

Human iPSC-derived Astrocytes Transplanted into the Mouse Brain Display three Morphological Responses to Amyloid-β Plaques

Pranav Preman

KU Leuven University: Katholieke Universiteit Leuven

Julia TCW

Mount Sinai School of Medicine: Icahn School of Medicine at Mount Sinai

Sara Calafate

KU Leuven University: Katholieke Universiteit Leuven

An Snellinx

KU Leuven University: Katholieke Universiteit Leuven

Maria Alfonso-Triguero

Achucarro

Nikky Corthout

KU Leuven University: Katholieke Universiteit Leuven

Sebastian Munck

KU Leuven University: Katholieke Universiteit Leuven

Dietmar Rudolf Thal

KU Leuven University: Katholieke Universiteit Leuven

Alison Goate

Mount Sinai School of Medicine: Icahn School of Medicine at Mount Sinai

Bart Destrooper

VIB Onderzoekscentrum voor Ontstaansmechanismen van Ziekten: Katholieke Universiteit Leuven Centrum Menselijke Erfelijkheid

Amaia M Arranz (amaia.arranz@achucarro.org)

Achucarro https://orcid.org/0000-0002-8314-7870

Research article

Keywords: human induced pluripotent stem cells (hiPSCs), astrocytes, chimeric mouse models, Alzheimer's disease, amyloid plaques, APOE

Posted Date: November 24th, 2020

DOI: https://doi.org/10.21203/rs.3.rs-112937/v1

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1 Human iPSC-derived astrocytes transplanted into the mouse brain display three

2 morphological responses to amyloid-β plaques

3 Pranav Preman^{1 2 +}, Julia TCW^{3 4 +}, Sara Calafate^{1 2}, An Snellinx^{1 2}, Maria Alfonso-Triguero⁵,

4 Nikky Corthout^{1 2 6}, Sebastian Munck^{1 2 6}, Dietmar Rudolf Thal⁷, Alison M Goate^{3 4 8}, Bart De

- 5 Strooper^{129*} and Amaia M Arranz^{12510*}
- 6
- ⁷ ¹ VIB Center for Brain & Disease Research, Leuven, Belgium.
- 8 ² Laboratory for the Research of Neurodegenerative Diseases, Department of Neurosciences,
- 9 Leuven Brain Institute (LBI), KU Leuven (University of Leuven), Leuven, Belgium.
- 10 ³ Department of Neuroscience & Friedman Brain Institute, Icahn School of Medicine at Mount
- 11 Sinai, New York, NY, United States of America.
- 12 ⁴ Ronald M. Loeb Center for Alzheimer's disease, Icahn School of Medicine at Mount Sinai,
- 13 New York, NY, United States of America.
- ⁵ Achucarro Basque Center for Neuroscience, Leioa, Spain.
- ⁶ VIB Bio Imaging Core, Campus Gasthuisberg, 3000, Leuven, Belgium.
- 16 ⁷ Laboratory for Neuropathology, Department of Imaging and Pathology, Leuven Brain Institute
- 17 (LBI), KU Leuven (University of Leuven); and Department of Pathology, University Hospital
- 18 Leuven, Leuven, Belgium.
- 19 ⁸ Department of Genetics and Genomic Sciences, Icahn Institute of Genomics and Multiscale
- 20 Biology, Icahn School of Medicine at Mount Sinai, New York, NY, United States of America.
- ⁹ UK Dementia Research Institute, University College London, London, UK.
- ¹⁰ Ikerbasque Basque Foundation for Science, Bilbao, Spain.
- 23
- 24 pranav.preman@kuleuven.be
- 25 julia.tcw@mssm.edu
- 26 <u>sara.calafate@kuleuven.be</u>
- 27 <u>an.snellinx@kuleuven.be</u>

28	maria.alfonso@achucarro.org
29	nikky.corthout@kuleuven.be
30	sebastian.munck@kuleuven.be
31	dietmar.thal@kuleuven.be
32	alison.goate@mssm.edu
33	bart.destrooper@vib.be
34	amaia.arranz@achucarro.org
35	
36	+These authors contributed equally.
37	*Correspondence: <u>bart.destrooper@vib.be</u> , <u>amaia.arranz@achucarro.org</u>
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52 ABSTRACT

Background: Increasing evidence for a direct contribution of astrocytes to neuroinflammatory
and neurodegenerative processes causing Alzheimer's disease comes from molecular studies
in rodent models. However, these models may not fully recapitulate human disease as human
and rodent astrocytes differ considerably in morphology, functionality, and gene expression.
Methods: To address these challenges, we established an approach to study human astroglia
within the context of the mouse brain by transplanting human induced pluripotent stem cell
(hiPSC)-derived glia progenitors into neonatal brains of immunodeficient mice.

Results: Xenografted (hiPSC)-derived glia progenitors differentiate into astrocytes that integrate functionally within the mouse host brain and mature in a cell-autonomous way retaining human-specific morphologies, unique features and physiological properties. In Alzheimer's chimeric brains, transplanted hiPSC-derived astrocytes respond to the presence of amyloid plaques with various morphological changes that seem independent of the *APOE* allelic background.

66 **Conclusion:** In sum, this chimeric model has great potential to analyze the role of patient-67 derived and genetically modified astroglia in Alzheimer's disease.

Keywords: human induced pluripotent stem cells (hiPSCs), astrocytes, chimeric mouse
 models, Alzheimer's disease, amyloid plaques, *APOE*

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77 BACKGROUND

Astrocytes are essential to maintain the homeostasis of the brain, provide trophic support, 78 79 stimulate synaptogenesis and neurotransmission, and regulate blood-brain-barrier 80 permeability (1,2). Impaired astroglial function contributes to neurological and neurodegenerative disorders including Alzheimer's disease (AD) (3-8). Genome-wide 81 association studies (9,10) show that genetic risk of AD is also associated with genes mainly 82 expressed in astroglia such as Clusterin (CLU), Fermitin family member 2 (FERMT2) and 83 Apolipoprotein E (APOE) (11), highlighting the potential importance of these cells in the 84 disease. Different types of astroglial pathology have been described in the AD brain (12–14). 85 Among those, hypertrophic (15), guiescent and degenerating morphologies (16,17) were 86 found. 87

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Transgenic models have provided invaluable tools to study the role of astroglia in AD (18-21). 89 However, these models of AD might insufficiently mimic the human disease, as there are major 90 differences between rodent and human astrocytes. Morphologically, human astrocytes are 91 92 larger and more complex, having around 10 times more processes than their rodent counterparts (22). Molecularly, human astrocytes and mouse astrocytes display different, 93 although overlapping, gene expression profiles (11). Functionally, human astrocytes propagate 94 calcium waves four-fold faster than rodent ones (11,22,23), and human and mouse astrocytes 95 show very different responses when exposed to inflammatory stimuli (24,25). 96

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The ability to generate induced pluripotent stem cells (iPSCs) from patients and differentiate them into astrocytes and other CNS cell types has generated exciting opportunities to examine AD associated phenotypes *in vitro* (39) and unravel the contribution of astroglial risk genes to AD (26–29). Yet, human iPSC (hiPSC)-derived astrocytes grown in culture lack essential components present in the brain which can induce altered phenotypes and gene expression signatures significantly different from that of primary resting astroglia in the brain (11,30).

