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[Florian Schnabel](#), [Xiaojuan Liu](#), [Matthias Kunz](#), [Kathryn E. Barry](#) ...+14 more authors

Institutions: [Leipzig University](#), [Chinese Academy of Sciences](#), [Dresden University of Technology](#), [Martin Luther University of Halle-Wittenberg](#) ...+3 more institutions

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1 **Hydraulic diversity stabilizes productivity in a large-scale** 2 **subtropical tree biodiversity experiment**

3 *Florian Schnabel*^{1,2,†,*}, *Xiaojuan Liu*^{3,†,*}, *Matthias Kunz*^{4,*}, *Kathryn E. Barry*^{1,2}, *Franca J. Bongers*³,
4 *Helge Bruelheide*^{1,5}, *Andreas Fichtner*⁶, *Werner Härdtle*⁶, *Shan Li*³, *Claas-Thido Pfaff*^{1,2}, *Bernhard*
5 *Schmid*⁷, *Julia A. Schwarz*⁸, *Zhiyao Tang*⁹, *Bo Yang*¹⁰, *Jürgen Bauhus*⁸, *Goddert von Oheimb*^{1,4}, *Keping*
6 *Ma*³, *Christian Wirth*^{1,2}

7 † Equal contribution; * Corresponding authors: florian.schnabel@idiv.de, liuxiaojuan06@ibcas.ac.cn,
8 matthias.kunz@tu-dresden.de

9 **Affiliations**

11 ¹ German Centre for Integrative Biodiversity Research (iDiv) Halle-Jena-Leipzig, Leipzig, Germany

12 ² Systematic Botany and Functional Biodiversity, Leipzig University, Leipzig, Germany

13 ³ State Key Laboratory of Vegetation and Environmental Change, Institute of Botany, Chinese Academy of
14 Sciences, Beijing, China

15 ⁴ Institute of General Ecology and Environmental Protection, Technische Universität Dresden, Tharandt,
16 Germany

17 ⁵ Institute of Biology/Geobotany and Botanical Garden, Martin Luther University Halle-Wittenberg, Halle
18 (Saale), Germany

19 ⁶ Institute of Ecology, Leuphana University of Lüneburg, Lüneburg, Germany

20 ⁷ Department of Geography, University of Zurich, Zurich, Switzerland

21 ⁸ Chair of Silviculture, Institute of Forest Sciences, Freiburg University, Freiburg, Germany

22 ⁹ Institute of Ecology, College of Urban and Environmental Sciences, and Key Laboratory for Earth Surface
23 Processes of the Ministry of Education, Peking University, Beijing, China

24 ¹⁰ Institute of Biology, Geobotany and Botanical Garden, Jingdezhen University, Jiangxi, China

25 **ORCID IDs**

27 F.S. (0000-0001-8452-4001); X.L.(0000-0002-9292-4432), M.K. (0000-0002-0541-3424); K.E.B. (0000-
28 0001-6893-6479); F.J.B. (0000-0001-9517-4932); H.B. (0000-0003-3135-0356); A.F. (0000-0003-0499-
29 4893); W.H. (0000-0002-5599-5792); B.S. (0000-0002-8430-3214); J.A.S. (0000-0003-2610-3588); J.B.
30 (0000-0002-9673-4986); G.v.O. (0000-0001-7408-425X); K.M. (0000-0001-9112-5340); C.W. (0000-
31 0003-2604-8056)

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34

35 **Abstract**

36 Extreme climatic events threaten forests and their climate mitigation potential globally.
37 Understanding the drivers promoting ecosystem stability is therefore considered crucial to mitigate
38 adverse climate change effects on forests. Here, we use structural equation models to explain how
39 tree species richness, asynchronous species dynamics and diversity in hydraulic traits affect the
40 stability of forest productivity along an experimentally manipulated biodiversity gradient ranging
41 from 1 to 24 tree species. Tree species richness improved stability by increasing species
42 asynchrony. That is, at higher species richness, inter-annual variation in productivity among tree
43 species buffered the community against stress-related productivity declines. This effect was
44 mediated by the diversity of species' hydraulic traits regarding drought tolerance and stomatal
45 control, but not by the community-weighted means of these traits. The identified mechanisms by
46 which tree species richness stabilizes forest productivity emphasize the importance of
47 hydraulically diverse, mixed-species forests to adapt to climate change.

48

49 **Introduction**

50 Climate change is increasing the frequency and severity of droughts and other extreme events,
51 threatening tree growth and survival globally¹, including in comparably humid tropical and
52 subtropical forests². This compromises the ability of the world's forests to act as a carbon sink³
53 and as a nature-based solution to climate change⁴. Stability, the ability of forests to maintain
54 functioning in periods of stress, is consequently emerging as a primary focus of forest management
55 in the 21st century. One key management strategy to enhance stability may be to increase tree
56 species richness in secondary and plantation forests⁵⁻⁷. However, we lack a comprehensive
57 understanding of what drives biodiversity–stability relationships in forest ecosystems.

58

59 There is compelling evidence that species richness can stabilize community biomass production
60 against variable climate conditions such as droughts or extremely wet years^{8–11}. However, the
61 majority of this evidence comes from grassland ecosystems. Biodiversity–stability relationships
62 likely differ between forests and grasslands because trees invest in long-lasting structures and
63 therefore community composition changes more slowly in forests⁷. The few existing studies in
64 forests support the notion that species richness stabilizes aboveground wood production, hereafter
65 referred to as ‘productivity’, of mixed-species tree communities^{7,12–14}, but the underlying
66 mechanisms remain largely unknown.

67

68 According to the insurance hypothesis^{15,16} a mixture of tree species with different strategies should
69 help to maintain or increase the functioning of forests under highly variable climatic conditions,
70 thus increasing their temporal stability. This stability¹⁷ is often quantified as temporal mean
71 productivity (μ) divided by the temporal standard deviation in productivity (σ)^{e.g.8,9} and may be
72 promoted by diversity in mixed-species tree communities via two principal mechanisms¹⁵. First,
73 overyielding, which refers to an increased temporal mean productivity in mixtures compared to
74 monocultures, has been reported by numerous studies in natural and experimental forests^{18–21}.
75 Here, different species perform relatively better in mixtures than in monocultures, for example
76 through complementary resource use or facilitation and this higher performance can increase
77 stability⁷. Second, decreased temporal variation in community productivity through buffering of
78 the effects of stress may increase stability. In contrast to overyielding, little is known about this
79 buffering effect of biodiversity in forest ecosystems. Various mechanisms may decrease temporal
80 variation in productivity^{15,17,22,23} but arguably the one most supported by theoretical and

81 observational studies in grasslands and increasingly also in forests is species asynchrony^{8,22,24}. In
82 forests, these asynchronous inter-annual dynamics in productivity among tree species (hereafter
83 ‘species asynchrony’²³) have been found to be the strongest driver of community stability^{7,12–14,25}.
84 Asynchronous species dynamics may result from intrinsic rhythms like phenology or mast
85 seeding^{26,27}, differential responses of species to extrinsic factors such as climatic conditions^{23,28}
86 and species interactions in mixtures like resource partitioning or biotic feedbacks^{29,30}. Species
87 asynchrony may buffer the temporal variation in community productivity during times of stress as
88 some species likely maintain functioning or compensate for the productivity losses of other species
89 (Fig. 1). This stabilizing effect may be especially important in the context of the global increase in
90 the severity and frequency of drought events^{31,32}. Hence, there is an urgent need to identify the
91 characteristics that allow tree species and species mixtures to maintain functioning under future
92 drought conditions.

