Ice nanoclusters at hydrophobic metal surfaces

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Studies of the structure of supported water clusters provide a means for obtaining a rigorous molecular-scale description of the initial stages of heterogeneous ice nucleation: a process of importance to fields as diverse as atmospheric chemistry, astrophysics and biology. Here, we report the observation and characterization of metal-supported water hexamers and a family of hydrated nanoclusters—heptamers, octamers and nonamers—through a combination of low-temperature scanning tunnelling microscopy experiments and first-principles electronic-structure calculations. Aside from achieving unprecedented resolution of the cyclic water hexamer—the so-called smallest piece of ice—we identify and explain a hitherto unknown competition between the ability of water molecules to simultaneously bond to a substrate and to accept hydrogen bonds. This competition also rationalizes previous structure predictions for water clusters on other substrates.

Few physical processes are as ubiquitous or feature more prominently in our daily lives than the nucleation of water into ice. Despite having been studied since antiquity, the complexity of the intermolecular interactions between water molecules means that our molecular-scale understanding of ice nucleation remains incomplete. This is particularly true for heterogeneously catalysed nucleation in which water is prompted to nucleate through the presence of an 'ice nucleating agent': a microscopic seed particle of salt, sand or, in cold-adapted organisms, so-called antifreeze proteins. As most of the ice crystals encountered in our daily lives are formed with the aid of an ice nucleating agent, there is an imperative to better understand these processes and a pressing need to understand their relevance to and impact on environmental chemistry, astrophysics and biology, as well as other disciplines¹⁻⁴.

The presence of the substrate on which water clustering and ice nucleation proceeds in heterogeneous nucleation brings with it challenges and opportunities. The key challenge is the added complexity that the substrate introduces: interactions between water molecules in the nascent clusters may be altered by the substrate, leading to interesting effects such as the formation of new water structures not observed in the gas phase, altered H-bond strengths or different cluster dynamics (see, for example, refs 5–8). The key opportunity the solid support brings, however, is the possibility of interrogating the properties of the adsorbed clusters with a large range of surface-sensitive probes9,10. Indeed, surface-sensitive spectroscopies (vibrational and electronic) and diffraction techniques are regularly applied to provide detailed information on the properties of water clusters and water overlayers on a wide range of solid supports. And, when the substrate is electrically conducting, such as metal surfaces are, there is the unique opportunity of direct real-space visualization of the local structure of water adlayers by means of low-temperature scanning tunnelling microscopy6 (LT-STM). Indeed LT-STM of water adlayers on metals has been extremely fruitful, revealing where individual water molecules adsorb⁵ and how they diffuse

and aggregate into water clusters such as dimers and hexamers^{5,11,12}, as well as helping to identify the structures of novel extended one-dimensional^{13,14} (1D) or quasi-2D¹⁵ ice-like chains. However, LT-STM reports of water on metals are scarce and much remains to be learned, particularly with regard to adsorbed hexamers, which are of central importance because they are the building blocks of common ice, Ih.

Here, we report a combined LT-STM and first-principles density-functional theory (DFT) study in which the initial stages of ice nucleation on the close-packed (111) surfaces of Cu and Ag are explored. We report the observation and characterization of cyclic water hexamers and a novel family of hydrated nanoclusters—heptamers, octamers and nonamers. Aside from achieving unprecedented resolution of the cyclic hexamer—the so-called smallest piece of ice¹⁶—we identify and explain a hitherto unknown competition between the ability of water molecules to simultaneously bond to a substrate and to accept H bonds. This competition also rationalizes many previous structure predictions for water clusters on other substrates^{7,17–21}.

Figure 1 shows typical images obtained after dosing water onto Cu(111) and Ag(111) at low temperatures (17 K). The behaviour on each surface is similar, as is the behaviour of H₂O and D₂O. Generally, large amorphous clusters and a number of small water particles are observed, as shown in Fig. 1a. The amorphous clusters contain tens of water molecules and do not exhibit any apparent order or recurrent similarities. The smaller water particles, however, labelled in Fig. 1b-d according to the number of molecules thought to be contained within them, appear in only four characteristic representations. At the lowest coverages (\sim 0.05 bilayers (BL)), the water particles are exclusively observed (Fig. 1b), whereas at higher coverages (\sim 0.5 BL), they coexist with the larger amorphous clusters (Fig. 1c,d). At all coverages examined $(\leq 1 \text{ BL})$, the water particles remain as discrete nanostructures and do not agglomerate or coalesce to form extended structures such as the 1D or 2D structures observed on Cu(110) and Pd(111)

