** Self-complementary building blocks of the molecular softballs and different guest cations. Monomer **5** bears methyl-blocked binding sites and thus serves as a control compound which does not form dimeric capsules.

**Figure 2.** Force-field-optimized geometries of the dimeric capsules **1•1** - **4•4**; solubilizing R groups and carbon-bound hydrogen atoms are omitted for clarity.

**Figure 3.** ESI mass spectra of 50  $\mu\text{M}$  chloroform solutions of softballs **1•1** - **4•4** with 1 eq. of guest cation **6a<sup>+</sup>**.

**Figure 4.** S-shaped monomer for control experiments. Due to the inappropriate preorganization of the two glycoluril units, no dimer formation can occur.

**Figure 5.** Electrospray mass spectra of chloroform solutions of **3•3** and **4•4** with **6a<sup>+</sup>BF<sub>4</sub><sup>-</sup>** as the guest salt.

**Figure 6.** Collision-induced covalent bond cleavages which can compete with monomer loss and guest expulsion in the gas phase.

**Figure 7.** Tetrameric capsules (inset) and the ESI mass spectrum obtained from a 50  $\mu\text{M}$  chloroform solution of monomer **9** and guest salt **6a<sup>+</sup>BF<sub>4</sub><sup>-</sup>**. Note that the high intensity of the tetramer-guest complex alone already indicates the formation of a specific assembly.

**Figure 8.** Comparison between neutral and cationic guests of identical sizes and shapes lead to the conclusion that cation- $\pi$  interactions are pivotal for guest binding in the tetrameric capsules.

**Figure 9.** Flexiball monomers and guest (di)cations for the flexiballs and bigballs (see below), with which a mass spectrometric characterization becomes possible.

**Figure 11.** Modular synthesis of cavitand-based capsule monomers **23** and **25**.

**Figure 12.** Computer model of the bigball (top left), dicationic guests (top) and ESI mass spectrum of the dimer-cryptate guest.

**Figure 13.** Tetraurea calixarene monomer (bottom right), computer model of the dimeric capsule (bottom left). Typical guest cations (top right) and electrostatic potential energy surface of the capsule interior (top left). Percentages are packing coefficients of the guest inside the cavity; numbers below show guest selectivities as obtained from competition experiments with guest pairs.

**Figure 14.** Larger assemblies through tethering of monomers: A flexible linker between the upper rims provides an intramolecularly closing capsule, rigid tethers at the bottom rims lead to dumbbell- and star-shaped capsule dimers and trimers when a suitable cap is provided.

**Figure 15.** Resorcinarenes and pyrogallarenes that were tested for capsule formation.

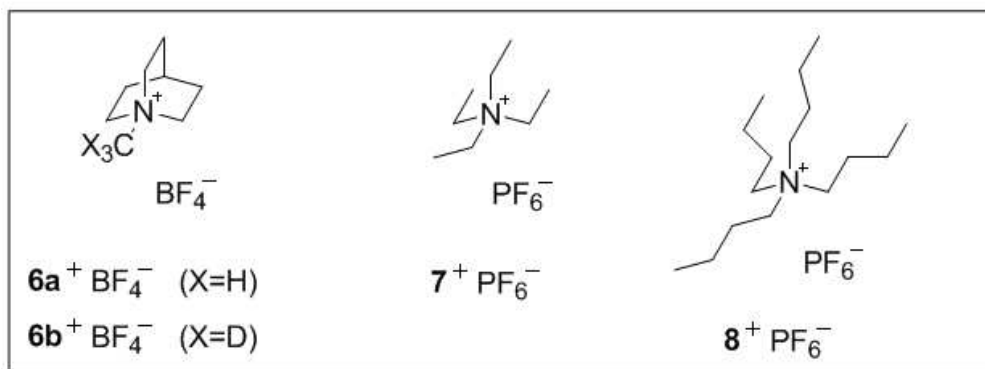
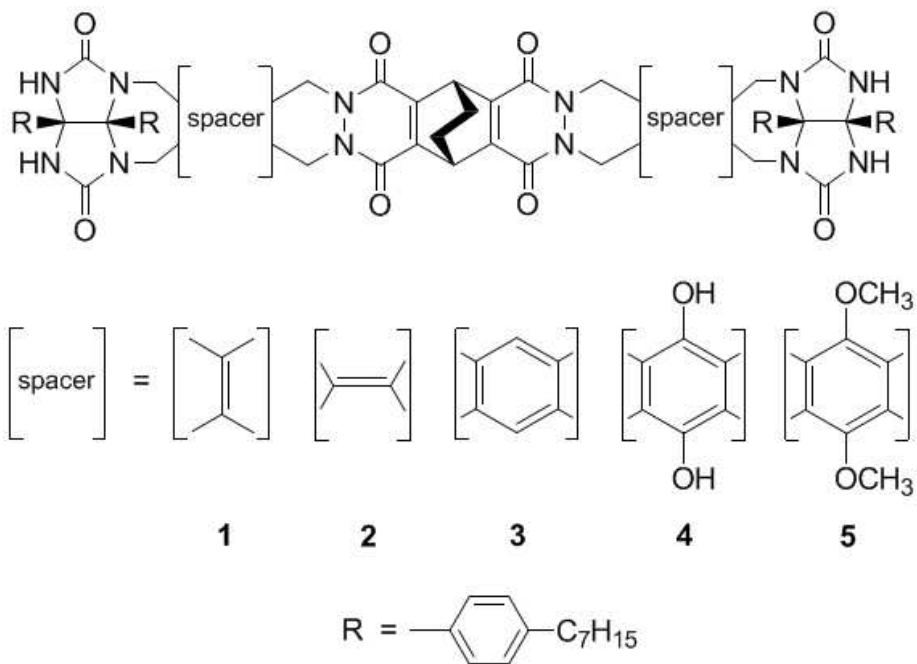
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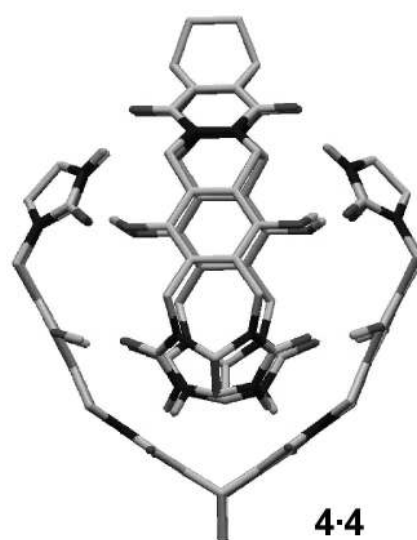
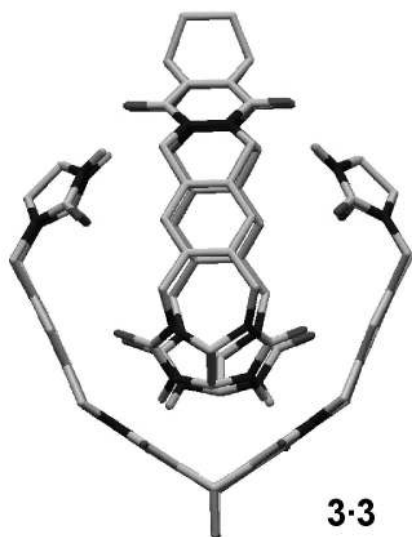
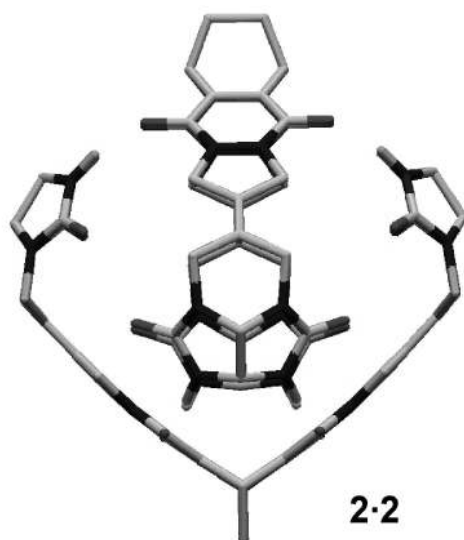
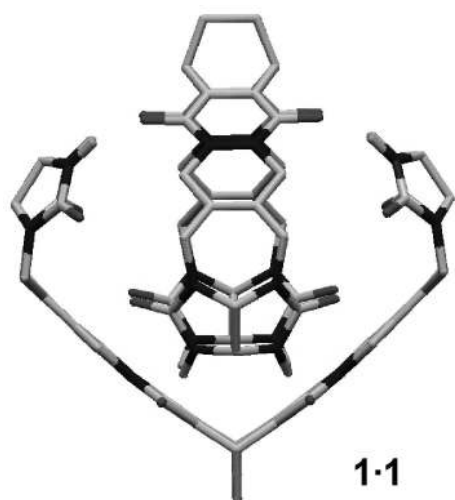
**Figure 16.** Top right: Crystal structure of a solvent-mediated dimeric capsule formed from tetramethyl ammonium ( $30^+$ ) and two resorcinarenes. Top left: Dimer-guest complex with  $30^+$  as calculated with the Amber\* force field (no solvent molecules in the hydrogen bonding seam). Center: Job plot indicating 1:1 complex formation in methanol. Bottom: ESI mass spectra of methanol solutions of resorcinarenes **26–28** with  $30^+$  as the guest cation.

