

Identification and Characterization of Prodromal Risk Syndromes in Young Adolescents in the Community: A Population-Based Clinical Interview Study

Ian Kelleher¹, Aileen Murtagh¹, Charlene Molloy¹, Sarah Roddy¹, Mary C. Clarke¹, Michelle Harley^{1,2}, and Mary Cannon^{*,1,3}

¹Department of Psychiatry, Royal College of Surgeons in Ireland, Education and Research Centre, Beaumont Hospital, Dublin 9, Ireland;

²Department of Child and Adolescent Psychiatry, St Vincent's Hospital, Fairview, Dublin, Ireland; ³Department of Psychiatry, Beaumont Hospital, Dublin, Ireland

*To whom correspondence should be addressed; tel: +353-1-809-3855, fax: +353-1-809-3741, e-mail: marycannon@rcsi.ie

While a great deal of research has been conducted on prodromal risk syndromes in relation to help-seeking individuals who present to the clinic, there is a lack of research on prodromal risk syndromes in the general population. The current study aimed first to establish whether prodromal risk syndromes could be detected in non-help-seeking community-based adolescents and secondly to characterize this group in terms of Axis-1 psychopathology and general functioning. We conducted in-depth clinical interviews with a population sample of 212 school-going adolescents in order to assess for prodromal risk syndromes, Axis-1 psychopathology, and global (social/occupational) functioning. Between 0.9% and 8% of the community sample met criteria for a risk syndrome, depending on varying disability criteria. The risk syndrome group had a higher prevalence of co-occurring nonpsychotic Axis-1 psychiatric disorders (OR = 4.77, 95% CI = 1.81–12.52; $P < .01$) and poorer global functioning ($F = 24.5$, $df = 1$, $P < .0001$) compared with controls. Individuals in the community who fulfill criteria for prodromal risk syndromes demonstrate strong similarities with clinically presenting risk syndrome patients not just in terms of psychotic symptom criteria but also in terms of co-occurring psychopathology and global functioning.

Key words: at risk mental states/epidemiology/ultra-high risk/clinical high risk

Introduction

The onset of psychosis is usually preceded by a prodromal period prior to full-blown illness. Intervention at this early stage offers the hope of disease prevention. The concept of prodromal intervention as currently conceived emerged from research at the University of Melbourne

in the 1990s. Yung, McGorry and colleagues developed a set of “ultra high risk” (UHR) criteria for help-seeking individuals who presented to the clinic, which they demonstrated could predict a very high transition rate to psychosis (approximately 40%) over a 12-month period. Individuals meeting UHR criteria are said to have an “at risk mental state” (ARMS). These criteria were used to formulate the Comprehensive Assessment of At Risk Mental States (CAARMS), a clinical instrument for the assessment of ARMS based upon defined criteria involving (1) attenuated psychotic symptoms, (2) frank psychotic symptoms of brief duration, or (3) genetic risk combined with functional deterioration.^{1–3} Researchers at Yale University developed the Structured Interview for Prodromal Syndromes (SIPS) with a similar goal and demonstrated that, in line with Australian findings, individuals who met criteria for these “prodromal risk syndromes” were at very high risk for psychosis.^{4–6} In Europe, a set of “basic symptoms,” such as problems in dividing attention, thought blockages and disturbances in receptive and expressive language, have been used to successfully predict high risk for psychosis, either alone or in combination with UHR criteria.^{7–10} The largest study to date examining transition from prodromal risk syndrome to psychosis has been the collaborative North American Prodrome Longitudinal Study, which reported that up to 40% of individuals who met risk syndrome criteria converted to psychosis over 2.5 years.^{6,11}

Such has been the impact of risk syndrome research that a new diagnosis—“Attenuated Psychosis Syndrome”—has been proposed for the next version of the *Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition* (DSM-V) (see table 1). The goal of a new diagnosis is to provide a diagnostic category that facilitates identification, treatment, and research. This proposal, however, has sparked a great deal of debate

among leading researchers in the field.^{12–18} One important issue is the lack of population studies—while a great deal of research has been conducted on psychotic symptoms in the general population to date,^{19–23} population researchers have not conducted the in-depth clinical assessments that have characterized the work of researchers at UHR clinics. On the other hand, UHR researchers have, to date, focused almost exclusively on help-seeking (ie, self-presenting) individuals, without venturing into the community. A more complete understanding of prodromal risk syndromes requires that the detailed work carried out in UHR clinics be combined with a community-based epidemiological approach. One preliminary report that has begun to address this issue involved telephone SIPS interviews with a sample of 16–35 year olds from the general population.²⁴ The researchers reported that just one participant fulfilled criteria for a prodromal risk syndrome. However, this study was limited by the small sample size ($n = 58$) and the lack of information on the validity of telephone interviews compared with face-to-face assessment. In order to (1) test whether prodromal risk syndromes/at risk mental states could be identified among young adolescents in the general population and (2) characterize these individuals in terms of psychopathology and general functioning, we conducted in-depth assessments of psychotic symptomatology among 212 school-going adolescents aged 11–13 years.

