

# Identification of phenothiazine as an ETV1-targeting agent in gastrointestinal stromal tumors using the Connectivity Map

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**Abstract.** Gastrointestinal stromal tumors (GISTs) are gastrointestinal tract sarcomas that commonly contain a mutation in the tyrosine kinases, KIT and platelet-derived growth factor receptor A (PDGFRA). Imatinib, sunitinib and regorafenib are all effective tyrosine kinase inhibitors; however, acquired resistance is inevitable. The E26 variant 1 (ETV1) pathway has been found to be a key downstream effector of KIT and is therefore a reasonable therapeutic target for this disease. In this study, we explored the potential agents targeting ETV1 in GISTs by uploading an ETV1 knockout gene signature of GIST cell lines to the pattern-matching software 'Connectivity Map'. The activity and mechanisms of identified agents were examined using an *in vitro* model. Four drugs were identified: Suberanilohydroxamic acid and trichostatin [two histone deacetylase inhibitors (HDACIs)] and trifluoperazine and thioridazine (two phenothiazine-class drugs). Western blot analysis demonstrated that all four drugs had ETV1-downregulating effects. As HDACIs have been previously studied in GISTs, we focused on phenothiazine. Phenothiazine was found to exert cytotoxicity and to induce apoptosis and autophagy in GISTs. Treatment with phenothiazine had little effect on the KIT/AKT/mammalian target of rapamycin (mTOR) pathway, but instead upregulated extracellular-signal-regulated kinase (ERK) activity. A combination of phenothiazine and a MEK inhibitor had a synergistic cytotoxic effect on GISTs. Western blot analysis

indicated that ELK1 and early growth response 1 (EGR1) were activated/upregulated following phenothiazine treatment, and the MEK inhibitor/phenothiazine combination downregulated the ERK/ELK1/EGR1 pathway, resulting in diminished autophagy, as well as enhanced apoptosis. On the whole, the findings of this study established phenothiazine as a novel class of therapeutic agents in GIST treatment and demonstrate that a combination of phenothiazine and MEK inhibitor has great potential for use in the treatment of GISTs.

## Introduction

Gastrointestinal stromal tumors (GISTs) are the most common mesenchymal tumors of the gastrointestinal tract, occurring most frequently in the stomach (approximately 50%) and small intestine (25-35%) and less frequently in the colorectal regions (10-12%), omentum/mesentery (7%) and esophagus (1-5%) (1-3). The majority of GISTs contain mutations in the gene encoding the KIT tyrosine kinase receptor (4); however, but <10% have mutations in the gene encoding platelet-derived growth factor receptor A (PDGFRA) as the oncogenic driving force(5). Imatinib mesylate (Novartis Pharmaceuticals), a potent tyrosine kinase inhibitor (TKI) for both KIT and PDGFRA, is the standard first-line therapy and can achieve a median overall survival (OS) rate of 5-6 years in patients with advanced disease (6,7). However, disease progression, which most likely occurs due to the development of secondary mutations (8,9), is expected within 2 to 3 years of imatinib therapy (6,7). Therapeutic options are limited for these patients, with sunitinib maleate (Pfizer) as the standard second-line agent (10), and regorafenib (Bayer Schering Pharma AG) as third-line therapy (11,12).

KIT and its downstream pathway remain a major target of interest in TKI-refractory GISTs. Therapeutic strategies, such as those involving the degradation of the KIT protein [by using either a heat shock protein 90 inhibitor (13) or histone deacetylase inhibitors (14)] or the targeting of its downstream effectors by blocking phosphoinositide 3-kinase (15) or mammalian target of rapamycin (mTOR) (16), have been shown to be effective in inhibiting GIST growth. However,

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only a few of these have been successfully applied in clinical studies (17-19). Consequently, identifying novel therapeutic targets or strategies against GIST is a priority.

By using bioinformatics analysis, Chi *et al* discovered that ETS family member E26 variant 1 (ETV1) is highly expressed in GISTS (20). They also demonstrated that interstitial cell of Cajal (ICC) hyperplasia in mouse models expressing constitutively activated KIT also strongly expressed ETV1. Their analysis revealed that genes that were downregulated by ETV1 knockdown demonstrated a negative correlation with genes that were upregulated in GISTS, suggesting a key role of ETV1 in an ICC-GIST-specific transcription network. Furthermore, their study demonstrated that the ETV1 transcriptional program is further regulated by activated KIT and its downstream MAP kinase, which prolongs ETV1 protein stability and cooperates with ETV1 to promote tumorigenesis (20). In their subsequent study, Ran *et al* uncovered a positive feedback circuit in which the KIT/MAP kinase pathway stabilized the ETV1 protein and ETV1 positively regulated KIT expression. The combined targeting of ETV1 stability by using imatinib and MEK162 resulted in significant *in vitro* and *in vivo* cytotoxic effects (21). This series of studies demonstrated that ETV1 is a lineage-specific oncogenic transcription factor required for the growth and survival of GISTS, and that the identification of novel agents that target the ETV1 pathway may be a strategy for the treatment of GISTS.

Lamb *et al* created a reference collection of gene expression profiles from cultured human cells treated with diverse bioactive small molecules, as well as pattern-matching software for data mining ['The Connectivity Map' (CMAP), <http://www.broad.mit.edu/cmap/>] (22,23). When any gene expression profiles of interest are uploaded to this database, pattern-matching algorithms score each reference profile for the direction and strength of enrichment with the query signature. Perturbagens are then ranked by this 'connectivity score'; those at the top ('positive') and bottom ('negative') are functionally connected with the query state through the transitory feature of common gene-expression changes (22). In this study, an ETV1 knockout gene signature of GIST cell lines was uploaded, and phenothiazine was identified as a class of ETV1-targeting agent in GISTS.

## Materials and methods

*Collection of expression profiles from public datasets.* Microarray and clinicopathological data from the Gene Expression Omnibus (GEO) datasets GSE14827 [osteosarcoma (OS)], GSE13433 [alveolar soft part sarcoma (ASPS)], GSE8167 (GIST), GSE20196 [synovial sarcoma (SynSA)], GSE20559 [liposarcoma (LPS)] and GSE17679 [Ewing sarcoma family tumors (ESFT)] were obtained from the NCBI website. E-MEXP-1922 [leiomyosarcoma (LMS)] data were obtained from the ArrayExpress website. All datasets were in the Affymetrix U133 Plus 2.0 platform. In order to reduce intra-subtype heterogeneity, we did not include all samples. For OS, only tumors without subsequent metastasis were selected; for GISTS, only those with exon 11 mutations were included; for SynSA, only tumors with SYT-SSX type 1 fusion gene and non-poorly differentiated histology were analyzed; and for LPS, only well- and de-differentiated tumors were chosen due

to their similar genetic background. Expression profile data of GIST cell lines with ETV1 wild-type versus knockout were also obtained from GEO (GSE19396) (20).