**Figure 17.** Computer-generated structure of the pyrogallarene hexamer with encapsulated pseudo-octahedral  $bpy_3Ru(II)$  dications.

**Figure 18.** ESI-FTICR mass spectra of a) a 200  $\mu M$   $CHCl_3$  : acetone (2 : 1) solution of **29**, b) after addition of  $30^+ BF_4^-$  or c)  $(8^+)_3 [Fe(CN)_6]^{3-}$ . d,e) ESI-FTICR mass spectra of the same solution of **29** and **27**, respectively, with  $31^{2+} (PF_6^-)_2$  optimized for hexamer intensity. f) Control experiment with tetramethyl resorcinarene **32**. Insets: Experimental and calculated isotope patterns of the hexamer ions  $[31@29_6]^{2+}$  and  $[31@27_6]^{2+}$ .

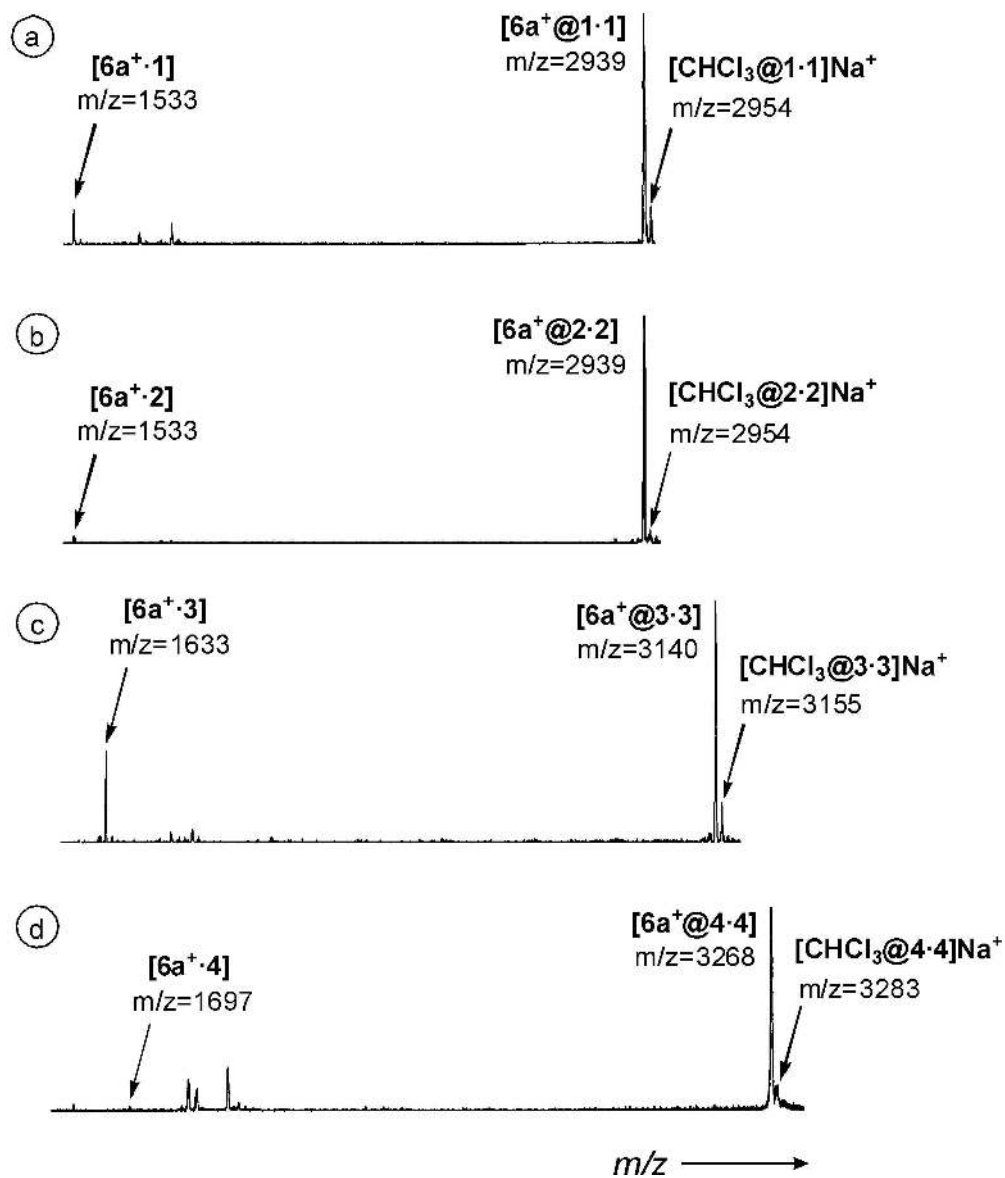
**Figure 19.** Infrared Multiphoton Dissociation (IRMPD) experiment with mass-selected  $[31@29_6]^{2+}$ . Increasing irradiation times lead to consecutive monomer losses. The formation of bare  $31^{2+}$  starts to compete with the loss of additional monomers from the trimer  $[31@29_3]^{2+}$ .



