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## Hydrophilic Stars, Amphiphilic Star Block Copolymers, and Miktoarm Stars with Degradable Polycarbonate Cores

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# Hydrophilic Stars, Amphiphilic Star Block Copolymers, and Miktoarm Stars with Degradable Polycarbonate Cores

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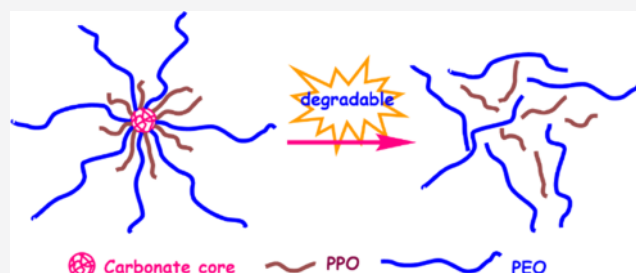


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**ABSTRACT:** A facile one-pot synthetic approach toward the realization of star polymers made of degradable carbonate cores is reported. The synthetic strategy involved triethylborane-activated anionic copolymerization of a difunctional epoxide with CO<sub>2</sub> initiated by bis(triphenylphosphine)iminium chloride (PPNCl). Vinyl cyclohexene dioxide (VCD) was, thus, used as a difunctional cross-linker, and core compositions with 80–90% carbonate content were achieved. Poly(ethylene oxide) (PEO) arms were grown from these in-situ generated polycarbonate core anions to build a range of star polymers, including hydrophilic PEO stars and star-shaped block copolymers. Poly(propylene oxide) (PPO) precursors were used in a second approach as macroinitiators to form carbonate cores through the arm-first method; miktoarm stars having a large number of arms, both hydrophilic and hydrophobic, could be derived by this method. The carbonate cores of the synthesized stars were readily degraded through hydrolysis of the carbonate linkages to yield PEO and PPO chains. Potential applications of these types of systems are manifold, especially for PEG-based drug delivery vehicles.



## INTRODUCTION

Poly(ethylene oxide) (PEO), often referred to as poly(ethylene glycol), is a widely utilized polymer for the conjugation of biomolecules (PEGylation) for drug delivery due to its unique properties such as chemical stability, hydrophilicity, biocompatibility, and especially “stealth effect”.<sup>1–5</sup> PEO samples carrying many terminal functional groups are sometimes preferred to mere linear PEO for they offer better protection to conjugated biomolecules and have much higher loading capacities. Therefore, a great deal of effort has been devoted to synthesizing branched PEOs with starlike, dendrimer-like, and hyperbranched structures<sup>6–10</sup> or linear PEOs with multifunctionalities.<sup>6,11</sup>

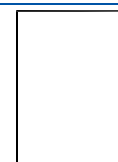
In comparison to hyperbranched PEOs, usually characterized by a broad polydispersity and ill-defined structure,<sup>10,12</sup> PEO dendrimers exhibit well-defined structures but necessitate tedious multistep synthesis;<sup>13–19</sup> in contrast PEO-based star polymers have attracted significant attention as their synthetic methodology is relatively easy and cost-effective.<sup>20–29</sup> Generally, star-branched PEO structures are composed of a core and radiating arms. PEO stars can be obtained either by linking a given number of linear chains to a central core following the “arm-first” method or by growing branches from a multifunctional initiator via the “core-first” approach, which was well reviewed by Lapienis.<sup>8</sup> Due to the steric hindrance during the coupling, starlike PEOs synthesized by the “arm-first” method exhibit generally low molar masses; in contrast, PEO stars synthesized by the “core-first” method can be of high molar masses. Although a core that carries a definite number of

functional groups affords an accurate number of arms of PEOs, this number of arms is generally very limited by the availability of core molecules of high functionality.<sup>24–26</sup> When synthesizing PEO stars with a higher number of arms by the “core-first” method, the precursors serving subsequently as the star cores are generally generated through anionic polymerization of cross-linkers, such as divinylbenzene,<sup>29</sup> diepoxides, or glycidol.<sup>20–23,27,30</sup> Such PEO stars exhibit special topological features such as a fluctuation in their degree of branching, which can be large, a low solution viscosity, and smaller hydrodynamic volumes compared to their linear analogues.<sup>8,31–33</sup>

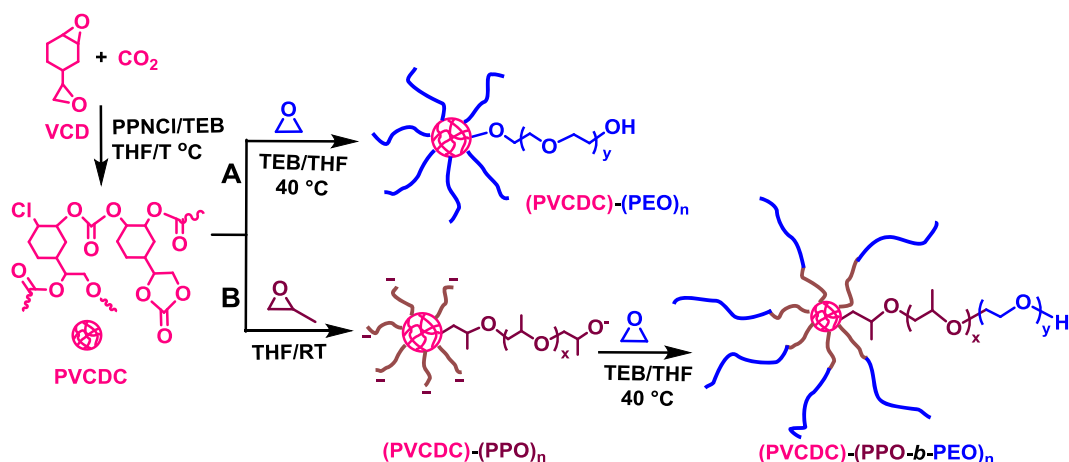
As a vehicle for the delivery of biomolecules that requires a long residence time in the body, the hydrodynamic size of conjugates after PEGylation should be above 6–8 nm, which is the threshold for glomerular filtration to avoid renal clearance. However, due to their nondegradability, PEOs with molar mass higher than 40 kg/mol can accumulate in the body.<sup>4,34</sup> A number of synthetic ways have been contemplated toward the preparation of degradable linear PEOs: through modification of commercially available PEOs, by polycondensation of PEG