Therefore, it has proved challenging to advance understanding of human astroglial function inAD.

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107 To address these challenges, we aimed at developing a chimeric model that allows studying 108 hiPSC-derived astrocytes in an *in vivo* AD context. We and others have generated chimeric models to study AD by transplanting human PSC-derived neurons or microglia into the brains 109 of immunodeficient AD mice and wild-type littermates (31-33). These models revealed that 110 111 human neurons and microglia transplanted into the mouse brain respond to pathology differently than their murine counterparts, showing specific vulnerability and transcriptional 112 signatures when exposed to amyloid- β (A β) (31,32). Moreover, human glia chimeric mice have 113 been generated by Goldman and collaborators to investigate the function of engrafted human 114 glia, mainly NG2 cells and lower proportions of oligodendrocytes and astrocytes, in disease 115 relevant conditions such as Huntington disease, Schizophrenia or hypomyelination (34-36). 116 117 Yet, to date no studies have analyzed the phenotype and functional responses of xenografted 118 human astrocytes exposed to Aβ and AD-associated pathology *in vivo*.

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120 We established here a chimeric model to investigate survival, integration, properties and 121 responses to A β species of human astrocytes expressing APOE ϵ 3 (E3) vs APOE ϵ 4 (E4) variants. We document here engraftment of astrocytes that integrate in a functional way in the 122 123 mouse host brain and display human-specific morphologies and properties. When transplanted 124 human astrocytes are exposed to $A\beta$ plaques, they display hypertrophic and atrophic responses similar to the ones seen in AD patients' brains (12,16,17). Our results validate the 125 use of chimeric mice as a potential powerful tool for studying astrocyte contribution to AD. We 126 127 also discuss one of the major hurdles to fully capture the strength of this approach, which is, in our hands, the variable and often low degree of chimerism obtained with human astrocytes 128 from different hiPSC lines after several months of transplantation. 129

131 METHODS

132 Generation of isogenic CRISPR/Cas9 gene-edited hiPSCs

Eight hiPSC lines were generated from three APOE ɛ4 carriers diagnosed with AD (Table 1) 133 134 as described previously by the 'CORRECT' scarless gene-editing method (37). The correct APOE sgRNA sequence orientation was confirmed by Sanger sequencing and CRISPR/Cas9-135 APOE sgRNA plasmid cleavage efficiency was determined using the Surveyor mutation 136 detection kit in 293T cells. The single-strand oligo-deoxynucleotide (ssODN) was designed to 137 convert APOE £4 to APOE £3 with a protospacer adjacent motif (PAM) silent mutation to 138 prevent recurrent Cas9 editing. hiPSCs (70-80% confluent) dissociated by Accutase 139 supplemented with 10 µM Thiazovivin (Tzv) (Millipore), were harvested (200 x g, 3 min), and 140 electroporated (Neon®, ThermoFisher) according to the manufacturer's instructions. In brief, 141 cells resuspended in 10µl Neon Resuspension Buffer R, 1µg CRISPR/Cas9-APOE sgRNA 142 plasmid and 1µl of 10µM of ssODN were electroporated plated on Matrigel-coated plates in 143 mTeSR media with 10 µM Tzv for 72h. GFP-expressing hiPSC were isolated by FACS (BD 144 FACSAria). Sorted single cells were suspended in mTeSR with Tzv and plated into 96 well 145 plates containing MEFs (4,000 cells/well). Clones were expanded and transferred to a replicate 146 plate for gDNA isolation and Sanger sequencing to identify genome edited clones. 147

148

149 **Table 1. Information on the hiPSC lines.**

hiPSC	hiPSC name	Ethnicity	Gender	Age	Age at	Disease	APOE	Genetic
line				of	skin	status (CDR	genotype	modification
				onset	biopsy	at biopsy)		
1	TCW1E33-1F1	Caucasian	F	64	72	AD (2)	E4/E4	E3/E3
2	TCW1E44-2C2	Caucasian	F	64	72	AD (2)	E4/E4	E4/E4
3	TCW2E33-3D11	Caucasian	М	77	80	AD (0.5)	E4/E4	E3/E3
4	TCW2E44-4B12	Caucasian	М	77	80	AD (0.5)	E4/E4	E4/E4
5	TCW2E33-2E3	Caucasian	М	77	80	AD (0.5)	E4/E4	E3/E3

6	TCW2E44-4B1	Caucasian	М	77	80	AD (0.5)	E4/E4	E4/E4
7	TCW3E33-H-2	Caucasian	Μ	80	83	AD (0.5)	E4/E4	E3/E3
8	TCW3E44-F-2	Caucasian	М	80	83	AD (0.5)	E4/E4	E4/E4

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The table shows hiPSC name, patient ethnicity, gender, age of onset, age at skin biopsy, disease status (CDR at biopsy), original *APOE* genotype and genetic modification. F female, M male, AD Alzheimer's disease, APOE apolipoprotein, CDR clinical dementia rating, hiPSC human induced pluripotent stem cells. These cells were previously generated and characterized by (29).

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157 Karyotyping

Karyotyping was performed by Wicell Cytogenetics (Madison, WI). Karyotypes are shown inAdditional file 2, Figure S1.

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161 Generation of reporter hiPSC-astrocytes

The consent for reprogramming human somatic cells to hiPSC was carried out on ESCRO 162 protocol 19-04 at Mount Sinai (J.TCW.). hiPSCs maintained on Matrigel (Corning) in mTeSR1 163 (StemCell Technologies) supplemented with 10 ng/ml FGF2 StemBeads (StemCultures) were 164 165 differentiated to neural progenitor cells (NPCs) by dual SMAD inhibition (0.1µM LDN193189 166 and 10µM SB431542) in embryoid bodies (EB) media (DMEM/F12 (Invitrogen, 10565), 1x N2 167 (Invitrogen, 17502-048), and 1x B27-RA (Invitrogen, 12587-010)). Rosettes were selected at 168 14 DIV by Rosette Selection Reagent (StemCell Technologies) and patterned to forebrain 169 NPCs with EB media containing 20ng/ml FGF2 (Invitrogen). NPCs (CD271⁻/CD133⁺) were 170 enriched by magnetic activated cell sorting (Miltenyi Biotec) (38) and validated 171 immunocytochemically using SOX2, PAX6, FoxP2 and Nestin (Additional file 1, Table S1). Dissociated single cell forebrain NPCs were plated 1,000,000 cells/well on 12 well plates and 172 173 transfected with lentiGuide-tdTomato (Addgene #99376) plasmid and selected by hygromycine. Pure fluorescent expressing NPCs were plated at low density (15,000 cells/cm²)
on matrigel coated plates and differentiated to astrocytes in astrocyte medium (ScienCell,
1801) as described (39). Cells were cultured and harvested as astroglia progenitors at DIV 4044, validated immunocytochemically and/or by FACS for the astrocyte-specific markers and
used for subsequent experiments.