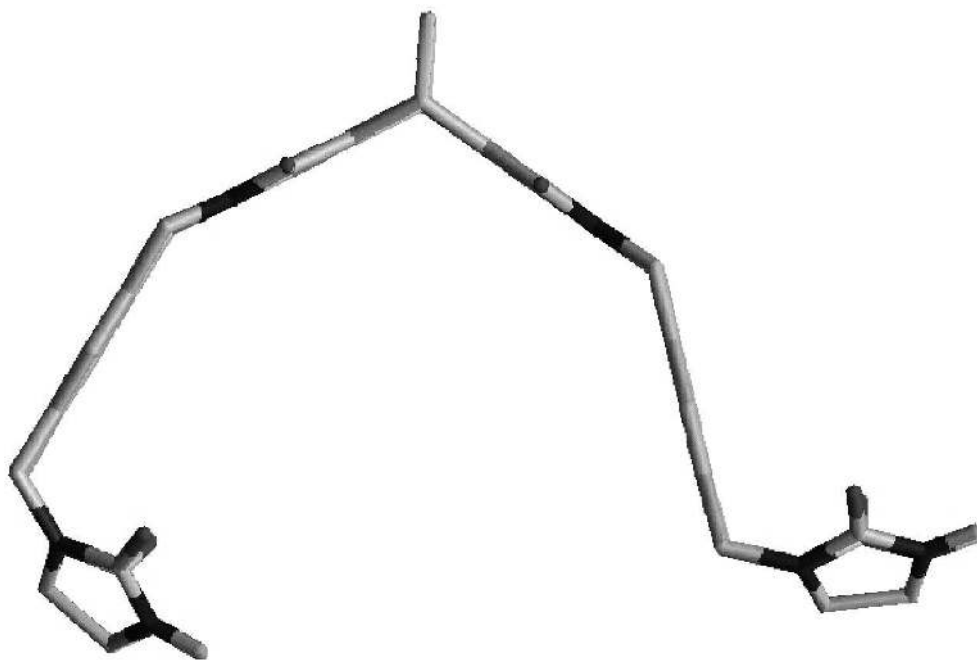


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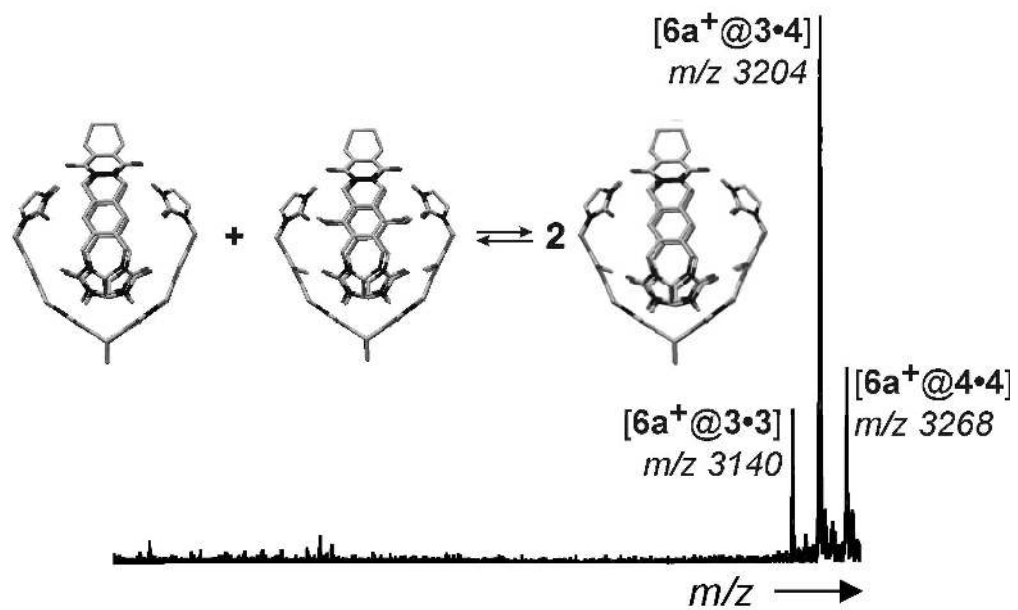