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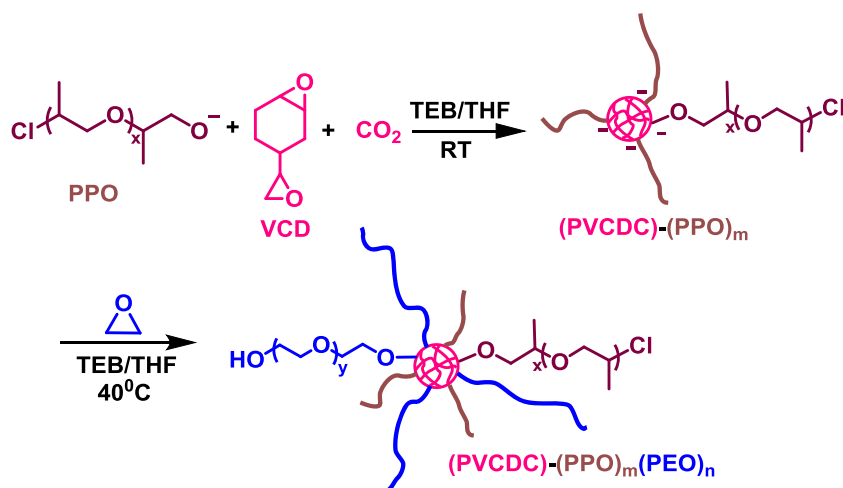
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Scheme 1. Synthesis of PEO Stars and PEO-*b*-PPO Star Block Copolymers with a Degradable PVCDC Carbonate Core by the “Core-First” Method



Scheme 2. Synthesis of Miktoarm Stars  $\mu$ -(PEO)<sub>m</sub>(PPO)<sub>n</sub> with Degradable PVCDC Cores



69 telechelics or anionic copolymerization of EO with other  
70 monomers to incorporate cleavable moieties,<sup>35</sup> etc. These  
71 attempts were well summarized by Dingels and Frey.<sup>36</sup> As for  
72 star or branched PEOs that can undergo degradation under a  
73 trigger, the reports of their synthesis are very few. To be  
74 compatible with the anionic ring-opening polymerization  
75 (AROP) of EO, which occurs under basic conditions, the  
76 strategies used have been to incorporate acid-labile ketal  
77 linkages within the core or within branching points by the  
78 “core-first” methodology. For instance, we previously reported  
79 degradable dendrimer-like PEOs using an original ABC-type  
80 branching agent featuring a cleavable ketal group, following an  
81 iterative divergent approach based on the AROP of ethylene  
82 oxide and the “arborization” of PEO chain ends.<sup>14</sup> Tonhauser  
83 and co-workers designed acid-labile PEO stars by incorporat-  
84 ing acetal moieties into the core structure using an acetal-  
85 containing inimer.<sup>37</sup> To avoid incompatibility with the  
86 conditions of the AROP of EO, one generally resorts to the  
87 “arm-first” methodology for the synthesis of degradable PEO  
88 stars. For instance, Matyjaszewski et al. synthesized degradable  
89 PEO stars, containing disulfide linkages in their core through  
90 “arm-first” atom transfer radical copolymerization (ATRP) of a  
91 methacrylated PEO macromonomer with disulfide dimetha-  
92 crylate as the cross-linker.<sup>38</sup> They also resorted to the “core-

first” method to obtain stars grown from modified tannic acid  
93 serving as the core.<sup>39</sup> Johnson and co-workers described the  
94 one-pot synthesis of core-photocleavable poly(norbornene)-*g*-  
95 PEO brush-arm stars through ring-opening metathesis  
96 polymerization (ROMP) of a norbornene-PEO macro-  
97 monomer with a photocleavable bis-norbornene cross-linker.<sup>40</sup>  
98 This methodology combines graft-through ROMP and “arm-  
99 first” cross-linking to introduce degradable linkages within stars  
100 during coupling or cross-linking.  
101

Our group recently proposed a new metal-free approach  
102 allowing the successful copolymerization of CO<sub>2</sub> and epoxides  
103 using triethylborane (TEB)-based ate complexes;<sup>41,42</sup> upon  
104 slightly changing the polymerization conditions, the carbonate  
105 content (50–96%) in the obtained poly(ether-carbonate)s  
106 could be easily tuned. Under the same conditions, epoxides  
107 such as ethylene oxide, propylene oxide, or glycidyl azide could  
108 be effectively homopolymerized in a “living” manner.<sup>43–45</sup> The  
109 above results demonstrate the stability of carbonate linkages in  
110 the presence of ate complexes mediating the AROP of  
111 epoxides, in contrast to the known incompatibility of ester or  
112 carbonate linkages generally observed in the AROP of epoxides  
113 under basic conditions;<sup>46</sup> such incompatibility generally ends  
114 up in the degradation of the latter linkages during synthesis.  
115 This prompted us to synthesize PEO stars where the cores  
116

117 would be composed of carbonate linkages that remain stable  
118 and tolerant toward the growing active species and could, thus,  
119 be cleaved after synthesis under hydrolytic conditions. Indeed,  
120 degradation investigations have reported that the carbonate  
121 linkages could well be hydrolytically degraded in vitro and  
122 biodegraded in vivo through surface erosion.<sup>47–50</sup> In this work,  
123 we report the synthesis of PEO stars made of degradable cores  
124 in one pot through a “core-first” approach, as shown in Scheme  
125 1. The degradable core was first formed through copoly-  
126 merization of a diepoxide (VCD) with CO<sub>2</sub>; the PEO stars  
127 (PVCDC)-(PEO)<sub>n</sub> were then obtained in the same reactor  
128 following addition of EO through AROP in the presence of  
129 TEB (Scheme 1A). Based on the above synthesis, hydrophobic  
130 stars (PVCDC)-(PPO)<sub>n</sub> and amphiphilic star blocks  
131 (PVCDC)-(PPO-*b*-PEO)<sub>n</sub> (Scheme 1B) were further derived  
132 through the aforementioned “core-first” method. In another  
133 way, (PCVDC)-(PPO)<sub>m</sub>(PEO)<sub>n</sub> miktoarm stars were obtained  
134 by the “arm-first” and “in-out” methodology (Scheme 2).<sup>51</sup>

## 135 ■ EXPERIMENTAL SECTION

136 **Materials.** All reactions were carried out under a dry and oxygen-  
137 free argon atmosphere in a Braun Labmaster glovebox. All chemicals  
138 purchased from Aldrich and Acros were used without further  
139 purification, unless otherwise specially mentioned. Vinyl cyclohexene  
140 dioxide (VCD), propylene oxide (PO), and cyclohexene oxide  
141 (CHO) were distilled under reduced pressure over calcium hydride  
142 before use. Ethylene oxide (EO) was purified by stirring over CaH<sub>2</sub>  
143 for one day and distilled into a flask containing *n*-BuLi and stirred for  
144 a couple of hours followed by a further distillation. Bis-  
145 (triphenylphosphoranylidene)ammonium chloride (PPNCl) was  
146 recrystallized from a methylene chloride–diethyl ether solvent  
147 mixture and dried overnight under vacuum at 50 °C. Triethylborane  
148 (TEB, 1 M in THF) was used without further purification.  
149 Tetrahydrofuran (THF) was distilled from a sodium/benzophenone  
150 mixture before use. CO<sub>2</sub> (99.995%) from Abdullah Hashim Industrial  
151 & Gas Co. was further purified by flowing through a purifier of VICI  
152 Co.