93
94 While the number of species may increase stability, communities also require certain hydraulic
95 characteristics to endure drought. Among other features such as non-structural carbohydrates³³,
96 two key hydraulic strategies that determine a tree’s response to drought are drought tolerance and
97 stomatal control²⁸. First, drought tolerance depends on xylem resistance to cavitation because
98 embolism decreases water availability and may ultimately lead to desiccation and tree death^{2,28}.
99 Here, we use the threshold at which 50% of xylem conductivity is lost due to cavitation (Ψ_{50} ;
100 measured as water potential) as key trait² and, in addition, classic traits of the leaf economics
101 spectrum (indicating conservative vs acquisitive resource use)³⁴ to quantify drought tolerance.
102 Second, tree species may follow different strategies of stomatal control. Some rely on continued
103 water extraction and keep their stomata open, i.e. they continue to transpire even though this poses

104 a high risk for cavitation-induced mortality under extreme drought (called water-spenders or
105 anisohydric species)^{28,35,36}. Other tree species decrease their stomatal conductance quickly during
106 water shortage to avoid transpiration losses and xylem cavitation but may risk carbon starvation
107 under prolonged droughts (called water-savers or isohydric species). Consistent with recent
108 perspectives³⁶, we view stomatal control here along a gradient from water-spending to water-
109 saving species behaviour and quantify it through physiological traits such as stomatal conductance
110 and control of conductance under increasing water pressure deficits^{37,38}.

111
112 These different hydraulic strategies may enable mixed-species forests to stabilize community
113 productivity in two ways. First, tree species richness may increase stability indirectly via
114 promoting species asynchrony through diversity in functional traits related to hydraulic water
115 transport (hereafter ‘hydraulic diversity’³⁹). The importance of tree species richness and species
116 asynchrony for stability is supported by previous studies^{7,12-14}. However, these studies were based
117 on observational data from naturally assembled forests (with only one exception¹⁴) and tree species
118 richness gradients were short. Therefore, it remains difficult to establish causal relationships
119 between tree diversity and stability. In particular, the mechanistic links between tree species
120 richness, species asynchrony and stability as well as the underlying trait-based mechanisms remain
121 unknown for forests. Second, stability could also be influenced by the community-weighted mean
122 of hydraulic traits, as indicated by findings in grassland diversity experiments where stability was
123 higher in communities dominated by species with traits associated with conservative resource use⁸.
124 However, as we expect species asynchrony to be the key driver of stability in forests, we consider
125 trait diversity more important for stability than community trait means.

126

127 We use structural equation models (SEMs) to test the direct and indirect effects of species richness,
128 species asynchrony, hydraulic diversity and community-weighted means of hydraulic traits on the
129 stability of community productivity under the controlled conditions of a large-scale tree
130 biodiversity experiment (BEF-China^{18,40}; biodiversity–ecosystem functioning China). Our
131 experiment is located in the highly diverse subtropical forests of China and features a gradient of
132 species richness ranging from monocultures up to mixtures of 24 tree species planted at two sites
133 using multiple species pools. All species occurred at all richness levels, thus avoiding any
134 confounding between species occurrence and richness. In our study, stomatal control and drought
135 tolerance strategies form two orthogonal species trait gradients (Supplementary Fig. 1), which
136 allows us to quantify their relative contributions to species asynchrony and stability. Specifically,
137 we tested the following hypotheses:

138

139 **H1** Tree species richness increases stability via species asynchrony.

140

141 **H2** Hydraulic diversity in stomatal control and drought tolerance strategies increases stability
142 through species asynchrony.

143

144 **H3** Stability increases through buffered temporal variation in productivity mediated by species
145 asynchrony and by overyielding.

146

147 **Results**

148 Overall, the stability of community productivity significantly increased with species richness in
149 our experimental tree communities. We found significant positive relationships between stability,

150 species asynchrony and hydraulic diversity – calculated as functional dispersion of species along
151 two trait gradients related to stomatal control (functional diversity of stomatal control) and
152 resistance to cavitation (functional diversity of drought tolerance) (Fig. 2; Supplementary Figs. 1-
153 4; Supplementary Table 1–2). In contrast, community-weighted means (CWMs) of these hydraulic
154 gradients did not influence the stability of productivity (Supplementary Fig. 5; Supplementary
155 Table 2). Specifically, we found a significant positive effect of species richness on stability
156 ($t=3.98$, $P<0.001$, $n=375$; Fig. 2), which was insensitive to the inclusion or exclusion of
157 monocultures into the models (Supplementary Fig. 6; Supplementary Table 2). Among the
158 analysed bivariate relationships species asynchrony had, as predicted, the strongest positive effect
159 on stability in mixtures and explained most of its variation ($t=10.13$, $P<0.001$, marginal $R^2=34\%$,
160 $n=218$; Fig. 2; Supplementary Table 2). Species asynchrony significantly increased with species
161 richness ($t=9.53$, $P<0.001$), functional diversity of stomatal control ($t=5.29$, $P<0.001$) and
162 functional diversity of drought tolerance ($t=5.84$, $P<0.001$) (Supplementary Figs. 2-3). Direct
163 effects of hydraulic diversity on stability were weak: we found a marginally significant positive
164 effect of functional diversity of stomatal control on stability ($t=1.92$, $P=0.058$) but no significant
165 relationship with functional diversity of drought tolerance ($t=1.12$, $P=0.27$; Supplementary Fig. 4).
166 Hydraulic diversity explained a much higher share of variability in asynchrony than it did in
167 stability (Supplementary Table 2).

168

169 Structural equation models allowed us to disentangle the direct and indirect drivers and
170 connections behind observed diversity effects on stability (Fig. 3). Species asynchrony was the
171 principal mediator of indirect effects of species richness via hydraulic diversity on stability. Our
172 model fit the data well (Fishers' $C=9.7$, $d.f.=8$, $P=0.28$, $n=218$). The hypothesized pathways

173 explained 35% of variation in stability (fixed effects, marginal R^2), which increased to 58% if both
174 fixed and random effects (conditional R^2) were considered. Species richness, functional diversity
175 of stomatal control and functional diversity of drought tolerance explained 52% of variation in
176 species asynchrony (marginal R^2). Species asynchrony was the strongest direct driver of stability
177 (standardized path coefficient of direct effect 0.76, $P < 0.001$). Tree species richness increased
178 stability indirectly through increasing species asynchrony (standardized path coefficient of
179 compound effect 0.35, i.e. the product of the coefficients along the path). We did not find an
180 additional independent effect of species richness on stability ($P = 0.31$), indicating that species
181 asynchrony was the principal mediator of species richness effects on stability. Quantifying
182 hydraulic diversity allowed us to disentangle some of the functional drivers behind asynchronous
183 species responses: both functional diversity of stomatal control and functional diversity of drought
184 tolerance contributed to increased stability via positive effects on species asynchrony (standardized
185 path coefficients of direct effects on asynchrony 0.18, $P = 0.005$ and 0.30, $P < 0.001$, respectively).
186 Functional diversity of drought tolerance also had a direct negative effect ($P = 0.007$) on stability,
187 that was smaller than its positive effect on asynchrony (standardized path coefficients of direct
188 effects -0.21 vs 0.30). Importantly, only functional diversity but not community-weighted means
189 of the hydraulic trait gradients explained variations in stability (effect of CWM of stomatal control
190 and CWM of drought tolerance on stability both not significant with $P \geq 0.25$; Fig. 3).