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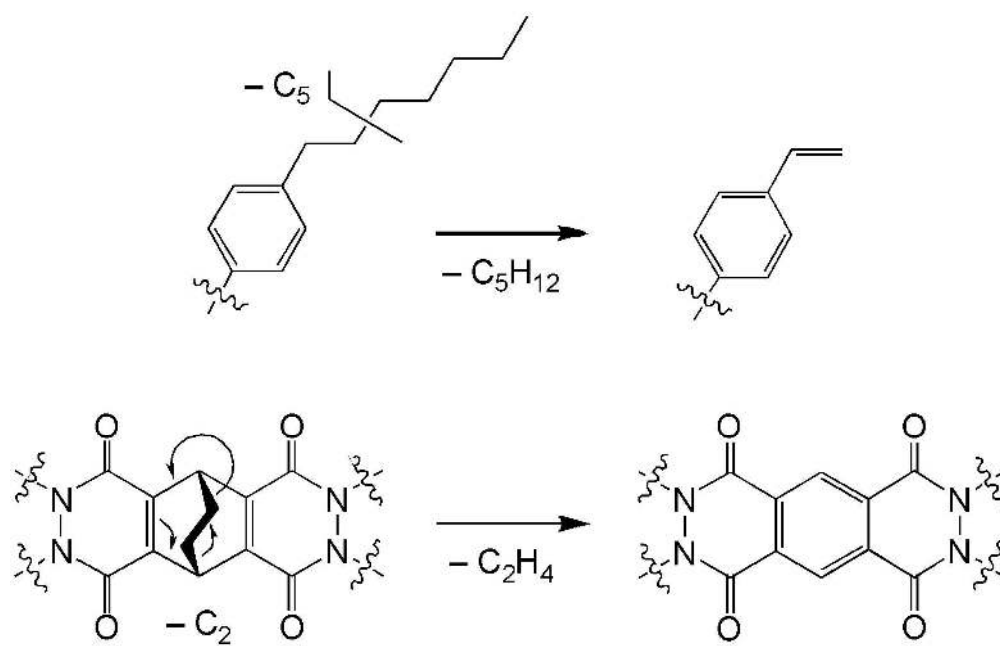