Methods

Recruitment

A sample of 212 adolescents from the general population aged 11–13 years took part in the current study. They were drawn from a sample of 1131 pupils from 16 schools in Counties Dublin and Kildare, Ireland, who took part in a survey of psychopathology, using the Strengths and Difficulties Questionnaire (SDQ),²⁵ which is a validated instrument that assesses for a wide range of symptoms of psychopathology and for psychotic symptoms, using the Adolescent Psychotic Symptom Screener (APSS), which is a validated instrument that assesses hallucinations and delusions.²⁶ Written informed consent was obtained from the parent or guardian of participants as well as from the participants themselves. Participants of the survey study were asked to indicate on the consent form if they would consider taking part in a more in-depth study involving a clinical interview conducted at the research centre. Of the total 1131 adolescents, 656 (58%) indicated an interest in taking part in the interview study, from which a random sample of 212 were brought to interview.

Among the first 20% of the sample who attended for interview, we enriched at a rate of 2:1 for adolescents with a score of 2 or more on the APSS psychotic symptoms questionnaire. For the majority (80%), however, the sample was a random sample representative of the overall

larger surveyed sample. A frequency weight was applied in STATA for the statistical analyses to account for enrichment at a rate of 2:1 in the first 20% of interviewed participants.

Socioeconomic status (SES) of each study participant was determined using parental occupation assessed according to the Irish Social Class Scale from the Irish Central Statistics Office. We divided the sample into 2 major groups according to social class: the first group contained SES groups 1 and 2 (professional/managerial) and the second group contained SES groups 3 to 7: (non-manual skilled; skilled manual; semiskilled manual; unskilled manual; and unemployed). The SES of participants approximated national figures: 34.6% of participants were categorized as SES groups 1–2 (compared with 32.1% of the national population) and 65.4% as SES groups 3–7 (compared with 67.9% of the national population). Participants were also representative of the overall national ethnic profile from the 2006 national census, including 88.9% Irish-born participants (compared with 90.3% of 0 to 14 year olds nationally). In addition, adolescents who attended for interview did not differ from the larger surveyed sample in the proportion of abnormal or borderline-abnormal scores on the SDQ measure of general psychopathology ($\chi^2 = 1.22$ ($df = 1$), $P = .27$) or on their score on the APSS measure of psychotic symptoms (interviewed group mean = 1.8 [SE = 0.12], noninterviewed group mean = 1.9 [SE = 0.19]; $t = 0.26$, $df = 1130$, $P = .79$).

Interview Assessment

The principal interview instrument used to assess psychopathology in this study was the Schedule for Affective Disorders and Schizophrenia for School-aged Children, Present and Lifetime Versions (K-SADS).²⁷ The K-SADS is a well-validated semistructured diagnostic interview for the assessment of Axis-I psychiatric disorders in children and adolescents. Adolescents and parents were interviewed separately, both answering the same questions about the adolescent. The K-SADS includes a psychosis section where participants are assessed for psychotic symptomatology. This section of the interview was altered to include questions covering the 5 positive symptom sections of the SIPS (P1–P5) in order to provide additional information necessary to diagnose prodromal risk syndromes. Questions were also added about the onset and frequency of and attributions for symptoms, as well as questions about whether or not symptoms caused distress to the interviewee. The K-SADS interview finished with an assessment of the young person's functioning using the Children's Global Assessment Scale, which is a validated measure of global functioning adapted from the Global Assessment Scale for adults.²⁸ Interviews were conducted by 2 psychiatrists and 4 psychologists with extensive training in the assessment of psychotic symptomatology and involved assessments of between 2 and

4 h, depending on the level of symptoms reported, with detailed notes recorded over the course of the interview.

