

OPEN Hydrogen motion in rutile TiO₂

A. J. Hupfer¹, E. V. Monakhov¹, B. G. Svensson¹, I. Chaplygin² & E. V. Lavrov²

Uniaxial-stress experiments have been performed for the 3287- and 2445-cm⁻¹ local vibrational modes assigned to the positive charge state of interstitial hydrogen (H_i^*) and deuterium (D_i^*) , respectively, occurring in mono-crystalline rutile TiO2. The onset of the defect alignment under the stress applied perpendicular to the [001] axis is detected at 165 K (185 K), which corresponds to the activation energy of 0.53 eV (0.58 eV) for interstitial hydrogen (deuterium). Based on these findings the diffusion constants of H_i⁺ and D_i⁺ along the [001] axis of TiO2 are determined. The experimental data are complemented by density-functional theory calculations and compared with the earlier results on the diffusion of H, ID, at elevated temperatures up to 700 °C. It is found that the activation energy value deduced from our low-temperature stress measurements yields a very good agreement with the hightemperature data, covering a dynamic range of 12 orders of magnitude.

Hydrogen is an ubiquitous impurity in many solids and particularly in oxides. It strongly affects the electronic and structural properties of these materials. Interstitial hydrogen can act either as an amphoteric impurity, giving rise to deep levels in the band gap with positive, neutral, and negative charge states, or it can form a level at the conduction band edge and act as a donor $^{1-5}$. The latter is the case in titanium dioxide or titania (TiO₂).

An enhancement of the n-type conductivity of rutile polymorph of TiO₂ after electrolytically induced hydrogenation was reported already in 19636. There, interstitial hydrogen (H_i) forms a single dative bond to oxygen and is located in the open c channel of the crystal^{7,8}. While there is a general consensus about the microscopic structure of H_i in rutile TiO₂, its electrical activity is still not quite well understood. Earlier theoretical models predicted a shallow donor behavior^{9,10}, whereas more recent first principles calculations assign it to a deep donor because of the strong electron-lattice interaction and formation of small polaron localized at the nearby Ti atom^{11,12}.

Interstitial hydrogen in rutile TiO2 results in a stretch local vibrational mode (LVM) at around 3290 cm^{-113,14} which was recently shown to consist of at least three modes assigned to the positive (one) and neutral (two or three) charge states of H_i¹⁵. Whereas the number of LVMs due to the neutral species remains controversial¹⁶, there is a general agreement that vibrational modes of H_i^+ and D_i^+ at helium temperatures have frequencies 3287 and 2445 cm⁻¹, respectively^{15,16}.

The diffusion of hydrogen in rutile TiO₂ is highly anisotropic with the activation barrier being much lower in the c-direction¹⁷. Interestingly, a giant enhancement of the diffusivity was observed upon electron irradiation¹⁸ and infrared illumination 19 . The data on the diffusivity of hydrogen isotopes in bulk rutile TiO_2 are controversial. Johnson et al. investigated the diffusion of hydrogen and deuterium at temperatures from 350 to 700 °C and found that the diffusion of both isotopes obeys the Fick's law with an activation energy of $0.58\,\mathrm{eV}$ in the c-direction, the ratio of pre-exponential factors being consistent with the classical inverse square root dependence on isotope mass¹⁷. The diffusion of tritium was investigated by Caskey²⁰ at temperatures between 155 and 300 and by Cathcart et al.21 at temperatures between 250 and 700 °C. Whereas the value of 0.39 eV for the activation energy of the diffusion in c-direction was given by the former author, the latter reported an almost two times higher value of 0.75 eV.

Here we address the issue of hydrogen diffusion in rutile TiO₂ by studying the stress-induced dichroism of the LVMs due to H_i^+ and D_i^+ . Regardless of the actual ionization energy of the electron polaron bound to H_i , these donors are ionized at elevated temperatures which implies that primarily the positive charge state should be looked at in order to investigate the diffusion process of interstitial hydrogen in TiO₂.

The advantage of stress-induced dichroism stems from the fact that it allows to probe an elementary diffusion step—a jump between the two neighboring lattice sites. Indeed similar experiments performed for hydrogen-related defects in Si²²²⁴, GaAs²⁵, ZnO²⁷, and very recently In₂O₃²⁹ provided a great deal of insight in the kinetics of hydrogen motion in these semiconductors.

 1 University of Oslo, Physics Department/Center for Materials Science and Nanotechnology, P.O. Box 1048 Blindern, Oslo, N-0316, Norway. ²Technische Universität Dresden, 01062, Dresden, Germany. Correspondence and requests for materials should be addressed to A.J.H. (email: alexander.hupfer@smn.uio.no)

Received: 1 September 2017 Accepted: 14 November 2017 Published online: 06 December 2017 Here, we will show that stress applied along the [100] crystallographic direction of rutile lifts the orientational degeneracy between the four equivalent positions of hydrogen in the c channel of the lattice. Subsequent annealing under the stress at elevated temperature results in the alignment of the O–H bonds in the crystal in accordance with the Boltzmann distribution. The observed thermalization process enables determination of the activation energy for the diffusion process along the c channel of rutile TiO_2 .