153 **Characterization.** <sup>1</sup>H and <sup>13</sup>C NMR spectra were recorded on a  
154 Bruker AVANCE III-400 Hz instrument and chemical shifts are  
155 reported in ppm using tetramethylsilane as the internal standard in  
156 CDCl<sub>3</sub> solvent. FT-IR spectra were recorded using a ThermoScientific  
157 Nicolet iS10 FT-IR spectrometer. The molar mass (*M*<sub>n</sub>) and molar  
158 mass distribution (*D*) of linear and star polymers were measured on a  
159 GPC instrument VISCOTEK VE2001 equipped with Styragel HR2  
160 THF (1 mL/min) as the eluent at 35 °C and a differential refractive  
161 index (RI) detector. Polystyrene and PEO standards were used for  
162 calibration of the instrument. The absolute molar mass of star  
163 polymers was determined by triple-detection GPC (refractometry,  
164 light scattering at λ = 670 nm, and viscometry). Refractive index  
165 increment (dn/dc) of star samples in THF was measured with a  
166 Brookhaven Instruments differential refractometer. Dynamic light  
167 scattering (DLS) measurements were performed using a Malvern  
168 Zetasizer Nano ZS instrument equipped with a 632.8 nm He–Ne  
169 laser. The measurement angle was 173°. For analysis, the polymer  
170 sample solution was prepared in DMF solvent and filtered through a  
171 0.25 μm PTFE filter. The cells were temperature-controlled at 20 ±  
172 0.1 °C. MALDI-TOF MS experiments were performed on a Bruker  
173 Ultraflex III MALDI-TOF mass spectrometer (Bruker Daltonik,  
174 Bremen, Germany) using trans-2-[3-(4-*tert*-butylphenyl)2-methyl-2-  
175 propenylidene]malononitrile (DCTB) as the matrix in THF and  
176 NaTFA as the ionizing agent. Typically, 40 mg of DCTB, 10 mg of  
177 sample, and 10 mg of NaTFA were dissolved in 1 mL of THF  
178 separately and mixed in the ratio of 20:10:5 μL; 1 μL from that  
179 mixture was spotted over the plate for measurement.

180 **Synthesis of PEO Stars with Polycarbonate Cores (PVCDC)-**  
181 **(PEO)<sub>n</sub>.** Reactions were carried out in a 100 mL Parr reactor with an  
182 in-built charging port, which was dried at 120 °C overnight and then  
183 evacuated in a glovebox chamber for 3 h. For illustrating the synthetic

procedure, the core sample designated as (PVCDC1)-(EO<sub>300</sub>)<sub>n</sub> in  
entry 1 in Table 2 is taken as a representative. PPNCl (0.057 g, 0.1  
mmol) was first added into the reactor, followed by THF (2.5 mL)  
and TEB (0.1 mL, 1 equiv). To this reaction mixture, vinyl  
cyclohexene dioxide (64 μL, 0.5 mmol) was introduced, and then  
the reactor was closed and taken out from the glovebox to charge CO<sub>2</sub>  
to 10 bar. The polymerization was carried out at 80 °C for 15 h. After  
cooling the reactor and CO<sub>2</sub> being slowly released, EO (1.3 mL, 30  
mmol) and TEB (0.3 mL) in THF (13 mL) were carefully injected  
into the reactor through the charging port, and polymerization was  
done at 40 °C for another 4 h. Finally, the reaction mixture was  
quenched with HCl in methanol (1 mol/L). The obtained crude  
product was purified through precipitation in diethyl ether and dried  
in a vacuum oven at 40 °C overnight.

For the characterization of the core formed, the same  
copolymerization of VCD with CO<sub>2</sub> has to be done as above and  
then quenched using HCl in methanol (1 mol/L). The obtained  
crude PVCDC core product was purified through precipitation in  
methanol and dried under vacuum at 40 °C overnight.

**Synthesis of Star Block (PCVDC)-(PPO-*b*-PEO)<sub>n</sub>.** Entry 1 in  
Table 3, star block sample (PCVDC1)-(PO<sub>87</sub>-*b*-EO<sub>340</sub>)<sub>n</sub> was taken as  
an example. Polycarbonate core PVCDC1 was synthesized as  
illustrated in the synthesis of homostars. To the synthesized  
PVCDC1 core mixture (0.1 mmol) inside an autoclave, PO (1.3  
mL, 8.7 mmol) in THF (2.6 mL) was injected, and the  
polymerization was carried out at RT under stirring for 6 h. Then,  
EO (1.7 mL, 34 mmol) and TEB (0.3 mL) in THF (17 mL) were  
sequentially injected through the charging port and polymerization  
was continued at 40 °C for another 4 h. At the end of polymerization,  
the reaction mixture was quenched with HCl in methanol (1 mol/L).  
The crude product was purified through precipitation in hexane,  
followed by drying in a vacuum oven at 40 °C overnight.

**Synthesis of Miktoarm Star Copolymers (PVCDC)-**  
**(PPO)<sub>m</sub>(PEO)<sub>n</sub>.** A typical synthetic procedure of miktoarm star  
copolymers is described here by taking entry 3 in Table 4 as an  
example. The poly(propylene oxide) (PPO, *M*<sub>n</sub> = 4.2 kg/mol)  
macroinitiator was first synthesized in a 100 mL dried Parr autoclave  
by stirring PPNCl (34 mg, 0.06 mmol), TEB (60 μL, 0.06 mmol), and  
PO (0.27 mL, 4.3 mmol) in THF (0.27 mL) at RT for 1 h. After VCD  
(183 μL, 1.4 mmol) in THF (6 mL) was injected, the reactor was  
charged with CO<sub>2</sub> to 10 bar, and kept at 50 °C for 17 h under stirring  
to form the star macroinitiator. The reactor was then cooled and CO<sub>2</sub>  
was slowly released, EO (1 mL, 20 mmol) and TEB (180 μL, 0.18  
mmol) in THF (10 mL) were finally charged, and polymerization was  
carried out at ambient temperature for another 1 h. The polymer-  
ization was quenched with HCl in methanol (1 mol/L). The product  
was obtained through precipitation in diethyl ether followed by drying  
in a vacuum oven at 40 °C overnight.