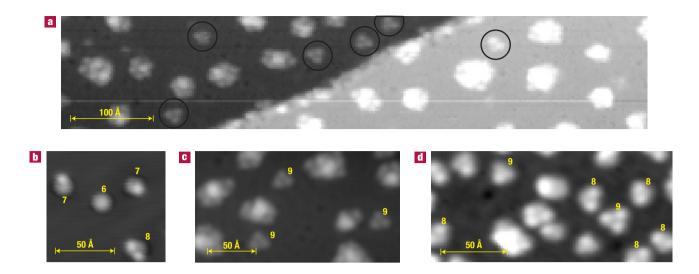


Figure 1 Selected STM images of adsorbed water clusters on Cu and Ag. a, H_2O on Cu(111) (120 mV and 10 pA). b, D_2O on Ag(111) (-55 mV and 99 pA). c, H_2O on Cu(111) (82 mV and 11 pA). d, H_2O on Cu(111) (74 mV and 11 pA). In a the crystalline particles observed are circled and in b-d they are labelled with the number of water molecules that they comprise.

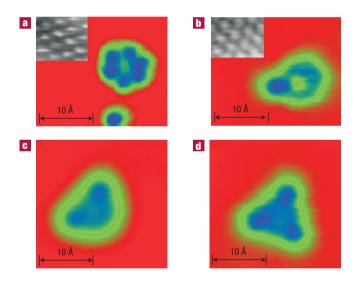


Figure 2 High-resolution STM images of adsorbed water clusters. a, A H_2O hexamer on Cu(111) (20 mV and 11 pA). The inset shows the Cu(111) substrate with atomic resolution (-49 mV and 29 nA). **b**, A D_2O heptamer on Ag(111) (11 mV and 2 nA). The inset shows the Ag(111) substrate with atomic resolution (15 mV and 0.11 nA). **c**, A D_2O octamer on Ag(111) (-21 mV and 2 nA). **d**, A D_2O nonamer on Ag(111) (11 mV and 2 nA). We note that similar structures are observed with D_2O and D_2O on both D_2O on both D_2O and D_2O on both D_2O on

or the much-discussed ice bilayer structures observed on other metal surfaces^{6,9,10,22–28}.

High-resolution STM images such as those shown in Fig. 2 and DFT calculations lead to the conclusion that the smaller particles observed comprise 6–9 water molecules, that is, hexamers through to nonamers. From STM, a hexagon of six protrusions is observed for the smallest particle (Fig. 2a). The inset of the substrate with atomic resolution indicates that the hexagon is approximately aligned with the close-packed directions of the substrate and the

additional protrusion at the bottom of the image, an adsorbed water monomer, leads to the suggestion that the hexagonal pattern is a water hexamer. This assignment is supported by DFT, which finds that on both Cu(111) and Ag(111) the lowest energy 6H2O cluster is a cyclic hexamer with each H₂O acting as a single H-bond donor and single H-bond acceptor: a so-called homodromic water cluster. This DFT structure was arrived at after testing more than 30 distinct initial configurations of the adsorbed H₂O hexamer as well as several simulated annealing ab initio molecular dynamics simulations. In the low-energy structure identified, all H₂O molecules are located close to atop sites: on Cu(111) the average displacement from the precise atop sites is 0.11 Å and on Ag(111), with the larger lattice constant, it is 0.29 Å. Interestingly, the DFT structure of the hexamer exhibits a noticeable buckling in the heights of adjacent H₂O molecules (Fig. 3a, right). The vertical displacement between adjacent H₂O molecules is ~0.76 Å on Cu and \sim 0.67 Å on Ag. Furthermore, the six nearest-neighbour O–O distances are not equal: they alternate between two characteristic values of 2.76 and 2.63 Å on Cu and 2.73 and 2.65 Å on Ag. This symmetry-breaking bond alteration is reminiscent of the alternating single and double C-C bonds in the Kekulé model of benzene (see the schematic diagram in Fig. 3a). Thus, the water hexamers identified here could be described as being 'Kekulé-like'.