Methods

Experimental details. The rutile TiO_2 samples employed in this study were float-zone grown wafers purchased from MTI Corp. Hydrogen and deuterium were introduced by annealing in a H_2O and D_2O mixture in oxygen ambient used as a carrier gas. The annealings were carried out at a temperature of $1100\,^{\circ}\text{C}$ within four hours. The sample that revealed the strongest H_i^+ and D_i^+ signals with only trace intensities due to the neutral charge state of the species was chosen for the uniaxial stress measurements. It was cut to the size $1 \times 2 \times 5\,\text{mm}^3$ parallel to the [001] (c), [010] (b), and [100] (a) crystallographic directions, respectively.

Uniaxial stress measurements were performed in a home-built stress rig mounted in a gas-flow cryostat equipped with ZnSe windows. The stress was supplied by a pneumatic cylinder and transferred via a push rod to the sample.

The infrared absorption spectra were recorded with a Bomem DA3.01 Fourier transform spectrometer equipped with a globar light source, a KBr beamsplitter and a liquid-nitrogen-cooled InSb detector. The spectra were measured at $T \le 10$ K, unless mentioned otherwise, with the c axis of the sample aligned parallel to the incoming beam, k||c. Polarized light was produced by a wire-grid polarizer with a KRS-5 substrate. The spectral resolution was $0.5 \, \mathrm{cm}^{-1}$.

For alignment of interstitial hydrogen and deuterium, uniaxial stress was applied parallel to the [100] axis at $T \le 10\,\mathrm{K}$ and reference spectra were recorded. After that, with the stress on, the sample was warmed up to a temperature of interest, annealed at this temperature for 10 min and subsequently cooled down to $T \le 10\,\mathrm{K}$. Then new spectra were recorded and compared against the reference one. This procedure was repeated for gradually enhanced temperatures until further annealing did not result in a change of the IR absorption lines discussed below.

Calculational details. For all density functional theory (DFT) calculations, the PBEsol functional was employed which, in solids, generally slightly improves the accuracy compared to the traditional PBE 31 . The Vienna *ab initio* simulation package (VASP) code $^{32-34}$, was used with $2 \times 2 \times 3$ supercell comprising 73 atoms (the interstitial H_i^+/D_i^+ and 72 host atoms) and an increased cutoff energy of 520 eV. For Ti, *s*-orbital semi-core states were included explicitly. A $4 \times 4 \times 4$ *k*-point grid has been used for all calculations except for LVMs where one special *k*-point at (1/4, 1/4, 1/4) in reciprocal coordinates was used, following the gist of Refs 35,36 . For calculation of vibrational frequencies the linear-response theory based approach, as implemented in the VASP code, was applied. In order to find the transition state (saddle point) for the motion of H_i^+/D_i^+ the climbing image nudged elastic band (cNEB) method 37 was employed.

Results

Figure 1(a) shows the rutile lattice projected onto the (001) plane with the relative distances between the atoms presented in accordance with our *ab initio* calculations which are described below. The conventional tetragonal elementary cell contains two chemical units. All O–H bonds lie in the figure plane, i.e. "perpendicular" to c. The rutile lattice has a P42/mnm space group which implies that four equivalent orientations of a O–H bond are possible, as indicated in the figure. Two of the O–H bonds (site 1) are rotated by $\alpha = 32.7^{\circ}$ relative to the a axis, whereas the other two (site 2) are rotated by the same angle relative to b. The pairs of oxygen atoms are stacked along c with a displacement of d = 1.48 forming a preferential diffusion channel for hydrogen. The elementary diffusion step along the channel is a jump between the sites 1 and 2^{38} . For a better visualization the radial projection of the lattice structure with respect to the channel axis is shown in Fig. 1(b).

Figure 2 shows sections of IR absorption spectra of the TiO_2 sample subjected to the uniaxial stress of 227 MPa, $p \parallel [100]$, applied after the sample was cooled down to $T \le 10$ K. As a result the LVMs of H_i^+ and D_i^+ split into two components each of which is polarized with respect to the direction of the stress. Relative intensities of the split-off components labeled 1 and 2 are close to the values expected from the geometrical model of the defect presented in Fig. 1: $\cot^2\alpha$:1 = 2.4:1 and 1: $\cot^2\alpha$ = 1:2.4, respectively. Deviation from the experimental values of approximately 2:1 and 1:2.2 we explain by uncertainty of the calculated value of the angle and/or the non-idealities in the position of the polarizer.

In linear approximation (Hooke's law), the frequency of a non-degenerate LVM shifts with the stress σ_{ik} as

$$\Delta\omega = \sum_{i,k} A_{ik} \sigma_{ik},\tag{1}$$

where A_{ik} is the second-rank stress tensor. In the assumption that the two of the main axes of the stress tensor A_{\parallel} and A_{\perp} of $H_i^+(D_i^+)$ are aligned parallel and perpendicular to the O–H bond, we obtain that the frequencies of the LVMs due to the sites 1 and 2 shift with the stress as

$$\Delta\omega_{1} = \left(A_{\parallel}\cos^{2}\alpha + A_{\perp}\sin^{2}\alpha\right)\sigma$$

$$\Delta\omega_{2} = \left(A_{\parallel}\sin^{2}\alpha + A_{\perp}\cos^{2}\alpha\right)\sigma,$$
(2)