**Hydrolytic Degradation of Star Polymers.** In a typical  
hydrolysis reaction, 60 mg of star sample was dissolved in 4 mL of  
THF, followed by the addition of 2 M KOH in methanol. The  
hydrolysis reaction was carried out at 50 °C under stirring for 3 days  
and then the solvent was removed under reduced pressure. 236  
Dichloromethane was added to extract the polymer. The hydrolyzed  
polymer samples were obtained after concentration and drying. 238

## 239 ■ RESULTS AND DISCUSSION

**Synthesis of PEO Stars with a Degradable Polycarbonate Core.** *Synthesis of Polycarbonate Cores.* PEO stars  
having a degradable core made of carbonate linkages were first  
prepared by the “core-first” approach, as shown in Scheme 1.  
VCD was used as the cross-linker (difunctional monomer) to  
form the degradable polycarbonate core by copolymerization  
with CO<sub>2</sub> using a boron-based ate complex as the initiator  
(Scheme 1).<sup>42</sup> VCD contains two types of epoxides, one  
alicyclic and disubstituted and the other monosubstituted, both  
known to exhibit different reactivities; however, no clear  
chemoselectivity was evidenced since macrogel formation was 250

**Table 1. Results of TEB-Activated Copolymerization of VCD with CO<sub>2</sub> under Different Conditions<sup>a</sup>**

entry	samples	[Cl <sup>-</sup> ]:[TEB]:[VCD]	T (°C)	conv. (%) <sup>b</sup>	carbonate content (%) <sup>c</sup>	M <sub>n(GPC)</sub> /Đ (kg/mol) <sup>d</sup>	F <sup>e</sup>
1	PVDC1	1:1:5	80	>99	85	5.9/1.8	4.6
2	PVDC2	1:1:5	70	>99	88	6.3/1.8	6.3
3	PVDC3	1:1:5	60	>99	90	8.6/5.6	8.5
4	PVDC4	1:1:5	50	>99	90	12.0/5.3	20.8
5	PVDC5	1:1:6	80	>99	90	9.6/3.7	9.2
6	PVDC6	1:1:7	80	gel			
7	PVDC7	1:1.5:5	70	>99	88	7.0/4.1	7.7
8	PVDC8	1:1:6	60	gel			
9 <sup>f</sup>	PVDC9	1:1:5	70	>99	89	6.7/1.9	5.8
10 <sup>g</sup>	PVDC10	1:1:5	80	>99	90	7.5/2.3	11.8

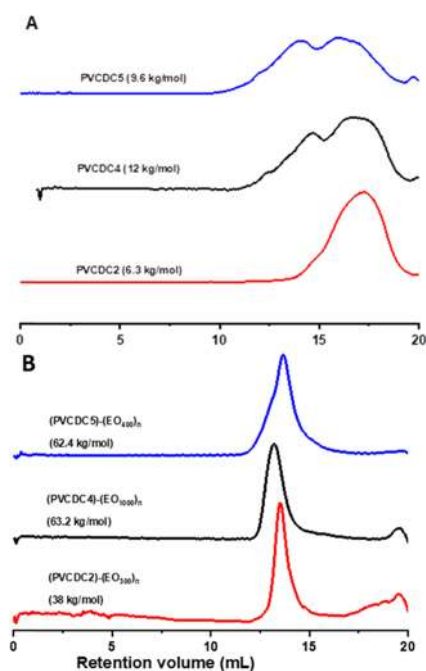
<sup>a</sup>Copolymerization was carried out for 15 h with a VCD/THF (v/v) ratio equal to 1:2.5 using PPNCl as the initiator or as otherwise mentioned.

<sup>b</sup>Conversion was measured by <sup>1</sup>H NMR of the crude reaction mixture. <sup>c</sup>Determined by <sup>1</sup>H NMR. <sup>d</sup>Measured by GPC with THF as the eluent and calibrated using polystyrene standards. <sup>e</sup>The functionality was taken from the arm numbers of PEO stars in Table 2. <sup>f</sup>Volume ratio of VCD/THF was 1:2. <sup>g</sup>Monofunctional CHO was added to the cross-linker in the molar ratio of VCD/CHO = 1:1 and VCD/THF (v/v) = 1:2.5.

251 observed even at a moderate concentration of VCD. Soluble  
252 polycarbonates were, however, reported upon discontinuing  
253 the copolymerization of VCD with CO<sub>2</sub> at moderate  
254 conversion.<sup>52</sup> To avoid macrogelation when using the “core-  
255 first” methodology, low amounts of VCD were used with a  
256 ratio of VCD to initiator smaller than 5. The challenge here  
257 was indeed to prepare colloidal, submicron size range, cross-  
258 linked polycarbonates and, thus, to avoid macrogelation. The  
259 parameters that generally play an essential role in the  
260 formation of such colloidal, yet reactive, nanogels, which can  
261 eventually serve as cores for star synthesis, are the  
262 concentration of the reaction medium and the ratios of  
263 cross-linker (VCD) to the initiator and to the comonomer.  
264 The temperature (*T*) of the reaction is another parameter to  
265 be considered as a very high temperature can favor the  
266 formation of cyclic carbonates and, thus, the generation of  
267 unproductive intramolecular carbonate linkages, from the  
268 cross-linking standpoint. The polymerization conditions and  
269 results are listed in Table 1.

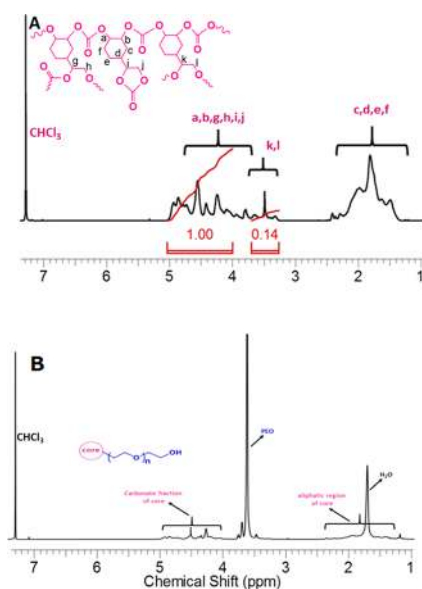
270 As shown previously,<sup>42</sup> the copolymerization behavior of  
271 CO<sub>2</sub> with propylene oxide (PO) is different from that of  
272 alicyclic cyclohexene oxide (CHO) when using onium salts as  
273 an initiator in the presence of TEB. In the case of CHO, only  
274 alternating copolymers with CO<sub>2</sub> (polycarbonates) were  
275 obtained with 100% selectivity of linear vs cyclic carbonates;  
276 in the case of PO, a poly(ether-*co*-carbonate) was formed,  
277 containing about 10% of ether linkages along with some cyclic  
278 propylene carbonate side products, whose amount was found  
279 to increase with the temperature of polymerization. Unlike  
280 poly(ether-*co*-carbonate)s or linear polycarbonates that do  
281 contribute to cross-linking, cyclic carbonates do not participate  
282 in cross-linking reactions during the copolymerization of VCD  
283 with CO<sub>2</sub>. Before adding ethylene oxide, the product of the  
284 copolymerization of VCD with CO<sub>2</sub> was sampled out and  
285 thoroughly characterized.