191
192 We further separated the components of our stability measure — the temporal mean (μ_{AWP}) and
193 the temporal standard deviation (σ_{AWP}) of productivity — to examine the underlying cause of the
194 observed biodiversity–stability relationships (Fig. 4). Tree species richness directly increased both
195 the mean and the standard deviation of productivity similarly (standardized path coefficients of

196 direct effects 0.23 and 0.30, respectively). Tree species richness thus increased mean productivity
197 but this was accompanied by increased variation in productivity. However, species richness also
198 decreased the standard deviation of productivity indirectly via its positive effect on species
199 asynchrony with about the same strength (indirect effect of species richness on σ_{AWP} -0.3 ,
200 calculated as the product of the coefficients along each significant path and their sum⁴¹; Fig. 4).
201 Species asynchrony, which increased with species richness and hydraulic diversity, hence
202 stabilized productivity through buffering its temporal variation (standardized path coefficient of
203 direct effect of species asynchrony on σ_{AWP} -0.47 , $P < 0.001$). The unexpected direct negative effect
204 of functional diversity of drought tolerance on stability (Fig. 3, see above) can be attributed to its
205 positive effect on the temporal standard deviation (Fig. 4, marginally significant, $P = 0.06$). Finally,
206 the CWM of drought tolerance increased both, mean productivity and the standard deviation of
207 productivity (standardized path coefficients of direct effects 0.21 and 0.16, respectively). That is,
208 communities dominated by drought-intolerant species (those with higher trait gradient scores;
209 Supplementary Fig. 1) had a higher productivity but tended to also have a higher variation in
210 productivity. Overall, stability increased with species richness (Fig. 3) through increased mean
211 productivity (i.e.overyielding) and buffered temporal variation in productivity (Fig. 4).

212

213 **Discussion**

214 Our results provide experimental evidence that the insurance effect¹⁵ of diversity stabilizes tree
215 productivity in forest ecosystems. We show that the stability of forest community productivity
216 increases with tree species richness and that asynchronous productivity of co-existing species in
217 response to climatic variation is the principal mediator of this diversity effect. As hypothesized,
218 both hydraulic diversity in stomatal control and drought tolerance had net positive, indirect effects

219 on stability that operated via enhanced species asynchrony. In contrast, the community-weighted
220 means of these hydraulic trait gradients did not influence stability.

221

222 **Species asynchrony and stability**

223 The controlled diversity gradient of the BEF-China experiment⁴⁰ ranging from monocultures to
224 mixtures of 24 tree species, detailed trait information and the use of structural equation models⁴¹
225 allowed us to disentangle the direct and indirect drivers of stability in forests in the absence of
226 confounding environmental variation typically hampering interpretations in observational studies.
227 We show here that species richness increases stability indirectly via promoting asynchronous
228 species productivity over time. Stability and species asynchrony were positively correlated with
229 tree species richness in former studies^{7,12–14}. Our experimental results add support for the
230 hypothesized causality in these studies and demonstrate that species richness can drive species
231 asynchrony and thereby stability in highly diverse subtropical forests. Asynchronous productivity
232 integrates different mechanisms, such as those captured by the selected hydraulic traits that help
233 species to cope in different ways with the variable climatic conditions typical for the sites
234 (Supplementary Fig. 9). This species asynchrony due to diverse hydraulic strategies enhanced
235 stability via buffering (reducing) variation of productivity over the 10-year observation
236 period^{7,8,13,15,23}. Species richness also increased temporal mean productivity directly. This finding
237 is in line with a rapidly increasing number of studies reporting that forest productivity increases
238 with increasing tree species richness^{14,18–20}. However, this increased productivity by itself did not
239 increase stability because species richness also increased the temporal variation of productivity.
240 Stability only increased due to the variance buffering effect of species asynchrony on productivity
241 (Fig. 4).

242

243 The asynchronous growth dynamics of different species in our experimental tree communities
244 likely result from different, non-mutually exclusive mechanisms. First, extrinsic factors like
245 climate may increase species asynchrony. Species react differently to climatic conditions^{e.g.2,28} and
246 species asynchrony is thus likely driven by differential growth responses of species in mixture to
247 climatic variability. Next, tree growth in mixtures is shaped by tree–tree interactions such as
248 resource partitioning and biotic feedbacks^{21,29,30,42} which may in turn be modulated by variation in
249 climatic conditions^{43–45}. Finally, intrinsic rhythms like mast seeding can influence species
250 productivity and its inter-annual variability²⁶ thereby inducing species asynchrony. These intrinsic
251 factors are, however, presumably less important in young forest stands. We thus expect that the
252 observed strong species asynchrony resulted from differential response strategies of species to
253 inter-annual variation in climatic conditions (the only environmental variable with strong inter-
254 annual variation during our 10-year study period; Supplementary Fig. 9) and how these strategies,
255 which we quantified via hydraulic traits, shape the nature of tree–tree interactions between years
256 with different climatic conditions.

257

258 **Hydraulic diversity and stability**

259 We used two orthogonal hydraulic trait gradients (Supplementary Fig. 1), related to species-
260 specific stomatal control and drought tolerance and explored their relative contribution to stability.
261 This allowed us to explain some of the mechanisms that induced asynchronous growth dynamics
262 and stabilized productivity in the face of highly variable climatic conditions. According to a
263 contextualization of the stress-gradient hypothesis' for forests⁴⁶, complementary species
264 interactions likely increase in frequency and intensity with decreasing resource availability. Hence,

265 while we show that species asynchrony increased with dissimilarity in hydraulic traits, we expect
266 that the relative importance of these traits for tree productivity is higher in dry years than in years
267 with ample water supply. Consistent with this expectation, we found the strongest positive tree
268 species richness effects on tree growth during severely dry years in former studies^{14,43}. This
269 climate-driven biodiversity effect was modulated by a species drought tolerance at our study site
270 (quantified as in this study as resistance to cavitation; Ψ_{50})⁴³. Hence, we consider the hydraulic
271 traits used here to be suitable response traits that capture inter-annual changes in productivity as
272 driven by inter-annual variation in climatic conditions. This is in line with the ubiquity of
273 vulnerability to drought across all forest ecosystems², including comparably humid subtropical
274 forests.

275

276 Functional diversity in stomatal control increased species asynchrony and thus indirectly stability
277 through reducing variation in productivity. This effect of hydraulic diversity on stability is
278 consistent with recent evidence that tree hydraulic diversity buffers temporal variations in forest
279 ecosystem carbon fluxes during drought³⁹. Functional diversity in stomatal control may promote
280 species asynchrony among water spenders and water savers. The former keep their stomata open
281 and continue to transpire during drought. This strategy, however, likely relies on continuous water
282 uptake via roots to balance transpiration losses and carries high cavitation risks^{28,36}, a principle
283 mechanism behind drought-induced mortality across tree taxa⁴⁷. Conversely, water savers can
284 reduce this risk but may face carbon starvation under prolonged droughts²⁸ even though starvation
285 is less ubiquitous than cavitation⁴⁷. These contrasting stomatal control strategies themselves may
286 induce strong inter-annual changes in tree growth while also determining the water availability in
287 mixed-stands through soil water partitioning between co-existing species^{48,49}. In tree

288 neighbourhoods comprising species with different stomatal control strategies, water spenders may
289 benefit from soil water left by their water-saving neighbours during drought, while water savers
290 may capitalize on improved soil water conditions after a drought due to their potentially faster
291 drought recovery⁴⁸. Both may thus profit from neighbourhood species richness as the likelihood
292 for functional dissimilar species increases with species richness. Diversity in stomatal control is
293 therefore one potential mechanism that explains reported positive neighbourhood species richness
294 effects on individual tree growth especially during drought^{14,43} but conceivably also during wetter
295 years.