*Bioinformatics analysis.* dChip (24,25) was the main analytic software used, and the normalization of the expression values of individual chips was performed according to software specifications. One-way analysis of variance (ANOVA) was used to identify genes showing differentiated expression between different sarcoma subtypes, as well as different mutation status categories in GISTS. The expression levels of individual genes were obtained using z-score transformation, and the differences between different subtypes were then compared using the t-test.

Chip data from GSE19396 were analyzed separately. The dChip 'Compare Samples' function was used to explore differentially expressed genes between wild-type and ETV1 knockdown strains of GIST cell lines using 1.4-fold changes (with  $P < 0.05$  by the unpaired t-test) as the threshold (24) (please also refer to <https://sites.google.com/site/dchipsoft/high-level-analysis/compare-samples/comparison-criteria>).

*Gene signature query of CMAP.* The identified gene signature was first transformed to probe set ID of Human Genome U133A. Up- and downregulated ID lists were then uploaded to the CMAP website (<http://www.broad.mit.edu/cmap/>). The query signature was then compared with each rank-ordered list to determine whether upregulated query genes tend to appear near the top of the list and downregulated query genes near the bottom ('positive connectivity'), or vice versa ('negative connectivity'), yielding a 'connectivity score' ranging from +1 to -1. A null (zero) connectivity score was assigned where the enrichment scores for the up- and downregulated genes had the same sign. All instances in the database were then ranked according to their connectivity scores; those at the top were most strongly associated with the query signature, and those at the bottom were most strongly anti-associated (22,23).

*Cell lines and mass ARRAY-based mutation characterization.* GIST cell lines were kindly provided by Dr J.A. Fletcher, and the KIT mutation status of this line has been previously described (13). GIST882 is a human cell line established from an untreated GIST with a primary imatinib-sensitive homozygous missense mutation in KIT exon 13, encoding a K642E mutant KIT oncoprotein (26). GIST48 was established from GISTS that had progressed, after initial clinical response, during imatinib therapy. GIST48 has a primary, homozygous exon 11 missense mutation (V560D) and a heterozygous secondary exon 17 (kinase activation loop) mutation (D820A) (13).

The sequences of KIT of both cell lines were surveyed again by Mass ARRAY-based mutation characterization before this study. The Sequenom MassARRAY platform utilizes a homogeneous reaction format with a single extension primer to generate allele-specific products with distinct masses (27,28). PCR and extension primers for the mutations were designed using MassArray Assay Design 3.1 software (Sequenom). The mutation alleles were manually designed by extension in either the forward or reverse direction to have lower mass than the reference allele. The PCR products of multiplexed reactions were spotted onto SpectroCHIP II arrays, and DNA fragments were resolved by on the MassARRAY Analyzer 4

System (Sequenom). Each spectrum was then analyzed using the Typer 4.0 software (Sequenom) to call mutations. Putative mutations were further filtered by manual review. The results of the mutation analysis of two cell lines are shown in Fig. S1, and their mutation status remained the same.

**Reagents.** The drugs used in this study were imatinib (ALX-270-492; Enzo Life Sciences), PD98059 (P215), suberanilohydroxamic acid (SAHA) (SML0061), trifluoperazine (TFP) (T8516), thioridazine (TDZ) (T9025) (all from Sigma-Aldrich) and MEK162 (S7007; Selleckchem). The primary antibodies used for western blot analysis included p-ERK (cat. no. 4376; 1:1,000), KIT (cat. no. 3392; 1:1,000), p-KIT (cat. no. 3391; 1:1,000), AKT (cat. no. 9272; 1:1,000), p-AKT (cat. no. 4058; 1:1,000), p-p70S6K (cat. no. 9205; 1:500), LC3 (cat. no. 2775; 1:1,000), early growth response protein 1 (EGR1) (cat. no. 4153; 1:1,000) and poly-(ADP-ribose) polymerase (PARP) (cat. no. 9542; 1:1,000) from Cell Signaling Technology; actin (cat. no. ab6276-100; 1:10,000) and ETV1 (cat. no. ab184120; 1:1,000) from Abcam Biotechnology; and ERK (cat. no. sc-135900; 1:1,000), ELK1 (cat. no. sc-355; 1:200) and p-ELK1 (cat. no. sc-8406; 1:200) from Santa Cruz Biotechnology. The secondary antibodies used were horse anti-mouse IgG (cat. no. 7076; 1:3,000) and goat anti-rabbit IgG (cat. no. 7074; 1:3,000), both from Cell Signaling Technology.

**3-(4,5-Dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide assay.** Cell viability was measured using the TACS™ 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) cell proliferation assay (R&D Systems) according to the manufacturer's instructions. Briefly, the cells were plated in 96-well plates at a concentration of 2,000-20,000/100  $\mu$ l/well overnight. Drugs at various concentrations were added in triplicate. The plates were incubated for the desired time at 37°C, pulsed with 10  $\mu$ l of MTT reagent, and incubated for an additional 4 h at 37°C. Detergent reagent at 200  $\mu$ l/well was added and mixed thoroughly to dissolve the dark blue crystals. The absorbance of the converted dye was measured spectrophotometrically in a Vmax microplate reader (Molecular Devices) at wavelengths of 570 nm (test) and 650 nm. Cell survival was calculated as the percentage of MTT inhibition as follows: % survival = (mean experimental absorbance/mean control absorbance) x100 (29).

The synergistic effect of the applied drug combination was measured through a combination index (CI), which was calculated using CalcuSyn software (Biosoft) (30). CI >1 was defined as antagonism, CI =1 as additivity, and CI <1 as synergy; the experiment was performed in triplicate.

**Western blot analysis.** Monolayers of cultured cells were rinsed in phosphate-buffered saline (PBS) and scraped into lysis buffer [25 nM Tris-HCl pH 7.6, 150 nM NaCl, 1% NP-40, 1% sodium deoxycholate, 0.1% SDS (Thermo Fisher Scientific)] containing the Protease and Phosphatase Inhibitor Cocktail (1:100 dilution; Thermo Fisher Scientific). Lysates were incubated for 30 min at 4°C and then clarified by centrifugation for 30 min at 13,200 rpm at 4°C. Protein concentrations were determined with the Pierce BCA Protein Assay kit (Thermo Fisher Scientific). Protein extracts

(20-50  $\mu$ g per lane) were electrophoretically separated on sodium dodecyl sulfate-polyacrylamide gel electrophoresis gels (8-12% depending on the molecular weights of proteins), transferred to polyvinylidene difluoride membranes (PerkinElmer), and blotted with specific antibodies. For primary antibodies, the sample was incubated at 4°C overnight; for secondary antibody, the sample was incubated at room temperature for 1 h. Immunoreactive bands were detected using enhanced chemiluminescence (Millipore) and X-ray film.