179

180 AD and WT Immunodeficient Mice

181 Mice were generated as described previously (31). Briefly, APP PS1 tg/wt mice (expressing KM670/671NL mutated APP and L166P mutated PS1 under the control of the Thy1.2 182 promoter1.1) (40) were crossed with the immunodeficient NOD-SCID mice (NOD.CB17-183 Prkdc^{scid}) that carry a single point mutation in the Prkdc gene (41). APP PS1 tg/wt Prkdc^{scid/+} 184 mice from the F1 generation were crossed with NOD-SCID mice to generate APP PS1 tg/wt 185 Prkdc^{scid/scid} immunodeficient mice. APP PS1 tg/wt Prkdc^{scid/scid} mice were subsequently 186 crossed with NOD-SCID mice to generate either APP PS1 tg/wt Prkdcscid/scid (AD mice) or APP 187 PS1 wt/wt Prkdc^{scid/scid} (WT mice) used for transplantations. Mice were housed in IVC cages in 188 189 a SPF facility; light/dark cycle and temperature were always monitored. After weaning, no more 190 than five animals of the same gender were kept per cage. Genotyping was done as previously described (31). Transplantation experiments were performed in both male and female 191 littermates at P0-P4. Mouse work was performed in accordance with institutional and national 192 193 guidelines and regulations, and following approval of the Ethical Committee of the KUL. All 194 experiments conform to the relevant regulatory standards.

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196 Intracerebral Grafting

Grafting experiments of hiPSC-derived glial progenitors using neonatal APP PS1 tg/wt NOD-SCID (AD mice) and APP PS1 wt/wt NOD-SCID (WT mice) at postnatal days P0-P4 were performed as described previously (31) with some modifications. Briefly, hiPSC-derived glia progenitor cells at DIV 44 were enzymatically dissociated, supplemented with HB-EGF (100-

47, Peprotech) and RevitaCell (A2644501, ThermoFisher) and injected into the frontal cortex
of AD or WT mice. The pups were anesthetized by hypothermia and about 200,000 cells were
injected with Hamilton syringes into the forebrain at two locations: 1 mm posterior Bregma, 1.5
mm bilaterally from the midline and 1.2 mm from the pial surface. Transplanted pups were
returned to their home cages until weaning age.

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207 Electrophysiological Characterization of Human Glia in Chimeric Mice

208 Four to five month-old WT mice were anesthetized with isoflurane and decapitated. Acute 300 209 µm-thick coronal slices were cut on a Leica VT1200 vibratome in a sucrose-based cutting solution consisting of (mM): 87 NaCl, 2.5 KCl, 1.25 NaH2PO4, 10 glucose, 25 NaHCO3, 0.5 210 211 CaCl2, 7 MgCl2, 75 sucrose, 1 kynurenic acid, 5 ascorbic acid, 3 pyruvic acid (pH 7.4 with 5% CO2/ 95% O2). Slices were allowed to recover at 34°C for 45 minutes and maintained at room 212 213 temperature (RT) in the same solution for at least 30 minutes before using. During recordings, 214 slices were submerged in a chamber (Warner Instruments) perfused with 3-4mL/min artificial cerebrospinal fluid (ACSF) consisting of (mM): 119 NaCl, 2.5 KCl, 1 NaH2PO4, 26 NaHCO3, 215 216 4 MgCl2, 4 M CaCl2, 11 glucose at pH 7.4 with 5% CO2/ 95% O2. Recordings were done at 217 34ºC. hiPSC-astrocytes were identified based on the td-Tomato fluorescence with a 40x objective in an epifluorescent microscope (Zeiss Axio Examiner.A1). Whole-cell current clamp 218 recordings were made from 17 hiPSC-astrocytes (hiPSC lines #1 to #4, n=6 mice) with 219 220 borosilicate glass recording pipettes (resistance $3-6M\Omega$). Pipettes were pulled on a horizontal 221 micropipette puller (Sutter P-1000) and filled with a K-gluconate based internal medium consisting of (mM): 135 K-Gluconate, 4 KCl, 2 NaCl, 10 HEPES, 4 EGTA, 4 MgATP, 0.3 222 NaATP (pH 7.25). To post-hoc identify the patched astrocyte and analyze its potential to form 223 224 gap-junctions, 40 µM Alexa Fluor hydrazide dye 488 (Invitrogen) was included in the internal medium. Current steps of incrementing 20 pA were injected starting from 50 pA up to 150 pA. 225 Resting membrane potential was calculated using Clampfit 10.7 (Axon Instruments). Currents 226 227 were sampled at 20 kHz and stored after 3 kHz low-pass Bessel filtering. The data was low-228 pass filtered at 1 kHz (Molecular devices DigiData 1440A and Multiclamp 700B). Pipette series resistance and membrane holding current were monitored throughout all recordings to ensurestability of the recording.

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232 Immunofluorescence (IF) in Chimeric Mice

233 For IF analysis, mice were anesthetized with CO2 and perfused with phosphate-buffered saline followed by 4% paraformaldehyde solution. The brain was then removed, post-fixed in the 234 same fixative overnight to 48 hr and cut into 40 µm slices on a Leica VT1000S vibratome. IF 235 236 on grafted brains was performed as described previously (31) using primary and secondary antibodies (Additional file 1, Table S1). Antigen retrieval was performed by microwave boiling 237 the slides in 10mM tri-Sodium Citrate buffer pH 6.0 (VWR). Aß plaques were detected by 238 staining with Thioflavin (SIGMA). Briefly, for Thioflavin staining brain sections were incubated 239 with a filtered 0.05% aqueous Thioflavin-S (SIGMA) solution in 50% ethanol for 5 min at RT 240 and rinsed gradually with 70%, 95% ethanol and water. Nuclei staining was performed using 241 a specific anti-human Nuclear Antigen antibody (hNuclei) (Additional file1, Table S1), the pan-242 nuclear staining TOPRO3 (Invitrogen), or DAPI (SIGMA). The sections were mounted with 243 244 Glycergel (DAKO). Confocal images were obtained using a Nikon Ti-E inverted microscope equipped with an A1R confocal unit driven by NIS (4.30) software. The confocal was outfitted 245 with 20x (0.75 NA), 40x oil (1.4 NA) and 60x oil (1.4 NA) objectives lenses. For excitation 405 246 nm, 488 nm, 561 nm, 638 nm laser lines were used. 247