296
297 Functional diversity in drought tolerance had a stronger positive influence on stability via
298 asynchronous species productivity but also a direct (albeit weaker) negative effect on stability.
299 Whereas drought-tolerant species can stabilize productivity of mixed-species communities
300 through lower risks for xylem cavitation during dry years^{2,28}, the latter, characterized by traits
301 associated with an acquisitive resource use strategy³⁷ (see Supplementary Fig. 1), can stabilize
302 productivity in wet years. This acquisitive resource use may, moreover, enable soil water
303 partitioning between neighbours during dry years in favour of drought-intolerant species⁴³. The
304 reasons for the additional direct negative effect of drought tolerance diversity on stability remain
305 speculative. Nevertheless, as it resulted from increased temporal variation in community
306 productivity at higher species richness (Fig. 4), it might be related to a dieback of highly drought-
307 sensitive species in drought years destabilizing community productivity.

308
309 The direct positive effects of species richness on species asynchrony, remaining after accounting
310 for the indirect effects via hydraulic diversity, may result from dissimilarity in traits⁸ that were not

311 considered here such as leaf phenology²⁷, storage of non-structural carbohydrates³³, traits
312 regulating biotic feedbacks²⁹ and below- and aboveground structural traits⁵⁰⁻⁵². For example,
313 rooting-depth, complementary water uptake through niche differentiation⁵³ and facilitation via
314 hydraulic redistribution⁴⁸ between species could be important drivers of species asynchrony and
315 stability belowground.

316

317 **Community hydraulic means and stability**

318 In contrast to hydraulic diversity, we did not find effects of community-weighted means of
319 hydraulic traits on stability. Species asynchrony, the key driver of stability, depends naturally more
320 on diverse species strategies (see Fig. 1) than on the prevalence of a specific strategy within a
321 community. The absence of community mean effects on stability and the preponderance of
322 negative selection effects developing over time in our experiment¹⁸ underlines that the observed
323 responses are not simply related to communities becoming increasingly dominated by particularly
324 stable-growing species with stand development. We found some indication for increased
325 productivity in communities dominated by rather drought-intolerant (acquisitive) species,
326 consistent with the common expectation for ‘fast’ growth of these species^{34,54}. However, this did
327 not influence stability because the same communities also had increased variation in productivity,
328 likely because they were susceptible to drought. In the future, research should focus on how tree
329 species richness, hydraulic diversity and community-weighted means of hydraulic traits affect
330 population stability and potentially individual tree stability in addition to community stability. For
331 instance, empirical work in forests found neutral¹² or positive⁷ effects of species richness on
332 population stability while studies in grasslands often reported a destabilizing effect of diversity on
333 population stability¹⁰.

334

335 **Outlook**

336 The frequency and severity of droughts and corresponding surges of tree mortality is dramatically
337 increasing across the globe^{31,32}. This situation is expected to worsen with intensifying climate
338 change¹, which threatens the climate mitigation potential of the world's forests³. We show that the
339 stability of forest community productivity along a 10-year observation period increases with tree
340 species richness and that the key driver behind this diversity effect are the asynchronous growth
341 dynamics of different tree species in hydraulically diverse communities. Importantly, stability did
342 not compromise productivity. Instead, reduced temporal variation in productivity coincided with
343 increased productivity in mixed-species tree communities. Hence, mixing tree species with a
344 diversity of hydraulic strategies is likely a key management strategy to increase forest stability and
345 their potential to mitigate the effects of climate change. Hydraulic traits may be used to select
346 suitable tree species and design mixtures that stabilize productivity in an increasingly variable
347 climate. Here, we examined the stability of young forest communities established as part of a large-
348 scale biodiversity experiment. At the end of the observation period, tree height reached >10m in
349 25% of the experimental communities. It is conceivable that diversity effects on stability may
350 strengthen as these stands mature, as indicated by the strengthening diversity effects on
351 productivity¹⁸ and by results from an observational study that found stronger positive effects of
352 species asynchrony on stability in old-growth than in secondary forests²⁵. Our results extend
353 research on forest stability from observational studies in relatively species-poor forests^{7,12,13} to
354 species-rich subtropical tree communities growing under experimental conditions. This allowed
355 establishing causality and avoiding confounding effects of environmental variation, major issues
356 in observational studies. Stability increased consistently with tree species richness and did not

357 plateau at low levels of tree species richness, which underlines the enormous potential of species
358 richness to improve stability in many of our species-poor or mono-specific secondary and
359 plantation forests around the world. This finding has important implications; contemporary
360 forestry, and especially large-scale forest restoration initiatives⁴, like the Bonn Challenge, should
361 focus on hydraulically diverse, mixed-species forests to enhance stability in a changing climate.

362

363 **Methods**

364 **Study site and experimental design**

365 In this study, we used data collected from the Tree Biodiversity–Ecosystem Functioning
366 Experiment China (BEF-China, www.bef-china.com), located at Xingangshan, Dexing, Jiangxi
367 (29° 08′–29° 11′N, 117° 90′–117° 93′E). BEF-China^{18,40} is a large-scale tree biodiversity
368 experiment that was established at two sites, A and B, each approximately 20 ha in size and planted
369 in 2009 (site A) and 2010 (site B). The study sites are characterized by a subtropical, seasonal
370 monsoon climate with hot and humid summers and dry and cool winters with a mean annual
371 temperature of 16.7°C and mean annual precipitation of 1821mm⁵⁵. The sites experienced strong
372 inter-annual changes in climate-induced water availability during the 10-year observation period
373 (Supplementary Fig. 9), with annual precipitation being more variable than temperature at our
374 study sites¹⁸. The highly diverse native subtropical forests of the area are dominated by
375 broadleaved mixed evergreen and deciduous tree species, sometimes interspersed with some
376 conifers⁴⁰. These forests are located in an area of overlap between tropical and temperate zones^{56,57},
377 which makes them ideally suited to study diverse water use strategies and idiosyncratic species
378 asynchrony as drivers of biodiversity–stability relationships. Furthermore, the region is densely
379 populated and experiences frequent anthropogenic disturbances⁵⁶, which makes the maintenance

380 and improvement of the functioning of these forests important for the global ecosystem balance
381 and restoration efforts.

382

383 The experiment covers a richness gradient ranging from 1–24 tree species. Communities have been
384 assembled from a total pool of 40 native broadleaved evergreen and deciduous tree species (see
385 Supplementary Table 3 for detailed species information). To ensure the representation of all
386 species at each diversity level, mixture compositions were randomly allocated following a ‘broken-
387 stick’ design⁴⁰. In total 226,400 individual trees were planted on 566 plots⁴⁰. In this study, we used
388 data from six random extinction scenarios allocated to site A and B (three at each site) with a total
389 of 396 plots and 158,400 planted trees¹⁸. Of these, we excluded 21 plots prior to our analysis due
390 to failed establishment success, which left 375 plots (n=218 mixtures and n=157 monocultures)
391 for our analysis. Each plot had a size of 25.8 × 25.8 m² with 400 individual trees planted in 20 ×
392 20 regular gridded positions (spacing 1.29m between trees). Tree positions and species
393 compositions were randomly assigned to plots. More detailed information about the BEF-China
394 experiment can be found in Huang *et al.* and Bruelheide *et al.*^{18,40}.