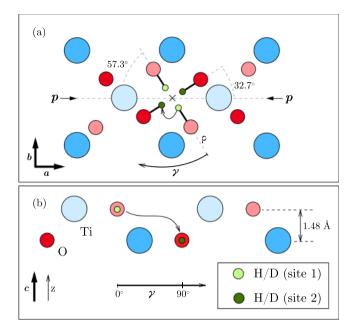


Figure 1. (a) The (001) projection of the rutile TiO_2 lattice with calculated most stable positions of interstitial H/D. The c-channel axis is denoted as "×". The equivalent lattice atoms and hydrogen sites marked by different colors lay in the adjacent planes stacked in the c-direction. Shown is also the direction of the applied stress p. (b) Cylindrical projection around the channel axis along the angle γ . Only the lattice sites closest to the channel axis are shown. The elementary diffusion jump is schematically shown. For details on the diffusion path cf. Fig. 6.

where σ is the value of stress. With the frequencies of the split-off components presented in Fig. 2 we obtain that for H_i^+ the diagonal components of the stress tensor are $A_{\parallel}^{\rm H}=-65$ and $A_{\perp}^{\rm H}=60$ cm $^{-1}$ /GPa, whereas for D_i^+ they are reduced by approximately a factor of $\sqrt{2}$ to $A_{\parallel}^{\rm D}=-42$ and $A_{\perp}^{\rm D}=38$ cm $^{-1}$ /GPa. The LVM of H_i^+ in rutile is about an order of magnitude more sensitive to the stress compared to that of hydrogen-related defects in ZnO 39,40 .

The analytical treatment given in⁴¹ can be used to estimate the energy difference $\Delta E = E_2 - E_1$ between the two sites as a function of the LVM splitting under the stress. Employing the expression given in⁴¹, one gets $\Delta E = \approx 25$ meV for the splitting presented in Fig. 2. As will be shown later, a more accurate value will be derived from both theory and experimental data.

At the temperature of 10 the populations of the sites 1 and 2 remain unchanged since the thermal energy is insufficient to overcome the activation barrier. To investigate the dynamics of the diffusion motion of hydrogen, the sample under the stress was annealed isochronally at elevated temperatures. After annealing at the temperature of interest for 10 min, it was cooled down again to 10 K and polarized spectra were measured. The procedure was then repeated for consecutively higher annealing temperatures. The annealing results in reoccupation of the sites in favor of the one with lower energy as illustrated in Fig. 3, where the absorption spectra before and after annealings at 197 and 182 K are shown.

To extract the ratio of the occupation numbers of the states 1 and 2, the pair of hydrogen (deuterium) peaks (see Fig. 3) was fitted by a sum of two Lorentzian functions of equal full width at half maximum. The occupation ratio was then computed as the quotient of the corresponding Lorentzian amplitudes.

According to the Boltzmann statistics the ratio of equilibrium populations of nonequivalent sites is $\tilde{n}_1/\tilde{n}_2 = \exp(\Delta E/kT)$. It is achieved via jumps of $H_i^+(D_i^+)$ between the two sites if sufficient time is provided.

To extract the parameters governing the diffusion of hydrogen along the c channel, we employ a first order kinetics model. In the framework of this model, the transition rates between two inequivalent hydrogen sites in the stressed sample are given as

$$r_i = \nu_0 \exp\left(-E_i/kT\right),\tag{3}$$

with $E_{1,2} = E_a \pm \Delta E/2$, where E_a is the activation energy without stress. The time evolution of the occupation numbers is then described by the following system of coupled differential equations:

$$\dot{n}_1 = -r_1 n_1 + r_2 n_2
\dot{n}_2 = +r_1 n_1 - r_2 n_2$$
(4)

The solution to these equations is

$$\frac{n_i(t) - \tilde{n}_i}{n_i(0) - \tilde{n}_i} = e^{-\lambda t}, \quad \tilde{n}_i = \frac{r_i r_2 [n_1(0) + n_2(0)]}{r_i (r_1 + r_2)},$$

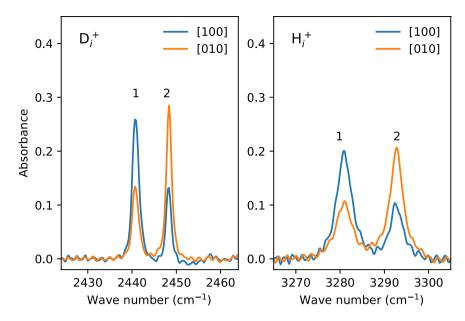


Figure 2. Sections of polarized absorption spectra of the TiO_2 sample under a uniaxial stress of 227 MPa, $p \parallel [100]$, applied at $T \le 10$ K.

where $\lambda = r_1 + r_2$ and \tilde{n}_i is the equilibrium occupation (i = 1, 2). For the time evolution of the occupation ratio at a given annealing temperature one obtains

$$\frac{n_1(t)}{n_2(t)} = \frac{n_1(0)(r_2 + r_1e^{-\lambda t}) + r_2n_2(0)(1 - e^{-\lambda t})}{n_2(0)(r_1 + r_2e^{-\lambda t}) + r_1n_1(0)(1 - e^{-\lambda t})}.$$
(5)

For $t \to \infty$ the ratio converges to the equilibrium value $\tilde{n}_1/\tilde{n}_2 = r_2/r_1 \equiv e^{\Delta E/kT}$.