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249 Quantification and Statistical Analysis

Morphometry and measurements were performed with Fiji/ImageJ software on animals at five months after transplantation. At least 4-5 different coronal brain sections comprising the transplanted astrocytes and the mouse host tissue were included per animal. Immunofluorescence (IF) sections were imaged by confocal microscopy (Nikon Ti-E inverted microscope) using a 20x (0.75 NA) objective lens to image Z-stacks (8-10 optical sections with a spacing of 1 µm). All images were acquired using identical acquisition parameters as 16-bit, 1024x1024 arrays. Maximum intensity projections and threshold were applied using
 Fiji/ImageJ to isolate specific fluorescence signals.

For analyses of **cell integration**, brains were sectioned and stained with the antibodies against RFP and hNuclei (human Nuclear antigen). The number of hNuclei+ and RFP+ cells was counted manually on IF images of astrocytes derived from the eight hiPSC lines used on the study (#1 to #8, Table 1). Final counts were corrected for series number (1:6) to get an estimate of the total number of hNuclei+ and RFP+ cells per animal (Additional file 2, Figure S1d).

For analyses of **cell identity**, brains were sectioned and stained with the following antibodies: RFP and hNuclei (human Nuclear antigen), GFAP (astroglia marker), NeuN (neuronal marker) or APC (marker of oligodendrocytes). Results are shown for four hiPSC lines (#1, #2, #7 and #8, Table 1). Total percentages of RFP+ cells co-localizing with GFAP (n=14 mice), hNuclei (n=15 mice), NeuN or APC (n=9 mice each) were manually determined on IF images using Fiji/ImageJ. Data are represented as mean ± SEM. Statistical analyses were done with Student's t test (Fig. 1 and Additional file 3, Figure S2).

To analyze the **morphological subtypes of hiPSC-astrocytes**, brains were sectioned and stained with antibodies against RFP and hNuclei (human Nuclear antigen) and morphometry analyses were manually performed on IF images using Fiji/ImageJ. Results are shown for two hiPSC lines (#1 and #2, Table1) in WT mice (n=9). Data are represented as mean ± SEM (Fig. 3).

275 For quantification of the average cell area, brains were stained with RFP and GFAP, and the 276 NIS-elements software was used (version 5.21.01 build 1483, Nikon Instruments). All the zstacks were first denoised (denoise.ai tool) and then projected on a 2D image using an 277 extended focus operation (EDF, zero-based, balanced). The resulting 2D image was used for 278 279 further quantification with a General Analysis (GA3) protocol. In short, to count the number of cells, a spot detection approach was used (average size 11 µm). For detection of the cell area, 280 we first applied a rolling ball filter (6 µm) and, consequently, a thresholding step. Both the 281 282 settings for the threshold and the spot detection were adjusted per image to compensate for 283 differences in intensity due to a change of acquisition parameters. Results are shown for four hiPSC lines (#1, #2, #3 and #4, Table1) in WT mice (n=12). Data are represented as mean ±
SEM. Statistical analysis was done with Student's t test (Fig. 3).

To analyze the **morphological responses to A** β **plaques**, brains were sectioned and stained with RFP and Thioflavin and morphometry analyses were manually performed on IF images using Fiji/ImageJ. Results are shown for two hiPSC lines (#1 and #2, Table1) in AD mice (n=7). Data are represented as mean ± SEM. Statistical analysis was performed with Chi-square t test (Fig. 5).

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292 Neuropathology on Human Brain Samples

293 Brain tissue samples from 4 AD, 5 pre-AD and 3 non-demented control patients were included in this study (Table 2). The autopsies were performed with informed consent in accordance 294 295 with the applicable laws in Belgium (UZ Leuven) and Germany (Ulm, Bonn and Offenbach). The use of human tissue samples for this study was approved by the UZ Leuven ethical 296 297 committee (Leuven, Belgium). Brain tissues were collected as described in previous studies (42) with an average post-mortem interval (PMI) of 48 h. Briefly, after autopsy, the brains were 298 299 fixed in 4% aqueous solution of formaldehyde for 2-4 weeks. Samples of the anterior entorhinal cortex and hippocampus were dissected coronally, dehydrated and embedded in 300 paraffin. The paraffin blocks were microtomed at 10 µm, mounted on Flex IHC adhesive 301 microscope slides (Dako), and dried at 55 °C before storing. For neuropathological analysis, 302 303 sections from all blocks were stained with anti-pTau (AT8), anti-Aβ (4G8) (Additional file 1, Table S1), and with the Gallyas and the Campbell-Switzer silver techniques for detection of 304 305 neurofibrillary changes and amyloid deposits (43).

The post-mortem diagnosis of AD pathology was based upon the standardized clinicopathological criteria, including the topographical distribution of A β plaques in the medial temporal lobe (A β MTL phase) based on A β immunohistochemistry (43), and the Braak neurofibrillary tangle (NFT) stage based on pTau immunohistochemistry (44). The study comprised 12 cases with an average age of 77 years and a female to male ratio of 4:8. The

cases were divided in three groups based on the clinical and neuropathological diagnosis: (1) AD = high-intermediate degree of AD pathology and signs of cognitive decline during life (CDR ≥ 0.5); (2) p-preAD = cases with intermediate-low degrees of AD pathology lacking clinical signs of cognitive decline (CDR = 0); (3) non-AD = low-no pathological signs of AD pathology (CDR = 0).