**Measurement of caspase activity.** Caspase activity was detected using the Caspase-Glo® 3/7 assay kit (Promega) according to the manufacturer's instructions. Briefly, GIST cells (10<sup>4</sup> cells/well) were seeded in a luminometer plate and incubated for 24 h at 37°C. GIST cells were treated with DMSO (control vehicle) or with various concentrations of drugs for 72 h. Moreover, 100  $\mu$ l of caspase-3/7 reagents was added to each well and mixed gently using a plate shaker. The cells were then incubated for 0.5-1 h at room temperature, and the luminescence of each well was measured.

**Apoptosis assessment by Annexin V staining.** The cells were plated at a density of 350,000 cells/well or 1,000,000 cells/well in 6-well plates. The following day, the cells were treated with TFP (0, 30 and 40  $\mu$ M) or TDZ (0, 25 and 35  $\mu$ M) for 72 h, and then washed with 1X PBS for twice and resuspended in 100  $\mu$ l staining solution containing Annexin V-APC (BD Pharmingen) and propidium iodide in Annexin V-binding buffer. The cells were then incubated at room temperature for 15 min, and the cells were then diluted in 400  $\mu$ l 1X Annexin V-binding buffer. The percentages of apoptotic cells were then measured using a flow cytometer (Canto II; BD Biosciences).

**Detection and quantification of autophagic cells by staining with acridine orange.** Cells were seeded in 6-well tissue culture dishes and treated with TFP (GIST882, 20  $\mu$ M; GIST48, 10  $\mu$ M) for 72 h. The cells were then incubated at room temperature with medium containing acridine orange (100 mg/ml) for 15-20 min, washed once with PBS, and fresh media were added. Fluorescent micrographs were then acquired using an inverted fluorescence microscope (IX51; Olympus). All images presented are at the same magnification (x200). The number of cells with increased acidic vesicular organelles was determined by flow cytometry. The cells were trypsinized and harvested. Red fluorescence emission was measured through a FACSCalibur from BD Biosciences using CellQuest software.

**Statistical analysis.** As stated above, dChip 'Compare Samples' function with 1.4-fold changes set as the threshold (with P<0.05 by the unpaired t-test) was used to identify differentially expressed genes between control (n=4) and ETV1 knockdown strains (n=8) of GIST cell lines. The differences of expression level of individual genes between GISTs and non-GIST subtypes, and the difference of percentage of viability (assessed by MTT cell proliferation assay) and the percentage of apoptotic cells (assessed by apoptosis assay) between the control and drug treatment (all in triplicate), were compared using ANOVA, and the Bonferroni test was

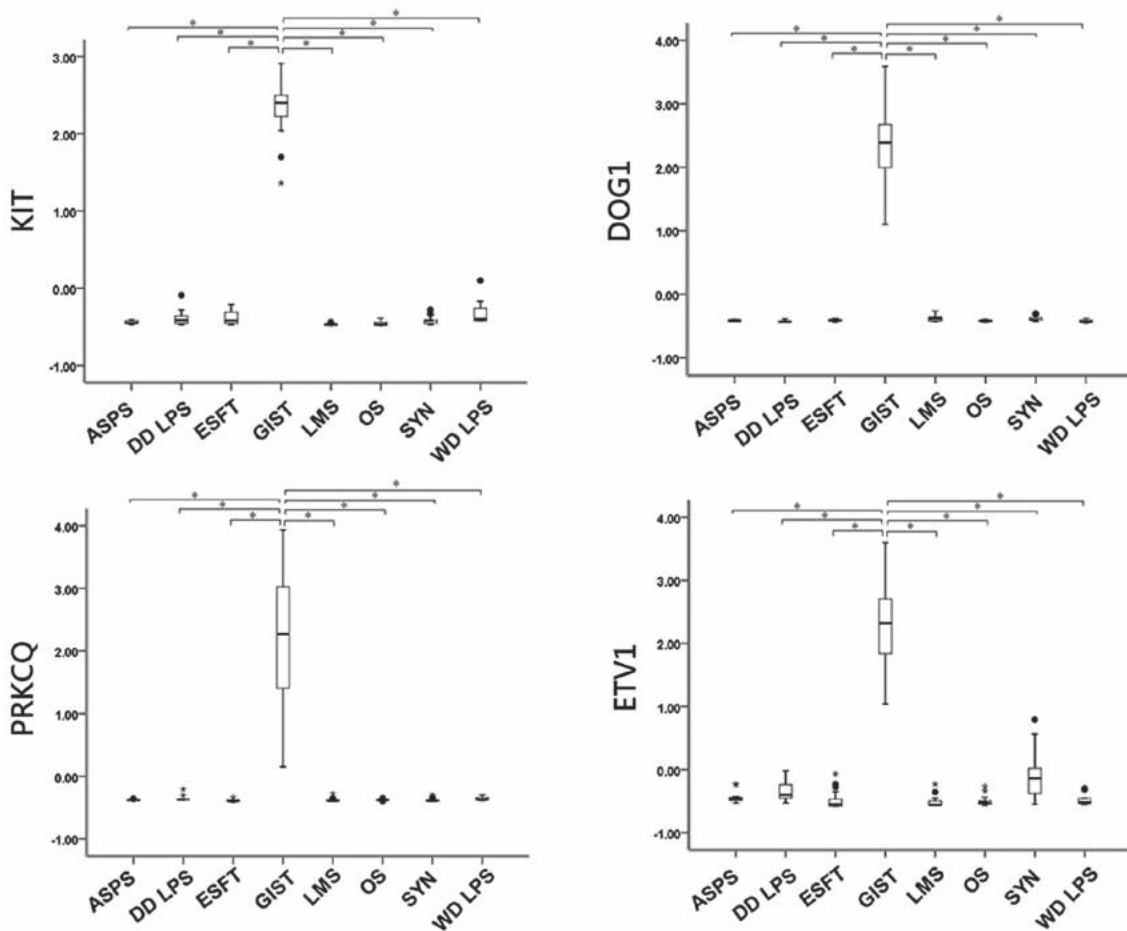


Figure 1. Differential expression of genes in GISTs and non-GIST sarcoma. The expression levels of individual genes were obtained using z-score transformation, and the differences between GISTs and non-GIST subtypes were then compared using the ANOVA (with Bonferroni test as a post hoc test). KIT, DOG1, PRKCQ and ETV1 all showed significantly high expression levels in GISTs in comparison with other non-GIST sarcomas ( $P < 0.00001$ ). GISTs, gastrointestinal stromal tumors; ASPS, alveolar soft part sarcoma; DD LPS, de-differentiated liposarcoma; ESFT, Ewing sarcoma family tumors; LMS, leiomyosarcoma; OS, osteosarcoma; WD LPS, well-differentiated liposarcoma.

used as a post hoc test (with  $P < 0.05$  considered to indicate a statistically significant difference).