286 As shown in Table 1, a ratio of VCD to initiator equal to 5  
287 leads to soluble polycarbonate cores and results in a complete  
288 conversion of VCD. The obtained polycarbonate cores exhibit  
289 a broad molar mass distribution, probably due to occurrence of  
290 core–core coupling reactions (Figure 1A). As shown in a  
291 representative NMR spectrum (Figure 2A), the peaks  
292 corresponding to the CHO-type epoxide units (3.2 ppm) of  
293 VCD and the PO-type epoxide units (2.5 and 2.7 ppm) of the  
294 same cross-linker have all disappeared, indicating the complete  
295 reaction of diepoxides. The peaks corresponding to the acyclic



**Figure 1.** Representative GPC overlay profiles of (A) PVDC polycarbonate cores and (B) (PVDC)-(PEO)<sub>*n*</sub> stars.

and cyclic carbonate linkages overlap in the region between 5.0  
296 and 4.0 ppm; their presence can also be clearly detected by IR  
297 spectroscopy (Figure S1), with strong absorption peaks at  
298 1800 and 1740 cm<sup>-1</sup> attributable to the stretching of the  
299 carbonyl of cyclic and acyclic carbonates, respectively. The  
300 selectivity of acyclic vs cyclic carbonates during core synthesis,  
301 as determined by IR analysis, falls typically in the range of 63–  
302 68% except for entry 10, which indicates 80% selectivity.  
303 Besides carbonate linkages, resonances in the region of 3.3–3.5  
304 ppm (Figure 2A) show also the presence of ether linkages  
305 resulting from the homopolymerization of VCD. Based on the  
306 ratio of intensities of the peaks located between 4 and 5 ppm  
307 to those of the peaks found around 3.5 ppm, the carbonate  
308 content of the formed cores could be deduced. As shown in  
309 Table 1, the carbonate vs ether content does not change  
310 considerably with the temperature and stands at around 90%.  
311 In contrast, the apparent molar masses of the obtained cores  
312 increase with the decrease of the polymerization temperature.  
313 Since the formation of cyclic carbonate linkages does not  
314



**Figure 2.** Representative  $^1\text{H}$  NMR spectra in  $\text{CDCl}_3$  of (A) polycarbonate cores (PVCDC) and (B) (PVCDC)-(PEO) $_n$  stars.

contribute to cross-linking, lowering the temperature favors the formation of “linear” acyclic carbonate linkages, resulting in a higher degree of cross-linking, and thus higher apparent molar masses and functionality (vide infra) of the synthesized cores. Indeed, the functionality of the cores increases from 4.6 to 20.8 when decreasing the temperature of polymerization from 80 to 50 °C for a given feeding ratio (entry 1–4, Table 1). To favor the incorporation of the monosubstituted epoxide carried by VCD, attempts to add more TEB to increase the cross-linking density and, in turn, the molar mass and functionality of the core were found successful (entry 7 in Table 1). Utilizing a mono-epoxide (CHO) in addition to VCD and  $\text{CO}_2$  was also helpful to enhance the extent of cross-linking without provoking the entire gelation of the reaction medium (entry 10 in Table 1). On the other hand, an increase in the amount of VCD generally resulted in the gelation of the medium (entries 6, 8 in Table 1). When the ratio of VCD to initiator was  $<5$ , the functionality of the core could, thus, be fine-tuned by varying the polymerization temperature and the amount of

TEB used, and through the introduction of a mono-epoxide as a third monomer; of the three options, the first one, which is the variation of the temperature, proved the most effective.

**Growth of PEO Arms from “Living” Polycarbonate Cores.** The highly branched polycarbonate cores prepared according to the conditions previously described served to initiate the polymerization of EO and grow PEO arms (Scheme 1A). Ethylene oxide, together with THF solvent, was then injected into the same Parr reactor after  $\text{CO}_2$  was gradually released, and the polymerization of ethylene oxide was subsequently carried out at 40 °C under stirring. Depending on the cores utilized as macroinitiators and the amount of EO charged, PEO stars exhibiting different arm numbers and lengths could be obtained.

An overlay of FT-IR spectra in Figure S1 clearly shows the presence of the characteristic peaks due to carbonate cores, indicating the integrity of carbonate linkages during the polymerization of EO. Likewise, the NMR characterization shows, in addition to the characteristic peak of PEO at 3.77 ppm, peaks in the 4.0–5.0 ppm region attributable to CH and  $\text{CH}_2$  protons of carbonate linkages, confirming the formation of PEO stars. After the formation of the PEO stars, the GPC traces remarkably shift to the high molar mass region and exhibit distributions that are symmetrical and narrower with a dispersion around 1.5 in most cases. To calculate the number of arms of each PEO star formed, the actual or absolute molar masses of PEO stars were, thus, measured using light scattering. Then, the polycarbonate core of the stars was completely hydrolyzed, and the molar masses of linear PEO arms were determined by GPC calibrated with PEO standards. Based on the absolute molar mass values,  $M_{w,\text{star(LS)}}$ , the arm numbers could be estimated using the following formula

$$N_{\text{arm}} = M_{w,\text{star(LS)}} \times W_{\text{PEO}} / M_{n(\text{arm})} \quad (1)$$

where  $M_{w,\text{star(LS)}}$ ,  $M_{n(\text{arm})}$ , and  $W_{\text{PEO}}$  are the absolute molar mass of PEO stars, the molar mass of each arm determined by GPC analysis, and the weight percentage of PEO in the stars respectively. In Table 2 are listed some of the parameters that were varied from one experiment to another one, such as the temperature of polymerization, the amount of TEB used, and the ratio of EO to the initiator; under these conditions, PEO

**Table 2.** Characterization of (PVCDC)-(PEO) $_n$  Star Polymers Synthesized by the “Core-First” Method<sup>a</sup>

entry	star polymer	[EO]:[Cl <sup>-</sup> ]	$M_{n,\text{star}}/D^b$ (kg/mol)	$M_{w,\text{star(LS)}}^c$ (kg/mol)	$M_{n,\text{PEO}}^d$ (kg/mol)	$N_{\text{arm}}^e$
1	(PVCDC1)-(EO <sub>300</sub> ) $_n$	300	45.4/1.3	57.2	12.6	4.6
2	(PVCDC2)-(EO <sub>300</sub> ) $_n$	300	38/1.1	77.7	12.2	6.1
3	(PVCDC2)-(EO <sub>500</sub> ) $_n$	500	41.5/1.1	125	19.0	6.5
4	(PVCDC3)-(EO <sub>50</sub> ) $_n$	50	13.0/2.4	nd	2.1	
5	(PVCDC3)-(EO <sub>100</sub> ) $_n$	100	23.4/1.6	nd	4.4	
6	(PVCDC3)-(EO <sub>400</sub> ) $_n$	400	48.1/1.5	140	15.0	9.3
7	(PVCDC4)-(EO <sub>1000</sub> ) $_n$	1000	63.2/1.3	835	40.1	20.8
8	(PVCDC5)-(EO <sub>400</sub> ) $_n$	400	62.4/2	151	16.3	9.2
9	(PVCDC7)-(EO <sub>500</sub> ) $_n$	500	44.4/1.6	148	19.2	7.7
10	(PVCDC9)-(EO <sub>300</sub> ) $_n$	300	38.8/1.4	70.1	12.0	5.8
11	(PVCDC10)-(EO <sub>100</sub> ) $_n$	100	24.1/1.6	50.8	4.1	12.3
12	(PVCDC10)-(EO <sub>300</sub> ) $_n$	300	34.7/1.5	144	12.8	11.2