Let us turn now to the other small water particles observed (Fig. 2b–d). As the hexagonal arrangement of the moiety in Fig. 2b is still apparent but now with an additional peripheral protrusion, we conclude that this species is a water heptamer. This assignment is supported by STM measurements in which the seventh water molecule can be moved to six different positions on the hexamer by electron-induced manipulation and by DFT which finds the structure shown in Fig. 3b to be the lowest-energy structure for 7H₂O molecules on Cu(111) and Ag(111). Accepting that the two smallest crystalline particles are hexamers and heptamers, it is reasonable then to assign the two remaining species (Fig. 2c,d) to water octamers and nonamers, although we note that an image of an adsorbed nonamer on Cu(111) was previously interpreted as a trimer¹¹. The assignments of the largest observed clusters as octamers and nonamers are again supported by DFT (Fig. 3c,d). Indeed, DFT reveals that for the octamer and nonamer (as with

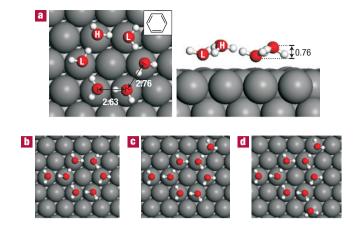


Figure 3 Optimized structures and selected distances (Å) obtained from DFT for H_2O clusters on Cu(111). a, Top and side view of the equilibrium cyclic hexamer. b-d, Top views of the clusters with 7-9 H_2O molecules. In a some of the high/low H_2O molecules are labelled with an H/L. The inset in a shows a schematic diagram of the Kekulé structure of benzene. The low-energy structures obtained from DFT on Aq(111) are similar.

the heptamer), the additional H_2O molecules add to the low-lying H_2O molecules of the central hexagon as H-bond acceptors, that is, there is a preference for the additional H_2O molecules to bond to one of the two types of water molecule in the hexamer. It is this preference that explains the characteristic structures observed in the experiments for the octamer and nonamer with water molecules attached only to next-nearest sites of the hexamer.

The buckling of the adsorbed hexamer is important because it rationalizes the structure of the larger clusters (octamers and nonamers) that form and, as we show below, sheds new light on the nature of interfacial H bonds. However, the buckling is not observed by STM and as STM simulations within the Tersoff-Hamann approach²⁹ (not shown) indicate that the buckling in the equilibrium hexamer structure should be apparent, we must question the validity of the theoretical prediction. Therefore, we compare on Cu(111) the energy of the equilibrium buckled hexamer with an 'ideal' planar hexamer in which all six H₂O molecules are at the optimum height for H₂O monomer adsorption. We find that the hypothetical planar hexamer is significantly less stable than the equilibrium buckled hexamer: 122 meV per H₂O. This relative energy difference between the two structures is outside the typical absolute errors in H-bond strengths associated with the Perdew, Burke and Ernzerhof (PBE) functional used here (~40 meV per H bond according to refs 30 and 31). Nonetheless, it is not inconceivable that this difference is a result of our chosen computational set-up or exchange-correlation functional. Thus, we have carried out a series of tests with other DFT functionals (PBE0 (ref. 32) and the Becke three-parameter Lee, Yang, Parr hybrid functional³³ (B3LYP)) and with Møller-Plesset perturbation theory to second order (MP2): theoretical approaches often considered to provide more reliable energetics than the DFT-PBE set-up used here³⁴. These tests, which were carried out on Cu clusters, are reported in Table 1 and all lead to the same conclusion: there is a considerable energetic preference (>100 meV per H₂O) for buckling. There are several possibilities as to why the buckled structure, which is clearly favoured by first-principles theory and rationalizes the structures observed for the larger water clusters, is not apparent from the STM images. One explanation, supported by previous STM experiments for

Table 1 Selected results of the test calculations of the energy difference, ΔE (meV per H₂0), between the buckled and planar cyclic H₂0 hexamers on Cu(111). A positive ΔE indicates that the buckled hexamer is more stable than the planar one, which is always the case.

Approach	ΔE (meV per H ₂ 0)
Cu(111) PBE	+122*
Cu ₁₀ Cluster PBE	$+170^{\dagger}, +175^{\ddagger}$
Cu ₁₀ Cluster PBE0	$+170^{\dagger}, +173^{\ddagger}$
Cu ₁₀ Cluster B3LYP	+201 [†]
Cu ₁₀ Cluster MP2	$+194^{\dagger}, +186^{\S}$

Pseudopotential plus plane-wave approach.