The model parameters (activation energy E_a , attempt frequency ν_0 and stress-induced energy splitting ΔE) were determined using the L-BFGS-B method⁴² by a least squares fit of the experimental ratio n_1/n_2 by the expression (5). The initial value of n_1/n_2 was set to 1. The ratio of two occupations appearing as a result of each annealing stage was taken as an initial value for the next temperature. The effects of cooling down to 10 K and subsequent warming up were disregarded.

Figure 4 shows experimental values of n_1/n_2 together with the results of the best fit. It is clearly seen that annealing for 10 min at 172 K (the second step from 10 to 20 min of cumulative annealing time) is not sufficient to reach the equilibrium occupations of the H_i^+ sites. For deuterium the onset temperature of the reorientation shifts up to 197 K (the fourth step from 30 to 40 min of cumulative annealing time). The best-fit parameters of the first order kinetics model given by Eqs (3) and (4) are summarized in Table 1.

Previous experiments on the diffusion of hydrogen in rutile TiO₂ were performed at elevated temperatures up to 700 °C¹⁷. The diffusion constant of hydrogen was found to be strongly anisotropic and equal to $D_{\parallel}=1.8\times10^{-3} \exp(-0.58~{\rm eV}/kT)$ and $D_{\perp}=3.8\times10^{-1} \exp(-1.28~{\rm eV}/kT)$ cm ²/s for the directions parallel and perpendicular to the c axis, respectively.

Figure 5 displays the diffusion constants for H_i^+ and D_i^+ taken from Ref¹⁷. depicted together with the value derived from this study at 170 K. The latter was calculated using the experimentally obtained values of the transition rate $\tau^{-1} = \nu_0 \exp(-E_a/kT)$ for H_i^+ and and D_i^+ , respectively. As the diffusion of hydrogen along the [001] direction occurs by a jump to a neighboring site lying in the adjacent (001) plane separated from the initial one by the distance d, the one-dimensional model is applicable and diffusivity is given by⁴³.

$$D_{\parallel} = \frac{d^2}{2\tau}.\tag{6}$$

For 170 K the values of 8.7×10^{-20} and 1.210^{-21} cm⁻²/s are derived for H_i⁺ and D_i⁺ respectively. Based on Fig. 5, the diffusion constants covering both high and low temperature ranges of H_i⁺ and D_i⁺ in TiO₂ along the *c* axis are described by

$$D_{\parallel}^{\text{H}} = 9.4 \times 10^{-4} \exp(-0.541 \text{ eV/}kT) \text{ cm}^2/\text{s}$$

 $D_{\parallel}^{\text{D}} = 1.9 \times 10^{-3} \exp(-0.614 \text{ eV/}kT) \text{ cm}^2/\text{s}$

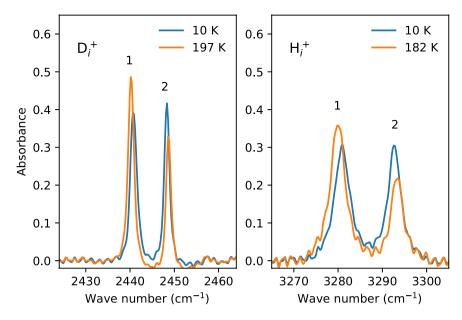


Figure 3. Sections of unpolarized IR absorption spectra measured at $T \le 10$ K under a uniaxial stress of 227 MPa, $p \parallel [100]$. The spectra labeled 10 K were measured immediately after applying the stress at $T \le 10$ K. Those labeled 197 and 182 K were obtained after annealing under the stress at the corresponding temperatures.

over more then 12 orders of magnitude. Moreover within the experimental accuracy the combined activation energies of 0.541 eV and 0.614 eV also remain in good agreement with the present low-temperature derived values of 0.527 \pm 0.029 and 0.584 \pm 0.004 eV for the H_i^+ and D_i^+ migration ('hopping') barriers.

Discussion

In the framework of the transition state theory^{44,45}, the diffusion process is modeled as a jump from a stationary state (SS), a minimum of potential energy, to another SS which proceeds over a transition state (TS), a saddle point of potential energy. Under harmonic approximation for the vibrational modes and assumption of quantum mechanical partition function, the transition rate is given by

$$r = 2\frac{kT}{h} \frac{\prod_{i} \sinh \frac{h\nu_{i}^{SS}}{2kT}}{\prod_{i} \sinh \frac{h\nu_{i}^{TS}}{2kT}} e^{-(E_{TS} - E_{SS})/kT}$$
(7)

where E_{SS} and E_{TS} are the values of potential energy at initial stationary state and transition state, respectively, and ν_i^{SS} and ν_i^{TS} are the non-imaginary frequencies of the vibrational modes in these states. A single imaginary frequency is characteristic for the transition state and corresponds to the negative curvature of the potential profile along the diffusion pathway.

In the high temperature limit, this expression reduces to the one provided by the classical theory⁴⁶.

$$r_{cl} = \frac{\prod_{i} \nu_{i}^{SS}}{\prod_{i} \nu_{i}^{TS}} e^{-(E_{TS} - E_{SS})/kT},$$
(8)

whereas at low temperatures it approaches

$$r_{\rm qm} = \frac{kT}{h} e^{-(E_{\rm TS} - E_{\rm SS} - \delta E)/kT},\tag{9}$$

where

$$\delta E = \sum_{i} \frac{h\nu_i^{SS}}{2} - \sum_{i} \frac{h\nu_i^{TS}}{2} \tag{10}$$

is the zero-point energy correction. In the classical limit isotope substitution does not affect the activation energy, in contrast to that for the low-temperature expression. The opposite holds for the pre-exponential factor.