<sup>a</sup>Polymerization of EO by the formed PVCDC core anion was carried out by keeping the ratio of TEB to PPnCl as 3:1 and EO in THF (v/v, 1/10) at 40 °C for 4 h, and the conversion was calculated by gravimetry. <sup>b</sup>Determined by GPC with THF as the eluent based on a polystyrene standard. <sup>c</sup>Determined by GPC equipped with multiangle light scattering (GPC-MALLS). <sup>d</sup>PEO arms obtained after degradation were determined by GPC based on a PEO standard. <sup>e</sup>Calculated based on formula 1.

**Table 3. Characterization of (PVCDC)(PPO-*b*-PEO)<sub>n</sub> Star Block Polymers Synthesized by the “Core-First” Method<sup>a</sup>**

entry	core <sup>b</sup>	[PO]:[EO]:[Cl <sup>-</sup> ]	(PVCDC)-(PPO) <sub>n</sub> M <sub>n</sub> /Đ <sup>c</sup> (kg/mol)	(PVCDC)-(PPO- <i>b</i> -PEO) <sub>n</sub> (kg/mol)		PPO- <i>b</i> -PEO (kg/mol) M <sub>n</sub> <sup>e</sup>	N <sub>arm</sub> <sup>f</sup>
				M <sub>n</sub> /Đ <sup>c</sup>	M <sub>w</sub> (LS) <sup>d</sup>		
1	PVCDC1	87/340/1	12.8/1.6	23.9/1.4	114	19.1	5.9
2	PVCDC2	44/340/1	15.7/1.4	31.6/2.5	145	19.6	7.4
3	PVCDC3	87/340/1	12.5/1.3	43.1/2.6	225	21.0	10.7
4	PVCDC4	44/340/1	18.9/1.7	50.0/1.8	380	22.1	17.3
5	PVCDC5	87/340/1	17.4/2.3	40.2/2.1	245	27.2	8.2

<sup>a</sup>Polymerization of PO by PVCDC core anions was carried out by keeping the ratio of TEB to PPNCI as 1:1 and PO in THF (v/v, 1/2) at RT for 6 h; the sequential polymerization of EO was carried out with a ratio of TEB to PPNCI (3:1) and EO in THF (v/v, 1/10) at 40 °C for 4 h. <sup>b</sup>The core was prepared as in Table 1. <sup>c</sup>Determined by GPC with THF as the eluent based on polystyrene standards. <sup>d</sup>Measured by GPC equipped with multiangle laser light scattering (GPC-MALLS). <sup>e</sup>The hydrolyzed linear arm blocks were analyzed by GPC calibrated with PEO standards. <sup>f</sup>Calculated based on formula 1.

**Table 4. Characterization of Amphiphilic Miktoarm Stars with Polycarbonate Cores<sup>a</sup>**

entry	[VCD]/[PPO <sup>-</sup> ]	time <sup>b</sup> (h)	M <sub>n</sub> PPO <sup>c</sup> (kg/mol)	M <sub>n</sub> PEO <sup>c</sup> (kg/mol)	wt % <sup>d</sup>		M <sub>wμ</sub> (PPO) <sub>m</sub> (PEO) <sub>n</sub> (kg/mol) <sup>e</sup>	M <sub>w</sub> (PPO) <sub>m</sub> star (kg/mol) <sup>f</sup>	arm number <sup>g</sup>	
					PPO	PEO			PPO	PEO
1	23	11	3.0	16.8	13	67	231	62.2	10.0	9.3
2	23	17	4.2	13.0	24	52	255	122	14.7	10.0
3	23	28	4.2	12.4	23	53	214	100	12.0	9.2
4	26	12	4.2	14.4	23	51	195	95	10.8	6.9
5	35	14	4.2	15.8	21	47	239	126	12.1	7.1
6	35	18	4.2	14.4	21	48	258	134	12.9	8.6
7	17	20	4.5	26.1	13	77	316	72.6	9.5	9.3

<sup>a</sup>The miktoarm PPO and PEO stars were prepared through the copolymerization of VCD with CO<sub>2</sub> using pre-prepared active PPO chains (10 mM in THF) at 50 °C and then polymerization of EO at RT through sequential addition of EO in THF (v/v = 1:10) and TEB (TEB/PPO<sup>-</sup> = 3:1) (see details in the experimental part). <sup>b</sup>Copolymerization time of VCD and CO<sub>2</sub> with active PPO chains. <sup>c</sup>Pre-prepared PPO arm and degraded PEO arm were measured by GPC with THF as the eluent based on PEO standards. <sup>d</sup>Calculated based on the composition of PEO and PPO from NMR data of miktoarm stars, and under the assumption that complete incorporation of VCD and PPO into miktoarm stars occurred and that 80% of epoxides in VCD reacted with CO<sub>2</sub>. <sup>e</sup>Measured by GPC equipped with multiangle laser light scattering (GPC-MALLS). <sup>f</sup>M<sub>w</sub>(PPO)<sub>m</sub> star was deduced from the molar mass value of miktoarm stars and the weight content of PEO in the stars: M<sub>w</sub>(PPO)<sub>m</sub> star = (1 - wt % PEO) × M<sub>wμ</sub>(PPO)<sub>m</sub>(PEO)<sub>n</sub>. <sup>g</sup>N<sub>PPO</sub> = M<sub>wμ</sub>(PPO)<sub>m</sub>(PEO)<sub>n</sub> × wt % PPO/M<sub>n</sub>PPO; N<sub>PEO</sub> = M<sub>wμ</sub>(PPO)<sub>m</sub>(PEO)<sub>n</sub> × wt % PEO/M<sub>n</sub>PEO.

stars carrying 5–20 arms could be prepared with a molar mass of up to 835 kg/mol.

**Synthesis of Star Block Copolymers (PVCDC)-(PPO-*b*-PEO)<sub>n</sub> with a Degradable Polycarbonate Core.** Some of the polycarbonate cores formed by the core-first method were also utilized to synthesize star block copolymers with arms consisting of PPO-*b*-PEO chains. For this, PO was initially polymerized from the “living” carbonate cores, followed by polymerization of EO (Scheme 1B). Molecular characterization results of the star blocks prepared by this procedure are shown in Table 3.