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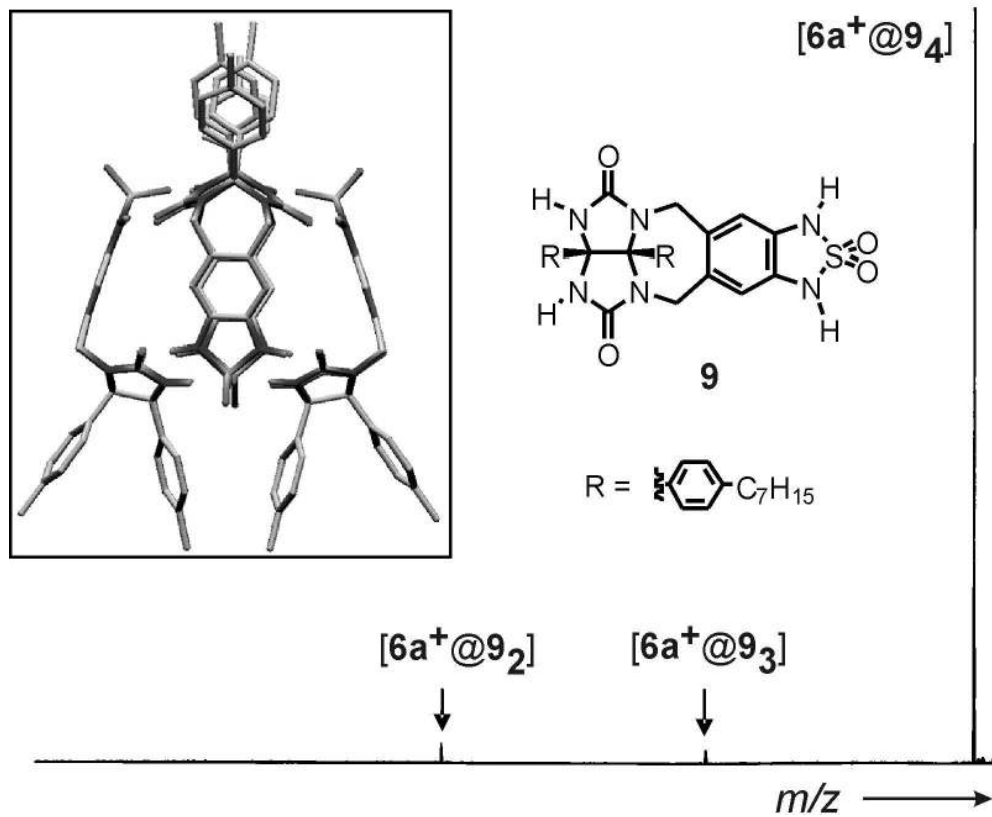
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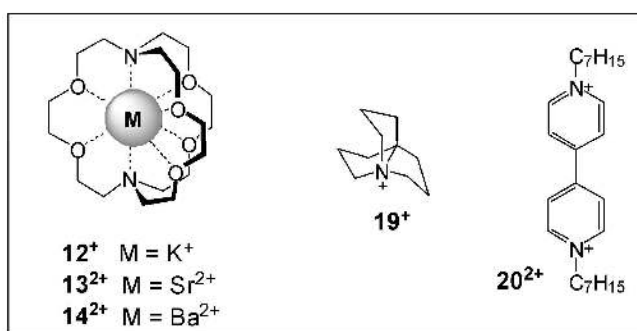
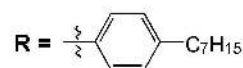
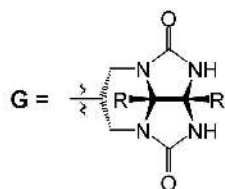
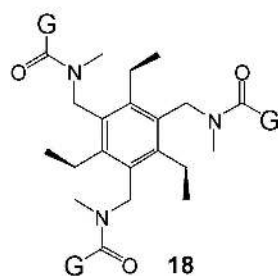
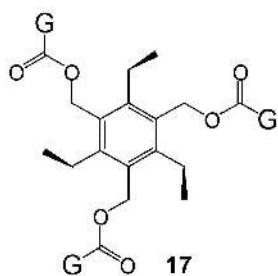
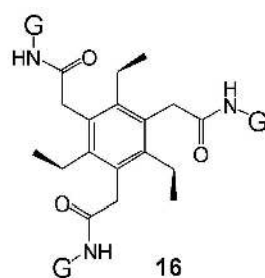
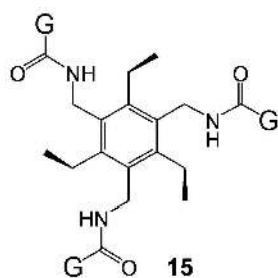
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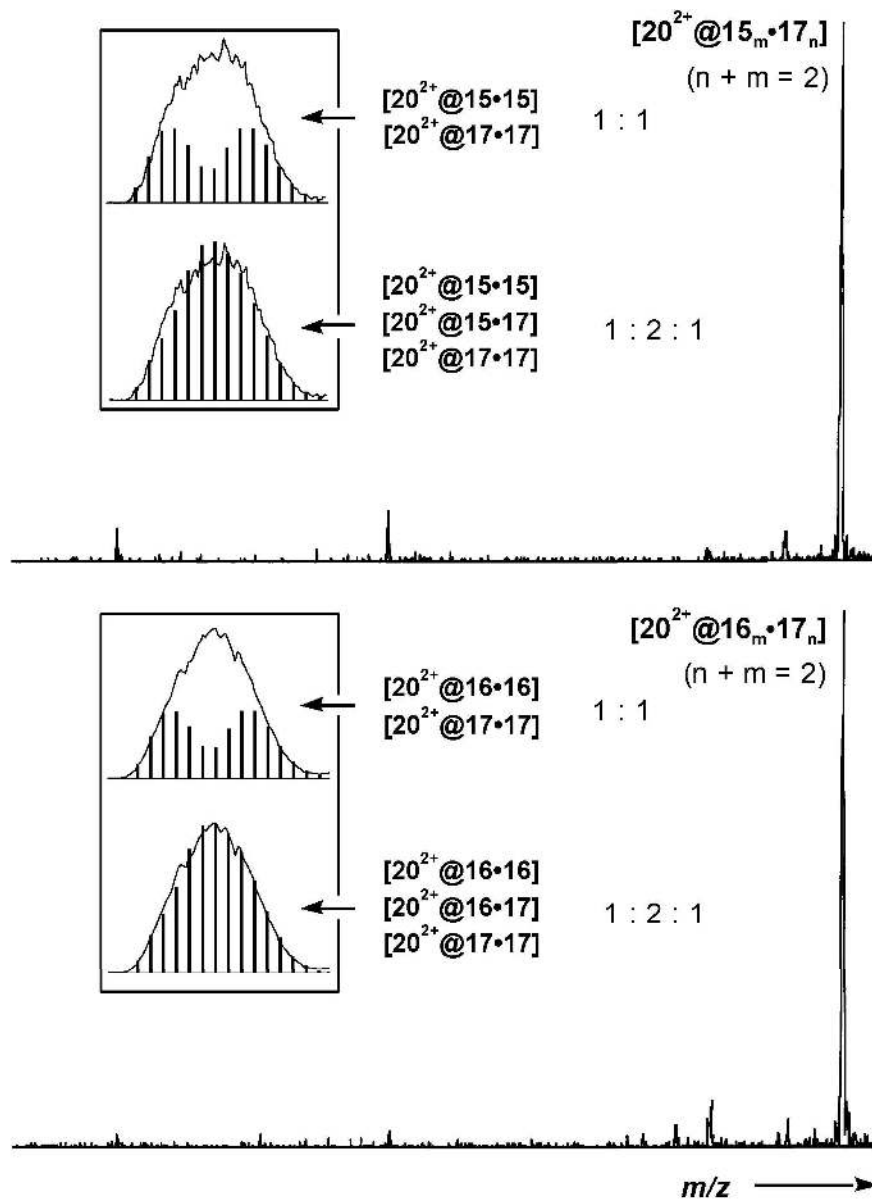
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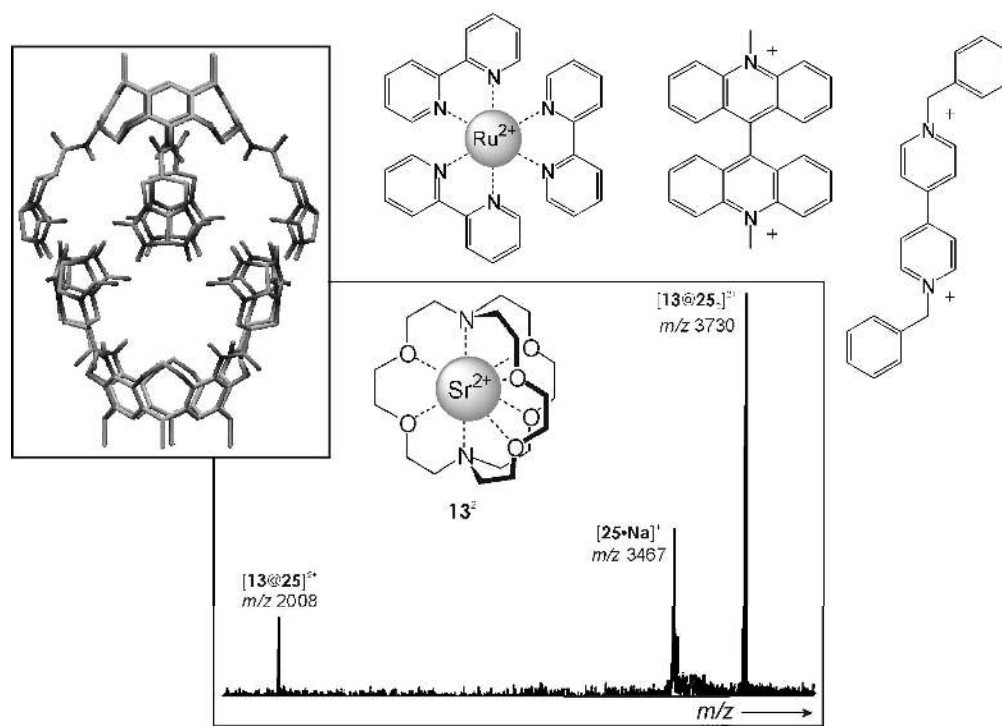
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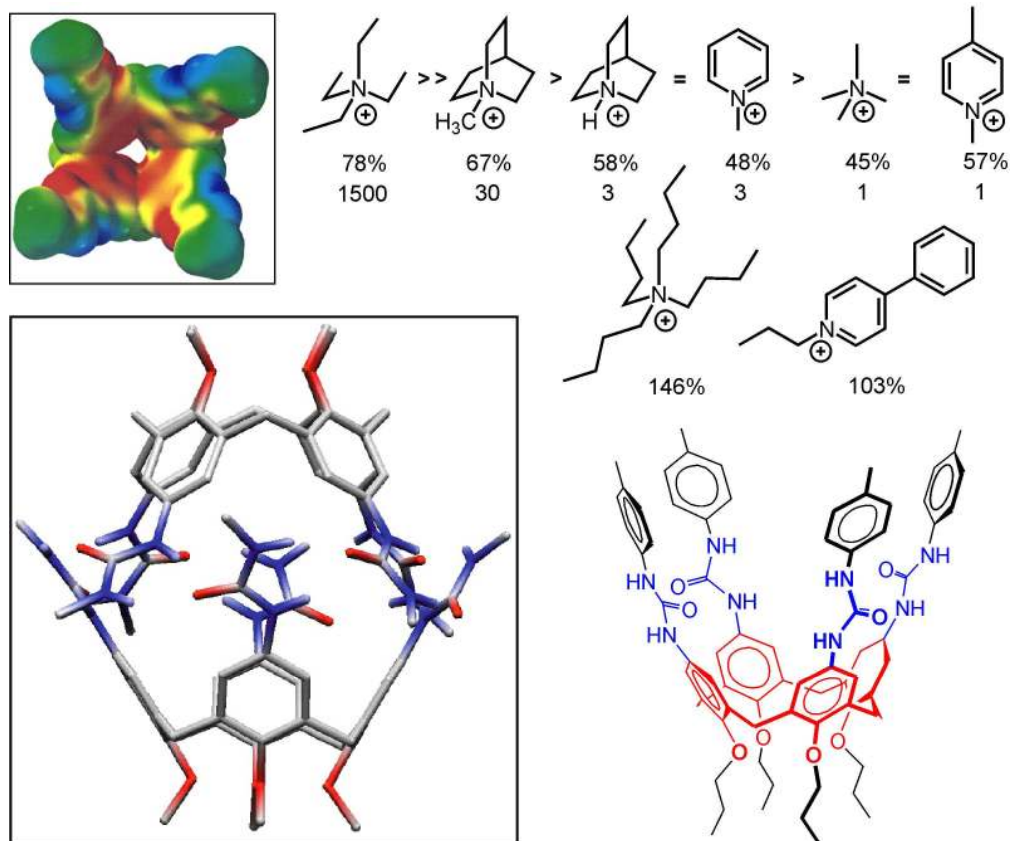