395

396 **Tree data collection**

397 Individual tree basal diameter at 5 cm above ground level (*gd*), tree height and species identity
398 were measured annually from 2010 (site A) and 2011 (site B) onwards at the end of the growing
399 season. To avoid edge effects, the central 12×12 trees were measured for each plot in the 4-, 8-,
400 16- and 24-species mixtures, while a smaller group of the central 6×6 trees was measured for
401 monocultures and 2-species mixtures. Missing tree diameter and height values (in total 2% of
402 census data) were imputed if the increment series was otherwise logical, i.e. $value_{x+1} \geq$

403 $value_{x-1}$. To preserve climate-induced growth changes between years during imputation, we used
404 a modelled site-specific rate of growth changes for each yearly step (r) based on complete
405 increment series of trees with logical (i.e. with annual increases) and complete census data. A
406 missing tree value was imputed as: $(v_{x+1} - v_{x-1}) * r_x + v_{x-1}$, where v is the *gd* or *height*
407 measurement in a year and r the rate of change (see Supplementary Method 1 for details). Overall,
408 we used annual data of 12,852 planted trees from 2010 to 2019 at site A and of 12,204 trees from
409 2011 to 2019 at site B to estimate community- and species-level productivity.

410

411 **Calculation of aboveground wood production**

412 We used aboveground wood volume production as measure of community and species level
413 productivity. First, annual aboveground wood volume per tree (awv , m^3) was calculated with a
414 fixed form factor of 0.5 (to account for the non-cylindrical shape of trees), which is an average
415 value for the young subtropical trees in our experiment^{21,58}; with

416

$$417 \quad awv = gd * h * f, \quad (1)$$

418

419 where gd is the basal area at measured tree ground diameter, h the measured tree height and f the
420 form factor. Second, aboveground wood volume production (awp , $m^3 \text{ year}^{-1}$) per tree and year was
421 calculated as

422

$$423 \quad awp = awv_{t+1} - awv_{t-1}, \quad (2)$$

424

425 where t is an index for the year of measurement. Finally, awv and awp of all trees planted as part
426 of the original design were summed per species and plot and scaled to 1 ha (based on the sampled
427 subplot areas) to derive annual estimates of aboveground wood volume and volume production
428 per species (AWV_s , $m^3 ha^{-1}$; AWP_s , $m^3 ha^{-1} year^{-1}$) and community (AWV , $m^3 ha^{-1}$; AWP , $m^3 ha^{-1}$
429 $year^{-1}$), referred to as species and community ‘productivity’. A value of 0 was used in case of
430 species or plots with no alive tree individuals within individual years (note that completely failed
431 plots were excluded from the analysis, see above). Our annual productivity estimates thus cover a
432 complete series of forest growth over the course of 9 and 8 years for site A and B, respectively.

433

434 **Stability and asynchrony of production**

435 The temporal stability¹⁷ of tree community productivity, hereafter ‘stability’, was calculated as the
436 inverse of the coefficient of variation:

437

$$438 \textit{Stability} = \frac{\mu_{AWP}}{\sigma_{AWP}} \quad (3)$$

439

440 where μ_{AWP} is the temporal mean and σ_{AWP} the temporal standard deviation of annual plot
441 productivity for our observation period (2010–2019 for site A and 2011–2019 for site B). Thus,
442 any diversity effect that leads to overyielding (a higher productivity of mixtures vs monocultures)
443 increases stability through increasing temporal mean productivity μ_{AWP} . Conversely, any diversity
444 effect that buffers variations in productivity against changing climatic conditions would increase
445 stability through decreasing σ_{AWP} ¹⁵. We hypothesize here that asynchronous species growth
446 dynamics to changing climatic conditions is the dominant mechanism that stabilizes young tree

447 communities through lowering their productivity variance. To test this we calculated community-
448 level species asynchrony using the species synchrony statistic ϕ^{22} as $1 - \phi$:

449

$$450 \text{ Species asynchrony} = 1 - \frac{\sigma_{AWP}^2}{(\sum_{i=1}^n \sigma_{AWP_{s_i}})^2} \quad (4)$$

451

452 where $\sigma_{AWP_{s_i}}$ is the temporal standard deviation of the annual productivity of species i in a plot of
453 n species^{7,59}. Thus, species asynchrony increases if the variance in individual species productivity
454 increases relative to the variance in community productivity. Species asynchrony ranges from 0
455 (complete synchrony) to 1 (complete asynchrony) and is per definition 0 in monocultures as here
456 variations in community productivity result from variations within a single species⁷. We expect
457 here that species asynchrony increases stability through lowering the variation in community level
458 productivity⁷. Young tree communities, as the ones examined here, show a strongly increasing
459 productivity over time. As this age trend strongly masks annual variations in productivity, we
460 removed it and calculated stability as temporal mean productivity divided by its detrended standard
461 deviation. Similarly, species asynchrony was calculated based on detrended plot and species level
462 productivity. Detrending was performed for each plot and species per plot through regressing
463 annual productivity against time and then calculating the standard deviation based on the residuals
464 of this regression following Craven *et al.* and Tilman *et al.*^{8,10} (see Supplementary Fig. 10 for a
465 visualization of this approach).

466

467 **Trait gradients**

468 Species employ different strategies to cope with climate induced water variability, which are likely
469 related to a set of (hydraulic) functional traits (Anderegg *et al.*³⁹ and citations within). We

470 assembled species-specific hydraulic trait data related to stomatal control and drought tolerance
471 that was measured within the experiment (Supplementary Table 1; refs.^{37,38}). Trait data were
472 subjected to a principal component analysis (PCA). The first and second axis partitioned the
473 hydraulic traits into two orthogonal trait gradients related to stomatal control (PC1) and drought
474 tolerance (PC2) (Supplementary Fig. 1). Based on physiological and morphological leaf traits, we
475 classified species as water spenders if they decrease their stomatal conductance only at high levels
476 of water pressure deficit, and as water savers, if they already decrease stomatal conductance at low
477 water pressure deficits and have leaves characterized by high stomatal density. We used the water
478 potential at which 50% of xylem conductivity is lost (Ψ_{50}) as key physiological trait to quantify a
479 species drought tolerance². Higher values of Ψ_{50} (i.e. lower absolute values Ψ_{50}) indicate a higher
480 susceptibility to drought-induced xylem cavitation. We also included specific leaf area, leaf
481 toughness and carbon to nitrogen ratio as classic traits of the leaf economics spectrum (LES³⁴) in
482 our analysis, which are associated with a species drought tolerance³⁷, to foster the still limited
483 understanding of trait syndromes that govern forest responses to climatic stress³⁶. We used trait
484 data from 39 out of the 40 planted species (*Castanopsis carlesii* was excluded due to complete
485 establishment failure) and imputed two missing trait values (Ψ_{50} and stomatal density) for one out
486 of these 39 species (*Quercus phillyreoides*) with predicted mean value matching with 500 runs
487 using the R package mice⁶⁰. PCA was performed with the *rda* function in the vegan package
488 version 2.5-6⁶¹.