The distributions of GPC traces became narrower after the formation of PPO stars with dispersity of molar mass around 1.5 (Figure S2). After polymerization of EO, the GPC traces shifted to the higher molar mass region and the precursor peak vanished, indicating that all the PPO arms efficiently initiated the ROP of EO and brought about the growth of PEO blocks. The absolute molar masses of these star block copolymers could be obtained by MALLS/GPC; for the M<sub>n</sub> values of PPO-*b*-PEO block copolymer arms, they were determined after hydrolysis of the star cores and isolation of the latter arms; these values were subsequently used to determine the actual number of arms in the star structure, which ranged from 5 to 17, values that are close to those obtained for PEO homostars. **Synthesis of Amphiphilic Miktoarm Stars (PVCDC)-(PPO)<sub>m</sub>(PEO)<sub>n</sub>.** After the successful synthesis of PEO stars

and PPO-*b*-PEO star-shaped block copolymers, we attempted the synthesis of miktoarm stars μ-A<sub>m</sub>B<sub>n</sub>, composed of hydrophobic poly(propylene oxide) (PPO) and hydrophilic PEO arms, both of them linked to degradable polycarbonate cores. The synthetic strategy adopted for the synthesis of such miktoarm stars was to generate first “living” PPO arms, then build degradable polycarbonate cores, and finally use the latter to grow PEO arms through in- and -out sequences of reactions. This methodology is similar to the one developed for the synthesis of miktoarm stars via living anionic polymerization,<sup>53</sup> with the difference that VCD was used as a cross-linker instead of divinylbenzene to form the core.

In the in- and -out methodology, the “living” linear macroinitiator PPO served to initiate the polymerization of the cross-linker, VCD, and build the star core whose anionic sites could then be utilized to trigger the growth of arms of a second monomer, here EO (Scheme 2). Theoretically, the number of initiating sites carried by the star cores must be equal to the number of PPO macroinitiator arms that were used to build these cores. The number of branches that could be subsequently initiated and grown by polymerization of another monomer, here EO, must also be more or less equal to the initial number of PPO arms. However, the initiating sites carried by the cores may not be all accessible to the second monomer and, therefore, may vary with the extent of cross-linking of the core, and the steric hindrance due to the PPO

427 arms already connected to the core. As shown in the  
428 subsequent section, the TEB-controlled synthetic method  
429 incurs minimum purification steps and offers the major  
430 advantage of being versatile enough to afford in one pot the  
431 synthesis of miktoarm stars made of hydrophobic carbonate  
432 cores and amphiphilic shells composed of both hydrophilic  
433 PEO and hydrophobic PPO arms.

434 In the presence of CO<sub>2</sub>, “living” PPO chains with their end-  
435 standing alkoxide active sites readily react with the latter  
436 monomer and with VCD to eventually give rise to  
437 polycarbonate-based cross-linked cores. As in the previously  
438 described methodologies, it is vital to precisely control factors  
439 such as the dilution of the reaction medium, the arm length,  
440 and the amount of cross-linker utilized to avoid macroscopic  
441 gelation. (PVCDC)-(PPO)<sub>m</sub> stars synthesized under different  
442 reaction conditions (Table 4) were thus first characterized.  
443 The generated carbonate cores could be easily identified by IR  
444 analysis with the characteristic IR absorption due to cyclic  
445 carbonates at 1808 cm<sup>-1</sup> and acyclic carbonates at 1745 cm<sup>-1</sup>.

446 The GPC traces of these (PVCDC)-(PPO)<sub>m</sub> stars featured a  
447 broad distribution of star-shaped species (Figure 3). This

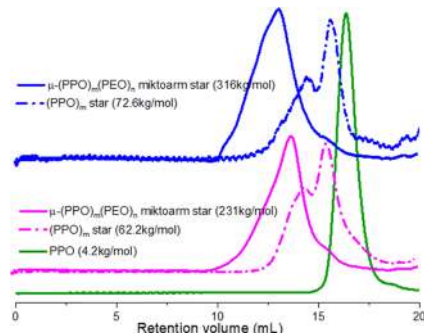


Figure 3. Representative GPC overlay profiles of linear PPO arm, PPO stars, and miktoarm (PPO)<sub>m</sub>(PEO)<sub>n</sub> stars (lower: entry 2, upper: entry 7 in Table 4).

448 shows that besides reactions contributing to the growth and to  
449 the formation of each core, intermolecular star–star couplings  
450 also occurred, resulting in the broad distribution of star  
451 populations observed. The “bump” seen in GPC traces at  
452 lower elution volumes is indicative of such star–star couplings  
453 that are the products of reactions between unreacted epoxides  
454 carried by a star core and the anionic sites belonging to  
455 another star core. On the other hand, none of the GPC traces  
456 of these (PVCDC)-(PPO)<sub>m</sub> precursor stars indicates the  
457 presence of residual PPO macroinitiator, which ascertains that  
458 all of them contributed to star core formation. Unlike the case  
459 of the core-first methodology, where intermolecular star–star  
460 coupling could occur without major interference due to steric  
461 hindrance and, thus, could give rise to rather homogeneous  
462 cores, the arm-first methodology did not afford a unimodal  
463 distribution of star-shaped species because the star–star  
464 coupling phenomenon could take place only partially due to  
465 the hindrance by the PPO arms. Typically and whatever the  
466 experimental conditions used, we observed a bimodal  
467 distribution of star-shaped species: the first population at  
468 higher elution volumes corresponds to stars obtained directly  
469 by the reaction of “living” PPO macroinitiators with VCD and  
470 CO<sub>2</sub>, and the second at lower elution volumes corresponds to  
471 star–star couplings. Upon increasing the reaction time, this  
472 second population of star-shaped species grew at the expense

of the first population. Augmenting the concentration of cross-  
linker to values higher than those indicated in Table 4  
generally resulted in the macrogelation of the medium within  
the dilution generally utilized. Using PPO macroinitiators  
of small size was beneficial to the formation of homogeneous star-  
shaped species. It was not attempted to determine the actual  
molar masses of the star formed and to deduce their number of  
arms at this stage. It was only after the growth of PEO arms in  
the subsequent step that the actual molar masses of miktoarm  
stars were measured and the number of PEO arms and that of  
PPO arms were deduced.