## Results

*Confirmation of the overexpression of ETV1 in GIST in comparison with other sarcomas.* Eight different types of sarcoma were analyzed: GIST, OS, ASPS, SynSA, well-differentiated LPS (WD LPS), de-differentiated LPS (DD LPS), ESFT and LMS. Comparisons of the expression levels of three well-known GIST-specific expression genes, namely KIT, DOG1 and PRKCQ and ETV1, were made. As shown in Fig. 1, KIT, DOG1, PRKCQ and ETV1 were all significantly highly expressed in the GISTs in comparison with the other sarcomas.

*Identification of novel agents targeting the ETV1 pathway in GIST by CMAP.* We downloaded the expression profile information from the GEO database (GSE19396) created by Chi *et al* (20) and compared the expression profiles of wild-type GISTs and ETV1-knockdown GISTs. We obtained a total of 207 probes of genes with differential expression between these two types of cell lines (Tables SI and SII). We then uploaded this set of genes onto CMAP to search for possible agents. The five drugs that had the most significant P-values following permutation are presented

Table I. Top 5 agents identified by CMAP after uploading ETV1 knockdown vs. wild-type expression profiles.

Rank	CMAP name	No.	P-value
1	Prestwick-1080	4	<0.00001
2	Suberanilohydroxamic acid	12	<0.00001
3	Trichostatin A	182	<0.00001
4	Trifluoperazine	16	<0.00001
5	Thioridazine	20	<0.00001

CMAP, 'The Connectivity Map'; ETV1, E26 variant 1.

in Table I. Prestwick-1080 is unavailable. SAHA and trichostatin are HDACIs, of which anti-GIST activity has been previously demonstrated (14). However, TFP and TDZ, two drugs of the phenothiazine class, have not been reported to date to have cytotoxic activity against GISTs, at least to the best of our knowledge. Therefore, we focused on these two drugs in this study.

*Confirmation of the targeting of SAHA, TFP and TDZ on KIT-ETV1 in GIST cell lines.* Subsequently, we examined



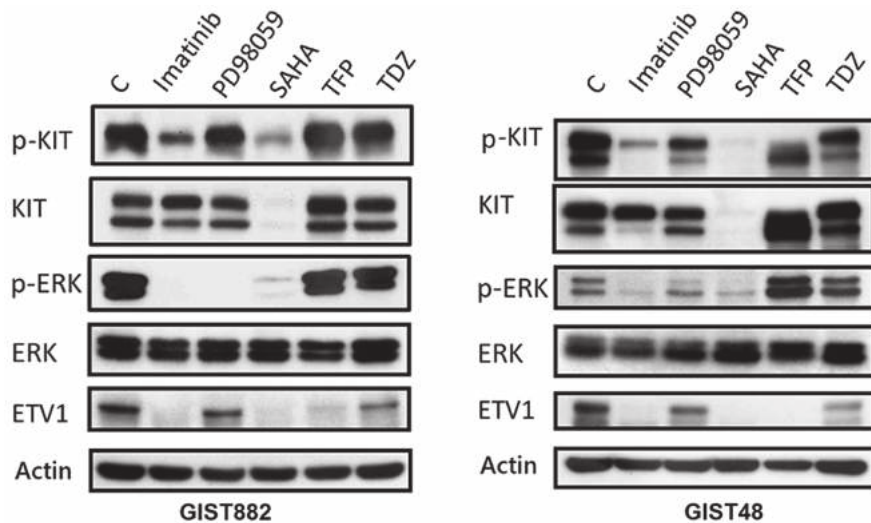


Figure 2. Western blot analysis of GIST882 and GIST48 cells following treatment with various agents. Imatinib ( $2 \mu\text{M}$ , 12 h for GIST882 and  $20 \mu\text{M}$ , 12 h for GIST48) inhibited KIT and downstream ERK, resulting in ETV1 downregulation. The MEK inhibitor, PD98059 (100 nM, 12 h), inhibited ERK with subsequent ETV1 downregulation. SAHA ( $10 \mu\text{M}$ , 12 h), an HDACI, degraded KIT with the subsequent inhibition of ERK and the decreased expression of ETV1. TFP ( $35 \mu\text{M}$ , 72 h for GIST882;  $25 \mu\text{M}$ , 72 h for GIST48) and TDZ ( $20 \mu\text{M}$ , 72 h for GIST882;  $12.5 \mu\text{M}$ , 72 h for GIST48) downregulated ETV1, with little change in AKT and little change in, or even the paradoxical activation of, the ERK pathway. C, control; SAHA, suberanilohydroxamic acid; TFP, trifluoperazine; TDZ, thioridazine.

the targeting effect of TFP and TDZ, as well as other agents, on the KIT/ETV1 pathway. Representative western blots of GIST882 and GIST48 following treatment with different agents are shown in Fig. 2. The inhibition of KIT signaling by imatinib ( $2 \mu\text{M}$ , 12 h) in the imatinib-sensitive GIST882 cells resulted in MEK pathway inhibition, as well as in ETV1 protein downregulation. The imatinib-resistant GIST48 cells exhibited changes to relevant pathways following treatment with imatinib at a higher concentration ( $20 \mu\text{M}$ , 12 h). By contrast, both GIST cell lines treated with the MEK inhibitor, PD98059 (100 nM, 12 h), exhibited an inhibition of ERK phosphorylation and the loss of ETV1. Previous research has demonstrated that KIT activity and expression, and the activation of downstream pathways in GISTs are strongly inhibited by HDACI (14). In this study, we also found that SAHA ( $10 \mu\text{M}$ , 12 h), an HDACI, degraded KIT, resulting in a subsequent decrease in ETV1 expression (Fig. 2).

We then further explored the targeting effects of TFP ( $35 \mu\text{M}$ , 72 h for GIST882;  $25 \mu\text{M}$ , 72 h for GIST48) and TDZ ( $20 \mu\text{M}$ , 72 h for GIST882;  $12.5 \mu\text{M}$ , 72 h for GIST48) on GIST cell lines. As shown in Fig. 2, ETV1 in the GIST882 and GIST48 cells was downregulated by TFP and TDZ. However, the expression levels of both total and activated KIT were not markedly affected by these two drugs. This result confirms that both TFP and TDZ have distinct targeting effects on the ETV1 pathway, compared with other drugs.