Three certified SIPS raters (I.K., A.M., and MC), trained by a senior clinician from the Yale PRIME Prodrome Research Clinic (Barbara Walsh), reviewed all interviews, and applied the criteria of prodromal syndromes in order to confirm risk syndrome diagnoses. Diagnostic criteria are included in Appendix 1 but, briefly, there were 3 possible risk syndrome diagnoses. Attenuated positive symptoms prodromal syndrome (APSP) is characterized by the following: (1) positive psychotic symptoms that are rated as 3 (moderate), 4 (moderately severe), or 5 (severe but not psychotic) on the P1 to P5 scales, (2) symptoms began or worsened by one or more scale points, within the past 12 months, and (3) symptoms occurred at least once a week in the past month. Brief intermittent psychotic symptoms prodromal syndrome (BIPS) is characterized by the following: (1) positive symptom(s) rated 6 (ie, frankly psychotic), (2) symptom(s) have reached a psychotic level of intensity within the past 3 months, and (3) symptom(s) have been present for at least several minutes per day at a frequency of at least once per month. Genetic risk and deterioration prodromal syndrome (GRD) is characterized by the following: (1) the participant meets criteria for current schizotypal personality disorder or has a first-degree relative with a psychotic disorder and (2) a drop of at least 30% in the Global Assessment of Functioning score over the past month as compared with 12 months ago. We also estimated the prevalence of prodromal risk syndromes/at risk mental states according to CAARMS criteria (for full CAARMS criteria, see Appendix 1). In addition to criteria on positive psychotic symptoms, the most recent edition of the CAARMS added a criterion of a 30% decline in social/occupational functioning. We report CAARMS risk syndrome prevalences with and without this criterion in our results.

Statistical Analyses

Statistical analyses were conducted using STATA version 11.0 for Windows. A frequency weight was applied in STATA for the statistical analyses to account for enrichment at a rate of 2:1 in the first 20% of interviewed participants for adolescents who scored 2 or more on the APSS during the survey study. All percentages reported are based on weighted data. Chi-square and *t*-tests were used to measure differences in participants who took part in the interview study compared with the larger surveyed population sample. A prevalence figure is reported for prodromal risk syndromes in the interviewed sample. Logistic regression was used to examine the relationship between risk syndromes and Axis-1 diagnoses. ANOVA was used to examine the association between risk syndrome status and functioning on the Children's Global Assessment Scale.

Ethical approval for this study was granted by the Beaumont Hospital Medical Ethics Committee.

Results

Prodromal Risk Syndromes/At Risk Mental States

A total of 22.6% ($n = 53$) of the sample reported psychotic symptoms, primarily auditory hallucinations. Applying SIPS criteria, 8.1% ($n = 19$) of the total sample met criteria for a current prodromal risk syndrome. Specifically, 7.7% met criteria for an APSP and 3.5% met criteria for a BIPS. One additional participant met criteria for APSP in remission. Three participants had a first-degree relative with a psychotic disorder but none of these participants had experienced a significant decline in functioning within the past year and so no participant met criteria for GRD. There was no significant effect of age or SES on risk syndrome status. However, significantly more males than females fulfilled criteria for a risk syndrome ($\chi^2 = 4.17$, $P = .04$).

Applying the CAARMS criteria, 7.7% of the sample met criteria for an at risk mental state without applying a criterion of a 30% decrease in functioning in the last year. Just 0.9% ($n = 2$) of participants would have met criteria for an at risk mental state, however, were a 30% decrease in functioning used as an obligate criterion (using the Children's Global Assessment Scale as the measure of functioning).

Attenuated Psychosis Syndrome

The proposed DSM-V diagnosis of attenuated psychosis syndrome (see table 1) differs from APSP in Criterion D, that is, the requirement that, in addition to attenuated psychotic symptoms, there is also distress and disability. The majority of adolescents who fulfilled criteria for APSP, in fact, did report being distressed by their symptoms (89%). Similarly, in terms of disability, adolescents who fulfilled criteria for APSP also demonstrated significantly impaired functioning compared with controls, as measured by the Children's Global Assessment Scale ($F = 24.5$, $df = 1$, $P < .0001$).

Prodromal Risk Syndromes and Psychiatric Comorbidity

A total of 63% of the adolescents who met criteria for a prodromal risk syndrome also met criteria for at least one lifetime Axis-1 diagnosis (OR = 4.77, 95% CI = 1.81–12.52; $P < .01$) (see table 2). The most common lifetime Axis 1 diagnosis was major depressive disorder (MDD) (26%). Thirty seven percent of adolescents with risk syndromes met criteria for a depressive disorder, 32% met criteria for an anxiety disorder, and 21% met criteria for a behavioral disorder.