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Case	Age	Gender	Αβ	Braak PMI Neuropathological		Type of	
number			phase	stage		Diagnosis	dementia
1	82	М	5	3	72	AD	AD
2	81	F	5	5	48	AD, CAA, I	AD
3	85	М	5	3	48	AD, CAA, MI	AD-VaD
4	83	М	5	5	24	AD, CAA, I, B	AD-VaD
5	83	F	4	4	24	p-preAD, AGD, CM	0
6	85	F	4	3	24	p-preAD	0
7	87	М	4	3	96	p-preAD, CAA	0
8	72	М	2	3	72	p-preAD, I	0
9	66	F	0	0	48	non-AD control, AGD	0
10	62	М	0	0	48	non-AD control	0
11	75	М	1	2	48	non-AD control, AGD	0
12	64	Μ	0	0	24	non-AD control	0

317 Table 2. Details of Human Cases.

318

The table shows the human subjects studied for histology of astrocytes. Indicated are: the age in years, the gender, the Aβ-MTL phase representing the distribution of Aβ deposits in the subfields of the MTL (43), the stage of neurofibrillary tangle pathology according to Braak and Braak (44) (NFT stage), PMI, neuropathological diagnosis and type of dementia. F female, M male, AD Alzheimer's disease, AD-VaD Alzheimer's disease plus signs of vascular dementia, p-preAD preclinical AD, non-AD non-demented control, AGD argyrophilic grain disease, B bleeding, CAA cerebrovascular angiopathy, CM carcinoma metastasis, I infarction, MI
 microinfraction, MTL medial temporal lobe, NFT neurofibrillary tangle, PMI post-mortem
 interval.

328

329 Immunohistochemistry and Immunofluorescence on Human Samples

The distribution of astrocytes and AB deposits was examined in human samples of the 330 entorhinal cortex and hippocampus using immunohistochemical and immunofluorescence 331 332 techniques. Immunohistochemical detection of Aß deposits and astrocytes was performed after formic acid pretreatment. For double-labeling, a monoclonal anti-A_{β17-24} antibody (4G8, 333 Additional file 1, Table S1) was subsequently combined with a polyclonal anti-GFAP (DAKO, 334 Additional file 1, Table S1) as described previously (43). The anti-A β_{17-24} antibody was 335 detected with biotinylated secondary antibodies and ABC, and visualized with 336 337 3,3 diaminobenzidine-HCI. After peroxidase blocking, the anti-GFAP was applied, detected with biotinylated secondary antibodies, and ABC, and visualized with the Vector peroxidase kit 338 339 SG (blue staining). Microscopy analysis was performed using a light Leica DM2000 LED 340 microscope (Leica Microsystems) and images were captured with a Leica DFC7000 T camera (Leica Microsystems). 341

For double-labeling immunofluorescence, sections were pre-treated as mentioned above and incubated with formic acid for 3 min, when required. Immunostainings were performed with an antibody cocktail and primary antibodies were detected with species-specific fluorescentconjugated secondary antibodies (Additional file 1, Table S1). Images were captured via Nikon NIS-Elements software using a Nikon A1R laser scanning confocal system coupled to a Nikon Eclipse Ti inverted microscope (Nikon Instruments, Inc.). Acquired data were further processed using ImageJ software (National Institutes of Health).

349

350 **RESULTS**

Human iPSC-Derived Glial Progenitors Engraft the Mouse Brain and Differentiate into Astrocytes

353 To generate human-mouse astroglia chimeras, we differentiated human iPSCs (hiPSCs) into 354 glial progenitor cells (hGPCs) in vitro (39) (Fig. 1a). After 44 days in culture, td-Tomato expressing hGPCs, which expressed several astroglia markers (Additional file 2, Figure S1b), 355 were xenografted into the brains of newborn mice (Fig. 1a). We used transgenic Tg (Thy1-356 APPSw,Thy1-PSEN1*L166P) 21Jckr, also called APP/PS1-21 mice (40) crossed with 357 immunodeficient NOD.CB17-Prkdc^{scid}/J, further called NOD-SCID mice (41), to generate AD 358 mice or wild-type (WT) littermates suitable for grafting experiments (31). We transplanted 359 hiPSC lines from AD patients carrying the APOE E4/E4 alleles and the corresponding 360 corrected APOE E3/E3 isogenic lines (Table 1). 361

Five months after transplantation, immunofluorescence (IF) analysis revealed engraftment of 362 human cells throughout the forebrain (Fig. 1b, Additional file 2, Figure S1c). Human cells were 363 identified based on the expression of the td-Tomato marker RFP and of the human nuclear 364 antigen hNuclei. RFP+ cells infiltrate the cortex, corpus callosum and subcortical areas such 365 as the hippocampus, striatum, thalamus or hypothalamus (Fig. 1c-e). Assessment of the 366 engraftment capacity revealed considerable variation across cell lines (Additional file 2, Figure 367 S1d): we show here examples of robust engraftment, with RFP+ cells both in clusters as well 368 as integrated individually within the mouse brain (Fig. 1b, c), but these results were variable 369 370 with often lower engraftment capacity at 5 months after transplantation (Additional file 2, Figure 371 S1c, d). Variation was independent of the APOE genetic background or the patient (overview in Additional file 2, Figure S1d). 372

Further analyses revealed that at this stage, human RFP+ cells strongly express the astroglia markers GFAP, S100b, Vimentin and Aquaporin-4 (Fig. 1f-i), the latter largely concentrated at the astrocytic end-feet along the blood vessels (Figure 1i). Staining with human specific GFAP antibody (hGFAP), confirms the human origin of the cells (Additional file 3, Figure S2a). Quantification showed that 93% of the RFP+ hiPSC-cells express the astroglia marker GFAP

(Fig. 1j) and 95% of the hNuclei+ hiPSC-cells co-express RFP (Fig. 1k). Thus, the RFP marker 378 is not downregulated, and most of the transplanted cells indeed differentiated into human 379 380 astroglia. This was further confirmed as no or only minimal expression (less than 3%) of neuronal or oligodendroglial markers was observed in RFP+ cells (Fig. 1I, Additional file 3, 381 Figure S2b, c). No differences were observed between APOE E4/E4 and APOE E3/E3 lines 382 (Additional file 3, Figure S2d-f). A subset of RFP+ cells identified by their distinct radial glia-383 like morphology and not expressing GFAP (Additional file 3, Figure S2g-i) often coexisted with 384 385 RFP+ cells with more complex structures and expressing main astroglia markers. These cells are likely in a progenitor state which was also described previously (23.45). 386

387 Transplanted iPSC-Derived Astrocytes Integrate Functionally Within the Mouse Brain

We assessed morphological and electrophysiological features of individual hiPSC-derived 388 astrocytes in the chimeric brain. We observed hiPSC-astrocytes extending processes that 389 390 terminated in end-feet contacting mouse host vasculature in the chimeric brains (Fig. 2a) similar to human astrocytes in the human brain (Fig. 2b). Moreover, hiPSC-astrocytes strongly 391 expressed the gap-junction marker Connexin-43 in their processes (Fig. 2c). The gap junctions 392 were functioning, as the Alexa488 dye loaded through the patch clamp pipette on RFP+ 393 astrocytes diffused into neighboring mouse host cells (Fig. 2d-h). Electrophysiological 394 analyses on acute brain slices of chimeric mice at 4-5 months showed that transplanted RFP+ 395 astrocytes displayed properties resembling human astrocytes (46). Specifically, their non-396 397 excitable responses to stimulations with current injection in current clamp mode (Fig. 2i), resting membrane potentials (Fig. 2j), and linear current to voltage (I/V) curves (Fig. 2k). 398 399 Human iPSC-astrocytes do not replace the endogenous murine astrocytes and both cell types are found in the chimeric mouse brains (Additional file 3, Figure S2i). These data reveal that 400 the transplanted hiPSC-astrocytes are able to integrate functionally within the mouse host 401 brain, show human-like physiological features and co-exist with endogenous mouse 402 403 counterparts.