To gain further insight into diffusion motion of interstitial hydrogen in rutile TiO_2 first principles calculations were carried out. The parameters of the elementary cell were optimized with respect to the total energy. Besides the equilibrium case, the computation of the structure under stress were carried out. The Young's modulus and Poisson's ratio determined in Ref.⁴⁷ were used to model the strain. The computed energy difference between the sites 1 and 2 under the stress along the [100] direction is shown in Fig. 7 together with the experimental values. As seen, the experimental and theoretical values agree reasonably well which further corroborates the validity of our model.

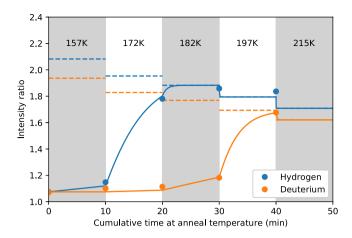


Figure 4. Population ratio n_1/n_2 between the sites 1 and 2 (see Fig. 1) in the course of the annealing procedure under stress of 227 MPa. The circles represent experimental values (see Fig. 2), the solid lines—calculated from Eq. (5) with the best-fit parameters given in Table 1. The dashed lines represent the equilibrium population ratios at the corresponding temperatures.

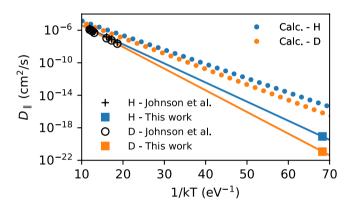


Figure 5. The cumulated diffusion coefficients for hydrogen and deuterium. Dotted lines represent the theoretical values obtained from Eq. (7).

	Hydrogen Deuterium	
E_a^{exp}	$0.527 \pm 0.029 \text{ eV}$	$0.584 \pm 0.004 \text{ eV}$
E_a^{DFT}	0.41 eV	0.44 eV
ν_0^{exp}	$3.9 \times 10^{12} \text{s}^{-1}$	$2.37 \times 10^{12} \text{s}^{-1}$
$ u_0^{DFT} $	$8.4 \times 10^{12} \mathrm{s}^{-1}$	$7.8 \times 10^{12} \text{s}^{-1}$
ΔE^{exp}	10 meV	9 meV
ΔE^{DFT}	8 meV	8 meV
δE^{DFT}	0.13 eV	0.09 eV

Table 1. The best fit parameters of the reorientation kinetics of H_i^+ and D_i^+ . Values obtained by *ab initio* calculations are given as well. Standard deviations for the fits are stated for E_a^{exp} .

The transition state (saddle point) for H_i^+ was found by the climbing image nudged elastic band (cNEB) method. The result of the calculation giving a transition barrier height of 0.52 eV is shown in Fig. 6(a). The influence on the change of the calculated mean barrier with strain is shown in Fig. 7. The mean barrier defined as $E_{TS} - (E_{SS_1} + E_{SS_2})/2$ is approximately constant with strain, consistent with the proposed diffusion model. The calculated diffusion pathway is shown in Fig. 6b,c. In addition the vibrational direction of the coupling LVMs on the SS and TS are indicated. In the SS hereby the ν_{w2} mode (cf. Table 2) and in the TS the ν_{s1} modes couple to the diffusion pathway. In contradiction to the helical character proposed in 38 , we find that the diffusion paths to both possible hydrogen sites in the adjacent (001) plane are in fact equivalent. Though the path reveals some asymmetry [cf. Fig. 6(a)] this cannot lead to different transition rates for the opposite directions of diffusion.

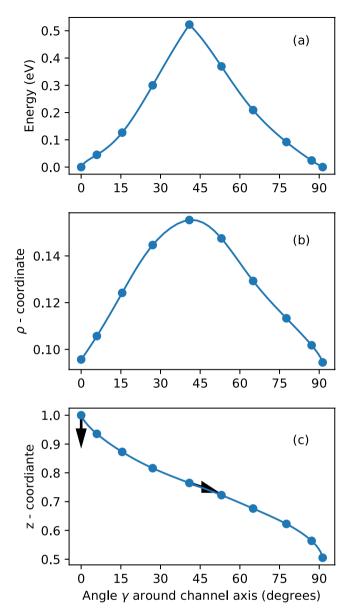


Figure 6. Calculated classical transition path obtained with the cNEB method. The data is shown as a function of the angle γ around the channel axis (for definition of cylindrical coordinates γ , ρ , z cf. Fig. 1). The free energy difference to the SS (a). The distance from channel axis in units of a lattice constant (b) and [001] position in units of c lattice constant (c). The angles of the SS LVM coupling to the migration path (cf. Table 2 ν_{w1}) and the imaginary, TS LVM (cf. Table 2 ν_{s1}) are indicated by arrows.