Discussion

In a general population sample of 212 school-going adolescents, we found that up to 8% fulfilled criteria for

Table 1. Criteria for the Proposed Attenuated Psychosis Syndrome for *Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition (DSM-V)*

Characteristic symptoms: at least one of the following in attenuated form with intact reality testing but of sufficient severity and/or frequency that it is not discounted or ignored
Delusions
Hallucinations
Disorganized speech
Frequency/Currency: symptoms meeting criterion A must be present in the past month and occur at an average frequency of at least once per week in past month
Progression: symptoms meeting criterion A must have begun in or significantly worsened in the past year
Distress/Disability/Treatment Seeking: symptoms meeting criterion A are sufficiently distressing and disabling to the patient and/or parent/guardian to lead them to seek help
Symptoms meeting criterion A are not better explained by any DSM-5 diagnosis, including substance-related disorder
Clinical criteria for any DSM-V psychotic disorder have never been met

diagnosis of a current prodromal risk syndrome. The findings of the current work suggest that there are many prospectively identifiable individuals with risk syndromes in the community who have not presented to clinical services. What proportion of these individuals would ultimately present to services is unknown. However, while the overwhelming majority of cases of new onset psychosis have been established to be preceded by a prodromal period,^{29–31} only a minority of the population-wide incidence of psychosis emerge in patients from prodrome risk syndrome clinics, which suggests that many such individuals will not clinically present prior to illness onset.

APSP, as described, differs from the proposed DSM-V diagnosis of attenuated psychosis syndrome in Criterion

D (“distress/disability/treatment seeking,” see table 1). However, the majority of adolescents meeting criteria for APSP reported distress as a result of their symptoms, and this group demonstrated significantly poorer functioning on the Children’s Global Assessment Scale. BIPS diagnoses, which usually constitute a relatively small proportion of patients seen in prodromal risk syndrome clinics, were present in 40% of all risk syndromes in the current study. Interestingly, in the clinic, risk for psychosis has been demonstrated to be particularly high for patients with BIPS, with a faster onset of psychosis compared with young people with APSP.³² It is possible that fewer BIPS patients will present clinically during the prodrome and are more likely to present for the first time during first-episode psychosis due to what appears to be a shorter prodromal period. It is also possible, because the symptoms are “brief” and “intermittent,” that patients believe their symptoms have resolved and are, as a result, less likely to seek help. Further research will be necessary to understand this difference between the clinic and the community.

Nonpsychotic psychiatric disorders were present in a large majority of adolescents with prodromal risk syndromes, consistent with research on clinically presenting individuals.³³ Rosen *et al.*,³⁴ for example, reported that in a sample of clinically presenting individuals who met criteria for a prodromal risk syndrome, 76% had at least one diagnosable lifetime Axis I disorder. Svirskis *et al.*,³⁵ similarly, reported that over 90% of help-seeking individuals who met criteria for a prodromal risk syndrome had at least one comorbid disorder. Depressive disorders were the most common diagnosis in both studies, as in the current report. Lencz *et al.*,³⁶ using the same diagnostic instrument as the current study to assess for Axis-I psychopathology in a sample of putatively prodromal help seekers, found MDD to be the most common

Table 2. Lifetime Axis I Diagnoses in Patients with Prodromal Risk Syndromes and in Controls

Lifetime Axis I Diagnosis	Prodromal Risk Syndrome, (n = 19)	Controls, (n = 193)
Any diagnosis	63%	28%
Affective disorders	37%	13%
Major depressive Disorder	26%	5%
Dysthymic disorder	0	0.5%
Adjustment disorder with depressed mood	16%	8.4%
Behavioral disorders	21%	7%
Attention deficit/hyperactivity disorder	16%	4%
Oppositional defiant disorder	5%	4%
Conduct disorder	0	1%
Anxiety disorders	16%	13%
Generalized anxiety disorder	0	6%
Separation anxiety disorder	5%	5%
Avoidant disorder	5%	2%
Obsessive compulsive disorder	5%	2%
Social phobia	5%	5%

diagnosis, followed by attention deficit/hyperactivity disorder, in keeping with our own community findings.

There are a number of implications of this research in relation to the proposed DSM-V diagnosis of attenuated psychosis syndrome. Findings from the current study that might support this diagnosis include that (1) a large majority of the individuals identified are distressed by their symptoms; (2) this group demonstrates significantly poorer global functioning; and (3) the majority of these adolescents have other diagnosable psychopathology that suggests that they as a population are truly in need of care. On the other hand, the findings of the current study also raise a number of concerns or limitations with regard to creation of an attenuated psychosis syndrome diagnosis, including that (1) the proposed diagnostic criteria are applicable to a relatively large proportion of adolescents, meaning that, following publication of DSM-V, many young people could suddenly be imposed with a stigmatizing diagnosis that they did not previously have; (2) we do not know the relative risk for psychosis among this group since longitudinal community research has not been conducted. Given the high prevalence of the syndrome, however, it is unlikely to approach the level of risk observed in help-seeking samples reported on to date; thus, we risk greatly increasing the rate of false positives; (3) since the majority of these individuals already have psychiatric disorders, there would not, in most cases, appear to be a major financial barrier to receiving psychiatric treatment in healthcare systems that require a formal diagnosis for insurance purposes; (4) the proportion of adolescents who fulfill criteria for a risk syndrome varies greatly depending on how “disability” is interpreted in terms of the degree of functional decline, something that is not currently specified in the proposed criteria; and (5) attenuated psychosis syndrome may be a misnomer for a syndrome that is, in fact, associated with a wide range of (nonpsychotic) disorders.