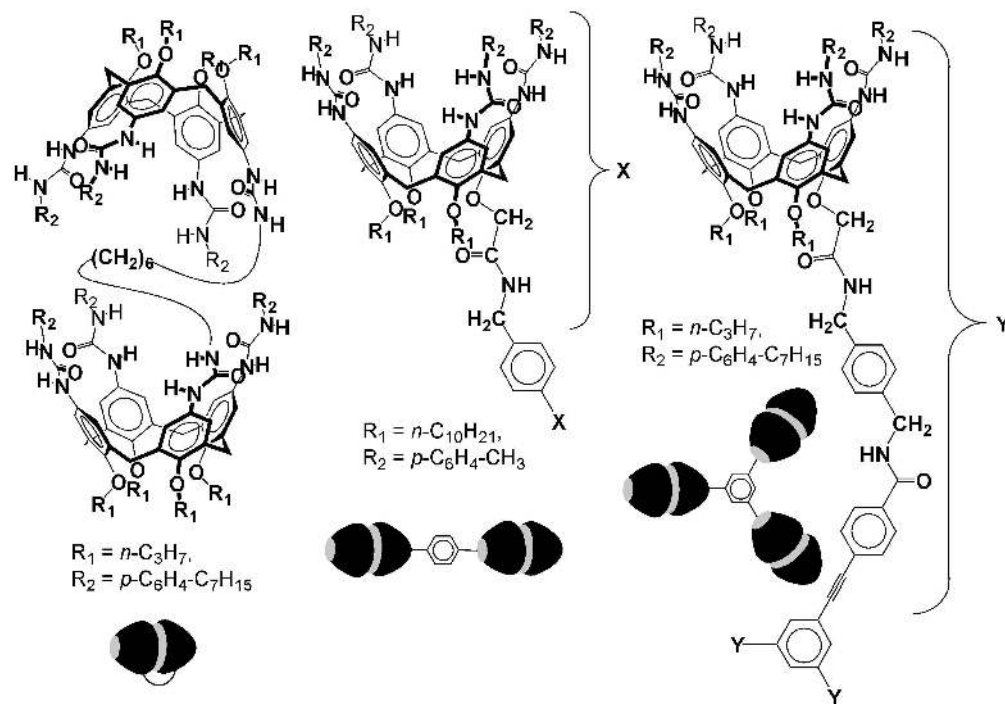
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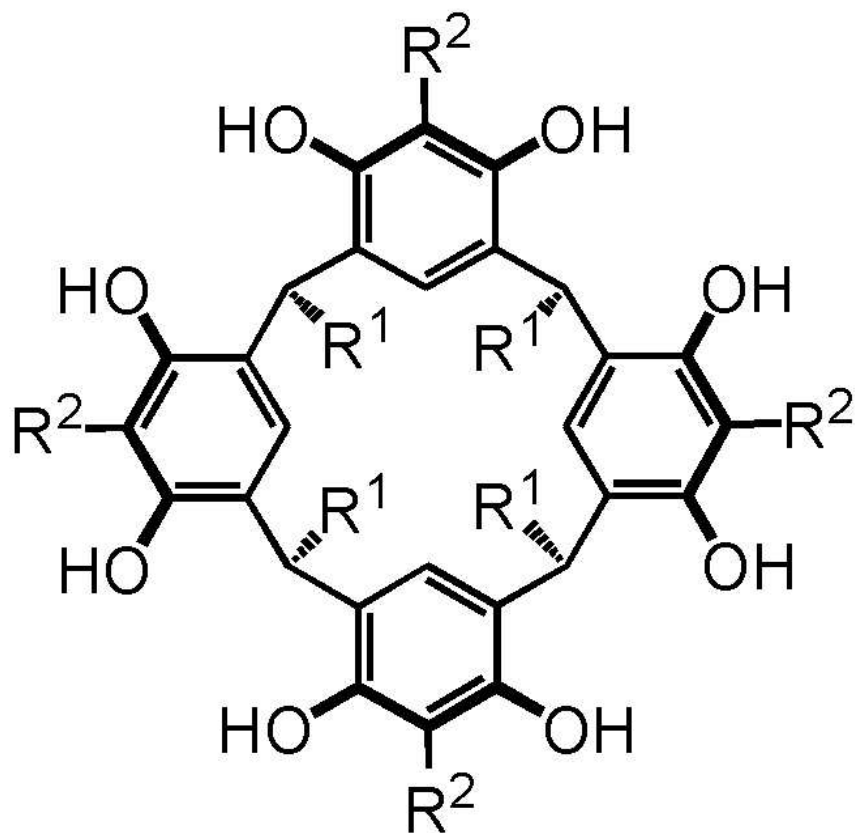
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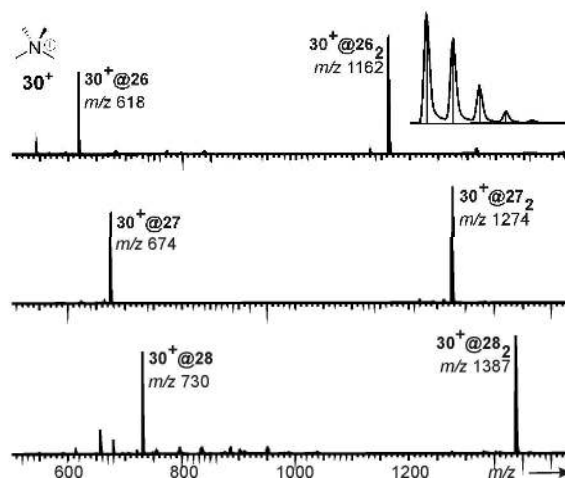
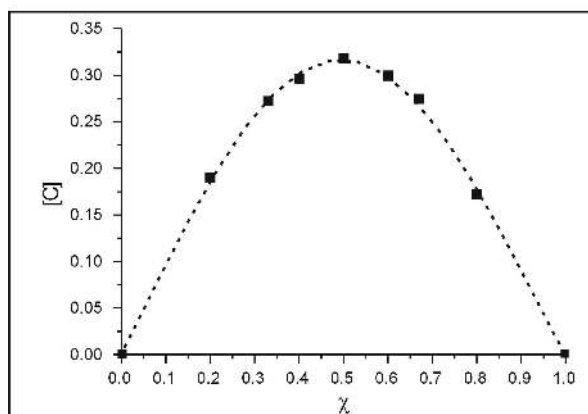
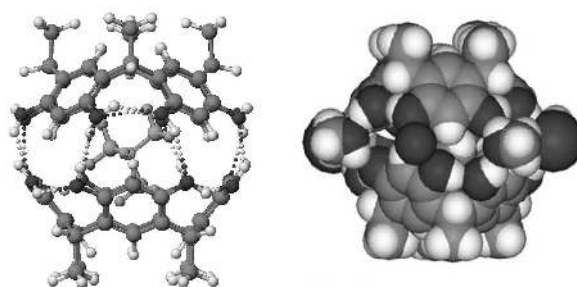