The linear-response theory, as implemented in the VASP code, was used for calculating the harmonic vibrational frequencies in the ground and transition states. The three energetically highest modes, which correspond to the stretch and wag vibrational mode frequencies of H_i^+ and D_i^+ and mainly contribute to the activation energy⁴⁸ are listed in Table 2. Whereas the calculated frequency of the ground state stretch mode nicely matches the experimental one, the wag modes have escaped an experimental observation so far.

The computed frequencies as well as the energies of the stationary and transition states were substituted into equation (7) and the resulting temperature dependence was fitted in the experimentally used temperature range from 157 to 197 K with the Arrhenius expression (3). This gives activation energies of 0.41 and 0.44 eV and the pre-factors $8.4 \times 10^{12} \mathrm{s}^{-1}$ and $7.8 \times 10^{12} \mathrm{s}^{-1}$ for the transition rate of H_i^+ and D_i^+ , respectively. The computed activation energy values underestimate the experimental ones. This can be observed also in Fig. 5, where the diffusivity derived from the transition rate using Eq. (6) is shown. The underestimation of the activation energy is common for the PBE/PBEsol-based calculations, and the inclusion of Hartree-Fock exchange may be beneficial. Indeed, test calculations of the saddle point using the HSE06 functional 49,50 increased the barrier by 0.09 eV which is also consistent with the higher value calculated by Filippone *et al.* 12 using local exchange (DFT + U).

The different values of the activation energy for H_i^+ and D_i^+ indicate that the reaction kinetics approach quantum character Eq. (9) at the investigated temperatures. In order to verify this we have attempted a two-parametric

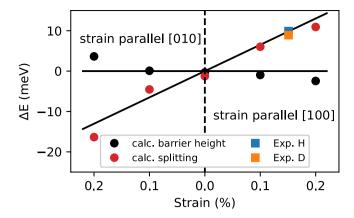


Figure 7. Calculated splitting ΔE between the sites 1 and 2 (see Fig. 2) as a function of stress applied along [100] and [010] axis respectively together with the experimental values. The symmetry is a consequence of the crystal structure, deviations reflect numerical noise. Calculated mean barrier defined as $E_{TS} - (E_{SS_1} + E_{SS_2})/2$.

	H (init.)	H (trans.)	D (init.)	D (trans.)
ν_{s_1}	3303	977i	2403	716i
ν_{w_1}	1012	1544	729	1104
ν_{w_2}	729	1120	552	867

Table 2. Calculated (harmonic) local vibrational modes in cm⁻¹ for ground and transition states of interstitial hydrogen in titania. Stretch modes (s) and wag modes (w) are indicated. Imaginary frequencies (*i*) stand for the saddle point. Only the three highest energy modes are shown. Derived values have been calculated using the full vibrational spectrum.

fit by fixing ν_0 in Eq. (3) to kT/h. Both the quality of the fit and the values of E_a and ΔE remained practically unchanged comparing to the three-parameter fit, which corroborates our conclusion.

In Ref.²³ the reorientation kinetics for the B-H complex in silicon was studied. In contrast to the present results, the pre-exponential factor for hydrogen was found to be about two orders of magnitude less than that for deuterium, both values being much lower than kT/h. The authors assumed that quantum tunneling may play an essential role in the considered kinetic process. They applied the Flynn-Stoneham theory⁵¹ and came to the conclusion that it satisfactory explains the obtained results. As no similar anomalies were revealed in the current study, we suggest that the effect of quantum tunneling is negligible and the diffusion obeys the semiclassical model Eq. (9).

As discussed previously, the data on high-temperature diffusion in rutile ${\rm TiO_2}$ are controversial. Neither a difference in the activation energy for hydrogen and deuterium nor a deviation of the pre-exponential factor ratio from the classical inverse square root dependence on isotope mass were found¹⁷. Comparing with our results, it implies that a transition from the quantum behavior, Eq. (9), to the classical one, Eq. (8), takes place at intermediate temperatures. This conclusion is, however, challenged by measurements of the tritium diffusion^{20,21}. On the other hand, the latter provides controversial values for the activation energy of diffusion. Besides, one cannot exclude that the parameters of hydrogen diffusion may depend on the sample quality. In this context, it should be underlined that the high-temperature diffusion experiments¹⁷ for hydrogen and deuterium were performed on the same samples, as in the current low-temperature study.

Conclusions

Hydrogen and deuterium motion in rutile ${\rm TiO_2}$ was probed by means of stress-induced dichroism. The stress applied along the [100] direction partially lifts the orientational degeneracy of two pairs of orthogonal O–H bonds with the rate of 0.04 eV/GPa. Assuming a linear response, this results in a splitting of the corresponding local vibrational modes by 53 and $35\,{\rm cm^{-1}/GPa}$ for the ${\rm H_i^+}$ and ${\rm D_i^+}$ defects, respectively. It was found that the activation energy of diffusion along c-channel for the two isotopes is 0.53 (hydrogen) and 0.58 eV (deuterium).

The *ab initio* calculations were performed as a part of this study. It is shown that the transition state theory with the vibrational frequencies and activation barrier calculated within the framework of DFT yields diffusion rates of hydrogen isotopes in rutile TiO_2 in reasonable agreement with experimental data.