It is important to note that none of the participants in the current study, despite meeting criteria for prodromal risk syndromes, had presented to a prodrome or other healthcare clinic and so none of the participants can be considered “help seekers” in the same way as individuals who have been reported on to date in clinic-based research. Why some individuals who meet risk syndrome criteria present to clinics while others do not is unclear and will require further research. There are many possible reasons for this. As already speculated, given the high proportion of BIPS in the current community study compared with the proportion of BIPS in clinic-based studies, it is possible that young people with BIPS are less likely to present to the clinic. The young age of participants in the current study may also be a contributing factor. Although, in our experience, even at this age, young people are very aware that these experiences are unusual, it is possible that younger individuals are less likely to attend their doc-

tor or other health professional compared with older teenagers and young adults. Education around psychotic symptoms and psychosis risk syndromes may also be a factor. Addington et al,³⁷ for example, showed that, following an extensive community education program, referrals to prodrome services increased. Thus, a lack of community education and confusion about “where to turn for help” with these unusual experiences may play a role in nonpresentation. There may be multiple other differences between help-seeking and nonhelp seeking individuals with prodromal risk syndromes. Further cross-sectional and longitudinal research comparing clinical and community samples will be necessary to address this question.

Strengths and Limitations

The general population sampling method used in the current study is the major strength, which allowed us to estimate the population prevalence of prodromal risk syndromes/at risk mental states. In addition, the approach used in the current study allowed us to investigate psychopathology and global functioning in very early stages of psychosis risk—earlier even than clinically presenting risk syndrome cases. A limitation is that the standard SIPS interview instrument was not used; rather the K-SADS instrument was altered to include SIPS questions on positive symptoms from sections P1 to P5. Thus, it might be argued that this could result in underestimation of the true prevalence of prodromal risk syndromes. While we surveyed a relatively large number of adolescents, a relatively small proportion was brought to interview, introducing the risk of ascertainment bias, whereby individuals with a personal or family history of disorder may be more likely to agree to participate, thus self-selecting for increased rates of the disorder under study. However, we do not believe this to be the case in the current study for a number of reasons: (1) adolescents who attended the full interview study did not differ from the larger surveyed school sample from which they were drawn in terms of symptoms of general psychopathology, as measured by the SDQ, or in terms of psychotic symptoms, as measured by the APSS; (2) only 1.3% of participants had a first-degree relative with a history of psychotic illness, suggesting that families with psychosis were not more likely to participate; and (3) the prevalence of mental disorders was very similar to previous epidemiological work both nationally and internationally.^{38,39} Participants were also representative of the general population in terms of ethnicity and SES. Nonetheless, further work to confirm our findings will be valuable.

It is important to note that research to date suggests that psychotic symptoms are more prevalent in early compared with later childhood. In a meta-analysis of population-based studies on the prevalence of psychotic symptoms in child and adolescent populations, we found

that psychotic symptoms were more common in younger (ages 9 to 12 years) compared with older (ages 13 to 18 years) children.⁴⁰ Thus, research in later adolescence, when psychosis risk is highest, might not find an equally high prevalence of prodromal risk syndromes compared with the younger population assessed in the current study. Further research among different age groups is necessary to address this question.

Conclusions

Up to 8% of a community sample of 11- to 13-year-olds met criteria for a prodromal risk syndrome in the current study. Adolescents with risk syndromes demonstrated poorer global functioning and high rates of nonpsychotic psychopathology, consistent with findings on clinically presenting risk syndrome patients. The long-term outcomes for these “community risk syndromes” has yet to be determined and will require further research. However, the decline in rates of conversion to psychosis at risk syndrome clinics over the past number of years highlights the fact that, even in clinically presenting individuals, outcomes are not clear cut.^{33,41} Follow up research will be necessary to determine the degree of risk for clinical psychosis associated with prodromal risk syndromes in the community.

Funding

European Community’s Seventh Framework Programme under grant agreement number HEALTH-F2-2009-241909 (Project EU-GEI); an Essel National Alliance for Research on Schizophrenia and Depression/The Brain and Behavior Research Foundation Independent Investigator award; Clinician Scientist Award (CSA/2004/1) from the Health Research Board (Ireland) (to M.C.).