In a third step, EO was added to grow PEO arms from these  
active sites carried by the cores to generate miktoarm stars.  
Upon characterization of the samples eventually obtained by  
<sup>1</sup>H NMR (Figure S3), a new highly intense band  
corresponding to protons of CH<sub>2</sub>CH<sub>2</sub>O linkages was visible  
at 3.5 ppm. The molar mass distributions of the obtained  
miktoarm stars with their polycarbonate cores all became  
narrower, although slightly broad (Figure 3). To be sure that  
no linear contaminants are present in the miktoarm star  
samples, PPO-*b*-PCHC-*b*-PEO triblock terpolymers of approx-  
imately the same composition as that of miktoarm stars were  
synthesized by sequential polymerization of PO, CHO, and  
EO. Both miktoarm stars and the latter triblock copolymers  
were characterized by dynamic light scattering (DLS), and the  
respective distributions of hydrodynamic sizes were compared.  
Miktoarm polymers exhibit a hydrodynamic size very different  
from that of the linear block copolymers (*d* size <2 nm) even  
after stirring the samples for five days in DMF solvent,  
confirming the absence of any linear block copolymer  
contaminant in the miktoarm star samples (Figure S4). The  
absolute molar masses of miktoarm stars were then determined  
by light scattering. Given the compositions drawn from the  
ratio of intensities of NMR peaks due to PPO and PEO blocks  
in the miktoarm star samples (Figure S3) and the feeding  
amount of VCD and PPO leading to the formation of  
(PVCDC)-(PPO)<sub>m</sub> stars, the weight fraction of the PEO arms  
and PPO arms in the miktoarm stars could be obtained, and  
thus, the molar masses of the PPO star precursors could be  
deduced. As shown in Table 4, based on the molar mass of  
PEO arms obtained after hydrolysis of the miktoarm star cores  
and that of PPO arms present, the average number of PEO  
arms and PPO arms could be respectively calculated. The  
presence of slightly more PPO arms than PEO arms in the  
formed miktoarm stars indicates that some initiating sites were  
inaccessible to the second monomer.

**Hydrolysis of the Star Polycarbonate Cores.** The  
primary objective of this study was to impart degradability to  
the star cores. Toward this goal, hydrolysis was attempted  
under basic conditions at 50 °C. The adopted reaction  
conditions facilitated the degradation of the highly branched  
core, which is composed of carbonate units, releasing PPO and  
PEO arms. The product of degradation was then extracted  
with DCM to isolate PEO and PPO arms, which were further  
characterized using FT-IR, NMR, GPC, and MALDI-TOF MS.

Characteristic IR absorptions of linear acyclic carbonates  
and cyclic carbonates (1745 and 1808 cm<sup>-1</sup>) were absent in  
the hydrolyzed sample (Figure S5). Besides, the <sup>1</sup>H NMR  
spectrum of the hydrolyzed star sample, (PVCDC)-(PEO)<sub>n</sub>,  
after precipitation showed peaks solely of the PEO chains (3.5  
ppm) and was devoid of peaks of any carbonate linkages from  
the core networks, thus confirming the degradation of  
carbonate cores within the star structure (Figure S6). This



536 implies the effectiveness of polycarbonate as both a macro-  
537 anionic initiator and a degradable core network. These claims  
538 were further corroborated by the GPC analysis of the  
539 hydrolysis products (in THF using PEO standard) and  
540 MALDI-TOF MS characterization. It was observed that  
541 homo-PEO stars display a unimodal distribution correspond-  
542 ing to their unhydrolyzed PEG arm chains. Figure 4A reveals

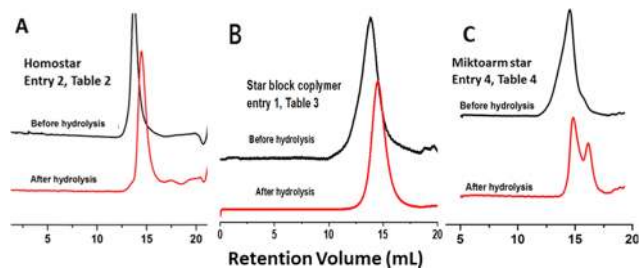


Figure 4. Representative GPC traces of hydrolyzed samples.

543 the shift of GPC traces of star polymers after hydrolytic  
544 degradation, clearly indicative of chain scissions within the  
545 carbonate cross-linked networks, which freed PEO arms.  
546 Degraded star-shaped block copolymers also exhibited a  
547 unimodal distribution arising from their unhydrolyzed PPO-  
548 *b*-PEO arms (Figure 4B). On the other hand, miktoarm stars  
549 produced upon hydrolysis a bimodal distribution of polymer  
550 populations and, thus, revealed the presence of two separate  
551 peaks by GPC analysis corresponding to each type of arm  
552 species PEO and PPO (Figure 4C). In these amphiphilic stars,  
553 apart from PPO and PEO segments, all other moieties consist  
554 of carbonate units, which were degraded by hydrolysis. This  
555 was further confirmed by the NMR spectrum of degraded star-  
556 shaped block copolymers and miktoarm stars (Figures S7 and  
557 S8).

558 In MALDI-TOF MS (Figure S9) of the hydrolyzed samples,  
559 populations of peaks with a difference of  $m/z$  44 were  
560 observed, which corresponds to hydrolyzed PEO chains  
561 separated from the core. Notably, it can be clearly seen that  
562 the main population corresponds to PEO chains terminated  
563 with hydroxyls at both ends, where two other populations  
564 corresponding to PEO chains terminated at one end by  
565 hydroxyl groups and the other end by VCD derivatives also  
566 exist. All of these positive observations strongly substantiate  
567 the formation of star polymers with fully degradable cores.

## 568 CONCLUSIONS

569 The advantages of star polymers that contain hydrolyzable  
570 cores are manifold for a wide range of applications for PEG-  
571 based drug delivery systems. Taking advantage of the  
572 chemistry of TEB-activated anionic copolymerization of  
573 epoxide with  $\text{CO}_2$ , we succeeded in incorporating degradable  
574 carbonate units within the core structure of star polymers.  
575 Polycarbonate macroanions with 80–90% carbonate content  
576 were synthesized by a series of reactions through the “core-  
577 first” and “arm-first” methodologies and were further used to  
578 grow EO arms. These star polymers are proven to be  
579 susceptible to selective degradation of their core, allowing  
580 the isolation of arm chains. Degradation experiments were  
581 carried out under basic conditions, but they could have been  
582 undertaken under acidic conditions ( $\text{pH} < 5$ ) as carbonate  
583 linkages are known to undergo degradation under both basic  
584 and acidic conditions. This boron-based chemistry is versatile

enough not only to build hydrolyzable polycarbonate cores but  
also to grow polyether arms without affecting the integrity of  
carbonate linkages. The same chemistry could also be  
successfully used to assemble other types of hydrolyzable  
stars, including polystyrene and polydiene stars, through the  
core-first methodology.

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at  
<https://pubs.acs.org/doi/10.1021/acs.macromol.9b02658>.

Characterization data of IR, NMR, GPC, DLS, and  
MALDI-TOF (PDF)

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### Notes

The authors declare no competing financial interest.

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