References

- 1. Van de Walle, C. G. & Neugebauer, J. Universal alignment of hydrogen levels in semiconductors, insulators and solutions. *Nature* 423, 626–628 (2003).
- 2. Van de Walle, C. G. Hydrogen as a cause of doping in zinc oxide. Phys. Rev. Lett. 85, 1012–1015 (2000).
- 3. Kılıç, Ç. & Zunger, A. n-type doping of oxides by hydrogen. Appl. Phys. Lett. 81, 73-75 (2002).

- Hupfer, A., Bhoodoo, C., Vines, L. & Svensson, B. G. The E3 center in zinc oxide: Evidence for involvement of hydrogen. Appl. Phys. Lett. 104. 092111 (2014).
- 5. Herklotz, F. *et al.* Infrared absorption on a complex comprising three equivalent hydrogen atoms in *ZnO. Phys. Rev. B* **92**, 155203–10 (2015).
- 6. Chester, P. F. & Bradhurst, D. H. Electrolytically induced conductivity in rutile. Nature 199, 1056 (1963).
- 7. Swope, R. J., Smyth, J. R. & Larson, A. C. H in rutile-type compounds: I. single-crystal neutron and X-ray diffraction study of H in rutile. *American Mineralogist* 80, 448 (1995).
- 8. Koudriachova, M. V., de Leeuw, S. W. & Harrison, N. M. First-principles study of H intercalation in rutile TiO₂. Phys. Rev. B 70, 165421 (2004).
- 9. Peacock, P. W. & Robertson, J. Behavior of hydrogen in high dielectric constant oxide gate insulators. *Appl. Phys. Lett.* **83**, 2025 (2003).
- 10. Finazzi, E., Di Valentin, C., Pacchioni, G. & Selloni, A. Excess electron states in reduced bulk anatase TiO₂: Comparison of standard GGA, GGA + U, and hybrid DFT calculations. *J. Chem. Phys.* **129**, 154113 (2008).
- Deák, P., Aradi, B. & Frauenheim, T. Polaronic effects in TiO₂ calculated by the HSE06 hybrid functional: Dopant passivation by carrier self-trapping. Phys. Rev. B 83, 155207 (2011).
- 12. Filippone, F., Mattioli, G., Alippi, P. & Amore Bonapasta, A. Properties of hydrogen and hydrogen-vacancy complexes in the rutile phase of titanium dioxide. *Phys. Rev. B* **80**, 245203 (2009).
- 13. Soffer, B. H. Studies of the optical and infrared absorption spectra of rutile single crystals. J. Chem. Phys. 35, 940 (1961).
- 14. Bates, J. B. & Perkins, R. A. Infrared spectral properties of hydrogen, deuterium, and tritium in TiO2. Phys. Rev. B 16, 3713 (1977).
- 15. Herklotz, F., Lavrov, E. V. & Weber, J. Infrared absorption of the hydrogen donor in rutile TiO2. Phys. Rev. B 83, 235202-5 (2011).
- Bekisli, F., Fowler, W. B. & Stavola, M. Small polaron characteristics of an OD center in TiO₂ studied by infrared spectroscopy. *Phys. Rev. B* 86, 155208 (2012).
- Johnson, O. W., Paek, S. H. & DeFord, J. W. Diffusion of H and D in TiO₂: Suppression of internal fields by isotope exchange. J. Appl. Phys. 46, 1026–1033 (1975).
- 18. Chen, Y., Gonzalez, R. & Tsang, K. L. Diffusion of deuterium and hydrogen in rutile TiO₂ crystals at low temperatures. *Phys. Rev. Lett.* **53**, 1077 (1984).
- 19. Spahr, E. J. et al. Giant enhancement of hydrogen transport in rutile TiO, at low temperatures. Phys. Rev. Lett. 104, 205901 (2010).
- 20. Caskey, G. R. Jr. Diffusion of tritium in rutile TiO2. Mater. Sci. Eng. 14, 109 (1974).
- 21. Cathcart, J. V., Perkins, R. A., Bates, J. B. & Manley, L. C. Tritium diffusion in rutile (TiO2). J. Appl. Phys. 50, 4110 (1979).
- 22. Stavola, M., Bergman, K., Pearton, S. J. & Lopata, J. Hydrogen motion in defect complexes: Reorientation kinetics of the b-h complex in silicon. *Phys. Rev. Lett.* **61**, 2786 (1988).
- 23. Cheng, Y. M. & Stavola, M. Non-arrhenius reorientation kinetics for the B–H complex in Si: Evidence for thermally assisted tunneling. *Phys. Rev. Lett.* **73**, 3419 (1994).
- 24. Stavola, M., Cheng, Y. M. & Davies, G. Al-H and Al-D complexes in Si: A uniaxial-stress study of the hydrogen vibrational modes. *Phys. Rev. B* **54**, 11322 (1996).
- 25. Stavola, M., Pearton, S. J., Lopata, J., Abernathy, C. R. & Bergman, K. Structure and dynamics of the Be-H complex in GaAs. *Phys. Rev. B* 39, 8051 (1989).
- 26. Kozuch, D. M., Stavola, M., Spector, S. J., Pearton, S. J. & Lopata, J. Symmetry, stress alignment, and reorientation kinetics of the Si As-H complex in GaAs. *Phys. Rev. B* 48, 8751 (1993).
- 27. Börrnert, F., Lavrov, E. V. & Weber, J. Hydrogen motion in the Cu-H complex in ZnO. Phys. Rev. B 75, 205202 (2007).
- 28. Lavrov, E. V., Weber, J. & Börrnert, F. Copper dihydrogen complex in ZnO. Phys. Rev. B 77, 155209 (2008).