Acknowledgments

We thank the Clinical Research Centre at Beaumont Hospital for use of research rooms. None of the study sponsors had any role in the data collection, analysis, or write up of the current paper. Previous presentation: Oral presentation at the International Congress on Schizophrenia Research, Colorado Springs, Colorado, April 2nd to 6th, 2011. The Authors have declared that there are no conflicts of interest in relation to the subject of this study.

Appendix

Structured Interview for Prodromal Syndromes (SIPS) Criteria

Prodromal syndrome diagnostic categories include: (1) brief intermittent psychotic symptoms prodromal syndrome (BIPS), (2) attenuated positive symptoms prodromal

syndrome (APSP), and (3) genetic risk and deterioration prodromal syndrome (GRD).

1. BIPS criteria

1a) At least one of the P1 to P5 scales scored a 6 (that is, psychotic)

Plus

1b) The symptom(s) have reached a psychotic level of intensity within the past 3 months

Plus

1c) The symptom(s) have been present for at least several minutes per day at a frequency of at least once per month

2. APSP criteria

2a) At least one of the P1 to P5 scales (which relate to positive psychotic symptoms) is scored 3 (moderate), 4 (moderately severe), or 5 (severe but not psychotic)

Plus

2b) Symptom(s) have begun, or worsened by one or more scale points, within the past 12 months

Plus

2c) Symptom(s) have occurred at an average frequency of at least once per week in the past month

3. GRD criteria

3a) The participant meets criteria for current schizotypal personality disorder or has a first-degree relative with a psychotic disorder

Plus

3b) A drop of at least 30% in the Global Assessment of Functioning score over the past month as compared with 12 months ago.

Note, in the current study, given the complex issues around diagnosing young people (aged 11–13 years) with personality disorders, a diagnosis of GRD could only be given if, in addition to the stipulated functional decline, the individual had a first-degree relative with a psychotic disorder.

Clinical Assessment of At Risk Mental States (CAARMS) criteria

Prodromal syndrome diagnostic categories include (1) vulnerability group, (2) attenuated psychosis group, and (3) brief limited intermittent psychotic symptoms (BLIPS group)

1. Vulnerability Group criteria

1a) Family history of psychosis in a first-degree relative or schizotypal personality disorder in the identified patient

Plus

1b) 30% drop in social/occupational functioning (measured on the Social and Occupational Functioning Assessment Scale—SOFAS) compared with premorbid level, sustained for a month, occurred within past 12 months or a SOFAS score of 50 or less for past 12 months or longer

2. Attenuated Psychosis Group criteria
 2a) Psychotic symptoms of subthreshold intensity, specifically a global rating scale score of 3–5 on Unusual Thought Content subscale, 3–5 on Non-Bizarre Ideas subscale, 3–4 on Perceptual Abnormalities subscale, and/or 4–5 on Disorganized Speech subscales of the CAARMS

Plus

2b) Frequency Scale Score of 3–6 on Unusual Thought Content, Non-Bizarre Ideas, Perceptual Abnormalities, and/or Disorganized Speech subscales of the CAARMS for at least a week

2c) Subthreshold frequency: Global Rating Scale score of 6 on Unusual Thought Content, 6 on Non-Bizarre Ideas, 5–6 on Perceptual Abnormalities, and/or 6 on Disorganized Speech subscales of the CAARMS

Plus

2d) Frequency scale score of 3 on Unusual Thought Content, Non-Bizarre Ideas, Perceptual Abnormalities, and/or Disorganized Speech subscales of CAARMS Plus (for both categories)

2e) Symptoms present in past year

Plus (for both categories)

2f) 30% drop in SOFAS score from premorbid level, sustained for a whole month, occurred within past 12 months or SOFAS score of 50 or less for past 12 months or longer

3. BLIPS Group criteria

3a) a Global Rating Scale score of 6 on Unusual Thought Content subscale, 6 on Non-Bizarre Ideas, 5 or 6 on Perceptual Abnormalities subscale, and/or 6 on Disorganized Speech subscales of the CAARMS

Plus

3b) Frequency Scale score of 4–6 on Unusual Thought Content, Non-Bizarre Ideas, Perceptual Abnormalities, and/or Disorganized Speech subscales

Plus

3c) Each episode of symptoms is present for less than 1 week and symptoms spontaneously remit on every occasion

Plus

3d) Symptoms occurred during last year

Plus

3e) 30% drop in SOFAS score from premorbid level, sustained for a month, occurred within past 12 months, or SOFAS score of 50 or less for past 12 months or longer

Note: In the current study, the social/occupational functioning measure was the Children's Global Assessment Scale and not Social and Occupational Functioning Assessment Scale. The criterion of a 30% decline in social/occupational functioning was added to the most recent edition of the CAARMS but was not a criterion for prodromal syndromes in previously published research. We

report prevalences for CAARMS prodromal syndromes (1) without and (2) with this new criterion.