489

490 **Quantifying hydraulic diversity and community means**

491 We used the scores of the first and second PCA axis (Supplementary Fig. 1) as measure of the
492 species stomatal control and drought tolerance strategies within each community. Functional

493 diversity in traits associated with water spending vs water saving stomatal behaviour (hereafter
494 ‘functional diversity of stomatal control’) and functional diversity of drought tolerance was
495 calculated with the ‘FD’ package as abundance-weighted functional dispersion^{62,63} using temporal
496 mean species wood volume per plot as measure of species abundance. Functional dispersion
497 measures the mean distance of species along each trait gradient⁶² and thus represents the
498 complementarity in hydraulic strategies of co-occurring species within each community. We
499 calculated community-weighted mean (CWM) trait values for both gradients, hereafter called
500 ‘CWM of stomatal control’ and ‘CWM of drought tolerance’ using temporal mean species wood
501 volume per plot as measure of species abundance.

502

503 **Modelling framework and statistical analysis**

504 First, we analysed direct relationships between stability, its hypothesized drivers and relationships
505 between these drivers. Specifically, we used linear mixed-effect models (LMM) to test for
506 bivariate relationships between species richness, species asynchrony, functional diversity of
507 stomatal control, functional diversity of drought tolerance, CWM of stomatal control and the
508 CWM of drought tolerance. We also tested the effect of species richness and hydraulic diversity
509 on species asynchrony. LMM were fit with the nlme package version 3.1-144⁶⁴ to allow for the
510 specification of variance functions with a significance level of $\alpha=0.05$. Confidence intervals (95%)
511 of LMM effects were computed with the ggeffects package⁶⁵. Tree species richness was \log_2
512 transformed in all models. As the two sites were planted one year apart, we tested for a potential
513 age effect and other site-specific influences on the biodiversity–stability relationship through
514 including site and its interaction with species richness as fixed effect. Diversity effects on stability
515 did not differ between sites ($P=0.46$ for the interaction). We therefore accounted for site and other

516 aspects of our experimental design through a nested random effect structure of site, species
517 composition and arrangement of plots within quadrants (see Huang *et al.*¹⁸). Model assumptions
518 were visually checked for independence and homogeneity of variance through examining model
519 residuals and for normal distribution with quantile-quantile plots. For all response variables we
520 tested the inclusion of an exponential variance structure⁶⁴ to model heteroscedasticity (parsimony
521 evaluated via AIC) and a log/square-root transformation to normalize residuals. As results did not
522 differ for any bivariate relationship, we present only the models without variance function or
523 transformation of response variables.

524

525 Second, we developed a hypothesis driven structural equation model (SEM) framework to
526 disentangle direct and indirect drivers of stability based on *a priori* knowledge of mechanisms
527 driving biodiversity–stability relationships (Supplementary Fig. 7). We explored whether the data
528 supported our first and second hypothesis through including indirect pathways that tested for
529 effects of the multiple diversity facets species richness, functional diversity of stomatal control
530 and functional diversity of drought tolerance on stability through effects mediated via species
531 asynchrony. We also included direct pathways from these diversity facets to stability, to test for
532 mechanisms not mediated by species asynchrony such as performance enhancing effects that
533 increase temporal mean productivity in mixtures^{8,14,18}. To test for the effects of community trait
534 means we included direct pathways from the CWM of stomatal control and the CWM of drought
535 tolerance to stability^{8,39}. As the experimental manipulation of species richness may directly affect
536 the functional diversity of a community⁴⁰, we included pathways from species richness to
537 functional diversity of stomatal control and functional diversity of drought tolerance. Piecewise
538 SEMs⁴¹ were used to test the support for and relative importance of these hypothesized pathways.

539 To understand whether diversity effects on stability result from overyielding (increased μ_{AWP}), a
540 buffered variation (decreased σ_{AWP}) or both, we fit a separate SEM with these two components of
541 our temporal stability measure as response. In this second SEM, we tested all hypothesized effects
542 of diversity on stability for each of its two components (Supplementary Fig. 8).

543

544 Global model fit was assessed via Fisher's C statistic ($P > 0.05$). We assessed the independence of
545 variables and included partial, non-directional correlations if these improved model fit based on
546 tests of directed separations ($P < 0.05$ for violation of independence claims)⁴¹. For each SEM we
547 calculated standardized path coefficients, which allowed us to compare the strength of paths within
548 and among models and of indirect pathways (calculated as product of the coefficients along the
549 path)⁴¹. We fitted individual pathways with LMM using the same random structure and model
550 evaluation as for our analysis of bivariate relationships detailed above. In all SEMs stability,
551 species asynchrony, the temporal mean (μ_{AWP}) and the temporal standard deviation of productivity
552 (σ_{AWP}) were square-root transformed to best meet model assumptions. Our analysis focuses on the
553 role of species asynchrony and hydraulic diversity as drivers of biodiversity–stability relationships.
554 As species asynchrony and functional diversity in monocultures are per definition 0, we analysed
555 their effects within 2-, 4-, 8-, 16- and 24-species mixtures only to avoid many observations without
556 variation. Alternative models including monocultures yielded the same results for effects reported
557 here (Supplementary Figs. 6, 11–12). To further test the sensitivity of our models, we ran
558 alternative SEMs without response transformation but with an exponential variance structure for
559 log₂ species richness. These yielded the same results (Supplementary Figs. 13–14). SEMs had low
560 variance inflation (Variance Inflation Factor < 5 , a conservative threshold choice⁶⁶). All analyses
561 were performed in R 3.6.2⁶⁷.

562

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575

576 **Author contributions**

577 F.S. and X.L. are co-first authors. H.B., W.H., B.S., Z.T., B.Y., J.B., G.v.O., K.M. and C.W.
578 designed the project; F.S., X.L., K.E.B., J.A.S., J.B. and C.W. conceived the idea for the
579 manuscript; X.L., M.K., G.v.O., H.B., F.J.B., A.F., S.L., C.T.P. and F.S. collected and compiled
580 data; F.S. analysed and interpreted the data and wrote the manuscript with support from X.L.,
581 K.E.B. and C.W.; F.S. and K.E.B. created figures; All authors discussed the results and contributed
582 substantially to revisions.

583

584 **Data availability statement**

585 Data supporting the findings of this study have been deposited on the BEF-China project database
586 (<https://data.botanik.uni-halle.de/bef-china/datasets/634>) and are available upon reasonable
587 request from the corresponding authors.