Human iPSC-Derived Astrocytes Acquire Human-Specific Morphologies and Features In Vivo

406 An advantage of low engraftment capacity is that it favors the assessment of morphological 407 details of the transplanted astrocytes. Five months after transplantation, four main morphological subtypes of hiPSC-derived astrocytes were identified in the chimeric brains of 408 the control animals. RFP+ interlaminar astrocytes were frequently observed in superficial 409 layers of the cortex and close to the ventricles, with their small and round cell bodies near the 410 411 pial surface and their long, unbranched and sometimes tortuous processes descending into deeper layers (Fig. 3a-c). Varicose-projection astrocytes were relatively sparse but easily 412 identified by their bushy appearance and the presence of long processes with regularly spaced 413 beads or varicosities (Fig. 3d, e). Protoplasmic astrocytes were found in deeper layers of the 414 brain and showed the characteristic star-shaped morphology and shorter processes extending 415 416 in all directions and often contacting the vasculature (Fig. 3f, g). Fibrous astrocytes were found 417 in white matter tracts and presented the typical morphology with small soma and fine, straight and radially oriented processes (Fig. 3h-j). Interlaminar astrocytes were the most abundant 418 419 subtype of hiPSC-astrocytes in the mouse brain, summing up to 62% of the RFP+ cells, and 420 similar proportions of fibrous and protoplasmic astrocytes were found (16% and 13% of the RFP+ cells respectively). The varicose-projection astrocytes are the less frequent subtype, 421 constituting 9% of RFP+ cells found in the host brain (Fig. 3k). Interestingly, we found the same 422 423 astroglia subtypes in the human entorhinal cortex and white matter tracts of various control individuals (Table 2, subjects 10-12), when staining with the astrocyte marker GFAP: subpial 424 interlaminar astrocytes with their soma in superficial layers of the cortex (molecular layer to 425 426 pre- α) and long processes extending into deeper layers (Fig. 4a-c), protoplasmic (Fig. 4a, d) 427 and varicose-projection astrocytes (Fig. 4e-f) in deeper layers of the cortex (pri- α to pri- γ), and 428 fibrous astrocytes in white matter tracts (Fig. 4g-i). Of note, hiPSC-astrocytes covered about 15-fold larger areas than mouse astrocytes and displayed more complex structures (Fig. 3I-m, 429 Additional file 3, Figure S2j). Thus, transplanted hiPSC-astrocytes were able to keep their 430

intrinsic properties and develop in a cell-autonomous way adopting human-specific featuresand morphologies within the mouse host brain.

433 Human Astroglia Display Differential Morphological Responses to Amyloid-β Plaques

434 Interestingly, transplanted hiPSC-astrocytes adopt three clearly distinct morphologies in the 435 brains of chimeric AD mice five months after transplantation, when the AB load is high. Immunofluorescence analyses with RFP revealed that about 25% of the astrocytes became 436 hypertrophic and showed thicker processes that surround A_β deposits (Fig. 5a-c and 5a'-c', 437 Fig. 5g). 62% of the astrocytes seemed not to be morphologically affected at all, even when in 438 439 close contact with the Aβ plaques (Fig. 5d, 5d', 5g). Finally, about 13% of astrocytes showed 440 atrophic features, displaying thinner processes that sometimes even looked degenerating (Fig. 5e-f and 5e'-f', Fig. 5g). APOE E3/E3 or APOE E4/E4 genotype did not affect these proportions 441 (Fig. 5h). Hypertrophic, atrophic and quiescent phenotypes were also found in human 442 443 astrocytes in close proximity to AB deposits in the entorhinal cortex and hippocampus of patients with AD (Table 2, subjects 1-4), both by immunohistochemistry (Fig. 6) and 444 immunofluorescence (Fig. 7). 445

In conclusion: engrafted hiPSC-astrocytes show differential morphological responses to Aβ plaques that resemble that of human astrocytes in AD patients' brains. The potential of astrocytes to become hyper- or a-trophic, or remain in a quiescent state, does not seem to be influenced by the *APOE* genetic background.

450

451 **DISCUSSION**

452 A major challenge to model astroglia function in AD is the difference between mouse and 453 human astrocytes. A powerful approach to overcome this challenge is the use of hiPSC-454 derived astrocytes to generate chimeric mice.

455 We investigate in the current study the potential of such experiments using patient derived 456 iPSC lines and isogenic counterparts (Table1). We include AD mice and control littermates.

We demonstrate integration of human glia into the mouse brain and differentiation of the majority of cells into four main subtypes of astrocytes expressing main astroglial markers and showing human-specific large, complex morphologies and electrophysiological properties. Additionally, hiPSC-astrocytes contact blood vessels and couple via gap-junctions with mouse cells, demonstrating functional integration in the host brain. In contrast to other glia chimeric models (35), we do not see replacement of the endogenous murine counterparts.

463 hiPSC-astrocytes respond robustly to Aβ pathology showing hypertrophic, atrophic or 464 unaffected morphologies that are very similar to the morphological changes observed in 465 astrocytes in AD patients' brains (15–17). Such responses are not dependent on the *APOE* 466 genetic background. Further work is however needed to understand whether the different 467 *APOE* variants influence the molecular and functional states of human astrocytes surrounding 468 Aβ plaques.

While human astrocytes were consistently detected in every injected brain, the number of engrafted cells varied largely from a few hundreds or thousands to >50,000 cells (Additional file 2, Figure S1d). This, combined with the difficulty of recovering the engrafted cells from the mouse brain for single-cell analysis, made further molecular analyses of the cellular phenotypes, unfortunately, not possible at this moment.