References

1. Yung AR, McGorry PD, McFarlane CA, Jackson HJ, Patton GC, Rakkar A. Monitoring and care of young people at incipient risk of psychosis. *Schizophr Bull.* 1996;22:283–303.
2. McGorry PD, Yung AR, Phillips LJ, et al. Randomized controlled trial of interventions designed to reduce the risk of progression to first-episode psychosis in a clinical sample with subthreshold symptoms. *Arch Gen Psychiatry.* 2002;59:921–928.
3. Yung AR, Phillips LJ, Yuen HP, et al. Psychosis prediction: 12-month follow up of a high-risk (“prodromal”) group. *Schizophr Res.* 2003;60:21–32.
4. McGlashan TH, Miller TJ, Woods SW. Pre-onset detection and intervention research in schizophrenia psychoses: current estimates of benefit and risk. *Schizophr Bull.* 2001;27:563–570.
5. Addington J, Cadenhead KS, Cannon TD, et al. North American Prodrome Longitudinal Study: a collaborative multisite approach to prodromal schizophrenia research. *Schizophr Bull.* 2007;33:665–672.
6. Cannon TD, Cadenhead K, Cornblatt B, et al. Prediction of psychosis in youth at high clinical risk: a multisite longitudinal study in North America. *Arch Gen Psychiatry.* 2008;65:28–37.
7. Ruhrmann S, Schultze-Lutter F, Salokangas RK, et al. Prediction of psychosis in adolescents and young adults at high risk: results from the prospective European prediction of psychosis study. *Arch Gen Psychiatry.* 2010;67:241–251.
8. Klosterkötter J, Schultze-Lutter F, Gross G, Huber G, Steinmeyer EM. Early self-experienced neuropsychological deficits and subsequent schizophrenic diseases: an 8-year average follow-up prospective study. *Acta Psychiatr Scand.* 1997;95:396–404.
9. Klosterkötter J, Ruhrmann S, Schultze-Lutter F, et al. The European Prediction of Psychosis Study (EPOS): integrating early recognition and intervention in Europe. *World Psychiatry.* 2005;4:161–167.
10. Salokangas RK, Patterson P, Heinimaa M, et al. Perceived negative attitude of others predicts transition to psychosis in patients at risk of psychosis [published online ahead of print February 5, 2011]. *Eur Psychiatry.* 2011. doi: 10.1016/j.eurpsy.2010.11.004.
11. Woods SW, Addington J, Cadenhead KS, et al. Validity of the prodromal risk syndrome for first psychosis: findings from the North American Prodrome Longitudinal Study. *Schizophr Bull.* 2009;35:894–908.
12. McGorry PD. Risk syndromes, clinical staging and DSM V: new diagnostic infrastructure for early intervention in psychiatry. *Schizophr Res.* 2010;120:49–53.
13. Ruhrmann S, Schultze-Lutter F, Klosterkötter J. Probably at-risk, but certainly ill—advocating the introduction of a psychosis spectrum disorder in DSM-V. *Schizophr Res.* 2010;120:23–37.
14. Drake RJ, Lewis SW. Valuing prodromal psychosis: what do we get and what is the price? *Schizophr Res.* 2010;120:38–41.
15. Yung AR, Nelson B, Thompson AD, Wood SJ. Should a “Risk Syndrome for Psychosis” be included in the DSMV? *Schizophr Res.* 2010;120:7–15.
16. Woods SW, Walsh BC, Saksa JR, McGlashan TH. The case for including Attenuated Psychotic Symptoms Syndrome in