- 29. Weiser, P. et al. Symmetry and diffusivity of the interstitial hydrogen shallow-donor center in In₂O₃. Appl. Phys. Lett. **109**, 202105 (2016).
- Perdew, J. P. et al. Restoring the Density-Gradient Expansion for Exchange in Solids and Surfaces. Phys. Rev. Lett. 100, 136406–4 (2008).
- 31. Perdew, J. P., Burke, K. & Ernzerhof, M. Generalized Gradient Approximation Made Simple. Phys. Rev. Lett. 77, 3865-3868 (1996).
- 32. Kresse, G. & Hafner, J. Ab initio molecular dynamics for liquid metals. Phys. Rev. B 47, 558 (1993).
- 33. Kresse, G. & Furthmüller, J. Efficient iterative schemes for *ab initio* total-energy calculations using a plane-wave basis set. *Phys. Rev.* B **54**, 11169 (1996).
- 34. Kresse, G. & Joubert, D. From ultrasoft pseudopotentials to the projector augmented-wave method. Phys. Rev. B 59, 1758 (1999).
- 35. Freysoldt, *C. et al.* First-principles calculations for point defects in solids. *Rev. Mod. Phys.* **86**, 253–305 (2014).
- 36. Baldereschi, A. Mean-Value Point in the Brillouin Zone. *Phys. Rev. B* 7, 5212–5215 (1973).
- 37. Henkelman, G., Uberuaga, B. P. & Jónsson, H. A climbing image nudged elastic band method for finding saddle points and minimum energy paths. *J. Chem. Phys.* 113, 9901–9904 (2000).
- 38. Bates, J. B., Wang, J. C. & Perkins, R. A. Mechanisms for hydrogen diffusion in TiO2. Phys. Rev. B 19, 4130 (1979).
- 39. Lavrov, E. V. & Weber, J. Effect of uniaxial stress on vibrational modes of hydrogen in ZnO. Phys. Rev. B 73, 035208-5 (2006).
- 40. Lavrov, E. V. & Weber, J. Uniaxial stress study of the Cu-H complex in ZnO. phys. stat. sol. (b) 243, 2657-2664 (2006).
- 41. Lavrov, E. V., Borrnert, F. & Weber, J. Hydrogen motion in ZnO. Physica B: Condensed Matter 401-402, 366-369 (2007).
- 42. Zhu, C., Byrd, R. H., Lu, P. & Nocedal, J. Algorithm 778: L-BFGS-B: Fortran subroutines for large-scale bound-constrained optimization. ACM Trans. Math. Softw. 23, 550–560 (1997).
- 43. Philibert, J. J. Atom movements: Diffusion and mass transport in solids (Les Ulis, France: Editions de Physique, 1991).
- 44. Eyring, H. The activated compex in chemical reactions. J. Chem. Phys. 3, 107 (1935).
- 45. Wert, C. & Zener, C. Interstitial atomic diffusion coefficients. *Phys. Rev.* **76**, 1169 (1949).
- 46. Vineyard, G. H. Frequency factors and isotope effects in solid state rate processes. J. Phys. Chem. Solids 3, 121 (1957).
- 47. Wachtman, J. B., Tefft, W. E. & Lam, D. G. Elastic constants of rutile (TiO2). J. Res. Nat. Bur. Stand. Sect. A 66, 465 (1962).
- 48. Wimmer, E. et al. Temperature-dependent diffusion coefficients from ab initio computations: hydrogen, deuterium and tritium in nickel. Phys. Rev. B 77, 134305 (2008).
- 49. Heyd, J., Scuseria, G. E. & Ernzerhof, M. Hybrid functionals based on a screened Coulomb potential. *J. Chem. Phys.* 118, 8207 (2003).
- 50. Heyd, J., Scuseria, G. E. & Ernzerhof, M. Erratum: "Hybrid functionals based on a screened Coulomb potential" [J. Chem. Phys. 118, 8207 (2003)]. J. Chem. Phys. 124, 219906 (2006).
- 51. Flynn, C. P. & Stoneham, A. M. Quantum theory of diffusion with application to light interstitials in metals. *Phys. Rev. B* 1, 3966 (1970).

Acknowledgements

We thank Matthias Schrade for help with sample preparation. This work was partly supported by the Norwegian PhD Network on Nanotechnology for Microsystems, the Norwegian Research Centre for Solar Cell Technology (FME-SOL) and the EEA-JRP-RO-NO-2013-1 Project (PERPHECT). I.C. and E.V.L. thank Deutsche Forschungsgemeinschaft (Grant No. LA 1397/10) for financial support.

Author Contributions

E.V.L. proposed the idea of the experiment and provided the experimental equipment. A.J.H., E.V.L. and I.C. carried out the measurements. A.J.H. provided the sample, carried out calculations and prepared all figures. A.J.H., I.C. and E.V.L. wrote the manuscript text. E.V.M. and B.G.S. reviewed the manuscript.

Additional Information

Competing Interests: The authors declare that they have no competing interests.

Publisher's note: Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons license and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this license, visit https://creativecommons.org/licenses/by/4.0/.

© The Author(s) 2017