Others have also observed variations in transplantation efficiencies of hiPSC-derived microglia 474 and neurons (47,48). While many successful reports on "glia" chimeric mice have been 475 476 reported (23,34–36,49), these glia chimeras develop, in addition to human astrocytes, a large 477 number of human NG2 cells and oligodendrocytes, whose relative ratios varied considerably across different brain regions and animals (23,34,49). This suggests that in these other 478 experiments a different glia precursor state has been transplanted which maintains more 'stem 479 480 cell like' properties allowing these cells to spread over the brain and to compete with mouse 481 glia as shown before (49). We speculate that in our experimental conditions we have transplanted more differentiated cells which are closer to a final astrocyte phenotype and 482 483 therefore not able to proliferate once they were injected in the brain of the host mice. It will now 484 be critical to define the optimal window for transplantation of differentiating hiPSCs in order to maximize astrocyte colonization of the mouse brain. In other experiments we succeeded already to determine this for microglia using the Migrate protocol (Fattorelli et al, 2020). In the Migrate protocol there is a very critical window during the cell differentiation *in vitro* that results in 60-80% chimerism. One week longer in culture results in < 5% chimerism although the cells before transplantation look morphologically identical to the more efficiently transplanted ones. Other possible improvements would be the use of RAG2-/- mice which can be maintained for a much longer time period than the NOD-SCID mice we use here.

492

493 CONCLUSIONS

In conclusion, despite some intrinsic limitations, the approach to transplant human astroglia into mouse brain to study astrocyte pathophysiology in AD is promising. We recapitulated here typical morphological responses of human astrocytes to amyloid plaques *in vivo*. Moreover, the combination of the model with isogenic *APOE* lines points out the potential use of this approach to analyze the impact of patient-derived and genetically modified astroglia on human CNS disease.

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501 LIST OF ABBREVIATIONS

502 5 M: 5 months of age; AD: Alzheimer's disease; Aβ: amyloid-β; APOE: Apolipoprotein E; CDR: 503 clinical dementia rating, DIV: days in vitro; EB: embryoid bodies; GPCs: glia progenitor cells; 504 hiPSCs: human induced pluripotent stem cells; IF: immunofluorescence; IVC: individually 505 ventilated cages; NFT: neurofibrillary tangles; NPCs: neural progenitor cells; PAM: protospacer 506 adjacent motif; PMI: post-mortem interval; RFP: red fluorescent protein; RT: room temperature; 507 SPF: specific pathogen free; ssODN: single-strand oligo-deoxynucleotide; Tzv: Thiazovivin; 508 WT: Wild-type.

509

510 **DECLARATIONS**

511

Ethics approval and consent to participate 512 All animal experiments were conducted according to protocols approved by the local Ethical 513 514 Committee of Laboratory Animals of the KU Leuven (governmental licence LA1210591) following governmental and EU guidelines. All experiments conform to the relevant regulatory 515 standards. The consent for reprogramming human somatic cells to hiPSCs was carried out on 516 ESCRO protocol 19-04 at Mount Sinai (J.TCW.). The autopsies were performed with informed 517 518 consent in accordance with the applicable laws in Belgium (UZ Leuven) and Germany (Ulm, Bonn and Offenbach). The use of human brain tissue samples for this study was approved by 519 the ethical committees of Leuven University and UZ Leuven. 520 521 **Consent for publication** 522 523 Not applicable. 524 Availability of data and materials 525 The datasets used and/or analyzed during the current study are available from the 526 corresponding authors on reasonable request. 527 528 **Competing interests** 529 530 BDS is a consultant for Eisai. PP, JTCW, AS, SC, MAT, NC, SM, DRT, AMG and AMA declare 531 that they have no competing interests. 532 Funding 533 534 This work was supported by the Fonds voor Wetenschappelijk Onderzoek (FWO) grant G0D9817N to BDS and AMA, the Alzheimer's Association Zenith grant ZEN-17-441253 to 535 BDS and AMA, the European Research Council ERC-CELLPHASE AD834682 (EU), the UCB 536 537 grant of the Geneeskundige Stichting Koningin Elisabeth (Belgium), the Bax-Vanluffelen chair for Alzheimer disease (Belgium), a Methusalem grant from KU Leuven (Belgium), the 538

FEDER/Ministerio de Ciencia e Innovación - Agencia Estatal de Investigación grant RTI2018101850-A-I00 to AMA (Spain), start-up grant from the Basque Foundation of Science
(IKERBASQUE) to AMA, the NIA K01AG062683 to JTCW., and the JPB foundation to JTCW
and AMG.

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544 Authors' contributions

AMA and BDS conceived the study and planned experiments. AMA, PP, JTCW, AS, SC, MAT, NC, and SM performed the experiments. All authors interpreted data. AMA and BDS wrote the first version of the manuscript. All authors contributed to and approved the final version.

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549 Acknowledgments

We thank Veronique Hendrickx and Jonas Verwaeren for help with the mouse colonies and
Alicja Ronisz for technical assistance. Mouse experiments were supported by Inframouse (KU
Leuven and VIB). Confocal microscopy was performed in the VIB Bio Imaging Core (LiMoNe
and EMoNe facilities).

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741 TABLES AND FIGURE LEGENDS

Table 1. Information on the hiPSC lines. The table shows hiPSC name, patient ethnicity,
gender, age of onset, age at skin biopsy, disease status (CDR at biopsy), original *APOE*genotype and genetic modification. F female, M male, AD Alzheimer's disease, APOE
apolipoprotein, CDR clinical dementia rating, hiPSC human induced pluripotent stem cells.
These cells were previously generated and characterized by [37].

747 Table 2. Details of the Human Cohort. The table shows the human subjects studied for histology of astrocytes. Indicated are: the age in years, the gender, the AB-MTL phase 748 749 representing the distribution of A β deposits in the subfields of the MTL (43), the stage of 750 neurofibrillary tangle pathology according to Braak and Braak (44) (NFT stage), PMI, neuropathological diagnosis and type of dementia. F female, M male, AD Alzheimer's disease, 751 AD-VaD Alzheimer's disease plus signs of vascular dementia, p-preAD preclinical AD, non-AD 752 753 non-demented control, AGD argyrophilic grain disease, B bleeding, CAA cerebrovascular 754 angiopathy, CM carcinoma metastasis, I infarction, MI microinfraction, MTL medial temporal lobe, NFT neurofibrillary tangle, PMI post-mortem interval. 755