#### Both TFP and TDZ exert cytotoxic effects against GISTs.

We then evaluated the cytotoxic effects of TFP on GIST cell lines. As shown in Fig. 3A and B, both TFP and TDZ exerted significant anti-proliferative effects on the GIST48 and GIST882 cells in a dose-dependent manner. However, TFP induced caspase activation in the GIST48 cells, but not in the GIST882 cells (Fig. 3C and D). A significant increase in the number of apoptotic cells, as assessed by Annexin V staining, was easily observed in the GIST48 cells treated with

both agents. However, this could only be seen in the GIST882 cells at relatively higher concentrations of phenothiazine-class drugs (Fig. 3E and F). These results indicate that both TFP and TDZ exert a cytotoxic effect against GISTs, but not always through apoptosis.

*TFP and TDZ induce autophagy and the downregulation of ETV1 with the paradoxical upregulation of ERK in GIST cell lines.* Phenothiazine drugs are a well-known class of drugs that induce autophagy (31). In this study, we evaluated the possibility of autophagy induction in GIST cells following drug treatment. As illustrated in Fig. 4, both TFP and TDZ induced autophagy in GISTs, as demonstrated by an increased LC3-II expression. Quantitative fluorescence-activated cell sorting (FACS) analysis revealed an increase in lysosomes following drug treatment (Fig. 5). These results indicate that TFP and TDZ can induce autophagy in GIST cell lines. PARP cleavage, an indicator of apoptosis, was also observed in the GIST48 and, to a lesser extent, in the GIST882 cells. This is compatible with the distinct pattern of caspase activation observed in both cell lines following treatment with TFP.

Chi *et al* revealed that ETV1 was a downstream effector of MEK and that MEK inhibition causes ETV1 downregulation (20). Similar findings were also noted in this study (Fig. 2). However, the GIST cell lines treated with TFP or TDZ exhibited an ETV1 downregulation with a paradoxical ERK activation (Fig. 4), indicating a MEK-independent mechanism in ETV1 degradation. TFP induced the suppression of the AKT (indicated by p-AKT)/mTOR (indicated by p-p70s6k) signaling pathway in the GIST48 cells at a relatively high concentration ( $25 \mu\text{M}$ ). In addition, the downregulation of p-KIT was observed in the TFP-treated GIST48 ( $20$  and  $25 \mu\text{M}$ ) and GIST882 ( $35 \mu\text{M}$ ) cells. No marked changes in the KIT/AKT/mTOR signaling were observed in the TDZ-treated GIST cells.

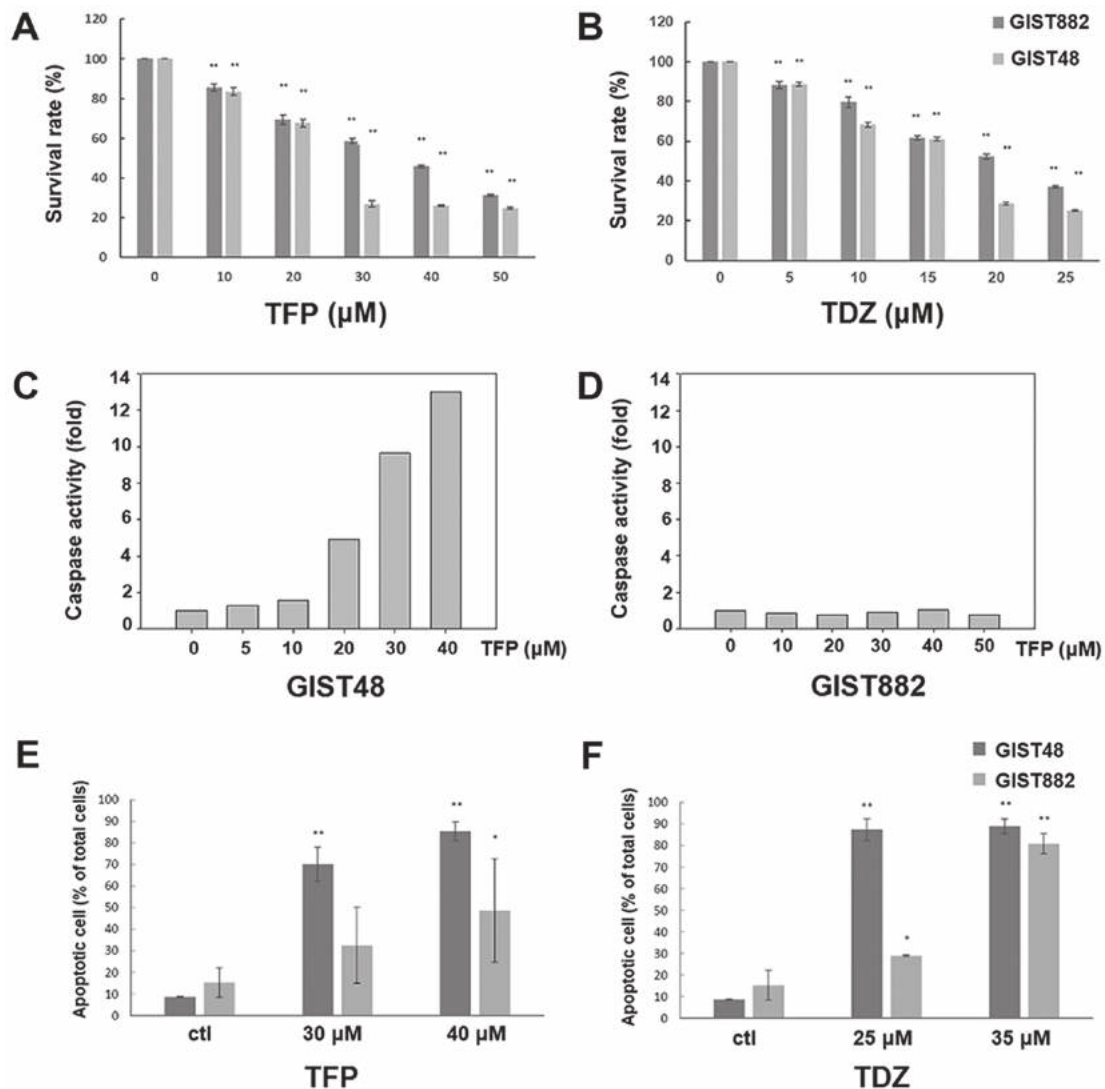


Figure 3. Evaluation of the viability and apoptosis of GIST882 and GIST48 cell lines following phenothiazine treatment: TACS™ MTT cell proliferation assay following treatment with (A) TFP and (B) TDZ for 72 h; caspase activation assay of (C) GIST48 and (D) GIST882 following treatment with TFP; apoptosis assessment by Annexin V staining in GIST882 and GIST48 cell lines following treatment with (E) TFP or (F) TDZ for 72 h (\* $P < 0.05$ , \*\* $P < 0.01$  in comparison with control using ANOVA, with Bonferroni test as a post hoc test). TFP, trifluoperazine; TDZ, thioridazine.