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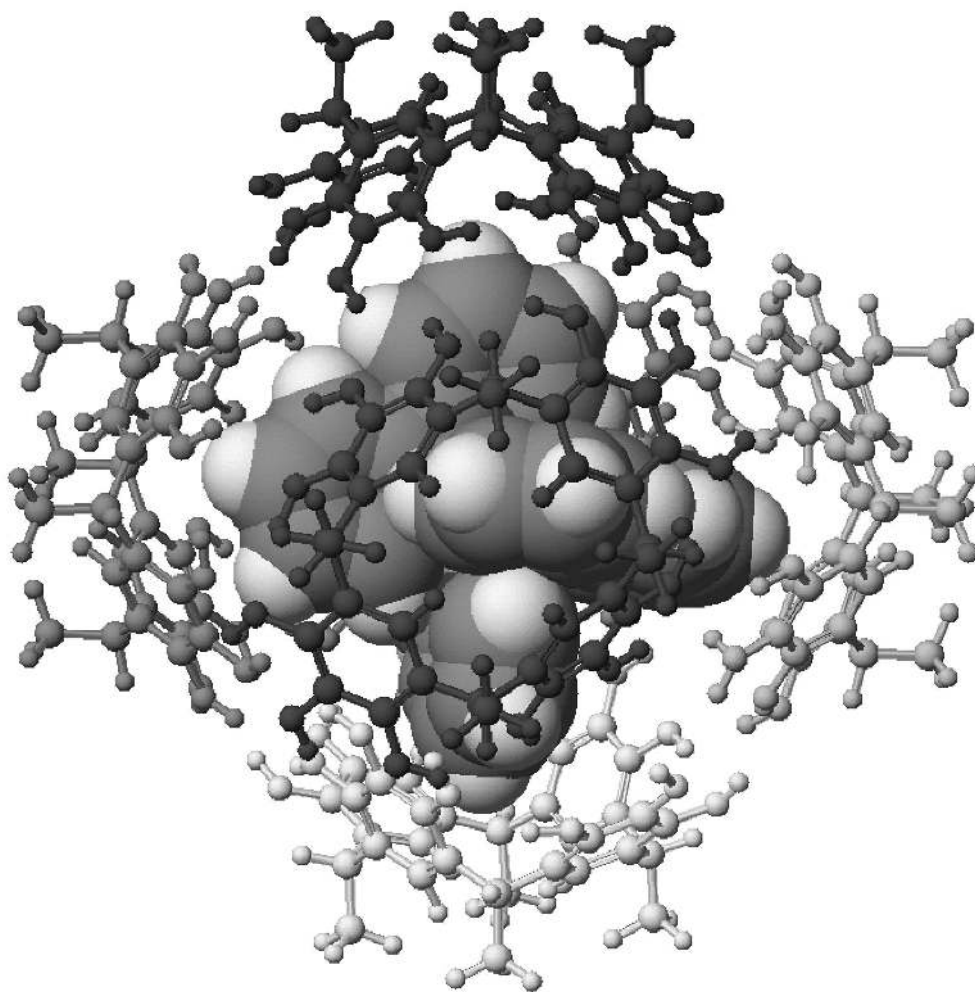


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**27** R<sup>1</sup>=C<sub>2</sub>H<sub>5</sub>    R<sup>2</sup>=H  
**28** R<sup>1</sup>=C<sub>3</sub>H<sub>7</sub>    R<sup>2</sup>=H  
**29** R<sup>1</sup>=C<sub>3</sub>H<sub>7</sub>    R<sup>2</sup>=OH