756 Fig. 1 hiPSC-glia progenitors engraft the mouse brain and differentiate into astrocytes.

(a) Schematics of the differentiation and transplantation procedures. hiPSCs: human induced 757 758 pluripotent stem cells, NPCs: neural progenitor cells, GPCs: glia progenitor cells, SB: SB431542, LDN: LDN193189, FGF2: fibroblast growth factor 2, AGS: astrocyte growth 759 supplement. Scale bars: 100 µm. (b) RFP staining (red) shows the distribution of hiPSC-760 761 derived astrocytes on a coronal brain section of a chimeric mouse at five months after transplantation. Scale bar: 200 µm. (c) Dot map displaying the widespread distribution of the 762 hiPSC-derived astrocytes (RFP, red) in four coronal sections of this mouse brain. (d-e) RFP 763 (red) and hNuclei (green) expressing hiPSC-astrocytes depict a complex fine structure in the 764 765 cortex (CTX) and corpus callosum (CC) of chimeric mice. Scale bars: 50 µm (d), 25 µm (e). (d'-e') Enlarged images of the inserts in d and e. (f-i) Engrafted hiPSC-astrocytes (RFP+, red) 766 767 express GFAP (f), S100b (g), Vimentin (h) and AQP4 (i) (green) five months after transplantation. Scale bars: 25 μ m. (j) Percentage of RFP+ cells expressing GFAP (n=14 mice). (k) Percentage of hNuclei+ cells expressing RFP (n=15 mice). (l) Percentage of RFP+ cells expressing NeuN and APC (n=9 mice). Data are represented as mean ± SEM

771 Fig. 2 hiPSC-astrocytes integrate functionally within the mouse brain. (a-b) A xenografted hiPSC-astrocyte in the chimeric mouse brain (a, red) and a GFAP+ cortical astrocyte in the 772 human brain (b, brown) contacting blood vessels with their end-feet. Scale bars: 25 µm. (c) 773 774 hiPSC-astrocyte processes (RFP, red) express the gap junction marker Cx43 (green, arrows). 775 Scale bar: 2 µm. (d) The gap-junction dye Alexa488 loaded on a hiPSC-astrocyte (RFP+, red) diffuses into RFP- neighboring host cells. Scale bar: 25 µm. (e-h) Enlarged views of the area 776 selected in d. (e) RFP+ hiPSC-astrocyte, (f) Alexa488 dye, (g) Nuclei stained with DAPI, (h) 777 Overlay. Arrows point to Alexa488+ RFP- host cells. (i-k) Representative traces of current 778 779 injection steps of 20 mV (i), resting membrane potentials (j) and current-voltage (I/V) curves (k) of hiPSC-astrocytes in the host brain (n=17 cells from 6 mice). Data are represented as mean 780 ± SEM 781

782 Fig. 3 hiPSC-astrocytes recapitulate human morphological subtypes and retain human

specific features within the mouse brain. (a-j) Representative images of RFP+ (white) 783 interlaminar (a-c), varicose-projection (d-e), protoplasmic (f-g) and fibrous astrocytes (h-j) in 784 the brain of wild-type mice five months after transplantation. Scale bars: 25 µm. (k) Histogram 785 showing the percentage of RFP+ cells of each astroglial subtype on the mouse brain (n=9 786 787 mice). Data are represented as mean ± SEM. (I) Representative image showing mouse (green, arrows) and hiPSC-astrocytes (red) on a chimeric mouse brain five months after 788 789 transplantation. Scale bar: 25 µm. (m) Histogram plotting the size of hiPSC-derived astrocytes 790 vs mouse astrocytes on the host brain (n=12 mice). Data are represented as mean ± SEM, 791 Student's t test: ****p<0.0001

Fig. 4 Four subtypes of morphologically defined GFAP+ astrocytes in the human entorhinal cortex and white matter. (a) Overview of human entorhinal cortex layers stained with GFAP (brown) to detect astrocytes. Layers molecular to lamina dissecans are mainly composed of subpial interlaminar astrocytes, while layers pri- α to pri- γ are rich in protoplasmic astrocytes (arrows). (b-f) Representative images of subpial interlaminar astrocytes (b) and their tortuous processes (c), protoplasmic astrocytes (d), varicose-projection astrocytes (e) and their beaded processes (f). (g-i) Overview of human white matter (g) and GFAP+ fibrous astrocytes (h-i). mol: molecular layer, diss: lamina dissecans. Scale bars: 50 µm in (a) and (g); 25 µm in (b) and (h); 10 µm in (c-f) and (i)

801 Fig. 5 hiPSC-astrocytes show differential morphological responses to Aβ plaques within

the chimeric mouse brain. (a-f, a'-f') hiPSC-astrocytes (RFP+, red) exposed to A β plaques (Thioflavin, green) show hypertrophic (a-c, a'-c'), quiescent (d, d') and atrophic (e-f, e'-f') morphologies in AD chimeric mice five months after transplantation. Scale bars: 25 µm. (g-h) Percentage of hiPSC-astrocytes showing differential morphologies as a group (g, n=7 mice) and per ApoE genotype (h, n=3 mice for APOE3/3; n=4 mice for APOE4/4) five months posttransplantation. Data are represented as mean ± SEM, Chi-square test: n.s., non-significant

Fig. 6 Astrocytes display differential responses to Aβ in the human AD-patient brain. (af) Representative immunohistochemistry images of GFAP+ astrocytes (brown) around
amyloid-deposits (blue, dashed lines) in the cortex and hippocampus of AD-patient brains. (ad) Overviews (a, b) and enlarged views (c, d) of the insets in a, b respectively. (c-f) GFAP+
hypertrophic (red arrows) and quiescent or atrophic (green arrows) astrocytes around amyloiddeposits. Scale bars: 25 µm in (a, b); 10 µm in (c-f)

Fig. 7 Hypertrophic, quiescent and atrophic astrocytes close to amyloid deposits in the
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820

Figures



Figure 1

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Figure 4

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Figure 5

hiPSC-astrocytes show differential morphological responses to A β plaques within the chimeric mouse brain. (a-f, a'-f') hiPSC-astrocytes (RFP+, red) exposed to A β plaques (Thioflavin, green) show hypertrophic (a-c, a'-c'), quiescent (d, d') and atrophic (e-f, e'-f') morphologies in AD chimeric mice five months after transplantation. Scale bars: 25 µm. (g-h) Percentage of hiPSC-astrocytes showing differential morphologies as a group (g, n=7 mice) and per ApoE genotype (h, n=3 mice for APOE3/3; n=4 mice for APOE4/4) five months post- transplantation. Data are represented as mean ± SEM, Chi-square test: n.s., non-significant



Figure 6

Astrocytes display differential responses to AB in the human AD-patient brain. (a- f) Representative immunohistochemistry images of GFAP+ astrocytes (brown) around amyloid-deposits (blue, dashed

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Figure 7

Hypertrophic, quiescent and atrophic astrocytes close to amyloid deposits in the human AD-patient brain. (a-l) Representative immunofluorescence images of GFAP+ astrocytes (red) around amyloid-deposits (4G8, green) in the cortex and hippocampus of AD patient brains. (c-l) GFAP+ astrocytes (red) show hypertrophic (d-e, i-j), quiescent (f, k) and atrophic (g, l) morphologies close to amyloid deposits. (d-g, i-l) Enlarged views of the insets in c and h respectively. Scale bars: 50 µm in (a, b) and 25 µm in (c, h)

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