TFP or TDZ act synergistically with the MEK inhibitor, MEK162, to exert a cytotoxic effect on GIST cell lines and the combination of phenothiazine with MEK162 induces apoptosis with diminished autophagy and the downregulation of ERK and downstream ELK1 and EGR1. As we observed paradoxical ERK activation following phenothiazine treatment, we then evaluated the possibility of combining phenothiazine with an MEK inhibitor to treat GISTs. As shown in Fig. 6, TFP or TDZ acted synergistically with the MEK inhibitor, MEK162, in inducing cytotoxic effects in the GIST48 and GIST882 cells.

The mechanisms of autophagy induction by phenothiazine are unclear. EGR1 is a member of the EGR family of C2H2-type zinc finger proteins. It is a nuclear protein and functions as a transcriptional regulator, and it is also known to be responsible for environmental stress-induced autophagy, such as that caused by smoking (32). Additionally, EGR1 expression can be induced by ELK1, which is a member of the Ets family of transcription factors and of the ternary complex factor (TCF) subfamily, as well as a known nuclear target for the RAS/RAF/MAPK signaling cascade (33). Consequently,

we considered that phenothiazine-induced autophagy may occur through ERK activation of the ELK1/EGR1 pathway. As shown in Fig. 7, TFP or TDZ induced autophagy with concomitant ERK, ELK1 and EGR1 activation. However, the combination of MEK162 and phenothiazine downregulated ERK, and downstream ELK1 and EGR1 with a resultant decrease in autophagy. Apoptosis, based on PARP cleavage, seemed more apparent in cells treated with the MEK162 and TFP combination. This result indicates that phenothiazine-induced autophagy may occur through the ERK/ELK1/EGR1 pathway, and the combination of phenothiazine with MEK inhibitor is a potential strategy for the treatment of GISTs.

## Discussion

In this study, by uploading the differential expression gene sets of wild-type versus knockdown ETV1 to CMAP, we identified several agents with therapeutic potential. Among these, phenothiazine had not been reported previously, at least to the best of our knowledge. Phenothiazine-derived drugs were found to

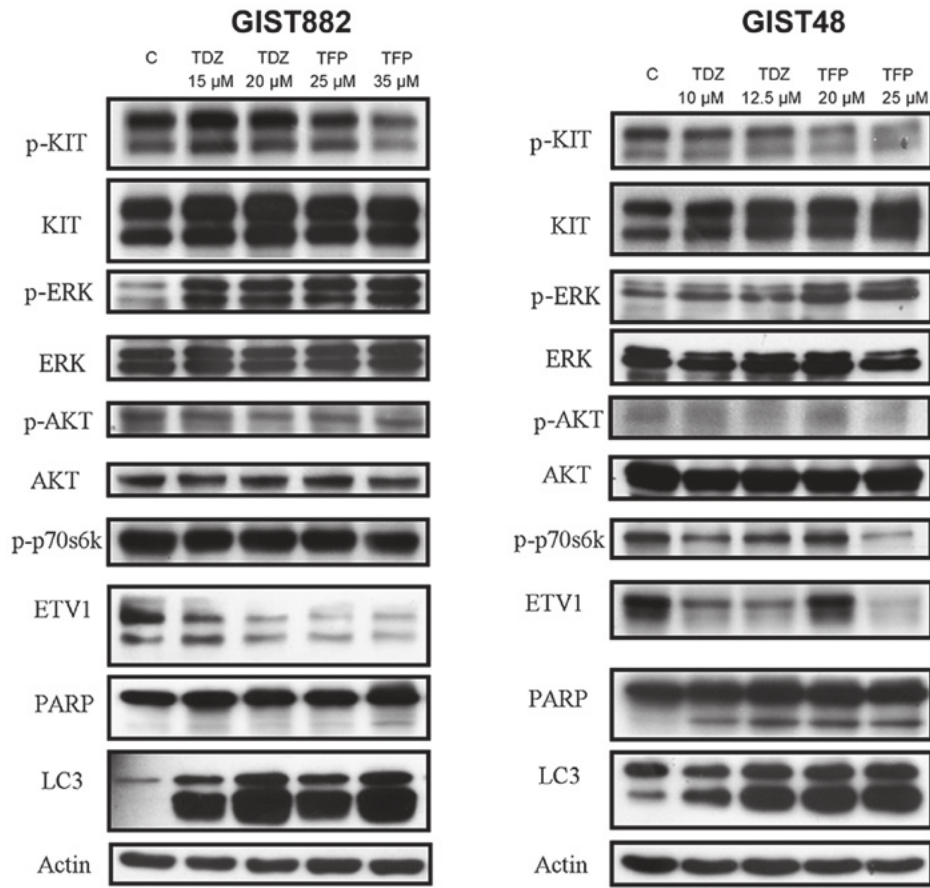


Figure 4. Western blot analysis of GIST882 (left panel) and GIST48 (right panel) before and after drug treatment. TFP and TDZ induced ETV1 downregulation with accompanying increased LC3-II expression. PARP cleavage was more prominent in GIST48. Paradoxical ERK activation was observed in both cell lines. Except with a relatively high concentration of TFP, no significant changes in KIT/AKT/mTOR (indicated by p-p70s6k) signaling were observed. TFP, trifluoperazine; TDZ, thioridazine.