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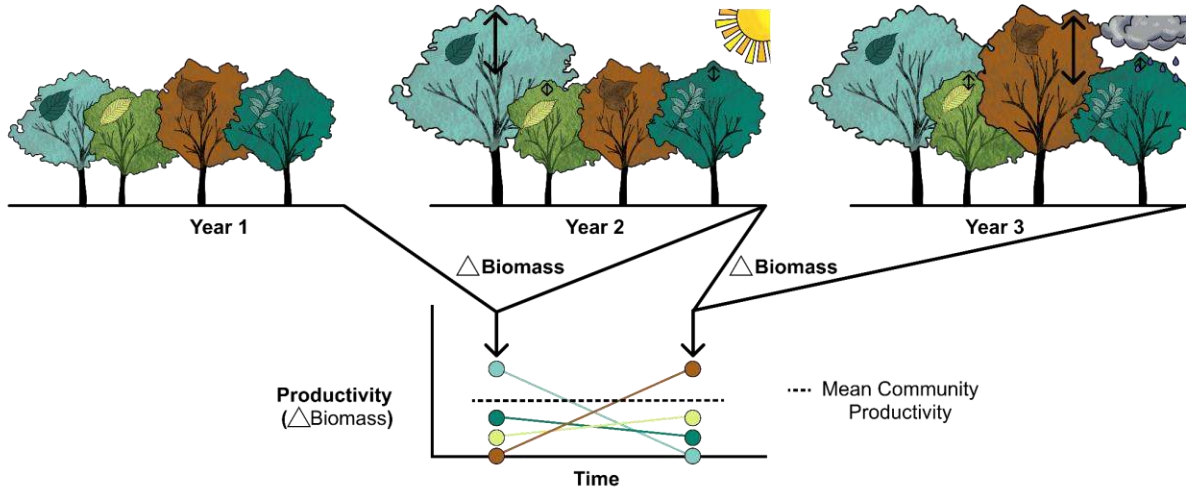
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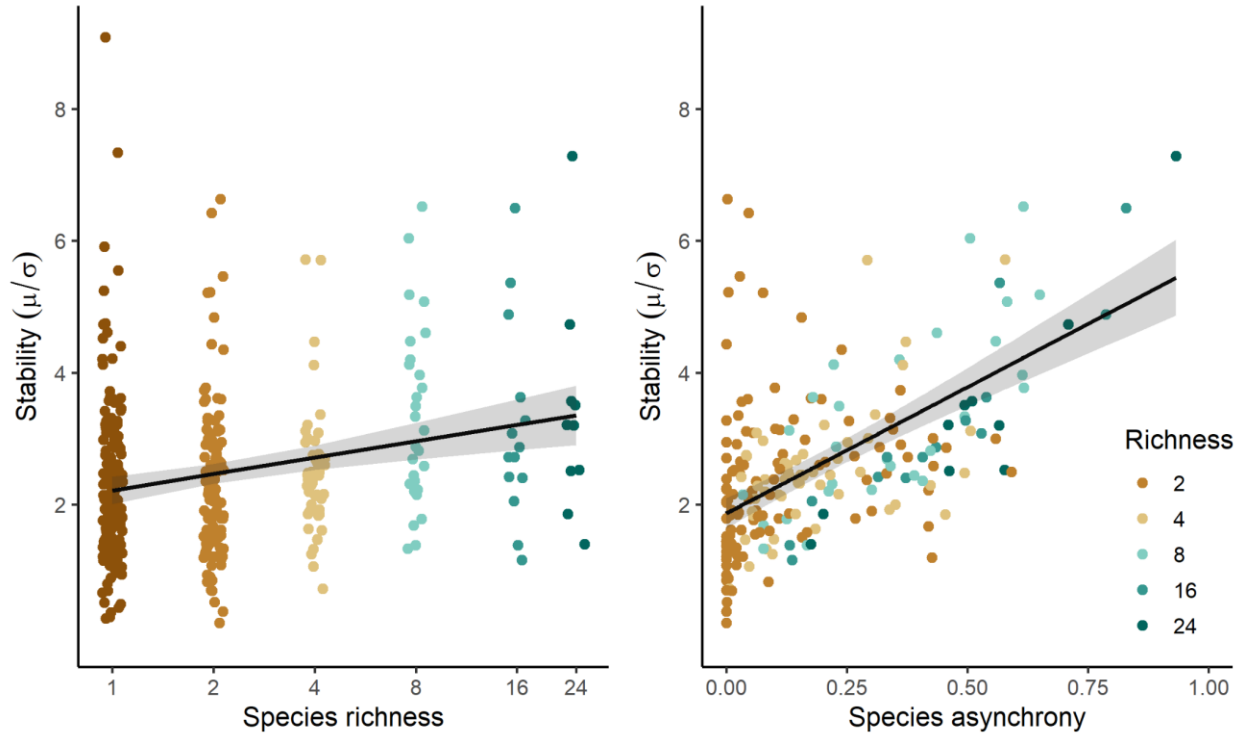
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600 Fig. 1 Graphical illustration of asynchronous species responses in mixed-species tree communities to
601 contrasting climatic conditions over a period of three years. The tree community experiences a ‘normal’
602 (year 1), an exceptionally dry (year 2) and an exceptionally wet (year 3) year, which result in distinctly
603 different growth responses of the participating species but the same community productivity due to
604 compensatory dynamics. In our hypothetical example taken from a four species mixture in the BEF-China
605 experiment, one species (*Nyssa sinensis*, light turquoise) does not close its stomata fast during water
606 shortage (water-spender) and might grow well during drought, a second species (*Liquidambar formosana*,
607 brown) exhibits a fast downregulation of stomatal conductance at increasing water pressure deficits and its
608 productivity is thus more strongly reduced during drought (water-saver), while the two other species
609 (*Castanea henryi*, *Sapindus mukorossi*) do not show strong reactions to the changing climatic conditions.
610 The reverse response pattern is found during an exceptionally wet year. We hypothesize here that such
611 asynchronous species dynamics are the key driver behind stabilizing effects of species richness on
612 productivity in mixed-species forests and that the functional traits of co-existing species — especially those
613 associated with hydraulic functioning — may help to elucidate the mechanisms that produce this species
614 asynchrony.

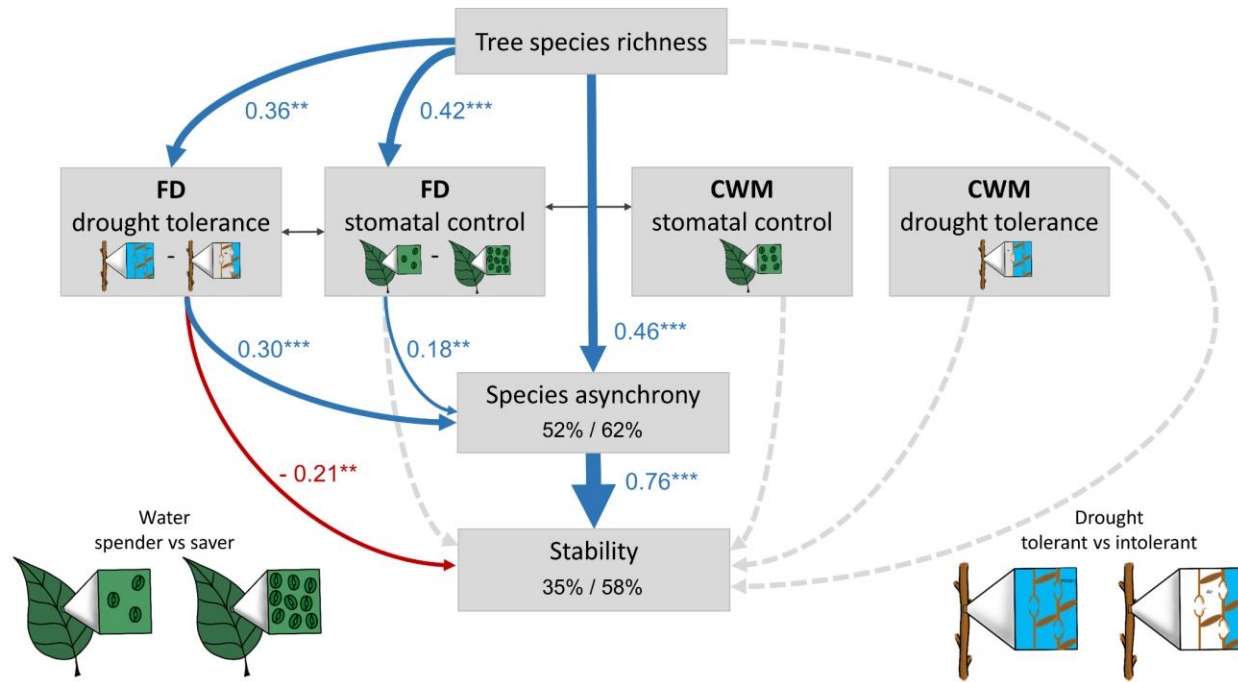
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617 Fig. 2 Effects of species richness and species asynchrony on stability. Lines are linear mixed-effect model
618 fits that show (a) significant increases in stability with species richness ($P < 0.001$) along a planted diversity
619 gradient ranging from monocultures up to mixtures of 24 tree species and (b) significant increases in
620 stability with species asynchrony ($P < 0.001$) in mixtures. Species asynchrony ranges from 0 to 1, where 0
621 represents complete synchrony and 1 complete asynchrony. Grey bands represent a 95% confidence
622 interval. See Supplementary Table 2 for details on the fitted models.