- DSM-5 as a psychosis risk syndrome. *Schizophr Res*. 2010;123:199–207.
17. Corcoran CM, First MB, Cornblatt B. The psychosis risk syndrome and its proposed inclusion in the DSM-V: a risk-benefit analysis. *Schizophr Res*. 2010;120:16–22.
 18. Carpenter WT, van Os J. Should attenuated psychosis syndrome be a DSM-5 diagnosis? *Am J Psychiatry*. 2011;168:460–463.
 19. van Os J, Linscott RJ, Myin-Germeys I, Delespaul P, Krabbendam L. A systematic review and meta-analysis of the psychosis continuum: evidence for a psychosis proneness-persistence-impairment model of psychotic disorder. *Psychol Med*. 2009;39:179–195.
 20. Kelleher I, Cannon M. Psychotic-like experiences in the general population: characterizing a high-risk group for psychosis. *Psychol Med*. 2011;41:1–6.
 21. Poulton R, Caspi A, Moffitt TE, Cannon M, Murray R, Harrington H. Children's self-reported psychotic symptoms and adult schizophreniform disorder: a 15-year longitudinal study. *Arch Gen Psychiatry*. 2000;57:1053–1058.
 22. Yung AR, Nelson B, Baker K, Buckby JA, Baksheev G, Cosgrave EM. Psychotic-like experiences in a community sample of adolescents: implications for the continuum model of psychosis and prediction of schizophrenia. *Aust N Z J Psychiatry*. 2009;43:118–128.
 23. Kelleher I, Jenner JA, Cannon M. Psychotic symptoms in the general population—an evolutionary perspective. *Br J Psychiatry*. 2010;197:167–169.
 24. Schimmelmann BG, Michel C, Schaffner N, Schultze-Lutter F. What percentage of people in the general population satisfies the current clinical at-risk criteria of psychosis? *Schizophr Res*. 2011;125:99–100.
 25. Goodman R, Ford T, Simmons H, Gatward R, Meltzer H. Using the Strengths and Difficulties Questionnaire (SDQ) to screen for child psychiatric disorders in a community sample. *Br J Psychiatry*. 2000;177:534–539.
 26. Kelleher I, Harley M, Murtagh A, Cannon M. Are screening instruments valid for psychotic-like experiences? A validation study of screening questions for psychotic-like experiences using in-depth clinical interview. *Schizophr Bull*. 2011;37:362–369.
 27. Kaufman J, Birmaher B, Brent D, Rao U, Ryan N. *The Schedule for Affective Disorders and Schizophrenia for School Aged Children: Present and Lifetime Version*. Pittsburgh, PA: University of Pittsburgh, Western Psychiatric Institute and Clinic; 1996.
 28. Shaffer D, Gould MS, Brasic J, et al. A children's global assessment scale (CGAS). *Arch Gen Psychiatry*. 1983;40:1228–1231.
 29. Schultze-Lutter F, Ruhrmann S, Berning J, Maier W, Klosterkötter J. Basic symptoms and ultrahigh risk criteria: symptom development in the initial prodromal state. *Schizophr Bull*. 2010;36:182–191.
 30. Yung AR, McGorry PD. The initial prodrome in psychosis: descriptive and qualitative aspects. *Aust N Z J Psychiatry*. 1996;30:587–599.
 31. Jackson HJ, McGorry PD, Dudgeon P. Prodromal symptoms of schizophrenia in first-episode psychosis: prevalence and specificity. *Compr Psychiatry*. 1995;36:241–250.
 32. Nelson B, Yuen K, Yung AR. Ultra high risk (UHR) for psychosis criteria: are there different levels of risk for transition to psychosis? *Schizophr Res*. 2011;125:62–68.
 33. Addington J, Cornblatt BA, Cadenhead KS, et al. At clinical high risk for psychosis: outcome for nonconverters. *Am J Psychiatry*. 2011;168:800–805.
 34. Rosen JL, Miller TJ, D'Andrea JT, McGlashan TH, Woods SW. Comorbid diagnoses in patients meeting criteria for the schizophrenia prodrome. *Schizophr Res*. 2006;85:124–131.
 35. Svriskis T, Korkeila J, Heinimaa M, et al. Axis-I disorders and vulnerability to psychosis. *Schizophr Res*. 2005;75:439–446.
 36. Lencz T, Smith CW, Auther A, Correll CU, Cornblatt B. Non-specific and attenuated negative symptoms in patients at clinical high-risk for schizophrenia. *Schizophr Res*. 2004;68:37–48.
 37. Addington J, Epstein I, Reynolds A, et al. Early detection of psychosis: finding those at clinical high risk. *Early Interv Psychiatry*. 2008;2:147–153.
 38. Kelleher I, Harley M, Lynch F, Arseneault L, Fitzpatrick C, Cannon M. Associations between childhood trauma, bullying and psychotic symptoms among a school-based adolescent sample. *Br J Psychiatry*. 2008;193:378–382.
 39. Merikangas KR, He JP, Brody D, Fisher PW, Bourdon K, Koretz DS. Prevalence and treatment of mental disorders among US children in the 2001-2004 NHANES. *Pediatrics*. 2010;125:75–81.
 40. Kelleher I, Connor D, Clarke MC, Devlin N, Harley M, Cannon M. Prevalence of psychotic symptoms in childhood and adolescence: a systematic review and meta-analysis of population-based studies. *Psychol Med*. In press.
 41. Yung AR, Yuen HP, Berger G, et al. Declining transition rate in ultra high risk (prodromal) services: dilution or reduction of risk? *Schizophr Bull*. 2007;33:673–681.