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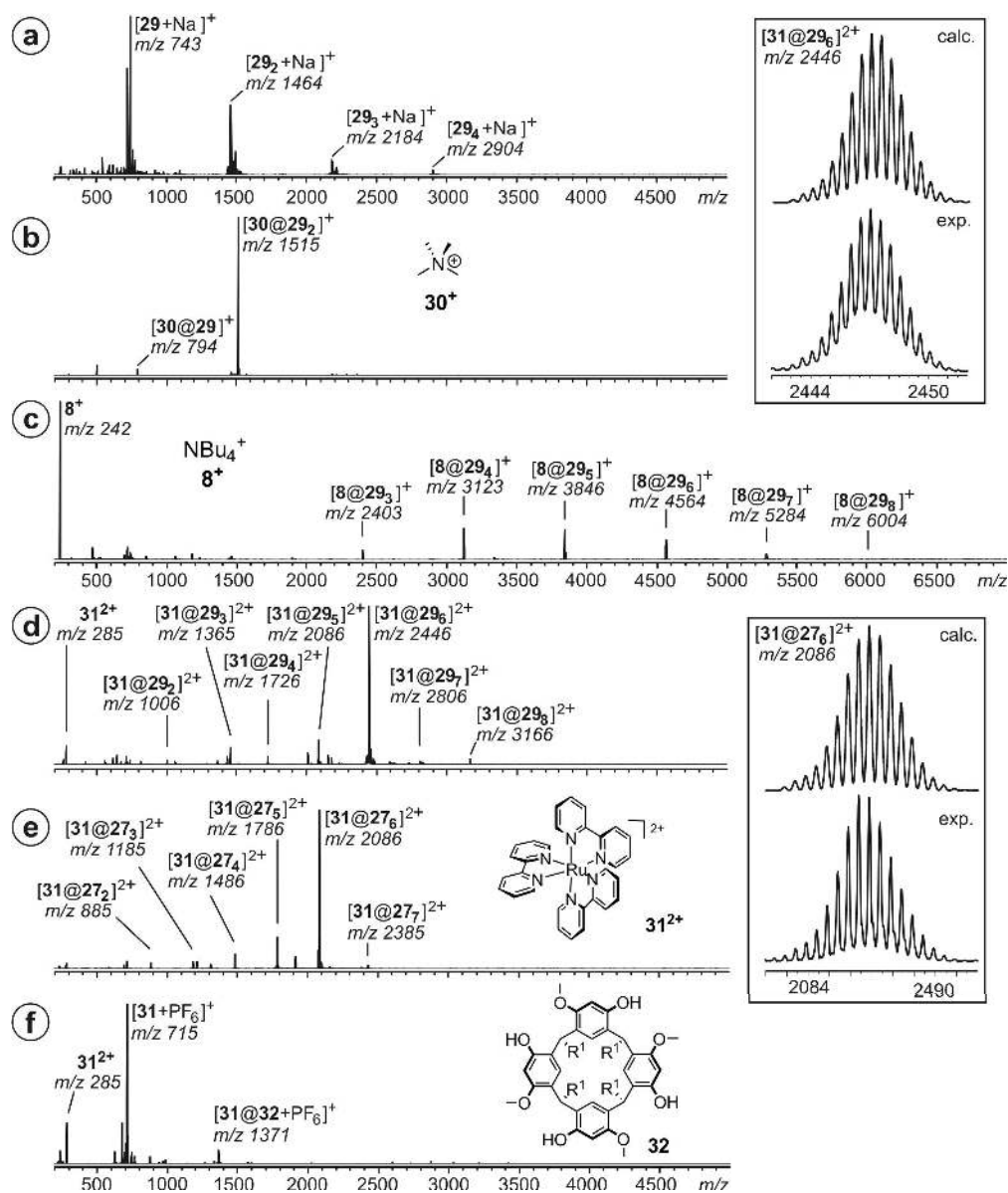
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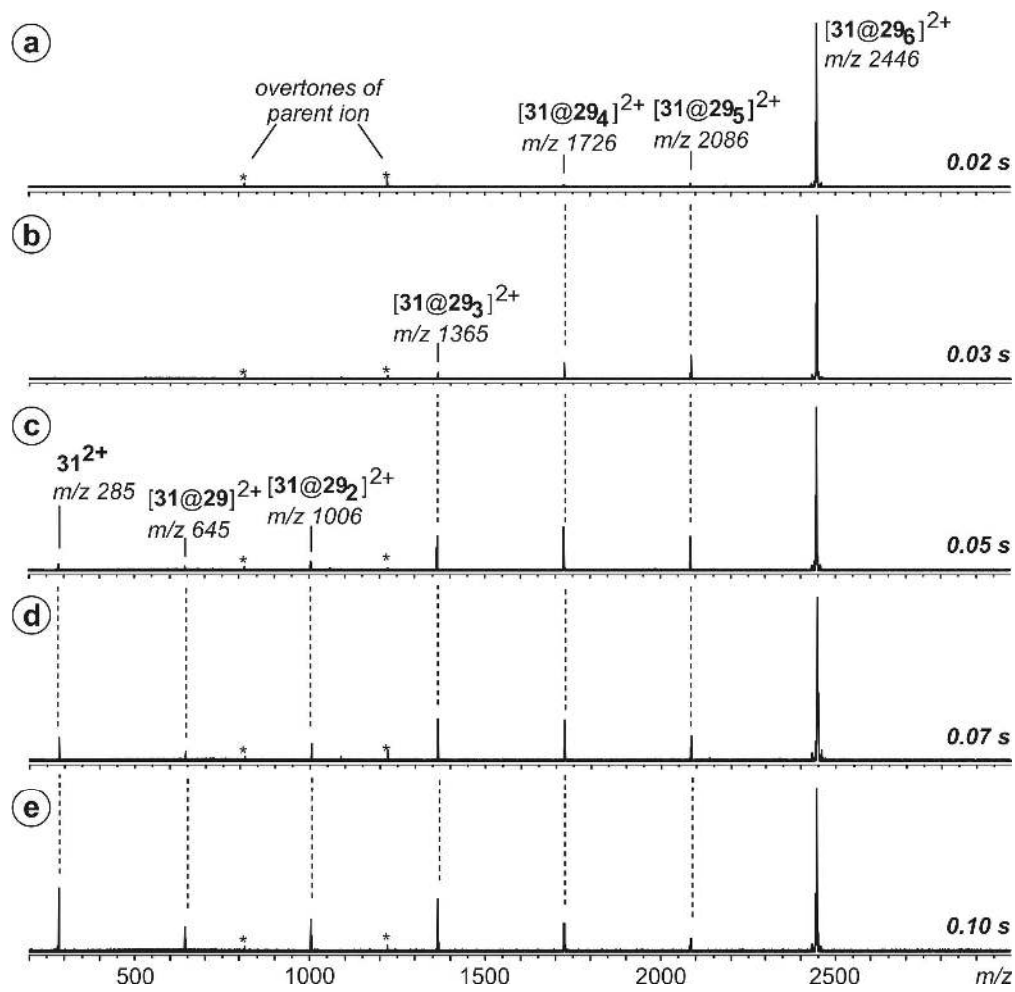
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