 $\rm H_2O$ clusters on Ag(111) (ref. 35) and DFT calculations for $\rm H_2O$ on Pd(111) (ref. 36), is the influence of the electric field from the STM tip, which may reorient the molecules as they are imaged. An alternative, although not unrelated, explanation is that what is observed in experiment (Fig. 2a) may be a dynamical average of many structures sampled over the timescale of the STM measurement (seconds) rather than a single equilibrium ground-state structure. Indeed, a very short (\sim 3 ps) *ab initio* molecular dynamics simulation at 100 K for the hexamer on Cu(111) supports this suggestion, revealing that its structure is highly flexible, particularly with regard to the heights of the water molecules.

So why does the buckling occur and what does it tell us about interfacial H bonds? Let us return to the hypothetical planar hexamer. In this hexamer, all six water molecules are equivalent and thus so too is their interaction with the substrate. Likewise, we would expect their interactions with each other to be the same. To examine this we define a quantity, $\Delta \Delta \rho$ (see the caption of Fig. 4 for more details), that allows us to monitor how the electron density that lies behind our electronic-structure calculations rearranges as the interactions between adsorbed water molecules are 'switched on', that is, $\Delta \Delta \rho$ is a specific type of electron density difference designed to reveal the interactions between water molecules in the adsorbed clusters. A plot of $\Delta \Delta \rho$ is shown in Fig. 4a. As anticipated, it shows that the H₂O-H₂O interactions between the adsorbed molecules in the hypothetical planar hexamer are indeed equivalent. Furthermore, the nature of the rearrangement is characteristic of that associated with H bonding: with depletion (accumulation) of density on the H (O) atoms implicated in the H bonds, similar to the H bond in ice³⁷. We know, however, that the planar adsorbed hexamer on Cu (Ag) is ~122 (~76) meV per H₂O less stable than the equilibrium adsorbed hexamer. This is partly a geometric effect as in this structure the water molecules do not have the optimal tetrahedral configuration for H bonding with each other. Through buckling the H₂O molecules get closer to a tetrahedral arrangement and, indeed, even in the absence of a substrate our calculations indicate that a planar cyclic hexagon gains ~50 meV per H₂O by buckling. Within the buckled adsorption structure the two types of water molecules interact differently with the substrate, as can be seen, for example, from the density of Kohn-Sham eigenstates around each type of water molecule shown in Fig. 4c. Here, it can be seen that states located around the low-lying adsorbed molecules are lower in energy than states located around the high-lying molecules and, in particular, states of $1b_1$ character on the low-lying molecules are shifted to lower energies through their interaction with the substrate. Ogasawara et al. have argued that, for a water bilayer on Pt(111), this stabilization is related to a polarization of the

[†] All-electron with a 6-311+G(2df,pd) basis set.

[‡] All-electron with a 6-311++G(3df,3pd) basis set

[§] All-electron with a 6-311++G(2df,pd) basis set

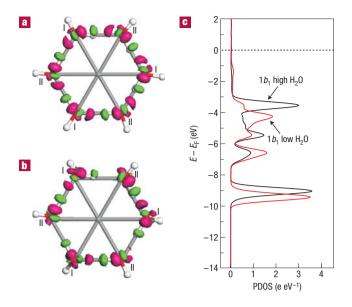


Figure 4 Electronic structures of water hexamers on Cu(111). a,b, Isosurfaces of constant electron density 'rearrangement' $(\Delta \Delta \rho)$ for H₂O hexamers on Cu(111) for a hypothetical planar hexamer (a) and for the equilibrium (buckled) hexamer (b). $\Delta \Delta \rho$ reveals H₂O-H₂O bonding in the adsorbed clusters. It is defined as $\Delta \Delta \rho = \rho_{\text{BH}_2\text{O}/\text{Cu}} + \rho_{\text{Cu}} - \rho_{\text{3H}_2\text{O},\text{I}/\text{Cu}} - \rho_{\text{3H}_2\text{O},\text{I}/\text{Cu}}$, where $\rho_{\text{BH}_2\text{O}/\text{Cu}}$ and ρ_{Cu} are the electron densities of the total adsorption systems and the isolated Cu surfaces. $\rho_{\text{3H}_2\text{O},\text{I}/\text{Cu}}$ and $\rho_{\text{3H}_2\text{O},\text{I}/\text{Cu}}$ are the electron densities of two subsets of the six adsorbed H₂O molecules, as labelled in **a** and **b**. Pink isosurfaces correspond to regions of electron accumulation and green isosurfaces to regions of electron depletion in units of density change equal to 5×10^{-2} e Å⁻³. **c**, Projected density of states (PDOS) for the equilibrium hexamer on Cu(111), projected onto the high-lying (black line) and low-lying (red line) types of water molecule. Peaks that are mostly of 1 b_1 character (as determined from inspection of the density of the individual Kohn–Sham eigenstates) are indicated. The energy zero is the Fermi level (E_F).