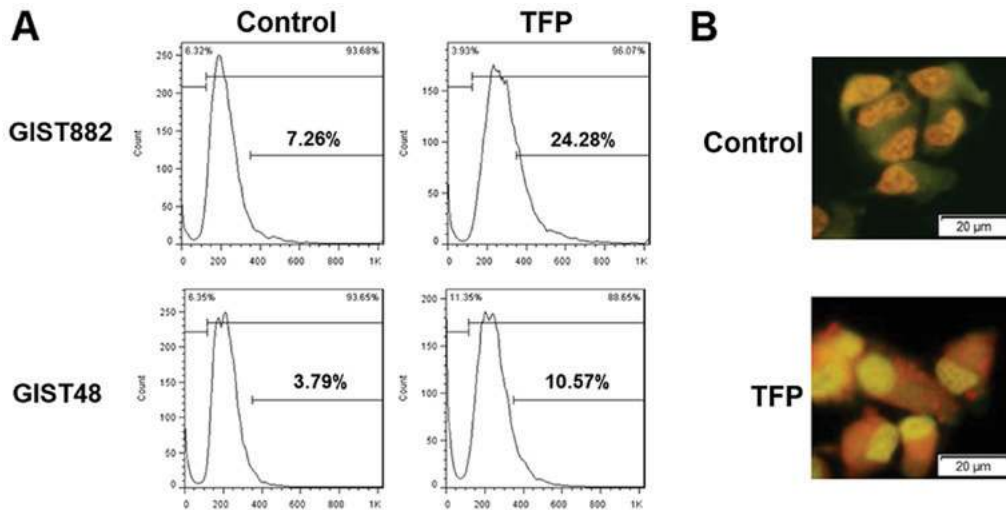


Figure 5. Quantitative FACS analysis of untreated and TFP-treated GIST cells stained with 10 μM acridine orange (AO) (15 min). (A) Histograms of both cell lines (GIST882, 20 μM, 72 h; GIST48, 10 μM, 72 h) and (B) fluorescence microscopy of GIST48 show an increase of red fluorescence in the lysosomes (FL-3 or red dots by microscopy) following drug treatment (magnification, x200; scale bar, 20 μm). TFP, trifluoperazine; TDZ, thioridazine.

exert cytotoxicity and could induce apoptosis and autophagy in GISTs. Phenothiazine had little effect on the KIT/AKT/mTOR pathway, but instead paradoxically upregulated ERK activity. Phenothiazine may induce autophagy through the activation of the MEK/ERK/ELK1/EGR1 pathway, and the combination of

phenothiazine and a MEK inhibitor had a synergistic cytotoxic effect on GISTs.

The important role of ETV1 in GIST was first revealed by Chi *et al* (20). Their study demonstrated that ETV1 was regulated by the KIT/MAP kinase pathway, and plays a key role in

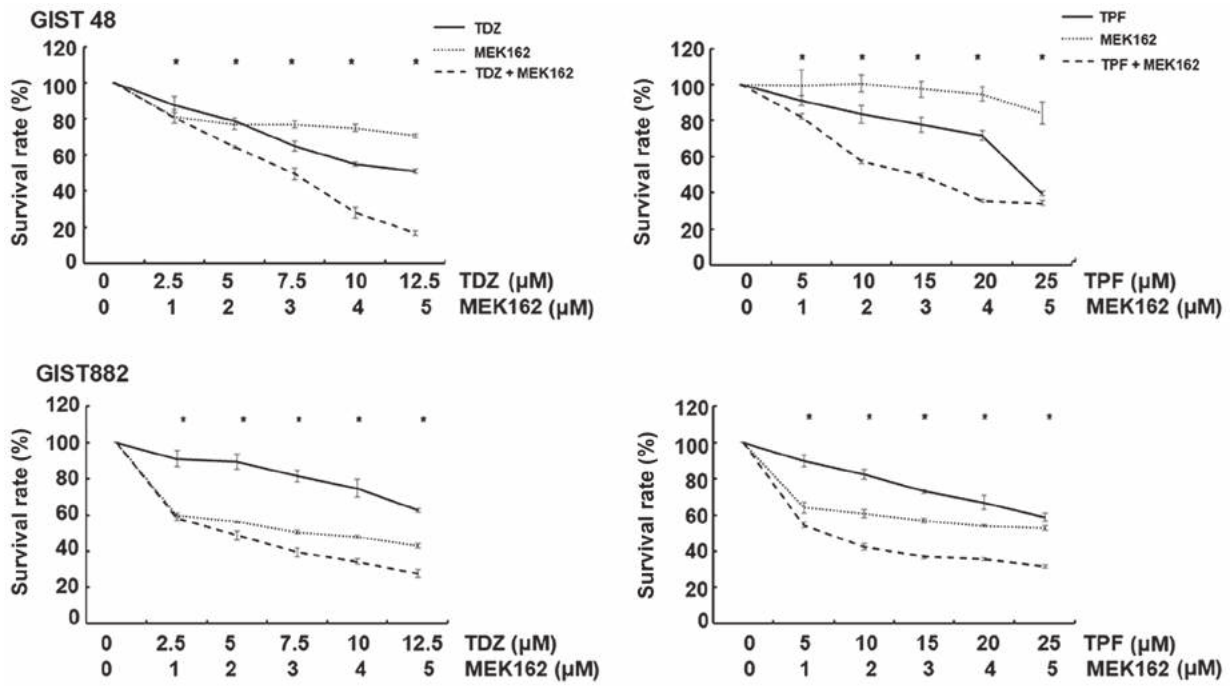


Figure 6. Viability of GIST48 and GIST882 cells following treatment with various concentrations of TDZ, TFP, or MEK162 alone, or a combination of phenothiazine with MEK162, was measured with the TACS™ MTT cell proliferation assay (expressed as a percentage of viability under controlled culture conditions). A significant synergistic effect of combination of phenothiazine with MEK162 could be observed in both GIST cell lines at all levels of concentration [\* , combination index (CI) <1]. TFP, trifluoperazine; TDZ, thioridazine.