623



624

625 Fig. 3 Direct and indirect effects of species richness, hydraulic diversity and community hydraulic means

626 on stability. The structural equation model (SEM) tests the direct effects of tree species richness, functional

627 diversity of stomatal control (FD stomatal control) and functional diversity of drought tolerance (FD

628 drought tolerance) as well as their indirect effects mediated via species asynchrony on stability. Effects of

629 community-weighted mean (CWM) traits are explored through testing the effect of the CWM of stomatal

630 control (CWM stomatal control) and the CWM of drought tolerance (CWM drought tolerance) on stability.

631 The sketches schematically illustrate the trait gradients: water-spending vs water-saving stomatal control

632 (few vs abundant stomata) and drought tolerance (high vs low cavitation resistance). Functional diversity

633 was calculated as abundance-weighted functional dispersion. The SEM fit the data well (Fisher's $C=9.7$, P

634 $=0.28$, d.f.=8, $n=218$ plots). Data is based on a long, experimental species richness gradient with mixtures

635 of 2, 4, 8, 16 and 24 tree species. Examined variables are shown as boxes and relationships as directional

636 arrows with significant positive effects in blue, significant negative effects in red and non-significant paths

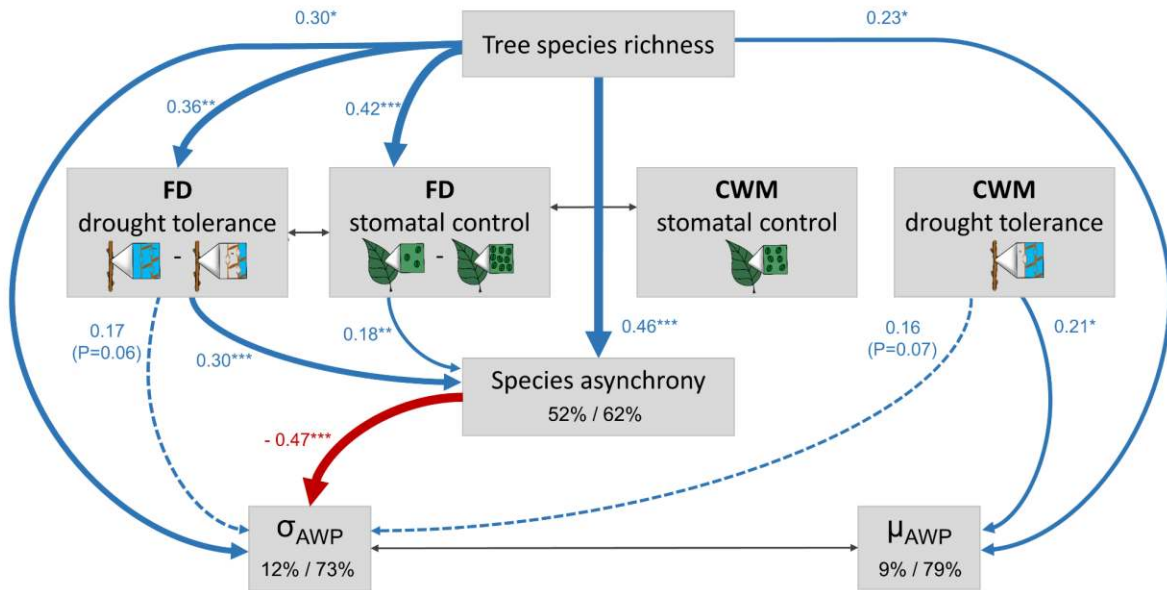
637 in dotted grey based on a hypothesis driven SEM framework (Supplementary Fig. 7). Standardized

638 (significant) path-coefficients are shown next to each path with asterisks indicating significance (* $P<0.05$,

639 ** $P<0.01$, *** $P<0.001$), path-width is scaled by coefficient size. Significant partial correlations⁴¹ are

640 shown through grey, bi-directional arrows. The variation in species asynchrony and stability explained by
 641 fixed (left, marginal R^2) and fixed together with random model effects (right, conditional R^2) is shown in
 642 the corresponding boxes.

643



644

645 Fig. 4 Structural equation model (SEM) of direct and indirect effects of species richness, species
 646 asynchrony, hydraulic diversity and community hydraulic means on the two components of stability, the
 647 temporal mean (μ_{AWP}) and the temporal standard deviation of productivity (σ_{AWP}), which represent
 648 overyielding and variance buffering effects, respectively. Increases in μ_{AWP} enhance stability through
 649 overyielding — a higher productivity in mixtures vs monocultures — and decreases in σ_{AWP} enhance
 650 stability through buffered variations in productivity. All drivers hypothesized to influence stability, i.e.
 651 species richness, functional diversity of stomatal control (FD stomatal control), functional diversity of
 652 drought tolerance (FD drought tolerance), CWM of stomatal control (CWM stomatal control), CWM of
 653 drought tolerance (CWM drought tolerance) and species asynchrony, were tested for their effects on μ_{AWP}
 654 and σ_{AWP} . Only significant pathways ($P < 0.05$) are shown here to avoid overplotting (see Supplementary

655 Fig. 8 for the full model). The sketches schematically illustrate the trait gradients: water-spending vs water-
656 saving stomatal control (few versus abundant stomata) and drought tolerance (high versus low cavitation
657 resistance). The SEM fit the data well (Fisher's $C=9.7$, global $P=0.28$, d.f.=8, $n=218$ plots). Data is based
658 on a long, experimental species richness gradient with mixtures of 2, 4, 8, 16 and 24 tree species. Examined
659 variables are shown as boxes and relationships as directional arrows with significant positive effects in
660 blue, significant negative effects in red and non-significant paths in dotted grey. Standardized (significant)
661 path-coefficients are shown next to each path with asterisks indicating significance (* $P<0.05$, ** $P<0.01$,
662 *** $P<0.001$), path-width is scaled by coefficient size. Significant partial correlations⁴¹ are shown through
663 grey, bi-directional arrows. The variation in species asynchrony, μ_{AWP} and σ_{AWP} explained by fixed (left,
664 marginal R^2) and fixed together with random model effects (right, conditional R^2) is shown in the
665 corresponding boxes.

666

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