substrate's electron density which minimizes the Pauli repulsion between the $1b_1$ orbital and the substrate²³. As the $1b_1$ orbital of H_2O is also implicated when H_2O acts as a H-bond acceptor, the low-lying H_2O molecules are thus rendered poor H-bond acceptors through their interaction with the substrate. Essentially we see that there is a competition between the ability of a H_2O molecule to bond with the surface and its ability to act as a H-bond acceptor. It is this competition that leads to the symmetry-breaking bond alteration in the hexamer structure with, as can be seen from the electron density rearrangement plot in Fig. 4b, the longer weaker H bonds formed when the low-lying H_2O molecules act mainly as H-bond acceptors and the stronger shorter H bonds when the highlying H_2O molecules act mainly as H-bond acceptors.

We now discuss our results in the broader context of water adsorption on solid surfaces by first comparing the hexamers identified here with the hexamers that build adsorbed water bilayers: the most commonly discussed water overlayers on metal surfaces. In adsorbed bilayers, each hexagon comprises two types of water molecule: one that lies approximately parallel to the surface and another that lies in the plane of the surface normal. The latter has one OH bond that does not participate in the H-bonded overlayer and has the option of directing this OH into the vacuum ('H up' model²², Fig. 5a) or at the surface ('H down' model²³, Fig. 5b). DFT calculations have been carried out for the H-up and H-down bilayers on Cu(111) and Ag(111) as well as for idealized adsorbed hexamers cut out of such bilayers. We find that the (non-relaxed) hexamers cut out of the bilayers,

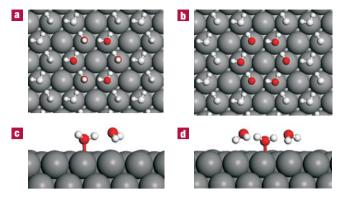


Figure 5 Structures of model water bilayers on metal surfaces and some typical structures of small adsorbed water clusters. a,b, Top views of the 'H-up' (a) and 'H-down' (b) bilayer models for H_2O on hexagonal metal surfaces. A single H_2O hexagon in each type of bilayer is highlighted. c, Side view of the typical structure adopted for an adsorbed H_2O dimer on a metal surface. d, Side view of a typical structure adopted for an adsorbed H_2O trimer.

with adsorption energies of \sim 270 and \sim 280 meV per H₂O on Cu and Ag, respectively, are significantly less stable than the equilibrium buckled Kekulé-like hexamers identified here, which have adsorption energies of \sim 440 and \sim 416 meV per H₂O on Cu and Ag, respectively. Moreover, the binding energies of the equilibrium hexamers identified here are essentially identical to those in the extended 2D overlayers on each surface³⁸. This is noteworthy because the water molecules in the discrete hexamers have fewer H bonds per molecule (2/H₂O in the isolated hexamers as opposed to 3/H₂O in the bilayers), which tells us that simply counting the number of H bonds in water adlayers is not necessarily a useful way to judge their stabilities.