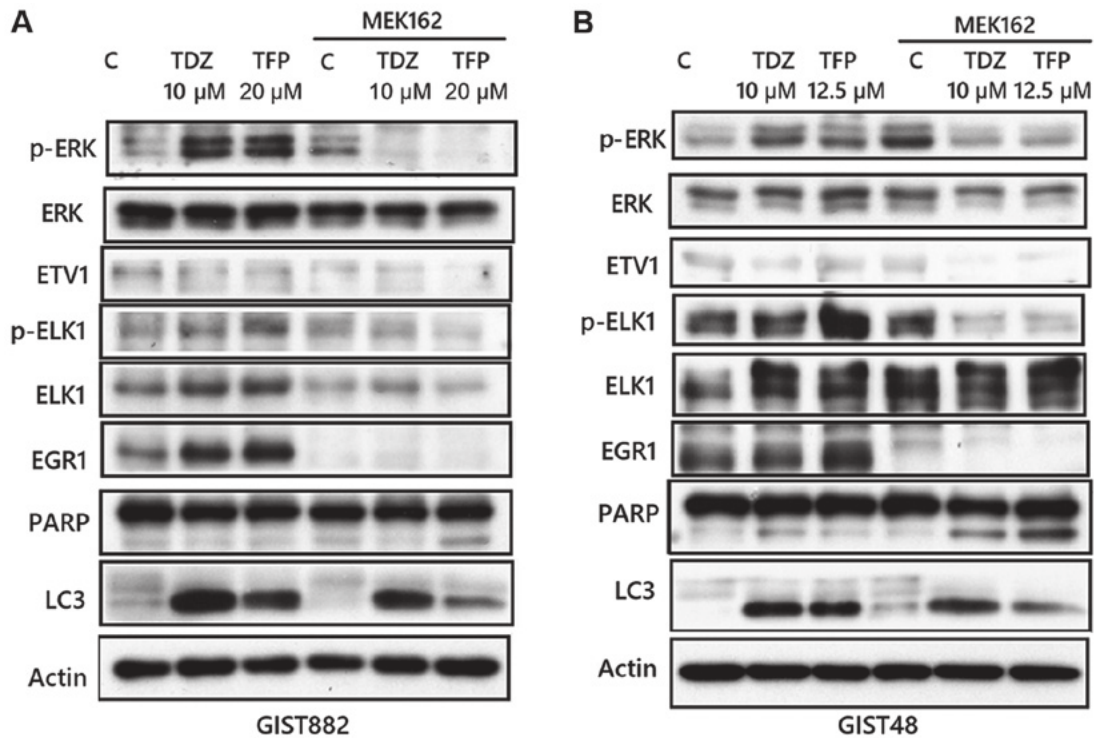


Figure 7. Western blot analysis of (A) GIST882 and (B) GIST48 cells following treatment with either TFP or TDZ, or in combination with MEK162. Increased LC3-II expression was found following TFP and TDZ treatment with concomitant activation of ERK, ELK1 and EGR1. Combination of MEK162 and phenothiazine diminished autophagy, enhanced apoptosis, and downregulate ERK and downstream ELK1 and EGR1.

an ICC-GIST-specific transcription network (20). Furthermore, a positive feedback circuit between the KIT/MAP kinase pathway and ETV1 was found, and a combination therapy of imatinib and MEK162 to target ETV1 stability resulted in considerable tumor

suppressive effects (21). Their studies led us to explore the agents with ETV1-targeting potentials in GIST treatment.

In this study, through the analysis of a public-domain database, we found that ETV1, along with several known



genes such as KIT, DOG1, and PKC $\theta$ , was significantly overexpressed in GISTs in comparison with other sarcomas. This is consistent with previous reports (20,34), again demonstrating the uniqueness and importance of ETV1 in GISTs. Subsequently, we uploaded an ETV1 knockout gene signature of GIST cell lines to CMAP, and we identified several ETV1 targeting agents. CMAP is a genome-wide transcriptional expression database generated from cultured human cells treated with bioactive small molecules. It applies a simple pattern-matching algorithm to discover the functional connections between drugs, genes, and diseases through common gene-expression changes. This database has been widely used in the discovery of novel agents and in deciphering previously unknown molecular mechanisms (35-38). In this study, through CMAP analysis of an ETV1 knockout gene signature of GIST cell lines, we discovered SAHA and trichostatin (two HDACIs) and TFP and TDZ (two drugs of phenothiazine class) as ETV1-targeting agents.

As expected, HDACIs were on the list, as a previous study has already shown their activity in downregulating KIT (14). In this study, we also demonstrated their activity by western blot analysis (Fig. 2). However, phenothiazine has not been previously reported to have anti-GIST activity, at least to the best of our knowledge. Phenothiazine has been identified as a class of potential anticancer drugs in previous publications. Possible mechanisms include inhibiting the PDK1/AKT pathway (39,40), Wnt/b-catenin pathway (41), dopamine receptors (42), HSP70 (43) and DNA repair (44). In this study, we did not observe a significant effect of phenothiazine on the KIT/AKT/mTOR pathway. However, paradoxical ERK activation was found following treatment with the drugs.

The mechanisms of paradoxical ERK activation following phenothiazine or MEK162 treatment are not clear. ERK pathway activity is regulated by negative feedback at multiple levels, including the transcriptional activation of DUSP proteins that negatively regulate the pathway. ERK also phosphorylates and thus regulates upstream CRAF, SOS and MEK activity directly (45). One possible hypothesis is that these feedback inhibitory pathways may be temporarily shut down after phenothiazine- or MEK162- induced ERK inhibition, with resultant re-activation of ERK, and complete inhibition could only be achieved by combination of different agents targeting different levels. However, the specific underlying mechanisms warrant further investigation.

Although phenothiazine has been demonstrated to be a class of autophagy-inducing agents (31), the associated mechanism is still unclear. Chen *et al* discovered the role of EGR1 in autophagy by showing that cigarette smoke extract (CSE) could reduce HDAC activity, which resulted in increased binding of EGR1 and E2F factors to the LC3B promoter with subsequently increased LC3B expression in human pulmonary epithelial cells (32). Additionally, Guha *et al* revealed that lipopolysaccharides induced ELK1 phosphorylation through the MEK/ERK1/2 pathway with the subsequent induction of EGR1 expression in monocytes (33). As we observed ERK activation following phenothiazine treatment, we then explored the role of ERK activation in autophagy. In this study, we revealed that a combination of phenothiazine and a MEK inhibitor had a synergistic cytotoxic effect on GISTs. Western blot analysis

indicated that MEK inhibition diminished autophagy and, together with phenothiazine, induced apoptosis. We also demonstrated that both ELK1 and EGR1 were activated/upregulated following TDZ and TFP treatment, but both were downregulated with a concomitant decrease in LC3-II expression after MEK inhibition. This result indicates that phenothiazine-induced autophagy may be mediated through the MEK/ERK/ELK1/EGR1 pathway and that the combination of phenothiazine with a MEK inhibitor may be a potential strategy for the treatment of GISTs.

In conclusion, in this study, by using bioinformatics analysis through CMAP, we identified TFP and TDZ, two drugs of phenothiazine class, as potential ETV1-targeting agents in GIST treatment. Phenothiazine-derived drugs induced apoptosis and autophagy in GISTs. Phenothiazine treatment was found to paradoxically upregulate ERK activity, but with little effect on the KIT/AKT/mTOR pathway. Phenothiazine may induce autophagy in GISTs through the MEK/ERK/ELK1/EGR1 pathway. A combination of phenothiazine and a MEK inhibitor exerted a synergistic cytotoxic effect on GISTs. Additional *in vivo* or clinical studies are warranted for further confirmation.

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#### Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

#### Authors' contributions

JAF, CCY, LTC and CFL were responsible for the design and conception of the study; WYC, YCL, CHY and YCC were responsible for data acquisition and interpretation; SCC, MHY and YC were responsible for the data analysis and drafting

the work. All of the authors have read and approved the final manuscript for publication.

### Ethics approval and consent to participate

Not applicable.

### Patient consent for publication

Not applicable.

### Competing interests

The authors declare that they have no competing interests.

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