Next we discuss the adsorption of isolated hexamers on other metal surfaces. Specifically, we consider how the balance between H₂O-H₂O and H₂O-metal bonding proceeds as we move to the surfaces of more reactive metals. To this end, DFT calculations have been carried out for cyclic hexamers adsorbed on several close-packed metal surfaces to the left of Ag in the periodic table (Pd(111), Rh(111) and Ru(0001)). It is known that as we move from right to left across the 4d transition series, the interaction between water and the substrate increases^{9,10,39}. Thus, we would expect that in the competition we have identified here between H₂O-metal bonding and the acceptance of H bonds at some stage it would no longer be favourable for hexamers to sacrifice H₂O-metal bonds so as to strengthen H bonds. We find, precisely as anticipated, that the tendency to buckle diminishes on going from Pd to Ru, but it is only on Ru that the planar structure is more favourable than the buckled one. Specifically, DFT calculations for initially flat and buckled hexamers on Pd, Rh and Ru show that after relaxation the buckled structure is favoured over the planar one by \sim 25 meV per H₂O on Pd and by \sim 10 meV per H₂O on Rh. On Ru, the planar hexamer structure is favoured over the buckled one by $\sim 10 \text{ meV}$ per H_2O , which is consistent with a previous report of a planar hexamer on Ru (ref. 27). Thus, the buckling of the hexamers and the associated Kekulé-like alteration in the H₂O-H₂O distances seems to be a rather general phenomenon of water hexamer adsorption. It is interesting to note that this periodic variation in the balance between H₂O-H₂O and H₂O-metal bonding identified here is reminiscent of ion solvation in water where a competition between ion-H₂O and H₂O-H₂O bonding exists, with certain ions known as 'structure makers' and

others as 'structure breakers' according to the influence they have on the first hydration sphere^{40–42}.

Finally, we briefly consider if the insight gleaned from this work can be used to rationalize the structures of adsorbed water clusters on solid surfaces in general. We do not expect that the competition identified here will be relevant to all substrates (in particular, hydrophobic graphite surfaces^{43,44} and certain hydroxylated silicate surfaces in which the nature of the interaction of water with the substrate is distinctly different from that experienced here), however, the competition does rationalize previous structure predictions on a wide range of substrates. For example, calculations of water dimers on numerous metal (Pd (ref. 7), Pt (ref. 18) and Ni (ref. 20), and also here we have computed dimers on Cu(111) and Ag(111)) and non-metal (NaCl (refs 19,21) and BaF₂ (ref. 17)) surfaces all predict an asymmetric buckled structure for the dimer with the H-bond acceptor noticeably further ($\sim 0.5 \,\text{Å}$) from the surface than the H-bond donor. The typical structure of an adsorbed H2O dimer is shown in Fig. 5c, which can now be understood by recognizing that the interaction of a water molecule with a substrate diminishes its ability to accept H bonds but not necessarily its ability to donate H bonds. Likewise, calculations for water trimers on Ni(111) (ref. 20) and trimers on Cu(111) computed as part of this study predict an asymmetric structure in which the H-bond acceptor molecules interact weakly with the substrate (Fig. 5d). Thus, the conclusion that there is a competition between the ability of water molecules to simultaneously bond to a substrate and to accept H bonds has some broad relevance beyond the water clusters and noble metals examined here and is likely to provide a useful way of thinking about the structures of water clusters on many other solid substrates.

METHODS

The experiments reported here were carried out with custom-built ultrahigh vacuum STMs 45 . The Cu(111) and Ag(111) samples were cleaned by repetitive cycles of Ne $^+$ sputtering and annealing to 700 K. Water of milli-Q quality (10 7 Ω cm), which was further purified under vacuum by freeze–thaw cycles, was dosed onto the crystals through a leak valve while keeping the sample at 17 K. Measurements on Cu(111) and Ag(111) were carried out at 10 and 5 K, respectively.

The majority of the calculations reported here involve DFT within the plane-wave supercell approach as implemented in the CASTEP code 46 with ultrasoft pseudopotentials and the PBE (ref. 47) exchange-correlation functional. As large supercells, up to p(6 × 6), were used to accommodate the $\rm H_2O$ clusters, thin three-layer Cu and Ag slabs were used. Test calculations for water clusters on slabs of up to nine layers thickness showed that on the thicker slabs adsorption energies and structures deviated by <10 meV per $\rm H_2O$ and <0.1 Å, respectively. During structure optimizations, the top layer of metal atoms was free to relax. Monkhorst–Pack k-point meshes with the equivalent of at least $8\times8\times1$ sampling within the surface Brillouin zone of a p(1 × 1) unit cell were used. The calculations on the Cu clusters (mostly ten-atom clusters with seven atoms in the top layer and three in the second) were carried out with the Gaussian03 code 48 with the basis sets listed in Table 1.

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Competing financial interests

The authors declare no competing financial interests.

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